

# Reassessing global historical $\mathcal{R}_0$ estimates of canine rabies

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## Abstract

Rabies spread by domestic dogs continues to cause tens of thousands of human deaths every year in low- and middle-income countries. Nevertheless rabies is often neglected, perhaps because it has already been eliminated from high-income countries through dog vaccination. Estimates of canine rabies's intrinsic reproductive number ( $\mathcal{R}_0$ ), a metric of disease spread, from a wide range of times and locations are relatively low (values  $< 2$ ), with narrow confidence intervals. Given rabies's persistence, this consistently low and narrow range of estimates is surprising. We combined incidence data from historical outbreaks of canine rabies from around the world with in-depth contact-tracing data from Tanzania to investigate initial growth rates ( $r_0$ ), generation-interval distributions ( $G$ ), and reproductive numbers ( $\mathcal{R}_0$ ). We improved on earlier estimates by choosing outbreak windows algorithmically; fitting  $r_0$  using a more appropriate statistical method that accounts for decreases through time; and incorporating uncertainty from both  $r_0$  and  $G$  in our confidence intervals on  $\mathcal{R}_0$ . Our

$\mathcal{R}_0$  estimates are larger than previous estimates, with wider confidence intervals. Our novel hybrid approach for estimating  $\mathcal{R}_0$  and its uncertainty is applicable to other disease systems where researchers estimate  $\mathcal{R}_0$  by combining data on  $r_0$  and  $G$ .

## Introduction

Canine rabies, primarily spread by domestic dogs, is a vaccine-preventable disease that continues to cause tens of thousands of human deaths every year in low- and middle-income countries (LMICs) (Taylor et al., 2017; Minghui et al., 2018). Canine rabies has been effectively eliminated from high-income countries by mass dog vaccination (Rupprecht et al., 2008). Despite the effectiveness of dog vaccination, rabies continues to cause many human deaths and large economic losses in LMICs due to the limited implementation of rabies control strategies (Hampson et al., 2015). The past two decades have seen an increase in rabies control efforts, including dog vaccination campaigns and improvements in surveillance (Kwoba et al., 2019; Mtema et al., 2016; Gibson et al., 2018; Mazeri et al., 2018; Wallace et al., 2015). The World Health Organization (WHO) and partners (OIE, FAO, GARC) joined forces to support LMICs in eliminating human deaths from dog-mediated rabies by 2030 (Minghui et al., 2018; Abela-Ridder et al., 2016). Mass dog vaccination campaigns have begun in some LMICs and are being scaled up (Castillo-Neyra et al., 2019; Evans et al., 2019). However, the emergence of SARS-CoV-2 pandemic disrupted rabies control and elimination efforts (Nadal et al., 2022). As the SARS-CoV-2 pandemic is transitioning out of global emergency, rabies control programmes are resuming. An understanding of rabies epidemiology — in particular, reliable estimates of the basic reproductive number ( $\mathcal{R}_0$ ), a quantitative measure of disease spread that is often used to guide vaccination strategies — could inform rabies control efforts.

The basic reproductive number  $\mathcal{R}_0$  is defined as the expected number of secondary

cases generated from each primary case in a fully susceptible population (Macdonald, 1952). Estimates of  $\mathcal{R}_0$  for rabies have been made using various methods including direct estimates from infection histories, epidemic tree reconstruction, and epidemic curve methods. These  $\mathcal{R}_0$  estimates based on historical outbreaks of rabies have generally been surprisingly low, typically between 1 and 2 with narrow confidence intervals for a variety of regions and time periods (Hampson et al., 2009; Kurosawa et al., 2017; Kitala et al., 2002). With such a low  $\mathcal{R}_0$  one might expect rabies to fade out due to a combination of behavioural control measures and stochastic fluctuations, even in the absence of vaccination. In contrast to diseases with a large  $\mathcal{R}_0$  (e.g., rinderpest, with  $\mathcal{R}_0 \approx 4$  (Mariner et al., 2005)),  $\mathcal{R}_0$  estimates for rabies suggest that control through vaccination should be relatively easy.

