

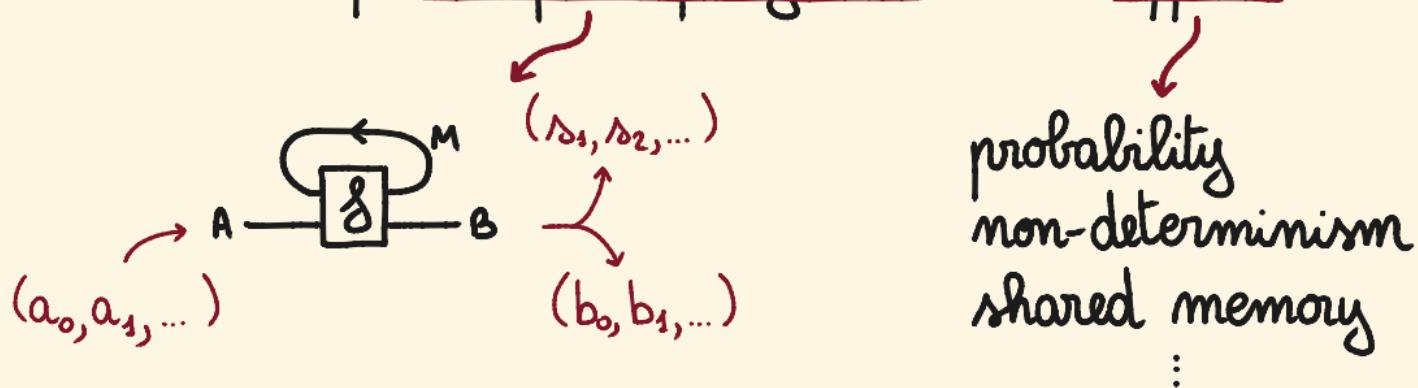
# EFFECTFUL STREAMS FOR DATAFLOW PROGRAMMING

Elena Di Lavoro  
University of Oxford

jw.jw. Mario Román, Filippo Bonchi, Giovanni de Felice  
U. of Oxford U. of Pisa Quantinuum

# MOTIVATION

Semantics of dataflow programs with effects.



[ESL, Giovanni de Felice and Mario Román

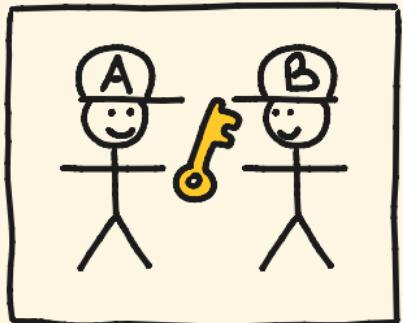
Monoidal streams for dataflow programming (2022) LICS]

[Filippo Bonchi, ESL, Mario Román

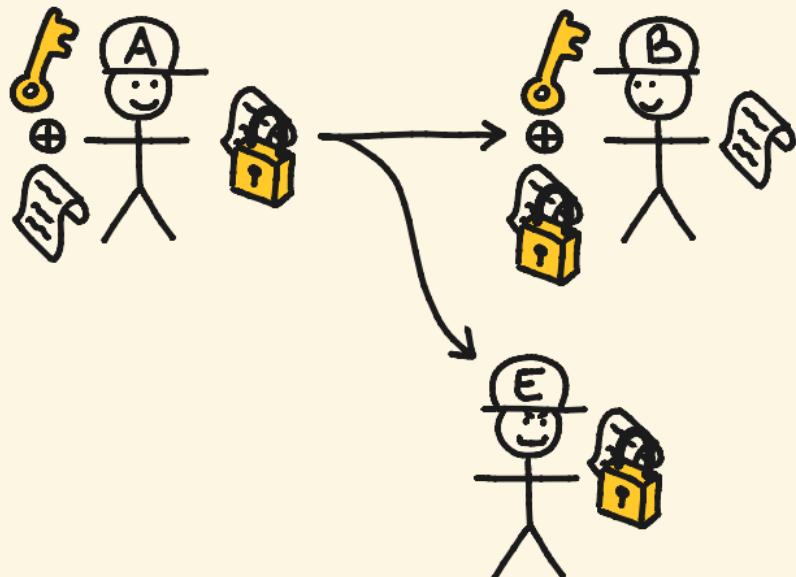
Effectful Mealy machines: bisimulation and trace (2024) preprint]

# ONE-TIME PAD PROTOCOL

1. share a key through  
a secure channel



2. send an encrypted  
message through a  
public channel



# REPEATING THE ONE-TIME PAD

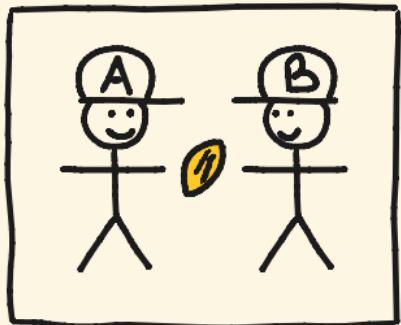
Sending  $n$  messages securely requires  $n$  private keys  
↳ not very useful

- ⇒ • privately share a seed 
- use identical pseudorandom number generator to obtain a new key for each message



