DUMMY COVER LETTER

1 Synaptic Activity Regulates AMPA Receptor Trafficking Through

2 Different Recycling Pathways

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Abstract

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20 Changes in glutamatergic synaptic strength in brain are dependent on AMPA-type 21 glutamate receptor (AMPAR) recycling, which is assumed to occur through a single local 22 pathway. Here we present evidence that AMPAR recycling occurs through different 23 pathways regulated by synaptic activity. Without synaptic stimulation, most AMPARs 24 recycled in dynamin-independent endosomes containing the GTPase, Arf6. Few AMPARs 25 recycled in dynamin-dependent endosomes labeled by transferrin receptors (TfRs). 26 AMPAR recycling was blocked by alterations in the GTPase, TC10, which co-localized 27 with Arf6 endosomes. TC10 mutants that reduced AMPAR recycling had no effect on 28 increased AMPAR levels with long-term potentiation (LTP) and little effect on decreased 29 AMPAR levels with long-term depression. However, internalized AMPAR levels in 30 TfR-containing recycling endosomes increased after LTP, indicating increased AMPAR 31 recycling through the dynamin-dependent pathway with synaptic plasticity. LTP-induced 32 AMPAR endocytosis is inconsistent with local recycling as a source of increased surface 33 receptors, suggesting AMPARs are trafficked from other sites.

- 36 NMDA- and AMPA-type glutamate receptors (NMDARs/AMPARs) are the major
- 37 excitatory synaptic receptors in brain. They are held at post-synaptic densities (PSDs) by
- 38 scaffold proteins aligning the receptors with the presynaptic glutamate release sites.
- 39 Changes in synaptic strength, such as long-term potentiation (LTP), long-term depression
- 40 (LTD)[1] and homeostatic plasticity [2], largely reflect the number of functional synaptic
- 41 AMPARs. AMPAR internalization and recycling regulates AMPAR levels at synapses.
- 42 Other processes, including diffusion of extrasynaptic AMPARs outside PSDs, association
- 43 and dissociation of AMPARs with PSDs and the number of "slots" that AMPAR can
- occupy in PSDs [1,3-6], also contribute to setting AMPAR levels at PSDs [7].
- During synaptic stimulation, "constitutive" AMPAR recycling is increased several-fold to
- 46 become "activity-dependent" AMPAR recycling [3]. AMPARs undergo endocytosis
- 47 through clathrin-coated pits during activity-dependent recycling [8,9] and before
- 48 exocytosis, traffic through the recycling endosomes identified by co-localization with
- 49 transferrin receptors (TfRs) [3] and Rab11 [10]. During LTP, recycling endosomes move
- from the dendritic shaft into synaptic spines [11] from which regulated exocytosis of
- AMPARs appears to occur. It is uncertain whether AMPARs are exocytosed outside of the
- 52 spines and traffic to PSDs via lateral diffusion [12-15] or are exocytosed at specific sites
- 53 near PSDs [16]. A minimal model of AMPAR constitutive and activity-dependent recycling
- has emerged from these and other studies (Fig. 5a). First, a single recycling pathway is
- assumed that starts at clathrin-coated pits [17], moves through recycling endosomes and
- 56 ends with exocytosis back at the plasma membrane. Second, it is assumed that AMPAR
- 57 recycling occurs locally, that is, AMPAR endocytosis and exocytosis occur at sites within
- 58 the same synaptic domain. The model predicts that during LTD AMPAR levels in recycling
- 59 endosomes and/or lysosomes at PSDs increase because endocytosis increases without
- 60 increasing exocytosis from recycling endosomes [3,18]. During LTP endocytosis is
- 61 unchanged and AMPAR levels at PSDs are predicted to increase because of their
- 62 exocytosis from recycling endosomes causing decreased levels of AMPARs in recycling
- 63 endosomes [19,20].
- 64 Many factors specifically affect AMPAR activity-dependent recycling without affecting
- 65 constitutive recycling [e.g., AP2 [21], Brag2 [22]] and vice versa [e.g., NSF [21], PIP3 [23]].
- 66 These studies suggest that AMPAR activity-dependent recycling is uncoupled from
- 67 constitutive recycling and that separate processes underlie the two types of AMPAR
- 68 recycling. Transmembrane AMPA receptor regulatory proteins (TARPs), such as
- 69 Stargazin, interact with recycling AMPARs at synapses [24,25]. TARPs interact with
- 70 <u>neuronal isoform of PDZ-protein interacting specifically with TC10 (nPIST) [26],</u>
- 71 suggesting that the Rho small G protein, TC10, might be a regulator of AMPAR recycling.
- 72 Here, we describe how TC10 knockdown and TC10 functional mutants reduce AMPAR
- 73 surface levels and synaptic currents by altering AMPAR recycling. TC10 mutants do not
- 74 alter the increases in AMPAR surface levels that occur during LTP and only partially alter

- 75 decreases in AMPAR surface levels and synaptic currents that occur during LTD. Overall,
- our findings indicate that TC10 mutants have differential effects on constitutive and
- 77 activity-dependent AMPAR recycling because AMPARs traffic through different
- 78 endocytosis pathways, and activity-dependent events alter the endocytosis pathway
- 79 taken by AMPARs. Furthermore, our findings of increased AMPAR endocytosis with LTP
- are inconsistent with the assumption that AMPAR recycling occurs locally at synapses.
- 81 Instead, AMPARs added to LTP-stimulated synapses may be trafficked into these
- 82 synapses from outside the local synaptic pool.

Results

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Disrupting TC10 expression or function reduced the number of AMPARs at the cell

85 **surface**

- We first examined whether the small GTPase, TC10, had a role in AMPAR trafficking by
- 87 knocking down TC10 expression. Knock-down was achieved using a short hairpin RNA
- 88 construct (shRNA) that expressed a GFP reporter to identify neurons with the shRNA.
- 89 Using real-time PCR, we observed that endogenous TC10 mRNA was reduced by 90% in
- 90 cortical neurons (Figure 1-figure supplement 1). Neurons were co-transfected with
- 91 AMPAR subunits, GluA1, with a fluorescent mCherry tag at the extracellular N-terminus
- 92 (mCherry-GluA1) to simultaneously monitor GluA1 surface fluorescence and total GluA1
- 93 mCherry fluorescence. We found that the ratio of cell surface to total mCherry-GluA1 was
- 94 similar from neuron to neuron (SEM = 14%). With the TC10 knockdown, the surface/total
- 95 mCherry-GluA1 ratio for neurons was significantly reduced by 79% (Fig. 1a, d) compared
- 96 to control neurons that expressed GFP without the shRNA.
- 97 The surface levels of GluA1-containing AMPARs at somata were also reduced when we
- 98 expressed TC10 mutants in the neurons. The T31N mutation or the "dominant-negative"
- 99 mutant (TC10DN) keeps TC10 in its inactive, GDP-bound state. The Q75L mutation or
- the "constitutively-active" mutant (TC10CA) is kept in its GTP-bound state [25].
- 101 Expression of wild-type TC10 (TC10WT) did not significantly alter surface levels at
- 102 somata assayed by mCherry-GluA1 transfection, whereas TC10DN and TC10CA
- mutants reduced GluA1-containing AMPAR surface levels by ~50% (Fig. 1a, b; statistical
- details in the legend; images of the corresponding whole neurons are displayed in Figure
- 105 1-figure supplement 2). While TC10 knockdown with shRNA did not alter somata
- morphology, dendritic morphology was altered and synapse numbers reduced (not
- shown). In contrast, TC10DN, TC10CA mutants and TC10WT did not alter dendrite
- morphology (not shown) or synapse number (Figure 1-figure supplement 3). In dendrites,
- 109 GluA1 surface levels were again reduced ~50% by TC10DN and TC10CA and a small but
- 110 significant increase of GluA1 surface levels (33% ± 10%) was observed with TC10WT
- 111 expression (Fig. 1A). We obtained similar results in dendrites with TC10WT, TC10DN and
- 112 TC10CA, when a GluA1-specific antibody that recognizes an extracellular epitope was

113 used to quantify the endogenous surface AMPAR levels (Fig. 1f). 114 115 We also performed paired whole cell recordings from synaptically coupled cultured 116 hippocampal neurons to assay levels of functional AMPARs at the synapses. TC10DN 117 and TC10CA reduced the synaptic AMPAR EPSC amplitudes by ~60% (Fig. 1g, h). Thus, 118 the number of functional AMPARs at synapses was reduced to approximately the same 119 extent as the total number of AMPARs on the cell surface as measured by 120 immunostaining. Our findings with immunofluorescence and electrophysiology that 121 expression of TC10DN and TC10CA in neurons caused the same effects on AMPAR 122 levels in neurons are consistent with previous studies characterizing the role of TC10 in 123 the secretory pathway. Assaying depolarization-Induced secretion of neuropeptide Y 124 (NPY) in PC12 cells, both TC10DN and TC10CA reduced secretion in the range of 40-60% 125 [27] similar to our findings with AMPARs (Fig. 1). Using a TC10 FRET sensor construct to 126 assay the whether TC10 is in the GTP-TC10 or GDP-TC10 state, they concluded that the 127 TC10 GTPase hydrolysis cycle is required for NPY secretion. TC10DN and TC10CA both 128 reduced secretion by blocking the GTP hydrolysis cycle at different steps. Similar results 129 were obtained assaying nerve growth factor (NGF)-induced neurite outgrowth in PC12 130 cells [28]. Both papers suggested that during exocytosis TC10CA allowed cargo to load 131 into transport vesicles to be delivered to target membranes. TC10CA blocked exocytosis 132 by preventing the GTP-TC10 to GDP-TC10 transition required for transport vesicle 133 docking and/or fusion. In contrast, the results suggest that TC10DN blocked the 134 GDP-TC10 to GTP-TC10 transition, which blocked a different step, cargo loading onto 135 vesicles thus preventing vesicle delivery to target membranes. 136 137 Unexpectedly, in the paired whole cell recordings from synaptically coupled cultured 138 hippocampal neurons TC10WT reduced synaptic currents by 51% (Fig. 1e, f) even 139 though TC10WT increased cell-surface AMPARs by 33% (Fig. 1b, c). This differential 140 effect of TC10WT suggests that TC10 function somehow distinguishes between AMPAR 141 trafficking to and/or from synaptic sites compared to other sites on the cell surface of 142 dendrites. 143 TC10 regulates AMPAR trafficking through an Arf6-containing endocytosis 144 pathway in dendrites To explore how perturbing TC10 function reduced AMPAR surface levels, we examined 145

