## Sequencing Legal DNA

NLP for Law and Political Economy

10. Text Generators

#### Language Models

Conditioned Generation

Variational Autoencoders

Text Generation with Transformers

GPT-2

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▶ The task is to learn  $Pr(w_{i+1}|w_{1:i})$  given a large corpus.

## Perplexity

- Perplexity is an information-theoretic measurement of how well a probability model predicts a sample.
- ▶ Given a text corpus of n words  $\{w_1,...w_n\}$  and a language model function  $Pr(\cdot)$ , the perplexity is:

$$2^{-\frac{1}{n}\sum_{i=1}^{n}\log\widehat{\Pr}(w_{i}|w_{1:i-1})}$$

► Good language models (i.e., reflective of real language usage) assign high probabilities to the observed words in the corpus, resulting in lower (better) perplexity values.

## N-Gram Approach to Language Modeling

- Let  $\#(w_{i:j})$  be the count of the sequence of words  $w_{i:j}$  in the corpus.
- $\triangleright$  The MLE estimate for the probability of a word given the previous k words is

$$\widehat{\Pr}(w_{i+1}|w_{i-k:i}) = \frac{\#(w_{i-k:i+1})}{\#(w_{i-k:i})}$$

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- The obvious problem:
  - if  $w_{i-k:i+1}$  was never observed in the corpus,  $\widehat{Pr}$  is zero, which gives infinite perplexity.
  - zero events are quite common because many phrases are unique.
  - smoothing (adding a small constant to the numerator and denominator) helps.

## Neural Language Model Baseline (Goldberg 2017)

- Input:
  - preceding sequence (context words)  $w_{1:k}$ .
  - ▶ *V* is a finite vocabulary, including special symbols for unknown words, start of sentence, and end of sentence.
  - **E**ach context word is associated with an embedding vector  $v(w) \in \mathbb{R}^{n_w}$ , the wth row of E.
  - ▶ The input vector **x** is a concatenation of the word vectors

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- Output:
  - probability distribution over the next word.
- ► The model:

$$\mathbf{x} = [v(w_1), ..., v(w_k)]$$
  
 $\mathbf{h} = \mathbf{g}(\mathbf{x}\mathbf{W}_h)$   
 $\mathbf{y} = \operatorname{softmax}(\mathbf{h}\mathbf{W}_y)$ 

## Training Neural Language Models (Goldberg 2017)

$$\mathbf{x} = [v(w_1), ..., v(w_k)]$$
  
 $\mathbf{h} = \mathbf{g}(\mathbf{x}\mathbf{W}_h)$   
 $\mathbf{y} = \operatorname{softmax}(\mathbf{h}\mathbf{W}_y)$ 

- ► Training examples are simply each word in the corpus, with the associated *k* preceding words as the inputs.
- Each word is associated with an  $n_w$ -dimensional embedding vector from a row of E, as well as an  $n_v$ -dimensional vector from a column of  $W_v$ .
  - These are both informative word representations where words that appear in similar contexts will have similar vector representations.
- ► The computational cost of these language models is the softmax in the final layer, which becomes slower with an increase in vocabulary size.

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## Li et al (2016)

- Li et al (2016 learn an embedding vector for each user who wrote a response.
- ► The intuition:
  - different users have different communication styles, based on their age, gender, social role, background knowledge, personality traits and many other latent factors. By condition-ng on the user when generating the response, the network can learn to adapt its predictions while still using an underlying language model as a backbone.
- ▶ As a side effect of training the generator, the network also learns user embeddings, producing similar vectors to users who have similar communication styles. At test time, one can influence the style of the generated response by feeding in a particular user (or average user vector) as a conditioning context.

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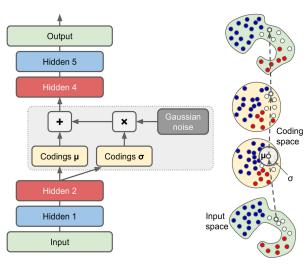


Figure 17-12. Variational autoencoder (left) and an instance going through it (right)

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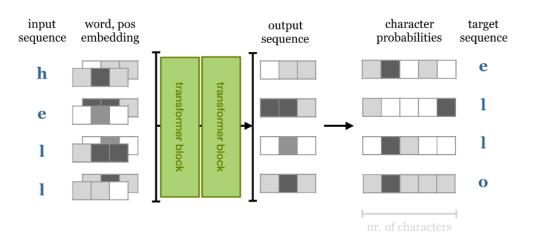
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## Text generation transformer



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# OPENAI'S NEW MULTITALENTED AI WRITES, TRANSLATES, AND SLANDERS

A step forward in AI text-generation that also spells trouble

By James Vincent | Feb 14, 2019, 12:00pm EST

Howard, co-founder of Fast.Al agrees. "I've been trying to warn people about this for a while," he says. "We have the technology to totally fill Twitter, email, and the web up with reasonable-sounding, context-appropriate prose, which would drown out all other speech and be impossible to filter."

https://transformer.huggingface.co/doc/distil-gpt2

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## News Generation Experiment



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## Last Year's Projects (1)

Lazar Peric: GPT Text Generator for Legal Text

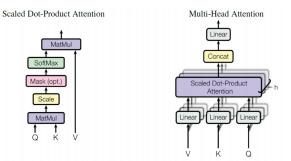


Figure 4.1: Scaled Dot-Product Attention (left) and Multi-Head Attention (right) block. Figure taken from [6]

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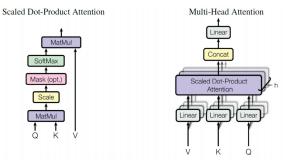


Figure 4.1: Scaled Dot-Product Attention (left) and Multi-Head Attention (right) block. Figure taken from [6]

<sup>&#</sup>x27; fees to Richard W. Horton and Vernon O. <unk> is too high . Horton and <unk> are cross - appealing the amount of the award

in question , which was based on a \$ 1.84 judgment . The district judge found , and the court concluded that there were sufficient facts in support thereof . We find that there is no evidence that they are not supported in any way . The judgment is reversed . The judgments appealed therefrom will stand and will stand and will bear in all other parts of this judgment , except as they will bear their respective portions of their judgments, together therewith , with costs of this opinion , with directions that they be reversed and the case is REMANDED to that portion thereof , and will bear its part with instructions for the new

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- ► The model has two players, generator and discriminator:
  - discriminator says, given an input, what should the label be.
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- ▶ The model has two players, generator and discriminator:
  - discriminator says, given an input, what should the label be.
  - generator tries to generate an input that fools the discriminator
- ▶ this has been good for image classification but again, not much in the way of social science applications.

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## Reinforcement Learning

- ▶ Reinforcement learning has been successful in chess, Go, and other games because the models can play against themselves millions of times.
  - closer to game theory than empirical economics.
  - ▶ I have not seen a social-science application of reinforcement learning.