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## ABSTRACT

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10 *Significance statement.* This is significant because I wrote it.

## 11 **1. Introduction**

12 yada yada SAM yada yada circulation.. yada yada so important. yada yada many impacts.

## 13 **2. Methods**

### 14 **1) DATA**

15 We used monthly geopotential height at 2.5 longitude by 2.5 latitude resolution from ERA5  
16 (Hersbach et al.) for the period 1979 to 2018 (inclusive).

17 Monthly temperature NOAA Global Surface Temperature (NOAAGlobalTemp) 5.0 degree lati-  
18 tude x 5.0 degree longitude global grid (Vose et al. 2012; Smith et al. 2008). The same analysis  
19 was carried out using CRUTEM4 (Osborn and Jones 2014) (not shown).

20 We used monthly precipitation data from CPC Merged Analysis of Precipitation (Xie and Arkin  
21 1997) 2.5 degree latitude x 2.5 degree longitude.

### 22 **2) DEFINITION OF INDICES**

23 We defined the Southern Annular Mode (SAM) as the leading EOF of the monthly anomalies of  
24 geopotential field at 700 hPa south of 20°S (citation?). The EOF was performed by computing the  
25 Singular Value Decomposition of the data matrix consisting in 481 rows and 4176 columns (144  
26 points of longitude and 29 points of latitude). The values were weighted by the square root of the  
27 cosine of latitude to account for the non-equal area of each gridpoint (Chung and Nigam 1999).  
28 This same method was used at the rest of the levels considered in this paper.

29 To separate between the zonally symmetric and asymmetric components of the SAM, we com-  
30 puted the zonal mean and anomalies of the full SAM spatial pattern. The results are shown in

31 Figure 3 for 700hPa. The full spatial signal ( $\text{EOF}_1(\lambda, \phi)$ ) is the sum of the zonally asymmetric  
32 ( $\text{EOF}_1^*(\lambda, \phi)$ ) and symmetric ( $[\text{EOF}_1](\lambda, \phi)$ ) components. We then compute the “Full”, “Asym-  
33 metric” and “Symmetric” indices, by regressing each geopotential field on these patterns (weighting  
34 by the cosine of latitude).

35 The three indices are normalised by dividing them by the standard deviation of the “Full” index  
36 at each level. This means that comparing the magnitude between indices is meaningful, but it also  
37 means that not every index will have unit standard deviation.

### 38 3) SIGNIFICANCE

39 We adjusted p-values for False Detection Rate following Wilks (2016).

## 40 3. Results

### 41 a. Temporal evolution

42 Figure 4 shows the resulting Asymmetric and Symmetric time series corresponding to 700 and  
43 30hPa. blablababla #FIXME

- 44 • stratosphere clearly nor normally distributed. a lot of values near 0 and some relatively high  
45 outliers. Especially true int he case of the asymmetric index. High frequency variability.
- 46 • In both levels, there’s correlation between the series (expected),

47 Correlations between the Asymmetric and Symmetric series are rather constant throught the  
48 troposphere, fluctiating between 0.39 and 0.45 (Figure 5). Futhermore, the cross-correlation of  
49 each series across levels –shown in Figure 6– are high in the trosposphere (greater than 0.9)  
50 for both indices. This suggests that both the Asymmetric and the Symemtric component of  
51 the tropospheric SAM are highly vertically coherent, both in their individual evolution and their

52 temporal relationship. This is to be expected since the SAM is mostly equivalent barotropic  
53 (citaaaa).

54 In the stratosphere the situation is different. As can be seen in Figure 5, the relationship between  
55 the Asymmetric and Symmetric indices varies with height above 100 hPa. It starts to decrease right  
56 over the tropopause, reaches a minimum of 0.21 at 20 hPa and then it increases again monotonically  
57 with height up to the uppermost level of the reanalysis. The cross-correlation across levels in  
58 the stratosphere is generally weaker than in the troposphere (Figure 6). Furthermore, above 100  
59 hPa, the cross-correlation decreases more rapidly with height for the Symmetric SAM than for  
60 the Asymmetric SAM as evidenced by the wider dark red areas near the diagonal in Figure 6b)  
61 vs. Figure 6c). Moreover, the stratospheric Symmetric SAM seems to be slightly more connected  
62 to the troposphere than the Asymmetric SAM; this can be seen by the lower correlation values in  
63 the top right quadrant of Figure 6b) in comparison with Figure 6c).

64 Figure 6a) show the cross-correlation across levels for the Full SAM index. #FIXME

65 Figure 7 shows normalised decadal trends for each index for the whole period along with a 95%  
66 interval in shading. There is a statistically significant increase in positive SAM in the troposphere  
67 (panel a), which has been already documented in other studies (e.g. Fogt and Marshall (2020)).  
68 Panels b and c of Figure 7 show that this increase is evident only in the Symmetric component.  
69 This distinction should prove useful when attributing trends in other variables such as temperature  
70 and precipitation to trends in the SAM.

## 71 *b. Spatial patterns*

72 To understand the spatial patterns associated with both indices, we regressed monthly geopotential  
73 anomalies into both indices using multiple regression (Figure A6 illustrates the difference between  
74 computing two simple regressions and one multiple regression).

75 Figure 8 shows the spatial year-long regression for selected levels. In the troposphere the Full  
76 annular mode is clearly “contaminated” with well known zonal asymmetries (panels g and j) which  
77 are successfully separated by our methodology (panels h, i, k and l). In the stratosphere, the spatial  
78 pattern associated with the Full SAM is much more clearly dominated by a zonally symmetric,  
79 monopolar structure (panels a and d) that is, however, not perfectly centered in the south pole. The  
80 monopoles obtained by multiple regression with the Asymmetric and Symmetric SAM (panels c  
81 and f in Figure 8) is much more symmetric and the shift from total symmetry is captured by the  
82 regression pattern of the Asymmetric SAM as a wave-1 pattern (panels b and e).

83 The amplitude of each zonal wave number at each latitude at 50 hPa and 700 hPa is shown in  
84 Figure 9, where wave number zero represents the zonal mean. Comparing between rows, this Figure  
85 quantifies the relatively clean separation between the zonally symmetric and zonally asymmetric  
86 structures, as it is evident how the mixture of waves of the Full field (first row) is very similar to  
87 the sum of the waves of the Asymmetric and Symmetric field (second and third row, respectively).  
88 The second row of Figure 9 shows that the Asymmetric SAM is overwhelmingly dominated by  
89 wave 1 in the stratosphere (panel b), while in the troposphere it is composed of zonal waves 3 to 1  
90 in decreasing level of importance.

91 From Figure 8 it appears that the vertical structure of the Asymmetric SAM is equivalent  
92 barotropic in the troposphere but baroclinic in the stratosphere. Anomalies are centered in the same  
93 locations in the troposphere (panels h and k), but show westerly displacement in the stratosphere  
94 (panels b and e). This is expanded in Figure 10, which shows a vertical cross-section of the regression  
95 coefficient corresponding to the middle row of Figure 8, area-weighted averaged between 65 and  
96 40 degrees South. Below 100 hPa, anomalies are completely vertical, while above they show an  
97 important westerly tilt with height.