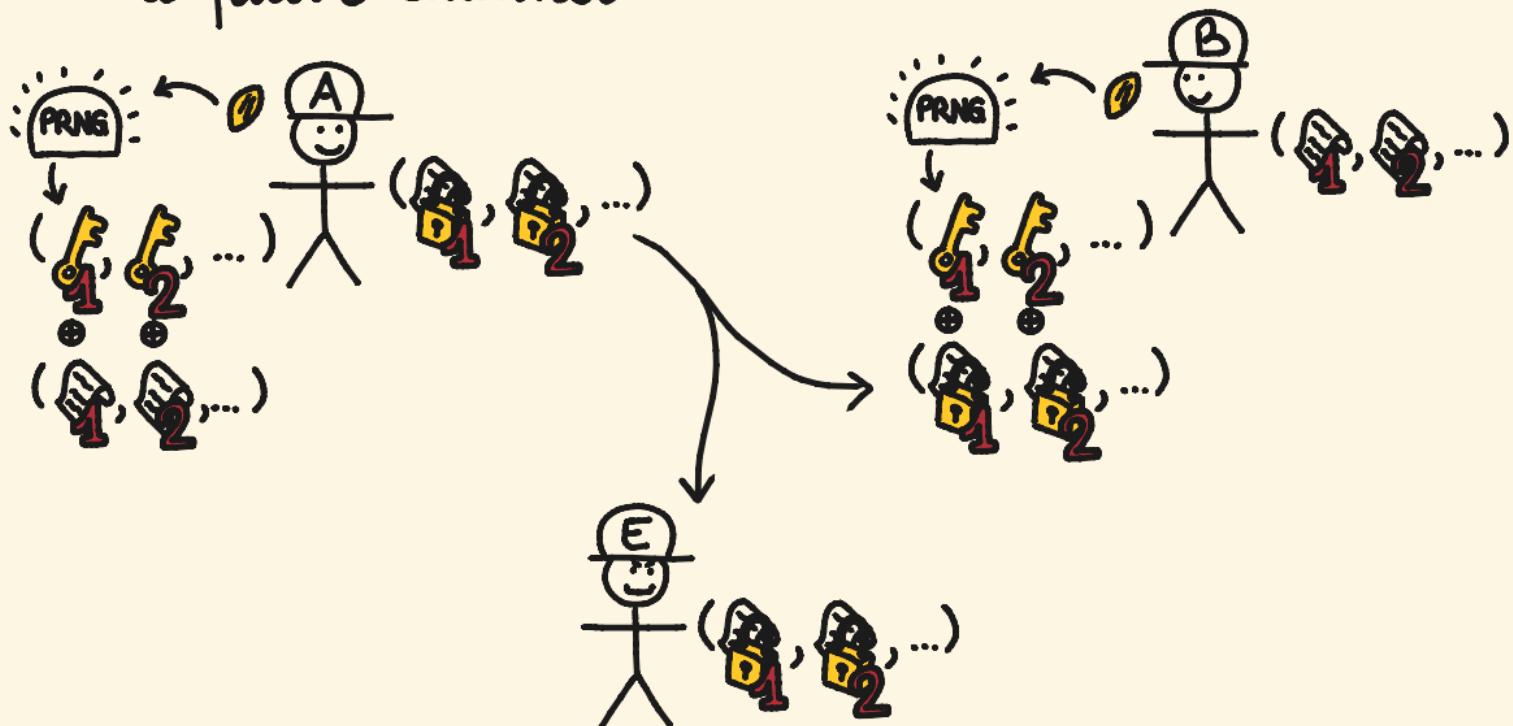
# STREAM CIPHER PROTOCOL (1)

1. share a seed through a secure channel
2. share a pseudorandom number generator



# STREAM CIPHER PROTOCOL (2)

3. send a stream of encrypted messages through a public channel



# STREAM CIPHER PROTOCOL

alice<sup>o</sup>(m) = do

seedgen()  $\rightsquigarrow$  ()

get<sub>A</sub>()  $\rightsquigarrow$  s

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

alice<sup>+o</sup>(s, m) = do

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

alice<sup>++</sup> = alice<sup>+</sup>

bob<sup>o</sup>(m) = do

get<sub>B</sub>()  $\rightsquigarrow$  s

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

bob<sup>+o</sup>(s, m) = do

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

bob<sup>++</sup> = bob<sup>+</sup>

cipher<sup>o</sup>(m) = do

alice<sup>o</sup>(m)  $\rightsquigarrow$  (s, m')

bob<sup>o</sup>(m')  $\rightsquigarrow$  (s', m'')

return(s, s', m', m'')

cipher<sup>+o</sup>(s<sub>o</sub>, s<sub>o</sub>', m) = do

alice<sup>+o</sup>(s<sub>o</sub>, m)  $\rightsquigarrow$  (s, m')

bob<sup>+o</sup>(s<sub>o</sub>', m')  $\rightsquigarrow$  (s', m'')

return(s, s', m', m'')

cipher<sup>++</sup> = cipher<sup>+</sup>

# CONDUCTION

VS

# FEEDBACK

Streams are coinductive.

$$\text{Stream } A = A \times \text{Stream } A$$

coalgebraic semantics.

$$\begin{array}{ccc} M & \longrightarrow & (M \times B)^A \\ \downarrow & & \downarrow \\ \Omega & \longrightarrow & (\Omega \times B)^A \end{array}$$



Feedback (pre)monoidal categories.

$$(M \mid \delta : M \otimes A \rightarrow M \otimes B)$$

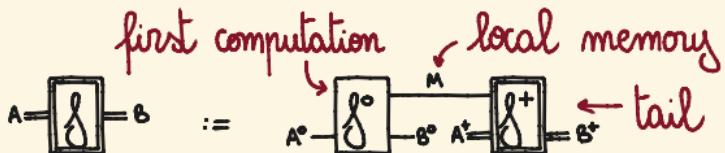


Sequential and parallel compositions.

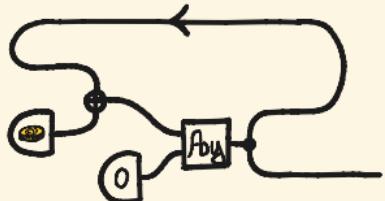
# EFFECTFUL STREAMS: CONDUCTION AND FEEDBACK

# Semantics of effectful reactive programs

- formal
  - coinductive



- compositional
  - effect agnostic



a random walk.

