

# An Empirical Comparison of Deep Learning and 3DMM-Based Approaches for Facial Occlusion Segmentation

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# Overview

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- > Motivation
- > Occlusion Segmentation with an FCN
- > Experiment: Segmentation Quality
  - > Qualitative segmentation results
  - > Quantitative segmentation results
- > Experiment: Fitting under occlusion
- > Application to real-life data and conclusion
- > Future Work

# The Goal

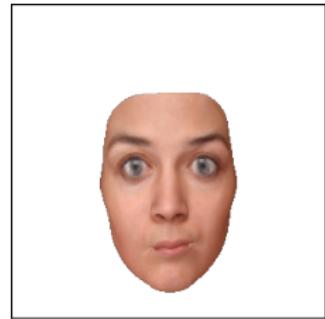
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(a) original



(b) mask



(c) resulting fit

Figure: Fitting with a perfect mask

# The Problem (Segmentation under Occlusion)

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(a) original



(b) resulting fit

Figure: Fitting without a mask

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# Convolutional Neural Network (CNN)

- > Artificial Neural Network
- > fully connected layers at the end
- > makes decisions based on global information

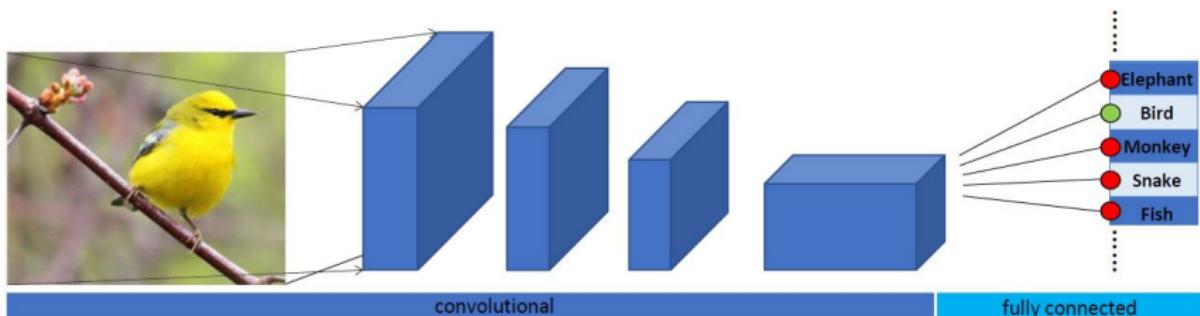


Figure: A classical neural network

# Fully Convolutional Network (FCN)

- > without fully connected layers at the end
- > makes decisions based on spatial information

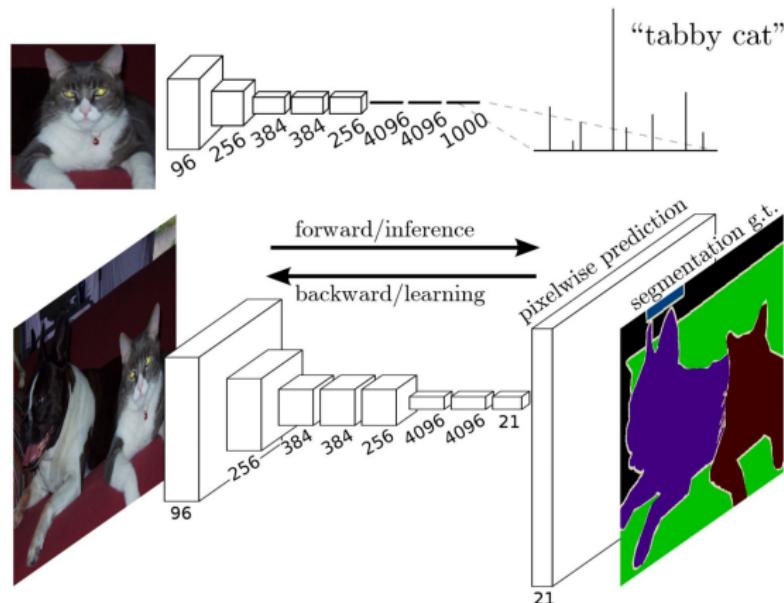


Figure: The transformation from a CNN into a FCN

# Training of the FCN

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- > The FCN was trained by Nirkin et al.
- > They used the well known FCN-8s-VGG network
- > Training Data: Frames of Videos
- > Frame used multiple times (synthetic occlusions)
- > Total: 9'818 facial images



