

# Bare Demo of IEEEtran.cls for IEEE Conferences

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**Abstract**—The abstract goes here.

## I. INTRODUCTION

This demo file is intended to serve as a “starter file” for IEEE conference papers produced under L<sup>A</sup>T<sub>E</sub>X using IEEEtran.cls version 1.8b and later. I wish you the best of success.

mds

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### A. Subsection Heading Here

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## II. RELATED WORK

The algorithm used in this paper, Sway [1], is a method for search-based software engineering. Search based software engineering (SBSE) was first proposed by Harman and Jones [2] in 2001. SBSE transforms a software engineering problem to a search problem to apply metaheuristic search. A software engineering problem can be reformed as a search problem by defining the following: a representation of the problem, a fitness function, and a set of manipulation operators. Common algorithms include random search, simulated annealing, genetic algorithms.

The advantage of metaheuristic algorithms is that they can explore multiple objectives simultaneously. Multiple-objective evolutionary algorithms (MOEA) are used in SBSE to help achieve multi-objective optimization (MOO). In multi-objective problems, there usually isn't a single optimal solution [3], a set of optimal points, such as the pareto frontier, is determined.

### A. Random Projection

### B. Semi-Supervised Learning

### C. Why Heuristics Work

## III. METHODS

Sway recursively splits the dataset in half and finds the best cluster using a *split* function. The *split* function picks a random point and the two points with the largest Euclidean distance from it. The two furthest points form a line, which split all other points into two halves by calculating the distance of the x columns (the columns that are not objectives). Then one point from each of the two halves are compared through the

*better* function, to determine which point has better objectives (y columns). The *split* function is then executed on the better half. This process repeats until we reach a certain cluster size, by then we would have the best cluster.

Our new proposed method built on top of sway is to add randomness when splitting data into two halves. The *half()* splits data into two halves in sway, it first sorts all data according to the x columns. These x columns provide a basis for us to cluster similar data together. We do not compare the y columns when splitting data since we assume that in real-world scenarios it would be costly to acquire such values. However, we do don't know the exact relation between the x columns (characteristics) and the y columns (objectives). Thus, we propose adding randomness, so we don't fully rely on unknown patterns in the data. We hope that the introduced randomness will help us find better objective values. In the following sections, we refer to the original sway as sway1, and sway2 as our new method.

We next describe the main implementation of randomness in our code. The *dist* function in the data class calculates the distance of the x columns for two rows. We use a random coefficient (RAND in the pseudocode below), to vary the distance by a certain percentage. In our experiments, we set this percentage to 15%. This means a distance of 1 would return a value ranging from -0.85 to 1.15.

```
def dist(row1, row2):  
    n = 0  
    d = 0  
    for col in x_columns:  
        n += 1  
        d += col.dist(row1[col_index],  
                      row2[col_index])**dist_coefficient  
    d = d * (1+ random_num(-RAND, RAND))  
  
    return (d/n)**(1/dist_coefficient)
```

We then applied sway to the *xpln* method. The *xpln* method takes the best cluster from sway and another random sample of data and tries to find a rule that would most effectively distinguish between the two. Xpln1 would be sway1 applied to xpln, and xpln2 is sway2 applied to xpln.

The *top* method goes through all data in the dataset to compare and sort based on a *better* metric. The *better* metric

compares the y columns of the data. As we compared each pair of data points, this resulted in  $O(n^2)$  time. As some datasets had a large number of rows, we did not run the *top* method for certain datasets due to time concerns.

#### A. Data

Our data consists of ten datasets, each has two types of columns. Columns with string values and columns with numeric values. Some columns have a plus or minus sign, this means they are the objectives, a minus sign means we try to minimize this value, while a plus sign means we try to maximize the value. Table I shows statistics on these objective columns.

