

|                                   |  |                              |   |                        |
|-----------------------------------|--|------------------------------|---|------------------------|
| <i>Syntax :</i>                   |  |                              |   |                        |
| $t$                               | $::=$  | <i>terms :</i>               |   |                        |
| $x$                               |  | <i>variable</i>              |   |                        |
| $\lambda x : T. t$                |  | <i>abstraction</i>           |   |                        |
| $t \ t$                           |  | <i>application</i>           |   |                        |
| $\text{let } x = t \text{ in } t$ |  | <i>let binding</i>           |   |                        |
| $\{l_i = t_i^{i \in 1 \dots n}\}$ |  | <i>record</i>                |   |                        |
| $t.l$                             |  | <i>projection</i>            |   |                        |
| $\text{fix } t$                   |  | <i>fixed point of } t</i>    |   |                        |
| $v$                               | $::=$  | <i>values :</i>              |   |                        |
| $\lambda x : T. t$                |  | <i>abstraction value</i>     |   |                        |
| $\{l_i = v_i^{i \in 1 \dots n}\}$ |  | <i>record value</i>          |   |                        |
| $T$                               | $::=$  | <i>types :</i>               |   |                        |
| $T \rightarrow T$                 |  | <i>type of functions</i>     |   |                        |
| $\{l_i = T_i^{i \in 1 \dots n}\}$ |  | <i>type of record</i>        |   |                        |
| $\Gamma$                          | $::=$  | <i>contexts :</i>            |   |                        |
| $\emptyset$                       |  | <i>empty context :</i>       |   |                        |
| $\Gamma, x : T$                   |  | <i>term variable binding</i> |   |                        |
| <i>Typing :</i>                   |  | $\Gamma \vdash t : T$        |   |                        |
|                                   | $\frac{x : T \in \Gamma}{\Gamma \vdash x : T}$   | (TVar)                       |   |                        |
|                                   | $\frac{\Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x : T_1. t_2 : T_1 \rightarrow T_2}$  | (TAbs)                       |   |                        |
|                                   | $\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$                    | (TApp)                       |   |                        |
|                                   | $\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{l_i = t_i^{i \in 1 \dots n}\} : \{l_i : T_i^{i \in 1 \dots n}\}}$ | (TRcd)                       |   |                        |
|                                   | $\frac{\Gamma \vdash t_1 : \{l_i : T_i^{i \in 1 \dots n}\}}{\Gamma \vdash t_1.l_j : T_j}$  | (TProj)                      |   |                        |
|                                   | $\frac{\Gamma \vdash t_1 : T_1 \rightarrow T_1}{\Gamma \vdash \text{fix } t_1 : T_1}$  | (TFix)                       |   |                        |
|                                   |  |                              | <i>terms :</i>  |                        |
|                                   |  |                              | $\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma, x : T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : T_2}$  | (TLet)                 |
|                                   |  |                              | <i>Evaluation :</i>   | $t \longrightarrow t'$ |
|                                   |  |                              | $\frac{t_1 \longrightarrow t'_1}{t_1 t_2 \longrightarrow t'_1 t_2}$   | (EApp <sub>1</sub> )   |
|                                   |  |                              | $\frac{t_2 \longrightarrow t'_2}{v_1 t_2 \longrightarrow v_1 t'_2}$   | (EApp <sub>2</sub> )   |
|                                   |  |                              | $(\lambda x : T_{11}. t_{12}) \ v_2 \longrightarrow [x \mapsto v_2] t_{12}$   | (EAppAbs)              |
|                                   |  |                              | $\{l_i = v_i^{i \in 1 \dots n}\}. l_j \longrightarrow v_j$  | (EProjRcd)             |
|                                   |  |                              | $\frac{t_1 \longrightarrow t'_1}{t_1.l \longrightarrow t'_1.l}$   | (EProj)                |
|                                   |  |                              | $\frac{t_j \longrightarrow t'_j}{\{l_i = v_i^{i \in 1 \dots j-1}, l_j = t_j, l_k = t_k^{k \in j+1 \dots n}\} \longrightarrow \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t'_j, l_k = t_k^{k \in j+1 \dots n}\}}$ | (ERcd)                 |
|                                   |  |                              | $\text{let } x = v_1 \text{ in } t_2 \longrightarrow [x \mapsto v_1] t_2$   | (ELetV)                |
|                                   |  |                              | $\frac{t_1 \longrightarrow t'_1}{\text{let } x = t_1 \text{ in } t_2 \longrightarrow \text{let } x = t'_1 \text{ in } t_2}$   | (ELet)                 |
|                                   |  |                              | $\text{fix } (\lambda x : T_1. t_2) \longrightarrow [x \mapsto (\text{fix } (\lambda x : T_1. t_2))] t_2$   | (EFixBeta)             |
|                                   |  |                              | $\frac{t_1 \longrightarrow t'_1}{\text{fix } t_1 \longrightarrow \text{fix } t'_1}$   | (EFix)                 |
|                                   |  |                              | <i>Derived Forms :</i>  |                        |
|                                   |  |                              | $\text{letrec } x : T_1 = t_1 \text{ in } t_2 \stackrel{\text{def}}{=} \text{let } x = \text{fix } (\lambda x : T_1. t_1) \text{ in } t_2$  |                        |