**Figure:** Two images used for training. They are overlaid them with an synthetic occlusion.

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# Qualitative Evaluation on the COFW Dataset

- > Caltech Occluded Faces in the Wild
- > used of Nirkin et al themselves



(a) Six segmentations of the FCN



(b) Six segmentations of the approach of Egger et al

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# Evaluation on the Parts-LFW Dataset (1)

- > Labeled Faces in the Wild
- > ground truth labels were provided
- > Difficult question: What is an occlusion?



(a) The desired ground truth labels



(b) Face and skin have same label → False negatives



(c) Facial hair is an occlusion → false positives (hair)

## Evaluation on the Parts-LFW Dataset (2)

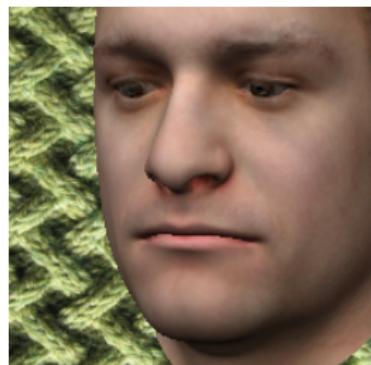
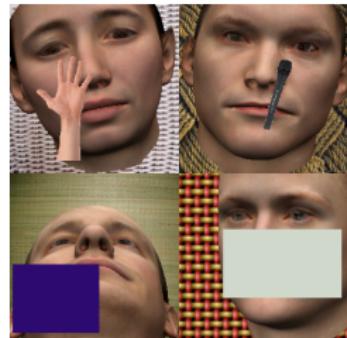
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- › statistics with simple pixelwise comparison
- › same label for skin parts and face (false negatives)

false-positives (hair)	7.04%
false-positives (background)	0.68%
false-negatives (background)	14.13%
right-segmentations	85.87%
right non-segmentations	99.32%

# Evaluation on Synthetic Data (1)

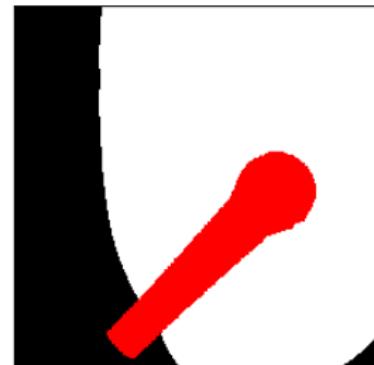
- › Known ground truth labels (c)
- › Control of every rotation
- › Control of the occluded amount



(a) A synthetic face



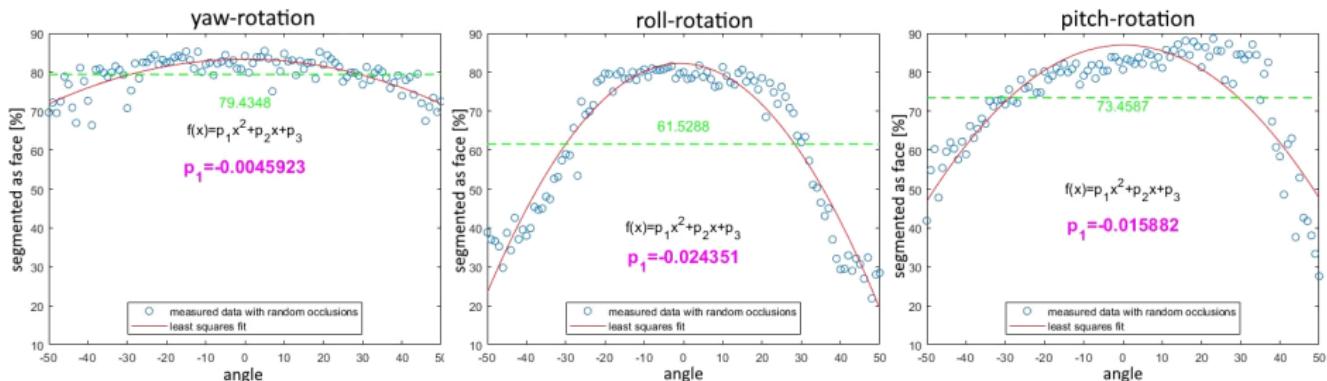
(b) A synthetic face  
with an occlusion