For example, the *auto2* dataset has a total of twenty-three columns, which includes four objectives, or y columns: *CityMPG+*, *HighwayMPG+*, *Weight-*, *Class-*. The remaining nineteen columns, *type*, *engine\_size*, *horsepower*, etc., are x columns. Our methods would cluster data based on the nineteen x columns to maximize or minimize the values of the objectives.

#### B. Experiments

We ran our model on samples of size 10, 25, 50, 100, 200, 500, and 1000. For each sample size, we ran 20 repeated runs with different seeds and calculated the average of the values we collected. The source code and experiment outputs can be found in the GitHub repository.

### IV. RESULTS

In this section, we discuss results of our experiments. We present our results from a sample size of 500 out of the many sample sizes. We observe that adding randomness in our model achieved mixed results. In most cases, *sway2* performs slightly worse or similarly to *sway1*. However, there are certain cases where *sway2* performs better. For example, *sway2* performs better when we try to maximize *Kloc* for the *nasa93dem* dataset. We indicate objectives where *sway2* performs better than as red in our tables. As *sway2* introduces randomness, we can infer that the characteristics in the dataset may have a smaller correlation to the objective values, or that closely clustered x columns do not have as strong a correlation to certain objectives. For example, closely clustered x columns for *coc1000* may not have similar values for the *Effort* objective.

#### A. Sample size

We also conducted experiments with different sample sizes in an attempt to achieve better results with more samples. However, we did not observe a clear correlation between sample sizes and the objectives. Table XII shows varying sample sizes for the *nasa93dem* dataset. Upon receiving these results, we went back to check how sample size was implemented in the code. Sample size has an effect when selecting the two points when splitting data into two halves. The first point is selected at random, and the second point is selected from a group of data that is of sample size. However, that is the extent

of which sample size has an effect. Thus, we infer that sample size does not have enough effect in our code to influence the results.

#### B. Effect size and Significance tests

With results from different models, we conducted the effect size test using cliff's delta and the significance test using bootstrap. The effect size test tests for relationship between two sets of data. We set the threshold to 0.4 for medium effects. The confidence for the significance test is set to 0.05. The conjunction of the two test between different results is shown in table XIII. For those where the conjunction is =, this means both tests return true, which we can infer to meaning the two results are similar. We observe that results from *sway1* is similar to results from *sway2*. However, both results from *sway* are not similar to results from *top*. It can also be seen from the table that *xpln* returns slightly different results from *sway*.

### V. DISCUSSION

As *sway* is the current state-of-the-art method, we spent the majority of our time unpacking and understanding *sway*. We did not want to completely reinvent the wheel, thus our new method builds on top of it. Future work can be done on further extending and making changes to *sway* to achieve better performance.

#### A. Threats to validity

Our method was based off of *sway*, we were given sample code of *sway* and had to implement it in our own code. While we made the best effort to implement it, there might be small details where we missed or misunderstood. This could result in our experiments and results being slightly inaccurate.

### VI. BONUS: REQUIREMENTS STUDY

#### VII. BONUS: FEBRUARY STUDY

#### VIII. BONUS: ABLATION STUDY

#### IX. BONUS: HPO STUDY

### REFERENCES

- [1] J. Chen, V. Nair, R. Krishna, and T. Menzies. "sampling" as a baseline optimizer for search-based software engineering. *IEEE Transactions on Software Engineering*, 45(6):597–614, 2018.
- [2] M. Harman and B. F. Jones. Search-based software engineering. *Information and software Technology*, 43(14):833–839, 2001.
- [3] R. T. Marler and J. S. Arora. Survey of multi-objective optimization methods for engineering. *Structural and multidisciplinary optimization*, 26:369–395, 2004.

