

Assignment 5 – Computational Materials and Molecular Physics

First hand-in

Eric Lindgren – CID: ericlin

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1 Task 1: Relax nanoparticles

The computed cohesive energies for the various nanoparticles are given in table 1.

Table 1: Obtained cohesive energies per atom for the various nanoparticles. Note that the cohesive energy increases with the cluster size.

Cluster	Al ₁₃	Al ₂₃	Al ₃₈	Al ₅₂	Al ₇₁
E_{Coh} (eV)	2.5591	2.7311	2.8374	2.8828	2.9288
Cluster	Al ₉₀	Al ₁₀₈	Al ₁₃₈	Al ₂₀₁	Al ₃₁₆
E_{Coh} (eV)	2.9614	2.9865	3.0162	3.0606	3.0999

We note that the cohesive energy per atom increases with cluster size. This is expected, since the cohesive energy is calculated as the average cohesive energy over all atoms in the cluster. The cohesive energy is lower for atoms along the perimeter of the nanoparticle, since they have fewer neighbours. Increasing the size of the nanoparticle thus lowers the impact of these edge-effects, increasing the average cohesive energy.

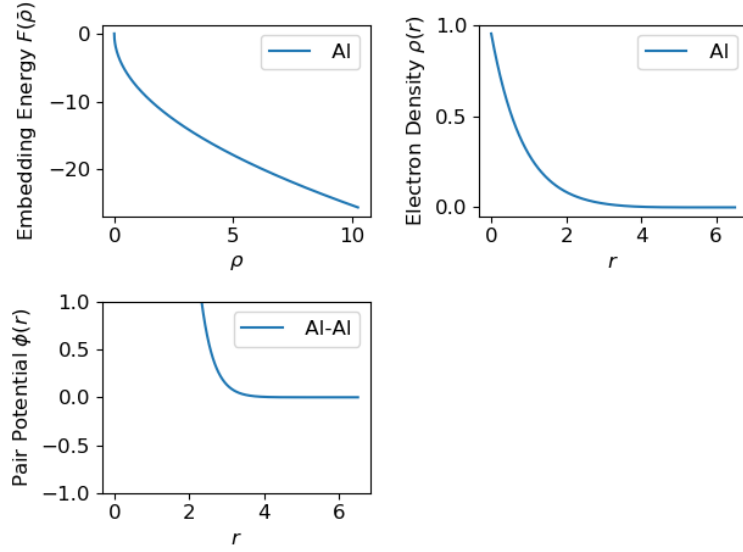


Figure 1: The EAM potential used in task 1. Note that the pair potential seem to have an effective distance of $\sim 4 \text{ \AA}$, which is around the bond length of Al.

The EAM potential which was used is given in figure 1. Specifically, we note that the pair potential seem to have an effective range of $\sim 4 \text{ \AA}$, which is around the bond length of crystalline Al (see task 2). Since the bond length is determined by the interatomic forces between all atoms in the crystal lattice, we don't expect the nanoclusters to have the same average interatomic distance as bulk Al, especially not the smaller nanoclusters. Furthermore, the nanoclusters doesn't necessarily exhibit the same axial symmetries as crystalline Al, which also affects the calculations since the potentials used by EAM are equiaxial [1]. Thus, we don't expect the potential to work perfectly for the nanoclusters.

2 Task 2: Obtain bulk Al

A energy-volume relation for bulk Al is given in figure 2. We note that the optimal lattice parameter is around 4.043 \AA , with a cohesive energy of $E_{Coh} \sim 3.397 \text{ eV}$. This cohesive energy is inline with experimental results, where the lattice parameter is $a = 4.0495 \text{ \AA}$ and the cohesive energy is $E_{Coh} = 3.39 \text{ eV}$ per atom [2] [3].

We also observe that the cohesive energy is similar to what was obtained in task 1, albeit a bit lower, which is in accordance with the hypothesis that the edge effects decrease with increase particle size. The bulk Al has no edges, due to it's periodic boundary conditions.

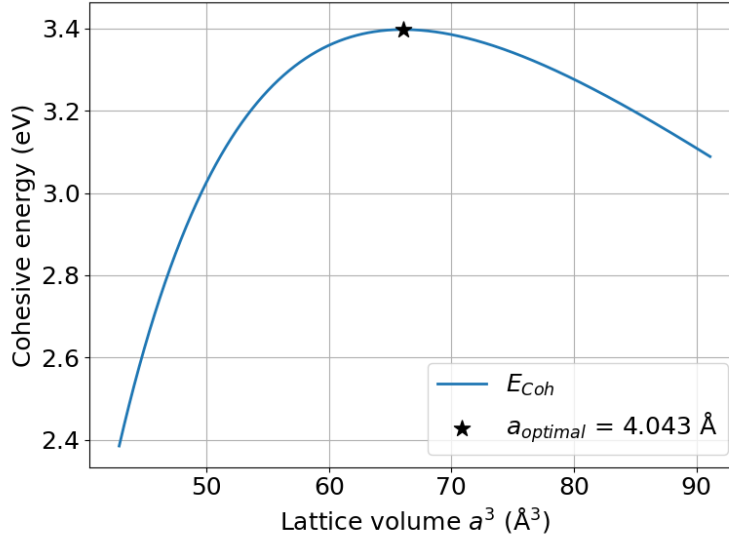


Figure 2: Bulk Al cohesive energy as a function of lattice volume. Note that the maximum of the cohesive energy corresponds to a lattice parameter value of $a \approx 4.043$ Å.

3 Task 3: Vibrational properties of the nanoparticles

The vibrational behaviour for the different nanoparticles were computed using the Vibrations module in ASE, see appendix A.3 for implementation details. A summary is given in table 2, with the number of phonon modes (i.e. number of individual resonance frequencies), imaginary frequencies and zero-frequencies. An interesting observation is that the number of zero-frequencies and imaginary frequencies are the same for all systems; upon closer inspection they are the same frequencies; i.e. the frequencies which have zero real part has non-zero imaginary part. The fact that we have some imaginary frequencies imply that the systems are in a transition state [4]; however, the imaginary part is very small ($< 10^{-3} \text{ cm}^{-1}$) for all systems except for Al_{13} .

Table 2: Obtained number of modes, imaginary frequencies and zero-frequencies for the various nanoparticles.

Cluster	Vib. modes	Im. freq.	Zero-freq.
Al ₁₃	38	4	4
Al ₂₃	69	3	3
Al ₃₈	114	3	3
Al ₅₂	156	3	3
Al ₇₁	213	3	3
Al ₉₀	270	3	3
Al ₁₀₈	324	3	3
Al ₁₃₈	414	3	3
Al ₂₀₁	574	3	3
Al ₃₁₆	948	3	3

The resulting vibrational density of states are given in figure 3. We observe that the absolute density of states increases with cluster size, which is expected since the larger clusters have more vibrational modes. We note in particular that the vibrational DOS seem to converge to a somewhat bimodal shape for the larger clusters; this will be compared to the results for bulk Al in task 4.

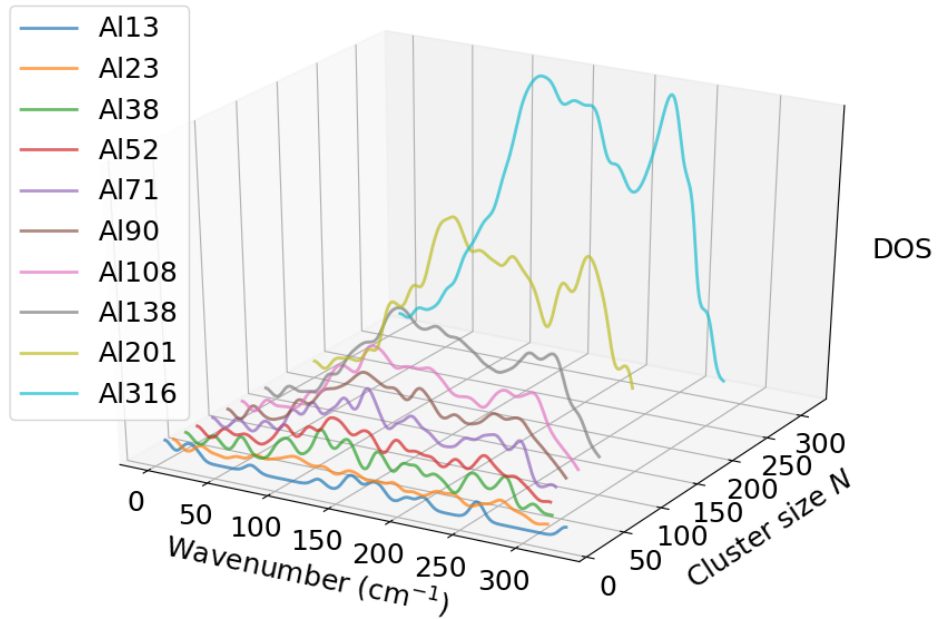


Figure 3: Vibrational DOS for the different nanoclusters. Note that the DOS seems to converge towards a bimodal DOS for larger clusters.