Cuadro 1: Simply typed lambda-calculus with Let binding, Records and General recursion.

LEMMA [Inversion of the Typing Relation]:

1. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$
2. If  $\Gamma \vdash \lambda x : T_1.t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$ , with  $\Gamma, x : T_1 \vdash t_2 : R_2$ .
3. If  $\Gamma \vdash t_1 t_2 : R$ , then there is some type  $T_{11}$  such that  $\Gamma \vdash t_1 : T_{11} \rightarrow R$  and  $\Gamma \vdash t_2 : T_{11}$ .
4. If  $\Gamma \vdash \text{let } x = t_1 \text{ in } t_2 : R$ , then there is some type  $T_1$  such that  $\Gamma \vdash t_1 : T_1$ , with  $\Gamma, x : T_1 \vdash t_2 : R$ .
5. If  $\Gamma \vdash \{l_i = t_i^{i \in 1 \dots n}\} : R$ , then there are some types  $R_i^{i \in 1 \dots n}$  such that for each  $i$  is satisfied that  $\Gamma \vdash t_i : R_i$  and  $R = \{l_i = R_i^{i \in 1 \dots n}\}$ .
6. If  $\Gamma \vdash t.l_j : R$ , then there is some type  $\{l_i = R_i^{i \in 1 \dots n}\}$  such that  $\Gamma \vdash t : \{l_i = R_i^{i \in 1 \dots n}\}$  and  $R = R_j$ .
7.  $\Gamma \vdash \text{fix } t_1 : R$  then  $\Gamma \vdash t_1 : R \rightarrow R$ .

*Proof* : Immediate from the definition of the typing relation.

LEMMA [Canonical Forms]:

1. If  $v$  is a value of type  $T_1 \rightarrow T_2$ , then  $v = \lambda x : T_1.t_2$ .
2. If  $v$  is a value of type  $\{l_i = T_i^{i \in 1 \dots n}\}$  then  $v = \{l_i = v_i^{i \in 1 \dots n}\}$ , and  $\Gamma \vdash v_i : T_i \ i \in 1 \dots n$ .

*Proof* : Straightforward.

THEOREM [Progress]: Suppose  $t$  is a closed, well-typed term (that is,  $\vdash t' : T$  for some  $T$ ). Then either  $t$  is a value or else there is some  $t'$  with  $t \longrightarrow t'$ . Proof: Straightforward induction on typing derivations.

