

# Image Super-Resolution via Sparse Representation

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# Outline

- Introduction
- Background on Super-Resolution
- Single image Super-Resolution via Sparse Representation
- Experimental Results
- Conclusion

# Basic Idea of Super-resolution

Given multiple low-Resolution images



Algorithm



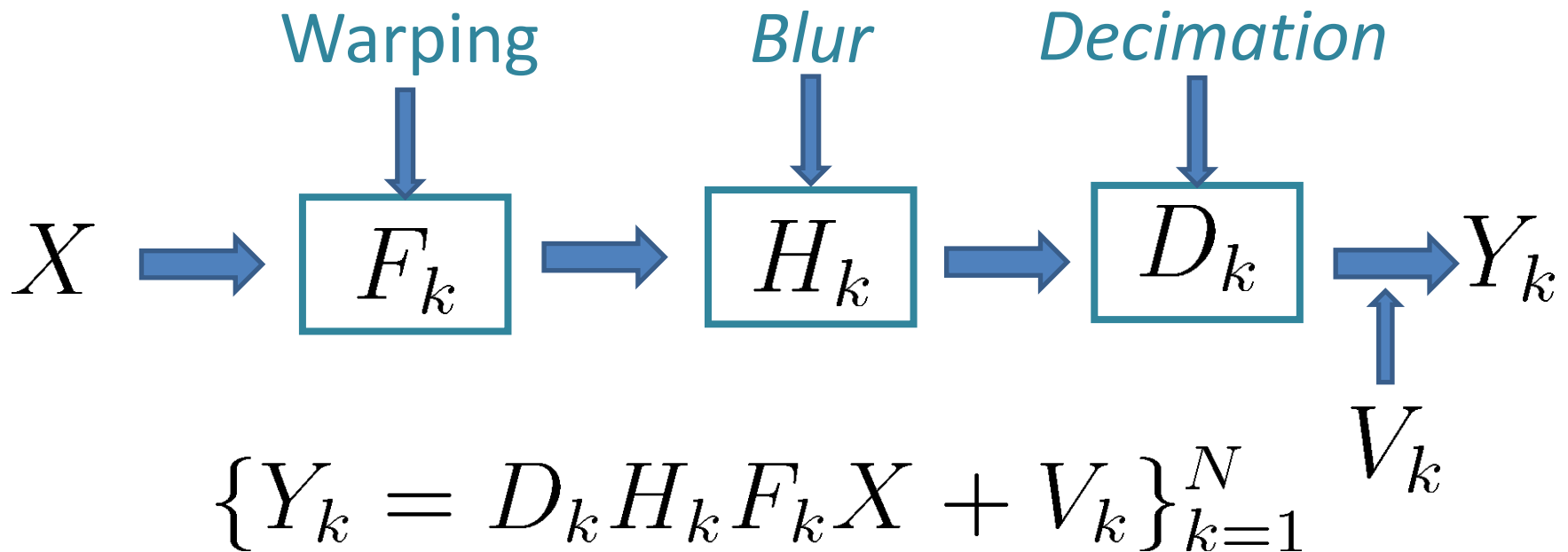
Reconstruct a high-resolution image



# Applications

- Medical Imaging
- Satellite Imaging
- Pattern Recognition
- etc.

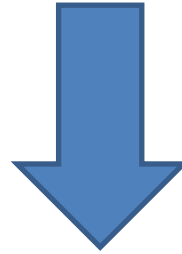
# Model for Super-Resolution Problem



*Note:* in super-resolution problem, these are assumed to be known!

