

Previously, on Homological Algebra:

Derived functors of  $F$ :  $L_i F / R^i F / R_i F / L^i F$

(Co) homology of  $F$  (injective/projective resolution of  $-$ )

using ↓ derived functors

Gives ses  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , we get a LES

the left/right derived functors of  $F$  are the only functors satisfying certain properties:

Theorem  $F, T_i: \mathcal{A} \rightarrow \mathcal{B}$  left covariant functor between abelian categories

$T_i: \mathcal{A} \rightarrow \mathcal{B}$  covariant functors satisfying:

- ①  $T_0 \cong F$  (naturally isomorphic)
- ② Given a ses  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$ , there is a LES  $0 \rightarrow T_0(A) \rightarrow T_0(B) \rightarrow T_0(C) \rightarrow T_1(A) \rightarrow T_1(B) \rightarrow \dots$
- ③  $T_i(E) = 0$  for all injectives  $E$   
then  $T_i \cong L_i F$  for all  $i$  (naturally isomorphic)

Main Examples Ext and Tor

$$\text{Tor}_i^R(M, N) := H_i \left( \underbrace{\left( \mathbb{Z} \xrightarrow{\sim} M \right)}_{\text{projective resolution}} \otimes_R N \right) = H_i \left( M \otimes_R \underbrace{\left( \mathbb{Z} \xrightarrow{\sim} N \right)}_{\text{projective resolution}} \right)$$

$$\text{Ext}_R^i(M, N) := H^i \left( \text{Hom}_R \left( M, \underbrace{N \xrightarrow{\sim} E}_{\text{injective resolution}} \right) \right) = H^i \left( \text{Hom}_R \left( \mathbb{Z} \xrightarrow{\sim} \underbrace{M \otimes_R N}_{\text{projective resolution}} \right) \right)$$

last time  $n \in \mathbb{Z}$ ,  $M$  any abelian group

$$\mathrm{Tor}_i^{\mathbb{Z}}(\mathbb{Z}/n, M) = \begin{cases} M/nM & i=0 \\ (0 :_M n) & i=1 \\ 0 & i \geq 2 \end{cases}$$

$$\mathrm{Ext}_{\mathbb{Z}}^i(\mathbb{Z}/n, M) = \begin{cases} (0 :_M n) & i=0 \\ M/nM & i=1 \\ 0 & i \geq 2 \end{cases}$$

We can also find these from a LES:

$$0 \rightarrow \mathbb{Z} \xrightarrow{n} \mathbb{Z} \rightarrow \mathbb{Z}/n \rightarrow 0 \quad \text{ses}$$

$\Downarrow \mathrm{Hom}_R(-, M)$

LES:

$$0 \rightarrow \mathrm{Hom}_{\mathbb{Z}}(\mathbb{Z}/n, M) \rightarrow \mathrm{Hom}_{\mathbb{Z}}(\mathbb{Z}, M) \xrightarrow{n^*} \mathrm{Hom}_{\mathbb{Z}}(\mathbb{Z}, M) \rightarrow \mathrm{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n, M) \rightarrow 0$$

$\Downarrow$

$$\mathbb{Z} \xrightarrow{n} \mathbb{Z}$$

$\mathrm{Ext}_{\mathbb{Z}}^1(\mathbb{Z}, M)$   
( $\mathbb{Z}$  projective)

$$\therefore \mathrm{Ext}_{\mathbb{Z}}^1(\mathbb{Z}/n, M) = \mathrm{coker}(\mathbb{Z} \xrightarrow{n} \mathbb{Z}) = M/nM.$$

Easy facts:

- $R$  Noetherian,  $M, N$  fg  $\Rightarrow \mathrm{Ext}_R^i(M, N), \mathrm{Tor}_i^R(M, N)$  fg
- $\mathrm{ann}_R(M), \mathrm{ann}_R(N)$  kill  $\mathrm{Ext}_R^i(M, N), \mathrm{Tor}_R^i(M, N)$

$R$  ring,  $M$   $R$ -module

the projective dimension of  $M$  is

$$\text{pd}_{\text{R}}(M) := \inf \left\{ c \mid \begin{array}{c} 0 \rightarrow P_c \rightarrow \dots \rightarrow P_0 \rightarrow 0 \\ \text{is a projective resolution of } M \end{array} \right\}$$

the injective dimension of  $M$  is

$$\text{inj}_{\text{R}}(M) := \inf \left\{ c \mid \begin{array}{c} 0 \rightarrow E_0 \rightarrow \dots \rightarrow E_c \rightarrow 0 \\ \text{is an injective resolution of } M \end{array} \right\}$$