### *c. Impacts*

#### 1) TEMPERATURE

Figure 1 shows regression coefficients of each index at 700 hPa with surface temperature for each trimester. It is evident that the Asymmetric and Symmetric SAM indices are associated with overall distinct temperature patterns which can be obscured when using the Full SAM index. The Symmetric SAM signal is weaker than the Asymmetric SAM, as evidenced by the relatively smaller and less statistically significant regression coefficients in row 3 of Figure 1 compared with row 2.

In DJF (column a), the strong negative signal in the tropical Pacific in panel a.1 is mostly associated with the Asymmetric component (panel a.2), as is it largely absent in the Symmetric component (panel a.3). Furthermore, the Asymmetric SAM is also associated with low temperature anomalies in the Indian ocean, but this signal is obscured by the Symmetric variability and thus lost in the Full SAM. Over the continents, the Asymmetric SAM is associated with negative temperature anomalies which, again, mostly disappear in the Full SAM regression.

The patterns seen in MAM and JJA (columns b and c) are not robustly significant in the sense that there are no areas with p-values below 0.05 when controlling for FDR following Wilks (2016). Nevertheless, it is interesting to note that in both trimesters, the sign of the regression is consistently flipped between the Asymmetric and Symmetric regressions. In South America, for example, the Asymmetric SAM is associated with positive temperature anomalies in MAM and negative temperature anomalies in JJA, while the opposite is the case for the Symmetric SAM.

Finally, in SON (column d), there is no significant temperature signal associated with the Symmetric SAM (panel d.3), while the Asymmetric SAM shows a relatively robust signal in the equatorial Pacific, Australia, and even Southeast South America. These strong signals are reduced in intensity in panel d.3.

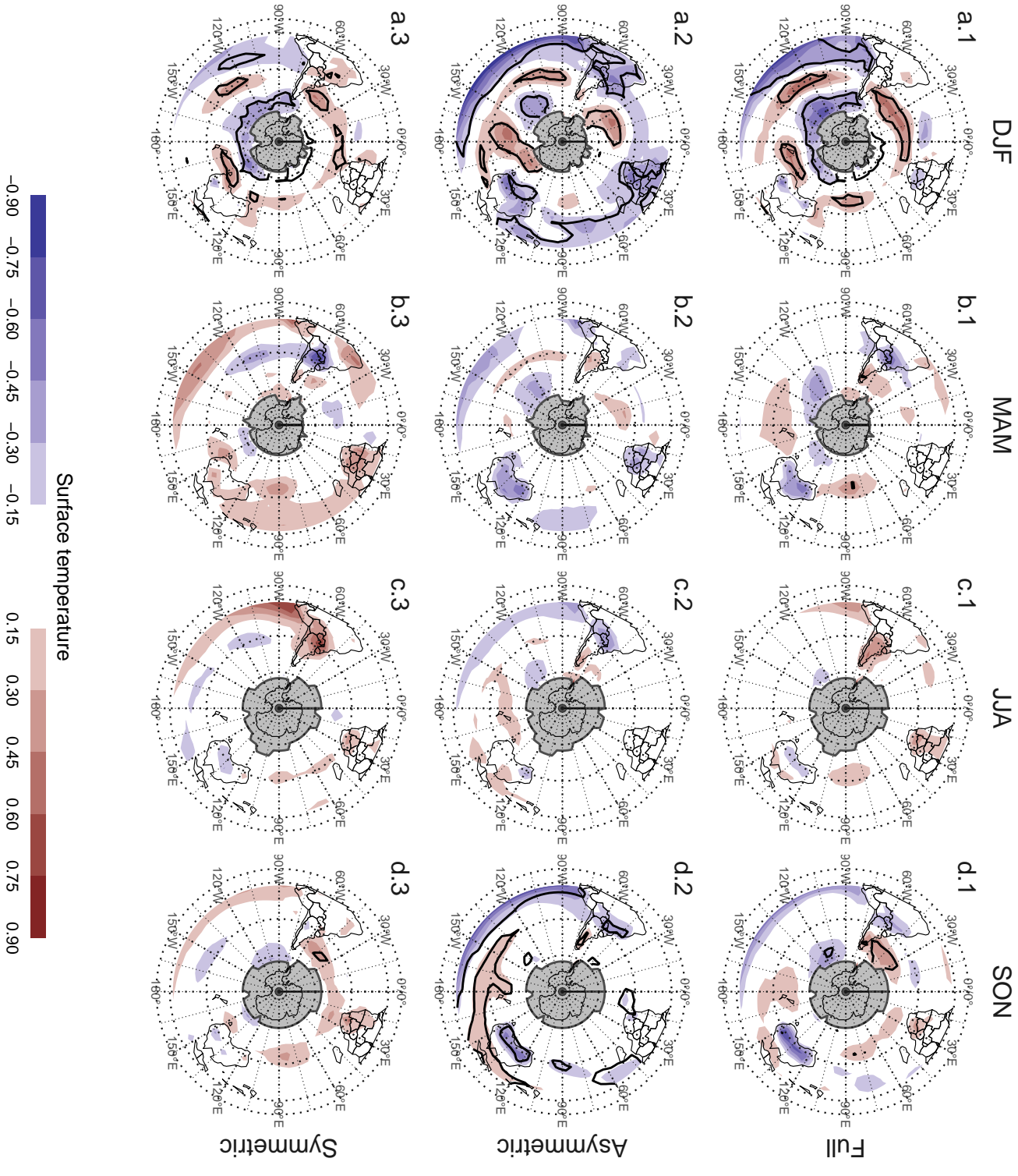


Fig. 1. Regression pattern of surface temperature with Asymmetric and Symmetric SAM. P-values smaller than 0.05 (controlling for False Detection Rate) as hatched areas. Gray areas have more than 15% of missing data.



## 2) PRECIPITATION

*Acknowledgments.* CMAP Precipitation data provided by the NOAA/OAR/ESRL PSL, Boulder, Colorado, USA, from their Web site at <https://psl.noaa.gov/>

NOAA Global Surface Temperature (NOAAGlobalTemp) data provided by the NOAA/OAR/ESRL PSL, Boulder, Colorado, USA, from their Web site at <https://psl.noaa.gov/>

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Vose, R. S., and Coauthors, 2012: NOAA's Merged Land–Ocean Surface Temperature Analysis. *Bull. Amer. Meteor. Soc.*, **93 (11)**, 1677–1685, doi:10.1175/BAMS-D-11-00241.1.

