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ABSTRACT

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- ⁷ LATEX template. This document provides authors with instructions on the use of the AMS LATEX tem-
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Significance statement. This is significant becasue I wrote it.

1. Introduction

yada yada SAM yada yada circulation.. yada yada so important. yada yada many impacts.

Fogt et al. (2012) studied the characteristics of the asymmetric structure of the SAM. It computed
the zonally anomalous component of mean sea level pressure (MSLP) composites for positive and
negative SAM events and created two indices by projecting MSLP fields onto them. However,
the use of composites leads to some issues that affects the interpretability of the results. First, they
can be dependent on the choice of threshold used to define positive and negative events. Secondly,
by discarting data that don't meet the threshold, they don't use all the information avaiable. Due to
the realtive short timeframe used, this leads to some composites being composed of as little as 4
years. Third, the resulting composites corresponding to each polarity and season are derived from
the average of different amount of fields and from different years. This last issue is particularly
important in light of the changing structure of the SAM before and after 1980 (Silvestri and Vera
2009). In Fogt et al. (2012), the DJF SAM+ composite uses only 7 years, 5 of which are later than
1988, whereas all of the 8 years used for their DJF SAM- composite are from earlier than 1988.

25 2. Methods

26 1) DEFINITION OF INDICES

We defined the Southern Annular Mode (SAM) as the leading EOF of the monthly anomalies of geopotential field at 700 hPa south of 20°S (citation?). The EOF was performed by computing the Singular Value Decomposition of the data matrix consisting in 481 rows and 4176 columns (144 points of longitude and 29 points of latitude). The values where weighted by the square root of the

- cosine of latitude to account for the non-equal area of each gridpoint (Chung and Nigam 1999).
- This same method was used at the rest of the levels considered in this paper.
- To separate between the zonally symmetric and asymmetric components of the SAM, we com-
- ₃₄ puted the zonal mean and anomalies of the full SAM spatial pattern. The results are shown in
- Figure 1 for 700hPa. The full spatial signal (EOF₁(λ, ϕ)) is the sum of the zonally asymmetric
- (EOF₁^{*} (λ, ϕ)) and symmetric ([EOF₁] (λ, ϕ)) components. We then compute the "Full", "Asym-
- metric" and "Symmetric" indices, by regressing each geopotential field on these patterns (weighting
- by the cosine of latitude).
- The three indices are normalised by dividing them by the standard deviation of the "Full" index
- 40 at each level. This means that comparing the magnitude between indices is meaningful, but it also
- means that not every index will have unit standard deviation.

42 2) DATA

- We used monthly geopotential height at 2.5 longitude by 2.5 latitude resolution from ERA5
- (Hersbach et al. 2020) for the period 1979 to 2018.
- 45 Monthly temperature NOAA Global Surface Temperature (NOAAGlobalTemp) 5.0 degree lati-
- tude x 5.0 degree longitude global grid (Vose et al. 2012; Smith et al. 2008). The same analysis
- was carried out using CRUTEM4 (Osborn and Jones 2014) (not shown).
- We used monthly precipitation data from CPC Merged Analysis of Precipitation (Xie and Arkin
- 49 1997) 2.5 degree latitude x 2.5 degree longitude. CPCC: [schneider2015] #FIXME

50 3) SIGNIFICANCE

We adjusted p-values for False Detection Rate following Wilks (2016).

3. Results

- 53 a. Temporal evolution
- Figure 2 shows the resulting Asymmetric and Symmetric time series corresponding to 700 and
- 55 50hPa. #FIXME
- At first glance the series can be distinguished by their distributions. Whereas the tropospheric
- ₅₇ incides are approximately normally distributed, the stratospheric indices are more long-tailed; that
- is, extreme values (both negative and positive) abound. The Asymmetric series have both more
- variability in the higher frequencies than the Symmetric series.
- The stratospheric Symmetric SAM varies strongly with a two-year period, which can be seen
- using spectral methods (Figure A3) or in the autocorrelation structure (Figure A4). There is a
- local peak at 2 years in the periodigram of the tropospheric Symmetric SAM also, although it's not
- statistically significant. In the troposphere the most significant peak of variability is found in the
- Asymmetric index at around 3.6 months.
- From Figure 2 we can see that the Asymemtric and Symmetric time series appear to be correlated.
- Moreover, looking at the extremes in the stratosphere, the Symmetric serie appears to lag the
- Asymmetric series (see, for example, the positive events on late 1987 marked with a circle). We
- show these correlations, across all the levels of the reanalysis and for zero and -1 lag (Asymmetric
- 69 index leading the Symmetric index), in Figure 3.
- Zero-lag correlations between the Asymmetric and Symmetric series are relatively constant
- throught the troposphere, fluctiating between 0.39 and 0.45. One-month-lag correlations are
- ₇₂ similarly constant but significantly reduced, hovering around 0.17. In the stratosphere, zero-lag
- correlations drop to a minimum of 0.21 at 20 hPa and then it increases again monotonically with

height up to the uppermost level of the reanalysis. At the same time, one-month-lag correlations increase with height.

