



# Accurately predicting electron affinities with Koopmans spectral functionals

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Theory and Simulation of Materials, École Polytechnique Fédérale de Lausanne  
APS March Meeting, 18 March 2021

How can we predict spectral properties?

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<sup>1</sup> C.-O. Almbladh et al. *Phys. Rev. B* 31.6 (1985), 3231

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- reliable ground-state properties
- computationally inexpensive
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### Koopmans

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- orbital-density-dependent corrective terms to semi-local DFT
- comparable computational cost to DFPT
- KS eigenvalues are meaningful
- Accuracy comparable to GW

### GW

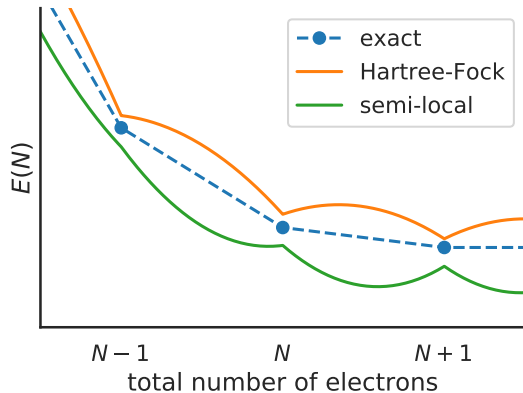
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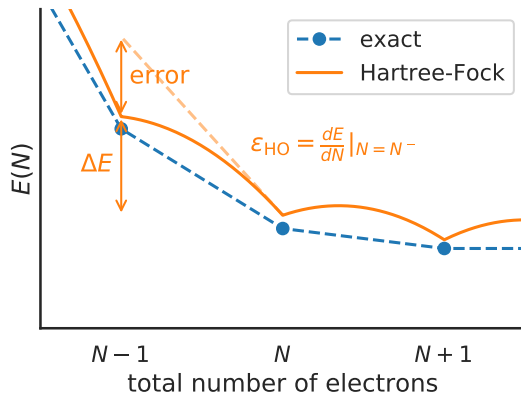
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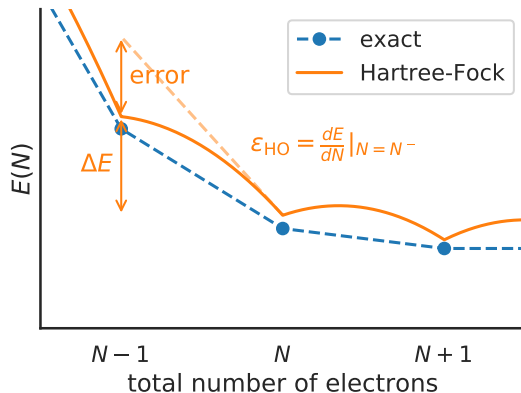
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# The absence of piecewise linearity





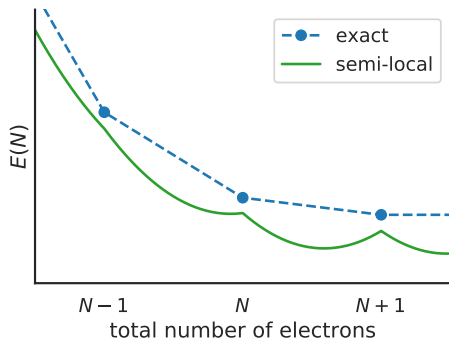


Consequences for ionisation potentials, electron affinities, band gaps, band widths...



