



# Koopmans

an open-source package for accurately and efficiently predicting spectral properties with Koopmans functionals

Edward Linscott, 1,2 Riccardo De Gennaro, 1,2 Nicola Colonna, 2,3 and Nicola Marzari 1,2,4

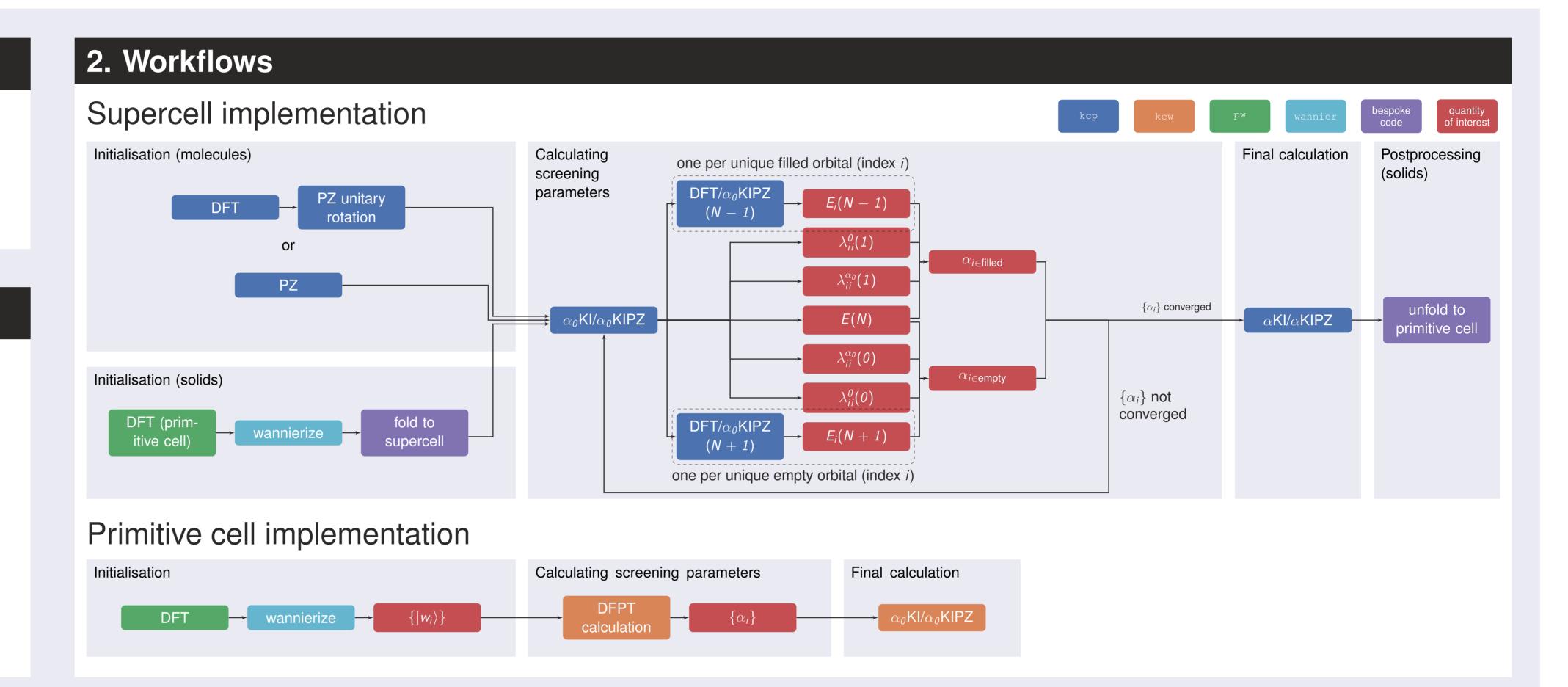
## Summary

koopmans contains everything you need to run a Koopmans functional calculation without expert knowledge, including implementations of Koopmans functionals in QUANTUM ESPRESSO as well as automated workflows.