146 whether TC10 mutants altered endogenous GluA1 subunit levels. Lentiviral infection of
147 ~90% of the cultured neurons with TC10WT or the TC10 mutants did not alter
148 endogenous GluA1 subunit levels, indicating that TC10 mutants do not alter AMPAR
149 subunit synthesis or degradation (Figure 1-figure supplement 4). Nor did the TC10
150 mutant expression appear to alter AMPAR trafficking through the secretory pathway in
151 the soma (Figure 1-figure supplement 5). Previously, we had found that AMPAR loss in
152 dendrites correlated with AMPAR accumulation in the Golgi, which blocked AMPAR

153 transport from somata to dendrites of cultured neurons [29]. Consistent with this 154 possibility, TC10WT, TC10DN and TC10CA all strongly co-localized with the Golgi marker 155 GM130 in somata (Figure 1-figure supplement 6a). However, little mCherry-GluA1 was 156 found in the Golgi, and most GluA1 subunits co-localized with endoplasmic reticulum (ER) 157 markers (Figure 1-figure supplement 5), as previously observed for newly synthesized 158 AMPAR subunits [30]. We observed a similar distribution for the native GluA1 subunits in 159 the ER (Figure 1-figure supplement 5) indicating that heterologous express of 160 mCherry-GluA1 did not greatly increase levels of GluA1 in the ER. The small amount of 161 mCherry-GluA1 that co-localized with the Golgi marker did not significantly change with 162 TC10DN and TC10CA expression compared to that of TC10WT (Figure 1-figure 163 supplement 6a,b). In fact, when TC10 mutants were expressed, there was a significant 164 increase in mCherry-GluA1 levels in the shafts of dendrites (Figure 1-figure supplement 165 6c), suggesting that the TC10 mutants cause an accumulation of AMPAR intracellular 166 levels in the dendritic shafts, but not in the somata, that results in the decreases in 167 surface levels.

We next examined whether the TC10 mutants caused AMPARs to accumulate during their recycling in dendritic shafts. It is well established that AMPARs enter recycling endosomes in dendritic shafts after synaptic stimulation with AMPA or NMDA [15,31]. To test for accumulation of AMPARs in recycling endosomes, we assayed whether TC10 mutants increased endogenous AMPAR co-localization with transferrin receptors (TfRs), which largely exist in recycling endosomes in dendritic shafts where they co-localize with GluA1-containing AMPARs [3,8]. Surprisingly, there was little co-localization, (4-6%), between endogenous GluA1 and TfR in dendrites under these conditions. The percentage of co-localization did not change for nontransfected neurons or for neurons expressing Venus-tagged TC10WT, TC10DN or TC10CA (Fig. 2a, b). TC10WT, TC10DN or TC10CA expression also did not alter the distribution of TfRs in the dendrites and did not significantly affect the recycling of TfRs (data not shown). To confirm the validity of TfRs as a recycling endosome marker, we tested another recycling endosome protein, Rab11, and found significant co-localization between mCherry-tagged Rab11 and TfRs confirming that TfRs are largely in recycling endosomes in dendrites (Figure 2-figure supplement 1).

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Since few GluA1-containing AMPARs accumulated in TfR-labeled recycling endosomes, it is possible that AMPAR recycling occurs via a different endocyctosis pathway not taken by TfRs. Several other endocytosis pathways exist [32]. In particular, one endocyctosis pathway that utilizes the small GTPase, Arf6, occurs in dendrites [33,34] and may be involved in AMPAR endocytosis [22]. In contrast to the ~5% co-localization observed between GluA1 and TfR puncta, we observed a significantly higher degree of overlap (Pearson's correlation coefficient, PCC = 0.46) between endogenous GluA1 subunits and transfected HA-Arf6 (Fig. 2c, d), an established marker of endosomes in the Arf6,

clathrin-independent pathway [35]. Importantly, there was a high degree of co-localization between TC10WT and HA-Arf6 (PCC = 0.77), indicating that TC10 is largely found in Arf6 endosomes in dendrites (Fig. 2e).

196 Consistent with TC10 having a role in AMPAR recycling through Arf6 endosomes, the 197 TC10 mutants caused significant changes in the distribution of AMPAR intracellular 198 puncta that co-localized with Arf6 (Fig. 2c, d). TC10DN significantly increased GluA1 199 co-localization with Arf6, changing from a PCC of 0.46 to 0.66 (Fig. 2c, d). Increases in 200 AMPARs co-localizing with Arf6 appeared to be at subdomains within Arf6 endosomes 201 (Fig. 2c), consistent with TC10CA blocking AMPAR exit, and their accumulation in 202 Arf6-endosomes. The TC10CA mutant had the opposite effect, TC10CA caused GluA1 203 co-localization with Arf6 to significantly decrease from a PCC of 0.46 to 0.31, consistent 204 with increased AMPAR exit from Arf6 endosomes (Fig. 2c, d). Because TC10CA caused 205 a ~50% decrease in surface AMPAR, similar to the effects of TC10DN (Fig. 1b, c and f), 206 increases in AMPARs exiting from Arf6 endosomes were not inserted into the cell-surface. 207 Expression of TC10CA changed the size and number of the GluA1-containing puncta in 208 dendritic shafts. GluA1-containing puncta were much more numerous compared to 209 TC10WT, with an increased number of smaller puncta and a loss of most of the larger 210 puncta in dendrites (Fig. 2e). This result suggests that the AMPARs exiting from Arf6 211 endosomes remained in smaller transport vesicles because TC10CA blocked their 212 exocytosis at the plasma membrane. These interpretations of the effects of the TC10DN 213 and TC10CA mutants are supported by the conclusions of previous studies of the effects 214 of the TC10 mutants on the distribution of different cargo during secretion [27,28]; 215 specifically that TC10DN blocked the GDP-TC10 to GTP-TC10 transition and cargo 216 loading onto the transport vesicle while TC10CA blocked the GTP-TC10 to GDP-TC10 217 transition and the transport vesicle exocytosis.

Constitutive AMPAR endocytosis is independent of dynamin

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219 The clathrin-dependent endocytosis pathway taken by TfRs requires dynamin function 220 while Arf6-dependent, clathrin-independent endocytosis is independent of dynamin 221 function [32]. As another test of the AMPAR endocytosis pathway, we used the reagent, 222 dynasore, to block dynamin activity in neurons and assayed TfR and AMPAR endocytosis. 223 In these experiments, we performed two different sets of assays to quantitatively 224 measure how dynasore affected AMPAR and TfR internalization. In the first assay, 225 mCherry-tagged GluA1 was expressed as in Fig. 1 and an "antibody feeding" assay was 226 used to label only the internalized mCherry-tagged, GluA1 AMPARs and TfRs (Fig. 3a, 227 b). After dynasore treatment, we compared the distribution of internalized mCherry-GluA1 228 subunits to that of TfRs surface labeled with Alexa Fluor 647-conjugated transferrin 229 (Tf-Alexa 647; Fig. 3a). Consistent with a block of its endocytosis, dynasore treatment 230 appeared to cause TfRs to buildup at the plasma membrane (Fig. 3a). Tf-Alexa 647 231 uptake was inhibited by 70% ± 18% in the presence of dynasore (Fig. 3b, c). However,

232 dynasore treatment did not significantly alter the levels (Fig. 3c) or distribution (Fig. 3a) of 233 internalized mCherry-GluA1 subunits. 234 In the second assay, endogenous AMPAR internalization was assayed by biotinylating 235 cell-surface proteins with a cleavable biotinylation cross-linking reagent and treating 236 intact neurons with glutathione, a membrane impermeable reagent after internalization. 237 Dynasore treatment reduced the levels of internalized TfR by 61% ± 6% (Fig. 3d, e), 238 consistent with endocytosis predominantly through clathrin-dependent endocytosis 239 pathway. In contrast, dynasore treatment reduced internalized GluA1 subunits by only 10% 240 ± 9% (Fig. 3d, e), consistent with endocytosis predominantly through a different 241 endocytosis pathway. Based on these results together with previous data (Fig. 2), we 242 conclude that TC10 has a role in AMPAR recycling through an Arf6-dependent recycling 243 pathway that is different from the TfR recycling pathway. 244 Changes in synaptic activity alter the recycling pathway taken by AMPARs 245 Evidence that AMPARs are in recycling endosomes and clathrin-coated pits comes 246 almost exclusively from studies examining AMPAR activity-dependent recycling. Our 247 findings of AMPAR recycling via a TC10- and Arf6-dependent, dynamin-independent 248 pathway occurred during constitutive AMPAR recycling. We therefore investigated 249 whether the AMPAR endocytosis pathway changes under conditions where 250 activity-dependent recycling occurs. First, we specifically tested how expressing TC10DN 251 or TC10CA in neurons altered LTP and LTD. Chemically inducing LTP (cLTP) with glycine 252 stimulation to activate synaptic NMDARs increased the AMPAR surface/total ratio by 39% 253 ± 10% (p < 0.1, n=10, 14), while chemically inducing LTD (cLTD) with acute NMDA 254 treatment, decreased the AMPAR surface/total ratio by 62% ± 3% (Fig. 4a and b). 255 Neurons expressing TC10DN or TC10CA showed increased AMPAR surface/total ratios 256 with cLTP (Fig. 4a). The percent increase in surface AMPARs was even larger than 257 controls (Fig. 4b). The larger percent increase may be explained by the fact that the 258 cLTP-induced increases in surface AMPARs were about the same size as controls while 259 the levels of surface AMPARs were reduced by TC10 variants (Fig.1). The finding that 260 TC10DN or TC10CA expression had no negative effect on cLTP-induced increases but 261 reduced surface AMPAR levels prior to cLTP is again consistent with AMPAR recycling 262 occurring through multiple endocytosis pathways, one pathway providing AMPARs 263 inserted during cLTP and the other pathway primarily involved in constitutive AMPAR 264 recycling. 265 In neurons expressing TC10 mutants during cLTD, we observed only half the 266 cLTD-induced decrease in AMPARs with TC10DN while TC10CA did not alter the 267 cLTD-induced decrease (Fig. 4a, b). These results are different from what occurs during 268 LTP and suggest that the TC10- and Arf6-dependent endocytosis pathway is involved to