- effect agnostic

Stream : EffCat  $\rightarrow$  EffCat

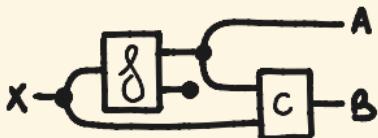
# OUTLINE

- [ • Effectful categories ]
- Effectful streams
- Causal processes
- Mealy machines, bisimulation and traces

# STRING DIAGRAMS & DO-NOTATION

- Symmetric monoidal categories are theories of processes
- String diagrams and do-notation are convenient syntax

$$v_x ; ((f; (v_A \otimes \varepsilon_B)) \otimes 1_x) ; (1_A \otimes c)$$



cond(x) = do  
|  
|  $f(x) \rightarrow (a, b)$   
|  $c(a, x) \rightarrow b'$   
| return(a, b')

# STRING DIAGRAMS & DO-NOTATION

$f: A \rightarrow B, g: B \rightarrow C$



- Composition.  $f; g : A \rightarrow C$



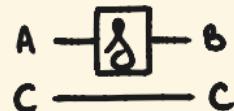
$\text{comp}_{fg}(a) = \text{do}$   
 $| f(a) \rightsquigarrow b$   
 $| g(b) \rightsquigarrow c$   
 $\text{return}(c)$

- Identity.  $\text{id}_A : A \rightarrow A$



$\text{id}_A(a) = \text{do}$   
 $\text{return}(a)$

- Whiskering.  $w_c f : A \otimes C \rightarrow B \otimes C$



$\text{wh}_{fc}(a,c) = \text{do}$   
 $| f(a) \rightsquigarrow b$   
 $\text{return}(b,c)$

- Symmetries.  $\sigma_{A,B} : A \otimes B \rightarrow B \otimes A$



$\text{sym}_{AB}(a,b) = \text{do}$   
 $\text{return}(b,a)$

# COPY AND DISCARD



copy(a) = do  
| return(a,a)

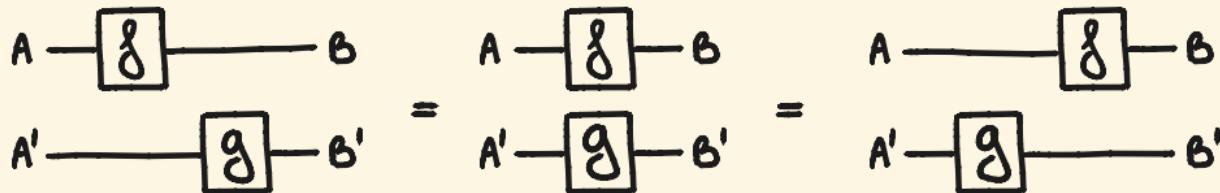
discard(a) = do  
| return()

$$\text{---} \bullet \text{---} = \text{---} \bullet \text{---}$$

$$\text{---} \bullet \text{---} = \text{---}$$

$$\text{---} \bullet \text{---} = \text{---} \bullet \text{---}$$

# THE INTERCHANGE LAW



$\text{par } f \circ g (a, a') = \text{do}$   
  |  
  |  $f(a) \rightarrow b$   
  |  $g(a') \rightarrow b'$   
  |  
  |  $\text{return } (b, b')$

=

$\text{par } f \circ g (a, a') = \text{do}$   
  |  
  |  $g(a') \rightarrow b'$   
  |  $f(a) \rightarrow b$   
  |  
  |  $\text{return } (b, b')$

→ holds in monoidal categories

# COMPUTATIONS WITH EFFECTS

- Stochastic effects: generating the seed

$\mathcal{D}$ :  $\text{Set} \rightarrow \text{Set}$  distribution monad

$$\mathcal{D}(A) := \{\sigma : A \rightarrow [0,1] \mid \text{supp } \sigma \text{ is finite} \wedge \sum_{a \in A} \sigma(a) = 1\}$$

- global state: sharing the seed

$\text{State}_{\mathcal{L}}$ :  $\mathcal{L}^{\text{op}} \times \mathcal{L} \rightarrow \text{Set}$  state promonad

$$\text{State}_{\mathcal{L}}(A, B) := \mathcal{L}(S \otimes A, S \otimes B)$$



# VALUES

Values are both :

- deterministic



- total



ex  $(3 \cdot -) : \mathbb{R} \rightarrow \mathbb{R}$

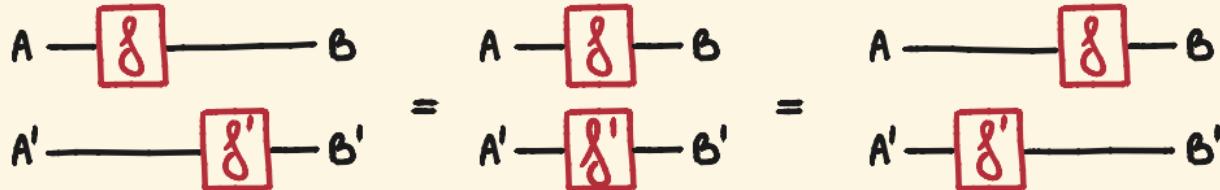
non-ex Flip :  $\{1\} \rightarrow \mathcal{D}(\{\text{H, T}\})$        $\neq$

$(3/-) : \mathbb{R} \rightarrow \mathbb{R}$

$\neq$

# LOCAL COMPUTATIONS

Local computations interchange,



$$\begin{aligned} \text{localF}(a, a') = & \text{do} \\ | \quad g(a) \rightarrow b \\ | \quad g'(a') \rightarrow b' \\ | \quad \text{return}(b, b') \end{aligned}$$

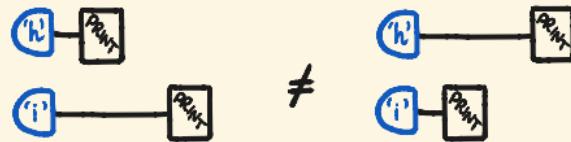
$$\begin{aligned} \text{localF}(a, a') = & \text{do} \\ | \quad g'(a') \rightarrow b' \\ | \quad g(a) \rightarrow b \\ | \quad \text{return}(b, b') \end{aligned}$$

ex -Stoch



# EFFECTFUL COMPUTATIONS

Effectful computations may have global effects.



