

Twitter Sentiment Analysis Using Bidirectional Long Short-Term Memory (BiLSTM) and Convolutional Neural Networks (CNN) – A Critical Evaluation

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ABSTRACT Sentiment analysis is a vastly growing natural language processing (NLP) technique and is widely utilized by numerous sectors in order to assess the sentiment value of their brands, products, or services. This paper provides a critical evaluation of two neural computing models for sentiment analysis on a large-scale twitter dataset. In this paper, we provide an overview of the two deep learning approaches for sentiment analysis tasks, lay out the comparative challenges and provide some critical evaluation. The models considered in this paper are Bidirectional Long Short-Term Memory (BiLSTM) and Convolutional Neural Networks (CNN), supplemented by pretrained GloVe word embeddings and hyperparameter tuning to offer further gains in performance. The experimental results show that the proposed sentiment analysis with BiLSTM method is more effective with higher precision, recall, and F1-score.

INDEX TERMS Sentiment Analysis, Neural Networks, Bidirectional Long Short-Term Memory, Convolutional Neural Networks, Natural Language Processing

I. INTRODUCTION

With the rapid technological development over the last decade, Internet has become the universal source of information for millions of people. Nowadays, more and more people turn to social media where they can freely express their opinions without censorship or restraint. For the first time in history, we have access to a large amount of opinionated data recorded in digital form, ready to be analyzed for sentiment and emotional tendencies through user generated text. Since 2000, sentiment analysis (also known as opinion mining) has grown to be one of the most active research areas in natural language processing (NLP) which is the computational study of people's sentiments, emotions and attitudes towards products or events [1].

Over the years, a lot of studies exploited simple machine learning methodologies to solve sentiment analysis tasks from different perspectives. However, in recent years, deep learning approaches emerged as a powerful substitute which is capable at discovering intricate semantic representations of texts without much

feature engineering [1]. Even though in late 1900s research community discounted neural network capabilities due to it being computationally expensive and complex for that time, in the past 10 years deep learning has resurfaced as a dominant machine learning technique in many application domains, more recently in NLP [3][4]. Recent studies have produced state-of-the-art results through deep neural networks which are capable of learning from multiple layers of features from user generated texts.

This study will employ a large-scale open-source twitter dataset to computationally identify sentiment polarity (positive or negative) of the comment corpus towards a non-particular topic.

In this paper, a sentiment analysis method of twitter comments based on BiLSTM, and CNN deep learning models are proposed. Firstly, the research backgrounds of both neural network models are expounded. Later, the details of the proposed word representation method are outlined. Ensuing, the experiments are carried out using both neural network models and the experimental results

are analyzed and discussed. Finally, the proposed methods are summarized and compared, and critical evaluations are being provided.

II. BIDIRECTIONAL LONG SHORT-TERM MEMORY (BiLSTM) NEURAL NETWORKS

LSTM network is a type of RNN (Recurrent Neural Network) capable of learning long-term dependencies where it can capture contextual information by maintaining a state of all previous inputs [5]. For instance, as one reads this paper, one understands each word based on their understanding of the previous words, as the learning process is sequential. Traditional neural networks can't do this, while LSTMs have loops allowing information to persist, and can learn how and when to forget.

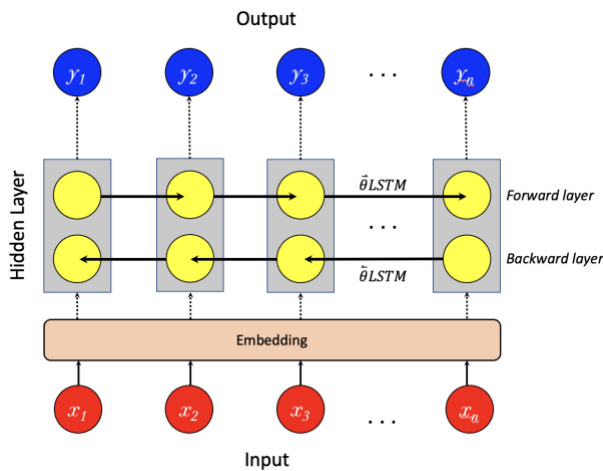


FIGURE 1. Graphical representation of BiLSTM networks.