Here we revisit and explore why rabies, with its low  $\mathcal{R}_0$ , nonetheless persists in many countries around the world. Such persistence suggests that rabies’s potential for spread, and therefore the difficulty of rabies control, may have been underestimated. In this paper, we combine information derived from epidemic curves with a high-resolution contact tracing data set that provides large numbers of observed generation intervals (which is rare for infectious disease studies) to estimate  $\mathcal{R}_0$ .

## Materials and Methods

$\mathcal{R}_0$  is often estimated by combining two other epidemiological quantities: the initial growth rate of an epidemic ( $r_0$ ) and the generation interval ( $G$ ) distribution, where the generation interval is defined as the time between successive infections along a transmission chain (Park et al., 2018). The initial growth rate  $r_0$  is often estimated by fitting a model to time series data from the early stages of epidemics.  $G$  is an individual-level quantity that measures the time between an individual getting infected to infecting another individual. The generation interval distribution is the

natural way to link  $r_0$  and  $\mathcal{R}_0$  (Wallinga and Lipsitch, 2006; Champredon and Dushoff, 2015).  $\mathcal{R}_0$  can be estimated from  $r_0$  and the  $G$  distribution by the Euler-Lotka equation (Wallinga and Lipsitch, 2006)

$$\mathcal{R}_0 = \frac{1}{\sum_{t=1}^{\infty} G(t)e^{-rt}}, \quad (1)$$

where  $t$  is time, and  $G(t)$  is the generation interval distribution. This formula is convenient to calculate point estimates of  $\mathcal{R}_0$ ; however, researchers rarely propagate uncertainty from the estimates of  $r_0$  and the  $G$  distribution through this formula.

## Initial growth rate

Disease incidence typically increases approximately exponentially during the early stages of an epidemic. The initial growth rate  $r_0$  is often estimated by fitting exponential curves from near the beginning to near the peak of an epidemic. However, growth rates estimated from an exponential model can be biased downward, overconfident, and sensitive to the choice of fitting windows (Ma et al., 2014). Here we used logistic rather than exponential curves to more robustly estimate  $r_0$  (Ma et al., 2014; Chowell, 2017).

We selected fitting windows algorithmically for each outbreak as follows: (1) we break each time series into “phases”: a new phase starts after a peak with a height of at least `minPeak` cases, followed by a proportional decline in cases of at least `declineRatio`; (2) In each phase, we identify a prospective fitting window starting after the last observation of 0 cases and extending one observation past the highest value in the phase (unless the highest value is itself the last observation); (3) we then fit our model to the cases in the fitting window if (and only if) it has a peak of at least `minPeak` cases, a length of at least `minLength` observations, and a ratio of at least `minClimb` between the highest and lowest observations. We tried a handful of

parameter combinations before settling on a final set during an expert consultation. These explorations are detailed, and the final choices noted, in our code repository.

## Observed Generation intervals

Transmission events are hard to observe directly for most diseases. An earlier empirical rabies study constructed generation intervals by summing two quantities: a latent period (the time from infection to infectiousness), and a wait time (time from infectiousness to transmission) (Hampson et al., 2009). Since clinical signs and infectiousness appear at nearly the same time in rabies, the incubation period (the time from infection to clinical signs) is routinely used as a proxy for the latent period. Hampson et al. randomly and independently resampled latent (really, incubation) periods and infectious periods from empirically observed distributions (Hampson et al., 2009), and then sampled waiting times uniformly from the selected infection periods.

However, constructing  $G$  values by summing independently resampled values of incubation and infectious periods accounts neither for the possibility of multiple transmissions from the same individual, nor for correlations between time distributions and biting behaviour. Figure 1 illustrates the generation intervals of a single transmission event from a rabid animal (comprising a single incubation period plus a waiting time) and multiple transmission events from a rabid animal (comprising a single incubation period and three waiting times). In cases where transmission links are not directly observed, one should consider reweighting serial-interval observations to account for multiple transmissions. In our case, we can account for these effects directly by relying on generation intervals observed through contact tracing.