269 some degree in the AMPAR trafficking during LTD that removes AMPARs from synapses. 270 To more directly test how TC10DN and TC10CA affect the trafficking of synaptic AMPARs 271 during LTD, we induced LTD by low frequency synaptic stimulation [36,37] (Fig. 4c). 272 Similar to what we observed with cLTD, the AMPAR-mediated synaptic currents were 273 reduced by 52.4% ± 0.5% by LTD. During LTD, AMPAR EPSCs were reduced by 27.5% ± 274 1.0% in the presence of TC10DN, and TC10CA had no effect on LTD (a 48.3% ± 1.0% 275 reduction) (Fig. 4c). We could not perform similar experiments with LTP because LTP 276 cannot be induced by electrical stimulation in dissociated cultures [38,39]. However in a 277 previous study, we found that the same cLTP protocol applied to dissociated hippocampal 278 neuronal cultures caused a long-term potentiation of EPSC amplitudes [39]. 279 Our results with TC10DN or TC10CA expression on AMPAR trafficking during cLTP 280 suggest that constitutive and activity-dependent AMPAR recycling occur through different 281 pathways. To further test this possibility, we assayed how cLTP altered the levels of 282 internalized GluA1 subunit co-localization with TfRs or with Arf6-HA. We observed that 21% 283 ± 2% of the internalized AMPARs co-localized with TfR (Fig. 4d,e), consistent with a 284 previous estimate of the amount of internalized AMPARs that co-localized with TfR during 285 constitutive recycling (Park et al, 2004). 52% of the internalized AMPAR co-localized with 286 Arf6-HA, and 27% of internalized AMPARs did not co-localize with TfRs or with Arf6-HA 287 (Fig. 4e). This pool of internalized AMPARs is likely in a different endosomal pool such as 288 early endosomes, late endosomes or lysosomes [18]. After cLTP induction, the 289 distribution of internalized AMPARs in the different pools changed: cLTP increased the 290 amount of internalized GluA1 that co-localized with TfR from 21% to 56% (Fig. 4e; Fig. 4 291 supplement 1a) while the internalized GluA1 that co-localized with Arf6 was unchanged 292 (52% vs. 47%; Fig. 4e; Figure 4-figure supplement 1b), cLTP increased the total amount 293 of internalized GluA1 by 24% ± 5% (Fig. 4d) while the pool of internalized AMPARs that 294 did not co-localize with TfRs or with Arf6-HA was no longer measurable. Our results are 295 consistent with significant changes in AMPAR endocytosis and recycling that occurs 296 during cLTP. During constitutive recycling AMPARs appear to be recycling primarily 297 through a dynamin-independent, Arf6- and TC10-dependent pathway though some 298 recycle through a dynamin-dependent, TfR-containing pathway. After cLTP, a much larger 299 fraction of the AMPARs recycle through the dynamin-dependent pathway taken by TfRs. 300 Our results further suggest that after LTP fewer AMPARs are trafficked for degradation 301 via a late endosomal/lysosomal pathway as previously described [18].

Discussion

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Constitutive AMPAR recycling and TC10

The findings of this study are consistent with constitutive AMPAR recycling occurring largely through a pathway different from the clathrin-dependent pathway used by TfRs. The data in support of an alternative recycling pathway are that internalized AMPARs

307 co-localize much more with Arf6 than with TfRs (Fig. 2), and that inhibition of dynamin 308 function blocks TfR endocytosis without significantly altering AMPAR endocytosis (Fig. 3). 309 The features of the alternative AMPAR recycling pathway, e.g., presence of Arf6 and 310 independence from dynamin function, are consistent with a clathrin-independent 311 endocytosis pathway [32,35]. The Arf6-mediated, clathrin-independent pathway is a 312 separate endocytosis pathway that exists in neurons, and mediates the endocytosis and 313 recycling of a number of different receptors and transport proteins. These include the 314 metabotropic glutamate receptors (mGluR) mGluR5 [40] and mGluR7 [33] and the 315 potassium channel Kir3.4 [34]. AMPARs were shown to undergo a clathrin-independent 316 recycling pathway, in addition to clathrin-mediated pathway in C. elegans [41]. Another 317 study using electron microscopy (EM) [14] also suggests that constitutive AMPAR 318 recycling occurs through a different pathway. The study found that all intracellular 319 structures with the features of recycling endosomes were labeled for TfRs in dendritic 320 shafts of cultured rat hippocampal neurons but only 28% of these recycling endosomes 321 were labeled for AMPARs. If AMPAR endocytosis occurs through a single. 322 clathrin-dependent pathway, AMPARs would all enter clathrin-coated pits during 323 constitutive AMPAR recycling. Entry into clathrin-coated pits can only be unambiguously 324 resolved at the EM level. EM studies assaying AMPAR subunit localization in 325 clathrin-coated pits observed few AMPARs in clathrin-coated pits [14,42]. Tao-Cheng et 326 al. found that 76% of the clathrin-coated pits contained TfRs but only 24% of the pits 327 contained AMPAR subunits. Clathrin-coated pits near PSDs have been proposed to be 328 specialized endocytic zones (EZs) [17,43] that mediate endocytosis of AMPA receptors 329 for local recycling in spines [5,44,45]. Both EM studies failed to detect any AMPAR 330 labeling in the EZs at synapses under conditions where constitutive AMPAR recycling 331 was occurring [14,42]. 332 In this study, we have also characterized in detail the role of the small Rho GTPase, 333 TC10, in AMPAR recycling through the Arf6-mediated, clathrin-independent pathway. We 334 found that altering TC10 expression and function in neurons reduced levels of 335 cell-surface AMPARs. The TC10 mutants, TCDN and TC10CA, equally reduced 336 cell-surface AMPARs by ~50%, but did not significantly affect AMPAR trafficking through 337 the secretory pathway. Normal levels of AMPARs departed from the somatic Golgi and 338 were transported to dendrites and synapses. However, the TC10 mutants had differential 339 effects on where AMPARs accumulated in dendritic shafts. TC10DN reduced surface 340 AMPARs by causing increased AMPAR accumulation in Arf6 endosomes apparently by 341 blocking their exit from the endosomes. TC10CA reduced surface AMPARs by increasing 342 their exit from Arf6 endosomes and blocking their exocytosis, thereby increasing what 343 appear to be AMPAR transport vesicles in the dendritic shafts.

Results from a previous study suggest that the associations between TC10 and AMPARs are indirect, requiring an adaptor protein, nPIST, which interacts with the AMPAR TARP subunit [26]. nPIST, like TC10, primarily co-localizes with Golgi markers in the somata of

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345 346 cultured hippocampal neurons. But it is also found in puncta in dendritic shafts, not in spines, and the puncta do not co-localize with Golgi membranes [46]. nPIST interactions with TC10 in dendrites, thus, are likely at the Arf6 endosomes where we observed most of TC10 in dendrites. One possibility is that TC10 acts to regulate interactions between nPIST and AMPAR TARP subunits when present together in Arf6 endosomes, and thereby, regulate the trafficking of AMPARs from Arf6 endosomes to dendritic exocytosis sites.

Activity-dependent AMPAR recycling

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355 Our findings that AMPARs recycle through two different pathways provide new insights 356 into how AMPAR recycling is altered in response to changes in synaptic activity. The 357 increase in AMPARs in recycling endosomes after cLTP reflects a redistribution of 358 trafficking AMPARs in dendrites such that AMPAR receptor recycling via recycling 359 endosomes is increased while recycling via the Arf6-TC10-containing endosomes was 360 unchanged. We also observed a third pool of endocytosed AMPARs that did not 361 co-localize with either TfR or Arf6. This third pool, which should include AMPARs in early 362 endosomes, late endosomes and lysosomes, decreased from 27% of the total to 363 essentially 0%. A previous study suggested that during LTD an activity-dependent switch 364 occurs such that more endocytosed AMPARs in early endosomes were routed to the 365 Rab7-dependent pathway to lysosomes and less were routed to the recycling endosome 366 pathway [18]. Our data suggest that the opposite is occurring during cLTP. That is, an 367 activity-dependent switch occurs such that few to none of the endocytosed AMPARs in 368 early endosomes are routed via the Rab7-dependent pathway to lysosomes. Instead, 369 virtually all AMPARs in early endosomes are routed to the recycling endosomes. However, 370 this switch can only explain part of the increase in AMPARs in recycling endosomes 371 during cLTP because the total number of endocytosed AMPARs increased by an 372 additional 24%. Thus, part of the increase in AMPARs in recycling endosomes during 373 cLTP appears to be caused by an increase in AMPAR endocytosis, presumably at 374 clathrin-coated pits

Expression of TC10DN increased AMPARs in Arf6-containing endosomes while TC10CA decreased AMPARs in these endosomes (Fig. 2c,d). The differential effects of TC10DN and TC10CA on AMPAR localization in Arf6-containing endosomes provide a potential explanation for the differential effects of TC10DN and TC10CA during LTD. It is possible that AMPARs excluded from Arf6-containing endosomes by TC10CA have access to the endosomal pathway used during LTD. The AMPARs added to the Arf6-containing endosomes with TC10DN expression do not have access to the endosomal pathway used during LTD.