$\text{printHI}() = \text{do}$

|  |
|--|
| $'h'() \rightarrow c_1$                |
| $'i'() \rightarrow c_2$                |
| $\text{print}(c_1) \rightsquigarrow()$ |
| $\text{print}(c_2) \rightsquigarrow()$ |
| $\text{return}()$                      |

$\neq$

$\text{printIH}() = \text{do}$

|  |
|--|
| $'h'() \rightarrow c_1$                |
| $'i'() \rightarrow c_2$                |
| $\text{print}(c_2) \rightsquigarrow()$ |
| $\text{print}(c_1) \rightsquigarrow()$ |
| $\text{return}()$                      |

ex state monads, IO monad

[Power and Robinson (1997)]

# EFFECTFUL COPY-DISCARD CATEGORIES

Values can be copied and discarded (cartesian)

$$\begin{array}{c} \text{---} \square \text{---} \sqcap = \text{---} \square \text{---} \square \sqcap \\ \text{---} \square \text{---} \bullet = \text{---} \bullet \end{array}$$

$$\mathcal{V} \rightarrow \mathcal{L} \rightarrow \mathcal{C}$$

Effectful computations may have global effects (premonoidal)

$$\begin{array}{c} \text{---} \square \text{---} \square \neq \text{---} \square \text{---} \square \\ \text{---} \square \text{---} \square \quad \text{---} \square \text{---} \square \\ \text{---} \square \text{---} \square \quad \text{---} \square \text{---} \square \end{array}$$

local computations interchange (monoidal)

$$\begin{array}{ccc} A - \boxed{\delta} - B & = & A - \boxed{\delta} - B \\ A' - \boxed{\delta} - B' & = & A' - \boxed{\delta'} - B' = A - \boxed{\delta} - B \\ & & A' - \boxed{\delta'} - B' \end{array}$$

ex (*Set*, *Stoch*, *State*)

(*cart*( $\mathcal{C}$ ),  $\mathbb{Z}(\mathcal{C})$ ,  $\mathcal{C}$ ) for a  $\mathbb{CD}$ -premonoidal  $\mathcal{C}$

[Jeffrey (1997), cf. Levy (2022), Power and Thielecke (1997)]

# OUTLINE

- Effectful categories

[ • Effectful streams ]

- causal processes

- Mealy machines, bisimulation and traces

# STREAMS ARE COINDUCTIVE

A stream of elements of  $A$  is

- an element  $a^0 \in A$
- a stream  $a^+$  of elements of  $A$

↪ the set of streams is the final coalgebra of the functor

$$A \times (-) : \text{cSet} \rightarrow \text{cSet}$$

# EFFECTFUL STREAMS

An effectful stream  $f: A \rightarrow B$  on  $(\mathcal{U}, \mathcal{L}, \mathcal{C})$  is

- a memory  $M_g \in \mathcal{L}$
- a first action  $\delta^\circ: A^\circ \rightarrow M_g \otimes B^\circ$  in  $\mathcal{C}$
- the rest of the action  $f^+: M_g \cdot A^+ \rightarrow B^+$

$$A - \boxed{f} - B = A^\circ - \boxed{\delta^\circ} - B^\circ \xrightarrow{M_g} A^+ - \boxed{f^+} - B^+$$

quotiented by sliding

$$\left\{ \begin{array}{l} \delta^\circ; (\pi \otimes 1) \\ f^+ = \pi \cdot g^+ \end{array} \right. = g^\circ \quad \text{for } \pi: M_g \rightarrow M_g \text{ in } \mathcal{L}$$

$$\boxed{\delta^\circ} - \boxed{f^+} - = \boxed{\delta^\circ} - \boxed{\pi} - \boxed{f^+} - \sim \boxed{\delta^\circ} - \boxed{\pi} - \boxed{f^+} - = \boxed{\delta^\circ} - \boxed{g^+} -$$

# EFFECTFUL STREAMS

The profunctor Stream :  $\mathcal{C}^{\mathbb{N}^{op}} \times \mathcal{C}^{\mathbb{N}}$  → Set is the final coalgebra of the functor

$$F : [\mathcal{C}^{\mathbb{N}^{op}} \times \mathcal{C}^{\mathbb{N}}, \text{Set}] \rightarrow [\mathcal{C}^{\mathbb{N}^{op}} \times \mathcal{C}^{\mathbb{N}}, \text{Set}]$$

$$F(Q)(A, B) := \int^{M \in \mathcal{C}} \mathcal{C}(A^\circ, M \otimes B^\circ) \times Q(M \cdot A^+, B^+)$$

quotient by  
sliding on the memory



# POLYÁ URN

Consider  $(\text{Set}, \text{Stoch}, \text{Stoch})$ .

$\text{polýa} : \mathbb{I} \rightarrow \mathbb{B}$

$\text{polýa}^o() = \text{do}$

$\text{Be}\left(\frac{b_0}{w_0 + b_0}\right) \rightarrow x$

return  $(w_0 + 1 - x, b_0 + x, x)$

memory:  $\mathbb{N} \times \mathbb{N}$

$\text{polýa}^{+o}(w, b) = \text{do}$

$\text{Be}\left(\frac{b}{w + b}\right) \rightarrow x$

return  $(w + 1 - x, b + x, x)$

$\text{polýa}^{++} = \text{polýa}^+$

$$I \rightarrow \frac{\mathbb{I}^o}{\mathbb{B}^o} = \text{Bool}, \quad \frac{\mathbb{I}^{-1}}{\mathbb{B}^+} = \mathbb{B}$$

→ first action  
 $I \rightarrow \mathbb{N} \times \mathbb{N} \times \text{Bool}$

→ tail, defined coinductively  
 $(\mathbb{N} \times \mathbb{N}) \cdot \mathbb{I} \rightarrow \mathbb{B}$

# SEMANTICS OF STREAM CIPHER

consider  $(\text{Set}, \text{Stoch}, \text{StateStoch})$ ,

where  $\text{StateStoch}(A, B) := \text{Stoch}(S \oplus S \odot A, S \oplus S \odot B)$ .

Fix  $S = \mathbb{N}$ ,  $C = \text{Bool}^*$ .

