

# The State of the Art in Gradual Typing

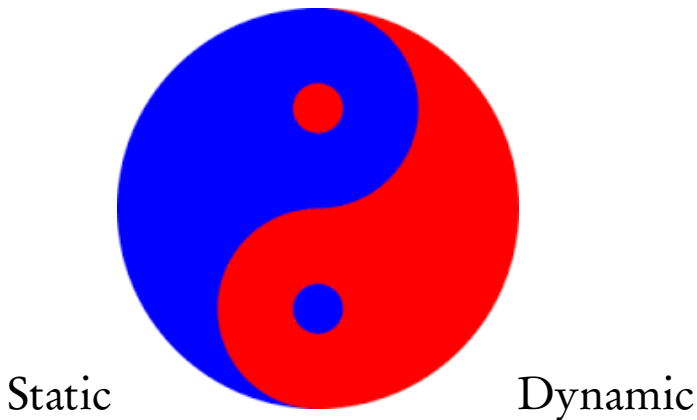
Jeremy G. Siek

Indiana University, Bloomington

SICSA Summer School  
on Practical Types  
University of St. Andrews  
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# Integrating Static and Dynamic Typing



# State of the Art in Gradual Typing

## Outline:

- ▶ Functions
  - ▶ Type System
  - ▶ Operational Semantics
  - ▶ Gradual Type Safety
  - ▶ Space and Time Efficiency
- ▶ Mutable References
- ▶ Objects
- ▶ Parametric Polymorphism

# Gradual typing includes dynamic typing

An untyped program:

```
let  
   $f = \lambda y. 1 + y$   
   $h = \lambda g. g \ 3$   
in  
   $h \ f$   
→  
4
```

# Gradual typing includes dynamic typing

A buggy untyped program:

```
let  
   $f = \lambda y. 1 + y$   
   $h = \lambda g. g \text{ true}$   
in  
   $h f$   
→  
  blame  $\ell_2$ 
```

Just like dynamic typing, the error is caught at run time.

# Gradual typing includes static typing

A typed program:

```
let  
   $f = \lambda y:\text{int}. 1 + y$   
   $h = \lambda g:\text{int} \rightarrow \text{int}. g \ 3$   
in  
   $h \ f$   
 $\longrightarrow$   
  4
```

# Gradual typing includes static typing

An ill-typed program:

```
let  
   $f = \lambda y:\text{int}. 1 + y$   
   $h = \lambda g:\text{int} \rightarrow \text{int}. g \text{ true}$   
in  
   $h f$ 
```

Just like static typing, the error is caught at compile time.

# Gradual typing provides fine-grained mixing

A partially typed program:

```
let
   $f = \lambda y:\text{int}. 1 + y$ 
   $h = \lambda g. g \ 3$ 
in
   $h \ f$ 
→
4
```



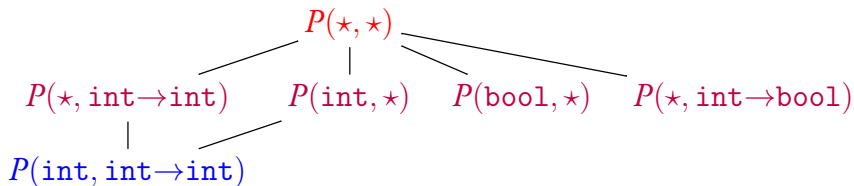
# Gradual typing protects type invariants

A buggy, partially typed program:

```
let
   $f = \lambda y:\text{int}. 1 + y$ 
   $h = \lambda g.g \text{ true}$ 
in
   $h f$ 
→
blame  $\ell_3$ 
```

# Gradual typing enables migration

$$P(T_1, T_2) \equiv \begin{array}{l} \text{let} \\ \quad f = \lambda y:T_1. 1 + y \\ \quad h = \lambda g:T_2. g \ 3 \\ \text{in} \\ \quad h \ f \end{array}$$



# Why support static typing?

- ▶ **Communication**

Machine-checked documentation of module interfaces.

- ▶ **Reliability**

- ▶ Early error detection.

- ▶ Protects abstractions and establishes invariants.

- ▶ **Productivity**

Aids auto-completion and guides refactoring.

- ▶ **Efficiency**

# Why support dynamic typing?

- ▶ ~~Don't have to write type annotations.~~
- ▶ **Expressiveness**  
Sometimes the most elegant and reusable expression of a software component won't type check.
- ▶ **Cognitive load**  
Sometimes thinking about the type system distracts from the programmer's current task.
- ▶ **Learning curve**  
For the beginner programmer, learning a static type system adds a significant hurdle.

# Alternatives to Gradual Typing

- ▶ Add a **dynamic** type and **typecase** to a typed language.
  - ▶ CPL (1960's)

“There is also a type **general** which designates an item whose type is not fixed and may, therefore, vary at run time.” — D. W. Barron et al.
  - ▶ CLU (1970's)
  - ▶ Amber (1980's)
  - ▶ Modula-3 (1990's)
- ▶ Add an **object** type and subtyping (implicit upcast) to a typed language.

# Alternatives to Gradual Typing, cont'd

- ▶ Type annotations trusted by an optimizing compiler.
  - ▶ Common LISP (1990)
  - ▶ Dylan (1996)
- ▶ Infer types (statically) from unannotated programs.
  - ▶ Hindley-Milner (1970's)
  - ▶ Soft Typing (1990's)
- ▶ Design a static type system for a dynamic language.
  - ▶ LISP (1970's)
  - ▶ Smalltalk (1980's and 1990's)
  - ▶ Erlang (1990's)
  - ▶ Scheme, Python, Ruby (2000's)

# Integrating static & dynamic typing

Approach	Static	Dynamic	Migration
dynamic type & typecase	●	○	○
subtyping & downcast	●	○	○
type hints	○	●	○
soft typing	●	●	○
types for dyn. lang.	●	○	○
gradual typing	●	●	●

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# A False Start

Notation: I write the **dynamic** type as  $\star$ .

Augment subtyping to allow implicit down-casts

$$\frac{}{T <: \star} \quad \frac{}{\star <: T} \quad \dots$$

- ▶ Quasi-static Typing. Satish Thatte. POPL 1990.
- ▶ Sage and Hybrid Typing. Gronski, Knowles, Tomb, Freund, and Flanagan. SFP 2006.

But subtyping is transitive, so `int <: string`!

- ▶ Thatte adds a “plausibility checking” post-processor.
- ▶ Gronski et al. specify a subtyping algorithm that differs from their declarative subtype relation.

