Table 1: Simply typed lambda-calculus with Let binding, Records and General recursion.

LEMMA [Inversion of the Typing Relation]:

- 1. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$
- 2. If  $\Gamma \vdash \lambda x : T_1.t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$ , with  $\Gamma, x : T_1 \vdash t_2 : R_2$ .
- 3. If  $\Gamma \vdash t_1 \ t_2 : R$ , then there is some type  $T_{11}$  such that  $\Gamma \vdash t_1 : T_{11} \to R$  and  $\Gamma \vdash t_2 : T_{11}$ .
- 4. If  $\Gamma \vdash let \ x = t_1 \ in \ t_2 : R$ , then there is some type  $T_1$  such that  $\Gamma \vdash t_1 : T_1$ , with  $\Gamma, \ x : T_1 \vdash t_2 : R$ .
- 5. If  $\Gamma \vdash \{l_i = t_i^{i \in 1 \dots n}\} : R$ , then there are some types  $R_i^{i \in 1 \dots n}$  such that for each i is satisfied that  $\Gamma \vdash t_i : R_i$  and  $R = \{l_i = R_i^{i \in 1 \dots n}\}$ .
- 6. If  $\Gamma \vdash t.l_j : R$ , then there is some type  $\{l_i = R_i^{i \in 1 \cdots n}\}$  such that  $\Gamma \vdash t : \{l_i = R_i^{i \in 1 \cdots n}\}$  and  $R = R_j$ .
- 7.  $\Gamma \vdash fix \ t_1 : R \text{ then } \Gamma \vdash t1 : R \rightarrow R.$

*Proof*: Immediate from the definition of the typing relation.

## LEMMA [Canonical Forms]:

- 1. If v is a value of type  $T_1 \to T_2$ , then  $v = \lambda x : T_1.t_2$ .
- 2. If v is a value of type  $\{l_i = T_i^{i \in 1 \cdots n}\}$  then  $v = \{l_i = v_i^{i \in 1 \cdots n}\}$ , and  $\Gamma \vdash v_i : T_i \ i \in 1 \cdots n$ .

Proof: Straightforward.

THEOREM [Progress]: Suppose t is a closed, well-typed term (that is,  $\vdash t' : T$  for some T). Then either t is a value or else there is some t' with  $t \longrightarrow t'$ . Proof: Straightforward induction on typing derivations.

- 1. The variable case cannot occur (because t is closed).
- 2. The abstraction case is immediate, since abstractions are values.
- 3. For application, where  $t = t_1$   $t_2$ , with  $\vdash t_1 : T_{11} \longrightarrow T_{12}$  and  $\vdash t_2 : T_{11}$ , for the inversion lemma. Then, by the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation, and likewise  $t_2$ . If  $t_1$  can take a step, then rule EApp1 applies to t. If  $t_1$  is a value and  $t_2$  can take a step, then rule EApp2 applies. Finally, if both  $t_1$  and  $t_2$  are values, then the canonical forms lemma tells us that  $t_1$  has the form  $\lambda x : T_{11}.t_{12}$ , and so rule EAppAbs applies to t.
- 4. If  $t = (let \ x = t_1 \ in \ t_2)$ , then  $\vdash \ t_1 : T_1$  and  $\vdash \ t_2 : T_2$ , for the inversion lemma. Then, by the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation. If  $t_1$  can take a step, then rule ELet applies to t. If  $t_1$  is a value, then rule ELetV applies.
- 5. If  $t = \{l_i = t_i^{\ i \in 1 \cdots n}\}$ , then there are some types  $T_i^{\ i \in 1 \cdots n}$  such that for each i is satisfied that  $\Gamma \vdash t_i : T_i$  and  $T = \{l_i = T_i^{\ i \in 1 \cdots n}\}$ . By the induction hypothesis, for each  $t_i^{\ i \in 1 \cdots n}$ , either it is a value or else it can make a step of evaluation. If all the  $t_i^{\ i \in 1 \cdots n}$  are values, then t is a value. If all the  $t_i^{\ i \in 1 \cdots n}$  are not values, then there is  $t_j$  such that it can take a step, then rule ERcd applies to t.