Figure 4a) shows (zero-lag) cross-correlation across levels for the Full, Symmetric and Asymmetric SAM indices. For the Full SAM (panel a), high values below 100 hPa reflect the vertical (zero-lag) coherency throughout the troposfere. Above 100 hPa correlation between levels falls off more rapidly, indicating less coherent (zero-lag) variability. Still there is a non negligible correlation between the troposphere and the lower-to-middle stratosphere. Examining panels b and c, we see that the Asymemtric and Symmetric SAM share the same high level of coherency in the troposphere but they differ in their stratospheric behaviour. As evidenced by the wider dark red areas near the diagonal in Figure 4b) vs. Figure 4c), stratospheric coherency is stronger for the Asymmetric SAM than the Symemtric SAM. The stratospheric Symmetric SAM seems to connect more strongly to the trosposphere than the Asymmetric SAM; this can be seen by the lower correlation values in the top right left of Figure 4b) in comparison with Figure 4c).

Figure 5 shows normalised decadal trends for each index for the whole period 1979-2918 along with the 95% confidence interval in shading for the whole year (row a) and separed by trimesters (rows b through e). As documented by #FIXME (e.g. Fogt and Marshall (2020)), there is a statistically significant increase towards more positive SAM (panel a.1), which is XX only in Summer and Autumn (panels b.1 and c.1). We observe these increases mainly in the troposphere, reaching their maximum at at 100 hPa in Summer. By separating the SAM signal in its Asymmetric and Symmetric parts, we can not only see that these trends are almost entirely due to the Symmetric component (columns 2 vs. columns 3), but in some cases the trends become more clear. In Summer, the Asymmetric SAM has a statistically non significant negative trend in the middle troposphere that obscures the signal; as a result, trends computed using only the Symmetric component are more clear (compare the shading region in panel b.1 and b.3). In Autumn, using the Symmetric

- SAM reveals a statistically significant positive trend in the stratosphere that is not significant using
 the Full index.
- We stress that these are only linear trends during the whole period and the absence of a statistically significant signal should not be taken as evidence of no sistematic change. In particular, going back to Figure 2, we can see an evident change in the stratospheric Asymemtric component (red line in panel a) between the 90's, when we see a dominance of extreme negative values, and the 00's, when we see the inverse. This change is restricted to the Winter months: the linear trend for JJA starting in 1990 for the Asymmetric component at 50hPa is 0.37 ± 0.22 .
- Figure 6 shows decadal trends for the explained variance of each index. There is no evidencie of a significant trend in the stratosphere. In the troposphere, there is a positive trend for the Asymmetric SAM and no significant trend for the Symmetric SAM. This suggest that the SAM has become more asymmetric in the period from 1979 to 2018. The change is slight, though; of the order of 1% icreased explained variance per decade.

111 b. Spatial patterns

- To undertand the spatial patterns associated with both indeces, we regressed monthly geopotential anomalies into both indeces using multiple regression
- Figure 7 shows the spatial year-long regression #FIXME. Column a are regressions using the Full SAM, while columns 2 and 3 are regression coefficients computed in a multiple regression of geopotential height on the Asymmetric and Symmetric indices at the same time. Thus, they are to be interpreted as the patterns associated with each index, controlling for the (linear) effect of the other. (Figure A6 #FIXME illustrates the difference between computing two simple regressions and one multiple regression.)

In the stratosphere, the spatial pattern associated with the Full SAM is more clearly dominated by a zonally symmetric, monopolar structure (panel a.1) which is, however, not perfectly centered in the south pole. The monopole obtained by multiple regression with the Asymmetric and Symmetric SAM (panel a.3) is much more symmetric and the shift from total symmetry is captured by the regression pattern of the Asymmetric SAM as a wave-1 with maximum anomalies above the Belinghausen Sea on the Western Hemisphere and and Davids Sea in the Eastern Hemisphere (panel a.2).

In the troposphere, panel b.1 shows the well known zonally symmetrical annular mode *contam-inated* with zonal asymmetries in the form of a wave-3. The regression using the Asymmetric and Symmetric SAM indices successfully disentangle both structures. The Asymmetric component gives rise to a cleaner zonal wave (panel b.2) and the Symemtric component is assosiated with an trully annular mode, almost devoid of zonal asymmetries (panel b.3). note that the wave-3 pattern observed in panel b.2 is rotated by half a wavelength from the average position of the mean wave-3 pattern and asociated with Raphael (2004)'s ZW3 index (see Figure 1 from that paper). #FIXME (agregar algo más?)