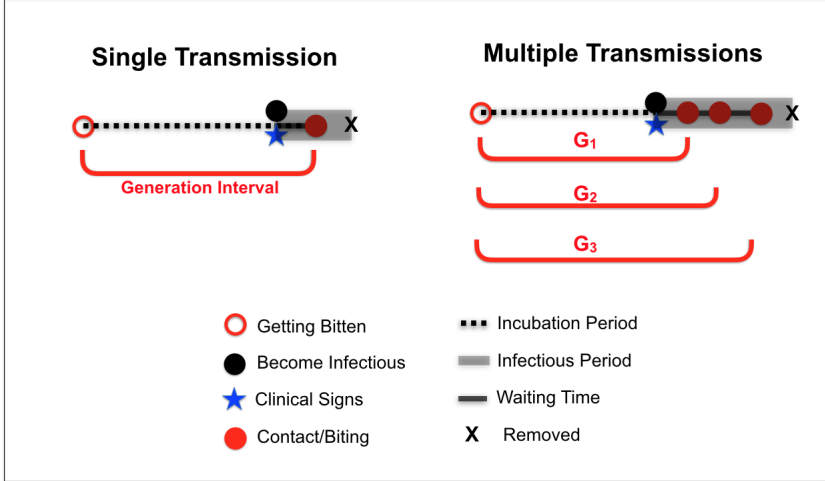


Figure 1: **Decomposing generation intervals.** Generation intervals start when a focal animal acquires infection (open red circle) and end after a period of viral replication (dashed line) when an animal shows clinical signs (blue star), becomes infectious (solid black circle) and infects another animal — in rabies, the onset of clinical signs and of infectiousness are closely synchronized. Once the infectious period (grey block) starts, there is a wait time (solid black line) until a susceptible host (solid red circles) is bitten. The infectious period ends with the death of the focal host (black X). The generation interval is the interval between the focal animal getting infected, and when it infects a new case (red interval between open and solid circles). If a single biter transmits multiple times (right), the wait times generally vary, but the incubation period is the same for each transmission event.

## Correcting for vaccination

In a population where some animals are not susceptible, calculations based on estimates of  $r_0$  and the  $G$  distribution (1) estimate the *realized* average number of cases per case, also known as the effective reproductive number  $\mathcal{R}_e$ . In the case of rabies, vaccination is the only known cause of immunity (case fatality in dogs is believed to be 100%). For a given population with  $\nu$  vaccination proportion, the estimated  $\mathcal{R}_0$  with correction for vaccination is

$$\mathcal{R}_0 = \frac{\mathcal{R}_e}{(1 - \nu)}. \quad (2)$$

## Data

We used data from December 2002 – November 2022, from an ongoing contact tracing study in Tanzania (Hampson et al., 2008, 2009). The data set contains 8636 domestic dog recorded events (i.e., domestic dogs bitten by an animal), and 3552 suspected rabid dogs in the Serengeti District of northern Tanzania. Transmission events were documented through retrospective interviews with witnesses, applying diagnostic epidemiological and clinical criteria from the six-step method (Tepsumethanon et al., 2005). Each dog was given a unique identifier, and date of the bite and clinical signs were recorded if applicable and available. 2132 of the dog transmissions were from unidentified domestic animals or wildlife. We restricted our analysis to domestic dog transmissions (i.e., dog to dog), and obtained 293 directly observed generation intervals (i.e. both biter and secondary case have “time bitten” records). There were four observed dogs with multiple exposures (i.e., bitten by different identified biters), generating extra generation intervals, but it is unclear which transmission event transmitted rabies to these dogs. For simplicity, we omitted these four dogs and their generation intervals from our analysis.