1. The variable case cannot occur (because  $t$  is closed).
2. The abstraction case is immediate, since abstractions are values.
3. For application, where  $t = t_1 t_2$ , with  $\vdash t_1 : T_{11} \rightarrow T_{12}$  and  $\vdash t_2 : T_{11}$ , for the inversion lemma. Then, by the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation, and likewise  $t_2$ . If  $t_1$  can take a step, then rule *EApp1* applies to  $t$ . If  $t_1$  is a value and  $t_2$  can take a step, then rule *EApp2* applies. Finally, if both  $t_1$  and  $t_2$  are values, then the canonical forms lemma tells us that  $t_1$  has the form  $\lambda x : T_{11}.t_{12}$ , and so rule *EAppAbs* applies to  $t$ .
4. If  $t = (\text{let } x = t_1 \text{ in } t_2)$ , then  $\vdash t_1 : T_1$  and  $\vdash t_2 : T_2$ , for the inversion lemma. Then, by the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation. If  $t_1$  can take a step, then rule *ELet* applies to  $t$ . If  $t_1$  is a value, then rule *ELetV* applies.
5. If  $t = \{l_i = t_i^{i \in 1 \dots n}\}$ , then there are some types  $T_i^{i \in 1 \dots n}$  such that for each  $i$  is satisfied that  $\Gamma \vdash t_i : T_i$  and  $T = \{l_i = T_i^{i \in 1 \dots n}\}$ . By the induction hypothesis, for each  $t_i^{i \in 1 \dots n}$ , either it is a value or else it can make a step of evaluation. If all the  $t_i^{i \in 1 \dots n}$  are values, then  $t$  is a value. If all the  $t_i^{i \in 1 \dots n}$  are not values, then there is  $t_j$  such that it can take a step, then rule *ERcd* applies to  $t$ .

6. If  $t = t'.l_j$ , then there is some type  $\{l_i = T_i^{i \in 1 \dots n}\}$  such that  $\Gamma \vdash t' : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . By the induction hypothesis, either  $t'$  is a value or else it can make a step of evaluation. If  $t'$  can take a step, then rule  $EProj$  applies to  $t$ . If  $t'$  is a value, then rule  $EProjRcd$  applies.
7. If  $t = \text{fix } t'$ , then  $\Gamma \vdash t' : T \rightarrow T$ , for the inversion lemma. By the induction hypothesis, either  $t'$  is a value or else it can make a step of evaluation. If  $t'$  can take a step, then rule  $EFix$  applies to  $t$ . If  $t'$  is a value, then the canonical forms lemma tells us that  $t'$  has the form  $\lambda x : T.t_1$ , and so rule  $EFixBeta$  applies to  $t$ .

LEMMA[Permutation]: If  $\Gamma \vdash t : T$  and  $\Delta$  is a permutation of  $\Gamma$ , then  $\Delta \vdash t : T$ .

*Proof:* Straightforward induction on typing derivations.

LEMMA[Weakening]: If  $\Gamma \vdash t : T$  and  $x \notin \text{dom}(\Gamma)$ , then  $\Gamma, x : S \vdash t : T$ .

*Proof:* Straightforward induction on typing derivations.

LEMMA [Preservation of types under substitution]: If  $\Gamma, x : S \vdash t : T$  and  $\Gamma \vdash s : S$ , then  $\Gamma \vdash [x \mapsto s]t : T$ .

*Proof:* By induction on a derivation of the statement  $\Gamma, x : S \vdash t : T$ . For a given derivation, we proceed by cases on the final typing rule used in the proof.