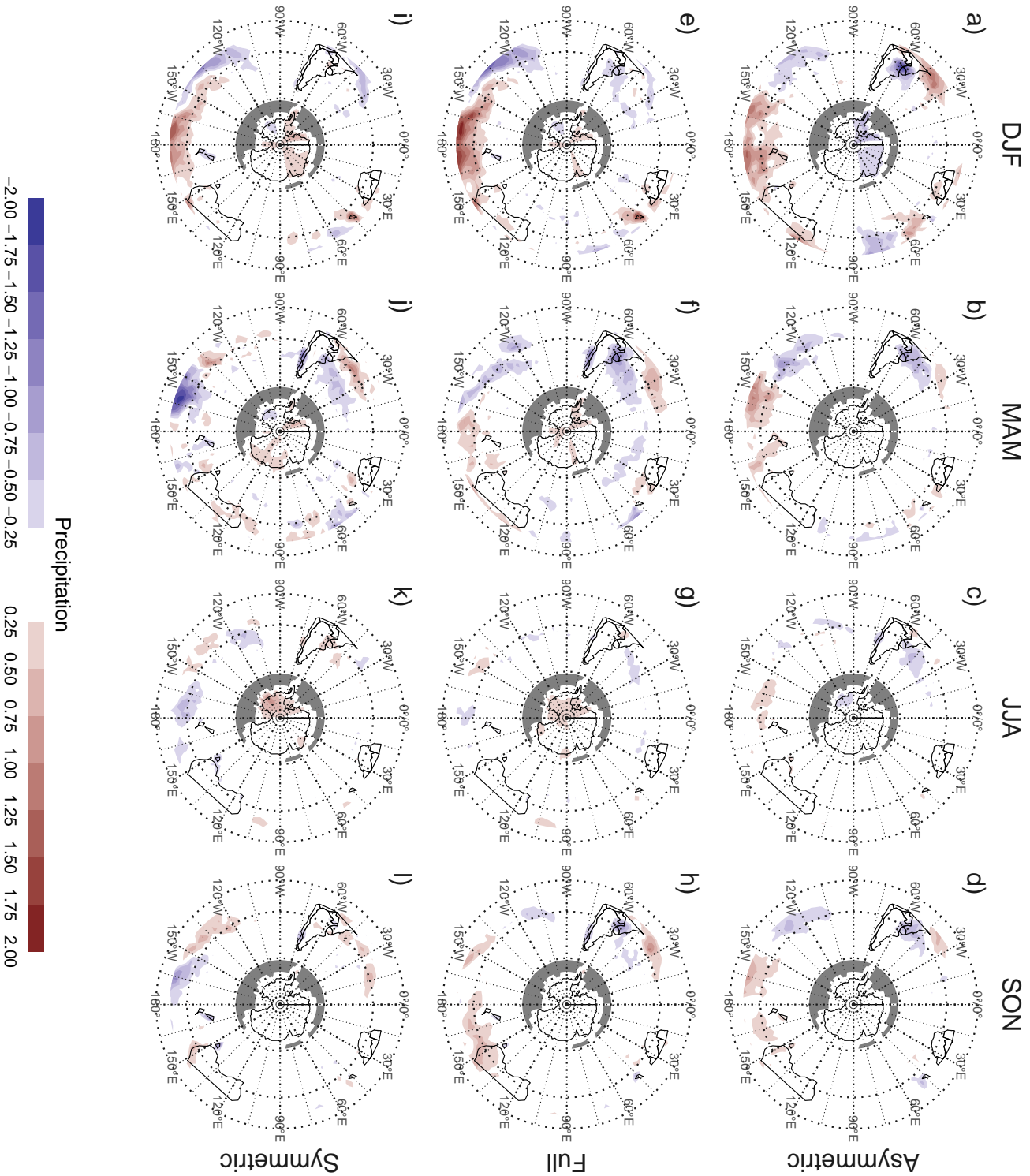


FIG. 2. Regression pattern of precipitation with Asymmetric and Symmetric SAM. P-values smaller than 0.05 (controlling for False Detection Rate)

147 Wilks, D. S., 2016: “The Stippling Shows Statistically Significant Grid Points”: How Research  
148 Results are Routinely Overstated and Overinterpreted, and What to Do about It. *Bull. Amer.*  
149 *Meteor. Soc.*, **97** (12), 2263–2273, doi:10.1175/BAMS-D-15-00267.1.

150 Xie, P., and P. A. Arkin, 1997: Global Precipitation: A 17-Year Monthly Analysis Based on  
151 Gauge Observations, Satellite Estimates, and Numerical Model Outputs. *Bull. Amer. Meteor.*  
152 *Soc.*, **78** (11), 2539–2558, doi:10.1175/1520-0477(1997)078<2539:GPAYMA>2.0.CO;2.

## APPENDIX

**Extra figures**

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176	performing two simple regressions (c. and d.) . . . . .	27
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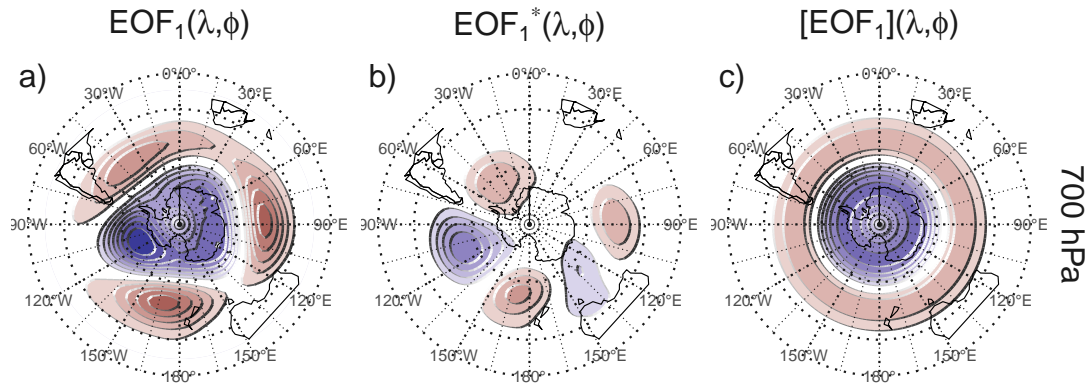


FIG. 3. Spatial patterns of the first EOF of 700 hPa geopotential height. Full field (left), zonally asymmetric component (middle) and zonally symmetric component (right). Arbitrary units.