The amplitude of each zonal wave number at each latitude at 50 hPa and 700 hPa is shown in Figure 8, where wave number zero represents the amplitude of the zonal mean. Comparing between rows, this Figure quantifies the relatively clean separation between the zonally symmetric and zonally asymmetric structures, as its evident how the mixture of waves of the Full field (column a) is very similar to the sum of the waves of the Asymmetric and Symmetric field (columns b and c, respectively). Column b of Figure 8 shows that the Asymmetric SAM is overwhelmingly dominated by wave 1 in the stratosphere (panel b.1), while in the troposphere it is composed of zonal waves 3 to 1 in decreasing level of importance (panel b.2).

- To analyse the vertical structure of the geopotential anomalies associated with the asymetric SAM index, we show a vertical cross section of regressions of mean geopotential height between 65tS and 40tS for the 50 hPa Asymmetric SAM index (panel a) and for the 700 hPa Asymmetric SAM index (panel b) (Figure 9).
- The geopotential anomalies associated with the stratospheric SAM (panel a) are clearly constrained to the stratosphere, which underscores the disconnect between the stratospheric and
 tropospheric symetric SAM. The vertical structure this signal tilts about 60ł to the West between
 150 hPa and 1 hPa, suggesting baroclinic processes and polarward transport of heat (#FIXME is
 151 this ok?). Interestingly, the signal in the stratosphere maximises near 10 hPa despite using the 50
 152 hPa index for the regression.
- The tropospheric asymmetric SAM has significant signals that extend upwards the uppermost levels of the reanalysis. In the troposphere, the wave-3 structure is equivalent barotropic with maximum amplitude at roughly 250 hPa. #FIXME moaaaaar.
- Interestingly, the structures shown in Figure 9 are surprisignly robust to the choice of index level.

 For any stratospheric (above 100 hPa) index, the resulting anomalies are very similar to the wave-1

 structure with maximum near 10 hPa in panel a. Conversely, for any tropospheric (below 100

 hPa) index, the result is very similar to panel b. The pattern cross-correlation between levels of

 each segment of the atmosphere is greater than 0.9 (Figure A8). The patterns mainly change in

 amplitude. The tropospheric pattern is maximised by the 300 hPa Asymmetric SAM index and the

 stratospheric pattern increased monotonically with height.
- The wave-3 pattern from Figure 7 panel b.2 is very similar to the teleconnection pattern associated with the ENSO. Indeed, Fogt et al. (2011) showed that there is a significant relationship between the SAM and the ENSO. The correlation between the full SAM and the ENSO as measured by the Multivariate Enso Index (Wolter and Timlin 2011) is -0.19. This relationship is captured entirely

the Asymmetric SAM, as this index has a partial correlation of -0.27 with the MEI, whereas the Symmetric SAM has null partial correlation with the MEI.

169 c. Impacts

170 1) TEMPERATURE

Figure 10 shows regression coefficients of each index at 700 hPa with surface temperature for 171 each trimester. It is evident that the Asymmetric and Symmetric SAM indices are associated with 172 overall distinct temperature patterns which can be obscured when using the Full SAM index. The 173 Symmetric SAM signal is weaker than the Asymmetric SAM, as evidenced by the relatively smaller and les sstatistically significant regression coefficients in row 3 of Figure 10 compared with row 2. 175 In DJF (column a), the strong negative signal in the tropical Pacific in panel a.1 is mostly 176 associated with the Asymmetric component (panel a.2), as is it largely absent in the Symmetric component (panel a.3). Furthermore, the Asymmetric SAM is also associated with low temperature 178 anomalies in the Indian ocean, but this signal is obscured by the Symmetric variability and thus lost 179 in the Full SAM. Over the continents, the Asymmetric SAM is assoiated with negative temperature anomalies which, again, mostly disappear in the Full SAM regression. 181

The patterns seen in MAM and JJA (columns b and c) are not robustly significant in the sense that there are no areas with p-values below 0.05 when controlling for FDR following Wilks (2016). Nevertheless, it is interesting to note that in both trimesters, the sign of the regression is consistently flipped between the Asymmetric and Symmetric regressions. In South America, for example, the Asymmetric SAM is associated with positive temperature anomalies in MAM and negative temperature anomales in JJA, while the oposite is the case for the Symemtric SAM.

Finally, in SON (column d), there is no significant temperature signal associated with the Symmetric SAM (panel d.3), while the Asymmetric SAM shows a relatively robust signal in the

equatorial Pacitic, Australia, and even Southeast South America. This strong signals are reduced in intensity in panel a.3.

92 2) PRECIPITATION

Regression of the SAM indicies with seasonal mean precipitation are shown Figures 11 and 12 for Australia and New Zealand, and South America respectively. (We didn't detect any significant signal in South Africa.)

In Australia (Figure 11), the annual-level regression shows that the Full SAM is associated with a statistically significant increase in precipitation in the Southeastern region (panel a.1), which reproduces the results from Gillett et al. (2006). The separation between Asymmetric and Symmetric SAM suggest that this increase is explained by the Symmetric SAM only in the East coast (panel c.1), which is consistent with the increased easterly flow clearly seen in relation with this index. The Asymmetric SAM appears related to increased precipitation in the West coast of Southeastern Australia (panel b.2), explained by the anomalous *westerly* circulation transporting moist air to the continent.