# Implementations, but no theory

Reflective method calls for receivers of type  $\star$ .

```
method  $m(x : \star)$ {  
   $x.move(5, 3)$   
}
```

- ▶ Cecil. Chambers et al. Technical Report 2004.
- ▶ Visual Basic.NET. Meijer and Drayton. OOPSLA Workshop 2004.
- ▶ ProfessorJ. Gray, Findler, Flatt. OOPSLA 2005.

# Gradual Type Systems

New “consistency” relation governs implicit casts involving  $\star$ .

- For nominal type systems  
BabyJ. Anderson and Drossopoulou, WOOD 2003.

$$T_1 \sim T_2 \text{ iff } T_1 = T_2, T_1 = \star, \text{ or } T_2 = \star$$

- For structural type systems  
Gradually Typed Lambda Calculus (GTLC).  
Siek and Taha, SFP 2006.

$$\frac{}{T \sim \star} \quad \frac{}{\star \sim T} \quad \text{int} \sim \text{int}$$
$$\frac{T_1 \sim T_3 \quad T_2 \sim T_4}{T_1 \rightarrow T_2 \sim T_3 \rightarrow T_4}$$

Consistency is symmetric but not transitive.

# Replace Equality with Consistency

Rule for application in STLC:

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T}{\Gamma \vdash e_1 \ e_2 : T'}$$

Rules for application in the GTLC:

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T_2 \quad T_2 \sim T}{\Gamma \vdash e_1 \ e_2 : T'} \quad \frac{\Gamma \vdash e_1 : \star \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash e_1 \ e_2 : \star}$$

# Exercise

**Easier:** What are the gradually typed versions of the typing rules for pairs?

$$\frac{\Gamma \vdash e_1 : T_1 \quad \Gamma \vdash e_2 : T_2}{\Gamma \vdash (e_1, e_2) : T_1 \times T_2} \quad \frac{\Gamma \vdash e : T_1 \times T_2}{\Gamma \vdash \text{fst } e : T_1} \quad \frac{\Gamma \vdash e : T_1 \times T_2}{\Gamma \vdash \text{snd } e : T_2}$$

**Harder:** What is the gradually typed version of the typing rule for disjoint sum elimination?

$$\frac{\begin{array}{c} \Gamma \vdash e_1 : T_1 + T_2 \\ \Gamma, x : T_1 \vdash e_2 : T \quad \Gamma, x : T_2 \vdash e_3 : T \end{array}}{\Gamma \vdash (\text{case } e_1 \text{ of } \text{inl } x \Rightarrow e_2 \mid \text{inr } x \Rightarrow e_3) : T}$$

# Solution

Pairs:

$$\frac{\Gamma \vdash e : T \quad T \triangleright T_1 \times T_2}{\Gamma \vdash \text{fst } e : T_1} \quad \frac{\Gamma \vdash e : T \quad T \triangleright T_1 \times T_2}{\Gamma \vdash \text{snd } e : T_2}$$

where

$$\frac{}{(T_1 \times T_2) \triangleright (T_1 \times T_2)} \quad \frac{}{\star \triangleright (\star \times \star)}$$

Sums:

$$\frac{\Gamma \vdash e_1 : T_4 \quad \Gamma, x : T_1 \vdash e_2 : T' \quad \Gamma, x : T_2 \vdash e_3 : T'' \quad T_4 \triangleright T_1 + T_2 \quad T = T' \sqcap T''}{\Gamma \vdash (\text{case } e_1 \text{ of } \text{inl } x \Rightarrow e_2 \mid \text{inr } x \Rightarrow e_3) : T}$$

Greatest lower bound with respect to the less dynamic (imprecision) relation (e.g.,  $T \sqsubseteq \star$ ).

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# Protecting the Static from the Dynamic

Recall the following buggy, partially typed program:

```
let
   $f = \lambda y : \text{int}. 1 + y$ 
   $h = \lambda g. g \text{ true}$ 
in
   $h f$ 
```

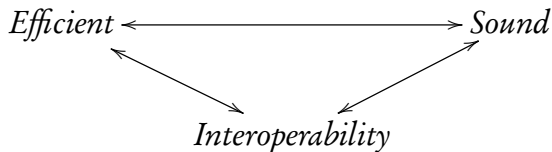
The untyped code tries to pass the Boolean `true` to parameter `y` of type `int`.

Alternative ways to deal with this:

- ▶ Erase types.
- ▶ Insert casts.
- ▶ Limit interoperability.

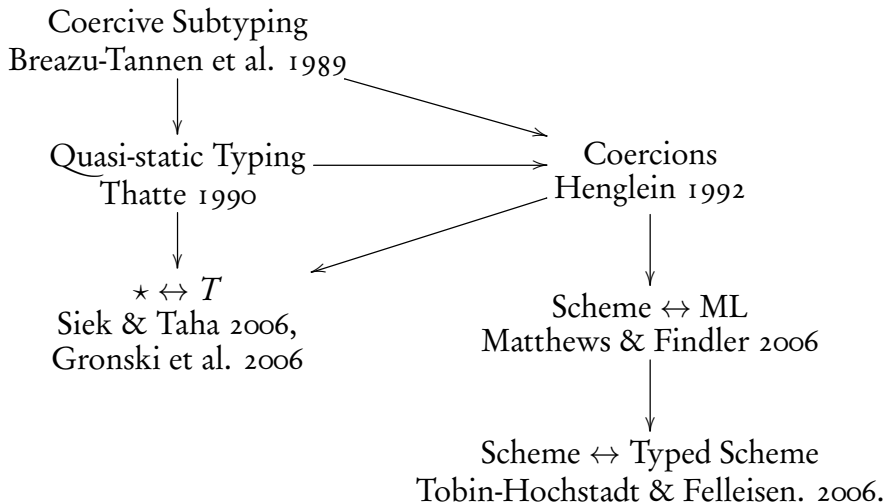


# Tensions in the Design Space



Approach	Sound	Efficient	Interoperability
Erase types	◐	◐	●
Insert casts	●	◐	●
Limit interop.	●	●	◐

# Approach: Insert Casts



# Approach: Insert Casts

Compile the GTLC to STLC + casts (CC for Cast Calculus).