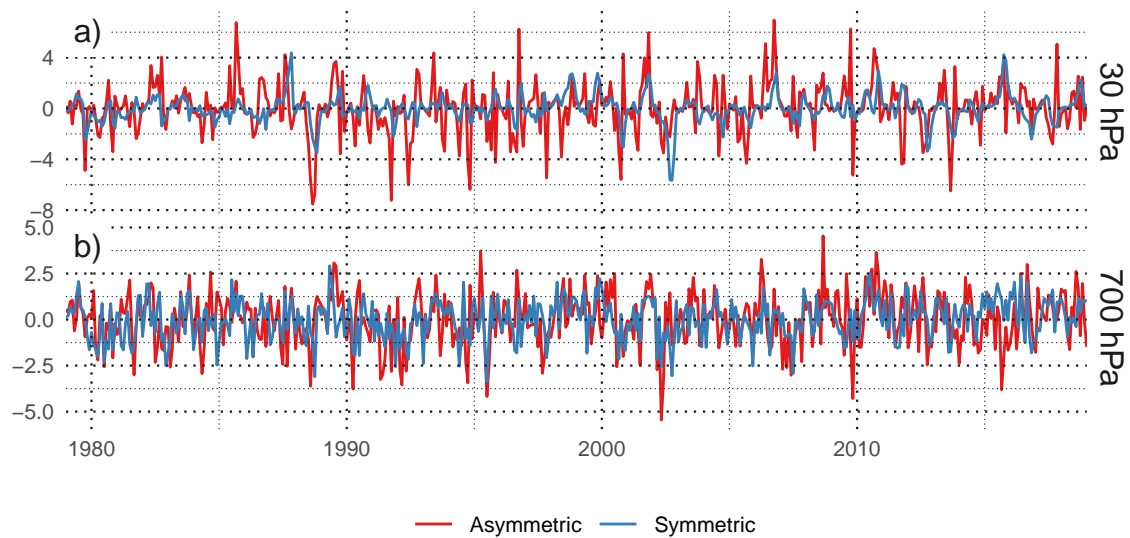


FIG. 4. Time series for the asymmetric SAM and symmetric SAM.

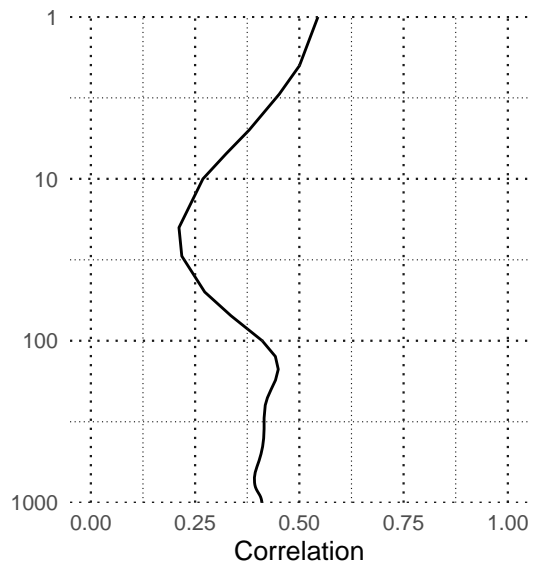


FIG. 5. Correlation between the Symmetric and Asymmetric SAM at each level.



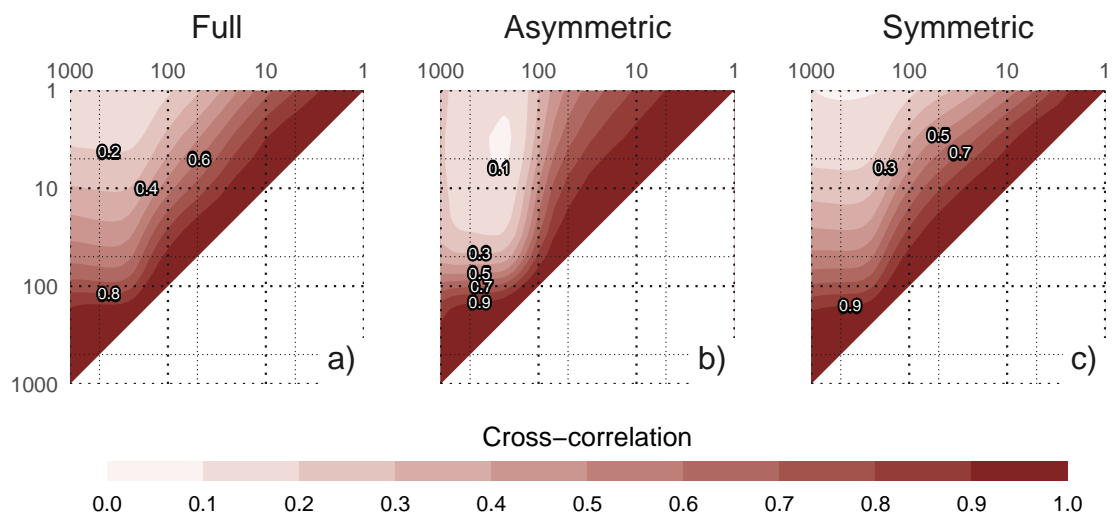


FIG. 6. Cross correlation between levels of the Full, Asymmetric and Symmetric SAM.

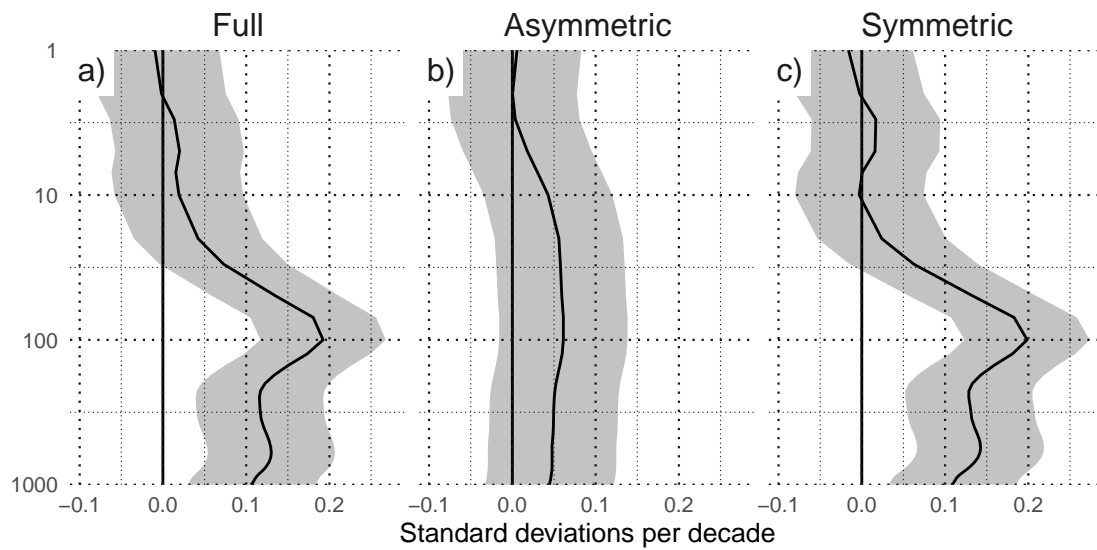


FIG. 7. Trends for each index at each level. Shading indicates the 95% confidence interval.