| dataset            | characteristic    | mean     | median  | mode  | standard deviation |
|--------------------|-------------------|----------|---------|-------|--------------------|
| auto2              | CityMPG+          | 22.37    | 21      | 18    | 5.62               |
|                    | HighwayMPG+       | 29.09    | 28      | 26    | 5.33               |
|                    | Weight-           | 3072.9   | 3040    | 3470  | 589.9              |
|                    | Class-            | 19.51    | 17.7    | 15.9  | 9.69               |
| auto93             | Lbs-              | 2970.42  | 2803.5  | 2130  | 846.84             |
|                    | Acc+              | 15.57    | 15.5    | 14.5  | 2.76               |
|                    | Mpg+              | 23.84    | 20      | 20    | 8.34               |
| china              | N_effort-         | 4277.64  | 2098    | 296   | 7071               |
| coc1000            | LOC+              | 1013.05  | 1060.5  | 720   | 571.35             |
|                    | AEXP-             | 2.97     | 3       | 2     | 1.2                |
|                    | RISK-             | 6.68     | 5       | 0     | 6.37               |
|                    | EFFORT-           | 30807.5  | 19642   | 33906 | 33883.81           |
| coc10000           | Loc+              | 1009.04  | 1012    | 100   | 574.75             |
|                    | Risk-             | 6.59     | 5       | 0     | 6.04               |
|                    | Effort-           | 30506.37 | 19697.5 | 4509  | 35435.43           |
| health...0001-hard | MRE-              | 82.32    | 75.04   | 199   | 12.45              |
|                    | ACC+              | 5.15     | 7.14    | 0     | 3.82               |
|                    | PRED40+           | 22.1     | 25      | 25    | 13.52              |
| health...0011-easy | MRE-              | 92.3     | 119.33  | 0     | 48.43              |
|                    | ACC+              | -8.53    | -12.24  | 0     | 5.71               |
|                    | PRED40+           | 17.79    | 0       | 0     | 34.13              |
| nasa93dem          | Kloc+             | 94.02    | 47.5    | 100   | 133.6              |
|                    | Effort-           | 624.41   | 252     | 60    | 1135.93            |
|                    | Defects-          | 3761.76  | 2007    | 2077  | 6145.06            |
|                    | Months-           | 24.18    | 21.4    | 13.6  | 12.97              |
| pom                | Cost-             | 369.99   | 327.32  | 0     | 204.40             |
|                    | Completion+       | 0.87     | 0.9     | 1     | 0.13               |
|                    | Idle-             | 0.24     | 0.23    | 0     | 0.2                |
| SSM                | NUMBERITERATIONS- | 30.94    | 7       | 5     | 94.53              |
| SSN                | PSNR-             | 44.53    | 45.91   | 45.98 | 6.47               |
|                    | Energy-           | 1658     | 1258.09 | 0     | 1610.66            |

TABLE I  
DATASET STATISTICS

|       | CityMPG+ | Class- | HighwayMPG+ | Weight- |
|-------|----------|--------|-------------|---------|
| sway1 | 26.02    | 13.75  | 32.38       | 2661.03 |
| xpln1 | 27.07    | 16.17  | 33.14       | 2632.12 |
| sway2 | 24.363   | 16.86  | 31.25       | 2845.97 |
| xpln2 | 25.26    | 16.72  | 25          | 2781.65 |
| top   | 37.16    | 9.26   | 41.75       | 2040.98 |

TABLE II  
RESULTS FOR AUTO2.CSV

|       | LOC+    | AEXP- | PLEX- | RISK- | Effort-  |
|-------|---------|-------|-------|-------|----------|
| sway1 | 1027.74 | 3.05  | 2.88  | 5.08  | 29321.05 |
| xpln1 | 913.11  | 2.67  | 2.73  | 5.7   | 27416.45 |
| sway2 | 999.59  | 2.92  | 3.02  | 6.12  | 28323.93 |
| xpln2 | 918.43  | 2.66  | 2.73  | 6.0   | 26910.59 |
| top   | 1571.43 | 1.62  | 1.39  | 4.7   | 35116.16 |