# Restoring piecewise linearity to DFT

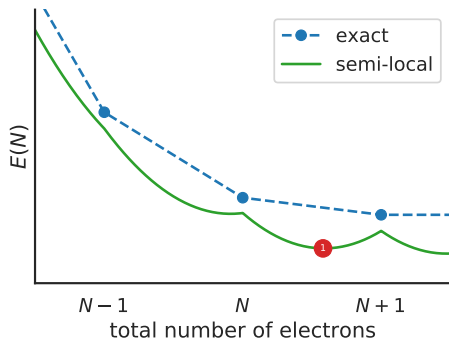
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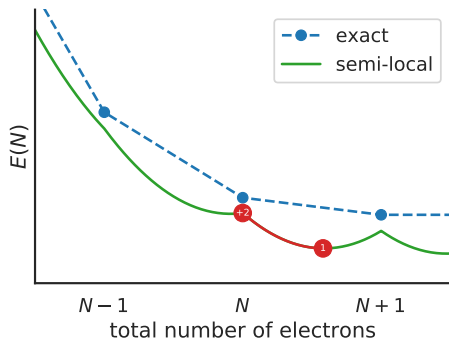
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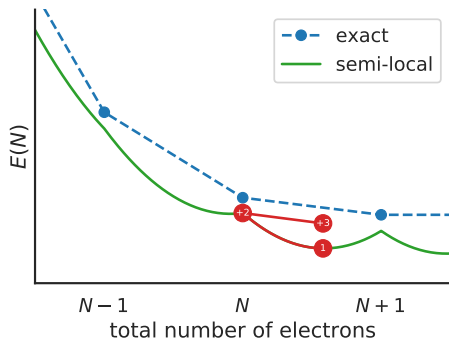
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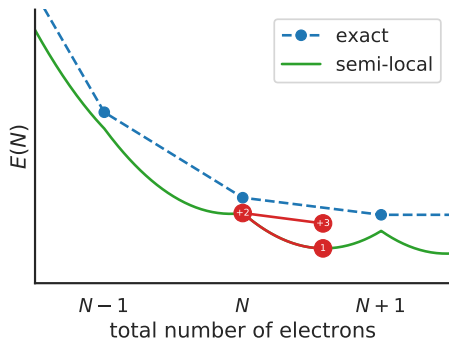
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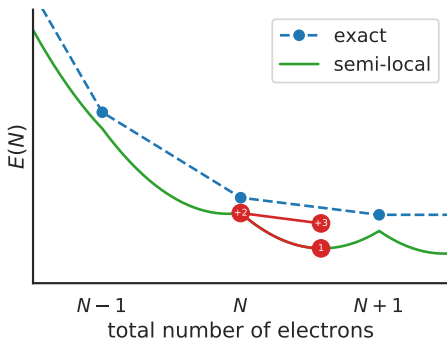
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Constructed such that the orbital energies

$$\varepsilon_i^{\text{Koopmans}} = \langle \varphi_i | H | \varphi_i \rangle = \partial E_{\text{Koopmans}} / \partial f_i$$

possess two key properties:



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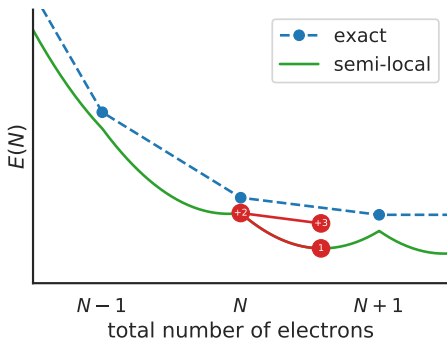
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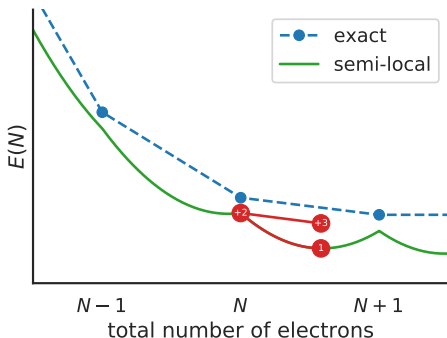
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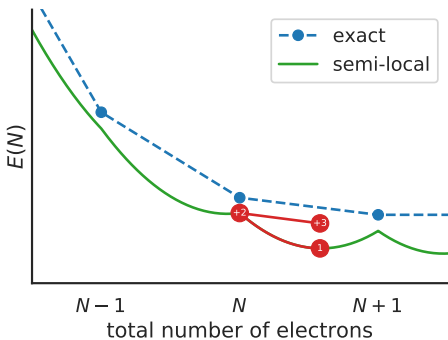
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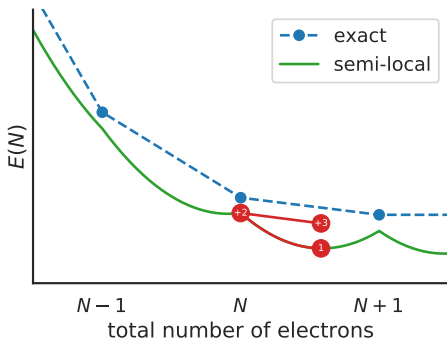
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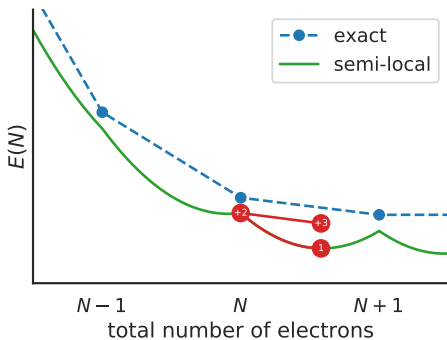
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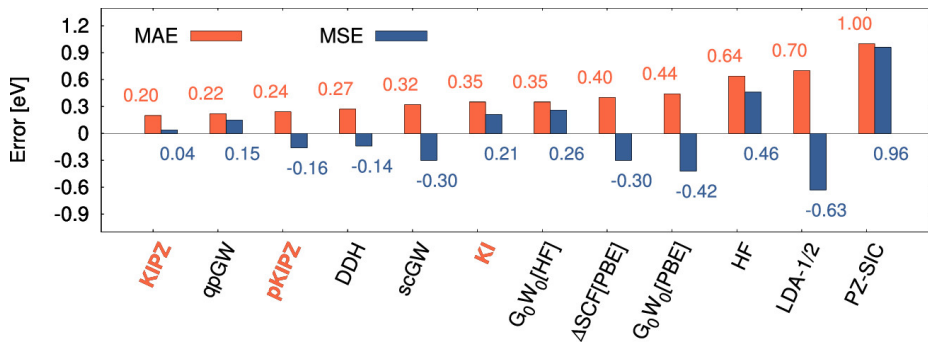
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$$\frac{dE}{df_i} \approx \alpha_i \frac{\partial E}{\partial f_i} \Rightarrow \varepsilon_i^{\text{Koopmans}} = \frac{\partial E_{\text{Koopmans}}}{\partial f_i} \approx E_i(N-1) - E(N)$$