### 1. What are Koopmans functionals?

Koopmans functionals are a class of functionals that aim to reproduce spectral properties (charged excitations) and total energies on the same footing, enforcing a generalised piecewise linearity condition:

$$E_{\mathsf{Koopmans}} = E_{\mathsf{DFT}} + \sum_{i} \alpha_{i} \left[ \underbrace{-\left(E_{\mathsf{DFT}} - E_{\mathsf{DFT}}|_{f_{i}=0}\right)}_{\mathsf{removes the erroneous}} \underbrace{+f_{i}\eta_{i}}_{\mathsf{restores the correct linearity}} \right]$$

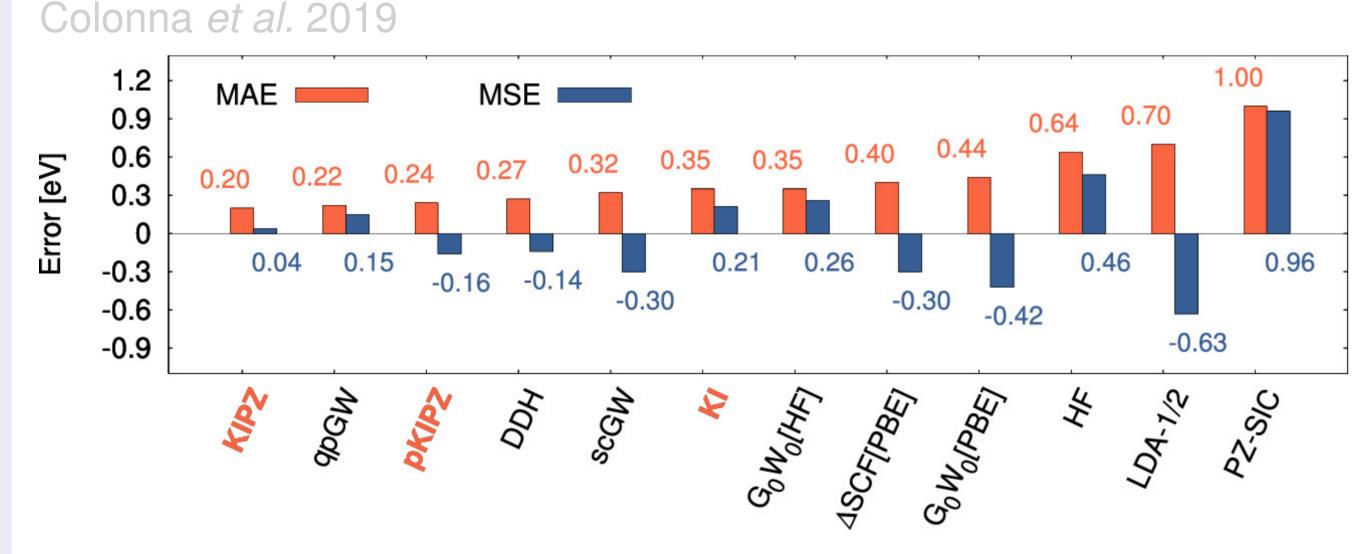


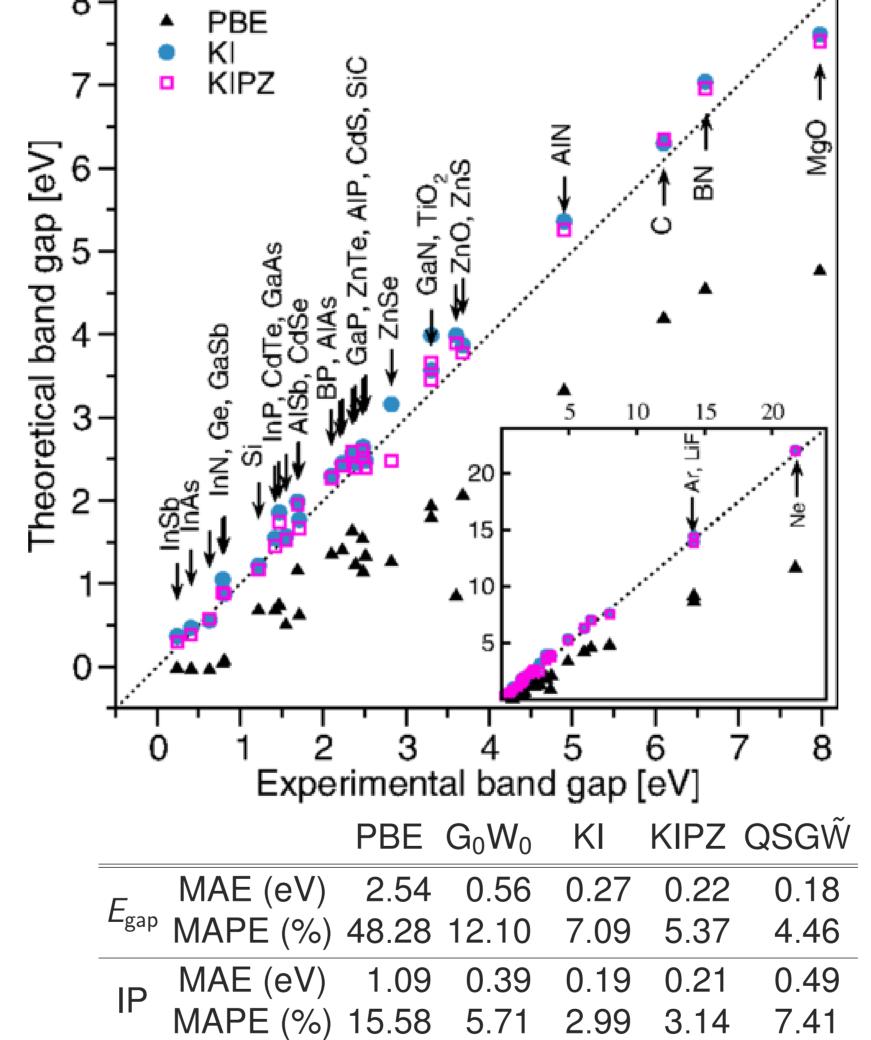
### 3. Example results

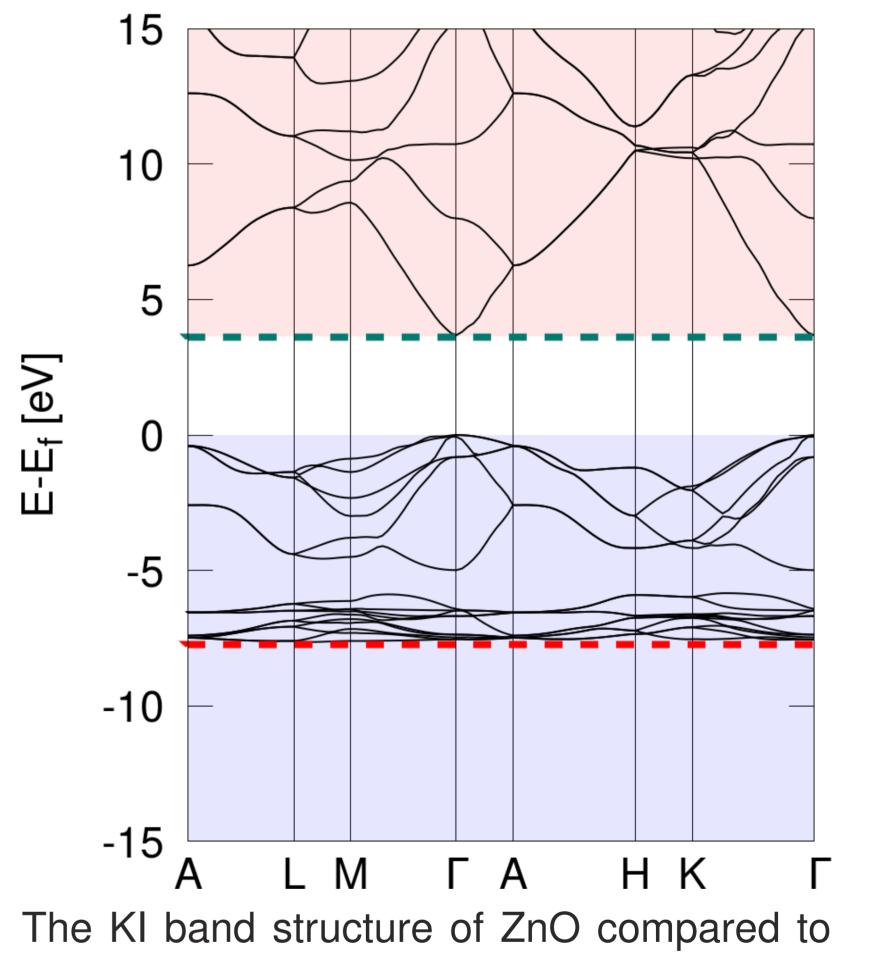
Koopmans functionals give band structures and orbital energies as accurate as state-of-the-art GW, at a fraction of the computational cost.