A Bidirectional LSTMs on the other hand is a sequence processing model composed of forward and backward LSTMs (Figure 1). We can generalize BiLSTM as an improved version of LSTM where it can encode information from front to back and back to front and is generally believed to be faster than one-directional approach as it can see future words. BiLSTM can effectively increase the amount of context available to the algorithm by using available input information in the past and future of specific time frame [6].

BiLSTM is superior to vanilla RNN as it doesn't have the same limitation where both input and output has the same size. One of the disadvantages of BiLSTM however is that since it has double LSTM cells it can be costly to train. Additionally, some research studies have shown that it is not a good fit for speech recognition.

III. CONVOLUTIONAL NEURAL NETWORKS (CNN)

CNNs are designed to process structured arrays of data which are generally used for image classification; however, it has subsequently shown to be effective in NLP for text classification problems. One of the earliest

applications of CNN in NLP was introduced by Kim Y. (2014) where he demonstrated how CNNs can be used as feature extractors that encode semantic features of a sentence before feeding them to a classifier [7]. Many since then, have achieved excellent results on sentiment classification problems using only simple one layer CNN trained on top of the pretrained word vectors and very little hyperparameter tuning.

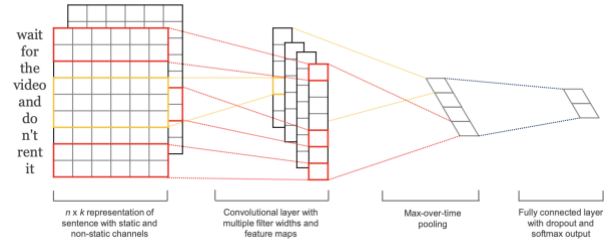


FIGURE 2. Graphical representation of CNN networks [7].

CNN uses multiple convolutional filters which is applied to a kernel of n words to produce multiple features. By varying the size of the kernels CNN can automatically detect patterns of multiple sizes (i.e., 2, 3 or 5 adjacent words) without the need of representing the whole vocabulary. After applying each possible window of words, a feature map is generated. The most important features are later extracted from feature maps using max-pooling operation taking the max. value as the feature corresponding to each filter (Figure 2). Use of max-pooling layer after a convolutional layer reduces the output's dimensionality by preserving only the most significant features in the entire sentence.

CNNs offer significant speed advantage in training times over the traditional neural networks, however more recently they have been swept out by visual transformers that offer higher speeds for training. One other disadvantage for CNNs is that unlike LSTMs they don't have a sense of memory state, therefore can be rather limited for sequential modelling. Additionally, CNNs' have difficulty in modelling long word relationships, however it has shown great accuracies in literature where the input dataset contained short sentences.

III. HYPOTHESIS STATEMENT

Due to the ability of BiLSTMs to capture long-term dependencies we believe this model will outperform CNN's predictions. Additionally, since CNNs lack the recurrence of RNNs, they will be unable to keep track of the location of words within a sentence, possibly resulting in knowledge loss. Furthermore, as CNNs don't offer memory states it can offer additional limitations in our sentiment analysis. But since we will be using short sentences as inputs, we expect CNN to have similar accuracy scores to BiLSTM.

Embeddings learned from datasets with large volume of rare words would fail at arriving at the right representation of the words. To achieve right representations, the dataset must contain a rich vocabulary. Hence word-to-index vocabulary was generated after excluding rare words (all the words that occurred less than 3 times), bringing the total number of words in our vocabulary to 6629.

Pretrained GloVe embeddings were utilized in both neural network models in order to better capture the semantic and syntactic meanings of the words since the embeddings were initially trained on millions of words. Unlike Google's Word2Vec, Stanford's GloVe pre-trained word vectors contain co-occurrence matrices that tells us how often particular word pair occurs together [14]. Even though there was no sparsity of training data, the experimental results on pretrained word embeddings showed much better results compared to model without pretrained vectors. We have also managed to reduce the large number of trainable parameters, by keeping the word vectors static and learn only the other parameters of the model. For instance, while the number of trainable parameters for BiLSTM without pretrained word embeddings returned 1,325,800, with Stanford's GloVe embeddings we reduce that number to 51,200. Number of trainable parameters increase when learning embeddings from scratch, subsequently leading to slower training process. Additionally, we will see when comparing the models with and without pretrained embeddings, that there is a clear performance boost in loss, accuracy and f1 scores.