(c) The ground truth  
mask of (b)

## Evaluation on Synthetic Data (2)

- > Segmentation is very sensitive to the roll rotation
- > Pitch is asymmetric (better recognizable with high angles)
- > Yaw rotation has little effect on the segmentation



**Figure:** Plot for every rotation (yaw, roll, pitch). Quadratic fit (red curve/ $f(x)$ ). Average (green). The data for the plot consisted of synthetic facial images with microphones and hands as occlusions.

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# Fitting with the FCN segmentation (1)

		ground truth mask	Egger	FCN	no mask
z-labels					
fits					

## Fitting with the FCN segmentation (2)

- > Calculation of the error (in  $\sigma$ ) in each iteration
- > In each parameter-space, we used 50 dimensions
- > Average over 10 images (only with hands as occlusions)
- > In each iteration, 1000 samples were drawn

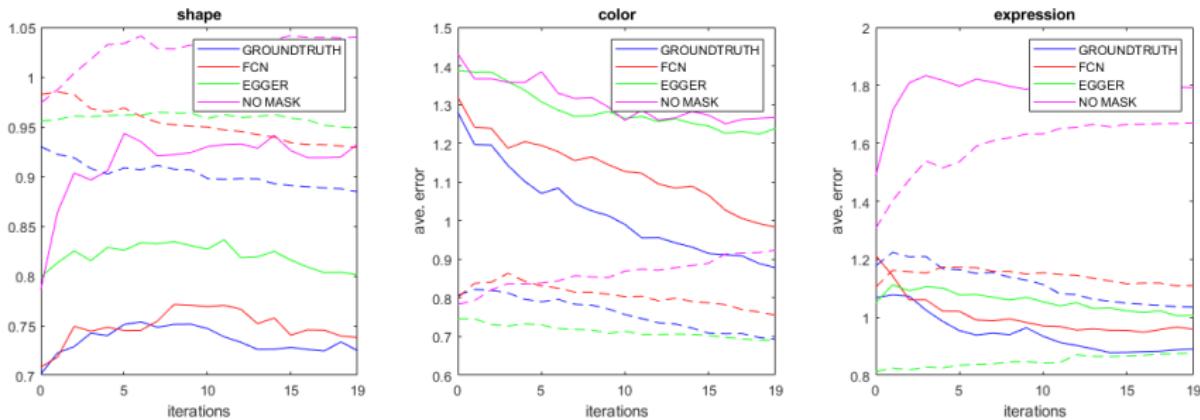
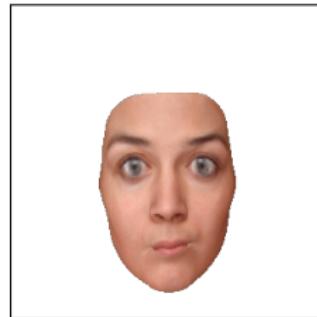
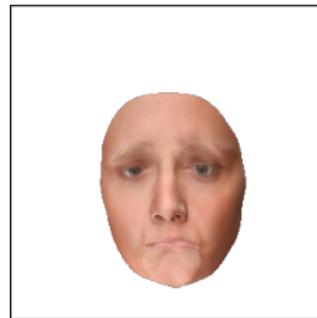


Figure: The average error of the first five (most important) 3DMM parameters is shown with a solid line. The average other 45 parameters is shown with a dashed line.