A cast has the form

$$e' : T_1 \Rightarrow T_2$$

$$\boxed{\Gamma \vdash e \rightsquigarrow e' : T}$$

$$\frac{\Gamma \vdash e_1 \rightsquigarrow e'_1 : T \rightarrow T' \quad \Gamma \vdash e_2 \rightsquigarrow e'_2 : T_2 \quad T_2 \sim T}{\Gamma \vdash e_1 \ e_2 \rightsquigarrow e'_1 \ \langle\!\langle e'_2 : T_2 \Rightarrow T \rangle\!\rangle : T'}$$
$$\frac{\Gamma \vdash e_1 \rightsquigarrow e'_1 : \star \quad \Gamma \vdash e_2 \rightsquigarrow e'_2 : T_2}{\Gamma \vdash \langle\!\langle e_1 : \star \Rightarrow \star \rightarrow \star \rangle\!\rangle \ \langle\!\langle e_2 : T_2 \Rightarrow \star \rangle\!\rangle : \star}$$

where

$$\langle\!\langle e' : T_1 \Rightarrow T_2 \rangle\!\rangle = \begin{cases} e' & \text{if } T_1 = T_2 \\ e' : T_1 \Rightarrow T_2 & \text{otherwise} \end{cases}$$

# Operational Semantics of Casts

Ground types

$$G ::= \text{int} \mid \star \rightarrow \star$$

Values

$$v ::= n \mid \lambda x:T.f \mid v : G \Rightarrow \star$$

Reduction rules

$$v : G \Rightarrow \star \Rightarrow G \longrightarrow v$$

$$v : G \Rightarrow \star \Rightarrow G' \longrightarrow \text{blame} \quad \text{if } G \neq G'$$

$$v : \text{int} \Rightarrow \text{int} \longrightarrow v$$

$$v : \star \Rightarrow \star \longrightarrow v$$

$$v : T_1 \rightarrow T_2 \Rightarrow T'_1 \rightarrow T'_2 \longrightarrow \lambda x:T'_1. (v (x : T'_1 \Rightarrow T')) : T_2 \Rightarrow T'_2$$

$$v : T \Rightarrow \star \longrightarrow v : T \Rightarrow G \Rightarrow \star^\dagger$$

$$v : \star \Rightarrow T \longrightarrow v : \star \Rightarrow G \Rightarrow T^\dagger$$

$\dagger$  if  $T \sim G$ ,  $T \neq G$ , and  $T \neq \star$

# The Buggy Example Revisited

```
let
  f = λy:int. 1 + y
  h = λg:*. (g : * ⇒ *→*) (true : bool ⇒ *)
in
  h (f : int→int ⇒ *)
  →*
  (λx:*. (f (x : * ⇒ int)) : int ⇒ *) (true : bool ⇒ *)
  →
  (f (true : bool ⇒ * ⇒ int) : int ⇒ *)
  →
  (f blame) : int ⇒ *
  →
  blame
```

# Gradual Typing Protects Static Types

Every expression in a gradually typed program evaluates to a value whose type is equal to the static type of the expression.

Let  $\rho \vdash e \Downarrow v$  be the environment-passing big-step semantics of CC. Let  $\Gamma \vdash \rho$  be well-typed environments.

## Theorem (Type Soundness)

*If  $\Gamma \vdash e : T$ ,  $\Gamma \vdash \rho$ , and  $\rho \vdash e \Downarrow v$ , then  $\emptyset \vdash v : T$ .*

## Theorem (Canonical Forms)

*Suppose  $\emptyset \vdash v : T$ .*

- ▶ *If  $T = \text{int}$ , then  $v = n$  for some integer  $n$ .*
- ▶ *If  $T = T_1 \rightarrow T_2$ , then  $v = \langle \lambda x:T_1. e, \rho \rangle$  for some  $x, e'$ , and  $\rho$ .*
- ▶ *If  $T = \star$ , then  $v = (v' : G \Rightarrow \star)$  for some  $v'$  and  $G$ .*

# Alternative: limit interoperability

A number of proposed designs place restrictions on passing values between static and dynamic regions.

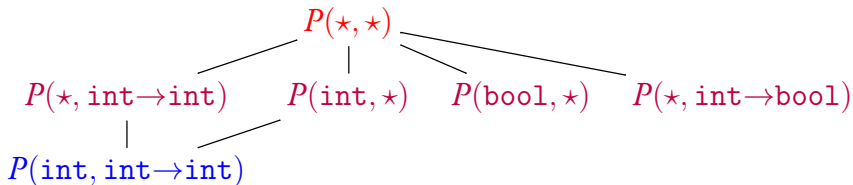
- ▶ Siek and Taha. SFP 2006. (wrt. mutable references)
- ▶ Wrigstad et al. POPL 2010.
- ▶ Allende et al. OOPSLA 2014.
- ▶ Swamy et al. POPL 2014.

It's debatable whether these designs support gradual typing.

In particular, they do not satisfy the *gradual guarantee*.

# Reminder: gradual typing enables migration

$$P(T_1, T_2) \equiv \begin{array}{l} \text{let} \\ \quad f = \lambda y:T_1. 1 + y \\ \quad h = \lambda g:T_2. g \ 3 \\ \text{in} \\ \quad h \ f \end{array}$$





# The Less Dynamic (Imprecision) Relation

Less Dynamic

$$\boxed{T \sqsubseteq T}$$

$$\text{int} \sqsubseteq \text{int} \quad T \sqsubseteq \star \quad \frac{T_1 \sqsubseteq T'_1 \quad T_2 \sqsubseteq T'_2}{T_1 \rightarrow T_2 \sqsubseteq T'_1 \rightarrow T'_2}$$

Less Dynamic on Term

$$\boxed{e \sqsubseteq e}$$

$$\frac{T \sqsubseteq T' \quad e_1 \sqsubseteq e_2}{\lambda x:T.e_1 \sqsubseteq \lambda x:T'.e_2} \quad \frac{e_1 \sqsubseteq e_2 \quad e'_1 \sqsubseteq e'_2}{(e_1 \ e'_1)^\ell \sqsubseteq (e_2 \ e'_2)^\ell} \quad \dots$$

# The Gradual Guarantee

Semantics of GTLC:

$$e \Downarrow v \equiv \exists e', T. \emptyset \vdash e \rightsquigarrow e' : T \text{ and } e' \longrightarrow^* v$$

## Theorem (Gradual Guarantee)

*Suppose  $e \sqsubseteq e'$  and  $\emptyset \vdash e : T$ .*

- ▶  *$\emptyset \vdash e' : T'$  and  $T \sqsubseteq T'$ .*
- ▶ *If  $e \Downarrow v$ , then  $e' \Downarrow v'$  and  $v \sqsubseteq v'$ .  
If  $e$  diverges then so does  $e'$ .*
- ▶ *If  $e' \Downarrow v'$ , then either  $e \Downarrow v$  and  $v \sqsubseteq v'$  or  $e \Downarrow \text{blame } \ell$ .  
If  $e'$  diverges, then either  $e$  diverges or  $e \Downarrow \text{blame } \ell$ .*

Open problem: characterize when adding types is OK.

# Exercise

What should the operational semantics for pairs look like?

- ▶ What should the ground types be?
- ▶ What should the values be?
- ▶ What are the reduction rules?