- 6. If  $t = t'.l_j$ , then there is some type  $\{l_i = T_i^{i \in 1 \cdots n}\}$  such that  $\Gamma \vdash t' : \{l_i = T_i^{i \in 1 \cdots n}\}$  and  $T = T_j$ . By the induction hypothesis, either t' is a value or else it can make a step of evaluation. If t' can take a step, then rule EProj applies to t. If t' is a value, then rule EProjRcd applies.
- 7. If t = fix t', then  $\Gamma \vdash t' : T \to T$ , for the inversion lemma. By the induction hypothesis, either t' is a value or else it can make a step of evaluation. If t' can take a step, then rule EFix applies to t. If t' is a value, then the canonical forms lemma tells us that t' has the form  $\lambda x : T.t_1$ , and so rule EFixBeta applies to t.

LEMMA[Permutation]: If  $\Gamma \vdash t : T$  and  $\Delta$  is a permutation of  $\Gamma$ , then  $\Delta \vdash t : T$ . *Proof*: Straightforward induction on typing derivations.

LEMMA[Weakening]: If  $\Gamma \vdash t : T$  and  $x \notin dom(\Gamma)$ , then  $\Gamma, x : S \vdash t : T$ . *Proof*: Straightforward induction on typing derivations.

LEMMA [Preservation of types under substitution]: If  $\Gamma, x : S \vdash t : T$  and  $\Gamma \vdash s : S$ , then  $\Gamma \vdash [x \mapsto s]t : T$ .

*Proof*: By induction on a derivation of the statement  $\Gamma, x : S \vdash t : T$ . For a given derivation, we proceed by cases on the final typing rule used in the proof.

- 1. Case TVar: t=z with  $z: T \in (\Gamma, x:S)$ . There are two sub-cases to consider, depending on whether z is x or another variable. If z=x, then  $[x\mapsto s]z=s$ . The required result is then  $\Gamma\vdash s:S$ , which is among the assumptions of the lemma. Otherwise,  $[x\mapsto s]z=z$ , and the desired result is immediate.
- 2. Case TAbs:  $t = \lambda y : T_2.t_1$ , with  $T = T_2 \to T_1$  and  $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ . By convention, we may assume  $x \neq y$  and  $y \notin FV(s)$ . Using permutation on the given subderivation, we obtain  $\Gamma, y : T_2, x : S \vdash t_1 : T_1$ . Using weakening on the other given derivation  $(\Gamma \vdash s : S)$ , we obtain  $\Gamma, y : T_2 \vdash s : S$ . Now, by the induction hypothesis,  $\Gamma, y : T_2 \vdash [x \mapsto s]t_1 : T_1$ . By  $TAbs, \Gamma \vdash \lambda y : T_2.[x \mapsto s]t_1 : T_2 \to T_1$ . But this is precisely the needed result, since, by the definition of substitution,  $[x \mapsto s]t = \lambda y : T_1.[x \mapsto s]t_1$ .
- 3. Case TApp:  $t = t_1 \ t_2, \Gamma, x : S \vdash t_1 : T_2 \to T_1, \Gamma, x : S \vdash t_2 : T_2, T = T_1$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : T_2 \to T_1$  and  $\Gamma \vdash [x \mapsto s]t_2 : T_2$ . By TApp,  $\Gamma \vdash [x \mapsto s]t_1 \ [x \mapsto s]t_2 : T$ , then  $\Gamma \vdash [x \mapsto s](t_1 \ t_2) : T$ .
- 4. Case TRcd:  $t = \{l_i = t_i^{i \in 1 \cdots n}\}$ , for each  $i, \Gamma, x : S \vdash t_i : T_i, T = \{l_i = T_i^{i \in 1 \cdots n}\}$ . By the induction hypothesis, for each  $i, \Gamma \vdash [x \mapsto s]t_i : T_i$ . By the  $TRcd, \Gamma \vdash \{l_i = [x \mapsto s]t_i^{i \in 1 \cdots n}\} : T$ , then  $\Gamma \vdash [x \mapsto s]\{l_i = t_i^{i \in 1 \cdots n}\} : T$ .
- 5. Case TLet:  $t = (let \ y = t_1 \ in \ t_2), \Gamma, x : S \vdash t_1 : T_1, \Gamma, x : S, \ y : T_1 \vdash t_2 : T_2, \ T = T_2.$  By convention, we may assume  $x \neq y$  and  $y \notin FV(s)$ . Using permutation on the given subderivation, we obtain  $\Gamma, y : T_1, x : S \vdash t_2 : T_2$ . Using weakening on the other given derivation  $(\Gamma \vdash s : S)$ , we obtain  $\Gamma, y : T_1 \vdash s : S$ . Now, by the induction hypothesis,  $\Gamma, y : T_1 \vdash [x \mapsto s]t_2 : T_2$  and  $\Gamma \vdash [x \mapsto s]t_1 : T_1$ . By  $TLet, \Gamma \vdash let \ y : [x \mapsto s]t_1 \ in \ [x \mapsto s]t_2 : T_2$ . But this is precisely the needed result, since,  $[x \mapsto s]t = (let \ y = [x \mapsto s]t_1 \ in \ [x \mapsto s]t_2)$ .