# As a linear Inverse Problem

$$\{Y_k = D_k H_k F_k X + V_k\}_{k=1}^N$$



$$Y = \begin{bmatrix} Y_1 \\ Y_2 \\ \vdots \\ Y_N \end{bmatrix} = \begin{bmatrix} D_1 H_1 F_1 \\ D_2 H_2 F_2 \\ \vdots \\ D_N H_N F_N \end{bmatrix} X + \begin{bmatrix} V_1 \\ V_2 \\ \vdots \\ V_N \end{bmatrix} = HX + V$$

# Classical Methods for Super-Resolution

- Frequency Domain Approach
- The Maximum-likelihood Method
- Projection onto Convex Sets Approach
- Bayesian Super-resolution Reconstruction
- Adaptive Filtering Approach
- etc.

# Single Image Super-Resolution

*Model:  $z_l = DHy_h + v$*



Algorithm



*Given the low-resolution image  $z_l$ , and the degradation process  $D, H$ , and the statistical property of  $v$ .*

*We use **patch-wise sparse representation** for recovering the high-resolution image  $y_h$*



# The Basic Idea

- We first interpolate the low-resolution image
- For the interpolated image, each patch has resolution enhancement process
- Average the image patched to get the final image

*Question:* How do we perform the enhancement for each patch? How to exploit the sparseness of each patch w.r.t a dictionary?

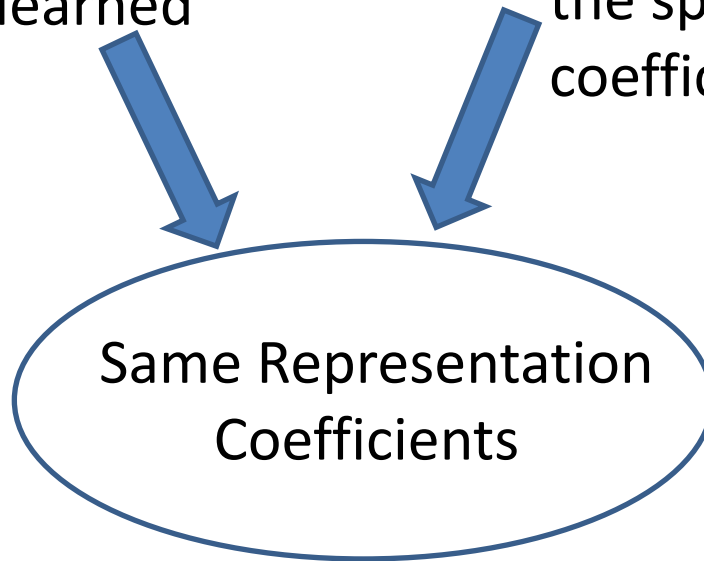
# The Basic Idea

For each patch



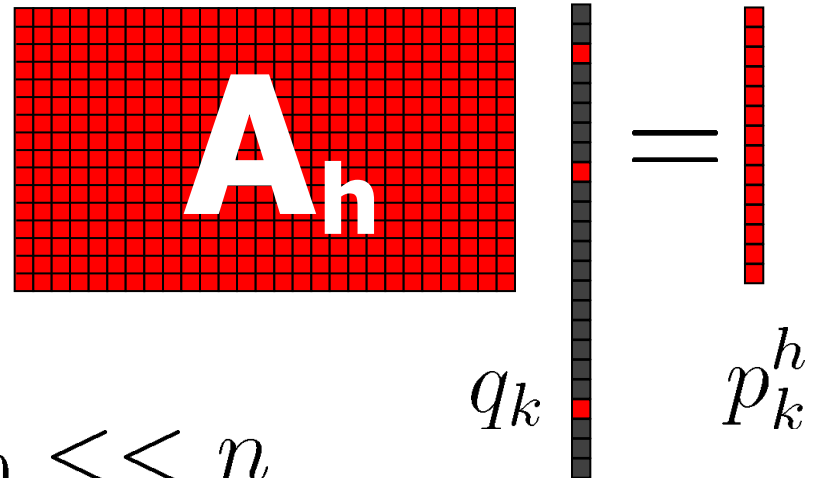
Do the sparse representation of the patches of low-resolution image under the learned dictionary  $A_l$

Reconstruct the corresponding high-resolution patch by the sparse representation coefficients and dictionary  $A_h$