$\oplus : C \otimes C \rightarrow C$        $\rightsquigarrow$  bitwise xor

$\text{prng} : S \rightarrow S \otimes C$        $\rightsquigarrow$  pseudorandom number generator

$\text{get}_A : I \rightsquigarrow S$



$\text{get}_B : I \rightsquigarrow S$



$\text{seedgen} : I \rightsquigarrow I$



# STREAM CIPHER PROTOCOL

alice<sup>o</sup>(m) = do

seedgen()  $\rightsquigarrow$  ()

get<sub>A</sub>()  $\rightsquigarrow$  s

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

alice<sup>+o</sup>(s, m) = do

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

alice<sup>++</sup> = alice<sup>+</sup>

bob<sup>o</sup>(m) = do

get<sub>B</sub>()  $\rightsquigarrow$  s

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

bob<sup>+o</sup>(s, m) = do

prng(s)  $\rightarrow$  (k, s')

return(s', k  $\oplus$  m)

bob<sup>++</sup> = bob<sup>+</sup>

cipher<sup>o</sup>(m) = do

alice<sup>o</sup>(m)  $\rightsquigarrow$  (s, m')

bob<sup>o</sup>(m')  $\rightsquigarrow$  (s', m'')

return(s, s', m', m'')

cipher<sup>+o</sup>(s<sub>o</sub>, s<sub>o</sub>', m) = do

alice<sup>+o</sup>(s<sub>o</sub>, m)  $\rightsquigarrow$  (s, m')

bob<sup>+o</sup>(s<sub>o</sub>', m')  $\rightsquigarrow$  (s', m'')

return(s, s', m', m'')

cipher<sup>++</sup> = cipher<sup>+</sup>

# COMPOSITIONAL STRUCTURE OF STREAMS

## THEOREM

Effectful streams form an effectful category Stream.

- composition and monoidal actions are defined coinductively:  
for  $f: N_g \cdot A \rightarrow B$  and  $g: N_g \cdot B \rightarrow C$ ,

$$\begin{cases} (\mathcal{F}_{j_N} g)^\circ := \text{Diagram } 1 \\ (\mathcal{F}_{j_N} g)^+ := \mathcal{F}_{j_M}^+ g^+ \end{cases}$$

Diagram 1: A commutative diagram showing the composition of two morphisms. The top row consists of three boxes labeled  $g^\circ$ ,  $M_g$ , and  $M_{g^\circ}$ . The bottom row consists of three boxes labeled  $A^\circ$ ,  $M_g$ , and  $C^\circ$ . Horizontal arrows point from left to right between adjacent boxes in both rows. Vertical arrows point from the bottom row to the top row, connecting the  $M_g$  box to both  $g^\circ$  boxes.

$$\left\{ \begin{array}{l} (\mathbb{X} \otimes_N \mathbb{F})^\circ := \begin{matrix} N \\ A^\circ \\ X^\circ \end{matrix} \xrightarrow{\quad g \circ \quad} \boxed{g} \xrightarrow{\quad M \\ B^\circ \\ X^\circ \quad} \\ (\mathbb{X} \otimes_N \mathbb{F})^+ := \mathbb{X}^+ \otimes_M \mathbb{F}^+ \end{array} \right.$$

# FEEDBACK ON EFFECTFUL STREAMS

$\partial$ : Stream → Stream

$\partial(A) := (I, A^\circ, A^!, \dots)$

## THEOREM

Stream has  $\partial$ -feedback.

- feedback is defined coinductively

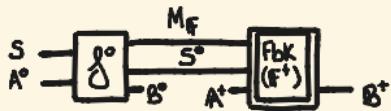
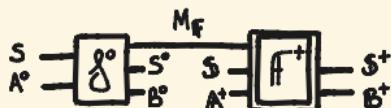
$$F : (S \cdot \partial S) \otimes A \rightarrow S \otimes B$$

$$Fbk_S F : S \cdot A \rightarrow B$$

$$M(Fbk_S^S F) := M(F) \otimes S^\circ$$

$$(Fbk_S^S F)^\circ := \emptyset^\circ$$

$$(Fbk_S^S F)^+ := Fbk_{S^+}^S (F^+)$$



# OUTLINE

- Effectful categories

- Effectful streams

[ • causal processes ]

- Mealy machines, bisimulation and traces

# STREAM COMPUTATIONS

- Sliding equivalence might be difficult to handle
- causal stream functions are old :

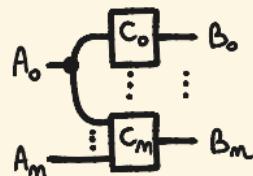
[Raney (1958)] shows that they are  
the executions of deterministic Mealy machines

⇒ is there a similar explicit form for effectful streams ?

# CAUSAL STREAM FUNCTIONS

Stream computations  $(c_m)_{m \in \mathbb{N}} : A \rightarrow B$  in a cartesian category  
are families  $c_m : A_0 \times \dots \times A_m \rightarrow B_m$ .

↪  $c_m(a_0, \dots, a_m) \in B_m$  is the output at time  $m$



reconstructs the outputs until time  $m$

# STOCHASTIC PROCESSES

Stochastic stream computations  $(p_m)_{m \in \mathbb{N}} : A \rightarrow B$

are families  $p_m : A_0 \times \dots \times A_m \longrightarrow \mathcal{D}(B_0 \times \dots \times B_m)$

such that  $p_m(a_0, \dots, a_m) = \sum_{a \in A_{m+1}} p_{m+1}(a_0, \dots, a_m, a)$ .

⇒  $p_m(a_0, \dots, a_m) \in \mathcal{D}(B_0 \times \dots \times B_m)$  is the distribution of  
the outputs until time  $m$   
↳ the outputs may be correlated

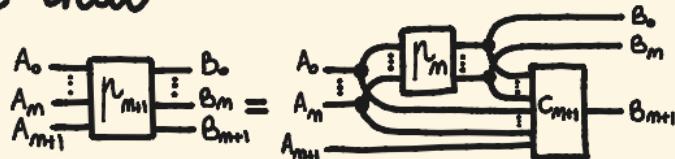
Is there a monoidal version of causal stream functions?