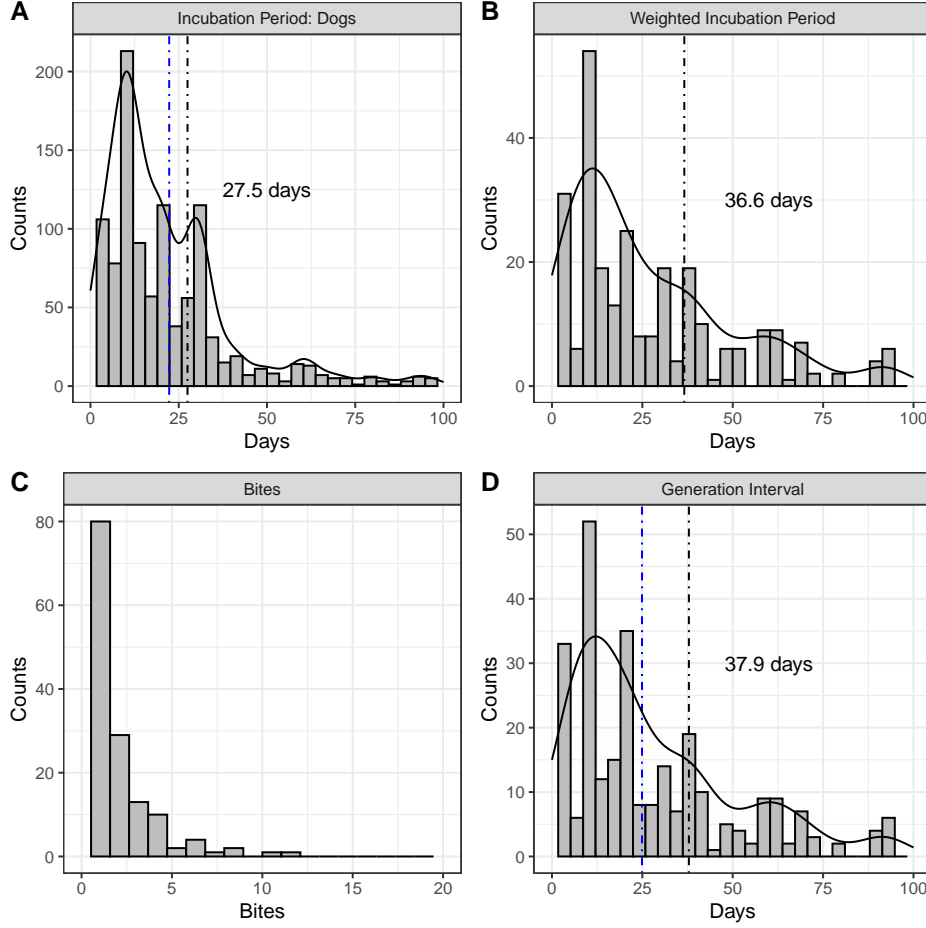
## Fitting and Propagating Parameter Uncertainties

To propagate uncertainties for both  $r_0$  and  $G$ , we used a hybrid approach. We first fit logistic models, with negative binomial observation error, to incidence data to estimate  $r_0$  implemented in the R package `epigrowthfit` Jagan et al. (2024). We then compute a sample of 1000  $\hat{\mathcal{R}}_0$  values using equation (2). For each value of  $\hat{\mathcal{R}}_0$ , we first draw a value of  $\hat{r}_0$  from a Normal distribution matching the estimated sampling distribution of the logistic fit parameters and an independent sample of  $G$  from the empirical contact tracing data. To sample  $G$  from the empirical contact tracing data, we first take a weighted sample of 100 biters, which accounts for biter-level variation, and for each biter, we sample a  $G$  from its respective transmission

event, to account for individual variation. We then matched samples of  $G$  to the  $r_0$  samples to produce a range of estimates for  $\mathcal{R}_0$ . This hybrid sampling approach incorporates the uncertainties in both  $r_0$  and  $G$  into the distribution of  $\mathcal{R}_0$  estimates. Finally, we use the 2.5, 50, and 97.5 percentiles of the distribution of  $\mathcal{R}_0$  estimates to get point estimates and confidence limits for  $\mathcal{R}_0$  for each rabies outbreak.

## Results





**Figure 2: Time intervals and biting empirical distributions from contact tracing data.** Panel A is the distribution of observed incubation periods. Panel B is the distribution of incubation periods weighted by each dog's biting frequency (Panel C). The weighted distribution corresponds to the contribution of incubation periods to generation intervals (Panel D). Black dashed lines show the means of each time-interval distribution; blue dashed lines show the mean incubation period and generation interval (22.3 and 24.9 days, respectively) reported by Hampson et al. (2009).