In the graded/local setting,

$\text{projdim}(M) :=$  length of a minimal free resolution

Note  $\text{pd}_{\text{R}}(M) = 0 \iff M$  projective

$\text{inj}_{\text{R}}(M) = 0 \iff M$  injective

Projective/injective dimension can be infinite

Example  $R = k[x]/(x^2)$ ,  $M = R/(x) = k = R/\mathfrak{m}$   
(local ring)

$$\dots \rightarrow R \xrightarrow{x} R \xrightarrow{x} R \xrightarrow{x} R \rightarrow k \rightarrow 0$$

$$\text{pd}_{\text{R}}(k) = \infty$$

Remark If  $\text{pd}_{R^e}(M) = n < \infty$ , then

$$\text{Tor}_i^R(M, -) = 0 \text{ and } \text{Ext}_R^i(M, -) = 0 \text{ for } i > n$$

$$\text{Also, } \text{injdim}_R(M) = n < \infty \Rightarrow \text{Ext}_R^i(-, M) = 0 \text{ for } i > n$$

Theorem Noetherian local ring

$$(R, \mathfrak{m}, k)$$

or

$N$ -graded  $k$ -algebra,  $\mathcal{M} = R_+$ ,  $R_0 = k$

If  $M$  is a fg (graded)  $R$ -module,

$$\beta_i(M) = \dim_k \text{Tor}_i^R(M, k) = \dim_k \text{Ext}_R^i(M, k)$$

Proof  $\exists$  minimal free resolution for  $M$

$$\cdots \rightarrow F_i \xrightarrow{\varphi_i} F_{i-1} \rightarrow \cdots \rightarrow F_0 \rightarrow 0$$

$\exists$  minimal  $\Rightarrow$  all the entries in  $\varphi_i$  are in  $\mathcal{M}$

$$F_i \otimes_R k = R^{\beta_i(M)} \otimes_R k = k^{\beta_i(M)} \quad \text{all in } \mathcal{M}!$$

so  $\varphi_i \otimes_R k = \text{take all the entries of } \varphi_i \text{ as elements of } k = 0$

$$\text{so } F \otimes_R k := \cdots \xrightarrow{\circ} k^{\beta_i(M)} \xrightarrow{\circ} \cdots \xrightarrow{\circ} k^{\beta_0(M)} \rightarrow 0$$

$$\Rightarrow \text{Tor}_i^R(M, k) = k^{\beta_i(M)} \Rightarrow \beta_i(M) = \dim_k \text{Tor}_i^R(M, k)$$

$$\text{Hom}_R(F_i, k) = \text{Hom}_R(R^{B_i(M)}, k) \cong k^{B_i(M)}$$

$\text{Hom}_R(\varphi_i, k)$  = transpose  $\varphi_i$ , see all entries in  $k = 0$

$$\text{Hom}_R(F, k) = 0 \rightarrow k^{B_0(M)} \xrightarrow{\circ} k^{B_1(M)} \xrightarrow{\circ} \dots \xrightarrow{\circ} k^{B_i(M)} \rightarrow \dots$$

$$\text{Ext}_R^i(M, k) = k^{B_i(M)} \Rightarrow \beta_i(M) = \dim_k \text{Ext}_R^i(M, k)$$

□

Corollary  $\text{pdim}_R(M) \leq \text{pdim}_k(R)$

$$\text{Exercise } \beta_{i,j}(M) = \dim_k (\text{Tor}_i^R(M, N))_j = \dim_k (\text{Ext}_R^i(M, N))_j$$

Hopes and dreams Can we an explicit minimal free resolution of  $k$  find?

Koszul complex  $R$  ring,  $M$   $R$ -module

- on  $x \in R$  is the complex

$$k(x) := 0 \rightarrow R \xrightarrow{x} R \rightarrow 0$$

- on  $\underline{x} = x_1, \dots, x_n$  is defined by

$$k(\underline{x}) = k(x_1, \dots, x_n) := k(x_1, \dots, x_{n-1}) \otimes_R k(x_n)$$

- on  $M$  with respect to  $\underline{x} = x_1, \dots, x_n$  is

$$k(\underline{x}; M) = k(x_1, \dots, x_n; M) := k(x_1, \dots, x_n) \otimes_R M$$

Ex

$$k(x,y) =$$

$$\begin{array}{ccccccc} 0 & \rightarrow & R & \xrightarrow{\cdot x} & R & \rightarrow & 0 \\ & & & \otimes & & & \\ 0 & \rightarrow & R & \xrightarrow{\cdot y} & R & \rightarrow & 0 \end{array}$$