# CAUSAL PROCESSES

A causal process  $p: A \rightarrow B$  in a copy-discard category  $\mathcal{C}$  is a family of morphisms

$$p_m : A_0 \otimes \cdots \otimes A_m \rightarrow B_0 \otimes \cdots \otimes B_m$$

such that



for some  $C_{m+1}: B_0 \otimes \cdots \otimes B_m \otimes A_0 \otimes \cdots \otimes A_m \otimes A_{m+1} \rightarrow B_{m+1}$

→  $p_m$  determines the input-output behaviour  
until time  $m$

# COMPOSING CAUSAL PROCESSES

$\ell$  copy - discard

CONDITIONALS WITH SHARP DOMAIN

[Cho & Jacobs (2017), Kritz (2020), EDL & Román (2023)]

For all  $f: X \rightarrow A \otimes B$  there is  $c: A \otimes X \rightarrow B$  st

$$x \rightarrow \boxed{\delta} \begin{matrix} A \\ B \end{matrix} = x \rightarrow \begin{array}{c} \textcircled{g} \\ \textcircled{c} \end{array} \begin{matrix} A \\ B \end{matrix}$$

$$\begin{matrix} \wedge \\ x \end{matrix} \begin{matrix} c \\ \bullet \end{matrix} = \begin{matrix} \wedge \\ x \end{matrix} \begin{array}{c} \textcircled{c} \\ \textcircled{c} \end{array} \begin{matrix} \bullet \\ \bullet \end{matrix}$$

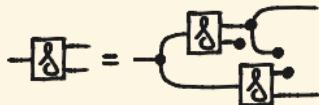
## THEOREM

Causal processes form a monoidal category  $\text{Proc}$  when  $\ell$  has conditionals with sharp domain.

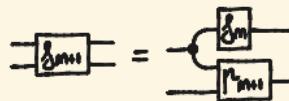
# CAUSAL PROCESSES : EXAMPLES

## CONDITIONALS

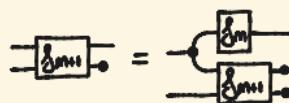
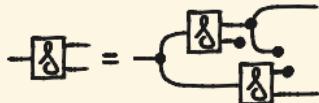
Set



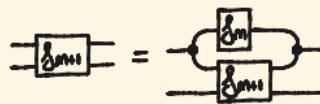
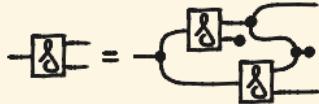
## CAUSALITY CONDITION



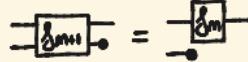
Par



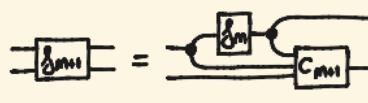
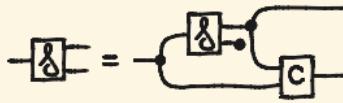
Rel



Stock



nStock

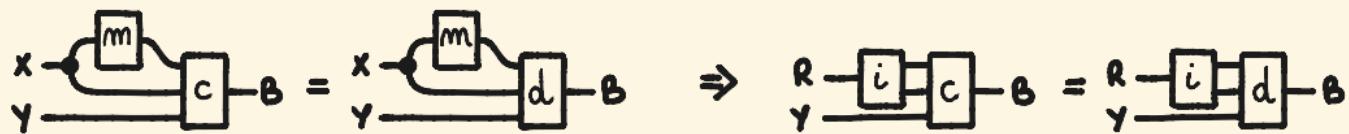
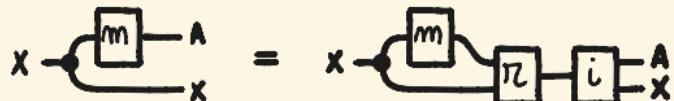


# CAUSAL PROCESSES ARE STREAMS

$\ell$  copy-discard

## RANGES

For all  $m: X \rightarrow A$  there are  $\begin{cases} r: A \otimes X \rightarrow R \\ i: R \rightarrow A \otimes X \end{cases}$  deterministic total



## THEOREM

Consider  $(\text{funcl}, \text{tot } \ell, \ell)$ .

If  $\ell$  has quasi-total conditionals and ranges,  
 $\text{Proc} \simeq \text{Stream}$ .

# OUTLINE

- Effectful categories

- Effectful streams

- causal processes

- Mealy machines, bisimulation and traces

# MEALY MACHINES AND COALGEBRAS

Two faces of Mealy machines

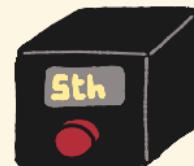
$$M \times A \rightarrow D(M \times B)$$

$$M \rightarrow D(M \times B)^A$$

$$M \times A \rightarrow D_c(M \times B)$$

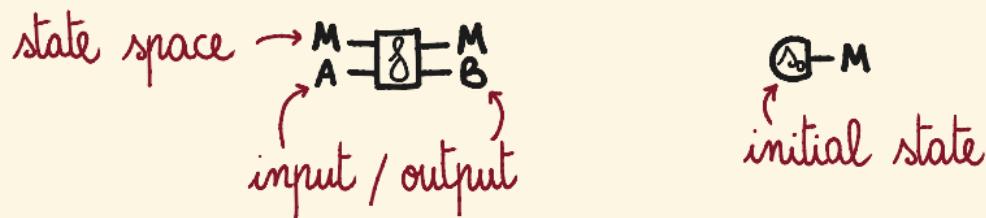
$$M \rightarrow D_c(M \times B)^A$$

$$\begin{array}{c} M \\ A = \boxed{\delta} = B \end{array}$$



# MEALY MACHINES

Systems are  $f: M \otimes A \rightarrow M \otimes B$  with  $s_0: I \rightarrow M$



- native sequential and parallel compositions
- parametric in the underlying process theory
- premonoidal categories for global effects

~ what is their behaviour?  
when are two of them equivalent?

[cf. Katis, Sabadini, Walters 1997]

# COALGEBRAIC SEMANTICS

Systems are coalgebras  $f : M \rightarrow F(M)$

input/output

$$M \rightarrow (M \times B)^A$$

non-determinism

$$M \rightarrow P(M \times B)$$

- bisimulation is equality in the final coalgebra

→ how do these compose?

how to change the underlying process theory?