The seasonal-level regressions show statistically significant anomalies only in SON, with a pattern similar to the annual-level regression (panel a.5). Panels b.5 and c.5 don't show a clear separation between the Asymmetric and Symmetric SAM. If anything, the positive and more significant regression coefficients in panel b.5 vs pane c.5 would suggest more influence of the Asymmetric than the Symmetric SAM, going against the interpretation gathered from the annual-level regressions. This Spring signal is broadly consistent with Hendon et al. (2007), but whereas Hendon et al. (2007) also detected a strong signal in Summer, panel a.2 shows no statistically significant association (although the coeffcients have the consistent sign).

- In South America (Figure 12), the annual-level regression shows that the SAM is associated with statistically significant precipitation decrease in Southeastern South America (SESA) and Southern Chile and non-significant increase in South Brazil, near the South Atlantic Convergence Zone (SACZ) (panel a.1).
- Panels b.1 and c.1 show a remarkably clean separation between the Asymmetric SAM –associated with the Southeastern South American and Southern Brazilian signals— and the Symmetric SAM –associated with the signal in Southern Chile. This separation is consistent with the mechanisms responsible for these effects. In Southern Chile, the reduced westerly flow reduce moisture transport from the Pacific Ocean (cita?? #FIXME).
- In Southeastern South America, anomalous meridional winds lead to less precipitation by inhibiting moisture convergence from the South American Low Level Jet (Silvestri and Vera 2009).

 The increased precipitation in the South Atlantic Convergence Zone, on the other hand, appears to
 be related to modulation of the anomalous SAM circulation in SACZ events which lead to more
 frequent and intense SACZ events during positive SAM (Rosso et al. 2018).
- There is a small area of increased precipitation with SAM near central Argentina which is also present in the station-based analysis by Gillett et al. (2006) and that is explained by the Asymmetric SAM.
- Except during Winter, the seasonal-level regression all show these similar patterns although not as cleanly and only in some cases statistically significant.

231 3) SEA ICE

Regressions between the Full SAM index and Antarctic Sea Ice Concentrations (Figure 13) show a great deal of variability across seasons. The only statistically significant signal is in Spring, when we observe negative concentration anomalies in the Northen Weddell Sea (panel a.4) explained

by the Asymmetric SAM (panel b.4). Both in Winter and in Spring the Asymmetric SAM is
associated with bigger Sea Ice Concentration anomalies in West Antarctica than East Antarctica,
with generally decreased concentration East of the Antarctic Peninsula and increased concentration
to the West, as expected from the anomalous circulation correlated with this index. The Symmetric
SAM signal appears more evenly distributed accross the whole ice sheet.

40 d. Conclusions

We presented a method to systematically separate the zonally asymmetric and zonally symmetric components of the SAM. By itself, the spatial structure and temporal evolution of the SAM shows a strong separation between the stratosphere SAMs. The first EOF in the stratosphere is monopolar in nature while the first EOF in the troposphere is a proper annular mode. Their repespective departures from the zonal mean are also different: a zonal wave 1 dominates the stratospheric SAM, waves 3 and 2 dominate the tropospheric SAM. Furthermore, there is little temporal correlation between the tropospheric and stratospheric time series.

The zonal asymmetric component of the SAM at each level is even more decupled between the troposphere and the stratosphere. Their temporal evolution shows essentially zero correlation (Figure 4) and the signal associated with the stratospheric Asymmetric SAM is completely restricted to the stratosphere (Figure 9 panel a). Geopotential height anomalies associated with the tropospheric Asymmetric SAM, on the other hand, do extend to the stratosphere, but those anomalies do not project strongly into the stratospheric Asymmetric SAM.

We show that the observed positive trends towards positive SAM is restricted to the tropospheric SAM and is explained by the Symmetric component (Figure \ref{fig:trends}). However, the degree of asymmetry appears to have increased slightly in the last 40 years, as the Asymmetric SAM explains an increasingly proportion of the variance (Figure 6).

- In terms of impacts, we see that ..Temperature. . . #FIXME 258
- The patterns of SAM-associated precipitation anomalies are similarly well separated. In South 259
- America, we show that negative anomalies observed in Chile related to the SAM are well explained 260
- by the Symmetric component, while the precipitation dipole in Southern South America and the
- South Atlantic Convergence Zone is explained by the Asymmetric component.

1) Limitations

271

Our method assumes linearity in the asymmetric component of the SAM. That is, assumes that zonal symmetries associated with positive SAM are oposite and equal to the ones associated with 265 negatie SAM. Fogt et al. (2012)'s composites suggest that this might not be entirely valid, although 266 we argue that much of that apparent non-linearity is due to the heterogenous nature of the selected years for constructing the composites. Using our data (from 1979 to 2018), seasonal composites 268 of zonal anomalies of 700 hPa geopotential height for SAM+ and SAM- show pattern linear 269 correlations greater than -0.7 for all seasons and are visually very linear (Figure A9). Therefore, we belive that our method is at the very least a reasonable approximation of the fenomenon.