# Sparse Representation

$p_k^h$  One arbitrary patch of high-resolution image



$$p_k^h = A_h q_k \quad \text{and} \quad \|q_k\|_0 \ll n$$

$$\|A_l q_k - p_k^l\|_2 \leq \epsilon$$

# Training: how to get two dictionaries?

*Solution:* by training

*Steps:*

1. We get a set of matching-patch pairs (training image)
2. Pre-process to get  $p_k^h$  and  $p_k^l$
3. We can consider these are training samples
4. We construct the dictionaries based on training samples

# Training: how to get $A_l$

- The training phase starts by collecting several images  $\{y_h^j\}_j$
- Each of these images is blurred and down-scaled by a factor  $s$  to get  $\{z_l^j\}_j$
- scaled  $\{z_l^j\}_j$  up back to the original size, get  $\{y_l^j\}_j$
- The process above can be formulated

$$y_l^j = L y_h^j + v^j$$

# Training: steps to get $A_l$

- Given  $\{y_l^j\}_j$ , we do filtering by K-high-pass filters in order to extract the feature in  $\{y_l^j\}_j$
- From the result  $f_k * y_l^j$ , for one patch position, we have k patches, then concentrate together
- Use PCA to reduce size to get  $p_k^l$
- By K-SVD/other dictionary learning method, we can get  $A_l$  provided  $p_k^l$

# Training: steps to get $A_h$

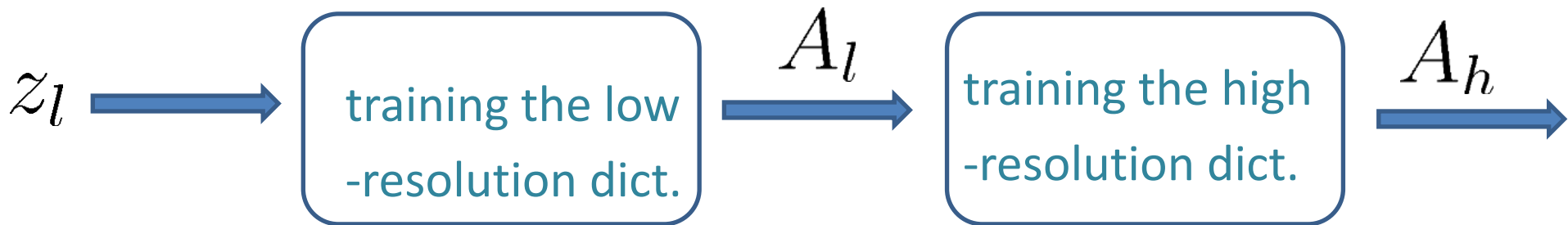
- For every  $j$ , compute  $e_h^j = y_h^j - y_l^j$ , since  $e_h^j$  contains edges and textures
- Extract patches from  $e_h^j$ , form  $p_k^h$
- $A_h$  can be obtained by following

$$A_h = \arg \min_{A_h} \sum_k ||p_k^h - A_h q_k||_2^2$$

- $A_h$  has the closed form below

$$A_h = P_h Q^+ (Q Q^T)^{-1}$$

# Block Diagram for the Training



The trained low-resolution and high-resolution dictionaries are then used for high-resolution recovery of the low-resolution test images.



# Testing steps for super-resolution

- Assume the test image  $z_l$  generated from a high resolution image  $y_h$
- $y_h$  undergoing the same blur and decimation process as used in the training process
- Scale  $z_l$  to the same size of  $y_h$ , denoted by  $y_l$
- Extract the feature by K high-pass filter:  $f_k * y_l$
- As the same procedure to produce  $\{p_k^l\}_k$