To get started, of course we need:

$$\text{fst}(v_1, v_2) \longrightarrow v_1$$

$$\text{snd}(v_1, v_2) \longrightarrow v_2$$

# Solutions

Solution 1:

$$G ::= \dots \mid \star \times \star$$

$$v ::= \dots \mid (v, v)$$

$$v : T_1 \times T_2 \Rightarrow T'_1 \times T'_2 \longrightarrow ((\text{fst } v) : T_1 \Rightarrow T'_1, (\text{snd } v) : T_2 \Rightarrow T'_2)$$

Solution 2:

$$G ::= \dots \mid \star \times \star$$

$$v ::= \dots \mid (v, v) \mid v : T \times T \Rightarrow T \times T$$

$$\text{fst } (v : T_1 \times T_2 \Rightarrow T'_1 \times T'_2) \longrightarrow (\text{fst } v) : T_1 \Rightarrow T'_1$$

$$\text{snd } (v : T_1 \times T_2 \Rightarrow T'_1 \times T'_2) \longrightarrow (\text{snd } v) : T_2 \Rightarrow T'_2$$

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# Type Safety for Gradual Typing

Is the following theorem precise enough?

Theorem (Type Safety)

*If  $\emptyset \vdash e : T$ , then either*

- ▶  *$e \longrightarrow^* v$  and  $\emptyset \vdash v : T$  for some  $v$ , or*
- ▶  *$e \longrightarrow^* \text{blame}$ , or*
- ▶  *$e$  diverges.*

No! This theorem is no stronger than a type safety theorem for a dynamically typed language. We want to know that

“code in statically typed regions can’t go wrong”  
— Tobin-Hochstadt and Fellseisen. DLS 2006.

# Blame Tracking

Attach a *blame label* to each cast

$$e : T_1 \overset{\ell}{\Rightarrow} T_2$$

that represents source position information, for example

$$\frac{\Gamma \vdash e_1 \rightsquigarrow e'_1 : T \rightarrow T' \quad \Gamma \vdash e_2 \rightsquigarrow e'_2 : T_2 \quad T_2 \sim T}{\Gamma \vdash (e_1 \ e_2) \overset{\ell}{\Rightarrow} e'_1 \ (e'_2 : T_2 \overset{\ell}{\Rightarrow} T) : T'}$$

# Blame Tracking

When a cast fails, include the label in the error report:

$$v : G \xRightarrow{\ell} \star \xRightarrow{\ell'} G' \longrightarrow \text{blame } \ell' \quad \text{if } G \neq G'$$

Propagate labels when reducing higher-order casts:

$$\begin{aligned} v : T_1 \rightarrow T_2 &\xRightarrow{\ell} T'_1 \rightarrow T'_2 \\ &\longrightarrow \\ \lambda x : T'_1. (v \ (x : T'_1 \xRightarrow{\ell} T')) : T_2 &\xRightarrow{\ell} T'_2 \end{aligned}$$



# Gradual Type Safety

## Definition (Static Region)

An expression  $e'$  is a *statically typed region* of program  $e$ , written  $static(e', e)$ , if  $e'$  is a subexpression of  $e$  and  $e'$  is typable in the STLC.

$$static(e', e) \equiv \exists C. e = C[e'] \text{ and } \Gamma \vdash_{STLC} e' : T'$$

$labels(e)$

$$labels(x) = \emptyset$$

$$labels(n) = \emptyset$$

$$labels((e_1 \ e_2)^\ell) = \{\ell\} \cup labels(e_1) \cup labels(e_2)$$

$$labels(\lambda x:T. e) = labels(e)$$

## Theorem (Gradual Type Safety)

*If  $\emptyset \vdash e \rightsquigarrow e' : T$ , then either*

- ▶  $e' \longrightarrow^* v$  and  $\emptyset \vdash_{CC} v : T$  for some  $v$ , or
- ▶  $e' \longrightarrow^* \text{blame } \ell$  and  $\forall e'', \text{static}(e'', e)$  implies  $\ell \notin \text{labels}(e'')$ ,  
or
- ▶  $e'$  diverges.

# Proof Sketch for Gradual Type Safety

Lemma (Static Regions Produce no Labels)

*If  $\Gamma \vdash_{STLC} e : T$  and  $\Gamma \vdash e \rightsquigarrow e' : T$ , then  $labels(e') = \emptyset$ .*

Lemma (Monotonicity of Labels)

*If  $e'_1 \longrightarrow e'_2$ , then  $labels(e'_2) \subseteq labels(e'_1)$ .*

# Blame-Subtyping Theorem

But even some partially-typed regions are safe: regions that only involve implicit up-casts.

$$\boxed{T <: T}$$

$$\text{int} <: \text{int} \quad \star <: \star \quad \frac{T <: G}{T <: \star} \quad \frac{S_1 <: T_1 \quad T_2 <: S_2}{T_1 \rightarrow T_2 <: S_1 \rightarrow S_2}$$

$$\boxed{\Gamma \vdash e : T \text{ safe } \ell}$$

$$\frac{\begin{array}{l} \Gamma \vdash e_1 : T \rightarrow T' \text{ safe } \ell \quad \Gamma \vdash e_2 : T_2 \text{ safe } \ell \\ (\ell \neq \ell' \text{ and } T_2 \sim T) \text{ or } (\ell = \ell' \text{ and } T_2 <: T) \end{array}}{\Gamma \vdash (e_1 \ e_2)^{\ell'} : T' \text{ safe } \ell}$$
$$\vdots$$

---

*Well-typed programs can't be blamed.* Wadler & Findler. ESOP 2009

## Theorem (Blame-Subtyping Theorem)

*If*

- ▶  $\emptyset \vdash e : T \text{ safe } \ell,$
- ▶  $\emptyset \vdash e \rightsquigarrow e' : T, \text{ and}$
- ▶  $e' \longrightarrow^* \text{blame } \ell',$

*then  $\ell \neq \ell'.$*

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# Space Consumption of Casts

```
let rec even(n:int) : ★ =  
    if n = 0 then true else odd(n - 1)  
let rec odd(n:int) : bool =  
    if n = 0 then false else even(n - 1)
```

# Space Consumption of Casts

```
let rec even(n:int) : ★ =  
  if n = 0 then true : bool ⇒ ★ else odd(n - 1) : bool ⇒ ★  
let rec odd(n:int) : bool =  
  if n = 0 then false else even(n - 1) : ★ ⇒ bool
```



# Space Consumption of Casts

*even*(3)