TABLE V  
RESULTS FOR COC1000.CSV

|       | Lbs-    | Acc+  | Mpg+  |
|-------|---------|-------|-------|
| sway1 | 2240.94 | 16.80 | 29.51 |
| xpln1 | 2416.26 | 15.44 | 26.40 |
| sway2 | 2319.56 | 16.62 | 29.72 |
| xpln2 | 2456.19 | 14.49 | 23.57 |
| top   | 1998.07 | 19.77 | 40.76 |

TABLE III  
RESULTS FOR AUTO93.CSV

|       | Kloc+ | Effort- | Defects- | Months- |
|-------|-------|---------|----------|---------|
| sway1 | 70.52 | 428.92  | 2811.09  | 20.86   |
| xpln1 | 72.85 | 450.05  | 2894.04  | 21.40   |
| sway2 | 85.92 | 524.90  | 3289.46  | 22.04   |
| xpln2 | 84.24 | 542.82  | 3374.83  | 22.59   |
| top   | 4.59  | 18.29   | 143.51   | 8.24    |

TABLE VI  
RESULTS FOR NASA93DEM.CSV

|       | N_effort- |
|-------|-----------|
| sway1 | 1800.38   |
| xpln1 | 2619.90   |
| sway2 | 1965.81   |
| xpln2 | 2431.86   |
| top   | 145.94    |

TABLE IV  
RESULTS FOR CHINA.CSV

|       | MRE-  | ACC+ | PRED40+ |
|-------|-------|------|---------|
| sway1 | 74.71 | 7.40 | 19.215  |
| xpln1 | 75.03 | 7.28 | 19.18   |
| sway2 | 75.37 | 7.32 | 18.23   |
| xpln2 | 75.03 | 7.27 | 19.24   |

TABLE VII  
RESULTS FOR HEALTHCLOSEISSSES12MTHS0001-HARD.CSV

|       | MRE-  | ACC+  | PRED40+ |
|-------|-------|-------|---------|
| sway1 | 31.37 | -0.39 | 57.53   |
| xpln1 | 35.96 | -0.46 | 53.85   |
| sway2 | 26.03 | -0.46 | 62.11   |
| xpln2 | 35.96 | -0.46 | 53.85   |

TABLE VIII  
RESULTS FOR HEALTHCLOSEISSES12MTHS0011-EASY.CSV

|       | PSNR- | Energy- |
|-------|-------|---------|
| sway1 | 44.48 | 1126.28 |
| xpln1 | 44.29 | 1621.32 |
| sway2 | 44.21 | 1612.90 |
| xpln2 | 44.46 | 1640.16 |

TABLE IX  
RESULTS FOR SSN.CSV

|       | NUMBERITERATIONS- |
|-------|-------------------|
| sway1 | 5.37              |
| xpln1 | 10.20             |
| sway2 | 6.27              |
| xpln2 | 9.37              |

TABLE X  
RESULTS FOR SSM.CSV

|       | LOC+    | RISK- | EFFORT-  |
|-------|---------|-------|----------|
| sway1 | 1004.94 | 5.22  | 26372.00 |
| xpln1 | 604.81  | 4.02  | 17308.46 |
| sway2 | 1006.16 | 4.57  | 24570.96 |
| xpln2 | 454.17  | 2.71  | 13859.63 |

TABLE XI  
RESULTS FOR COC10000.CSV

|      | Kloc+  | Effort- | Defects- | Months- |
|------|--------|---------|----------|---------|
| 10   | 70.52  | 329.06  | 2038.96  | 19.09   |
| 25   | 47.925 | 250.97  | 1743.88  | 17.87   |
| 50   | 64.64  | 311.22  | 2427     | 20.08   |
| 100  | 62.24  | 314.84  | 2325.34  | 19.14   |
| 200  | 94.33  | 602.88  | 3629.39  | 24.35   |
| 500  | 86.29  | 489.42  | 3323.69  | 22.12   |
| 1000 | 106.19 | 635.1   | 4117.55  | 25.47   |