Previous studies have found that synaptic stimulation increases dynamin- and clathrin-dependent AMPAR endocytosis [3,8,9,14,21,22]. In some of these studies,

385 blocking dynamin/clathrin-dependent endocytosis prevented LTD but did not alter 386 constitutive AMPAR endocytosis. It was also found that blocking constitutive AMPAR 387 endocytosis by interfering with NSF binding to GluA2 subunits does not alter LTD or 388 activity-dependent AMPAR endocytosis [3,8,9,21]. Altogether, these studies demonstrate 389 that activity-dependent and constitutive AMPAR recycling can be uncoupled and, thus, 390 appear to be independently regulated, consistent with separate processes underlying 391 activity-dependent and constitutive AMPAR recycling. Our results with TC10 mutants are 392 also consistent with separate processes underlying activity-dependent and constitutive 393 AMPAR recycling.

Why are there different AMPAR endocytosis pathways?

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395 The results from this study suggest that the two different AMPAR recycling pathways 396 serve different functions. The Arf6-dependent recycling pathway, also dependent on 397 TC10 function, predominates during AMPAR constitutive recycling. Another recycling 398 pathway, which is dynamin and clathrin dependent, increases during AMPAR 399 activity-dependent recycling (cLTP and cLTD). The simplest model of AMPAR recycling 400 based on our data is displayed in Fig. 5b. To explain the effects of dynamin inhibition, we 401 propose that the two recycling pathways originate at separate endocytosis sites, either 402 clathrin coated pits or clathrin-independent sites. As proposed by others [35] but not 403 shown in the model, the two recycling pathways merge at early endosomes, where 404 AMPARs would be sorted for trafficking into the various pools, either the recycling 405 endosomes used by TfRs, the Arf6-depedent recycling endosomes or late endosomes for 406 lysosomal degradation. As proposed in the model, the two recycling pathways end when 407 AMPARs are exocytosed at separate sites at the cell membrane. The presence of two 408 different AMPAR recycling exocytosis sites may help to explain recent data describing 409 different AMPAR recycling exocytosis sites [45,47]. We envision that the 410 Arf6/TC10-dependent recycling pathway has largely a caretaker role, delivering AMPARs 411 to early endosomes where a decision is made to degrade internalized AMPARs or return 412 them to the plasma membrane. The dynamin/clathrin-dependent recycling functions 413 predominantly during synaptic activation and appears to have a different role than the 414 Arf6/TC10-depedent recycling pathway (Fig. 5). Other receptors are regulated in a similar way by the same two recycling pathways. β2-adrenergic and M3 muscarinic receptors 415 416 undergo constitutive recycling when not activated by ligand via an Arf6-dependent, 417 clathrin-independent pathway. After ligand activation, their recycling pathway switches 418 and recycling occurs via the clathrin-dependent pathway [48]. The recycling pathway of 419 α 1-integrin receptors also switches after their activation [49].

Our finding that AMPAR recycling through the dynamin/clathrin-dependent pathway increased during cLTP provides insights into the function of this pathway during AMPAR recycling. Previously, it was assumed that AMPAR recycling was largely confined to a single synaptic spine and the dendrite area nearby (Fig. 5a). Increases in synaptic

424 AMPARs during NMDAR-dependent LTP were thought to increase AMPAR exocytosis 425 without increasing AMPAR endocytosis causing a decrease in the level of AMPARs in 426 recycling endosomes [10,15,50-52]. However, we found that AMPAR levels in recycling 427 endosomes increased after LTP, and endocytosis increased in parallel with increased 428 AMPAR levels at synapses during cLTP. Our results suggest that AMPAR recycling is not 429 limited to trafficking AMPARs into and out of the same synaptic spine. Instead, we 430 suggest that AMPAR recycling has the additional function of transporting AMPARs to 431 sites distant from where they originate. Increased AMPAR endocytosis during cLTP 432 occurs through the dynamin-dependent recycling pathway starting at clathrin-coated pits 433 at sites distant from synapses undergoing cLTP (Fig. 5c). Consistent with this idea, 434 AMPARs were not found in clathrin-coated pits at spines but in clathrin-coated pits well 435 outside spines along the dendritic shafts [14]. After endocytosis, we propose that 436 AMPARs are trafficked in recycling endosomes outside the synaptic spine region (Fig. 5c). Consistent with this trafficking role are studies demonstrating that different kinds of 437 438 endosomes, including recycling endosomes, travel long distances to new locations during 439 recycling in dendrites and axons [53]. Furthermore, an EM study using three-dimensional 440 reconstruction analysis found that in dendrites of rat hippocampal neurons independent 441 recycling endosomes were not maintained at each spine. Instead, up to twenty spines 442 shared a single recycling endosome [54]. In our model (Fig. 5c), AMPAR exocytosis sites 443 for the dynamin/clathrin-dependent pathway during cLTP are placed within the spine to 444 deliver the AMPARs within the diffusible pool near the PSD of the synapse. In short, we 445 propose that the role for the dynamin/clathrin-dependent recycling pathway during cLTP 446 is to move AMPARs from sites distant from synaptic activation to sites near the activated 447 synapse. 448 During cLTD, we propose that AMPAR recycling via the dynamin/clathrin-dependent 449 recycling pathway is also increased similar to what occurs during cLTP. Recycling 450 AMPARs in TfR-containing recycling endosomes are trafficked in and out of synapses

451 and along dendrites except in the opposite direction (Fig. 5d). This is consistent with 452 many studies that have found that AMPAR endocytosis increases via the 453 dynamin/clathrin-dependent pathway. However, it has been assumed that during LTD 454 increased AMPAR endocytosis occurs without increased AMPAR exocytosis resulting in 455 larger local endosomal stores of AMPARs. Instead, we suggest that during cLTD 456 AMPARs are transported away from synapses. In support of this idea, Tao-Cheng et al. 457 (2011) reported the appearance of AMPARs in clathrin-coated pits within synaptic spines, 458 which was not observed during constitutive conditions or cLTP. This finding suggests that 459 AMPARs near synapses are only removed locally via clathrin-coated pits during cLTD. In 460 our model, AMPARs are trafficked during cLTD from clathrin-coated pits at activated 461 spines via recycling endosomes to distant sites. Overall, AMPARs flow out of synaptic 462 spines during cLTD and into synaptic spines during cLTP. The function of the 463 dynamin/clathrin-dependent recycling pathway is, thus, to traffic AMPARs from regions of low activity to regions of high activity and in this way the pathway underlies a Hebbian redistribution of AMPARs.

466 Our finding that TC10WT expression had opposite effects on synaptic AMPAR currents, a 467 measure of functional synaptic AMPARs, and the cell-surface levels of dendritic AMPARs. 468 suggests that the Arf6/TC10-dependent recycling pathway traffics AMPARs out of 469 synaptic spines. In this study, we conclude that TC10 regulates AMPAR recycling through 470 the Arf6/TC10-dependent pathway. The simplest explanation for why TC10WT 471 expression acts to increase cell-surface AMPARs levels is that it increases exocytosis 472 from Arf6-dependent pathway recycling endosomes so that fewer AMPARs are in the Arf6 473 endosomes and more AMPARs are in the plasma membrane. The decrease in the levels 474 of synaptic AMPARs with TC10WT expression can be explained if the Arf6-dependent 475 recycling pathway acts to traffic AMPARs via endocytosis and exocytosis from synapses 476 to distant plasma membrane sites. For this reason, in our model we have placed the 477 endocytosis site of the Arf6-dependent recycling pathway near the PSD and the 478 exocytosis site outside of the spine (Fig. 5b). During constitutive AMPAR recycling, if 479 AMPAR recycling via the Arf6-dependent recycling pathway acts to move synaptic 480 AMPARs out of the spine and away from the synapse, then counteracting processes 481 must be bringing other AMPARs back to the synapse in order to keep the levels of 482 AMPAR constant. During cLTD (Fig. 5d), we suggest that the Arf6/TC10-dependent 483 recycling pathway acts together with the dynamin/clathrin-dependent pathway to traffic 484 AMPARs away from synaptic spines. If the Arf6/TC10-dependent recycling pathway 485 traffics AMPARs out of the spines then blocking it would act to reduce LTD, as we 486 observed with TC10DN expression (Fig. 4a-c). As explained above, the differential effects 487 of TC10DN and TC10CA during LTD may arise from the differences in how they act 488 during the Arf6/TC10-dependent recycling pathway. During constitutive conditions (Fig. 489 5b) and during cLTP (Fig. 5c), the Arf6/TC10-dependent recycling pathway would act 490 counter to the dynamin/clathrin-dependent pathway, which in our model would traffic 491 AMPARs into synaptic spines under these conditions. This aspect of our model explains 492 why blocking Arf6/TC10-dependent recycling pathway with the TC10DN expression may 493 actually increase levels of LTP (Fig. 4a-c).

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Materials and Methods

Antibodies

- The following primary antibodies were used: anti-RFP (MBL, rabbit, #PM005),
- 498 anti-GM130 (BD Biosciences, mouse, 1:500), anti-transferrin receptor (Zymed, Invitrogen,
- 499 mouse, #13-6800, 1:300), anti-Synapsin (Chemicon, mouse, 1:500), anti-Bassoon
- 500 (Synaptic Systems, Guinea Pig, 1:300), anti-GFP (Sigma, rabbit, 1:5000), anti-GluR1
- (Millipore, mouse, and CalBioChem, rabbit). Dynasore was from Sigma (D7693);

502 Sulfo-NHS-SS-biotin was from Pierce.

cDNA cloning and mutagenesis

- Human TC10 constructs were obtained from Dr. J. Marshall (Brown University), and then
- subcloned into the pSP2 vector, containing a CMV promoter and Venus tag (a brighter
- and more photostable YFP variant) to generate fusion proteins. mCherry-GluA1 construct
- was obtained from Dr. C. Garner (Stanford University). Rat TC10, Cdc42 and Rab11
- 508 genes were cloned from mRNA of 20 DIV cortical neuron cultures by RT-PCR with the
- 509 following primers: TC10: FWD (with EcoRI) 5' –
- 510 CCTTACATAGAATTCATGGCTCACGGGCCC 3', REV (with BamHI) 5' -
- 511 GGCCCAGTGGATCCTCACGTAATCAAACAACAGTTTATAC 3'; Cdc42: FWD (with
- 512 EcoRI) 5' CGTTACTAAGAATTCATGGGCACCCGCGAC 3', REV (with BamHI) 5' -
- 513 GGCCTCGACGGATCCTTAGATGTTCTGACAGCACTGC 3'; Rab11: FWD (with EcoRI)
- 514 5' CGTTACTAAGAATTCATGGGCACCCGCGAC 3', REV (with BamHI) 5' -
- 515 GGCCTCGACGGATCCTAAGATGTTCTGACAGCACTGC 3'. The genes were then
- inserted into a customized vector (originated from pEYFP from Clontech) with a CMV
- 517 promoter and mCherry tag. The lentiviral vector, FUGW and the helper plasmids, Δ8.9
- and VSVg were obtained from Dr. C. Garner (Stanford University) and were used to clone
- all genes listed above for production of lentiviruses. The 3 candidate RNAi constructs for
- 520 TC10 were purchased from Sigma-Aldrich.