4 Task 4: Vibrational properties of bulk Al

The vibrational DOS as computed by the Phonons module in ASE for bulk Al is given in figure 4. See appendix A.4 for implementation details. We note that the vibrational DOS seems to be what the DOS for the individual nanoclusters in task 3 converged towards. This is expected, since the vibrational behaviour of the nanoclusters should become similar to that of bulk Al as the number of atoms increase. In the limit of the number of atoms $N \rightarrow \infty$ they should be the same.

The vibrational bandstructure of bulk Al is also given in figure 4. The bandstructure is calculated along the path "GXWKGLUWLK,UX", which passes through many of the high-symmetry points of an FCC crystal [5]. Counting the modes, we determine there to be 3 individual modes, two of which are zero at the Brillouin zone center Γ . The fact that there are modes with zero energy, and thus frequency, at the Brillouin zone center $k = 0$ is that these corresponds to waves with infinite wavelength; thus they corresponds to translating the whole crystal, not deforming it, which costs no energy [6].

We also identify all branches as acoustical, since there is no gap in the frequencies (energies) which the modes describe. The velocity of sound can thus be computed as the slope of the two modes close to the Brillouin zone center (long wavelengths). Each mode corresponds to different translational behaviour, longitudinal and transversal. Generally, the transversal mode has a slower speed of sound than the longitudinal mode [7]. For our Al system, we obtain the sound velocities ~ 12.0 km/s and ~ 17.3 km/s for the transversal mode and the longitudinal mode, respectively. This is much higher than their experimental values, which should be 3.0 km/s and 6.4 km/s respectively. Either the calculation of the band structure is off, or there something wrong with my method, but unfortunately I don't have enough time to investigate this further.

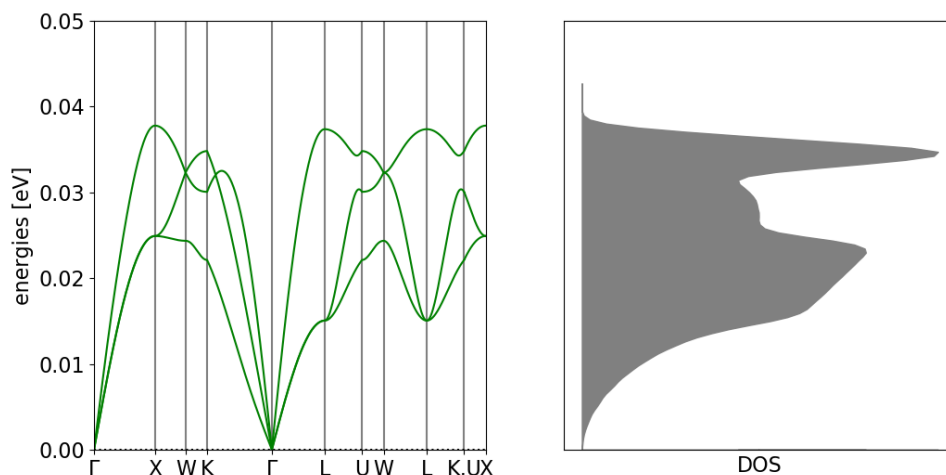


Figure 4: Phonon bandstructure and DOS for bulk Al. Notice that the bandpath has three individual modes, two of which are zero at the Brillouin zone center and that the DOS seems to be what the individual DOS for the nanoparticles converged towards in task 3.

5 Task 5: Electronic structure of nanoparticles

We compute the electronic DOS for the nanoparticles using ASE's DOS module and a GPAW calculator. The calculator was set to PW-mode with a cutoff energy of 300 eV in order to compare the results to task 6. The DOS were all folded with Gaussians of width 0.2, and are given in figure 5. As in task 3, the electronic DOS seems to converge towards a distinct profile, which will be compared to what will be obtained in task 6.

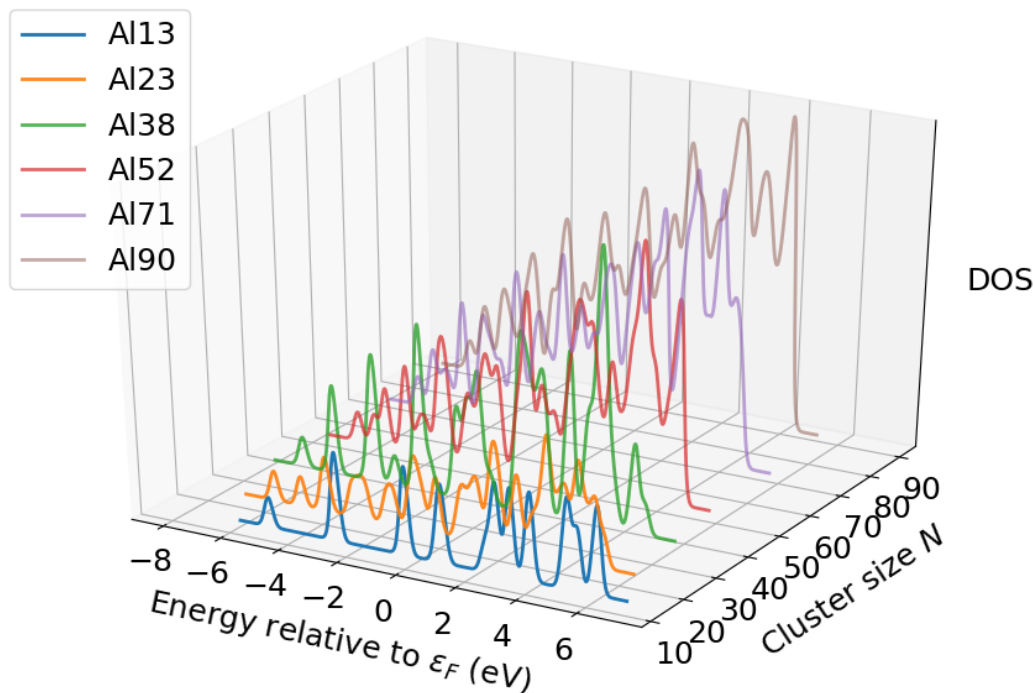


Figure 5: Electronic DOS for the nanoparticles. Note that, as in task 3, the density of states seem to converge to a common shape with increasing cluster size.

6 Task 6: Electronic structure of bulk Al

The electronic band structure and density of states of Al was computed using GPAW, with a plane wave basis and a cutoff energy of 300 eV. To establish a proper sampling of the k -space, the potential energy of the bulk system was converged to 10^{-4} eV by increasing the number of k -points in each direction. A convergence plot of the energy is given in figure 6.

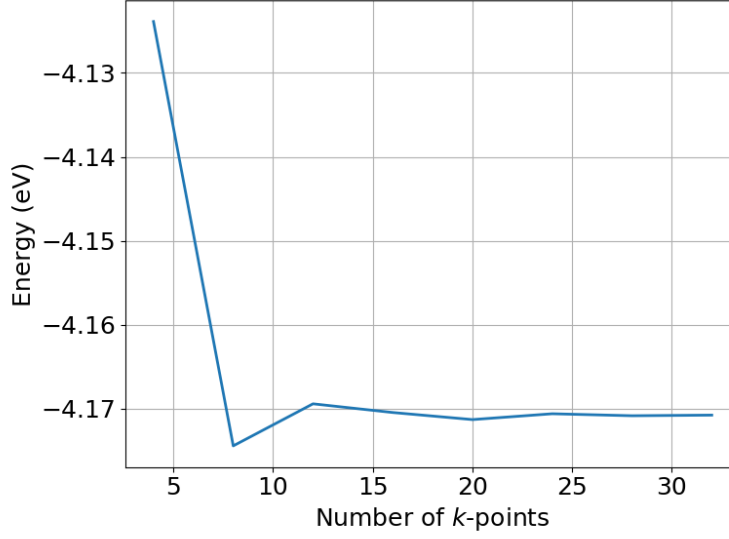


Figure 6: Cluster energy as a function of the number of sampled k -points in each direction.

The ground state potential energy was converged with 36 k -points in each direction. The number of k -points will never be a problem for the nanoparticles, since there we don't have a continuum of states in k -space; we only have a finite number of configurations, and thus we will automatically consider all of them when calculating the DOS.