1. *Case TVar*:  $t = z$  with  $z : T \in (\Gamma, x : S)$ . There are two sub-cases to consider, depending on whether  $z$  is  $x$  or another variable. If  $z = x$ , then  $[x \mapsto s]z = s$ . The required result is then  $\Gamma \vdash s : S$ , which is among the assumptions of the lemma. Otherwise,  $[x \mapsto s]z = z$ , and the desired result is immediate.
2. *Case TAbs*:  $t = \lambda y : T_2.t_1$ , with  $T = T_2 \rightarrow T_1$  and  $\Gamma, x : S, y : T_2 \vdash t_1 : T_1$ . By convention, we may assume  $x \neq y$  and  $y \notin \text{FV}(s)$ . Using permutation on the given subderivation, we obtain  $\Gamma, y : T_2, x : S \vdash t_1 : T_1$ . Using weakening on the other given derivation ( $\Gamma \vdash s : S$ ), we obtain  $\Gamma, y : T_2 \vdash s : S$ . Now, by the induction hypothesis,  $\Gamma, y : T_2 \vdash [x \mapsto s]t_1 : T_1$ . By  $TAbs$ ,  $\Gamma \vdash \lambda y : T_2.[x \mapsto s]t_1 : T_2 \rightarrow T_1$ . But this is precisely the needed result, since, by the definition of substitution,  $[x \mapsto s]t = \lambda y : T_1.[x \mapsto s]t_1$ .
3. *Case TApp*:  $t = t_1 t_2$ ,  $\Gamma, x : S \vdash t_1 : T_2 \rightarrow T_1$ ,  $\Gamma, x : S \vdash t_2 : T_2$ ,  $T = T_1$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : T_2 \rightarrow T_1$  and  $\Gamma \vdash [x \mapsto s]t_2 : T_2$ . By  $TApp$ ,  $\Gamma \vdash [x \mapsto s]t_1 [x \mapsto s]t_2 : T$ , then  $\Gamma \vdash [x \mapsto s](t_1 t_2) : T$ .
4. *Case TRcd*:  $t = \{l_i = t_i^{i \in 1 \dots n}\}$ , for each  $i$ ,  $\Gamma, x : S \vdash t_i : T_i$ ,  $T = \{l_i = T_i^{i \in 1 \dots n}\}$ . By the induction hypothesis, for each  $i$ ,  $\Gamma \vdash [x \mapsto s]t_i : T_i$ . By the  $TRcd$ ,  $\Gamma \vdash \{l_i = [x \mapsto s]t_i^{i \in 1 \dots n}\} : T$ , then  $\Gamma \vdash [x \mapsto s]\{l_i = t_i^{i \in 1 \dots n}\} : T$ .
5. *Case TLet*:  $t = (\text{let } y = t_1 \text{ in } t_2)$ ,  $\Gamma, x : S \vdash t_1 : T_1$ ,  $\Gamma, x : S, y : T_1 \vdash t_2 : T_2$ ,  $T = T_2$ . By convention, we may assume  $x \neq y$  and  $y \notin \text{FV}(s)$ . Using permutation on the given subderivation, we obtain  $\Gamma, y : T_1, x : S \vdash t_2 : T_2$ . Using weakening on the other given derivation ( $\Gamma \vdash s : S$ ), we obtain  $\Gamma, y : T_1 \vdash s : S$ . Now, by the induction hypothesis,  $\Gamma, y : T_1 \vdash [x \mapsto s]t_2 : T_2$  and  $\Gamma \vdash [x \mapsto s]t_1 : T_1$ . By  $TLet$ ,  $\Gamma \vdash \text{let } y : [x \mapsto s]t_1 \text{ in } [x \mapsto s]t_2 : T_2$ . But this is precisely the needed result, since,  $[x \mapsto s]t = (\text{let } y = [x \mapsto s]t_1 \text{ in } [x \mapsto s]t_2)$ .

6. *Case TProj*:  $t = t_1.l_j$ ,  $\Gamma, x : S \vdash t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$ . By *TProj*,  $\Gamma \vdash [x \mapsto s]t_1.l_j : T$ . But this is precisely the needed result, since,  $[x \mapsto s]t = [x \mapsto s]t_1.l_j$ .
7. *Case TFix*:  $t = \text{fix } t_1$  and  $\Gamma, x : S \vdash t_1 : T \rightarrow T$ . By the induction hypothesis,  $\Gamma \vdash [x \mapsto s]t_1 : T \rightarrow T$ . By *TFix*,  $\Gamma \vdash \text{fix } [x \mapsto s]t_1 : T$ . But this is precisely the needed result, since,  $[x \mapsto s]t = \text{fix } [x \mapsto s]t_1$ .