We also asumed that the structure of the SAM zonal anomalies is stable in all seasons. Again, 272 this is not unreasonable, as geopotential zonal anomalies computed by projecting the first EOF of each season are very similar to each other (Figure A10). 274

Silvestri and Vera (2009) showed that impacts linked to the SAM changed rather dramatically 275 before and after 1980. In particular, the negative relationship with precipitation in South America (consistent with Figure 12 panel a.1) was absent in some areas and switched sign in other in 277 the earlier period. The correlation between ENSO and SAM is similarly non-stationary, also 278 disapearing before 1973.

- Seeing as both the ENSO-SAM relationship and most of the precipitation imacts in South
- America are captured by the Asymmetric SAM, the results presented here are most likely period-
- dependent. Therefore, is very likely that if we were to repeat this analysis using pre-satellite data,
- the resulting Asymmetric SAM would look very different.
- ²⁸⁴ Acknowledgments. CMAP Precipitation data provided by the NOAA/OAR/ESRL PSL, Boulder,
- ²⁸⁵ Colorado, USA, from their Web site at https://psl.noaa.gov/ #FIXME
- NOAA Global Surface Temperature (NOAAGlobalTemp) data provided by the
- NOAA/OAR/ESRL PSL, Boulder, Colorado, USA, from their Web site at https://psl.noaa.gov/

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332 APPENDIX

Extra figures

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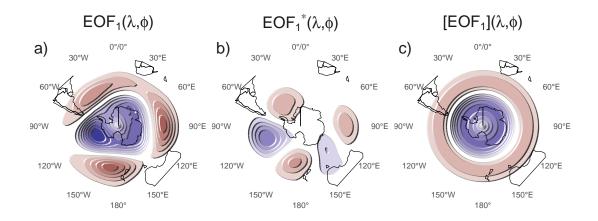


Fig. 1: Spatial patterns of the first EOF of 700 hPa geopotential height. Full field (left), zonally asymmetric component (middle) and zonally symmetric component (right). Arbitrary units.

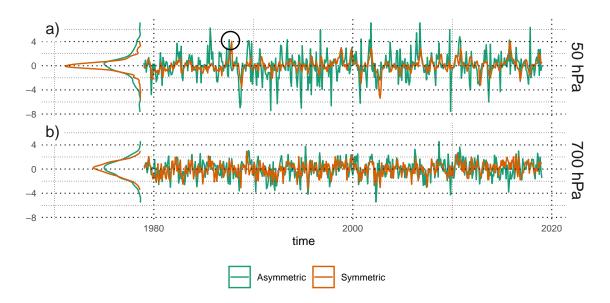


Fig. 2: Time series for the asymmetric SAM and symmetric SAM and density estimates.

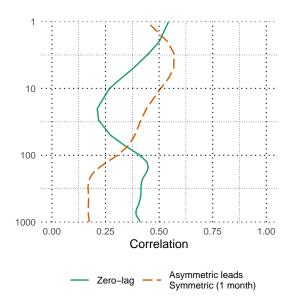


Fig. 3: Correlation between the Symmetric and Asymmetric SAM at each level for lag zero and lag -1 (Asymmetric leads Symmetric).

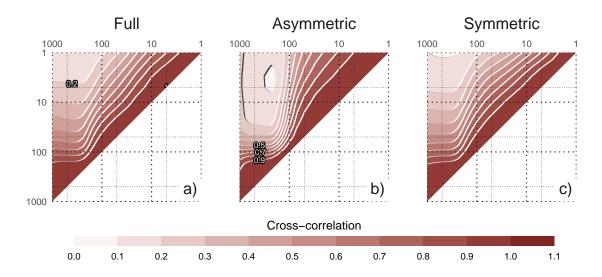


Fig. 4: Cross correlation between levels of the Full, Asymmetric and Symmetric SAM.

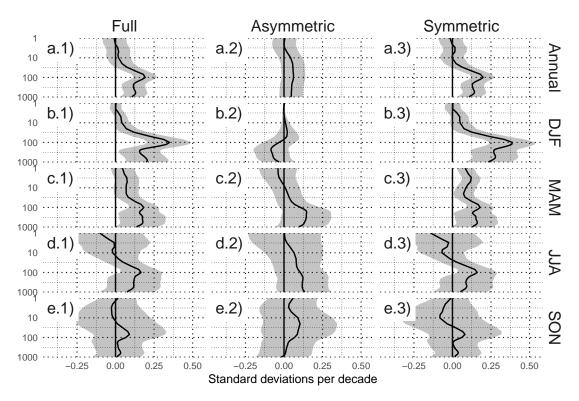


Fig. 5: Decadal normalised trends for each index at each level for annual (row a) and seasonal values (rows b-e) for the period 1979-2018. Shading indicates the 95% confidence interval.