Figure 2 shows the empirical distributions of the observed incubation periods, rabid dog biting frequency, and generation intervals from contact tracing data. The mean observed incubation period is 27.5 days ( $n = 1109$  dogs), the mean biting frequency is 1.65 bites per rabid dog, and the weighted mean incubation period is 36.6 days ( $n = 143$  biting dogs). The mean observed generation interval is 37.9 days ( $n = 143$  primary infections resulting in 293 secondary cases), which is substantially

larger than the mean generation interval constructed from summing independently sampled incubation periods and wait times (24.9 days (Hampson et al., 2009)). The weighted incubation period distribution is a better approximation of the generation interval distribution than the unweighted incubation period of all dogs.

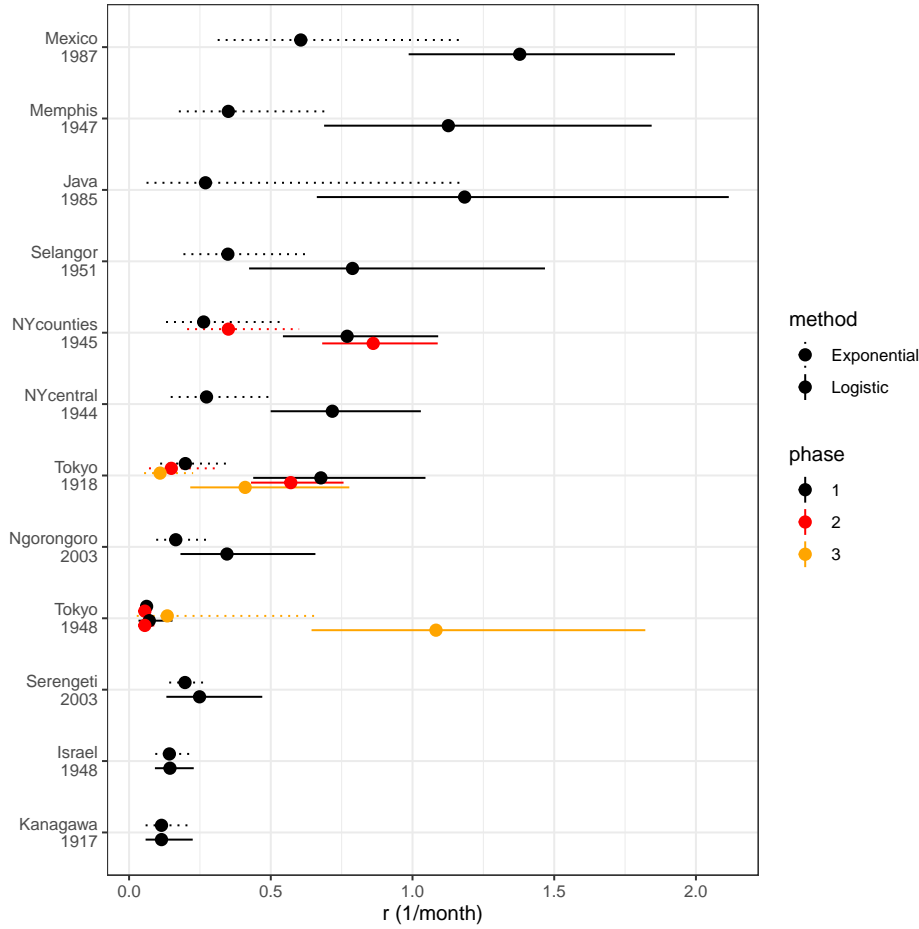


Figure 3: **Growth rate estimates for global historical outbreaks of rabies.** Estimates and 95% confidence intervals of  $r_0$  in global historical outbreaks estimated from exponential (dotted) and logistic (solid) model fits.

Different colors represent different phases from the times series data. [[BB: this could be prettier (non-default colours, shapes as well as colours to identify phases, maybe use solid lines for logistic since we want to emphasize it, rotate lines in legend to be horizontal ...) ?]] ML: Rotating the lines in legend seems hard. Have to use geom segment and it doesn't play well with position dodge.