LEMMA[Preservation]: If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

*Proof*: By induction on a derivation of  $t : T$ . At each step of the induction, we assume that the desired property holds for all subderivations (i.e., that if  $s : S$  and  $s \longrightarrow s'$ , then  $s' : S$ , whenever  $s : S$  is proved by a subderivation of the present one) and proceed by case analysis on the final rule in the derivation.

1. *Case TVar*: It cannot be the case that  $t \longrightarrow t'$  for any  $t'$ , and the requirements of the theorem are vacuously satisfied.
2. *Case TAbs*:  $t = \lambda x : T_2.t_1$ , with  $T = T_2 \rightarrow T_1$  and  $\Gamma, x : T_2 \vdash t_1 : T_1$ . If the last rule in the derivation is *TAbs*, then we know from the form of this rule that  $t$  must be a function  $\lambda x : T_2.t_1$  and  $T$  must be  $T_2 \rightarrow T_1$ , with  $\Gamma, x : T_2 \vdash t_1 : T_1$ . But then  $t$  is a value, so it cannot be the case that  $t \longrightarrow t'$  for any  $t'$ , and the requirements of the theorem are vacuously satisfied.
3. *Case TApp*:  $t = t_1 t_2$ ,  $\vdash t_1 : T_2 \rightarrow T$  and  $\vdash t_2 : T_2$ . If the last rule in the derivation is *TApp*, then we know from the form of this rule that  $t$  must have the form  $t_1 t_2$ , for some  $t_1$  and  $t_2$ . We must also have a subderivation with conclusions  $\vdash t_1 : T_2 \rightarrow T$  and  $\vdash t_2 : T_2$ . Now, looking at the evaluation rules with this form on the left-hand side, we find that there are three rules by which  $t \longrightarrow t'$  can be derived: *EApp1*, *EApp2* and *EAppAbs*. We consider each case separately.
  - *Subcase EApp1*:  $t_1 \longrightarrow t'_1$ ,  $t' = t'_1 t_2$ . By the induction hypothesis,  $\vdash t'_1 : T_2 \rightarrow T$ , then we can apply rule *TApp*, to conclude that  $\vdash t'_1 t_2 : T$ , that is  $\vdash t' : T$ .
  - *Subcase EApp2*:  $t_2 \longrightarrow t'_2$ ,  $t' = t_1 t'_2$ . By the induction hypothesis,  $\vdash t'_2 : T_2$ , then we can apply rule *TApp*, to conclude that  $\vdash t_1 t'_2 : T$ , that is  $\vdash t' : T$ .
  - *Subcase EAppAbs*:  $t_1 = \lambda x : T_1.t_{12}$ ,  $t_2 = v_2$ ,  $t' = [x \mapsto v_2]t_{12}$  and  $\vdash t_{12} : T$  for the inversion lemma. The resulting term  $\vdash [x \mapsto v_2]t_{12} : T$ , for the Substitution lemma, that is  $\vdash t' : T$ .
4. *Case TRcd*:  $t = \{l_i = t_i^{i \in 1 \dots n}\}$ , for each  $i$ ,  $\vdash t_i : T_i$ ,  $T = \{l_i = T_i^{i \in 1 \dots n}\}$ .
  - If for each  $i$ ,  $t_i = v_i$ , then  $t$  is a value, so it cannot be the case that  $t \longrightarrow t'$  for any  $t'$ , and the requirements of the theorem are vacuously satisfied.
  - *Subcase ERcd*:  $t = \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t_j, l_k = t_k^{k \in j+1 \dots n}\}$ ,  $t_j \longrightarrow t'_j$ ,  $t' = \{l_i = v_i^{i \in 1 \dots j-1}, l_j = t'_j, l_k = t_k^{k \in j+1 \dots n}\}$  and  $\vdash t_j : T_j$ . By the induction hypothesis,  $\vdash t'_j : T_j$ , then we can apply rule *TRcd*, to conclude that  $\vdash t' : T$ .
5. *Case TLet*:  $t = (\text{let } x = t_1 \text{ in } t_2)$ ,  $\Gamma \vdash t_1 : T_1$  and  $\Gamma, x : T_1 \vdash t_2 : T$ . If the last rule in the derivation is *TLet*, then we know from the form of this rule that  $t$  must have the form

