

LEMMA [Inversion of the Typing Relation]:

1. If $\Gamma \mid \Sigma \vdash x : R$, then $x : R \in \Gamma$
2. If $\Gamma \mid \Sigma \vdash \lambda x : T_1. t_2 : R$, then $R = T_1 \rightarrow R_2$ for some R_2 , with $\Gamma, x : T_1 \vdash t_2 : R_2$.
3. If $\Gamma \mid \Sigma \vdash t_1 t_2 : R$, then there is some type T_{11} such that $\Gamma \mid \Sigma \vdash t_1 : T_{11} \rightarrow R$ and $\Gamma \mid \Sigma \vdash t_2 : T_{11}$.
4. If $\Gamma \mid \Sigma \vdash \text{ref } t : R$, then $R = \text{Ref } T_1$ for some T_1 and $\Gamma \mid \Sigma \vdash t_1 : T_1$.
5. If $\Gamma \mid \Sigma \vdash !t : R$, then $\Gamma \mid \Sigma \vdash t : \text{Ref } R$.
6. If $\Gamma \mid \Sigma \vdash t_1 := t_2 : R$, then $R = \text{Unit}$, $\Gamma \mid \Sigma \vdash t_1 : \text{Ref } T_1$, for some T_1 and $\Gamma \mid \Sigma \vdash t_2 : T_1$.
7. If $\Gamma \mid \Sigma \vdash l : R$, then $R = \text{Ref } T_1$, for some T_1 , and $\Sigma(l) = T_1$.

Proof : Immediate from the definition of the typing relation.

LEMMA [Canonical Forms]:

1. If v is a value of type $T_1 \rightarrow T_2$, then $v = \lambda x : T_1. t_2$.
2. If v is a value of type Unit , then $v = \text{unit}$.
3. If v is a value of type $\text{Ref } T$, then $v = l$.

Proof : Straightforward.

THEOREM [Progress]: Suppose t is a closed, well-typed term (that is, $\emptyset \mid \Sigma \vdash t : T$ for some T). Then either t is a value or else, for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, there is some term t' with $t \mid \mu \longrightarrow t' \mid \mu'$.

Proof: Straightforward induction on typing derivations.

1. The variable case cannot occur (because t is closed).
2. The abstraction case is immediate, since abstractions are values.
3. For application, where $t = t_1 t_2$, with $\emptyset \mid \Sigma \vdash t_1 : T_{11} \rightarrow T_{12}$ and $\emptyset \mid \Sigma \vdash t_2 : T_{11}$, for the inversion lemma. Then, by the induction hypothesis, either t_1 is a value or else it can make a step of evaluation, and likewise t_2 . If t_1 can take a step, then rule $EApp1$ applies to t . If t_1 is a value and t_2 can take a step, then rule $EApp2$ applies. Finally, if both t_1 and t_2 are values, then the canonical forms lemma tells us that t_1 has the form $\lambda x : T_{11}. t_{12}$, and so rule $EAppAbs$ applies to t .
4. The *unit* case is immediate, since *unit* is a value.
5. If $t = \text{Ref } t_1$, then $T = \text{Ref } T_1$, for some T_1 , and $\emptyset \mid \Sigma \vdash t_1 : T_1$. By the induction hypothesis, either t_1 is a value or else it can make a step of evaluation. If t_1 can take a step, then for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, there is some term t'_1 with $t_1 \mid \mu \longrightarrow t'_1 \mid \mu'$. For this reason, for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, the rule $ERef$ applies to t , which guarantees the existence of t' and μ' . If t_1 is a value ($t_1 = \text{Ref } v_1$) then the rule $ERefV$ applies to t , obtaining that $t' = l$, with $l \notin \text{dom}(\mu)$ and $\mu' = (\mu, l \mapsto v_1)$.

6. If $t = !t_1$, then $\emptyset \mid \Sigma \vdash t_1 : \text{Ref } T$. By the induction hypothesis, either t_1 is a value or else it can make a step of evaluation. If t_1 can take a step, then for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, there is some term t'_1 with $t_1 \mid \mu \longrightarrow t'_1 \mid \mu'$. For this reason, for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, the rule $EDeref$ applies to t , which guarantees the existence of t' and μ' . If t_1 is a value ($t_1 = l$, for the Canonical Forms Lemma) then the rule $EDerefLoc$ applies to t (since $\emptyset \mid \Sigma \vdash l : \text{Ref } T$ and $\emptyset \mid \Sigma \vdash \mu$ then $\mu(l)$ is defined), obtaining that $t' = \mu(l)$, and $\mu' = \mu$.
7. If $t = t_1 := t_2$, then then $T = \text{Unit}$, $\emptyset \mid \Sigma \vdash t_1 : \text{Ref } T_1$, for some T_1 and $\emptyset \mid \Sigma \vdash t_2 : T_1$. By the induction hypothesis, either t_1 is a value or else it can make a step of evaluation, and likewise t_2 . If t_1 can take a step, then for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, there is some term t'_1 with $t_1 \mid \mu \longrightarrow t'_1 \mid \mu'$. For this reason, for any store μ such that $\emptyset \mid \Sigma \vdash \mu$, the rule $EAssing1$ applies to t , which guarantees the existence of t' and μ' . If t_2 can take a step, is the same, but with the rule $EAssing2$. Finally, if both t_1 and t_2 are values, then the canonical forms lemma tells us that t_1 has the form l . Then the rule $EAssing$ applies to t , which guarantees the existence of t' and μ' .
8. If $t = l$, then it is immediate, since locations are values.