The GloVe word embedding used in the study is from glove.twitter.27B.200d.txt (dimensionality of word vectors is 200) file which contains the word and embedding vector pair.

IV CHOICE OF PARAMETERS

A. LOSS FUNCTION

Neural networks use optimization process which requires loss function in order to calculate the model error. Binary Cross Entropy (BCE) Loss which is a commonly used cost function for binary classification problem won't work in our sentiment classification problem since it expects the model output and the target to have the same shape. Based on our provided shapes, our target has the shape of batch size and contains class indices for each sample, which is why we are dealing with a multi-class classification use case instead, where Cross Entropy Loss was employed.

A. OPTIMIZER

The choice of optimizer for this problem was AdamW (Adam with decoupled weight decay), for several reasons: (1) AdamW tends to oscillate less than

conventional Stochastic Gradient Descent (SGD), (2) AdamW gives better generalizing models in comparison to Adam where L2 regularization is not equivalent to weight decay, (3) AdamW enables you to use larger learning rates per iteration, (4) AdamW converges much faster, and can overcome getting stuck in saddle points.

A. DROPOUT PROBABILITY

Dropout is a regularization method where the key idea is to probabilistically drop out nodes along with their connections from the neural network during training. This improves the generalization of the networks while also reducing overfitting by preventing units from co-adapting too much [10]. As in any other regularization methods dropout is more effective where there is limited amount of training data. For very large datasets, however, regularization confers little reduction in generalization error as the models are less likely to overfit and may see less benefit from using the dropout rate hyperparameter [11]. During the initial fine-tuning with drop-out rates, it was confirmed that dropout rates showed very little change in validation results. Hence, dropout was set to a fixed value of 0.5 and no further hyperparameter tuning was performed on this parameter during grid-search stage.

A. WEIGHT DECAY

Weight decay is another regularization method where it applies linear penalty whereas dropout can cause penalty to grow exponentially [12]. Both generalization techniques are not mutually exclusive, therefore combining dropout and weight decay has become the industry standard when it comes to deep neural network models. The optimal weight decay depends on the total number of batch passes/weight updates [13]. The value for weight decay was calculated using the below equation:

$$\lambda = \lambda_{norm} \sqrt{\frac{b}{BT}}$$

where,

b – batch size,

B – Total number of training samples

T – Total number of epochs.

In the paper by Loshchilov et al (2017) authors found optimal value ranges for λ_{norm} to be between 0.025-0.05. Taking this range for λ_{norm} as a baseline we performed a grid search on both models using hyperparameters values [0.025, 0.04, 0.05].

A. LEARNING RATE SCHEDULER

As discussed in the original paper by Loshchilov et al (2017), the fact that we are using adaptive gradient algorithm

(AdamW) which adapts the learning rate for each parameter, using a global learning rate multiplier can substantially improve the model performance [13]. In this study we decay learning rate of each parameter group by gamma of 0.1 every epoch.

IV EXPERIMENTAL RESULTS AND ANALYSIS

A. BiLSTM RESULTS

BiLSTM layer was built using embedding layer which acts as a lookup table, with words as keys, and vectors as values, followed by a dense linear layer. Dropout layer was applied to help regularize and prevent possible overfitting. leaky_relu() activation function (similar to relu(), only values are between 0.001 and any other number) was applied to the hidden layer after left and right context were concatenated. Hyper-parameter tuning was performed on three sets of hyperparameters (learning rate, lambda norm and hidden size with initial values of 0.01, 0.025 and 64 respectively).

```
BiLSTM(
  (embedding): Embedding(6629, 200)
  (lstm): LSTM(200, 64, num_layers=2, batch_first=True, dropout=0.5, bidirectional=True)
  (Linear): Linear(in_features=128, out_features=64, bias=True)
  (Dropout): Dropout(p=0.5, inplace=False)
  (output): Linear(in_features=64, out_features=2, bias=True)
)
```