# Sparse Coding and Reconstruction

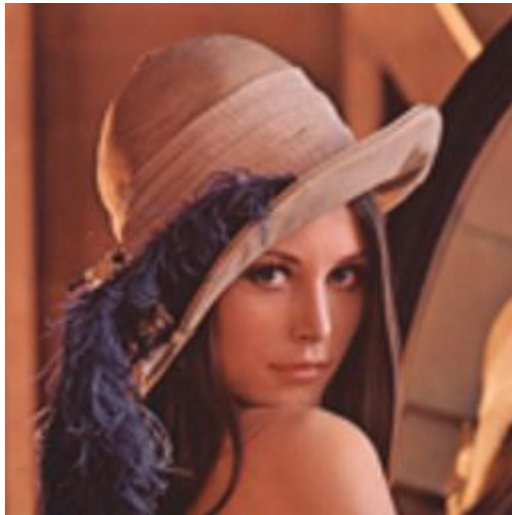
- With  $\{p_k^l\}_k$ , use OMP to find the sparse representation coefficients  $\{q_k\}_k$ , namely,

$$p_k^l = A_l q_k$$

- By  $\{q_k\}_k$ , compute the high-resolution image patch  $\hat{p}_k^h = A_h q_k$  corresponding to  $p_k^l$
- Emerge  $\{p_k^l\}_k$  together in their locations, and average the overlapping regions
- Output:  $\hat{y}_h$