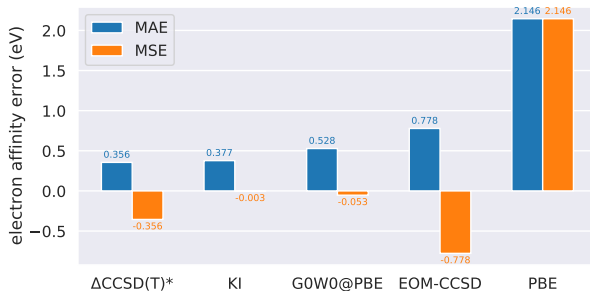


Ionisation potentials =  $E(N-1) - E(N) \stackrel{?}{=} -\varepsilon_{HO}$  of 100 molecules (the GW100 set) cf. CCSD(T)

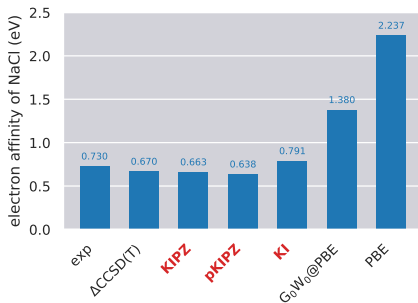


Electron affinities =  $E(N) - E(N + 1) \stackrel{?}{=} -\varepsilon_{LU}$  of molecules cf. CCSD(T)/exp

For 15 of the GW100 molecules with bound LUMOs



For the NaCl molecule



Figures from Linscott et al. (in prep)

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Riccardo De Gennaro

**M22.00004**

paper in preparation

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Riccardo De Gennaro  
**M22.00004**  
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Look out for our papers & code release later this year! (Follow  [@ed\\_linscott](https://twitter.com/ed_linscott) for updates)

Slides can be found at [elinscott.github.io/resources.html](https://elinscott.github.io/resources.html)

For further reading, see I. Dabo et al. *Phys. Rev. B* 82.11 (2010), 115121; G. Borghi et al. *Phys. Rev. B* 90.7 (2014), 075135; N. Colonna et al. *JCTC* 14.5 (2018), 2549; N. L. Nguyen et al. *Phys. Rev. X* 8.2 (2018), 021051; N. Colonna et al. *JCTC* 15.3 (2019), 1905