Random	Static		Non-static		
Modules	Parameters	Modules	Parameters	Modules	Parameters
embedding.weight	1325000	lstm.weight_in_10	51200	embedding.weight	1326000
lstm.weight_in_10	51200	lstm.weight_hh_10	16384	lstm.weight_in_10	51200
lstm.bias_in_10	16384	lstm.bias_hh_10	256	lstm.bias_in_10	16384
lstm.bias_hh_10	256	lstm.weight_in_10_reverse	51200	lstm.bias_hh_10	256
lstm.weight_in_10_reverse	51200	lstm.weight_hh_10_reverse	16384	lstm.weight_in_10_reverse	51200
lstm.weight_hh_10_reverse	16384	lstm.bias_in_10_reverse	256	lstm.weight_hh_10_reverse	16384
lstm.bias_in_10_reverse	256	lstm.bias_hh_10_reverse	256	lstm.bias_in_10_reverse	256
lstm.bias_hh_10_reverse	256	lstm.weight_in_11	32768	lstm.bias_hh_10_reverse	256
lstm.weight_in_11	32768	lstm.weight_hh_11	16384	lstm.weight_in_11	32768
lstm.bias_in_11	16384	lstm.bias_hh_11	256	lstm.bias_in_11	16384
lstm.bias_hh_11	256	lstm.weight_in_11_reverse	32768	lstm.bias_hh_11	256
lstm.weight_in_11_reverse	32768	lstm.weight_hh_11_reverse	16384	lstm.weight_in_11_reverse	32768
lstm.weight_hh_11_reverse	16384	lstm.bias_in_11_reverse	256	lstm.weight_hh_11_reverse	16384
lstm.bias_in_11_reverse	256	lstm.bias_hh_11_reverse	256	lstm.bias_in_11_reverse	256
lstm.bias_hh_11_reverse	256	Linear.weight	8192	lstm.bias_hh_11_reverse	256
Linear.weight	8192	Linear.bias	64	Linear.weight	8192
Linear.bias	64	output.weight	128	Linear.bias	64
output.weight	128	output.bias	2	output.weight	128
output.bias	2	output.bias	2	output.bias	2
Total trainable parameters: 1569706		Total trainable parameters: 243906		Total trainable parameters: 1569906	

FIGURE 5. BiLSTM model structure with total trainable parameters per each user case.

BiLSTM model was tested on three use cases: (1) random – where the embedding layer was randomly initialized and then updated during training, (2) static – where the GloVe pre-trained word embeddings were kept static (3) non-static – where additional backpropagation was performed on GloVe pre-trained word embeddings. The training and validation results were compared for comparison and model selection before any optimization. As seen in Figure 5, static model had the least number of trainable parameters compared to two other user cases.

Figure 6 shows the model performance comparison for training and validation for all three models before any hyperparameter optimization. As seen in the figure, static and non-static models show very similar performance in terms of loss, accuracy and f1-score, compared to random case, where we observe even though both validation and training decrease to a point of stability there is a huge gap between the curves on all three metrics. This may indicate either our network structure being too small for the complexity of the dataset, or

we don't have sufficient amount of training data for our model to learn on.

TABLE 2
BiLSTM MODEL PERFORMANCE COMPARISON

BiLSTM Model	CPU time	Best Epoch	
		Training	Validation
Random	43s	Loss: 0.44 Acc: 79.7 F1- score:79.4	Loss: 0.6035 Acc: 0.72.1 F1- score: 71.7
Static	40s	Loss: 0.4985 Acc: 75.7 F1- score:75.3	Loss: 0.5225 Acc: 73.6 F1- score:73.2
Non-static	41s	Loss: 0.496 Acc: 75.7 F1- score: 75.3	Loss: 0.5249 Acc: 73.7 F1- score:73.3

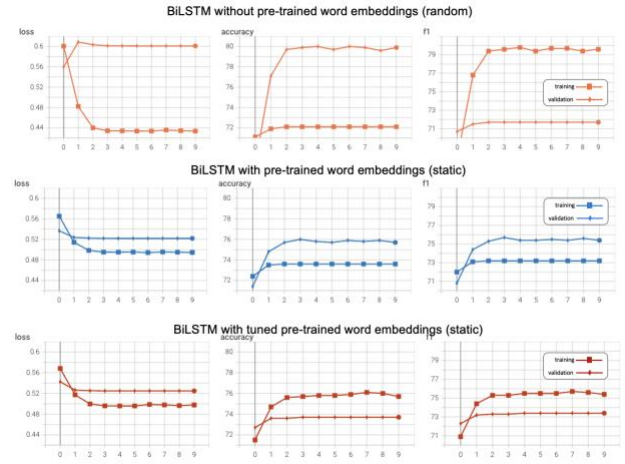


FIGURE 6. BiLSTM model training performance comparison for random, static and non-static user cases.