Primary neuronal culture and transfections

- Rat E18 hippocampal neurons were cultured on poly-L-lysine treated coverslips in
- 523 Neurobasal medium supplemented with NS21 and GlutaMAX. At 14-17 DIV, neurons
- 524 were transfected by Lipofectamine 2000 with serum-free Neurobasal medium. The
- amount of cDNA transfected ranges from 1-2 ug per coverslip (d = 12 mm) as needed.
- 526 Hippocampal cultures were prepared using Neurobasal Medium, 2% (v/v) B27, and
- 527 GlutaMax. Briefly, hippocampi from embryonic (E18–19) Sprague-Dawley rats of either
- sex were dissected, dissociated in 0.05% trypsin (vol/vol, Life Technologies), and cells
- were plated at a density of $\sim 4 \times 10^5$ cells/mL on poly-L-lysine-coated 12-mm coverslips.
- 530 Coverslips were maintained in Neurobasal medium containing B27 and GlutaMax (all
- from Life Technologies).
- 532

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- Neuronal cultures were transfected at 14–17 DIV with the Lipofectamine 2000
- transfection reagent (Life Technologies) according to manufacturer's recommendations,
- with the exception that 1–2.5 μg of each cDNA in 62.5 μl of Neurobasal media and 2.0 μl
- 536 of Lipofectamine 2000 in 62.5 µl of Neurobasal media were mixed and added to
- 537 coverslips in 12-well plates.

538 Production of lentiviruses and infection of neurons 539 Freshly thawed HEK cells were cultured in DMEM + 10% FBS medium. Lentiviral plasmid 540 and the helper plasmids were transfected using Ca₃(PO₄)₂ method. ~50 hours after 541 transfection, supernatant containing the virus particles was collected. After a brief 542 centrifugation to remove cell debris, the virus solution was then mixed with PEG 8000 to 543 incubate at 4°C overnight, followed by centrifugation at 4000 rpm for 30 min at 4°C. The 544 virus pellect was then resuspended in cold PBS. At 0-1 DIV, neurons were infected with high-titer lentiviruses, and 2 days post infection, culture medium was changed with fresh 545 546 Neurobasal, B27 and GlutaMax. 547 Immunofluorescence staining and microscope imaging 548 For surface labeling, primary antibody was added into the culture medium and incubated 549 at room temperature for 30 min, or for 20 min at 37C. Cells were then washed with PBS 550 and fixed with 4% PFA/4% sucrose/PBS for 10 min, then incubated with Blocking Solution 551 (2% Glycine, 1% BSA, 0.2% Gelatin, 0.5M NH₄Cl, PBS) for 1 hour and secondary 552 antibody in blocking solution at room temperature for 1 hour. For internalized staining 553 (antibody feeding assay), primary antibody was added into the culture medium and 554 incubated at 37C for 40 min, then cells were washed with Acid Wash Buffer (0.5M NaCl, 555 0.5% acetic acid, pH2) for 30s, and fixed. Cells were then incubated with Blocking 556 Solution and secondary antibody. For permeablized staining, after fixation, the cells were 557 permeablized in 0.1% Triton/PBS for 5-10 min, blocked and stained with primary and 558 secondary antibodies. The stained coverslips were mounted to glass slides with Prolong 559 Gold (Life Technologies) mounting media and left in dark to harden overnight. 560 For internalized staining of Tf-Alexa 647, Tf-Alexa 647 was added into the culture 561 medium and incubated at 37C for 1 hour. Then cells were washed with Acid Wash Buffer 562 and fixed for imaging. 563 All images were taken on either an Olympus DSU, or Marianas Yokogawa type spinning 564 disk confocal microscope with back-thinned EMCCD camera. Z-stack slices were taken with 0.2 µm step size, with 5-10 slices for each cell. For the surface staining experiments, 565 566 z plane limits for acquisition were determined by the surface staining on dendrites; for the 567 assay to measure changes in Golgi/endosomal/synaptic localization of GluA1, the z plane 568 limits were set according to organelle marker staining (GM130, transferrin receptor or 569 Arf6, and synapsin, respectively). 570

cLTP and **cLTD** protocols

To induce chemical LTP, DIV17 neurons were washed in Mg²⁺ free buffer (in mM: NaCl 571 572 150, CaCl₂ 2, KCl 5, HEPES 10, glucose 30, strychnine 0.001, bicuculline 0.02) 3 times, 573 and incubated in Glycine buffer (Mg²⁺ free buffer with 0.2mM glycine) at 37C for 15 min.

Then Mg²⁺ buffer (Mg²⁺ free buffer with 2mM MgCl₂) was added to block NMDARs and 574 575 cells were incubated at 37C for 30 min before live surface labeling with anti-RFP. To induce chemical LTD, 14 DIV neurons were washed in Mg²⁺ free buffer 3 times, and 576 incubated in NMDA buffer (Mg²⁺ free buffer with 0.02mM NMDA) at 37C for 5 min. Then 577 578 Mg²⁺ buffer was added and cells were incubated at 37C for 1 hour before live surface 579 labeling. 580 Quantification of images and statistical tests 581 Image quantification was performed using NIH ImageJ software. To calculate the 582 surface/total ratio for exogenously expressed GluA1, all images of the same channel were first background-subtracted using the same averaged value, which was measured 583 584 manually across images (with variation < 0.5%). The sum of pixel intensity for the z-stack 585 was calculated using the "sum of slices" and "histogram" functions, excluding 586 zero-intensity pixels. The surface/total ratio was then calculated as the ratio of the 587 intensity of surface channel to total channel. 588 Surface expression of endogenous GluA1 was quantified using "sum of slice" z 589 projections of images. Each field was background-subtracted and mean intensities were 590 normalized to YFP control. 591 To measure the Golgi localization of GluA1, the images were background-subtracted with 592 the same method described above, then the GM130 channel image was thresholded and 593 transformed into a binary mask used to measure the pixel intensity of GluA1 in each slice 594 of the stack. The average intensity of the processed z-stack image was then measured 595 by selecting the cell-of-interest manually (if more than one cell was present in the image) 596 and applying the "measure" function. 597 598 To measure the degree of colocalization of GluA1 with TfR-positive endosomes, images 599 were assigned a random number and analyzed blindly. Analysis of the colocalization of 600 endogenous GluA1 with TfR was carried out by background-subtracting and thresholding 601 image fields so that only puncta that were twofold greater than background were selected. 602 Co-localizing puncta were evaluated using the Analyze Particles function in ImageJ. 603 To measure the degree of overlap between endogenous GluA1 and Arf6 604 sub-compartments, we compared fluorescence signals above background in both 605 channels along manually outlined segments of dendrites. Pearson's correlation 606 coefficients were generated for background-subtracted image pairs using the Intensity 607 Correlation Analysis plugin in ImageJ. A similar approach was used to measure the 608 degree of overlap between TC10 and Arf6. Thresholded GluA1 punctal size and density in 609 dendritic shafts was analyzed using the Analyze Particle function in ImageJ.

610	Statistical comparisons were made using two-tailed Student's <i>t</i> tests or ANOVA/Tukey
611	post hoc analysis as indicated. Statistical graphs were generated with Graphpad Prism or
612	StatPlus software.
613	Whole-cell recording
614	Dual whole cell recordings were performed at DIV12–15 on primary dissociated
615	hippocampal cultures transfected with Venus-TC10DN or Venus-TC10CA. Neurons were
616	bathed in carbogen (95% O ₂ , 5% CO ₂) bubbled ACSF (in mM: 120 NaCl, 3 KCl, 2 CaCl ₂ ,
617	1.25 NaH ₂ PO ₄ , 2 MgSO ₄ , 20 D-(+)-glucose, 26 NaHCO ₃). The internal solution consisted
618	of (in mM): 120 K gluconate or Cs gluconate, 40 HEPES, 5 MgCl ₂ , 2 NaATP, 0.3 NaGTP).
619	Recordings were performed at room temperature (21°C). Hippocampal cultures were
620	mounted on an Olympus microscope (BX51WI) and visualized using differential
621	interference microscopy (DIC). Transfected neurons were visualised via excitation at
622	530–550 nm. Electrode resistance was between 5-10 M Ω . Patch clamp recordings were
623	obtained using a MultiClamp 700B Commander (Molecular Devices). Data acquisition
624	and analysis was performed using AxoGraph X (AxoGraph Scientific) and pClamp 9
625	(Molecular Devices) software. Events were sampled at 10 kHz and low pass filtered at 2
626	kHz. Series resistance (Rs) was monitored throughout all experiments and results were
627	not included if significant variation (> 20%) occurred during any experiment. Action
628	potentials were induced in presynaptic neurons by a 20 ms current injection of 20-100 pA.
629	AMPAR EPSCs in response to presynaptic action potentials were collected at 0.1 or 0.2
630	Hz. Statistical significance of changes in AMPAR EPSC amplitudes were tested using
631	Student's t test with a level of significance set at $p < 0.05$.
632	Biotinylation and isolation of the internalized pool
633	Cortical neurons were pretreated with 80 uM Dynasore or 1% DMSO for 40 minutes at
634	37C. Cells were then washed, and incubated with 0.5mg/mL Sulfo-NHS-SS-Biotin at 4C
635	for 30 min, and excessive Sulfo-NHS-SS-Biotin was washed off. Cells were then

37C. Cells were then washed, and incubated with 0.5mg/mL Sulfo-NHS-SS-Biotin at 4C for 30 min, and excessive Sulfo-NHS-SS-Biotin was washed off. Cells were then incubated at 37C for 1 hour in the presence of 80uM Dynasore or 1% DMSO. Then Sulfo-NHS-SS-Biotin on the cell surface was cleaved with glutathione. Cells were then harvested and lysed; biotinylated proteins were pull-down using streptavidin-sepharose beads and were analyzed on Western blot.