→ *odd*(2) : **bool** ⇒ \*

→ *even*(1) : \* ⇒ **bool** ⇒ \*

→ *odd*(0) : **bool** ⇒ \* ⇒ **bool** ⇒ \*

# Coercion Calculus

## Coercions

$$c, d ::= \text{id}_T \mid G! \mid G^{?\ell} \mid c \rightarrow d \mid c ; d \mid \perp^\ell$$

## Terms

$$e ::= \dots \mid e\langle c \rangle$$

## Reduction

$$v\langle \text{id}_T \rangle \longrightarrow v$$

$$(v\langle c \rightarrow d \rangle) W \longrightarrow (v W\langle c \rangle)\langle d \rangle$$

$$v\langle G! \rangle\langle G^{?\ell} \rangle \longrightarrow v$$

$$v\langle G! \rangle\langle G'^{?\ell} \rangle \longrightarrow \text{blame } \ell \quad \text{if } G \neq G'$$

$$v\langle c ; d \rangle \longrightarrow v\langle c \rangle\langle d \rangle$$

$$v\langle \perp^\ell \rangle \longrightarrow \text{blame } \ell$$

---

*Dynamic Typing*. Henglein. ESOP 1992

*Blame and coercion ...* Siek, Thiemann, Wadler. PLDI 2015.

# Compile Casts to Coercions

$$\langle\!\langle T \Rightarrow^\ell T \rangle\!\rangle = c$$

$$\langle\!\langle \text{int} \Rightarrow^\ell \text{int} \rangle\!\rangle = \text{id}_{\text{int}}$$

$$\langle\!\langle T_1 \rightarrow T_2 \Rightarrow^\ell T'_1 \rightarrow T'_2 \rangle\!\rangle = \langle\!\langle T'_1 \Rightarrow^\ell T_1 \rangle\!\rangle \rightarrow \langle\!\langle T_2 \Rightarrow^\ell T'_2 \rangle\!\rangle$$

$$\langle\!\langle \star \Rightarrow^\ell \star \rangle\!\rangle = \text{id}_\star$$

$$\langle\!\langle G \Rightarrow^\ell \star \rangle\!\rangle = G!$$

$$\langle\!\langle T \Rightarrow^\ell \star \rangle\!\rangle = \langle\!\langle T \Rightarrow^\ell G \rangle\!\rangle ; G! \quad \dagger$$

$$\langle\!\langle \star \Rightarrow^\ell G \rangle\!\rangle = G^{?\ell}$$

$$\langle\!\langle \star \Rightarrow^\ell T \rangle\!\rangle = G^{?\ell} ; \langle\!\langle G \Rightarrow^\ell T \rangle\!\rangle \quad \dagger$$

$\dagger$  if  $T \neq \star, T \neq G, T \sim G$

# Normalized Coercions

$$\begin{aligned} s, t &::= \text{id}_\star \mid (G^{?\ell} ; i) \mid i \\ i &::= (g ; G!) \mid g \mid \perp^\ell \\ g, h &::= \text{id}_{\text{int}} \mid (s \rightarrow t) \end{aligned}$$

$s \circ t = s$

$$\begin{aligned} \text{id}_{\text{int}} \circ \text{id}_{\text{int}} &= \text{id}_{\text{int}} \\ (s \rightarrow t) \circ (s' \rightarrow t') &= (s' \circ s) \rightarrow (t \circ t') \\ \text{id}_\star \circ t &= t \\ (g ; G!) \circ \text{id}_\star &= g ; G! \\ (G^{?\ell} ; i) \circ t &= G^{?\ell} ; (i \circ t) \\ g \circ (h ; G!) &= (g \circ h) ; G! \\ (g ; G!) \circ (G^{?\ell} ; i) &= g \circ i \\ (g ; G!) \circ (G'^{?\ell} ; i) &= \perp^\ell && \text{if } G \neq G' \\ \perp^\ell \circ s &= \perp^\ell \\ g \circ \perp^\ell &= \perp^\ell \end{aligned}$$

# Normalize Adjacent Coercions

$u ::= n \mid \lambda x:T. e$	Uncoerced Values
$v ::= u \mid u\langle s \rightarrow t \rangle \mid u\langle g ; G! \rangle$	Values
$\mathcal{E} ::= \mathcal{F} \mid \mathcal{F}[\Box\langle c \rangle]$	Evaluation contexts
$\mathcal{F} ::= \Box \mid \mathcal{E}[\Box e] \mid \mathcal{E}[v \Box]$	Cast-free contexts

$$\mathcal{E}[(u\langle s \rightarrow t \rangle) v] \longrightarrow \mathcal{E}[(u v\langle s \rangle)\langle t \rangle]$$

$$\mathcal{F}[u\langle \text{id} \rangle] \longrightarrow \mathcal{F}[u]$$

$$\mathcal{F}[e\langle s \rangle\langle t \rangle] \longrightarrow \mathcal{F}[e\langle s \circ t \rangle]$$

$$\mathcal{F}[u\langle \perp^\ell \rangle] \longrightarrow \text{blame } \ell$$

$$\mathcal{E}[\text{blame } \ell] \longrightarrow \text{blame } \ell \quad \text{if } \mathcal{E} \neq \Box$$

# Time Overhead in Function Application

## Theorem (Canonical Forms)

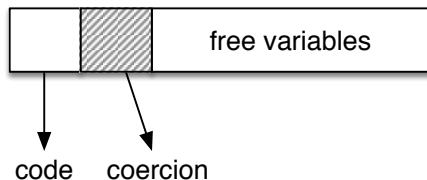
Suppose  $\emptyset \vdash v : T$ .

- If  $T = T_1 \rightarrow T_2$ , then  $v = \lambda x:T_1. e$  for some  $x$  and  $e'$ . or  $v = u \langle s \rightarrow t \rangle$ .

Compiler has to insert a branch to decide which of the following two reduction rules to apply.

$$\begin{aligned}\mathcal{E}[(\lambda x:T. e) \ v] &\longrightarrow \mathcal{E}[[x \mapsto v]e] \\ \mathcal{E}[(u \langle s \rightarrow t \rangle) \ v] &\longrightarrow \mathcal{E}[(u \ v \langle s \rangle) \langle t \rangle]\end{aligned}$$