After this the electronic ground state energy was calculated again and fixated. This fixated density was then used to calculate the electronic band structure of bulk Al. The band structure was calculated along the same path as in task 4, "GXWKGLUWLK,UX", with 60 sampled k -points and convoluted with a Gaussian of width 0.2. Finally, the electronic DOS was calculated, but not along a path but on a mesh on K -space with 40 k -points in each direction in order to properly sample the k -space. The DOS was convoluted with Gaussians of width 0.2. The resulting electronic band structure and DOS are given in figure 7. Note that both the band structure and the DOS are plotted relative to the Fermi level ϵ_F . We observe that the DOS for bulk Al is somewhat similar to the converged spectrum in task 5. However, there are some discrepancies, such as the large peaks at ~ -2.5 eV in figure 7, which are non-existent in figure 5. This could be due to me using different methods for calculating the DOS in task 5 and task 6, and it is possible that I have configured them improperly so that they don't converge to the same degree. I ran into calculation optimization problems when trying to use the same procedure for task 5 as I used for task 6.

We also compare the DOS for bulk Al with what is predicted by the free electron model. The DOS for metals in the free electron model is given as proportional to the square-root of the energy, $g_{Free} \propto \sqrt{E}$ [8]. By rescaling the free-electron DOS to fit the obtained DOS we see that it describes the location of the first few peaks fairly well. We don't expect perfect one-to-one correspondence with the free electron model, since it models the electrons as having a continuum of accessible states, whilst the DFT approach does no such approximation.

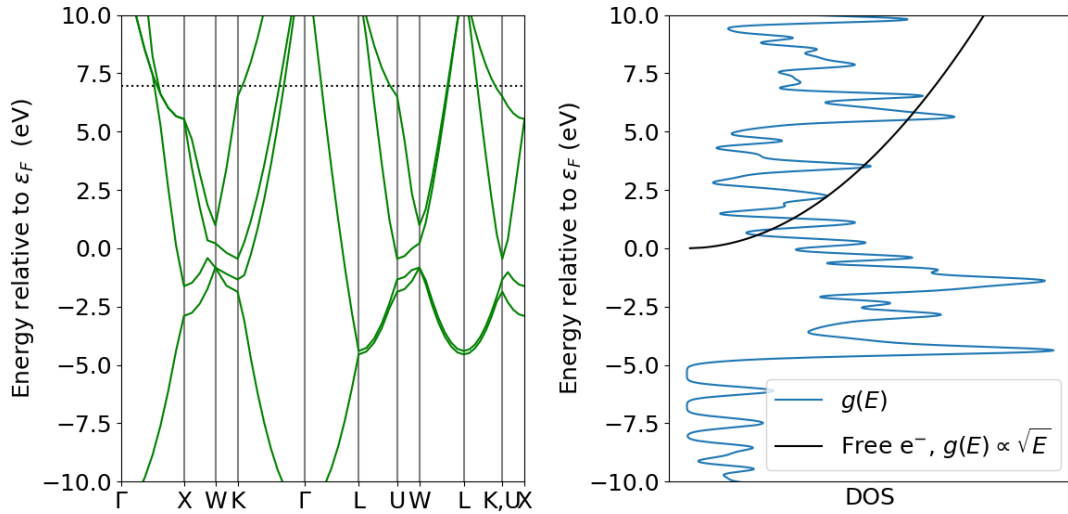


Figure 7: Electronic band structure and DOS for bulk Al. Disregard the horizontal line in the band spectrum plot; it is an artefact from plotting.

7 Task 7: Electrons and phonons in Si

The electronic and vibrational properties of Si was calculated using the same methods as in tasks 4 and 6, but adapted for Si. The electronic structure was calculated with GPAW and a plane wave basis, but with a cutoff of 200 eV. Here the ground state energy was converged to 10^{-4} eV using only 16 k -points. The band structure was evaluated along the path "GXWKL" sampled at 60 points, and the DOS was calculated on a mesh spanning 28 k -points in each direction.

The electronic bandgap was calculated using the Bandgap module in ASE. Comparing the bandgap energy with the module set to calculate either the direct or indirect bandgap, we find that the bandgap is the smallest for the indirect bandgap, with a value of $E_{gap} = 0.475$ eV. Thus we draw the conclusion that bulk Si has an indirect bandgap. Comparing the bandgap to the experimental value of 1.14 eV we also note that the DFT calculation seems to underestimate the bandgap value [9]. This is probably due to me having used LDA as my XC-functional in order to simplify the calculations; LDA corresponds to local DFT, which often tends to underestimate the band gap [10].

Unfortunately, I didn't have time to calculate the effective electron and hole masses, but it can be done by fitting a second degree polynomial to the bandstructure at the relevant positions in the Brillouin zone and extracting the second derivative of the curve f'' . The effective mass can then be calculated as $m^* = f''/\hbar^2$ [11].

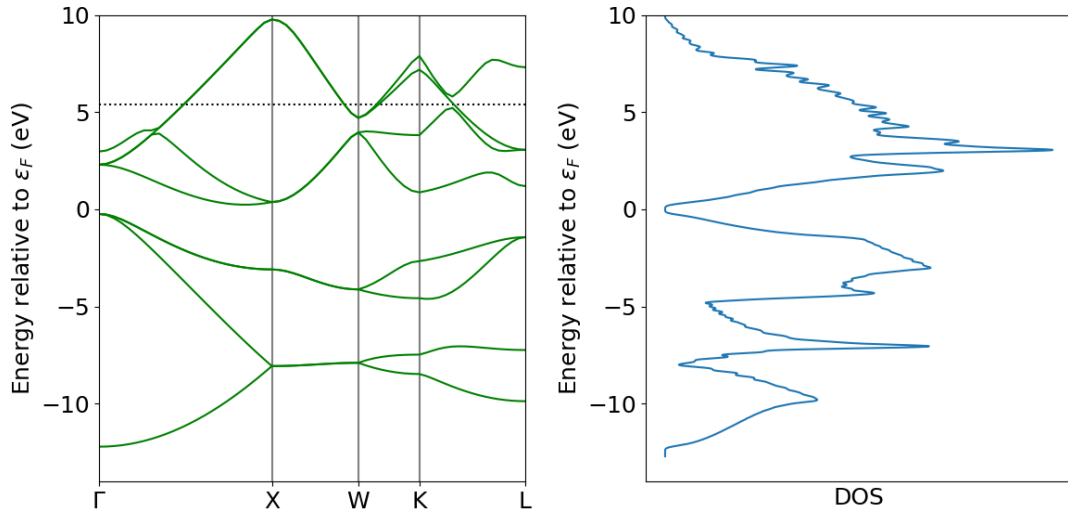


Figure 8: Electronic structure for Si. Disregard the horizontal line in the band spectrum plot; it is an artefact from plotting. Notice the bandgap which is clearly visible in the DOS.

The vibrational structure and DOS was also calculated using DFT and a GPAW plane wave calculator, contrary to what was done in task 4. However, the Phonons module was also used here. We identify 6 different phonon modes in total; three acoustical and three optical. The optical branches are the ones which never goes to zero, i.e. they only occupy a range of frequencies with their lowest frequency greater than zero. Thus we may get a phonon frequency gap, depending on our position in the Brillouin zone. We also note that among our acoustic branches only two are zero at the Brillouin zone center Γ . By studying these two acoustic branches' behaviour close to Γ we may get an estimate for the speed of sound in Si, as we got for Al in task 4. The obtained value for the speed of sound in Si for the transversal (slower) branch is ~ 2500 km/s and for the longitudinal branch ~ 19 km/s. This is, as in task 4, very different from the experimental values of 5.5 km/s and 8.4 km/s respectively [7], and like in task 4 I unfortunately don't have the time to investigate this further.

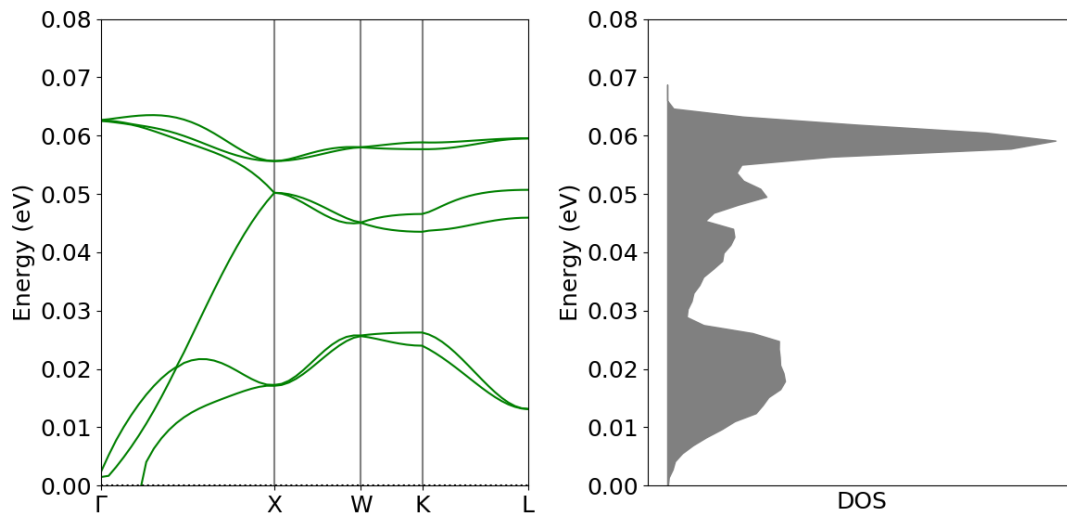


Figure 9: Vibrational structure for Si. Notice the division into three optical and three acoustic phonon modes.