→ double complex

$$\begin{array}{ccccc} & & R \otimes R & & \\ & & \downarrow y & & \\ R \otimes R & & & & R \otimes R \\ & & \downarrow -y & & \\ & & R \otimes R & & \\ & & \downarrow x & & \\ 0 & & 0 & & 1 \\ & & & & 1 \end{array}$$

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⇒ total complex

$$0 \rightarrow R \xrightarrow{\begin{pmatrix} -y \\ x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} x & y \end{pmatrix}} R \rightarrow 0$$

Koszul  
Complex  
on  $x, y$

Alternative Construction: using exterior algebras

$$\Lambda M = R \oplus \underbrace{M \otimes M}_{\Lambda^2 M} \oplus \underbrace{M \otimes M \otimes M}_{\Lambda^3 M} \oplus \dots / \langle x \otimes y + y \otimes x, x \otimes x \rangle$$

with product denoted  $\wedge$  is a skew commutative algebra:

$$a \wedge b = (-1)^{\deg a \deg b} b \wedge a \quad \text{for } a, b \text{ homogeneous}$$

$\Lambda^i M$  := piece of degree  $i$  ( $\underbrace{M \otimes \dots \otimes M}_{i \text{ times}}$ )

so  $R^n$  free on basis  $e_1, \dots, e_n \Rightarrow \Lambda^i R^n = R^{\binom{n}{i}}$  with basis  
 $e_{i_1} \wedge \dots \wedge e_{i_s}$   
 $1 \leq i_1 < \dots < i_s \leq n$

$$\begin{aligned} k(x_1, \dots, x_n) &:= 0 \rightarrow \wedge^n R \rightarrow \wedge^{n-1} R \rightarrow \dots \rightarrow \wedge^1 R \rightarrow R \rightarrow 0 \\ &= 0 \rightarrow R \rightarrow R^n \rightarrow \dots \rightarrow \underset{i}{R^{(n)}} \rightarrow \dots \rightarrow R^n \rightarrow R \rightarrow 0 \end{aligned}$$

with differential

$$d(e_i, \wedge \dots \wedge e_{i_s}) = \sum_{j=1}^s (-1)^{j+1} x_{i_j} e_{i_1} \wedge \dots \wedge \underset{\substack{\uparrow j \\ \text{delete}}}{\hat{e}_{i_j}} \wedge \dots \wedge e_{i_s}$$

so the matrices have entries  $\pm x_i$

and

$$k(x_1, \dots, x_n; M) := k(x_1, \dots, x_n) \otimes_R M$$

Example

$$k(x, y) = 0 \rightarrow R \xrightarrow{\begin{pmatrix} 2 \\ -y \\ x \end{pmatrix}} R^2 \xrightarrow{\begin{pmatrix} 2 \\ x \\ y \end{pmatrix}} R \rightarrow 0$$

$$\begin{aligned} d(e_1) &= x \\ d(e_2) &= y \end{aligned}$$

$$\begin{aligned} d(e_1 \wedge e_2) &= xe_2 + (-1)e_1 \\ &= xe_2 - ye_1 \end{aligned}$$

with Koszul homology of  $M$  with respect to  $\underline{x} = x_1, \dots, x_n$

$$H_i(\underline{x}; M) := H_i(k(\underline{x}; M))$$

Properties : R ring

$$\underline{x} = x_1, \dots, x_n \in R$$

$$I = (x_1, \dots, x_n)$$

M R-module

- ①  $H_i(\underline{x}; M) = 0$  for  $i < 0$  or  $i > n$
- ②  $H_0(\underline{x}; M) = M / IM$
- ③  $H_n(\underline{x}; M) = (0 :_M I) = \text{ann}_M(I)$
- ④  $H_i(\underline{x}; M)$  is killed by  $\text{ann}(M)$  for all  $i$
- ⑤  $H_i(\underline{x}; M)$  is killed by  $I$  for all  $i$
- ⑥ M Noetherian  $\Rightarrow H_i(\underline{x}; M)$  Noetherian for all  $i$
- ⑦  $H_i(\underline{x}; -)$  is a covariant additive functor
- ⑧ Every ses  $0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$  gives rise to a LES

$$\cdots \rightarrow H_{i+1}(\underline{x}; C) \rightarrow H_i(\underline{x}; A) \rightarrow H_i(\underline{x}; B) \rightarrow H_i(\underline{x}; C) \rightarrow \cdots$$

$$\cdots \rightarrow H_1(\underline{x}; C) \rightarrow H_0(\underline{x}; A) \rightarrow H_0(\underline{x}; B) \rightarrow H_0(\underline{x}; C) \rightarrow 0.$$