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792 Figure Legends

793

Figure 1. Disrupting TC10 level or function reduced AMPARs on the cell surface

- 794 (A) Representative somata of cultured rat hippocampal neurons (E18) expressing
- 795 mCherry-tagged GluA1 subunits, free Venus (Control), shTC10RNA or Venus-tagged
- 796 TC10WT, TC10DN or TC10CA. Intact, live neurons (DIV18) were stained with anti-RFP
- 797 antibody to visualize surface AMPARs and then fixed and processed. Images of the
- whole neuron for each of the shown somata are displayed in supplemental Fig. 2a. (Scale
- 799 bar = $10 \mu m$).
- 800 **(B)** Representative dendrites of neurons expressing mCherry-GluA1 without TC10 or with
- TC10WT, TC10DN or TC10CA. Arrows indicate dendrites expressing TC10 mutants
- 802 (TC10DN or CA) with weak surface expression of GluA1; arrowheads mark dendrites
- 803 expressing mCherry-GluA1 without TC10 mutant expression and normal GluA1 surface
- 804 expression level. (Scale bar = 5 um).

- 805 (C) Representative dendrites of intact neurons expressing either free Venus (Control),
- TC10WT, TC10DN, or TC10CA and labeled with an N-terminal, anti-GluA1 mAb to
- visualize endogenous, surface GluA1 receptors. (Scale bar = 5 um).
- 808 (D) Quantification of the surface/total ratio of mCherry-GluA1 at the somata of transfected
- cells in (A). Data are shown as ± SEM; control cells (YFP) 100% ± 14%, n=32; TC10WT
- 810 cells 118% \pm 22%, n = 17; TC10DN cells 53% \pm 9%, n = 23; TC10CA cells 47% \pm 9%, n =
- 811 14; and shTC10 cells $21\% \pm 7\%$, n = 10. (**p<0.03 relative to TC10WT).
- 812 **(E)** Quantification of the surface/total ratio of mCherry-GluA1 in dendrites of transfected
- 813 cells in (B). Data are shown as ± SEM; control cells (YFP) 100% ± 12%, n=23; TC10WT
- 814 cells 133% \pm 11%%, n = 23; TC10DN cells 53% \pm 5%, n = 23; and TC10CA cells 54% \pm
- 815 15%, n = 8. (*p<0.03 relative to YFP;**p<0.02 relative to TC10WT).
- 816 **(F)** Quantification of endogenous surface GluA1 on dendrites in (C). Data are shown as
- 817 mean ± SEM; control cells (YFP) 100% + 11%; TC10WT cells 130% ± 17%; TC10DN
- 818 cells 59% \pm 8%; and TC10CA cells 62% \pm 10% (n= 7-10 cells per group; **p<0.003
- 819 relative to TC10WT).
- 820 **(G)** Representative whole cell patch clamp paired recordings showing the evoked
- 821 presynaptic action potential (top) and the postsynaptic AMPAR-mediated current
- 822 response (below).
- 823 **(H)** Average AMPAR-mediated EPSC amplitudes of untransfected neurons (control)
- 365.2 \pm 67 pA, n=16 and neurons transfected with TC10WT 180.3 \pm 36 pA, n=8, TC10DN
- 825 144.5 ±42 pA, n=14, or TC10CA 139.2 ±24 pA, n=11. (*p<0.04 relative to control;**p<0.02
- 826 relative to control).
- 827 Figure 1-figure supplement 1. TC10 RNAi (shRNA) knocked down endogenous
- 828 TC10 expression in neurons.
- 829 Cortical neurons were infected with lentivirus encoding FUGW empty vector or TC10
- 830 shRNA on DIV7 and lysed for real-time PCR on DIV11. shTC10 specifically knocked
- down TC10 mRNA level by 90%, but not the expression of Cdc42 and Rab11.
- 832 Figure 1-figure supplement 2. Low magnification images of cultured rat
- 833 hippocampal neurons (E18) corresponding to the somata shown in Fig 1A.
- Neurons express mCherry-tagged GluA1 subunits and either free Venus (Control),
- 835 TC10WT, TC10DN, or TC10CA. Intact, live neurons (DIV18) were stained with anti-RFP
- antibody to visualize surface AMPARs and then fixed and imaged. (Scale bar = 10 um).
- 837 Figure 1-figure supplement 3. TC10 mutants do not change synaptic density.

- 838 The density of synapsin (SYN) puncta (number of puncta/um) remained unchanged when 839 TC10WT or TC10DN/CA mutants were expressed (n.s. = not significant). 840 Figure 1-figure supplement 4. TC10 mutants do not change the expression level of 841 GluA1. 842 (A) Cortical neurons were infected with lentivrus encoding Venus-TC10WT or 843 TC10DN/CA mutants on DIV1 and assayed for GluA1 expression with Western blot on 844 DIV18. The expression of GluA1 remained unchanged. 845 (B) Quantification of GluA1 expression level in (A), normalized to tubulin (n=3 846 experiments). 847 Figure 1-figure supplement 5. A comparison of somatic ER and the distribution of 848 exogenous mCherry-GluA1 subunits and endogenous GluA1 subunits. 849 Cultured neurons expressing mCherry-tagged GluA1 (top panel) were stained with an 850 antibody against the ER marker protein, protein disulfide isomerase (PDI) and compared 851 against endogenous GluA1 in untransfected cells (bottom panel). Both exogenous and 852 endogenous GluA1 exhibited similar distributions in somatic ER. (Scale bar = 10 um). 853 Figure 1-figure supplement 6. The effects of TC10 mutants on AMPARs in the 854 somatic Golgi and in dendritic shafts. 855 (A) Cultured hippocampal neurons expressing mCherry-GluA1 with Venus, TC10WT, 856 TC10DN or TC10CA were fixed and stained for the Golgi marker, GM130 on DIV18. 857 (Scale bar = 10 um) 858 (B) Quantification of the intensity of mCherry-GluA1 colocalizing with GM130 in the 859 somata. Expression of TC10 WT or mutants did not change its distribution: Control cells 860 (normalized) 100% ± 2%, n=11; TC10WT cells 103% ± 3%, n=6; TC10DN cells 109% ± 861 10%, n=19; TC10CA cells 104% ± 3%, n=15. 862 (C) Line profile of mCherry-GluA1 intensity along the dendrite when co-expressed with 863 Venus or TC10DN. TC10DN expression results in mCherry-GluA1 accumulation in the dendritic shafts and not in the somata. (Scale bar = 5 um, n=4) 864 Figure 2. TC10 regulates AMPAR trafficking through an Arf6-containing 865 866 endocytosis pathway in dendrites
- 867 (A) Effects of TC10 constructs on the co-localization between endogenous GluA1
- subunits and TfRs. Cultured neurons were transfected with Venus-tagged TC10WT or
- 869 TC10DN/CA mutants and permeabilized cells stained for total GluA1 and TfR (Tf-Alexa
- 870 647). GluA1 showed little colocalization with Tf-labeled endosomes in dendrites. (Scale

- 871 bar = 5 um).
- 872 **(B)** Quantification of GluA1 and TfR colocalization. Images in A were analyzed to
- measure the percent of GluA1 puncta co-localizing with TfR puncta. Expression of TC10
- constructs did not alter the degree of colocalization. Data are shown as mean ± SEM;
- 875 control cells $4.6\% \pm 0.3\%$, TC10WT cells $4.9\% \pm 0.8\%$, TC10DN cells $4.2\% \pm 0.8\%$,
- TC10CA cells $5.6\% \pm 1\%$, n=5 for all groups.
- 877 (C) Effects of TC10 constructs on the co-localization between GluA1 subunits and Arf6.
- Neurons were transfected with Arf6-HA and Venus-TC10WT or TC10DN/CA mutants.
- 879 Cells were permeablilized and stained for Arf6-HA (Rb anti-HA) and total GluA1
- 880 (anti-GluA1 mAb). (Scale bar = 5 um).
- (D) Quantification of the overlap between GluA1 and Arf6. Images in C were analyzed to
- measure the Pearson's correlation coefficients (R_r) of GluA1 co-localization with Arf6.
- Data are shown as mean \pm SEM; TC10WT 0.46 \pm 0.04; TC10DN 0.66 \pm 0.04; TC10CA
- 0.31 \pm 0.04 (n= 7-10 cells per group; *p<0.02 relative to TC10WT; **p<0.05 relative to
- 885 TC10WT).
- (E) Effects of TC10 constructs on GluA1 puncta density (number of puncta per 10 µm) in
- 887 dendrites of neurons expressing Venus-TC10WT or TC10DN/CA mutants. Data are
- shown as mean \pm SEM; TC10WT 6.9 \pm 0.4; TC10DN 5.6 \pm 0.7; TC10CA 41.7 \pm 4.8 (n= 5)
- fields per group; *p<0.0002 relative to TC10WT). Inset, histogram showing the
- distribution of small (diameter <200nm) and large (diameter 200-1200nm) GluA1 puncta
- 891 (n=~100 puncta per group).
- 892 **(F)** Quantification of the overlap (Pearson's correlation coefficients, R_r) between TC10
- and Arf6 in (C). Data are shown as mean \pm SEM; TC10WT 0.77 \pm 0.03; TC10DN 0.60 \pm
- 894 0.02; TC10CA 0.84 \pm 0.02 (n= 7-10 cells per group; *p<0.0004 relative to TC10WT).
- Figure 2-figure supplement 1. Co-distribution of TfR staining and mCherry-Rab11.
- 896 Hippocampal cultures were stained for TfR and Rab11 on DIV18. The two markers
- showed extensive overlap. (Scale bar = 5 um).
- 898 Figure 3. AMPARs undergo dynamin-independent endocytosis.
- 899 (A) mCherry-GluA1 and TfR Internalization and block of dynamin function. Hippocampal
- 900 neurons were transfected with mCherry-GluA1, and 1 day post-transfection, treated with
- 901 1% DMSO (control group) or 80 µM dynasore (to block dynamin function) for 30 minutes
- at 37C. Neurons were then incubated with anti-RFP antibodies and Tf-Alexa 647 at 37C
- 903 for 40 minutes, acid washed to remove surface antibodies/dye, fixed, permeabilized and
- 904 imaged. With sham treatment (control), surface labeled GluA1 and TfR were both