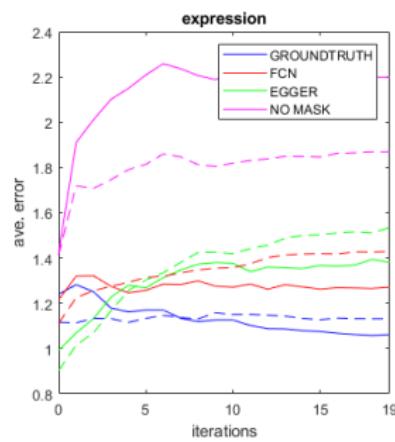
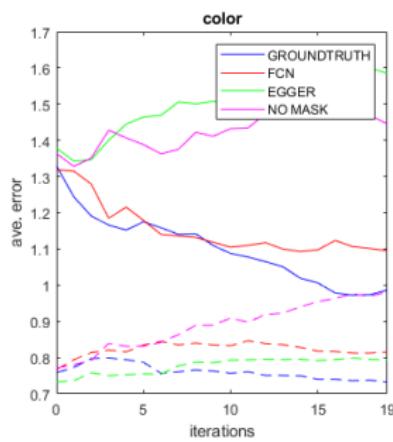
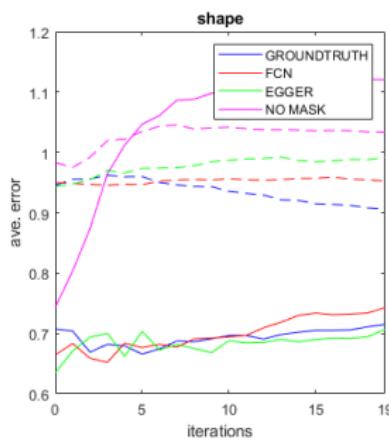
# Oversegmentation of EGGER



**Figure:** Fitting with occlusion-aware masks. Mask of Egger et al (top row). Mask of the fully convolution network used in this thesis (bottom row).

## Fitting with the FCN segmentation (3)

- > Biggest weakness of Egger et al's approach: Oversegmentation
- > How can we spread between (FCN and EGGER) bigger?
- > Synthetic faces with both, the 'face12' and the 'bfm' version



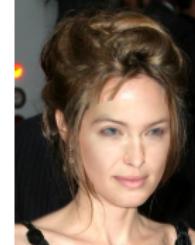
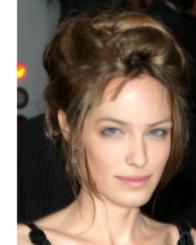
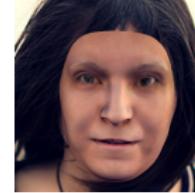
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# Real-Life data

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	masks		fits	
original	EGGER	FCN	EGGER	FCN
				
				

# Conclusion

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	advantages	disadvantages
FCN	<ul style="list-style-type: none"><li>➢ Does not oversegment</li><li>➢ Segments only facepixels</li><li>➢ Detects known occlusions</li></ul>	<ul style="list-style-type: none"><li>➢ Poor detection of thin and new occlusions</li><li>➢ Fitting not much faster</li><li>➢ Very sensitive to the rotation of the face</li></ul>
EGGER	<ul style="list-style-type: none"><li>➢ Looks at each pixel individually, therefore can detect any occlusion</li><li>➢ Stable on real-life data</li></ul>	<ul style="list-style-type: none"><li>➢ oversegments</li><li>➢ excludes important details on the mask</li><li>➢ computes the mask iteratively</li></ul>