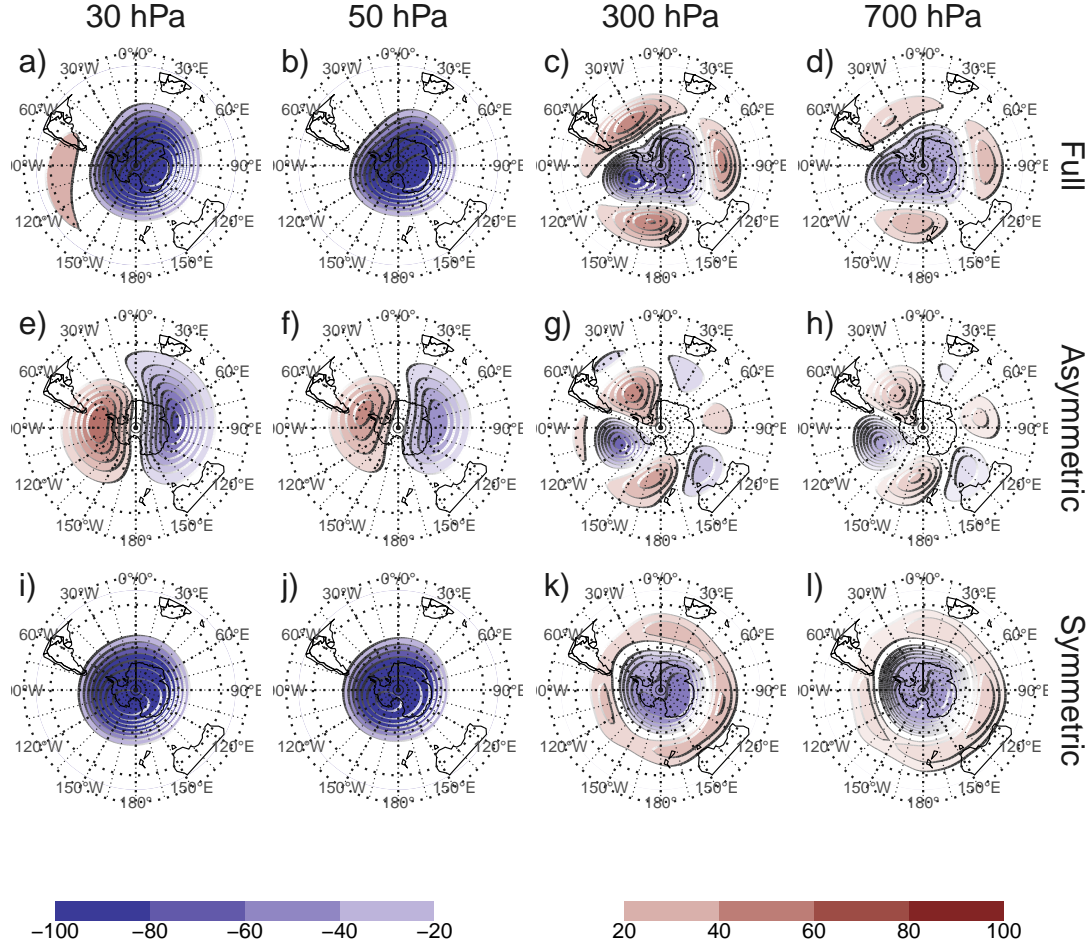


FIG. 8. Regression patterns of geopotential height at 30, 300 and 700 hPa with the Full, Asymmetric and Symmetric SAM. The regression patterns for Asymmetric and Symmetric SAM are the result of one multiple regression using both indices, not of two simple regressions involving each index by itself.

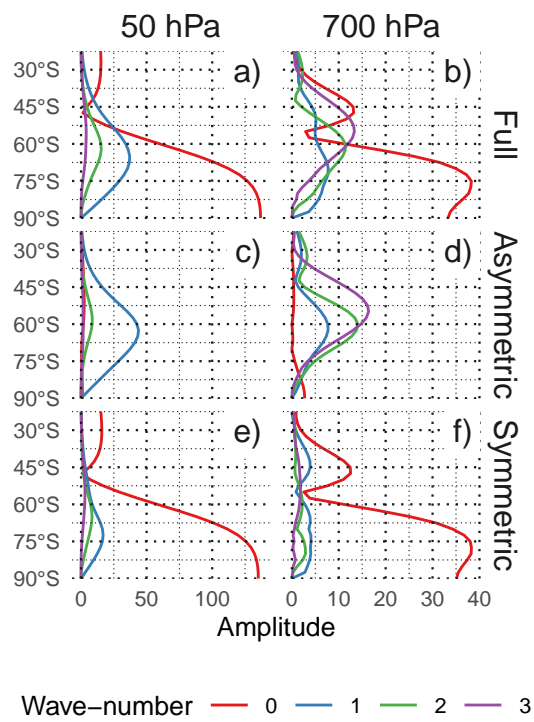
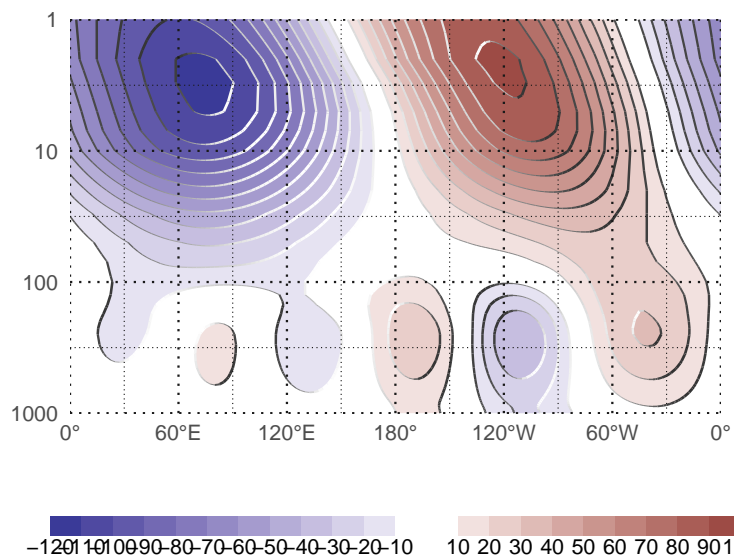


FIG. 9. Planetary wave amplitude for the regression patterns at 50 and 700 hPa.