Semiconductors and insulators (right) Band gap and ionisation potential of semiconductors and insulators compared to experiment Nguyen et al. 2018

Molecules (below) The KI, pKIPZ, and KIPZ Koopmans functionals give ionization potentials comparable to qpGW across the GW100 dataset







the experimental band gap and d-band position Colonna et al. 2022

# 4. What does running koopmans look like?

Koopmans takes a single JSON file as input e.g. for bulk silicon



Running from the command line looks like this:

| < (\_) | (\_) | | | | | | (\_| | | | \\_\_ \ |\_|\\_\\_\_/ \\_\_\_/| .\_\_/|\_| |\_| |\_|\\_\_\_/ Koopmans spectral functional calculations with Quantum ESPRESSO Written by Edward Linscott, Riccardo De Gennaro, and Nicola → Colonna Initialisation of density and variational orbitals

\_\_\_\_\_

Running wannier/scf... done Running wannier/nscf... done Running wannier/occ\_emp/wann\_preproc... done

Workflow complete

Wannierisation

\$ koopmans si.json

or run with python: from ase.build import bulk from koopmans.kpoints import Kpoints from koopmans.workflows import SinglepointWorkflow

# Use ASE to create bulk silicon

atoms = bulk('Si') # Create the workflow workflow = SinglepointWorkflow(atoms=atoms,

parameters={'pseudo\_library': 'pseudo\_dojo\_standard', 'base\_functional': 'lda', 'init\_orbitals': 'mlwfs'} kpoints = Kpoints(grid=[4, 4, 4], path='LGXKG', cell=atoms.cell),

calculator\_parameters = {'pw': {'nbnd': 20}, 'w90': {'auto\_projections': True}}

# Run the workflow workflow.run()

# References, affiliations, and acknowledgements

I. Dabo et al., 2009, arXiv: 0901.2637. I. Dabo et al., Phys. Rev. B 82, 115121 (2010).

G. Borghi et al., Phys. Rev. B 90, 075135 (2014).

N. Colonna et al., J. Chem. Theory Comput. 14, 2549-2557 (2018).

N. L. Nguyen et al., Phys. Rev. X 8, 021051 (2018).

N. Colonna et al., J. Chem. Theory Comput. 15, 1905–1914 (2019). R. De Gennaro et al., Phys. Rev. B 106, 035106 (2022)

N. Colonna et al., J. Chem. Theory Comput. (2022).

E. Linscott *et al.*, **2023**, arXiv: 2302.07759.

- 1. Theory and Simulation of Materials (THEOS), École Polytechnique Fédérale de
- Lausanne, 1015 Lausanne, Switzerland 2. National Centre for Computational Design and Discovery of Novel Materials
- (MARVEL), École Polytechnique Fédérale de Lausanne, 1015 Lausanne, Switzerland 3. Laboratory for Neutron Scattering and Imaging, Paul Scherrer Institute, 5232 Villigen,
- Switzerland 4. Laboratory for Materials Simulations, Paul Scherrer Institut, 5232 Villigen, Switzerland

This work was supported by the Swiss National Science Foundation (SNSF) through its National Centre of Competence in Research (NCCR) MARVEL and Grants 179138 and 213082.