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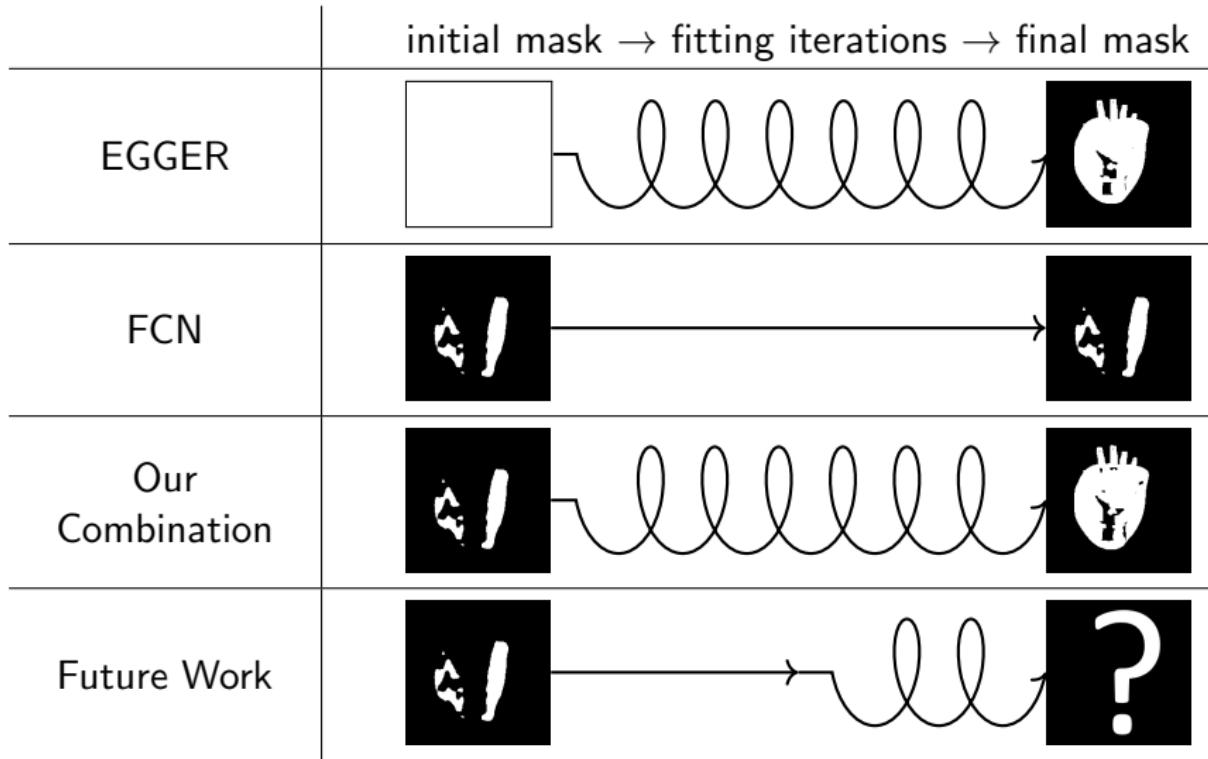
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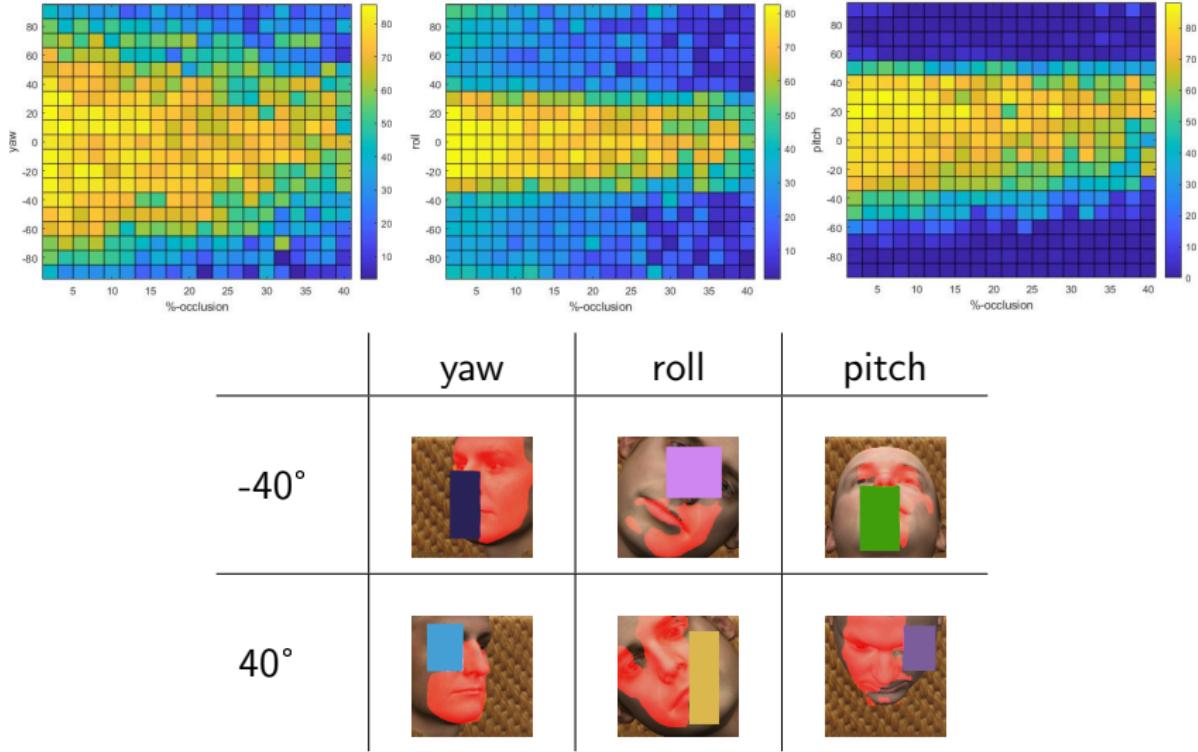
## Future Work: Combination of both masks