We estimated  $r_0$  from historical outbreak data (Figure 3). For a direct comparison of the method used in (Hampson et al., 2009), we also estimated  $r_0$  from an exponential model. Both methods (exponential and logistic) were applied to all phases of the global historical outbreaks. Overall,  $r_0$  estimates from the logistical model are larger with wider confidence intervals compared to  $r_0$  estimates from the exponential model (as used in (Hampson et al., 2009)).

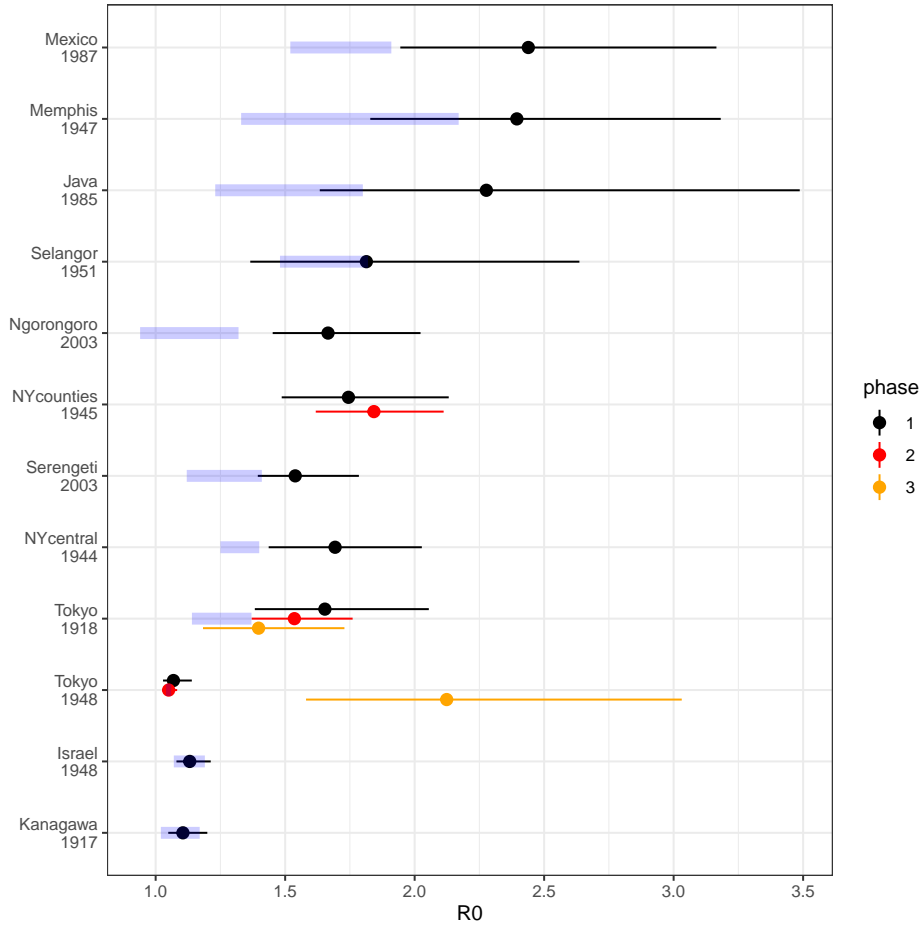


Figure 4: **Reproductive number estimates for global historical outbreaks of rabies**

Previous estimates of  $\mathcal{R}_0$  are shown in blue highlights;  $\mathcal{R}_0$  estimates and confidence interval (95% quantiles from the estimated  $\mathcal{R}_0$  sample) from our hybrid approach using exponential (dotted lines) and logistic (solid lines).  $\mathcal{R}_0$  values are corrected for vaccination coverage. [[BB: What did the previous estimates do about phases?]] ML: The previous estimates did not have phases.