References

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- [7] Wikipedia. *Speeds of sound of the elements*. Accessed: 2020-03-06. 2019. URL: %5Curl%7Bhttps://en.wikipedia.org/wiki/Speeds_of_sound_of_the_elements%7D.
- [8] Wikipedia. *Free electron model*. Accessed: 2020-03-06. 2019. URL: %5Curl%7Bhttps://en.wikipedia.org/wiki/Free_electron_model%7D.

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- [11] Wikipedia. *Effective mass (solid-state physics)*. Accessed: 2020-03-06. 2019. URL: %5Curl%7Bhttps://en.wikipedia.org/wiki/Effective_mass_(solid-state_physics)%7D.

A Python scripts

A.1 Task 1

Please run both scripts in the folder "task1".

```

1  # External imports
2  import numpy as np
3  import matplotlib.pyplot as plt
4
5  # ASE
6  from ase import Atoms
7  from ase.db import connect
8  from ase.calculators.eam import EAM
9
10
11 # Set plot params
12 plt.rc('font', size=12)           # controls default text sizes
13 plt.rc('axes', titlesize=12)      # fontsize of the axes title
14 plt.rc('axes', labelsiz=12)      # fontsize of the x and y labels
15 plt.rc('xtick', labelsiz=12)     # fontsize of the tick labels
16 plt.rc('ytick', labelsiz=12)     # fontsize of the tick labels
17 plt.rc('legend', fontsize=12)    # legend fontsize
18
19 def out(s, f):
20     ''' Prints s to console and file f. '''
21     print(s)
22     print(s, file=f)
23
24 # Load the database, row by row, and calculate the cohesive energy.
25 # The cohesive energy is the same as the potential energy since that is
26 # the energy required to separate the atoms.
27 db = connect('../CourseGitRepo/HAS_Al-clusters-initial.db')
28 # Sort the clusters based on number of atoms
29 allClust = list(db.select())
30 sort = np.argsort([len(clust.numbers) for clust in allClust])
31 allClust = np.array(allClust)[sort]
32
33 Efile = open('ECoh.txt', 'w')
34 out(s='----- Cluster energies -----', f=Efile)
35 for clust in allClust:

```

```

36     # General info
37     atoms = clust.toatoms()
38     N = len(atoms.positions)
39     # Calculate cohesive energy
40     mishin = EAM(potential='../CourseGitRepo/HA5_al_potential.alloy') # Set up EAM
41     atoms.set_calculator(mishin)
42     ECoh = np.abs(atoms.get_potential_energy() / N) # Cohesive energy is the ←
         positive potential energy
43
44     # Print results
45     str1 = f'| N={N}'
46     str2 = 'Cohesive energy/atom:'
47     str3 = f' {ECoh:.4f} eV   |'
48     out(str1 + str2.rjust(30-len(str1)) + str3.rjust(35-len(str2)), f=Efile)
49 out('-----', f=Efile)
50
51 # Plot and save a picture of the potential
52 mishin.plot()
53 plt.tight_layout()
54 plt.savefig('al_potential.png')
55 # plt.show()
56
57 # The potential may not be perfectly suited for the smaller nanoparticles, since they←
         don't exhibit the same symmetries as the bulk Al.
58 # Bulk Al is crystalline fcc.

```

A.2 Task 2

Please run in the folder "task2".

```

1  # External imports
2  import numpy as np
3  import matplotlib.pyplot as plt
4  from tqdm import tqdm
5
6  # ASE
7  from ase import Atoms
8  from ase.db import connect
9  from ase.calculators.eam import EAM
10 from ase.build import bulk
11
12
13 # Set plot params
14 plt.rc('font', size=18)           # controls default text sizes
15 plt.rc('axes', titlesize=18)      # fontsize of the axes title
16 plt.rc('axes', labelsiz=18)      # fontsize of the x and y labels
17 plt.rc('xtick', labelsiz=18)     # fontsize of the tick labels
18 plt.rc('ytick', labelsiz=18)     # fontsize of the tick labels
19 plt.rc('legend', fontsize=18)    # legend fontsize
20
21
22 # The true lattice parameter is 4.04 - search around this minimum
23 latParams = np.linspace(3.5, 4.5, 1000)
24
25 E = []
26 for a in tqdm(latParams):
27     atoms = bulk('Al', 'fcc', a)
28

```

```

29     # Calculate potential energy
30     mishin = EAM(potential='../CourseGitRepo/HA5_al_potential.alloy') # Set up EAM
31     atoms.set_calculator(mishin)
32     E.append(atoms.get_potential_energy())
33
34     E = np.abs(E) # Cohesive energy is abs of potential energy
35     # Find maximum cohesive energy and best lattice parameter
36     maxIdx = np.argmax(E)
37     aMax = latParams[maxIdx]
38     EMax = E[maxIdx]
39     print(f'Optimal lattice parameter: {aMax:.3f}    , Cohesive energy: {EMax:.3f} eV')
40
41     # Plot results
42     fig, ax = plt.subplots(figsize=(8,6))
43     ax.plot(latParams**3, E, linewidth=2, linestyle='-', marker='o', markersize=0, color='C0', label=r'$E_{Coh}$')
44     ax.scatter(aMax**3, EMax, marker='*', s=150, c='k', zorder=3, label=r'$a_{optimal}$ ' + f'={aMax:.3f} ')
45     ax.set_xlabel(r'Lattice volume $a^3$ ($\rm \AA^3$)')
46     ax.set_ylabel(r'Cohesive energy (eV)')
47     ax.legend(loc='best')
48     ax.grid()
49     plt.tight_layout()
50     plt.savefig('E_task2.png')
51     # plt.show()
52
53     # The lattice parameter agrees with experimental values up to 2 decimal
54     # places, and the cohesive energy seems to match those (per atom)
55     # from task1 somewhat well.
56     # However, the cohesive energy for bulk aluminium averaged over all atoms
57     # is lower, which could be due to the increased stability of the bulk crystal.
58     # In the nanoparticles there are atoms on the surface which are bound less
59     # tight which reduces the average cohesive energy. The effects of these
60     # 'surface atoms' decreases with the size of the nanoparticle which can be
61     # seen by the cohesive energy increasing with the number of atoms in the
62     # nanoparticle. The bulk Al corresponds to the cohesive energy of an infinite
63     # size nanoparticle, i.e. no edge effects.
64
65     # We expect EAM to give good results, since it is particularly good for FCC metals
66     # [https://wiki.fysik.dtu.dk/ase/ase/calculators/eam.html].

```

A.3 Task 3

Please run in the folder "task3".

```

1  # Internal imports
2  import os.path as p
3
4  # External imports
5  import numpy as np
6  import matplotlib.pyplot as plt
7  from tqdm import tqdm
8
9  # ASE
10 from ase import Atoms
11 from ase.db import connect
12 from ase.calculators.eam import EAM
13 from ase.vibrations import Vibrations

```

```

14 from ase.phonons import Phonons
15
16
17 # Set plot params
18 plt.rc('font', size=18)           # controls default text sizes
19 plt.rc('axes', titlesize=18)      # fontsize of the axes title
20 plt.rc('axes', labelsiz=18)       # fontsize of the x and y labels
21 plt.rc('xtick', labelsiz=18)      # fontsize of the tick labels
22 plt.rc('ytick', labelsiz=18)      # fontsize of the tick labels
23 plt.rc('legend', fontsize=18)     # legend fontsize
24
25 def out(s, f):
26     ''' Prints s to console and file f. '''
27     print(s)
28     print(s, file=f)
29
30 # Load the database, row by row, and calculate the cohesive energy.
31 # The cohesive energy is the same as the potential energy since that is
32 # the energy required to separate the atoms.
33 db = connect('../CourseGitRepo/HA5_Al-clusters-initial.db')
34 vibDB = connect('../vib.db', append=False)
35 # Sort the clusters based on number of atoms
36 allClust = list(db.select())
37 sort = np.argsort([len(clust.numbers) for clust in allClust])
38 allClust = np.array(allClust)[sort]
39
40 for clust in allClust:
41     # General info
42     atoms = clust.toatoms()
43     N = len(atoms.positions)
44     # Define calculator - mishin
45     mishin = EAM(potential='../CourseGitRepo/HA5_al_potential.alloy') # Set up EAM
46     atoms.set_calculator(mishin)
47     # Get vibrational spectrum
48     str1 = f'----- N={N}'
49     str2 = '          '
50     print(str1 + str2.ljust(40-len(str1)))
51
52     ##### Using Vibrations module
53     v = Vibrations(atoms, name=f'./vibs/vib_{N}.pckl')
54     if not p.isfile(f'./vibs/vib_{N}.all.pckl'):
55         print('Running vibration calculation')
56         v.run()
57         v.combine() # Combine pickle files
58     # Get frequencies and DOS - i.e # of states per frequency
59     all_freq = v.get_frequencies()
60     # if N==38:
61     #     print(v.summary())
62     #     print(all_freq)
63     (freq, counts) = np.unique(all_freq, return_counts=True)
64     fold_freq = v.fold(np.real(freq), np.real(counts), start=0, end=np.real(freq.max←
65         ()), width=12, normalize=False)
66     f_freq = np.array(fold_freq[0])
67     f_dos = np.array(fold_freq[1])
68     freq = np.array(freq)
69     dos = np.array(counts)
70
71     # Get number of modes
72     # e = False
73     # i=0
74     # modes = 0
75     # while not e:

