### 60 Days of LLM Development from Scratch

### Day 2: Fine-Tuning vs. Pre-Training & Tokenization for LLM

#### Elias Hossain

### **Graduate Student, Mississippi State University**

Email: elias.hossain191@gmail.com

On Day 2, we will focus on the differences between fine-tuning and pre-training and explore tokenization and preprocessing for training LLMs.

# Fine-Tuning vs. Pre-Training: Theoretical Understanding

### What is Pre-Training?

Pre-training is the process of training a language model on a large corpus of text data using self-supervised learning. The goal is to create a generalized model that understands language patterns, semantics, and syntax.

## Key Characteristics of Pre-Training:

- ✓ Uses unsupervised/self-supervised learning (e.g., predicting masked words in BERT, next token prediction in GPT).
- ✓ Trained on massive datasets (e.g., Common Crawl, Wikipedia, BooksCorpus).
- ✓ Produces a foundational model that can be adapted for multiple NLP tasks.
- ✓ Requires high computational resources (TPUs, GPUs).

## Examples of Pre-Trained LLMs:

- ✓ BERT (Bidirectional Encoder Representations from Transformers) Trained on masked language modeling (MLM).
- ✓ GPT (Generative Pre-trained Transformer) Trained on causal language modeling (CLM).
- ✓ T5 (Text-to-Text Transfer Transformer) Trained for text generation and NLP tasks.

## What is Fine-Tuning?

Fine-tuning is the process of adapting a pre-trained model to a specific NLP task (e.g., sentiment analysis, question-answering). Instead of training a model from scratch, fine-tuning modifies the pre-trained model's weights using labeled data.

### Key Characteristics of Fine-Tuning:

- ✓ Uses supervised learning (with labeled task-specific datasets).
- ✓ Requires fewer resources than pre-training.
- ✓ Fine-tuned models inherit knowledge from pre-trained models but adapt to a domain-specific use case.
- ✓ Can be done using techniques like LoRA (Low-Rank Adaptation), Prefix Tuning, and Prompt Tuning.

### **Examples of Fine-Tuned Models:**

- ✓ BERT fine-tuned for Named Entity Recognition (NER)
- ✓ GPT fine-tuned for Code Generation (Codex, GPT-4 Code Interpreter)
- ✓ T5 fine-tuned for Summarization (e.g., news summarization tasks)

## When to Use Pre-Training vs. Fine-Tuning?

Scenario	Pre-Training?	Fine-Tuning?
You want a general-purpose LLM	✓ Yes	× No
You have a task-specific dataset (e.g., legal, medical)	X No	✓ Yes
You have a large corpus but no labeled data	✓ Yes	X No
You need a custom model for a business problem	X No	✓ Yes

## Tokenization and Preprocessing for LLMs

Before training or fine-tuning a Large Language Model (LLM), the raw text data must be converted into a numerical format that the model can process. This involves tokenization, handling special tokens, padding, and truncation.

### **Understanding Tokenization**

Tokenization is the process of breaking down text into smaller units (tokens) that a model can understand. Tokens can be words, subwords, or even individual characters.

### **Types of Tokenization**

#### 1. Word-Level Tokenization

- Splits text into individual words.
- o Example: "Large Language Models are powerful!"

```
→ ["Large", "Language", "Models", "are", "powerful", "!"]
```

 Limitations: Fails with Out-of-Vocabulary (OOV) words and cannot handle morphological variations effectively.

### 2. Subword Tokenization (Most Common in LLMs)

Splits text into meaningful subwords to balance efficiency and vocabulary size such as:

- o Byte Pair Encoding (BPE) Used in GPT, OpenAI models.
- **o** WordPiece Tokenization Used in BERT, RoBERTa.
- o Unigram Tokenization Used in T5, mBART.
- Example: "Transformer" → ["Trans", "##former"]
  "Unbelievable" → ["Un", "##believ", "##able"]

### 3. Character-Level Tokenization

Splits text into individual characters.

- Example: "hello"  $\rightarrow$  ["h", "e", "l", "o"]
- o Used in: Low-resource languages, Speech-to-text and text-to-image applications.

### Special Tokens in LLMs

Modern LLMs require **special tokens** to structure input properly. These tokens help the model understand text boundaries, separate input segments, and apply masking strategies.

Token	Description	Example
[CLS]	Start of the input sequence	BERT, RoBERTa
[SEP]	Separates two text segments	BERT, T5
[MASK]	Used for masked language	BERT
	modeling	

[PAD]	Padding token for batch processing	GPT, BERT
< <sub>S</sub> > <sub S>	Sentence start and end tokens	GPT, T5

Example: Text: "Machine learning is amazing!"

Tokenized: ["[CLS]", "Machine", "learning", "is", "amazing", "!", "[SEP]"]

## Preprocessing Steps for LLMS

Step 1: Text Cleaning and Normalization

Step 2: Tokenization

Step 3: Convert Tokens to IDs

Step 4: Padding & Truncation

## Key Takeaways

- ✓ Tokenization converts text into numerical form for processing.
- ✓ Subword tokenization (BPE, WordPiece) is commonly used in LLMs.
- ✓ Special tokens ([CLS], [SEP], [MASK]) are essential for structured input.
- ✓ Padding and truncation ensure consistent input lengths for training.

Next Step: Implementing Tokenization in Code (Day 3 - Hands-on)