TABLE XII  
RESULTS FOR DIFFERENT SAMPLE SIZE FOR NASA93DEM.CSV

| dataset            | characteristic    | all<br>all | sway1 | sway2 | sway1<br>sway2 | xpln1 | top | sway2<br>xpln2 | top |
|--------------------|-------------------|------------|-------|-------|----------------|-------|-----|----------------|-----|
| auto2              | CityMPG+          | =          | ≠     | ≠     | =              | =     | ≠   | =              | ≠   |
|                    | HighwayMPG+       | =          | ≠     | ≠     | =              | =     | ≠   | =              | ≠   |
|                    | Weight-<br>Class- | =          | ≠     | ≠     | =              | =     | ≠   | =              | ≠   |
| auto93             | Lbs-              | =          | ≠     | ≠     | =              | =     | ≠   | ≠              | ≠   |
|                    | Acc+              | =          | ≠     | ≠     | =              | ≠     | ≠   | ≠              | ≠   |
|                    | Mpg+              | =          | ≠     | ≠     | =              | ≠     | ≠   | ≠              | ≠   |
| china              | N_effort-         | =          | ≠     | ≠     | =              | ≠     | ≠   | =              | ≠   |
| coc1000            | LOC+              | =          | =     | =     | =              | =     | ≠   | =              | ≠   |
|                    | AEXP-             | =          | ≠     | =     | =              | =     | ≠   | =              | ≠   |
|                    | PLEX-             | =          | ≠     | =     | =              | =     | ≠   | =              | ≠   |
|                    | RISK-             | =          | ≠     | =     | =              | =     | =   | =              | =   |
| coc10000           | EFFORT-           | =          | ≠     | ≠     | =              | =     | ≠   | =              | ≠   |
|                    | Loc+              | =          | =     | =     | =              | ≠     | n/a | ≠              | n/a |
|                    | Risk-             | =          | ≠     | ≠     | =              | =     | n/a | ≠              | n/a |
| health...0001-hard | Effort-           | =          | ≠     | ≠     | =              | ≠     | n/a | ≠              | n/a |
|                    | MRE-              | =          | ≠     | ≠     | =              | =     | n/a | ≠              | n/a |
|                    | ACC+              | =          | ≠     | ≠     | =              | ≠     | n/a | =              | n/a |
| health...0011-easy | PRED40+           | =          | ≠     | ≠     | =              | =     | n/a | =              | n/a |
|                    | MRE-              | =          | ≠     | ≠     | =              | =     | n/a | ≠              | n/a |
|                    | ACC+              | =          | ≠     | ≠     | =              | ≠     | n/a | =              | n/a |
| nasa93dem          | PRED40+           | =          | ≠     | ≠     | =              | =     | n/a | ≠              | n/a |
|                    | Kloc+             | =          | =     | =     | =              | =     | ≠   | =              | ≠   |
|                    | Effort-           | =          | ≠     | =     | =              | =     | ≠   | =              | ≠   |
| pom                | Defects-          | =          | ≠     | =     | =              | =     | ≠   | =              | ≠   |
|                    | Months-           | =          | =     | =     | =              | =     | ≠   | =              | ≠   |
|                    | Cost-             | =          | ≠     | ≠     | =              | =     | n/a | ≠              | n/a |
| SSM                | Completion+       | =          | =     | =     | =              | ≠     | n/a | ≠              | n/a |
|                    | Idle-             | =          | ≠     | =     | =              | ≠     | n/a | ≠              | n/a |
| SSN                | NUMBERITERATIONS- | =          | ≠     | ≠     | =              | ≠     | n/a | ≠              | n/a |
| SSN                | PSNR-             | =          | =     | =     | =              | =     | n/a | =              | n/a |
|                    | Energy-           | =          | ≠     | =     | =              | ≠     | n/a | =              | n/a |

TABLE XIII  
RESULTS FOR EFFECT SIZE TEST AND SIGNIFICANCE TEST