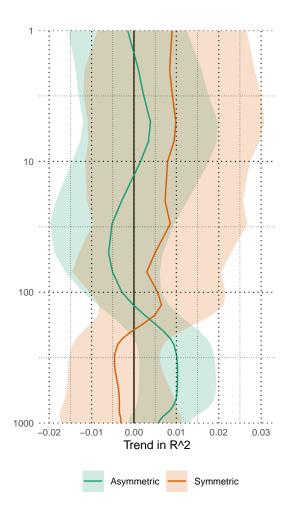


Fig. 6: Decadal trends for explained variance of each index at each level for the period 1979-2018. Shading indicates the 95% confidence interval.

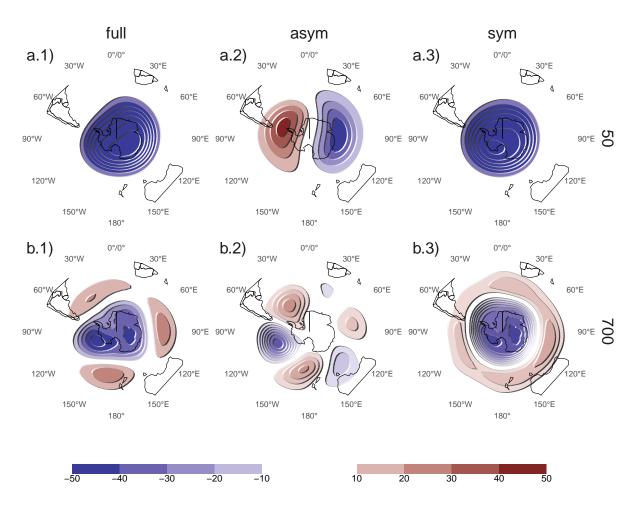


Fig. 7: Regression patterns of geopotential height at 30, 300 and 700 hPa with the Full, Asymmetric and Symmetric SAM. The regression patterns for Asymmetric and Symmetric SAM are the result of one multiple regression using both indices, not of two simple regressions involving each index by itsef.

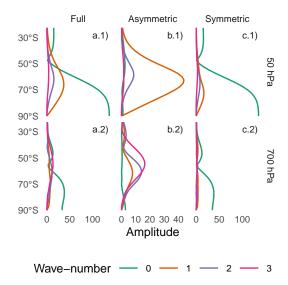


Fig. 8: Planteray wave amplitude for the regression patterns at 50 and 700 hPa. Note the varying x axis.

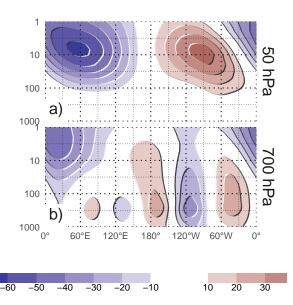


Fig. 9: Asymmetric coefficient of the multiple regression of mean monthly geopotential height anomalies between 65 and 40 South. (#FIXME this caption needs some love)

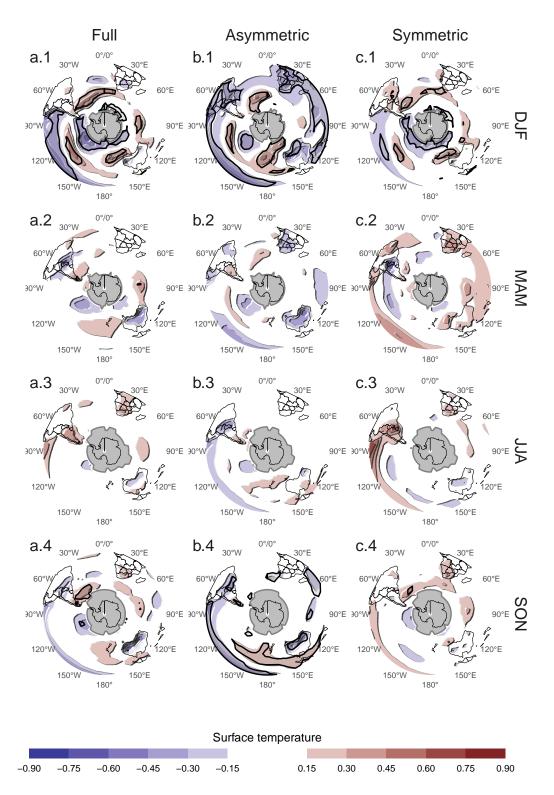


Fig. 10: Regression pattern of surface temperature with Asymmetric and Symmetric SAM. P-values smaller than 0.05 (controlling for Flase Detection Rate) as hatched areas. Gray areas have more than 15% of missing data.