```

```

75     #     try:
76     #         v.get_mode(i)
77     #         modes += 1
78     #         i += 1
79     #     except:
80     #         e = True
81
82     # Save to db
83     vibDB.write(atoms, data={'frequency': freq, 'DOS': dos, 'f_freq': f_freq, 'f_dos': f_dos,
84                             : f_dos})
85
86     ##### Using Phonons module
87     # ph = Phonons(atoms, mishin, delta=0.05, name='./phonons/ph_Si')
88     # ph.run()
89     # # ph.combine()
90     # ph.read(acoustic=True)
91     # Pdos = ph.get_dos(kpts=(20, 20, 20)).sample_grid(npts=60, width=1e-3)
92     # print(Pdos)
93
94     print('Sucessfully saved all data to DB')

```

```

1  # External imports
2  import numpy as np
3  import matplotlib.pyplot as plt
4  from mpl_toolkits.mplot3d import Axes3D
5  from tqdm import tqdm
6
7  # ASE
8  from ase import Atoms
9  from ase.db import connect
10
11
12  # Set plot params
13  plt.rc('font', size=18)           # controls default text sizes
14  plt.rc('axes', titlesize=18)      # fontsize of the axes title
15  plt.rc('axes', labelsiz=18)       # fontsize of the x and y labels
16  plt.rc('xtick', labelsiz=18)      # fontsize of the tick labels
17  plt.rc('ytick', labelsiz=18)      # fontsize of the tick labels
18  plt.rc('legend', fontsize=18)     # legend fontsize
19
20  def out(s, f):
21      ''' Prints s to console and file f. '''
22      print(s)
23      print(s, file=f)
24
25  # Read DB
26  vibDB = connect('./vib.db')
27
28  # Plot vibrational spectra
29  vFile = open('vib.txt', 'w')
30  out(s='----- Cluster Vibrations -----', f=vFile)
31
32  fig = plt.figure(figsize=(9,6))
33  ax = fig.add_subplot(111, projection='3d')
34  for clust in vibDB.select():
35      atoms = clust.toatoms()
36      freq = clust.data['frequency']
37      dos = clust.data['DOS']
38      # Extract info
39      N = len(atoms.positions)

```

```

39     nModes = len(freq)
40     isC = np.iscomplex(freq).sum()
41     isZ = len(freq) - np.count_nonzero(np.real(freq))
42     # Print info
43     str1 = f'| A1{N} '
44     str2 = 'Modes: '
45     str3 = f' {nModes} '
46     str4 = '      Imaginary frequencies: '
47     str5 = f' {isC} '
48     str6 = '      Zero frequencies: '
49     str7 = f' {isZ} |'
50     out(str1 + str2.rjust(20-len(str1)) + str3.rjust(15-len(str2)) + str4 + str5 + ↵
        str6 + str7, f=vFile)
51     # Plot
52     f_freq = clust.data['f_freq']
53     f_dos = clust.data['f_dos']
54     f_freq = np.real(f_freq)
55     ax.plot(f_freq, N*np.ones(len(f_freq)), f_dos, alpha=0.7, linewidth=2, label=f'A1↵
        {N}')
56     ax.grid()
57     ax.legend(loc='upper left')
58     ax.set_xlabel(r'Wavenumber ( $\text{\AA}^{-1}$ )', labelpad=15)
59     ax.set_ylabel(r'Cluster size $N$', labelpad=15)
60     # ax.set_zlabel(r'DOS ( $\text{\AA}^{-1}$  cm$^{-1}$)', labelpad=10) # TODO set proper units
61     ax.set_zlabel(r'DOS')
62     ax.set_zticks([])
63     ax.grid()
64     plt.tight_layout()
65     plt.savefig('figTask3.png')
66     out(s='↵
        ', f=vFile)

```

A.4 Task 4

Please run in the folder "task4".

```

1  # Internal imports
2  import os.path as p
3
4  # External imports
5  import numpy as np
6  import matplotlib.pyplot as plt
7  from tqdm import tqdm
8
9  # ASE
10 from ase import Atoms
11 from ase.db import connect
12 from ase.calculators.eam import EAM
13 from ase.build import bulk
14 from ase.vibrations import Vibrations
15 from ase.phonons import Phonons
16 from ase.visualize import view
17
18
19 # Set plot params
20 plt.rc('font', size=16) # controls default text sizes
21 plt.rc('axes', titlesize=16) # fontsize of the axes title

```



```

22 plt.rc('axes', labelsizes=16) # fontsize of the x and y labels
23 plt.rc('xtick', labelsizes=16) # fontsize of the tick labels
24 plt.rc('ytick', labelsizes=16) # fontsize of the tick labels
25 plt.rc('legend', fontsize=16) # legend fontsize
26
27 def soundV(bs, modes):
28     # Get K-points and energies
29     k = bs.path.kpts
30     e = bs.energies
31     N = 20
32     v = 0
33     hbar = 6.582e-16 # eVs
34     fig, ax = plt.subplots(figsize=(8,6))
35     for i in range(modes):
36         omegar = e[0,:N,i] / hbar
37         kr = k[:N,i]
38         if not kr[-1] == 0:
39             # The last mode is overlayed on one of the others
40             v = np.abs( (omegar[-1] - omegar[0]) / (kr[-1] - kr[0]) ) / 1e10 # /s
41             print(v)
42             ax.plot(k[:N,i], e[0,:N,i])
43     return None
44
45 # DBs
46 vibDB = connect('./vib.db', append=False) # DB for vibration spectrum
47 phonDB = connect('./ph.db', append=False) # DB for phonon structure and DOS
48 # Using optimal lattice parameter from task 2
49 a = 4.043 # A
50 atoms = bulk('Al', 'fcc', a)
51 # view(atoms) # DEBUG
52
53 ##### Calculate vibrational spectrum
54 # Attach EAM calculator to atoms
55 mishin = EAM(potential='../CourseGitRepo/HA5_al_potential.alloy') # Set up EAM
56 atoms.set_calculator(mishin)
57
58 # Get vibrational spectrum
59 v = Vibrations(atoms, name='./vibs/vib_bulk')
60 v.run()
61 v.summary()
62 # Get frequencies and DOS - i.e # of states per frequency
63 (freq, counts) = np.unique(v.get_frequencies(), return_counts=True)
64 freq = np.array(freq)
65 dos = np.array(counts)
66 # Save to db
67 vibDB.write(atoms, data={'frequency': freq, 'DOS': dos})
68
69 ##### Calculate band structure
70 N=7 # Use a supercell of size 7x7x7
71 ph = Phonons(atoms, mishin, name='./phonons/ph_bulk', supercell=(N,N,N), delta=0.05)
72 ph.run()
73 # Read the results from the run and obtain the bandpath and DOS
74 ph.read(acoustic=True)
75 # ph.clean()
76
77 # lat.plot_bz(show=True) # Visualize Brillouin zone
78 # Al has Space Group 225 [https://materialsproject.org/materials/mp-134/]
79 # from which Bilbao Cryst gives us
80 # Also here is given optimal vectors https://wiki.fysik.dtu.dk/ase/ase/dft/kpoints.↵
81 # Use default path for now
82 # And here [https://wiki.fysik.dtu.dk/ase/ase/dft/kpoints.html#ase.dft.band_structure↵