183 FIG. 10. Asymmetric coefficient of the multiple regression of mean monthly geopotential height anomalies  
 184 between 65 and 40 South. (this caption needs some love)

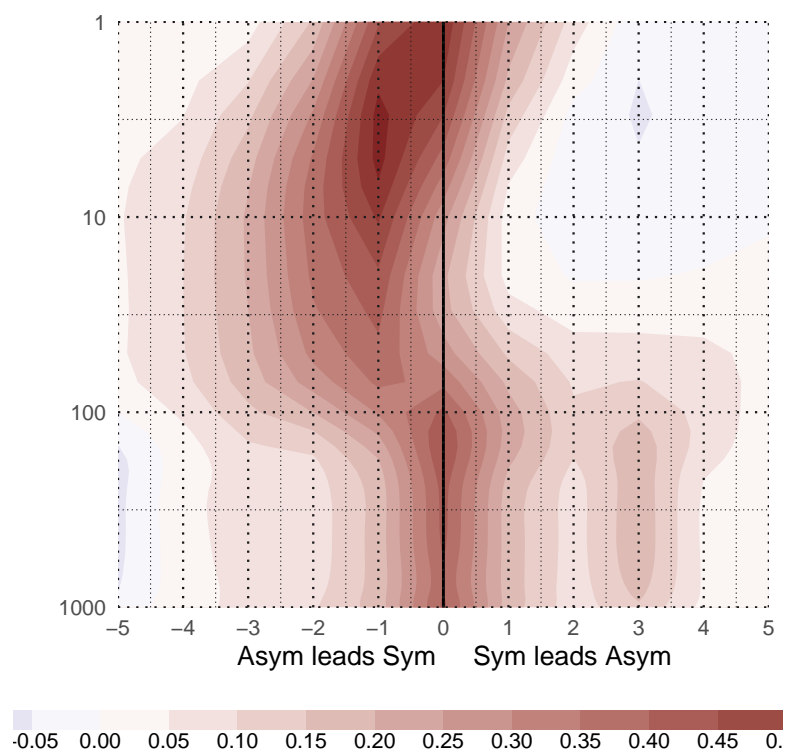


Fig. A1. Lag-correlation between Symmetric and Asymmetric SAM at each level.

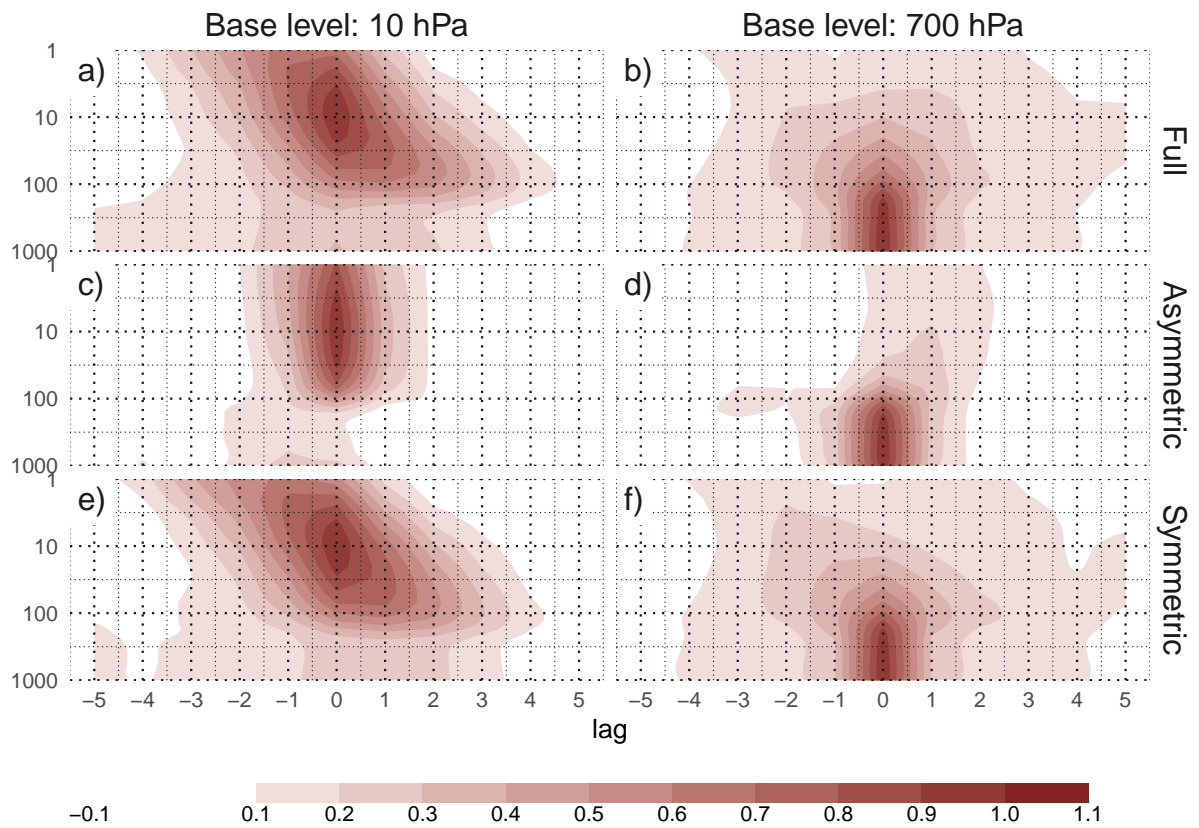
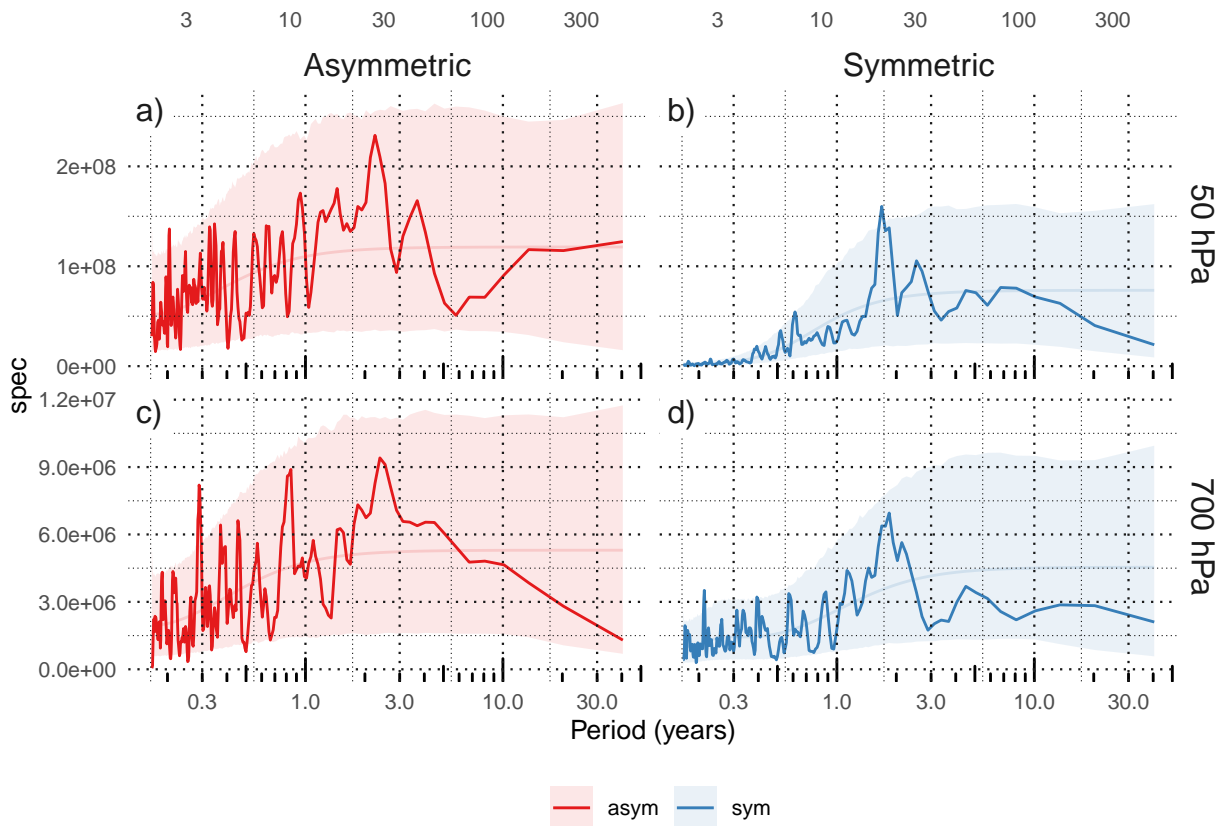