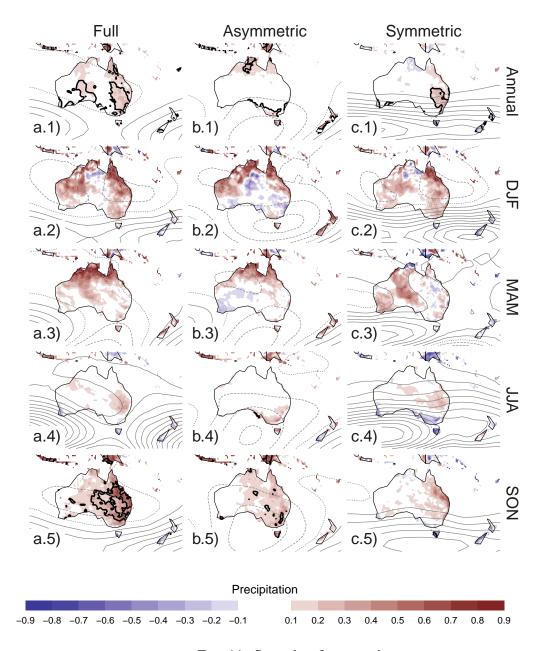


Fig. 11: Same but for oceania

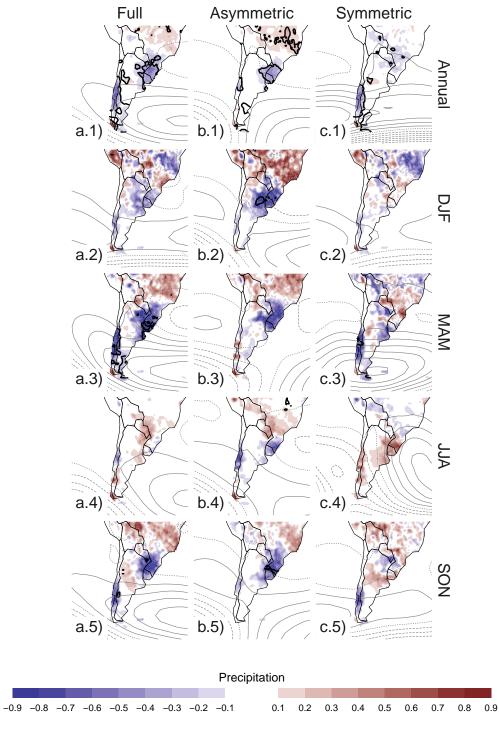


Fig. 12: Same but for america

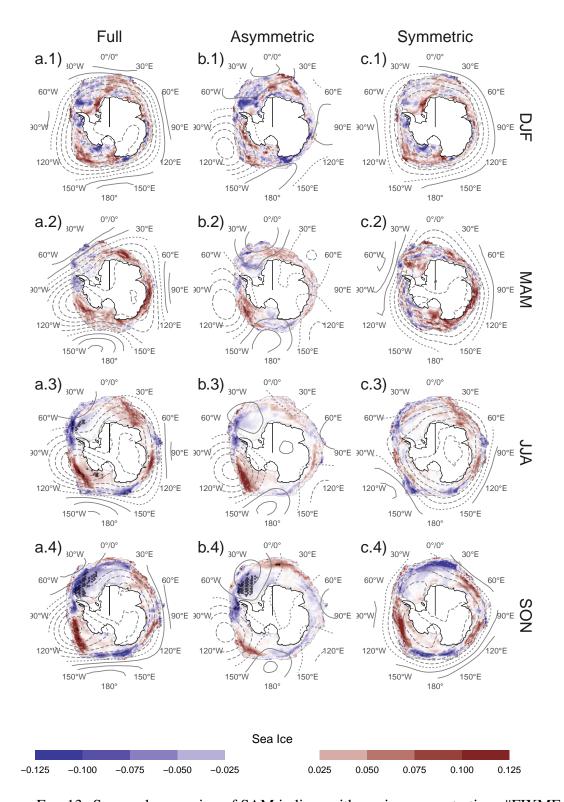


Fig. 13: Seasonal regression of SAM indices with sea ice concentration. #FIXME

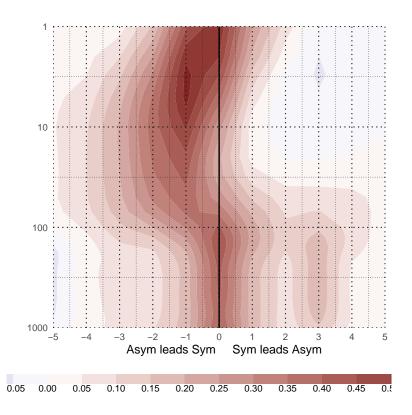


Fig. A1: Lag-correlation between Symmetric and Asymmetric SAM at each level.