```

```

83     .BandStructure]
84 path = atoms.cell.bandpath(path='GXWGLUWLK,UX', density=100)
85 bs = ph.get_band_structure(path)
86 modes = bs.energies.shape[2]
87 print(f'Number of phonon modes: {modes}')
88
89 # Compute sound velocity
90 v = soundV(bs, modes)
91
92 dos = ph.get_dos(kpts=(20,20,20)).sample_grid(npts=100, width=1e-3)
93
94 # Plot phonon spectrum and DOS
95 fig, ax = plt.subplots(1,2, figsize=(12,6))
96 emax = 0.05
97 bs.plot(ax=ax[0], emin=0.0, emax=emax)
98
99 # ax[1] = fig.add_axes([.8, .07, .17, .85])
100 ax[1].fill_between(dos.weights[0], dos.energy, y2=0, color='grey',
101                   edgecolor='k', lw=1)
102
103 ax[1].set_ylim(0, emax)
104 ax[1].set_yticks([])
105 ax[1].set_xticks([])
106 # ax[1].set_xlabel(r'DOS ($\rm eV^{-1}$)') # TODO set proper units
107 ax[1].set_xlabel(r'DOS') # TODO set proper units
108
109 plt.savefig('phonon_task4.png')
110 plt.tight_layout()

```

A.5 Task 5

Please run in the folder "task5".

```

1  # Internal imports
2  import time
3  import pickle
4
5  # External imports
6  import numpy as np
7
8  # ASE
9  from ase import Atoms
10 from ase.db import connect
11 from ase.dft.dos import DOS
12 from ase.parallel import world
13
14 # GPAW
15 from gpaw import GPAW, PW
16
17 '''
18 Perform GPAW DFT calculation of the electron density of states
19 for the nanoparticles with N < 100.
20 '''
21 # Connect to DB
22 structDB = connect('../CourseGitRepo/HA5_A1-clusters-initial.db')
23 eosDB = connect('./eos.db', append=False)
24

```

```

25 # Sort the clusters based on number of atoms
26 allClust = list(structDB.select())
27 sort = np.argsort([len(clust.numbers) for clust in allClust])
28 allClust = np.array(allClust)[sort]
29
30 for clust in allClust:
31     # General info
32     atoms = clust.toatoms()
33     N = len(atoms.positions)
34     if(N<100):
35         start = time.time()
36         # if world.rank == 0:
37         print(f'Calculating EOS for Al{N}')
38
39         # Define electron calculator (GPAW)
40         calc = GPAW(
41             mode=PW(300), # Lower for computational efficiency
42             txt=f'./gpaw-out/EOS_{N}_1core.txt'
43         ) # Use the same calculator as in task6
44         atoms.set_calculator(calc)
45         pot_e = atoms.get_potential_energy() # Self-consistently optimize the ↔
46         # if world.rank == 0:
47         print(f'Cluster Al{N} finished potential energy per atom: {pot_e / N:.2f} eV'↔
48             )
49
50         # Get the electronic DOS
51         dos = DOS(calc, npts=800, width=0.2)
52
53         e = dos.get_energies()
54         d = dos.get_dos()
55         e_f = calc.get_fermi_level()
56         e -= e_f # Subtract the Fermi level from the energy
57
58         ##### Get the DOS using the same method as in task6
59         # print('Electronic band structure calculated')
60         # kpts = {'size': (40,40,40)}
61         # calc.set(
62         #     kpts = kpts,
63         #     fixdensity=True,
64         #     symmetry='off',
65         # )
66         # # Fix the potential
67         # calc.get_potential_energy()
68         # e, dos = calc.get_dos(spin=0, npts=1001, width=0.5) # Get energy and ↔
69         # density of states
70         # e_f = calc.get_fermi_level()
71
72         # Edos = {
73         #     'e': e,
74         #     'dos': dos,
75         #     'fermi': e_f
76         # }
77
78         # # Save results
79         # pickle.dump( Edos, open( f'./dos/Edos_Al{N}_1core.p', "wb" ) ) # Save the ↔
80         # electronic DOS
81
82         end = time.time()
83         # if world.rank == 0:
84         print(f'Cluster Al{N} finished ---- Time: {(end-start):.2f} s')
85         eosDB.write(atoms, data={'energy': e, 'DOS': d, 'fermi': e_f})

```

```

83     calc.write(f'./calculators/calc{N}.gpw') # Save the calculator
84     else:
85         # if world.rank == 0:
86         print(f'Skipping Al{N}')

```

```

1  # External imports
2  import numpy as np
3  import matplotlib.pyplot as plt
4  from mpl_toolkits.mplot3d import Axes3D
5  from tqdm import tqdm
6
7  # ASE
8  from ase import Atoms
9  from ase.db import connect
10
11
12 # Set plot params
13 plt.rc('font', size=18) # controls default text sizes
14 plt.rc('axes', titlesize=18) # fontsize of the axes title
15 plt.rc('axes', labelsize=18) # fontsize of the x and y labels
16 plt.rc('xtick', labelsize=18) # fontsize of the tick labels
17 plt.rc('ytick', labelsize=18) # fontsize of the tick labels
18 plt.rc('legend', fontsize=18) # legend fontsize
19
20 # Connect to DB
21 eosDB = connect('./eos.db')
22
23 # Extract and plot convergence data
24 # fig, ax = plt.subplots(figsize=(8,6))
25
26 fig = plt.figure(figsize=(9,6))
27 ax = fig.add_subplot(111, projection='3d')
28 dbList = list(eosDB.select())
29
30 for i,row in enumerate(dbList):
31     atoms = row.toatoms()
32     N = len(atoms.positions)
33     dos = row.data['DOS']
34     e = row.data['energy'] - row.data['fermi']
35     ax.plot(e, N*np.ones(len(e)), dos, alpha=1-i*0.1, linewidth=2, label=f'Al{N}')
36
37 ax.legend(loc='upper left')
38 ax.set_xlabel(r'Energy relative to  $\epsilon_F$  (eV)', labelpad=15)
39 ax.set_ylabel(r'Cluster size  $N$ ', labelpad=15)
40 # ax.set_zlabel(r'DOS ( $\text{eV}^{-1}$ )', labelpad=10) # TODO set proper units
41 ax.set_zlabel(r'DOS')
42 ax.set_zticks([])
43 ax.grid()
44 plt.tight_layout()
45 plt.savefig('dos_task5')
46 plt.show()

```

A.6 Task 6

Please run in the folder "task6".

```

1  # Internal imports
2  import time
3  import pickle
4
5  # External imports
6  import numpy as np
7
8  # ASE
9  from ase import Atoms
10 from ase.db import connect
11 from ase.build import bulk
12 from ase.parallel import world
13
14 # GPAW
15 from gpaw import GPAW, PW, restart
16
17 '''
18 Perform GPAW DFT calculation for the electronic structure of
19 bulk Al. First find converge number of k-points. Then, converge
20 density self-consistently.
21 '''
22
23 def convergeK(atoms, tol=1e-4, kstart=4):
24     # Converge total energy by increasing k-space sampling until total energy changes↔
25     # by
26     # <10-4 eV.
27
28     # DBs
29     convDB = connect('./bulk.db', append=False) # DB for electronic spectrum
30
31     k = kstart
32     Etot_old = 1
33     Etot_new = 2
34     E = []
35     ks = []
36     i = 1
37     while np.abs(Etot_new - Etot_old) > tol:
38         start = time.time()
39         Etot_old = Etot_new
40         # if world.rank == 0:
41         print(f'---- Iteration: {i} ---- k={k} ----')
42
43         calc = GPAW(
44             mode=PW(300), # cutoff
45             kpts=(k, k, k), # k-points
46             txt=f'./gpaw-out/k={k}.txt' # output file
47         )
48         atoms.set_calculator(calc)
49         Etot_new = atoms.get_potential_energy() # Calculates the total DFT energy of↔
50         # the bulk material
51         end = time.time()
52
53         # if world.rank == 0:
54         print(f'Energy: {Etot_new:.4f} eV ---- Time: {(end-start):.2f} s')
55         E.append(Etot_new)
56         ks.append(k)
57         k += 4
58         i += 1
59
60     # Save calculator state and write to DB
61     # if world.rank == 0:
62     convDB.write(atoms, data={'energies': E, 'ks': ks})
63     calc.write('kConverge.gpw')