FIG. 11. Cross-correlation functions for each index and two different base levels.



185 Fig. A2. Fourier spectrum of each timeseries. The shading indicates de 95% area derived by fitting an AR  
 186 process to each series and bootstrapping 5000 simulated samples.



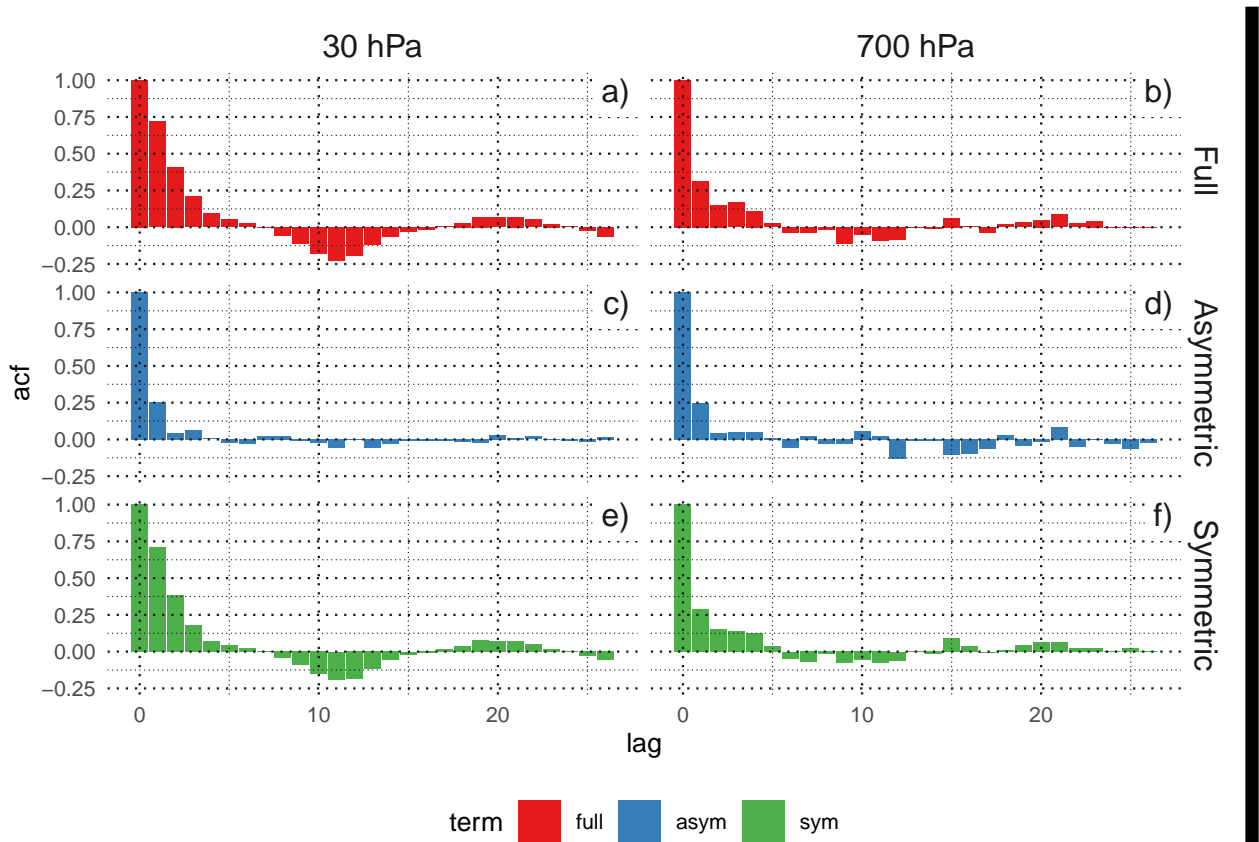
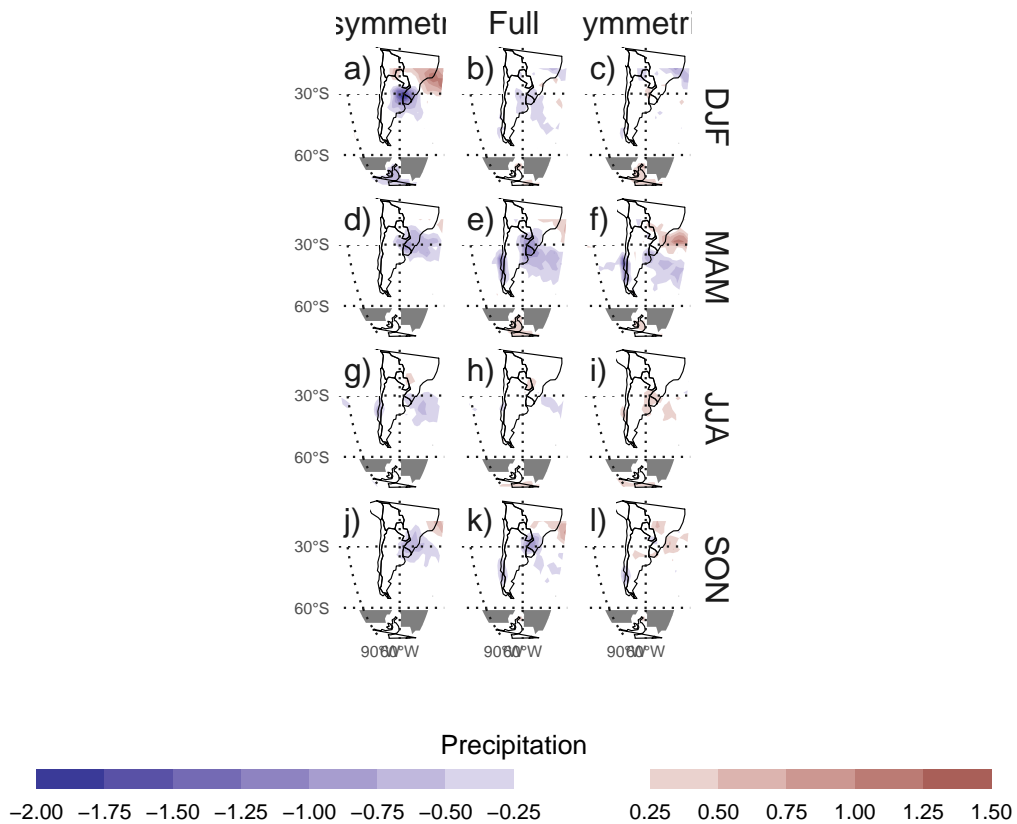
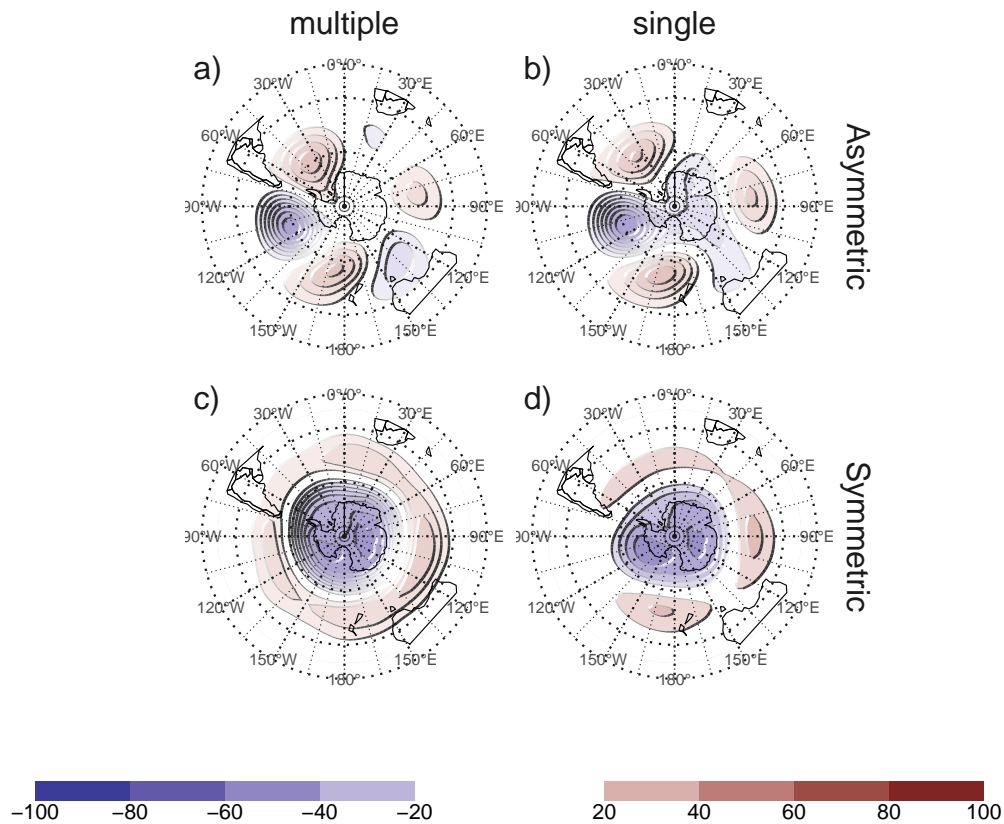