We combined our estimates of  $r_0$  from the logistic model with the empirical  $G$  from our detailed Tanzanian data to produce  $\mathcal{R}_0$  estimates. Of the listed historical outbreaks, four occurred in locations with prior rabies vaccination coverage: Memphis and Shelby County, Tennessee, US (“Memphis”: 1947, 10% vaccine coverage); Serengeti, Tanzania (2003, 20% coverage); Ngorongoro, Tanzania (2003, 20% coverage); and Sultan Hamad, Kenya (1992, 24% coverage). Figure 4 shows the  $\mathcal{R}_0$  estimates using various approaches along with estimates from Hampson et al. (2009). Our estimates of  $\mathcal{R}_0$  using the logistic model and corrected  $G$  are larger than those previously reported (Hampson et al., 2009), with 3 locations (Java, Memphis, and Mexico) having  $\mathcal{R}_0$  greater than 2. The hybrid approach provides larger values of  $\mathcal{R}_0$  and wider confidence intervals after propagating uncertainty from both  $r_0$  and generation interval distributions with upper confidence limits greater than 2 for most locations.

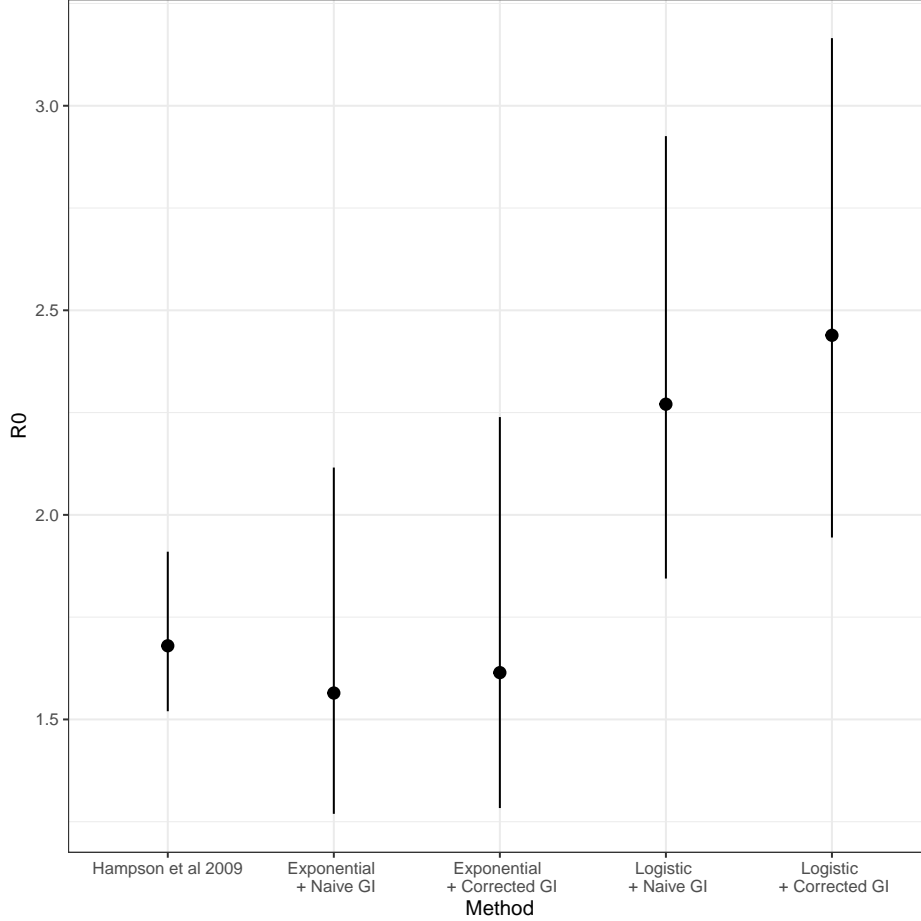


Figure 5: **Effects of  $r_0$  estimation methods and corrected  $G$  on the estimates of  $\mathcal{R}_0$  in Mexico outbreak.** “Exponential” represents a fitting method similar to that used by Hampson et al. (2009), but using our algorithmic windowing selection; “Logistic” uses a logistic model instead. “Naive GI” uses the  $G$  estimates from Hampson et al. (2009); “Corrected GI” uses the resampling method described above. Both switching from exponential to logistic fitting, and using the corrected  $G$  estimate, lead to increases in the estimated  $\mathcal{R}_0$ . Propagating the uncertainty of  $r_0$  and  $G$  estimates increases uncertainty in  $\mathcal{R}_0$ .