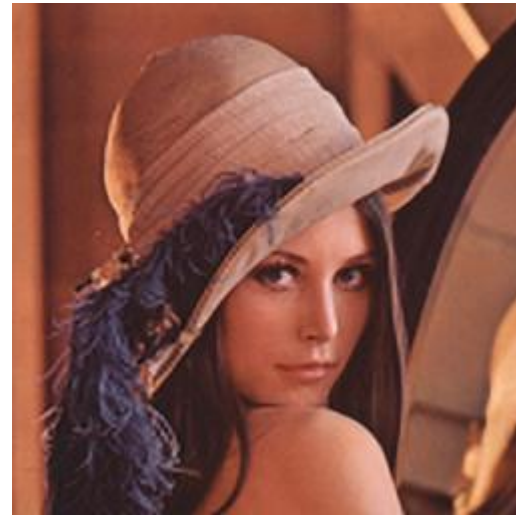
# Experimental Results

Bicubic Interpolation



RMSE=5.8453

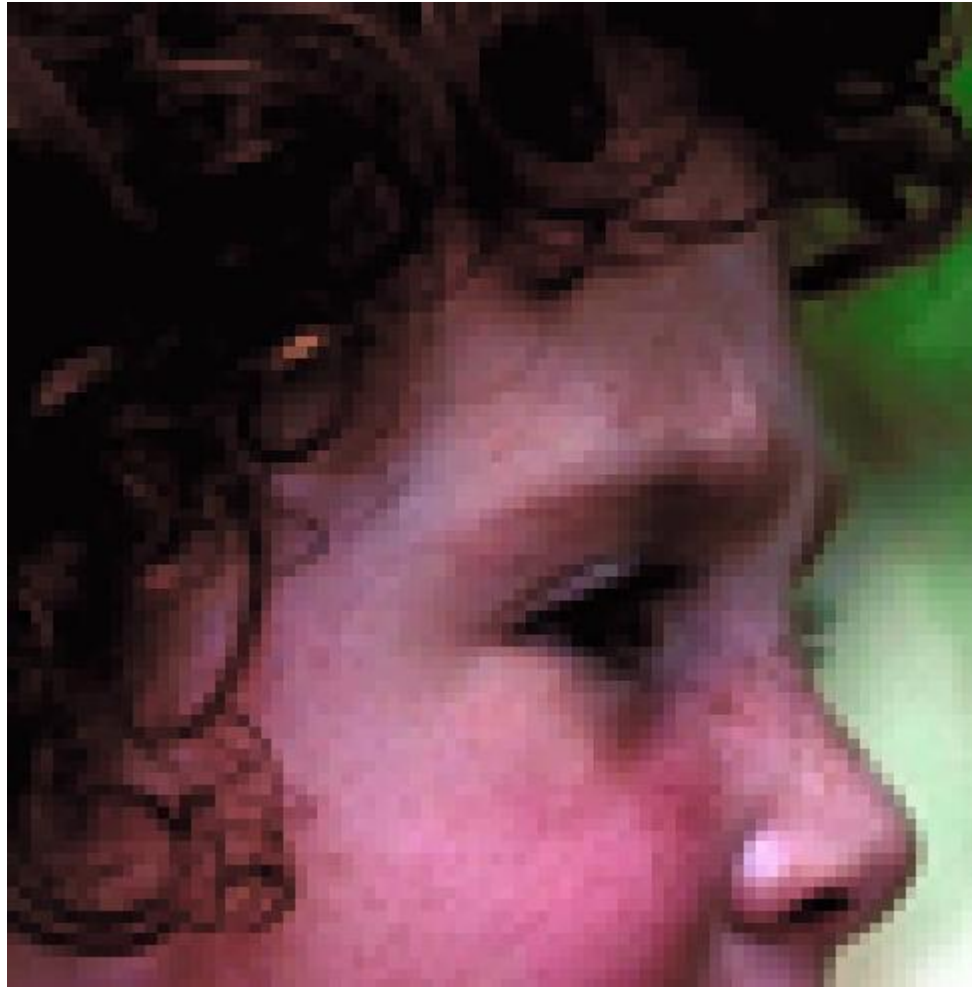
Sparse Recovery



RMSE=4.5154

# Experimental Results

Input image



# Experimental Results

Bicubic interpolation



# Experimental Results

Our method



# Text Super-Resolution

## *Training data*

This book is about *convex optimization*, a special class of mathematical optimization problems, which includes least-squares and linear programming problems. It is well known that least-squares and linear programming problems have a fairly complete theory, arise in a variety of applications, and can be solved numerically very efficiently. The basic point of this book is that the same can be said for the larger class of convex optimization problems.

While the mathematics of convex optimization has been studied for about a century, several related recent developments have stimulated new interest in the topic. The first is the recognition that interior-point methods, developed in the 1980s to solve linear programming problems, can be used to solve convex optimization problems as well. These new methods allow us to solve certain new classes of convex optimization problems, such as semidefinite programs and second-order cone programs, almost as easily as linear programs.

The second development is the discovery that convex optimization problems (beyond least-squares and linear programs) are more prevalent in practice than was previously thought. Since 1990 many applications have been discovered in areas such as automatic control systems, estimation and signal processing, communications and networks, electronic circuit design, data analysis and modeling, statistics, and finance. Convex optimization has also found wide application in combinatorial optimization and global optimization, where it is used to find bounds on the optimal value, as well as approximate solutions. We believe that many other applications of convex optimization are still waiting to be discovered.

There are great advantages to recognizing or formulating a problem as a convex optimization problem. The most basic advantage is that the problem can then be solved, very reliably and efficiently, using interior-point methods or other special methods for convex optimization. These solution methods are reliable enough to be embedded in a computer-aided design or analysis tool, or even a real-time reactive or automatic control system. There are also theoretical or conceptual advantages of formulating a problem as a convex optimization problem. The associated dual

An amazing variety of practical problems (design, analysis, and operation) can be formulated as an optimization problem, or some variation such as a minimization problem. Indeed, mathematical optimization has been used in many fields. It is widely used in engineering, in electrical systems, and optimal design problems and aerospace engineering. Optimization is used in design and operation, finance, supply chain management, and other areas. The list of applications is still growing.

For most of these applications, mathematics is used by a human decision maker, system designer, or engineer. The process, checks the results, and modifies the design when necessary. This human decision maker may be the optimization problem, e.g., buying a portfolio.

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# Conclusion

- Image super-resolution is an important issue
- We assume the degradation is known
- Sparse representation seems a good prior for super-resolution problem
- Performance verifies this point
- Other efficient algorithms are desired!



# References

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