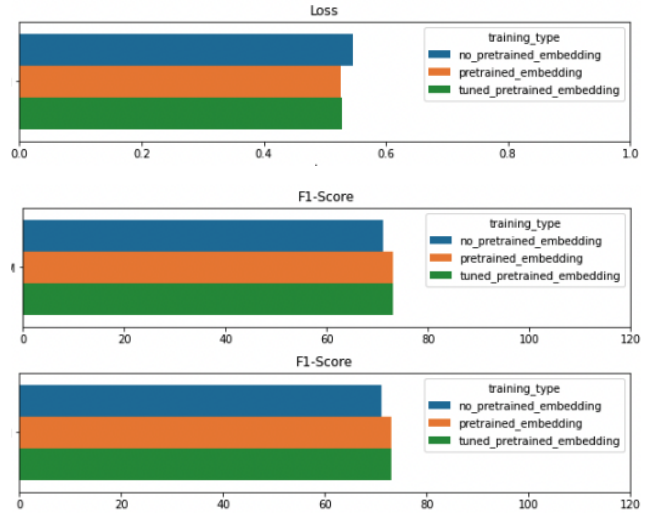


FIGURE 7. BiLSTM model test performance comparison for random, static and non-static user cases.

Table 2 shows the general overview of the training performance comparison of each model where all three models shows similar CPU times. Figure 7 shows the histogram of loss, accuracy and F1-score results for the test


```
filter_sizes = [[2, 3, 5, 7], [2, 3, 5]]
lambda_norm ( $\lambda_{norm}$ ) = [0.025, 0.04, 0.05]
```

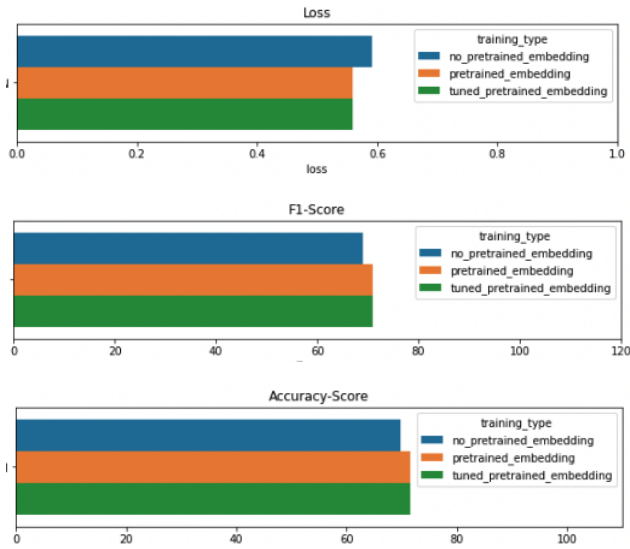


FIGURE 10. CNN model test performance comparison for random, static and non-static user cases.

IV CRITICAL EVALUATION

The hyperparameter tuning was performed using custom grid-search with early stopping modification (stop training after 5 epochs if no improvement seen since last best epoch). Proceeding with the tuned hyperparameters, the new models were trained and tested. Figure 11, shows the confusion matrix for test predictions for CNN (blue) and BiLSTM (red). As seen from the confusion matrix, BiLSTM gives better predictions for both sentiments, proving our initial hypothesis.

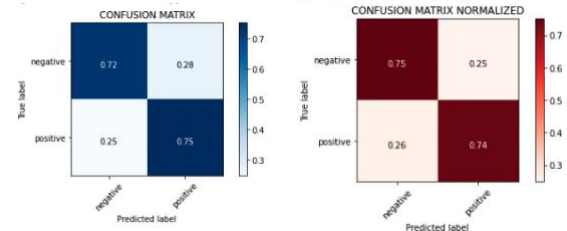


FIGURE 11. Confusion matrix for test predictions for CNN (blue) and BiLSTM (red).