- 6. Case TProj:  $t = t_1.l_j$ ,  $\Gamma, x : S \vdash t_1 : \{l_i = T_i^{i \in 1 \cdots n}\}$  and  $T = T_j$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : \{l_i = T_i^{i \in 1 \cdots n}\}$ . By TProj,  $\Gamma \vdash [x \mapsto s]t_1.l_j : T$ . But this is precisely the needed result, since,  $[x \mapsto s]t = [x \mapsto s]t_1.l_j$ .
- 7. Case TFix:  $t = fix \ t_1$  and  $\Gamma, x : S \vdash t_1 : T \to T$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : T \to T$ . By TFix,  $\Gamma \vdash fix \ [x \mapsto s]t_1 : T$ . But this is precisely the needed result, since,  $[x \mapsto s]t = fix \ [x \mapsto s]t_1$ .

LEMMA[Preservation]: If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

*Proof*: By induction on a derivation of t:T. At each step of the induction, we assume that the desired property holds for all subderivations (i.e., that if s:S and  $s\longrightarrow s'$ , then s':S, whenever s:S is proved by a subderivation of the present one) and proceed by case analysis on the final rule in the derivation.

- 1. Case TVar: It cannot be the case that  $t \longrightarrow t'$  for any t', and the requirements of the theorem are vacuously satisfied.
- 2. Case TAbs:  $t = \lambda x : T_2.t_1$ , with  $T = T_2 \to T_1$  and  $\Gamma, x : T_2 \vdash t_1 : T_1$ . If the last rule in the derivation is TAbs, then we know from the form of this rule that t must be a function  $\lambda x : T_2.t_1$  and T must be  $T_2 \to T_1$ , with  $T_1 \times T_2 \vdash t_1 : T_1$ . But then t is a value, so it cannot be the case that  $t \to t'$  for any t', and the requirements of the theorem are vacuously satisfied.
- 3. Case TApp:  $t = t_1 \ t_2$ ,  $\vdash t_1 : T_2 \to T$  and  $\vdash t_2 : T_2$ . If the last rule in the derivation is TApp, then we know from the form of this rule that t must have the form  $t_1 \ t_2$ , for some  $t_1$  and  $t_2$ . We must also have a subderivation with conclusions  $\vdash t_1 : T_2 \to T$  and  $\vdash t_2 : T_2$ . Now, looking at the evaluation rules with this form on the left-hand side, we find that there are three rules by which  $t \longrightarrow t'$  can be derived: EApp1, EApp2 and EAppAbs. We consider each case separately.
  - Subcase EApp1:  $t_1 \longrightarrow t'_1$ ,  $t' = t'_1$   $t_2$ . By the induction hypothesis,  $\vdash t'_1 : T_2 \to T$ , then we can apply rule TApp, to conclude that  $\vdash t'_1 t_2 : T$ , that is  $\vdash t' : T$ .
  - Subcase EApp2:  $t_2 \longrightarrow t_2'$ ,  $t' = t_1 \ t_2'$ . By the induction hypothesis,  $\vdash t_2' : T_2$ , then we can apply rule TApp, to conclude that  $\vdash t_1 \ t_2' : T$ , that is  $\vdash t' : T$ .
  - Subcase EAppAbs:  $t_1 = \lambda x : T_1.t_{12}, t_2 = v_2, t' = [x \mapsto v_2]t_{12}$  and  $\vdash t_{12} : T$  for the inversion lemma. The resulting term  $\vdash [x \mapsto v_2]t_{12} : T$ , for the Substitution lemma, that is  $\vdash t' : T$ .
- 4. Case TRcd:  $t = \{l_i = t_i^{i \in 1 \dots n}\}$ , for each  $i, \vdash t_i : T_i, T = \{l_i = T_i^{i \in 1 \dots n}\}$ .
  - If for each  $i, t_i = v_i$ , then t is a value, so it cannot be the case that  $t \longrightarrow t'$  for any t', and the requirements of the theorem are vacuously satisfied.
  - Subcase ERcd:  $t = \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t_j, l_k = t_k^{k \in j+1 \dots n}\}, t_j \longrightarrow t'_j, t' = \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t'_j, l_k = t_k^{k \in j+1 \dots n}\}$  and  $\vdash t_j : T_j$ . By the induction hypothesis,  $\vdash t'_j : T_j$ , then we can apply rule TRcd, to conclude that  $\vdash t' : T$ .
- 5. Case TLet:  $t = (let \ x = t_1 \ in \ t_2), \Gamma \vdash t_1 : T_1 \ and \ \Gamma, x : T_1 \vdash t_2 : T$ . If the last rule in the derivation is TLet, then we know from the form of this rule that t must have the form

