

# Learning latent space representations and application to image generation

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# Problems when training the Vanilla GAN

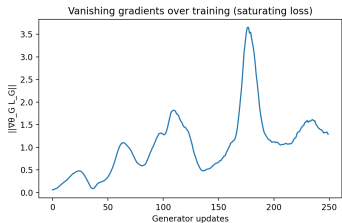
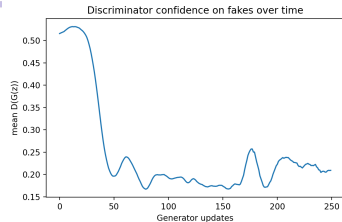
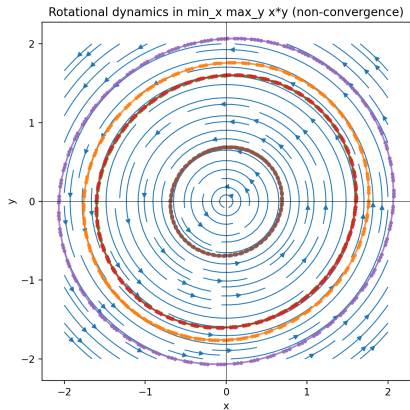


Figure: Vanilla GAN pathologies on MNIST: (left) non-convergence; (top-right) discriminator confidence; (bottom-right) generator gradient norms

## Changing the divergence to Wasserstein metric

WGAN replaces the divergence with the Earth Mover distance, using the Kantorovich–Rubinstein dual

$$\mathcal{W}_1(p_{\text{data}}, p_g) = \sup_{\|f\|_L \leq 1} \mathbb{E}_{x \sim p_{\text{data}}} [f(x)] - \mathbb{E}_{x \sim p_g} [f(x)],$$

which yields smooth, informative gradients even when supports are disjoint and induces a weaker topology (distributions converge more easily). Practically, we (i) change the losses to

$$\mathcal{L}_D = \mathbb{E}[f(G(z))] - \mathbb{E}[f(x_{\text{real}})] \quad \text{and} \quad \mathcal{L}_G = -\mathbb{E}[f(G(z))],$$

- (ii) enforce 1-Lipschitzness of the critic via weight clipping, a gradient penalty or spectral normalization, and
- (iii) use a few more critic steps per generator update.

# Approach 1: Weight Clipping (Hard Bounds)

## Clipping saturation

We pick the smallest  $c$  that yields :

1. a non-trivial Wasserstein estimate  $\hat{W} = \mathbb{E}[D(x_{\text{real}})] - \mathbb{E}[D(G(z))]$  (not  $\approx 0$ ),
2. stable losses (no exploding spikes),
3. low clipping saturation (20%–30% of weights at  $\pm c$  over many steps).

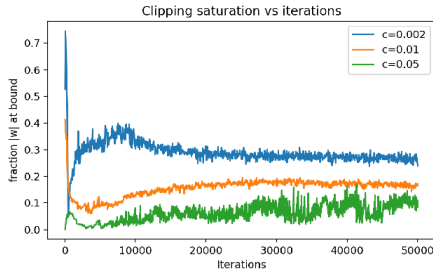


Figure: Clipping saturation versus training iterations.

## Approach 2: Gradient Penalty (Soft Constraint)

WGAN-GP replaces clipping with a *gradient penalty* that directly encourages the critic to have gradient norm 1 with respect to its input:

$$L_D = \mathbb{E}_{\tilde{x} \sim p_g} [f_\psi(\tilde{x})] - \mathbb{E}_{x \sim p_{\text{data}}} [f_\psi(x)] + \lambda \mathbb{E}_{\hat{x}} \left( \|\nabla_{\hat{x}} f_\psi(\hat{x})\|_2 - 1 \right)^2,$$
$$L_G = -\mathbb{E}_z [f_\psi(G_\theta(z))],$$

where  $\hat{x} = \epsilon x + (1 - \epsilon)\tilde{x}$ ,  $x \sim p_{\text{data}}$ ,  $\tilde{x} \sim p_g$ , and  $\epsilon \sim \mathcal{U}[0, 1]$ .