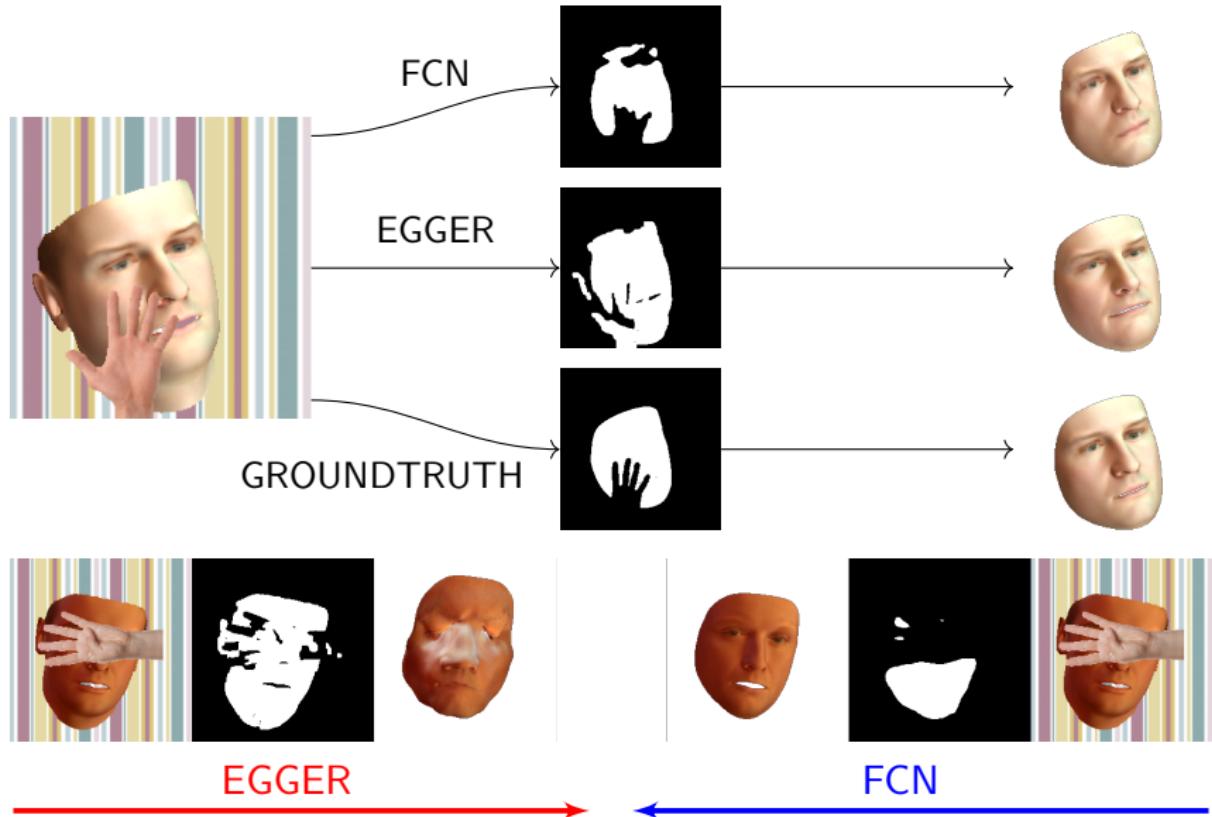
Questions?

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# Dependence of the Degree of Occlusion



# Wrong Color Choice with the Mask of Egger et al



## Related Work (Nirkin et al: On Face Segmentation, Face Swapping, and Face Perception)

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- > Widespread artificial neural networks can be used for face segmentation
- > intra-subject swapped faces remain as recognizable as their sources
  - (a)
  - > less recognizable inter-subject results (b)



(a) Example of Intra-Subject swapping



(b) Example of Inter-Subject swapping