Sketch : ①  $R_i(\underline{x}; M) = 0$  for  $i > n$  and  $i < 0$

②  $H_0(\underline{x}; M) = H(M^n \xrightarrow{(x_1, \dots, x_n)} M \rightarrow 0) = M / IM$

$$\textcircled{3} \quad H_n(\underline{x}; M) = H\left(0 \rightarrow M \xrightarrow{\begin{pmatrix} x_1 \\ -x_2 \\ \vdots \\ \pm x_n \end{pmatrix}} M^n \rightarrow 0\right)$$

$$= \{m \in M \mid x_1 m = -x_2 m = x_3 m = \dots = \pm x_n m = 0\}$$

$$= (0 :_{M^n} I)$$

$$\textcircled{4} \quad K_i(\underline{x}; M) = M^{\binom{n}{i}} \quad \text{killed by } \text{ann}(M)$$

\textcircled{5} \quad a \in I \Rightarrow \bullet a \text{ is null homotopic}

$$a = a_1 x_1 + \dots + a_n x_n$$

Idea:  $K_i(\underline{x}; M) \xrightarrow{(a_1 e_1 + \dots + a_n e_n) \Lambda -} K_{i+1}(\underline{x}; M)$

is a null homotopy of  $K_i(\underline{x}; M) \xrightarrow{\bullet x} K_i(\underline{x}; M)$

\textcircled{6} \quad M Noetherian  $\Rightarrow M^k$  Noetherian and all its submodules and quotients

\textcircled{7} \quad M \xrightarrow{f} N \rightsquigarrow k(\underline{x}; M) \xrightarrow{k(\underline{x}) \otimes f} k(\underline{x}; N)

$\rightsquigarrow$  take homology

\textcircled{8} \quad k(\underline{x})\_i \text{ free for all } i \Rightarrow k(\underline{x}) \otimes\_R - \text{ exact}

$$\Rightarrow 0 \rightarrow k(\underline{x}) \otimes_R A \rightarrow k(\underline{x}) \otimes_R B \rightarrow k(\underline{x}) \otimes_R C \rightarrow 0 \quad \text{ses}$$

$\Rightarrow$  LES in homology, where  $H_{-1}(k(\underline{x}) \otimes_R A) = 0$

$$\text{Remark } C = k(x_1, \dots, x_i; M)$$

$$k(x_1, \dots, x_{i+1}; M) = C \otimes k(x_{i+1})$$

so  $[k(x_1, \dots, x_{i+1}; M)]_n = C_{n-1} \otimes_{\mathbb{R}} \mathbb{R} \oplus C_n \otimes_{\mathbb{R}} \mathbb{R} \cong C_{n-1} \oplus C_n$

$$\begin{array}{ccc} & \xleftarrow{d} & \\ C_{n-1} \otimes \mathbb{R} & & \\ \downarrow (-1)^{n-1} x_{i+1} & & \\ C_{n-1} \otimes \mathbb{R} & \xleftarrow{d} & C_n \otimes \mathbb{R} \end{array}$$

$$\begin{aligned} d(a \otimes \underset{\mathbb{R}}{\underline{x}} + b \otimes \underset{\mathbb{R}}{\underline{\lambda}}) &= d(a) \otimes x + (-1)^{n-1} a \otimes d(x) + d(b) \otimes \lambda + 0 \\ &= d(a) \otimes x + (-1)^{n-1} x_{i+1} a \otimes x + d(b) \otimes \lambda \end{aligned}$$

so  $d = \begin{pmatrix} d_C & 0 \\ (-1)^{n-1} x_{i+1} & d_C \end{pmatrix}$  and

$$k(x_1, \dots, x_{i+1}; M) = \text{Cone} \left( k(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} k(x_1, \dots, x_i; M) \right)$$

get LES

$$\cdots \rightarrow H_n(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} H_n(x_1, \dots, x_i; M) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M) \rightarrow \cdots$$

$$\left\{ \begin{array}{l} \Rightarrow \ker(H_n(x_1, \dots, x_i; M)) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M)) \\ = \text{im}(H_n(x_1, \dots, x_i; M) \xrightarrow{\cdot x_{i+1}} H_n(x_1, \dots, x_i; M)) = x_{i+1} H_n(x_1, \dots, x_i; M) \\ \Rightarrow \text{im}(H_n(x_1, \dots, x_i; M)) \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M)) = \text{ker}(x_{i+1}) \end{array} \right.$$

$$0 \rightarrow \frac{H_n(x_1, \dots, x_i; M)}{x_{i+1} H_n(x_1, \dots, x_i; M)} \rightarrow H_{n-1}(x_1, \dots, x_{i+1}; M) \rightarrow \text{ann}_{H_{n-1}(x_1, \dots, x_i; M)}(x_{i+1}) \rightarrow 0$$