# Hybrid Closure Representation



# CEK Machine for the STLC

$$\begin{aligned} e &::= x \mid \lambda x:T. e \mid e e \\ v &::= n \mid \langle \lambda x:T. e, \rho \rangle \end{aligned}$$

$$\begin{aligned} \langle x, \rho, \mathcal{E} \rangle &\longmapsto \langle \rho(x), \rho, \mathcal{E} \rangle \\ \langle \lambda x:T. e, \rho, \mathcal{E} \rangle &\longmapsto \langle \langle \lambda x:T. e, \rho \rangle, \rho, \mathcal{E} \rangle \\ \langle (e_1 e_2), \rho, \mathcal{E} \rangle &\longmapsto \langle e_1, \rho, \mathcal{E}[\Box \langle e_2, \rho \rangle] \rangle \\ \langle v, \rho, \mathcal{E}[\Box \langle e, \rho' \rangle] \rangle &\longmapsto \langle e, \rho', \mathcal{E}[v \Box] \rangle \\ \langle v, \rho, \mathcal{E}[\langle \lambda x:T. e, \rho' \rangle \Box] \rangle &\longmapsto \langle e, \rho'[x \mapsto v], \mathcal{E} \rangle \end{aligned}$$



# CEK Machine for the CC

$$\begin{aligned}
 e &::= x \mid \lambda x:T. e \mid e e \mid \textcolor{red}{e} : T \xRightarrow{\ell} T \\
 u &::= n \mid \langle \lambda x:T. e, \rho, \textcolor{red}{[c]} \rangle \mid \\
 v &::= u \mid \textcolor{red}{u} \langle G! \rangle
 \end{aligned}$$

$$\begin{aligned}
 \langle \lambda x:T. e, \rho, \mathcal{E} \rangle &\longmapsto \langle \langle \lambda x:T. e, \rho, \textcolor{red}{()} \rangle, \rho, \mathcal{E} \rangle \\
 \langle v, \rho, \mathcal{E}[\langle \lambda x:T. e, \rho', \textcolor{red}{c} \rangle \square] \rangle &\longmapsto \langle e, \rho'[x \mapsto v, \textcolor{red}{c} \mapsto \textcolor{red}{c}], \mathcal{E} \rangle \\
 \langle e : T_1 \xRightarrow{\ell} T_2, \rho, \mathcal{E} \rangle &\longmapsto \langle e, \rho, \mathcal{E}[\square \langle \langle T_1 \xRightarrow{\ell} T_2 \rangle \rangle] \rangle \\
 \langle e, \rho, \mathcal{F}[\square \langle c_1 \rangle][\square \langle c_2 \rangle] \rangle &\longmapsto \langle e, \rho, \mathcal{F}[\square \langle c_1 \circ c_2 \rangle] \rangle \\
 \langle v, \rho, \mathcal{F}[\square \langle c \rangle] \rangle &\longmapsto \langle v', \rho, \mathcal{F} \rangle \quad \text{if } \textit{cast}(v, c) = v' \\
 \langle v, \rho, \mathcal{F}[\square \langle c \rangle] \rangle &\longmapsto \textit{blame } \ell \quad \text{if } \textit{cast}(v, c) = \textit{blame } \ell
 \end{aligned}$$

## Apply Cast to Value

$$\boxed{\text{cast}(v, c) = r}$$

$$\text{cast}(u, G!) = u\langle G! \rangle$$

$$\text{cast}(u\langle G! \rangle, G'?^\ell) = \begin{cases} u & \text{if } G = G' \\ \text{blame } \ell & \text{otherwise} \end{cases}$$

$$\text{cast}(\langle \lambda x. e, \rho, () \rangle, c_2) = \langle \lambda y. e', \rho, c_2 \rangle$$

$$\text{where } e' \equiv \text{let } x = y\langle \text{dom}(\rho(c)) \rangle \text{ in } e\langle \text{rng}(\rho(c)) \rangle$$

$$\text{cast}(\langle \lambda x. e, \rho, c_1 \rangle, c_2) = \langle \lambda x. e, \rho, c_1 \circ c_2 \rangle$$

$$\text{cast}(v, \text{id}) = v$$

# State of the Art in Gradual Typing

## Outline:

- ▶ Functions
  - ▶ Type System
  - ▶ Operational Semantics
  - ▶ Gradual Type Safety
  - ▶ Space and Time Efficiency
- ▶ **Mutable References**
- ▶ Objects
- ▶ Parametric Polymorphism

# Mutable References

GTLC + mutable references

$$\begin{aligned} T &::= \dots \mid \text{Ref } T \\ e &::= \dots \mid \text{ref } e \mid !^\ell e \mid e :=^\ell e \end{aligned}$$

Consistency

$$\boxed{T \sim T}$$

$$\dots \quad \frac{T_1 \sim T_2}{\text{Ref } T_1 \sim \text{Ref } T_2}$$

Coercions

$$c ::= \dots \mid \text{Ref } c_1 c_2$$

Compile Casts to Coercions

$$\langle\langle \text{Ref } T_1 \xRightarrow{\ell} \text{Ref } T_2 \rangle\rangle = \text{Ref } \langle\langle T_1 \xRightarrow{\ell} T_2 \rangle\rangle \langle\langle T_2 \xRightarrow{\ell} T_1 \rangle\rangle$$

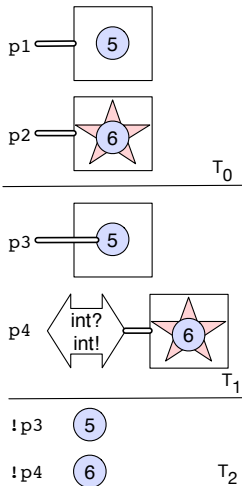
# Example of overhead in reference access

```
fun f(p3:int ref, p4:int ref) =  
    !p3 + !p4;
```

```
val p1 = ref 5;  
val p2 = ref (6<int!>);
```

```
f(p1, p2<ref(int?,int!)>);
```

```
ref(int?,int!)  
  : dyn ref  $\Rightarrow$  int ref
```



Problem: generated code for `!p3` and `!p4` must branch at run-time for the two kinds of references.

# The Root of the Problem

## Theorem (Canonical Forms)

Suppose  $\emptyset \vdash v : T$ .

- If  $T = \text{Ref } T$ , then  $v = a$  for some address  $a$ , or  $v = a \langle \text{Ref } c_1 c_2 \rangle$ .

Two rules for dereference

$$\begin{aligned} !a, \mu &\longrightarrow \mu(a), \mu \\ ! (a \langle \text{Ref } c_1 c_2 \rangle), \mu &\longrightarrow (!a) \langle c_1 \rangle, \mu \end{aligned}$$

Two rules for update

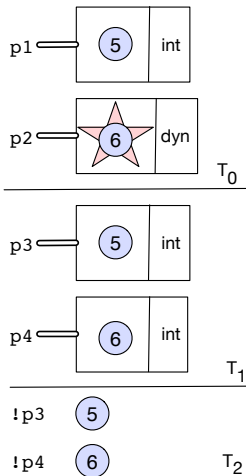
$$\begin{aligned} a := v, \mu &\longrightarrow a, \mu(a \mapsto v) \\ a \langle \text{Ref } c_1 c_2 \rangle := v, \mu &\longrightarrow a := v \langle c_2 \rangle, \mu \end{aligned}$$

# Monotonic References

```
fun f(p3:int ref, p4:int ref)=  
    !p3 + !p4;
```

```
val p1 = ref 5;  
val p2 = ref (6<int!>);
```

```
f(p1, p2<ref(int)>);
```



Update the reference cell to the meet of the current RTTI and the target of the cast.