(*let*  $x = t_1$  *in*  $t_2$ ), for some  $t_1$  and  $t_2$ . We must also have a subderivation with conclusions  $\vdash t_1 : T_1$  and  $\vdash t_2 : T_2$ . Now, looking at the evaluation rules with *Let* form on the left-hand side, we find that there are two rules by which  $t \longrightarrow t'$  can be derived: *ELetV* and *ELet*. We consider each case separately.

- *Subcase ELetV*:  $t_1 = v_1$ ,  $t' = [x \mapsto v_1]t_2$ , and  $\vdash t_2 : T$ . Then for the substitution lemma  $t' : T$ .
  - *Subcase ELet*:  $t_1 \longrightarrow t'_1$  and  $t' = (\text{let } x = t'_1 \text{ in } t_2)$ . By the induction hypothesis,  $\vdash t'_1 : T_1$ , then we can apply rule *TLet*, to conclude that  $\vdash (\text{let } x = t'_1 \text{ in } t_2) : T$ , that is  $\vdash t' : T$ .
6. *Case TProj*:  $t = t_1.l_j$ ,  $\vdash t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . If the last rule in the derivation is *TProj*, then we know from the form of this rule that  $t$  must have the form  $t_1.l_j$ . We must also have a subderivation with conclusions  $\vdash t_1 : \{l_i = T_i^{i \in 1 \dots n}\}$  and  $T = T_j$ . Now, looking at the evaluation rules with this form on the left-hand side, we find that there are two rules by which  $t \longrightarrow t'$  can be derived: *EProjRcd* and *EProj*. We consider each case separately.
- *Subcase EProjRcd*:  $t_1 = \{l_i = v_i^{i \in 1 \dots n}\}$  and  $t' = v_j$ . This means we are finished, since we know  $\vdash v_j : T_j$  and  $T = T_j$ .
  - *Subcase EProj*:  $t_1 \longrightarrow t'_1$  and  $t' = t'_1.t_j$ . By the induction hypothesis,  $\vdash t'_1 : \{l_i = T_i^{i \in 1 \dots n}\}$ , then we can apply rule *TLet*, to conclude that  $\vdash t'_1.t_j : T_j$ , that is  $\vdash t' : T$ .
7. *Case TFix*:  $t = \text{fix } t_1$  and  $\vdash t_1 : T \rightarrow T$ . If the last rule in the derivation is *TFix*, then we know from the form of this rule that  $t$  must have the form  $\text{fix } t_1$ , for some  $t_1$ . We must also have a subderivation with conclusions  $\vdash t_1 : T \rightarrow T$ . Now, looking at the evaluation rules with *fix* on the left-hand side, we find that there are two rules by which  $t \longrightarrow t'$  can be derived: *EFixBeta* and *EFix*. We consider each case separately.
- *Subcase EFixBeta*:  $t_1 = \lambda x : T_1.t_2$ ,  $t' = [x \mapsto (\text{fix } (\lambda x : T_1.t_2))]t_2$ ,  $\vdash t_1 : T \rightarrow T$  and  $\vdash t_2 : T$ , for the inversion lemma. Then, by the substitution lemma,  $\vdash [x \mapsto (\text{fix } (\lambda x : T_1.t_2))]t_2 : T$ , which is what we need.
  - *Subcase EFix*:  $t_1 \longrightarrow t'_1$  and  $t' = \text{fix } t'_1$ . By the induction hypothesis,  $\vdash t'_1 : T \rightarrow T$ , then we can apply rule *TFix*, to conclude that  $\vdash \text{fix } t'_1 : T$ , that is  $\vdash t' : T$ .