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APPENDIX A. GLOSSARY

Accuracy - The fraction of predictions that a classification model got right. In a binary classification accuracy has the following definition:

$$\text{Accuracy} = \frac{\text{True Positives} + \text{True Negatives}}{\text{Total Number Of Examples}}$$

Binary classification - A type of classification task that outputs one of two mutually exclusive classes.

Class - One of a set of enumerated target values for a label.

Classification Model - A type of machine learning model for distinguishing among two or more discrete classes.

Confusion Matrix - An (N x N) table that summarizes how successful a classification model's predictions were; that is, the correlation between the label and the model's classification. One axis of a confusion matrix is the label that the model predicted, and the other axis is the actual label. N represents the number of classes.

Dataset - A collection of examples

Hyperparameter - The "knobs" that you tweak during successive runs of training a model.

Label - In supervised learning, the "answer" or "result" portion of an example. Each example in a labelled dataset consists of one or more features and a label.

Loss - A measure of how far a model's predictions is from its label. Or, to phrase it more pessimistically, a measure of how bad the model is.

Loss curve - A graph of loss as a function of training iterations.

Machine Learning - A program or system that builds (trains) a predictive model from input data. The system uses the learned model to make useful predictions from new (never-before-seen) data drawn from the same distribution as the one used to train the model. Machine learning also refers to the field of study concerned with these programs or systems.

Model - The representation of what a machine learning system has learned from the training data

Overfitting - Creating a model that matches the training data so closely that the model fails to make correct predictions on new data.

Prediction - A model's output when provided with an input example.

Long Short-Term Memory (LSTM) - A type of cell in a recurrent neural network used to process sequences of data.

Test set - The subset of the dataset that you use to test your model after the model has gone through initial vetting by the validation set.

Training - The process of determining the ideal parameters comprising a model.

Training set - The subset of the dataset used to train a model.

Pytorch - Open source machine learning framework for deep learning.

Tensor - n -dimensional array used for numeric computations. In PyTorch the data is passed to the artificial neural networks, and returned by them, as tensors.

Validation - A process used, as part of training to evaluate the quality of a machine learning model using the validation

set. Because the validation set is disjoint from the training set, validation helps ensure that the model's performance generalizes beyond the training set

GridSearch - Technique to search over specified parameter values for a model.

Convolutional Neural Network (CNN) - A neural network in which at least one layer is a convolutional layer.

**Definitions taken from Google Developer's Machine Learning Glossary <https://developers.google.com/machine-learning/glossary>*

Access to google drive with all the codes and outputs: https://drive.google.com/drive/folders/115kbVayw81EVSTGXUXhwo2UFw7w_88U7?usp=sharing

APPENDIX B. IMPLEMENTATION NOTES

The working directory of codes were run on Google Drive using Python 3.7.13. All the additional dependencies were pre-installed using pip function. The test notebooks require to be run fully. Note that since glove embedding file was too large it can be uploaded to Turnitin. Therefore, installation of glove embedding file (glove.twitter.27B.200d.txt) is required which will take some time. Click Run All on the test notebooks (to train and test both models). Training takes less than 2 minutes.

Intermediate Results

- (1) Initially we ran all the models with the minimal pre-processing to the dataset (general text pre-processing with punctuation, stop word and contraction removal, cleaning of html and username tags, lemmatization). The predictions were low, and the models failed to generalize well. Further pre-processing was carried out, i.e. hashtag, long-words, chat-words and emoticon pre-processing, which increased our vocabulary size as well as provide a cleaner and more uniform distribution of tokens.
- (2) At first custom L2 regularization was applied to both networks, which was then switched to AdamW optimiser with weigh-decay, which added additional parameter for tuning, as well as provide better accuracies.
- (3) Learning rate scheduler was applied next, as recommended by the literature for networks with adaptive gradient algorithms, which further increased the performance of our models.
- (4) We also experimented with BiLSTM with last layer's hidden states concatenated, which didn't provide any additional improvements to the model performance. Therefore, we resorted to using the initial model.
- (5) For CNN we experimented with Conv1d and Conv2d. Even though the literature suggested Conv1d layers generally performing better in NLP classification problems, Conv2d proved to be performing better on our dataset.