LEMMA[Permutation]: If $\Gamma \mid \Sigma \vdash t : T$ and Δ is a permutation of Γ , then $\Delta \mid \Sigma \vdash t : T$.

Proof: Straightforward induction on typing derivations.

LEMMA[Weakening]: If $\Gamma \mid \Sigma \vdash t : T$ and $x \notin \text{dom}(\Gamma)$, then $\Gamma, x : S \mid \Sigma \vdash t : T$.

Proof: Straightforward induction on typing derivations.

LEMMA [Preservation of types under substitution]: If $\Gamma, x : S \mid \Sigma \vdash t : T$ and $\Gamma \mid \Sigma \vdash s : S$, then $\Gamma \mid \Sigma \vdash [x \mapsto s]t : T$.

Proof: By induction on a derivation of the statement $\Gamma, x : S \vdash t : T$. For a given derivation, we proceed by cases on the final typing rule used in the proof.

LEMMA [1]: If $\Gamma \mid \Sigma \vdash \mu$, $\Sigma(l) = T$ and $\Gamma \mid \Sigma \vdash v : T$ then $\Gamma \mid \Sigma \vdash [l \mapsto v]\mu$.

Proof: Straightforward induction.

LEMMA [2]: If $\Gamma \mid \Sigma \vdash t : T$ and $\Sigma \subseteq \Sigma'$ then $\Gamma \mid \Sigma' \vdash t : T$.

Proof: Straightforward induction.

LEMMA[Preservation]: If $\Gamma \mid \Sigma \vdash t : T$ and $t \longrightarrow t'$, then $\Gamma \mid \Sigma \vdash t' : T$.

Proof: By induction on a derivation of $t : T$. At each step of the induction, we assume that the desired property holds for all subderivations (i.e., that if $s : S$ and $s \longrightarrow s'$, then $s' : S$, whenever $s : S$ is proved by a subderivation of the present one) and proceed by case analysis on the final rule in the derivation.

1. *Case TVar:* It cannot be the case that $t \longrightarrow t'$ for any t' , and the requirements of the theorem are vacuously satisfied.
2. *Case TAbs:* $t = \lambda x : T_2. t_1$, with $T = T_2 \rightarrow T_1$ and $\Gamma, x : T_2 \vdash t_1 : T_1$. If the last rule in the derivation is $TAbs$, then we know from the form of this rule that t must be a function $\lambda x : T_2. t_1$ and T must be $T_2 \rightarrow T_1$, with $\Gamma, x : T_2 \vdash t_1 : T_1$. But then t is a value, so it cannot be the case that $t \longrightarrow t'$ for any t' , and the requirements of the theorem are vacuously satisfied.

3. *Case TApp*: $t = t_1 t_2$, $\vdash t_1 : T_2 \rightarrow T$ and $\vdash t_2 : T_2$. If the last rule in the derivation is *TApp*, then we know from the form of this rule that t must have the form $t_1 t_2$, for some t_1 and t_2 . We must also have a subderivation with conclusions $\vdash t_1 : T_2 \rightarrow T$ and $\vdash t_2 : T_2$. Now, looking at the evaluation rules with this form on the left-hand side, we find that there are three rules by which $t \rightarrow t'$ can be derived: *EApp1*, *EApp2* and *EAppAbs*. We consider each case separately.
- *Subcase EApp1*: $t_1 \rightarrow t'_1$, $t' = t'_1 t_2$. By the induction hypothesis, $\vdash t'_1 : T_2 \rightarrow T$, then we can apply rule *TApp*, to conclude that $\vdash t'_1 t_2 : T$, that is $\vdash t' : T$.
 - *Subcase EApp2*: $t_2 \rightarrow t'_2$, $t' = t_1 t'_2$. By the induction hypothesis, $\vdash t'_2 : T_2$, then we can apply rule *TApp*, to conclude that $\vdash t_1 t'_2 : T$, that is $\vdash t' : T$.
 - *Subcase EAppAbs*: $t_1 = \lambda x : T_1. t_{12}$, $t_2 = v_2$, $t' = [x \mapsto v_2]t_{12}$ and $\vdash t_{12} : T$ for the inversion lemma. The resulting term $\vdash [x \mapsto v_2]t_{12} : T$, for the Substitution lemma, that is $\vdash t' : T$.