- 905 internalized in the dendritic shaft (first row). Dynasore treatment had no effect on GluA1
- 906 internalization, but blocked TfR endocytosis (Tf-Alexa 647 labeling confined to surface
- and not present intracellularly, second row).
- 908 (B) Intensity plots of internalized TfR (Tf-Alexa 647) with and without (sham) dynasore
- 909 treatment as in (A). Dynasore greatly inhibited TfR internalization.
- 910 **(C)** Quantification of GluA1 and Tf-Alexa647 internalization and block of dynamin function.
- Data are shown as mean ± SEM; GluA1 endocytosis, sham 100% ± 16%; dynasore 112%
- 912 \pm 12%. For Tf-Alexa647 endocytosis sham 100% \pm 23%; Dynasore 30% \pm 18%, (n=5 for
- 913 all groups; ***p< 0.001).
- 914 **(D)** Endogenous GluA1 and TfR Internalization and block of dynamin function. As an
- alternative approach to measure GluA1 and TfR Internalization, cortical neurons were
- 916 sham-treated (with DMSO) or with dynasore as in (A). After, surface proteins were
- 917 labeled with Sulfo-NHS-SS-biotin, and cultured for 40 minutes at 37C to allow for
- 918 endocytosis. Biotin on proteins remaining on the cell surface was removed by glutathione
- 919 treatment and cells solubilized. Internalized proteins were pulled-down with streptavidin
- 920 beads and analyzed by Western blotting. Displayed are GluA1, TrR and actin bands
- 921 (loading control) from whole cell lysates to estimate inputs for sham- or dynasore-treated
- 922 neurons (left) and GluA1 and TfR bands from the streptavidin pull-downs to estimate
- 923 internalized receptors for sham- or dynasore-treated neurons (right).
- 924 (E) Quantification of GluA1 and TfR internalization and block of dynamin function. The
- 925 levels of GluA1 endocytosis were reduced to 90% ± 9% vs. sham-treated by dynasore
- 926 treatment. The levels of TfR endocytosis were reduced to 61% ± 6% (n=3 experiments;
- 927 ***p<0.01) vs. sham-treated by dynasore treatment. Total protein levels (inputs) for GluA1
- and TfR were not affected by dynasore treatment.

929 Figure 4. Synaptic activity alters the endocytosis pathway taken by AMPARs

- 930 (A) Effects of TC10 constructs on cLTP and cLTD. Hippocampal neurons were
- 931 transfected with mCherry-GluA1 plus Venus, TC10DN or TC10CA. 1 day post
- transfection, cells were stimulated with a mixture of the NMDA receptor agonist, glycine
- and GABA_A receptors antagonists, to induce LTP chemically in hippocampal cultures.
- 934 Then surface exposed mCherry-GluA1 was stained live with anti-RFP antibody. In control
- 935 cells, cLTP treatment caused a 39% increase in surface GluA1 (100% ± 9%, n=10 to 139%
- 936 ± 10%, n=14). In cells expressing TC10DN and TC10CA, cLTP treatment also caused a
- 937 78% (37% \pm 7%, n=13 to 66% \pm 9%, n=12) and 54% (41% \pm 4%, n=21 to 63% \pm 6%,
- 938 n=15) increase in surface GluA1 respectively. In parallel, transfected cells were treated
- 939 with NMDA to chemically induce LTD as well. Control cells showed a 62% decrease of
- 940 surface GluA1 (100% \pm 10%, n=10 to 38% \pm 3%, n=11), while TC10DN and TC10CA
- 941 expressing cells showed a 31% (67% \pm 7%, n=13 to 46% \pm 3%, n=10) and 55% (60% \pm

- 942 5%, n=5 to 27% \pm 4.2%, n=6) reduction respectively.
- 943 **(B)** Effects of TC10 constructs on cLTP and cLTD. Data in (A) replotted and normalized to respective sham values in order to compare the changes with cLTP and cLTD.
- 945 (C) Effects of TC10 constructs on synaptically-induced LTD. Left: AMPAR EPSC
- amplitudes, expressed as a percentage of baseline AMPAR EPSC amplitude (10
- 947 minutes), before and after the induction of LTD by electrical LFS for 5 minutes (gap).
- 948 Right: Bar graph of the average AMPAR EPSC amplitude depression measured twenty
- 949 minutes after LFS. Control cells showed a 52.4% ± 0.5% reduction in AMPAR EPSC
- amplitude after LTD induction; TC10DN and TC10CA showed a 27.5% ± 1.0% and 48.3%
- 951 ± 1.0% reduction in AMPAR EPSC amplitude respectively.
- 952 (D) mCherry-GluA1 internalization after cLTP. Neurons were sham or cLTP treated and
- 953 imaged for internalized mCherry-GluA1 and either TfR or HA-Arf6. GluA1 internalization
- 954 increased by 24% \pm 5% when treated with cLTP (n=6; **p<0.01).
- 955 (E) mCherry-GluA1 co-localization with TfR (left) and Arf6 (middle) after cLTP.
- 956 Colocalization of internalized GluA1 with TfR increased with cLTP: sham-treated 21% ±
- 957 2%, n=15; cLTP 56% ± 2%, n=23 (**p<0.0001). Colocalization of internalized GluA1 with
- 958 Arf6 did not significantly change: sham-treated 52% ± 2%, n=12; cLTP 47% ± 3%, n=5.
- 959 Left panel displays the distribution of GluA1 co-localization with TfR, Arf6 or neither
- 960 marker for sham-treated and cLTP conditions.

961 962

Figure 4-figure supplement 1. Synaptic activity alters the endocytosis pathway taken by AMPARs

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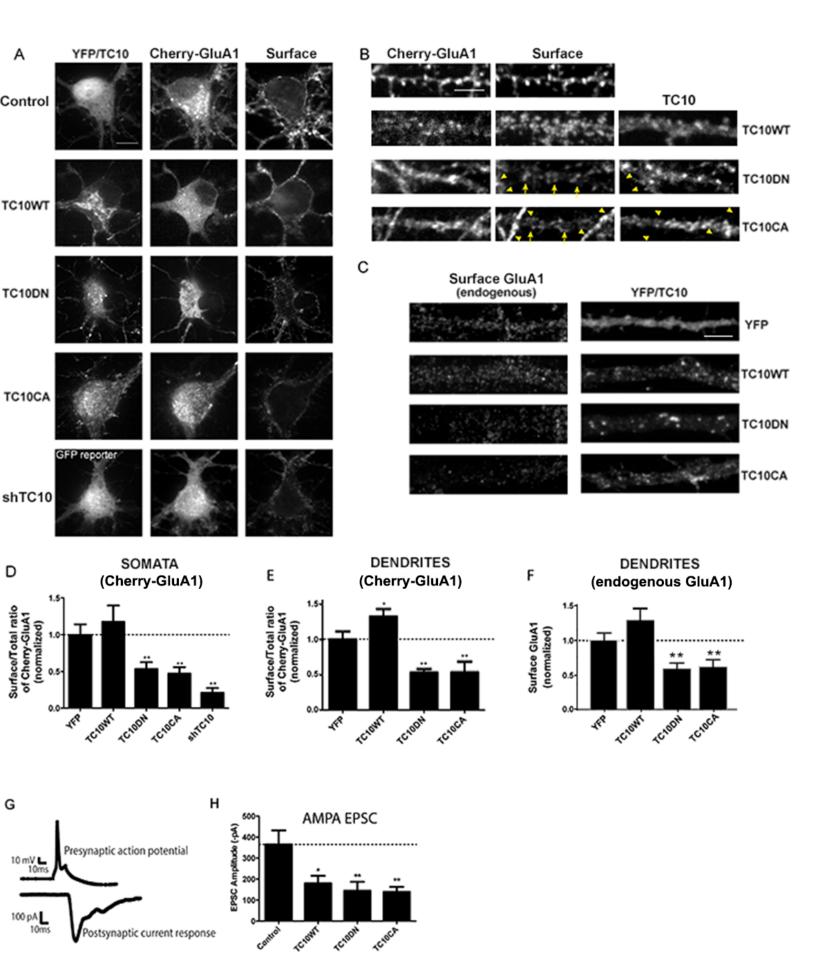
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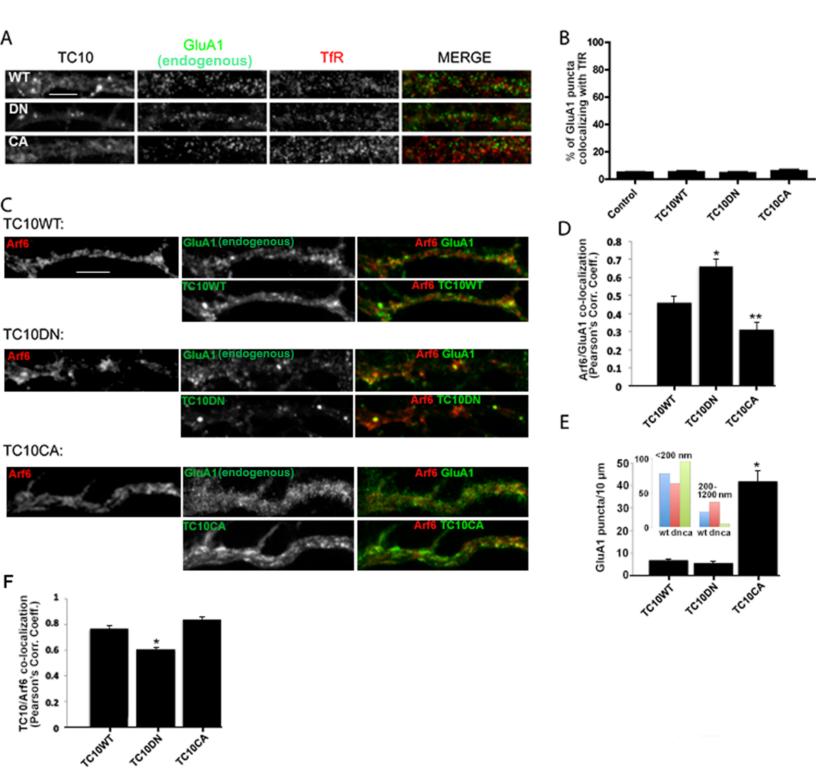
- Representative images for the quantification displayed in Fig. 4D, and E. Neurons were
- 966 sham or cLTP treated and imaged for internalized mCherry-GluA1 and either TfR (A) or
- 967 Arf6-HA (B). cLTP treatment caused an increase in the colocalization between
- 968 internalized GluA1 and TfR, while colocalization with Arf6 didn't change (arrows indicate
- 969 colocalizing puncta). (Scale bar = 5 um).