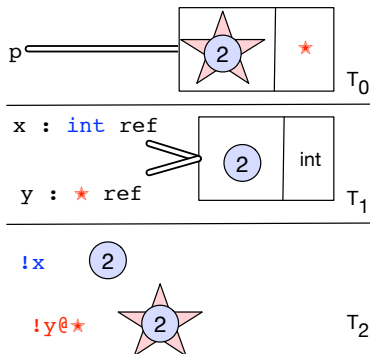
# Aliasing and Static vs. Dynamic Dereference

```
fun f(x:int ref, y:★ ref) =  
  !x + !y@★;
```

```
p = ref (2<int!>);  
f(p, p);
```

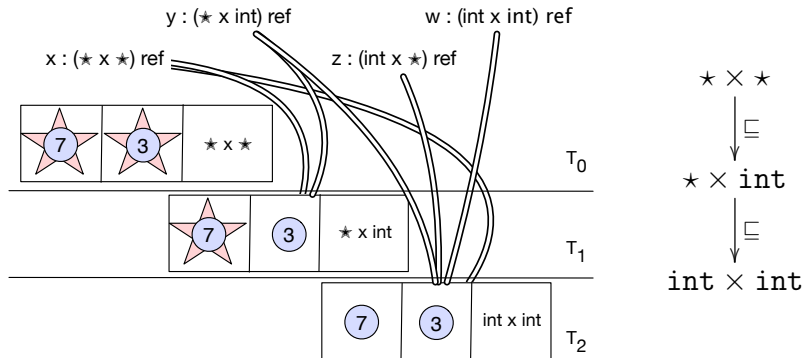
Compile-time choice:

- Fast static deref.
- Slow dynamic dereference





# The Monotonic Invariant



- ▶ The RTTI of a cell may become more precise.
- ▶ Every reference is less or equally precise as the RTTI.
- ▶ If a reference is fully static (e.g.  $w$ ), then so is the cell.

# Reduction Rules for Casting References

Casting References

$\mu(a) = cv : T_1$

$$\frac{T_3 = T_1 \sqcap T_2 \quad T_3 \neq T_1}{a\langle \text{ref}(T_2) \rangle, \mu \longrightarrow a, \mu(a \mapsto (cv\langle \llbracket T_1 \Rightarrow T_3 \rrbracket \rangle) : T_3)}$$

$$\frac{T_3 = T_1 \sqcap T_2 \quad T_3 = T_1}{a\langle \text{ref}(T_2) \rangle, \mu \longrightarrow a, \mu}$$

$$\frac{T_1 \sqcap T_2 = \perp}{a\langle \text{ref}(T_2) \rangle, \mu \longrightarrow \text{error}, \mu}$$

# Reduction Rules for Accessing References

Deference

$$\mu(a) = v : T$$

$$\begin{aligned} & !a, \mu \longrightarrow v, \mu \\ & !a@T', \mu \longrightarrow v\langle\llbracket T \Rightarrow T' \rrbracket\rangle, \mu \end{aligned}$$

Update

$$\begin{aligned} & a := v', \mu \longrightarrow a, \mu(a \mapsto v' : T) \\ & a := v'@T', \mu \longrightarrow a, \mu(a \mapsto (v'\langle\llbracket T' \Rightarrow T \rrbracket\rangle) : T) \end{aligned}$$

# Reduction Rules for Heap Quiescence

Casted Values  $cv ::= v \mid cv\langle c \rangle$

Heap  $\mu ::= \emptyset \mid \mu(a \mapsto v : T)$

Evolving Heap  $\nu ::= \emptyset \mid \nu(a \mapsto cv : T)$

$$\frac{\nu(a) = cv : T \quad cv, \nu \longrightarrow cv', \nu' \quad \nu'(a)_{\text{rtti}} = T}{e, \nu \longrightarrow e, \nu'(a \mapsto cv' : T)}$$

$$\frac{\nu(a) = cv : T \quad cv, \nu \longrightarrow cv', \nu' \quad \nu'(a)_{\text{rtti}} \neq T}{e, \nu \longrightarrow e, \nu'}$$

(omitted error handling rules)

# Stay tuned...

- ▶ ... for performance evaluations.
- ▶ We are developing a compiler for the GTLC in which to empirically test these solutions.
- ▶ The PLT folks are evaluating and improving the efficiency of contracts.

---

*Towards absolutely efficient gradually typed languages.*

Kuhlenschmidt et al. STOP 2015

*Towards Practical Gradual Typing.* Takikawa et al. ECOOP 2015

# State of the Art in Gradual Typing

## Outline:

- ▶ Functions
  - ▶ Type System
  - ▶ Operational Semantics
  - ▶ Gradual Type Safety
  - ▶ Space and Time Efficiency
- ▶ Mutable References
- ▶ **Objects**
- ▶ Parametric Polymorphism

# Gradual Typing and Objects

$$e ::= \dots \mid [m_i:T_i = \varsigma(x_i)e_i]^{i \in 1..n} \mid e.m(e) \mid e.m_T := \varsigma(x)e$$

- ▶ Recall that we use *consistency* for implicit casts to and from  $\star$ , not *subtyping*.
- ▶ But what if we want subtyping for other reasons?
- ▶ How can consistency and subtyping co-exist?

Answer: treat  $\star$  like a basic type (e.g. `int`), not as the “top” type. Add subtyping and subsumption to your gradually typed language to make it object oriented.

$$\star <: \star \qquad \frac{\Gamma \vdash e : T_1 \quad T_1 <: T_2}{\Gamma \vdash e : T_2}$$

# Challenge: Algorithm Type Checking

- ▶ The subsumption rule is not syntax directed.
- ▶ So one has to remove it and use subtyping in place of type equality.

Example: STLC with subtyping:

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T}{\Gamma \vdash e_1 \ e_2 : T'}$$

becomes

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T_2 \quad T_2 <: T}{\Gamma \vdash e_1 \ e_2 : T'}$$



# Algorithm Type Checking: First Attempt

For the GTLC:

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T_2 \quad T_2 \sim T}{\Gamma \vdash e_1 \ e_2 : T'}$$

becomes

$$\frac{\Gamma \vdash e_1 : T \rightarrow T' \quad \Gamma \vdash e_2 : T_2 \quad T_2 \sim T'_2 \quad T'_2 <: T}{\Gamma \vdash e_1 \ e_2 : T'}$$

- ▶ But this rule is still not syntax directed!
- ▶  $T'_2$  comes out of nowhere.