Fig. A3. Autocorrelation functions of each timeseries



187 Fig. A5. Regression pattern of precipitation with Asymmetric and Symmetric SAM. P-values smaller than  
 188 0.05 (controlling for False Detection Rate) as hatched areas.



189 Fig. A6. Regressions maps resulting from performing one multiple regression (a. and b.) and from performing  
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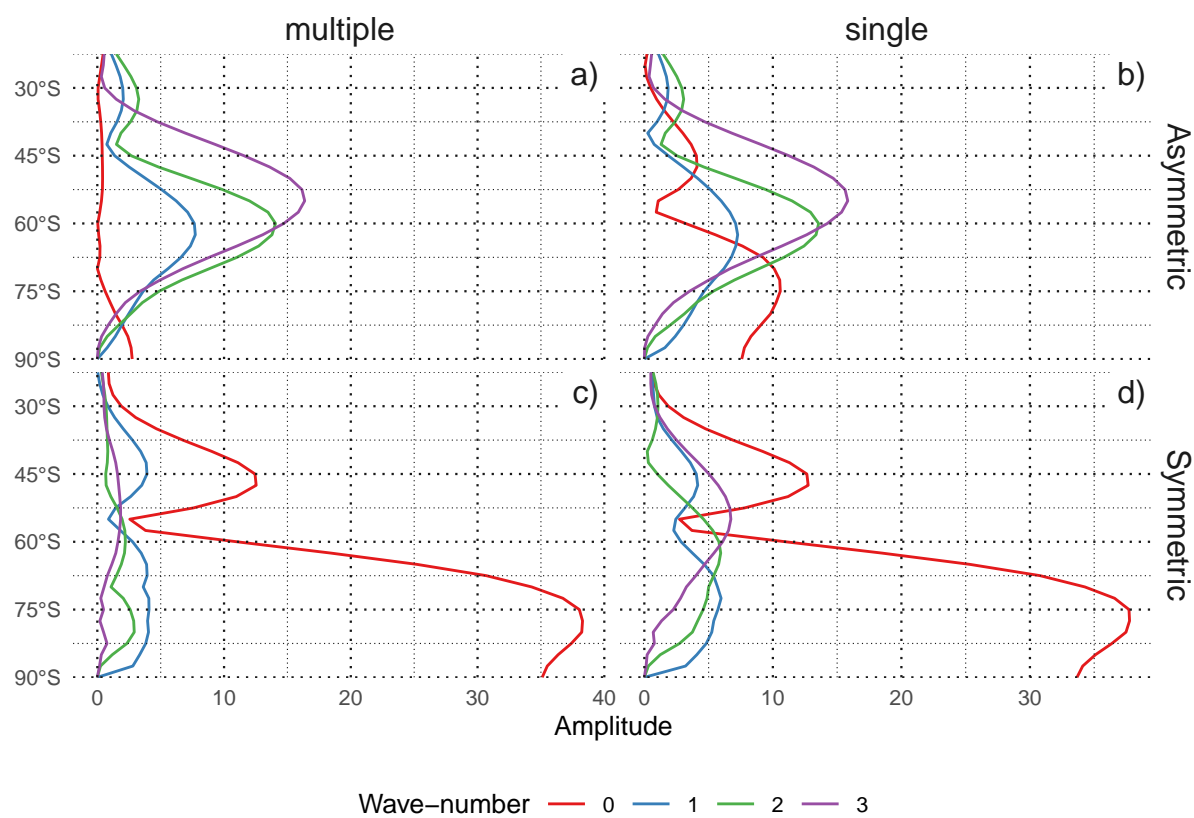


FIG. 12.