## Approach 3: Spectral Normalization

Spectral normalization (SN) is another way to approximately enforce the 1-Lipschitz constraint on the critic. Instead of constraining the *outputs* of  $f_\psi$  through a penalty term, SN directly rescales each weight matrix so that its largest singular value (its *spectral norm*) is equal to 1.

For a weight matrix  $W$ , spectral normalization replaces it by

$$\bar{W} = \frac{W}{\sigma(W)}, \quad (1)$$

where  $\sigma(W)$  is the spectral norm of  $W$ .

# Gaussian Mixtures: A Better Latent Prior

- Standard WGAN uses  $z \sim \mathcal{N}(0, I)$ : **unimodal** and poorly matched to multi-modal data (digits, classes, poses).
- Replace it with a Gaussian Mixture:

$$z \sim \sum_{k=1}^K \pi_k \mathcal{N}(z \mid \mu_k, \Sigma_k)$$

- **Intuition:**
  - multiple “entry points” in latent space
  - each mode can specialize (digit type, shape, style)
  - reduces mode collapse by construction
  - critic receives more diverse samples  $\rightarrow$  smoother training
- GMM aligns the latent geometry with the natural multi-modality of  $p_{\text{data}}$ .

# cWGAN: Adding Conditional Structure

- Make generator and critic conditional:

$$G(z, y), \quad f_{\psi}(x, y)$$

where  $y$  = label, mixture index, or attribute.

- **Why it helps:**
  - critic compares real/fake **within each class**
  - generator no longer needs to discover classes by itself
  - reduces “global” Wasserstein difficulty into simpler subproblems
  - faster convergence, more coherent samples
- The WGAN-GP loss becomes:

$$\mathbb{E}[f(x, y)] - \mathbb{E}[f(G(z, y), y)] + \lambda(\|\nabla f\| - 1)^2$$



# Putting it Together: GMM + cWGAN-GP

- Use a Gaussian Mixture prior *and* conditionality:

$$z \sim \sum_k \pi_k \mathcal{N}(z | \mu_k, \Sigma_k), \quad G(z, y), f(x, y)$$

- **Two layers of structure:**
  - **Implicit structure (GMM):** helps generator explore multiple modes  
→ reduces collapse, improves diversity
  - **Explicit structure (conditioning):** organizes samples inside each mode  
→ sharper, more coherent outputs
- Result: more stable critic, better mode coverage, higher-quality images.

# Last step: Discriminator Rejection Sampling

**Goal:** Improve W-GAN sample quality using discriminator-based rejection sampling.

## Key Idea

- Generator = proposal distribution  $p_g(x)$
- Discriminator approximates density ratio:

$$\frac{p_d(x)}{p_g(x)} \approx e^{\tilde{D}(x)}$$

## Our Procedure

- Estimate maximum logit  $\tilde{D}_M$
- Compute:

$$\hat{F}(x) = \tilde{D}(x) - \tilde{D}_M - \log\left(1 - e^{\tilde{D}(x) - \tilde{D}_M - \varepsilon}\right)$$

- Since tuning was unreliable, we fixed the acceptance rate to  $\approx 20\%$

# Conclusion

- From Vanilla GANs to WGAN-GP: progressively improved stability and gradient quality.
- WGAN-GP: better mode coverage and more reliable training than WGAN with weight clipping.
- Spectral Normalization: faster training, but weaker performance than gradient penalty.
- Gaussian Mixture priors: conceptually promising but offered no measurable improvement in practice.
- Conditional WGANs: struggled to generate coherent samples and introduced convergence difficulties when combined with GMMs.
- Discriminator Rejection Sampling (DRS): effective post-processing to filter low-quality samples.

## Performance of our models

| model         | time(s) | FID | accuracy | recall |
|---------------|---------|-----|----------|--------|
| VanGAN        | -       | -   | 0.52     | 0.23   |
| WGAN-WC       | -       | -   | 0.5      | 0.27   |
| WGAN-GP       | 77      | 45  | 0.53     | 0.29   |
| WGAN-SN (DRS) | 105     | 52  | 0.5      | 0.44   |
| WGAN-GP (DRS) | 240     | 62  | 0.67     | 0.62   |

Table: Results

# Reference

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