# The Consistent-Subtyping Relation

Can we create a decision procedure,  $T_1 \lesssim T_3$ , for

$$\exists T_2. T_1 \sim T_2 \text{ and } T_2 <: T_3$$

Yes, take a syntax-directed definition of a subtype relation and add these two axioms for  $\star$ :

$$\frac{}{T \lesssim \star} \qquad \frac{}{\star \lesssim T}$$

# Example of a Consistent-Subtyping Relation

$$\frac{}{T \lesssim \star} \quad \frac{}{\star \lesssim T} \quad \frac{}{\text{int} \lesssim \text{int}}$$
$$\frac{T'_1 \lesssim T_1 \quad T_2 \lesssim T'_2}{T_1 \rightarrow T_2 \lesssim T'_1 \rightarrow T'_2} \quad \frac{T_1 \lesssim T'_1 \quad T_2 \lesssim T'_2}{T_1 \times T_2 \lesssim T'_1 \times T'_2}$$

Abadi-Cardelli object types:

$$\frac{T_i \sim T'_i \quad \forall i \in 1..n}{[m_i : T_i^{i \in 1..n+m}] \lesssim [m_i : T'_i^{i \in 1..n}]}$$

(No depth subtyping, Abadi-Cardelli objects can be updated.)

# Wrappers and Object Identity

$u ::= \dots \mid [m_i : T_i = \varsigma(x_i) e_i]^{i \in 1..n}$       Uncoerced Values

$v ::= u \mid \dots \mid u \langle [m_i : s_i, t_i]^{i \in 1..n} \rangle$       Values

Naively, wrapped object has different identity (address) than the underlying object.

- ▶ Change *identity* to make the wrappers transparent.  
(Handling foreign functions is hard, Python  $\leftrightarrow$  C.)
- ▶ Change the semantics to avoid wrappers:
  - ▶ Monotonic Casts
  - ▶ Transient Casts

# State of the Art in Gradual Typing

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- ▶ Objects
- ▶ **Parametric Polymorphism**

# Gradual Typing and Parametric Polymorphism

Extend the Cast Calculus with type abstraction and application:

$$e ::= \dots \mid \Lambda X. e \mid e \ T$$

Allow casts between  $\star$  and  $\forall X. T$ :

$$v : T_1 \xRightarrow{\ell} (\forall X. T_2) \longrightarrow \Lambda X. (v : T_1 \xRightarrow{\ell} T_2) \quad (\text{GENERALIZE})$$

if  $X \notin \text{ftv}(T_1)$

$$v : (\forall X. T_1) \xRightarrow{\ell} T_2 \longrightarrow (v \ \star) : T_1[X \mapsto \star] \xRightarrow{\ell} T_2$$

(INSTANTIATE)

if  $T_2 \neq \star$  and  $T_2 \neq \forall X'. T'_2$  for any  $X', T'_2$

# The Problem with Type Substitution

Recall the traditional reduction rule:

$$(\Lambda X. e) \ T \longrightarrow [X \mapsto T]e$$

Consider casting the constant function

$$K^{\star} = \lambda x: \star . \lambda y: \star . x$$

to the following polymorphic types.

$$K^{\star} : \star \xRightarrow{\ell} \forall X. \forall Y. X \rightarrow Y \rightarrow X$$

$$K^{\star} : \star \xRightarrow{\ell} \forall X. \forall Y. X \rightarrow Y \rightarrow Y$$

The first cast should succeed. The second should fail because of parametricity.

# The Problem with Type Substitution

$$\begin{aligned} & (K^* : \star \xRightarrow{\ell} \forall X. \forall Y. X \rightarrow Y \rightarrow X) \text{ int int } 2 \ 3 \\ \longrightarrow^* & (K^* : \star \xRightarrow{\ell} \text{int} \rightarrow \text{int} \rightarrow \text{int}) \ 2 \ 3 \\ \longrightarrow^* & 2 \end{aligned}$$

$$\begin{aligned} & (K^* : \star \xRightarrow{\ell} \forall X. \forall Y. X \rightarrow Y \rightarrow Y) \text{ int int } 2 \ 3 \\ \longrightarrow^* & (K^* : \star \xRightarrow{\ell} \text{int} \rightarrow \text{int} \rightarrow \text{int}) \ 2 \ 3 \\ \longrightarrow^* & 2 \end{aligned}$$



# Explicit Binding

$$(\Lambda X. v) \ T \longrightarrow \nu X \mapsto T. v \quad (\text{TyBETA})$$

Values pass through the  $\nu$  binder:

$$\nu X \mapsto T. (n) \longrightarrow n \quad (\text{NuINT})$$

$$\nu X \mapsto T_1. (\lambda y: T_2. e) \longrightarrow \lambda y: [X \mapsto T_1] T_2. (\nu X \mapsto T_1. e) \quad (\text{NuABS})$$

$$\nu X \mapsto T. (\Lambda Y. v) \longrightarrow \Lambda Y. (\nu X \mapsto T. v) \quad (\text{NuTyABS})$$

if  $Y \neq X$  and  $Y \notin \text{ftv}(T)$

$$\nu X \mapsto A. (v : G \Rightarrow \star) \longrightarrow (\nu X \mapsto A. v) : G \Rightarrow \star \quad (\text{NuDYN})$$

if  $G \neq X$

$$\nu X \mapsto A. (v : X \Rightarrow \star) \longrightarrow \text{blame } p_\nu \quad (\text{NuERR})$$

# Properties of the Polymorphic Blame Calculus

- ✓ Type Safety
- ✓ Blame Theorem (weak subtyping)

$$\frac{[X \mapsto \star] T_1 <: T_2}{(\forall X. T_1) <: T_2}$$

- Blame Theorem (strong subtyping)

$$\frac{[X \mapsto T] T_1 <: T_2}{(\forall X. T_1) <: T_2}$$

(Incorrect proof in POPL 2011.)

- Parametricity

# Conclusion

- ▶ We have just scratched the surface of the recent work. See Sam Tobin-Hochstadt's online bibliography.
- ▶ The “typing” part of gradual typing is relatively easy.
- ▶ The runtime behavior has been much more challenging.
- ▶ Is it possible for a gradually typed language to be efficient?
- ▶ Have we got the blame tracking right?
- ▶ How does gradual typing interact with other features such as:
  - ▶ recursive types
  - ▶ type operators
  - ▶ dependent types (some partial answers here)