# EFFECTFUL TRACE SEMANTICS

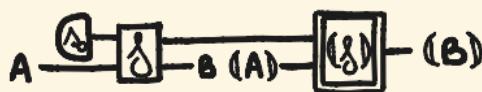
Fix a theory of programs.

effectful Mealy machines



free construction  
~ syntax

effectful streams



coalgebraic construction  
~ semantics

# EFFECTFUL MEALY MACHINES

a Mealy machine  $(f, M, s_0) : A \rightarrow B$  in  $(\mathcal{V}, \mathcal{L}, \mathcal{C})$   
is a morphism

$$f : M \otimes A \rightarrow M \otimes B$$

$$\begin{array}{c} M \\ A \xrightarrow{f} B \end{array}$$

with an initial state

$$s_0 : I \rightarrow M$$

$$\begin{array}{c} \otimes \\ I \xrightarrow{s_0} M \end{array}$$

ex  $(\text{cSet}, \text{Rel}_{\text{tor}}, \text{Rel})$

$$\left\{ \begin{array}{l} f : M \times A \rightarrow P(M \times B) \\ s_0 \subseteq M \end{array} \right.$$

ex  $(\text{cSet}, \text{Stoch}, \text{Stoch})$

$$\left\{ \begin{array}{l} f : M \times A \rightarrow \mathcal{D}(M \times B) \\ s_0 \in \mathcal{D}(M) \end{array} \right.$$

[cf. Katis, Sabadini, Walters (1997); EDL, Giamola, Román, Sabadini, Sobociński (2022)]

# MORPHISMS OF MEALY MACHINES

A morphism of Mealy machines  $u: (f, M, s_0) \rightarrow (g, N, t_0)$   
is a value morphism  $u: M \rightarrow N$  in  $\mathcal{U}$

such that

$$\begin{array}{c} M \\ \xrightarrow{\quad s \quad} \\ A \end{array} \xrightarrow{u} \begin{array}{c} N \\ \xrightarrow{\quad t \quad} \\ B \end{array} = \begin{array}{c} M \\ \xrightarrow{\quad u \quad} \\ A \end{array} \xrightarrow{g} \begin{array}{c} N \\ \xrightarrow{\quad t_0 \quad} \\ B \end{array}$$
$$\begin{array}{c} M \\ \xrightarrow{\quad s_0 \quad} \\ A \end{array} \xrightarrow{u} N = \begin{array}{c} N \\ \xrightarrow{\quad t_0 \quad} \\ B \end{array}$$

ex ( $\text{Set}$ ,  $\text{Rel}_{\text{tot}}$ ,  $\text{Rel}$ )

$$\forall s \in M \quad \forall a \in A \quad \forall t \in N \quad \forall b \in B$$

$$(t, b) \in g(u(s), a) \Leftrightarrow \exists s' \in M \quad u(s') = t \wedge (s', b) \in f(s, a)$$

ex ( $\text{Set}$ ,  $\text{Stoch}$ ,  $\text{Stoch}$ )

$$\forall s \in M \quad \forall a \in A \quad \forall t \in N \quad \forall b \in B$$

$$g(t, b | u(s), a) = \sum_{s': u(s') = t} f(s', b | s, a)$$

# EFFECTFUL CATEGORY OF MEALY MACHINES

Mealy is an effectful category where

- objects are the objects of  $\mathcal{C}$
- morphisms  $(f, M, s) : A \rightarrow B$  are Mealy machines quotiented by value isomorphisms  $u : M \xrightarrow{\cong} N$

$$\begin{array}{c} M \\ \text{---} \\ A \end{array} \xrightarrow{\quad u \quad} \begin{array}{c} N \\ \text{---} \\ B \end{array} = \begin{array}{c} M \\ \text{---} \\ A \end{array} \xrightarrow{\quad u \quad} \begin{array}{c} g \\ \text{---} \\ B \end{array}$$

$$\begin{array}{c} \text{---} \\ \text{---} \end{array} \xrightarrow{\quad u \quad} \begin{array}{c} N \\ \text{---} \\ \text{---} \end{array} = \begin{array}{c} \text{---} \\ \text{---} \end{array} \xrightarrow{\quad u \quad} \begin{array}{c} N \\ \text{---} \\ \text{---} \end{array}$$

- composition tensors the state spaces  $\rightsquigarrow$  local states

$$\begin{array}{c} M \\ \text{---} \\ N \\ \text{---} \\ A \end{array} \xrightarrow{\quad g \quad} \begin{array}{c} M \\ \text{---} \\ N \\ \text{---} \\ C \end{array} \quad \begin{array}{c} \text{---} \\ \text{---} \end{array} \xrightarrow{\quad g \quad} \begin{array}{c} M \\ \text{---} \\ N \\ \text{---} \\ C \end{array}$$

# MEALY MACHINES ARE FREE

$\mathcal{S} := \text{ptcl}_{\text{iso}}$

## THEOREM

Mealy is the free pointed-feedback category over  $\text{cl}$ .

$$\text{Mealy}(A, B) = \int^{\mathbb{P}(\lambda_0, M) \in \text{ptcl}_{\text{iso}}} \text{cl}(M \otimes A, M \otimes B)$$



[cf. Katis, Sabadini, Walters (1997); EDL, Gianola, Román, Sabadini, Sobociński (2022)]

# COALGEBRAIC BISIMULATION

A bisimulation is a span of coalgebras.

$$\begin{array}{ccccc} M & \xleftarrow{\pi_1} & R & \xrightarrow{\pi_2} & N \\ \delta \downarrow & & \downarrow \alpha & & \downarrow g \\ F(M) & \xleftarrow[F(\pi_1)]{} & F(R) & \xrightarrow[F(\pi_2)]{} & F(N) \end{array}$$

THEOREM [Rutten (2000)]

When  $F: \text{Set} \rightarrow \text{Set}$  preserves weak pullbacks,  
bisimilarity is an equivalence relation.

[Aczel & Mendler (1989), Rutten (2000)]

# BISIMULATION

For two effectful Mealy machines  $(f, M, s), (g, N, t) : A \rightarrow B$ ,  
a bisimulation is a sequence of spans of morphisms.