(let  $x = t_1$  in  $t_2$ ), for some  $t_1$  and  $t_2$ . We must also have a subderivation with conclusions  $\vdash t_1 : T_1$  and  $\vdash t_2 : T_2$ . Now, looking at the evaluation rules with Let form on the left-hand side, we find that there are two rules by which  $t \longrightarrow t'$  can be derived: ELetV and ELet. We consider each case separately.

- Subcase ELetV:  $t_1 = v_1$ ,  $t' = [x \mapsto v_1]t_2$ , and  $\vdash t_2 : T$ . Then for the substitution lemma t' : T.
- Subcase ELet:  $t_1 \longrightarrow t'_1$  and  $t' = (let \ x = t'_1 \ in \ t_2)$ . By the induction hypothesis,  $\vdash t'_1 : T_1$ , then we can apply rule TLet, to conclude that  $\vdash (let \ x = t'_1 \ in \ t_2) : T$ , that is  $\vdash t' : T$ .
- 6. Case TProj:  $t = t_1.l_j$ ,  $\vdash t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . If the last rule in the derivation is TProj, then we know from the form of this rule that t must have the form  $t_1.l_j$ . We must also have a subderivation with conclusions  $\vdash t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . Now, looking at the evaluation rules with this form on the left-hand side, we find that there are two rules by which  $t \longrightarrow t'$  can be derived: EProjRcd and EProj. We consider each case separately.
  - Subcase EProjRcd:  $t_1 = \{l_i = v_i^{i \in 1 \cdots n}\}$  and  $t' = v_j$ . This means we are finished, since we know  $\vdash v_j : T_j$  and  $T = T_j$ .
  - Subcase  $EProj: t_1 \longrightarrow t_1'$  and  $t' = t_1'.t_j$ . By the induction hypothesis,  $\vdash t_1': \{l_i = T_i^{i \in 1 \cdots n}\}$ , then we can apply rule TLet, to conclude that  $\vdash t_1'.t_j: T_j$ , that is  $\vdash t': T$ .
- 7. Case TFix:  $t = fix \ t_1$  and  $\vdash t_1 : T \to T$ . If the last rule in the derivation is TFix, then we know from the form of this rule that t must have the form  $fix \ t_1$ , for some  $t_1$ . We must also have a subderivation with conclusions  $\vdash t_1 : T \to T$ . Now, looking at the evaluation rules with fix on the left-hand side, we find that there are two rules by which  $t \to t'$  can be derived: EFixBeta and EFix. We consider each case separately.
  - Subcase EFixBeta:  $t_1 = \lambda x : T_1.t_2$ ,  $t' = [x \mapsto (fix (\lambda x : T_1.t_2))]t_2$ ,  $\vdash t_1 : T \to T$  and  $\vdash t_2 : T$ , for the inversion lemma. Then, by the substitution lemma,  $\vdash [x \mapsto (fix (\lambda x : T_1.t_2))]t_2 : T$ , which is what we need.
  - Subcase  $EFix: t_1 \longrightarrow t_1'$  and  $t' = fix \ t_1'$ . By the induction hypothesis,  $\vdash t_1' : T \to T$ , then we can apply rule TFix, to conclude that  $\vdash fix \ t_1' : T$ , that is  $\vdash t' : T$ .