# Lecture 2: Basics of Algorithm Analysis

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# **Chapter 2 - Basics of Algorithm Analysis**

Analyzing algorithms involves thinking about how their resource requirements—the amount of time and space they use—will scale with increasing input size.

#### Goals 🏁

The student should be able to:

- Understand the different definitions of an efficient algorithm
- Understand asymptotic notation and the asymptotic bounds O,  $\Omega$ ,  $\Theta$  as well as their properties
- Understand how the stable matching problem is implemented using arrays and lists
- Have knowledge of common running-time bounds and some of the typical approaches that lead to them
- Understand what a priority queue is and how heaps are used to implement them

# **Computational Tractability**

"For me, great algorithms are the poetry of computation. Just like verse, they can be terse, allusive, dense, and even mysterious.

But once unlocked, they cast a brilliant new light on some aspect of computing." - *Francis Sullivan* 

## **Polynomial-Time**

#### **Brute Force**

For many non-trivial problems, there is a natural brute force search algorithm that checks every possible solution.

- Typically takes  $2^N$  time or worse for inputs of size N.
  - $\circ$  This is N! for stable matching with n men and n women
- Unacceptable in practice.

#### **Desirable scaling property**

When the input size doubles, the algorithm should only slow down by some constant factor C.

• There exists constants c>0 and d>0 such that on every input of size N, its running time is bounded by  $cN^d$  steps.

An algorithm is poly-time if the above scaling property holds - choose  $C=2^4\,.$ 

#### Worst case running time

Obtain bound on largest possible running time of algorithm on input of a given size N.

- Generally captures efficiency in practice.
- Draconian view, but hard to find effective alternative.

#### Average case running time

Obtain bound on running time of algorithm on random input as a function of input size N.

• Hard (or impossible) to accurately model real instances by random distributions.

• Algorithm tuned for a certain distribution may perform poorly on other inputs.

#### Worst-case polynomial-time

An algorithm is efficient if its running time is polynomial

Justification - it really works in practice

- although  $6,02*10^{23}*N^{20}$  is technically poly-time,it would be useless in practice
- In practice, the poly-time algorithms that people develop almost always have low constants and low exponents.
- Breaking through the exponential barrier of brute force typically exposes some crucial structure of the problem.

#### **Exceptions**

- Some poly-time algorithms do have high constants and/or exponents, and are useless in practice.
- Some exponential-time (or worse) algorithms are widely used because the worst-case instances seem to be rare.

# **Asymptotic Growth Rate**

The **asymptotic** behaviour of a function f(n) (such as f(n) = c \* n or  $f(n) = c * n^2$ , etc.) refers to the growth of f(n) as n gets large. We typically ignore small values of n, since we are usually interested in estimating how slow the program will be on large inputs. A good rule of thumb is: the slower the asymptotic growth rate, the better the algorithm (although this is often not the whole story).

#### **Asymptotic Upper Bounds**

T(n) is O(f(n)) if there exist constants c>0 and  $n_0\geq 0$  such that for all  $n\geq n_0$  we have  $T(n)\leq c*f(n)$ .

You can think of it as an "at most" statement

#### **Asymptotic Lower Bounds**

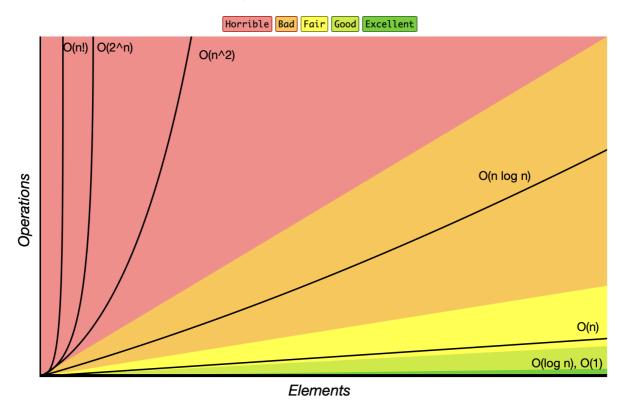
T(n) is  $\Omega(f(n))$  if there exist constants c>0 and  $n_0\geq 0$  such that for all  $n\geq n_0$  we have  $T(n)\leq c*f(n)$ .

• You can think of it as an. "at least" statement

# **Asymptotically Tight Bounds**

T(n) is  $\Theta(f(n))$  if T(n) is both O(f(n)) and  $\Omega(f(n))$ 

#### **Big-O Complexity Chart**



### **Properties of Asymptotic Growth Rates**

**Transitivity:** A first property is *transitivity*: if a function f is asymptotically upper-bounded by a function g, and if g in turn is asymptotically upper-bounded by a function h, then f is asymptotically upper-bounded by h. A similar property holds for lower bounds. We write this more precisely as follows.

Example:

If 
$$f=O(g)$$
 and  $g=O(h)$ , then  $f=O(h)$  If  $f=\Omega(g)$  and  $g=\Omega(h)$ , then  $f=\Omega(h)$ 

 $n \log n \le n2$  for all  $n \ge 1$ .

# **Asymptotic Bounds for Some Common Functions**

**Polynomials:** a0 + a1n + ... + ad nd is <math>(nd) if ad > 0

Polynomial time: Running time is for some constant d independent of the input size

n.

**Logarithms:**  $O(\log a n) = O(\log b n)$  for any constants a, b > 0 can avoid specifying

the base

Logarithms. For every x > 0,  $\log n = O(nx)$ .

log grows slower than every polynomial

**Exponentials:** For every r > 1 and every d > 0, nd = O(rn).