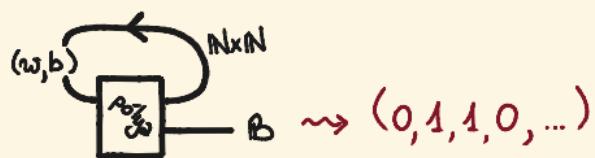
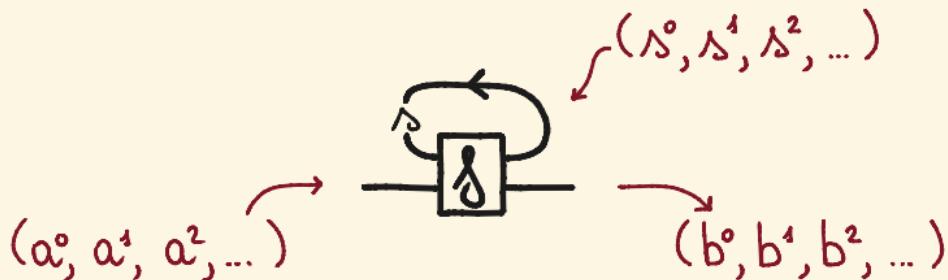
$$(f, M, s) \xleftarrow{u_1} (h_1, R_1, \pi_1) \xrightarrow{\pi_1} (f_1, M_1, s_1) \xleftarrow{u_2} \dots \xleftarrow{u_m} (h_m, R_m, \pi_m) \xrightarrow{\pi_m} (g, N, t)$$

## PROPOSITION

When  $\mathcal{C} = \text{Kl}(T)$ , for a commutative monad  $T$  preserving weak pullbacks, effectful bisimulation coincides with coalgebraic bisimulation.

ex cSet, Par, Rel, cStoch, ncStoch

# EXECUTING MEALY MACHINES



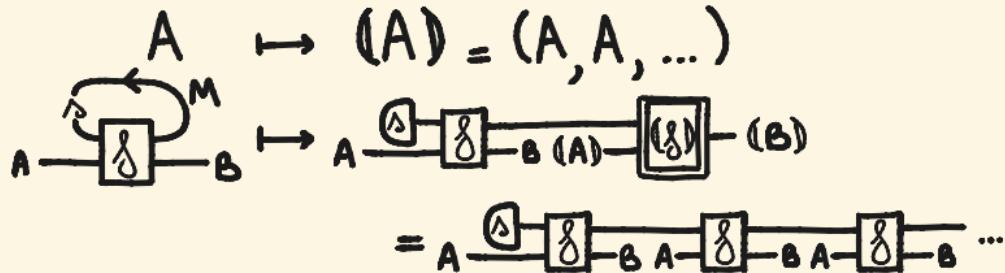
~ what should the semantic universe be?  
when do two Mealy machines have the same executions?

# COMPOSITIONAL TRACE SEMANTICS

## THEOREM

There is an effectful functor

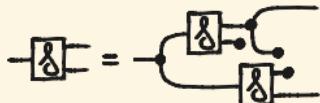
$$\text{Tr} : \text{Mealy} \rightarrow \text{Stream}$$



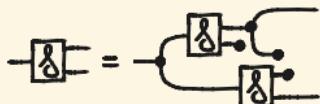
# TRACES ARE EFFECTFUL TRACES

## CONDITIONALS

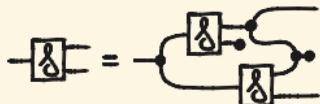
Set



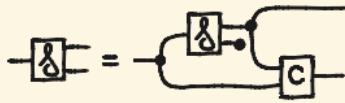
Par



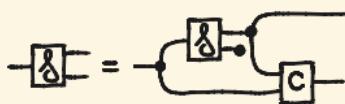
Rel



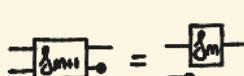
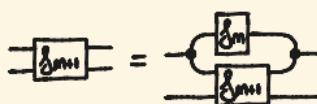
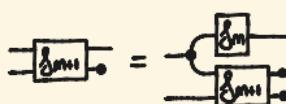
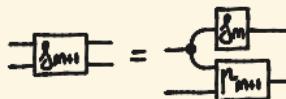
Stock



nStock



## CAUSALITY CONDITION



## TRACE PREDICATE

$$\Delta_0 = \Delta \wedge \forall i \leq n \\ (\delta_{i+1}, b_i) = f(\delta_i, a_i)$$

$$\Delta_0 = \Delta \wedge \forall i \leq n \\ (\delta_{i+1}, b_i) = f(\delta_i, a_i)$$

$$\exists \Delta_0, \dots, \Delta_m \Delta_0 \in \Delta \\ \wedge \forall i \leq n (\delta_{i+1}, b_i) \in f(\delta_i, a_i)$$

$$\sum_{\Delta_0, \dots, \Delta_m} s(\Delta_0) \\ \cdot \prod_{i \leq m} f(\delta_{i+1}, b_i | \Delta_i, a_i)$$

$$\sum_{\Delta_0, \dots, \Delta_m} s(\Delta_0) \\ \cdot \prod_{i \leq m} f(\delta_{i+1}, b_i | \Delta_i, a_i)$$

# TRACE IS UNIVERSAL

## THEOREM

The trace functor  $\text{Tr} : \text{Mealy} \rightarrow \text{Stream}$   
is the unique feedback effectful functor  
determined by freeness of Mealy.

FBR $\mathbb{E}\text{ffCat}$

$$\text{Mealy}(-) \downarrow \begin{array}{c} \text{EffCat} \\ \parallel \\ \text{Mealy}_{(v,z,e)} / \text{bisim} \end{array} \Rightarrow \exists! \text{ Tr} : \text{Mealy}_{(v,z,e)} \rightarrow \text{Stream}_{(v,z,e)}$$

## COROLLARY

Bisimilarity implies trace equivalence.

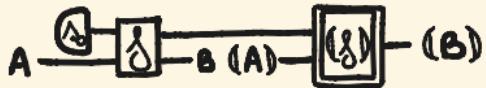
# SUMMARY

effectful Mealy machines



↓ trace

effectful streams



≈ causal processes

free construction  
~ syntax

↓  $\exists!$

coalgebraic construction  
~ semantics

# FUTURE WORK

- Adding choice, iteration and higher-order
- coinduction up-to dinaturality
- Distributive law ?
- Linear temporal logic
- Behavioural metrics

$$\boxed{g^\circ} - \boxed{f^+} = \boxed{g^\circ} - \boxed{n} - \boxed{f^+} \sim \boxed{g^\circ} - \boxed{n} - \boxed{f^+} = \boxed{g^\circ} - \boxed{g^+}$$