```

```

61     print('Written to DB')
62     return k, calc
63
64
65 # Using optimal lattice parameter from task 2
66 a = 4.043 # A
67 atoms = bulk('Al', 'fcc', a)
68
69 # Find optimal k parameter
70 k, calc = convergeK(atoms, tol=1e-4, kstart=4)
71 print(f'Optimal k-parameter: k={k}')
72
73 # Perform a ground state energy calculation to get the ground state density
74 atoms.get_potential_energy()
75
76 # Save the calculator
77 calc.write('Al_calc.gpw')
78 # if world.rank == 0:
79 print('Calculator saved')
80
81 ##### Electronic band structure
82 # if world.rank == 0:
83 print('Electronic structure calculation started')
84 atoms, calc = restart('Al_calc.gpw')
85 # kpts = {'size': (60,60,60), 'path': 'GXWKLWLK,UX'}
86 kpts = {'path': 'GXWKLWLK,UX', 'npoints': 60}
87 calc.set(kpts = kpts, fixdensity=True, symmetry='off')
88
89 # calc = GPAW(
90 #     'Al_calc.gpw',
91 #     nbands=16, # Include more bands than convergence ↔
92 #     fixdensity=True, # Fixate the density
93 #     symmetry='off', # Check all points along the path
94 #     kpts={'path': 'GXWKL', 'npoints': 60},
95 #     convergence={'bands': 8},
96 #     txt='Al_calc.txt'
97 # )
98 # calc.get_potential_energy() # Converge the system
99 # # if world.rank == 0:
100 # print('Electronic structure converged')
101
102 # Fix the potential
103 calc.get_potential_energy()
104
105 # Get band structure and dos
106 Ebs = atoms.calc.band_structure() # Get the band structure
107
108 # if world.rank == 0:
109 print('Electronic band structure calculated')
110 kpts = {'size': (40,40,40)}
111 calc.set(
112     kpts = kpts,
113     fixdensity=True,
114     symmetry='off',
115 )
116 # Fix the potential
117 calc.get_potential_energy()
118
119 e, dos = calc.get_dos(spin=0, npts=1001, width=0.5) # Get energy and density of ↔
120 # states
121 print('Electronic DOS computed')

```

```

121 e_f = calc.get_fermi_level()
122 Edos = {
123     'e': e,
124     'dos': dos,
125     'fermi': e_f
126 }
127
128 # Save results
129 pickle.dump( Ebs, open( "Ebs.p", "wb" ) ) # Save the electronic band structure
130 pickle.dump( Edos, open( "Edos.p", "wb" ) ) # Save the electronic DOS
131 # if world.rank == 0:
132 print('Electronic structure calculation completed')

```

```

1 # Internal imports
2 import pickle
3
4 # External imports
5 import numpy as np
6 import matplotlib.pyplot as plt
7 from tqdm import tqdm
8
9 # ASE
10 from ase import Atoms
11 from ase.db import connect
12
13
14 # Set plot params
15 plt.rc('font', size=18) # controls default text sizes
16 plt.rc('axes', titlesize=18) # fontsize of the axes title
17 plt.rc('axes', labelsize=18) # fontsize of the x and y labels
18 plt.rc('xtick', labelsize=18) # fontsize of the tick labels
19 plt.rc('ytick', labelsize=18) # fontsize of the tick labels
20 plt.rc('legend', fontsize=18) # legend fontsize
21
22 # Connect to DB
23 bulkDB = connect('./bulk.db')
24
25 # Extract and plot convergence data
26 fig, ax = plt.subplots(figsize=(8,6))
27 dbList = list(bulkDB.select())
28
29 ks = dbList[0].data['ks']
30 E = dbList[0].data['energies']
31 ax.plot(ks, E, linewidth=2)
32
33 ax.set_xlabel(r'Number of $k$-points')
34 ax.set_ylabel('Energy (eV)')
35 ax.grid()
36 plt.tight_layout()
37 plt.savefig('kConvergence.png')
38
39 # Extract and plot band electronic band structure and DOS
40 bs = pickle.load(open( "Ebs.p", "rb" ))
41 d = pickle.load(open( "Edos.p", "rb" ))
42
43 fig, ax = plt.subplots(1,2, figsize=(12,6))
44
45 # BS
46 bs.energies = bs.energies - d['fermi']
47 emax = 10

```

```

48 emin = -emax
49 bs.plot(filename='', ax=ax[0], show=False, emax=emax, emin=emin)
50 ax[0].set_ylabel(r'Energy (eV)')
51
52 # DOS
53 e = d['e']-d['fermi']
54 # ax[1].fill_between( d['dos'], e, y2=0, color='grey',
55 #                    edgecolor='k', lw=1, alpha=0.6, label=r'$g(E)$')
56 ax[1].plot(d['dos'], e, label=r'$g(E)$' )
57
58 ePos = np.array([ ei for ei in e if ei>=0 ])
59 # Calculate free electron density
60 # Na = 6.02214076e23 # Avogadro's constant
61 # Z = 3 # Nbr of valence electrons of Al
62 # rho = 2720 # kg/m3
63 # ma = 26.98 * 1.66e-27
64 # n = Na*Z*rho/ma
65 # freeE = 1.5 * n/d['fermi'] * np.sqrt(ePos/d['fermi'])
66 freeE = 0.115*np.sqrt(ePos) # TODO set proper scale
67 ax[1].plot(freeE, ePos, color='k', label=r'Free $e^-$, $g(E) \propto \sqrt{E}$ ←
68 )
69 ax[1].legend(loc='best')
70 ax[1].set_xticks([])
71 # ax[1].set_xlabel(r'DOS ($\rm eV^{-1}$)') # TODO set proper units
72 ax[1].set_xlabel(r'DOS')
73 ax[1].set_ylabel(r'Energy relative to $\epsilon_F$ (eV)')
74 ax[1].set_ylim((emin, emax))
75 plt.tight_layout()
76 plt.savefig('electronicAl.png')

```

A.7 Task 7

Please run in the folder ”task7”.

```

1 # Internal imports
2 import time
3 import pickle
4
5 # External imports
6 import numpy as np
7
8 # ASE
9 from ase import Atoms
10 from ase.db import connect
11 from ase.build import bulk
12 from ase.phonons import Phonons
13 from ase.parallel import world
14
15 # GPAW
16 from gpaw import GPAW, PW, restart
17
18 '''
19 Perform DFT calculation for electronic and phononic band structures and density of ←
20 states for Si.
21 Uses a GPAW calculator with a PW basis set. Inspiration taken from this example:
22 https://wiki.fysik.dtu.dk/gpaw/tutorials/bandstructures/bandstructures.html.
'''

```



```

23
24 def convergeK(atoms, tol=1e-4, kstart=4):
25     # Converge total energy by increasing k-space sampling until total energy changes↵
26     # by
27     # <10-4 eV.
28
29     # DBs
30     convDB = connect('./bulk.db', append=False) # DB for electronic spectrum
31
32     k = kstart
33     Etot_old = 1
34     Etot_new = 2
35     E = []
36     ks = []
37     i = 1
38     while np.abs(Etot_new - Etot_old) > tol:
39         start = time.time()
40         Etot_old = Etot_new
41         # if world.rank == 0:
42         print(f'---- Iteration: {i} ---- k={k} ----')
43
44         calc = GPAW(
45             mode=PW(200), # cutoff - lower for computational ↵
46             efficiency
47             kpts=(k, k, k), # k-points
48             txt=f'./gpaw-out/k={k}.txt' # output file
49         )
50         atoms.set_calculator(calc)
51         Etot_new = atoms.get_potential_energy() # Calculates the total DFT energy of↵
52         # the bulk material
53         end = time.time()
54
55         # if world.rank == 0:
56         print(f'Energy: {Etot_new:.4f} eV ---- Time: {(end-start):.2f} s')
57         E.append(Etot_new)
58         ks.append(k)
59         k += 4
60         i += 1
61
62         # Save calculator state and write to DB
63         # if world.rank == 0:
64         convDB.write(atoms, data={'energies': E, 'ks': ks})
65         calc.write('kConverge.gpw')
66         print('Written to DB')
67
68     return k, calc
69
70 # Define the Si bulk-structure
71 atoms = bulk('Si', 'diamond', 5.43)
72 if world.rank == 0:
73     print('System created')
74
75 # Find optimal k parameter
76 k, calc = convergeK(atoms, tol=1e-4, kstart=4)
77 if world.rank == 0:
78     print(f'Optimal k-parameter: k={k}')
79
80 # Perform a ground state energy calculation to get the ground state density
81 atoms.get_potential_energy()
82
83 # Save the calculator
84 calc.write('Si_calc.gpw')
85 if world.rank == 0:

```

```

82     print('Calculator saved')
83
84     #### Electronic band structure
85     # if world.rank == 0:
86     # print('Electronic structure calculation started')
87     # calc = GPAW(
88     #     'Si_calc.gpw',
89     #     nbands=16,                                # Include more bands than convergence ↔
90     #     since metallic
91     #     fixdensity=True,                            # Fixate the density
92     #     symmetry='off',                            # Check all points along the path
93     #     kpts={'path': 'GXWKL', 'npoints': 60},
94     #     convergence={'bands': 8},
95     #     txt='Si_calc.txt'
96     # )
97     # calc.get_potential_energy() # Converge the system
98     # # if world.rank == 0:
99     # print('Electronic structure converged')
100
101     atoms, calc = restart('Si_calc.gpw')
102     # kpts = {'size': (20,20,20)}
103     kpts = {'path': 'GXWKL', 'npoints': 60}
104     calc.set(
105         kpts = kpts,
106         fixdensity=True,
107         symmetry='off',
108     )
109
110     # Fix the potential
111     calc.get_potential_energy()
112
113     # Get band structure and dos
114     Ebs = atoms.calc.band_structure() # Get the band structure
115     if world.rank == 0:
116         print('Electronic band structure calculated')
117
118     # Set new k-mesh to the calculator to get a nice DOS
119     kpts = {'size': (28,28,28)}
120     calc.set(
121         kpts = kpts,
122         fixdensity=True,
123         symmetry='off',
124     )
125
126     # Fix the potential
127     calc.get_potential_energy()
128
129     e, dos = calc.get_dos(spin=0, npts=1001, width=0.2) # Get energy and density of ↔
130     states
131     e_f = calc.get_fermi_level()
132     Edos = {
133         'e': e,
134         'dos': dos,
135         'fermi': e_f
136     }
137
138     # Save results
139     pickle.dump( Ebs, open( "Ebs.p", "wb" ) ) # Save the electronic band structure
140     pickle.dump( Edos, open( "Edos.p", "wb" ) ) # Save the electronic DOS
141     calc.write('Si_electrons.gpw')
142     if world.rank == 0:
143         print('Electronic structure calculation completed')
144

```

```

142
143 ##### Phononic band structure
144 # if world.rank == 0:
145 print('Phononic structure calculation started')
146 atoms, calc = restart('Si_calc.gpw')
147 # kpts = {'size': (20,20,20)}
148 calc.set(
149     symmetry='off',
150 )
151
152
153 # Set up the ASE phonon calculator
154 N = 2 # Use a 2x2x2 supercell
155 ph = Phonons(atoms, calc, supercell=(N, N, N), delta=0.05, name='./phonons/ph_Si')
156
157 # Run the phonon calculation
158 if world.rank == 0:
159     print('***** Phonon calculation started *****')
160 ph.run()
161 if world.rank == 0:
162     print('***** Phonon calculation completed *****')
163 ph.read(acoustic=True)
164
165 # Define BZ-path - use the same as for the electronic calculation
166 path = atoms.cell.bandpath('GXWKL', npoints=60)
167
168 # Fetch band structure and dos
169 if world.rank == 0:
170     print('***** Calculating phononic band structure *****')
171 Pbs = ph.get_band_structure(path)
172 if world.rank == 0:
173     print('***** Phononic band structure calculated *****')
174 Pdos = ph.get_dos(kpts=(20, 20, 20)).sample_grid(npts=60, width=1e-3)
175 if world.rank == 0:
176     print('***** Phononic DOS calculated *****')
177
178 # Save results
179 pickle.dump( Pbs, open( "Pbs.p", "wb" ) ) # Save the phononic band structure
180 pickle.dump( Pdos, open( "Pdos.p", "wb" ) ) # Save the phononic DOS
181 # calc.write('Si_phonons.gpw') # Don't need to save this calc
182 if world.rank == 0:
183     print('Phononic structure calculation completed')

```

```

1 # ASE
2 from ase import Atoms
3 from ase.dft.bandgap import bandgap
4 from ase.parallel import world
5
6 # GPAW
7 from gpaw import GPAW, restart
8
9
10 # Restart electronicSi calculator and calculate bandgap
11
12 _, calc = restart('Si_electrons.gpw')
13
14 # Indirect bandgap
15 gap, p1, p2 = bandgap(calc, direct=False, output='indirectBandgap.txt')
16 print(f'Indirect bandgap: {gap:.2f} eV')
17 gap, p1, p2 = bandgap(calc, direct=True, output='directBandgap.txt')

```

```
18 print(f'Direct bandgap: {gap:.2f} eV')
```

```

1  # Internal imports
2  import pickle
3
4  # External imports
5  import numpy as np
6  import matplotlib.pyplot as plt
7  from tqdm import tqdm
8
9  # ASE
10 from ase import Atoms
11 from ase.db import connect
12
13
14 # Set plot params
15 plt.rc('font', size=18)           # controls default text sizes
16 plt.rc('axes', titlesize=18)      # fontsize of the axes title
17 plt.rc('axes', labelsiz=18)       # fontsize of the x and y labels
18 plt.rc('xtick', labelsiz=18)      # fontsize of the tick labels
19 plt.rc('ytick', labelsiz=18)      # fontsize of the tick labels
20 plt.rc('legend', fontsize=18)     # legend fontsize
21
22 def soundV(bs, modes):
23     # Get K-points and energies
24     k = bs.path.kpts
25     e = bs.energies
26     N = 30
27     l = 2
28     v = 0
29     print(k.shape)
30     print(e.shape)
31     hbar = 6.582e-16 # eVs
32     fig, ax = plt.subplots(figsize=(8,6))
33     for i in range(modes):
34         omegar = e[0,:N,i] / hbar
35         kr = k[:N, i%3]
36         if i==1 or i==2:
37             # The only acoustice branches which goes to 0 are these
38             # The last mode is overlayed on one of the others
39             # if i==1:
40             i_off = len([i for i,k in enumerate(kr) if k <= 0.0 and i>0]) # offset ←
41             # to skip zero indexes
42             print(kr[i_off:])
43             v = np.abs( (omegar[i_off+1] - omegar[i_off+0]) / (kr[i_off+1] - kr[i_off+0]) ) / 1e10 # /s
44             print(v)
45             ax.plot(kr, omegar*hbar, label=f'{i}')
46             ax.plot(kr[i_off:i_off+1], omegar[i_off:i_off+1]*hbar, color='r', label=f'← {i}')
47         ax.legend(loc='best')
48     plt.show()
49     return None
50
51 # Connect to DB
52 bulkDB = connect('./bulk.db')
53
54 # Extract and plot convergence data
55 fig, ax = plt.subplots(figsize=(8,6))

```

```

56 dbList = list(bulkDB.select())
57
58 ks = dbList[0].data['ks']
59 E = dbList[0].data['energies']
60 ax.plot(ks, E)
61 ax.set_xlabel(r'Number of $k$-points')
62 ax.set_ylabel('Energy (eV)')
63 ax.grid()
64 plt.tight_layout()
65 plt.savefig('convergenceSi')
66
67 ##### Extract and plot band electronic band structure and DOS
68 bs = pickle.load(open( "Ebs.p", "rb" ))
69 d = pickle.load(open( "Edos.p", "rb" ))
70
71 fig, ax = plt.subplots(1,2, figsize=(12,6))
72
73 # BS
74 bs.energies = bs.energies - d['fermi']
75 emax = 10
76 emin = -14
77 bs.plot(filename='', ax=ax[0], show=False, emax=emax, emin=emin)
78 ax[0].set_ylabel(r'Energy relative to $\epsilon_F$ (eV)')
79 # lims = (bs.energies.min(), bs.energies.max())
80 # ax[0].set_ylim(lims)
81
82 # DOS
83 # ax[1].plot(d['e']-d['fermi'], d['dos'])
84 # ax[1].fill_between( d['dos'], d['e']-d['fermi'], y2=0, color='grey',
85 #                    edgecolor='k', lw=1)
86 ax[1].plot(d['dos'], d['e']-d['fermi'])
87 ax[1].set_xticks([])
88 # ax[1].set_xlabel(r'DOS ($\rm eV^{-1}$)') # TODO set proper units
89 ax[1].set_xlabel(r'DOS')
90 ax[1].set_ylabel(r'Energy relative to $\epsilon_F$ (eV)')
91 ax[1].set_xticks([])
92 ax[1].set_ylim((emin,emax))
93 plt.tight_layout()
94 plt.savefig('electronicSi')
95
96 ##### Extract and plot phonon band structure and DOS
97 bs = pickle.load(open( "Pbs.p", "rb" ))
98 dos = pickle.load(open( "Pdos.p", "rb" ))
99
100 modes = bs.energies.shape[2]
101 print(f'Number of phonon modes: {modes}')
102 # Compute sound velocity
103 v = soundV(bs, modes)
104
105 fig, ax = plt.subplots(1,2, figsize=(12,6))
106 emax = 0.08
107 bs.plot(ax=ax[0], emin=0, emax=emax)
108 ax[0].set_ylabel(r'Energy (eV)')
109
110 ax[1].fill_between(dos.weights[0], dos.energy, y2=0, color='grey',
111                  edgecolor='k', lw=1)
112
113 ax[1].set_ylim(0, emax)
114 ax[1].set_xticks([])
115 ax[1].set_ylabel(r'Energy (eV)')
116 # ax[1].set_xlabel(r'DOS ($\rm eV^{-1}$)') # TODO set proper units
117 ax[1].set_xlabel(r'DOS')

```

```
118 plt.savefig('phonon_task7.png')
119 plt.tight_layout()
120 plt.savefig('phononSi')
121 plt.show()
```