Lastly, we compare the effects of different estimation techniques of  $r_0$  and  $G$  on estimates of  $\mathcal{R}_0$  (Figure 5). For illustrative purposes, we used the 1987 outbreak in Mexico where there was no vaccination. Propagating uncertainty from both  $r_0$  and  $G$  generally leads to wider confidence intervals compared to previous  $\mathcal{R}_0$  estimates in Hampson et al. (2009). The  $\mathcal{R}_0$  estimate increases when we estimate  $r_0$  via the logistic model, or when we sample the full distribution of  $G$ , rather than plugging in the naively constructed interval as in Hampson et al. (2009). Combining the two corrections (in  $r_0$  and  $G$ ) boosts the  $\mathcal{R}_0$  estimates even more, with even wider confidence intervals.

## Discussion

Our study resolves the mystery of why rabies persists despite the fact that  $\mathcal{R}_0$  estimates in historical outbreaks have been consistently low, by showing that substantially higher basic reproduction numbers are compatible with historical outbreak data. Here, we reanalyzed historical rabies epidemics with improved model assumptions and uncertainty propagation, showing that historical estimates of  $\mathcal{R}_0$  were downward biased and overconfident.

The basic reproductive number,  $\mathcal{R}_0$ , is commonly used to summarize the risk of infectious disease and to inform control measures. Here, we used a relatively simple approach to estimating  $\mathcal{R}_0$  by combining initial growth rate ( $r_0$ ) estimates from incidence data and generation intervals from contact tracing data. By assuming rabies generation intervals are similar across time and space, this method allows us to combine generation intervals from the detailed Tanzania contact tracing data with growth rates estimated from incidence data from various regions across the globe. We improved on earlier work by correcting for slowdown in epidemic growth when estimating  $r_0$ , and by developing an approach to propagate uncertainty from both  $r_0$

and  $G$ , resulting in higher  $\mathcal{R}_0$  estimates with wider confidence intervals.

Estimates of  $\mathcal{R}_0$  are strongly affected by estimates of the growth rate during the initial phase of the epidemic. The logistic model gives a better approximation of the initial phase of the epidemic resulting in a larger estimate of  $r_0$  compared to the exponential model (Ma et al., 2014). Our estimates of  $r_0$  account for observation error (measurements may not perfectly match reality), but not for process error (the fundamental stochasticity of the system itself). Thus we may still be underestimating the uncertainty in  $r_0$ , and hence in  $\mathcal{R}_0$  (King et al., 2015).

Re-analysis of these data also allowed us to identify an overlooked fact about rabies generation intervals: observed generation intervals are longer, on average, than intervals constructed by naively adding incubation period and waiting time, because of within-individual correlations in time distributions and biting behaviour. The unexpected importance of these correlations could have implications for other infectious disease analyses that depend on the generation interval, as such correlations can bias the estimation of generation intervals, as shown in this study. Further investigation of how these correlations affect the overall dynamics of rabies is warranted.

In any case, our estimates suggest that the  $\mathcal{R}_0$  of rabies is larger, and more uncertain, than previously estimated. This finding may explain some of the formerly unexplained variations in the success of rabies-control programs (e.g., low levels of coverage (30–50%) have succeeded in some settings while high coverage 75% was insufficient to control rabies in others (Eng et al., 1993)). While our primary goal was to understand why estimates of rabies  $\mathcal{R}_0$  were small with narrow confidence intervals, our analysis also revealed an interesting biological process through the lens of generation intervals from contact tracing data: the need to account for biting behaviour in the incubation period distribution, in order to match the generation interval distribution.

$\mathcal{R}_0$  is typically used as a first approximation for interventions such as vaccination

to determine herd immunity thresholds. However, both heterogeneity in contacts and the correlations between incubation periods and transmission that we observed here through the generation interval suggest that simple  $\mathcal{R}_0$  estimation methods should be used with caution. Rabies is particularly useful for exploring this effect because transmission events and latent periods are directly observable via contact tracing. The correlation effect highlighted here is likely to apply in other disease systems, but hard to detect because generation intervals are so rarely directly observable.

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