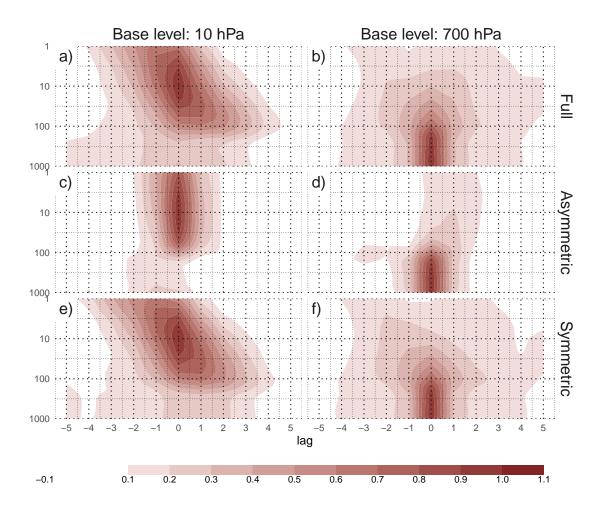


Fig. 14: Cross-correlation functions for each index and two different base levels.

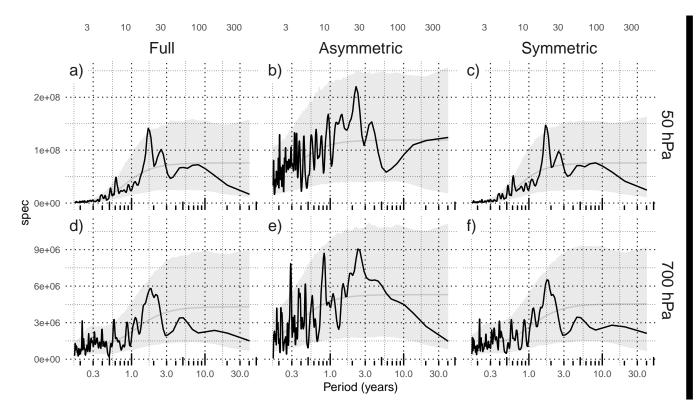


Fig. A3: Fourier spectrum of each timeseries. The shading indicates de 95% area derived by fitting an AR process to each series and bootstrapping 5000 simulated samples.

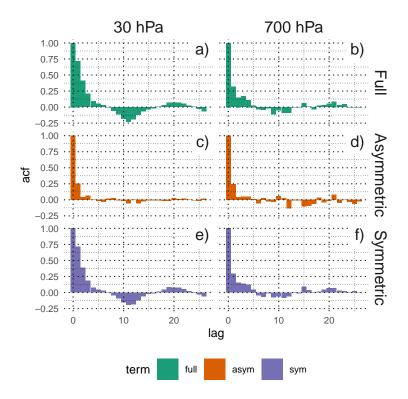


Fig. A4: Autocorrelation functions of each timeseries

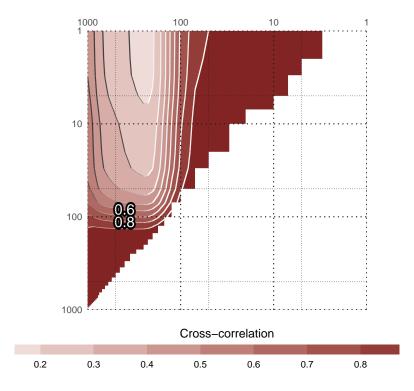


Fig. A8: Pattern cross-correlation #FIXME!

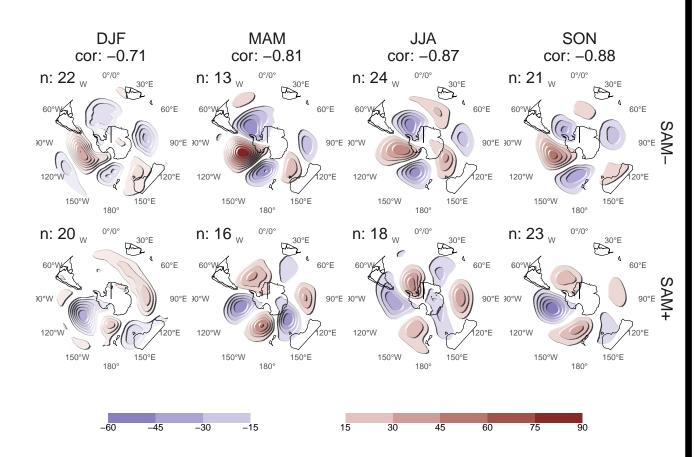


Fig. 15: 700 hPa Geopotetnial height zonal anomalies of composites of positive and negative SAM months selected using 1 standard deviation as threshhold. Numbers in the column headers are pattern correlation between SAM+ and SAM- composites and number of monthly fields used to construct the composites.

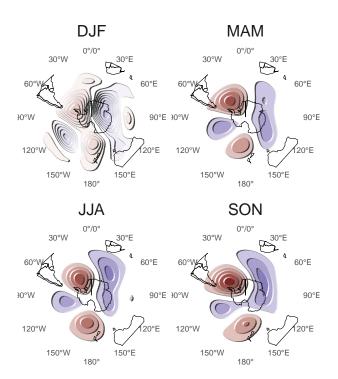


Fig. 16: Zonal of projection of 700 hPa onto the first EOF of each season.