## Regular sequences

$R$  ring,  $M$   $R$ -module

$x \in R$  is regular on  $M$  if  $xm = 0 \Rightarrow m = 0$  for any  $m \in M$

$x_1, \dots, x_n \in R$  is a regular sequence on  $M$  if

- $(x_1, \dots, x_n)M \neq M$
- $x_i$  regular on  $M/(x_1, \dots, x_{i-1})M$  for each  $i$

Remark  $x_i$  regular on  $M/(x_1, \dots, x_{i-1})M$

$$\Leftrightarrow ((x_1, \dots, x_{i-1})M :_M x_i) = (x_1, \dots, x_{i-1})M$$

$$\Leftrightarrow \text{ann}_{M/(x_1, \dots, x_{i-1})M}(x_i) = 0$$

Examples

①  $R = k[x_1, \dots, x_n]$ ,  $k$  field

$x_1, \dots, x_n$  is a regular sequence on  $R$

②  $R = k[x, y, z]$ ,  $k$  field

$xy, yz$  not a regular sequence

③  $R = k[x, y, z]$ ,  $k$  field

$x, (x-1)y, (x-1)z$  is regular, but

$(x-1)y, (x-1)z, x$  is not a regular sequence

order  
matters!

Remark  $r \in R$  is regular  $\Leftrightarrow \ker(M \xrightarrow{r} M) = (0_M r) = 0$

$$\Leftrightarrow H_1(\ker(r; M)) = 0$$

Theorem  $\underline{x} = x_1, \dots, x_n$  regular sequence on  $M$

$$\Rightarrow H_i(\underline{x}; M) = 0 \text{ for all } i \geq 1$$

Proof Induction on  $n$ .  $n=1$ : Remark.

$n \geq 1$ : suppose  $H_j(x_1, \dots, x_i; M) = 0$  for all  $j \geq 1$

LES:

$$\cdots \rightarrow H_j(x_1, \dots, x_i; M) \xrightarrow{\quad} H_{j-1}(x_1, \dots, x_i; M) \xrightarrow{\quad} H_{j-1}(x_1, \dots, x_{i-1}, x_i; M) \xrightarrow{x_{i+1}} \cdots$$

$\underbrace{\quad}_{j \geq 1} = 0 \qquad \qquad \qquad \underbrace{\quad}_{j-1 \geq 1} = 0$

$$0 \rightarrow \frac{H_j(x_1, \dots, x_i; M)}{x_{i+1} H_j(x_1, \dots, x_i; M)} \rightarrow H_{j-1}(x_1, \dots, x_{i+1}; M) \rightarrow \text{ann}_{H_{j-1}(x_1, \dots, x_i; M)}(x_{i+1}) \rightarrow 0$$

$$x_{i+1} \text{ regular on } M/(x_1, \dots, x_i)M = H_0(x_1, \dots, x_i; M)$$

$$\Rightarrow \text{ann}_{H_0(x_1, \dots, x_i; M)}(x_{i+1}) = 0$$

$$0 \rightarrow \frac{H_i(x_1, \dots, x_i; M)}{x_{i+1} H_i(x_1, \dots, x_i; M)} \rightarrow H_i(x_1, \dots, x_{i+1}; M) \rightarrow \text{ann}_{\underbrace{H_0(x_1, \dots, x_i; M)}_{= 0}}(x_{i+1}) \rightarrow 0$$

||  
0

by hypothesis

$$\Rightarrow H_i(x_1, \dots, x_{i+1}; M) = 0$$

Corollary  $x = x_1, \dots, x_n$  regular sequence on  $R$

$\Rightarrow$  the Koszul complex  $K(x)$  is a free resolution for  $R/(x_1, \dots, x_n)$

In the graded/local case, this free resolution is minimal

Hilbert Syzygy theorem  $k$  field

Every fg graded module over  $R = k[x_1, \dots, x_d]$  has  
 $\text{pd}_R(M) \leq d$ .

Proof the Koszul complex  $K(x_1, \dots, x_d)$  is a  
minimal free resolution of  $R/(x_1, \dots, x_d) = k$  (!) so

$$\text{pd}_R(k) = d$$

$$\therefore \text{pd}_R(M) \leq \text{pd}_R(k) \leq d.$$