Figure 5. New model for AMPAR constitutive and activity-dependent recycling.

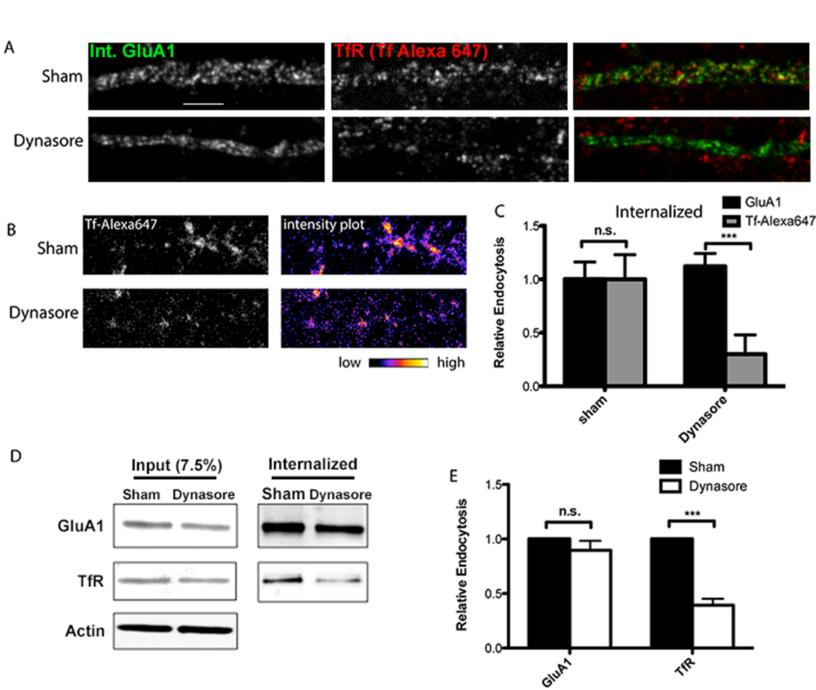
- 971 (A) Conventional "single-synapse" AMPAR recycling model. AMPARs exit PSDs by
- 972 lateral diffusion and are endocytosed into clathrin-coated pits at sites near PSDs. After,
- 973 endocytosed AMPARs are sorted into recycling endosomes (REs), the same pathway
- 974 used by TfR for recycling back to the cell surface, where AMPARs diffuse to and could be
- 975 trapped in PSDs. An underlying assumption of the model is that AMPAR recycling is
- 976 restricted to single spines and the endosomal membranes in the spine and dendrite
- 977 neighboring the spine. Activity during LTP increases AMPAR exocytosis and transport
- 978 from REs without altering its endocytosis thereby decreasing AMPAR levels in REs.

979 (B) AMPAR recycling model with two AMPAR recycling pathways under constitutive 980 conditions. Based on evidence in this study, at least two different AMPAR recycling 981 pathways exist for AMPAR recycling. AMPARs largely recycle through the Arf6- and 982 TC10-dependent recycling pathway, which originates at sites near the PSD and 983 endocytose at clathrin- and dynamin-independent sites. This recycling pathway acts to 984 move AMPARs from sites near the PSD to sites distant from the synapse such that 985 AMPARs cannot return via membrane diffusion. Smaller numbers of AMPARs 986 endocytose at sites distant from the spine via clathrin-coated pits using dynamin. 987 Endocytosed AMPARs traffic into recycling endosomes in the same pathway as TfRs. 988 This recycling pathway acts to move AMPARs from distant sites to sites accessible to PSDs so that AMPARs can diffuse into PSDs to balance the loss via the Arf6-dependent 989 990 recycling pathway. 991 (C) AMPAR recycling after cLTP. Activity-dependent events during cLTP increase AMPAR 992 recycling through the dynamin-dependent pathway, trafficking AMPARs from 993 clathrin-coated pits distant from the stimulated synapse to sites accessible to the 994 stimulated PSD. Endocytosed AMPARs are transported in the recycling endosomes with 995 the net effect of trafficking more AMPARs into the stimulated PSD. AMPAR recycling 996 through the Arf6- and TC10-dependent recycling pathway continues unchanged after 997 cLTP. 998 (D) AMPAR recycling after cLTD. Similar to what is observed after cLTP, 999 Activity-dependent events during cLTP increase AMPAR recycling through the 1000 dynamin-dependent pathway except with the net effect of trafficking AMPARs out of 1001 PSDs and away from the stimulated spines. AMPAR endocytosis occurs at 1002 clathrin-coated pits near stimulated PSD and AMPARs transported in REs away from the 1003 cLTD spines and recycling to the cell surface at distant sites. The Arf6- and 1004 TC10-dependent recycling pathway shown in (B) is also unchanged during cLTD, and 1005 overall traffic AMPARs out of synaptic spines.

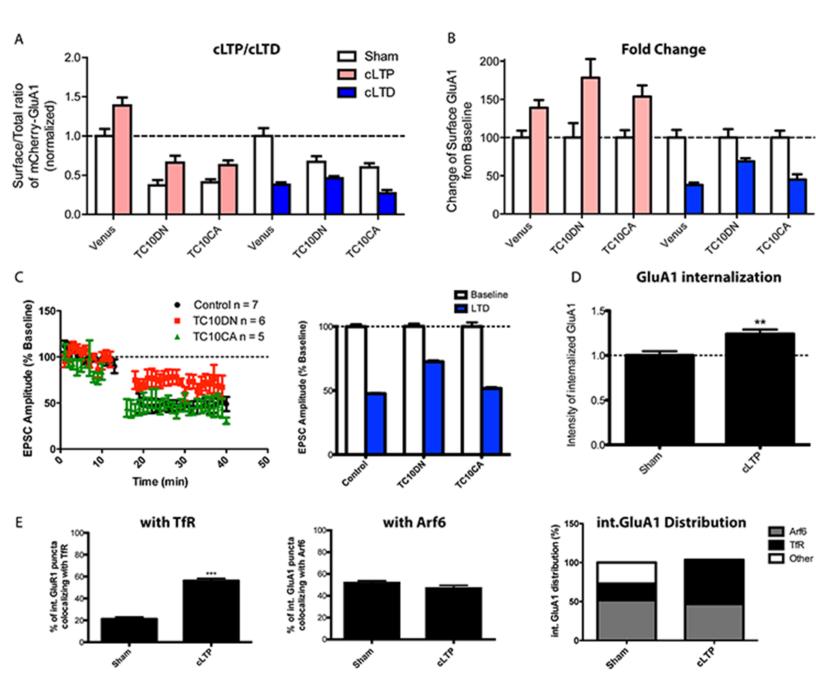




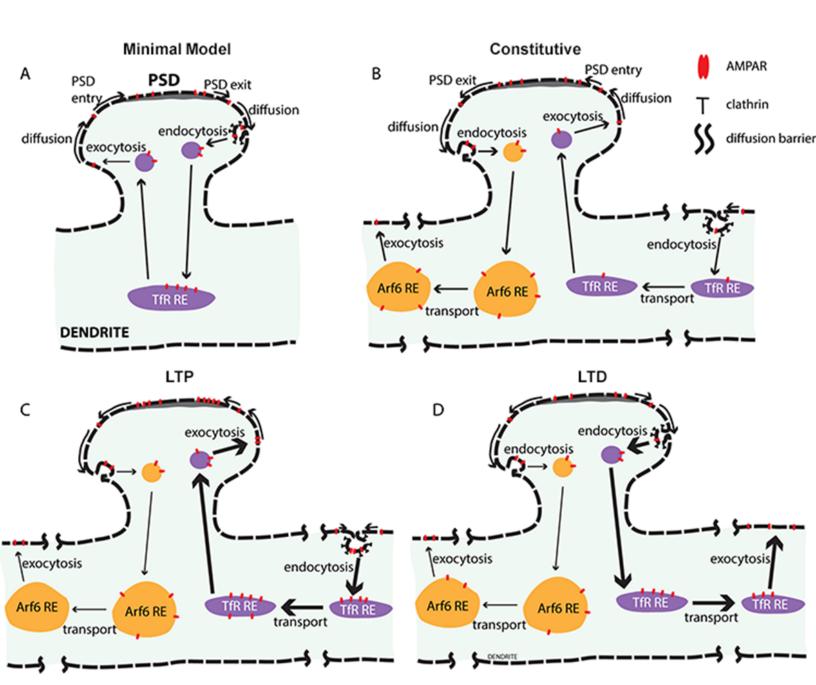
Green Figure-2



Green Figure-3



Green Figure-4



Green Figure-5