

Varieties  $Z(I) \subseteq A^d$  satisfy:

- $\emptyset, A^d$  are varieties
- Finite union of varieties is a varieties
- Arbitrary intersection of varieties is a variety

$\Rightarrow Z(I)$  are the closed sets for the Zariski topology on  $A^d$

(Every variety also inherits the Zariski topology)

Ex: A topological space is Noetherian if every chain  $X_1 \supseteq X_2 \supseteq \dots$  of closed sets stops.

Show that every variety is Noetherian

Ex: Show that if  $X$  is a Noetherian topological space then every closed subspace of  $X$  is compact

Exercise  $A^d$  is  $T_1$  but not Hausdorff (unless  $d=0$ )

In fact, a variety with the Zariski topology is never Hausdorff (unless it's finite)

so algebraic geometers say quasiconnected for compact (but not Hausdorff)

$\text{Spec}$

$R$  ring

$m\text{Spec}(R) :=$  the set of maximal ideals of  $R$ ,  
with the topology with closed sets

$$V_{\max}(I) = \{ \mathfrak{m} \in m\text{Spec}(R) : \mathfrak{m} \supseteq I \}$$

where  $I$  ranges over all ideals in  $R$ , including  $R$   
(so  $V(R) = \emptyset$  is closed)

Note By Nullstellensatz,

$m\text{Spec}(k[x_1, \dots, x_d])$  is homeomorphic to  $A^d$   
with the  
Zariski topology

$m\text{Spec}\left(\frac{k[x_1, \dots, x_d]}{I}\right)$  is homeomorphic to  $Z_k(I)$   
with the Zariski topology

More! this is functorial:

$$R \xrightarrow{\varphi} S \quad \rightsquigarrow \quad m\text{Spec}(S) \xrightarrow{\varphi^*} m\text{Spec}(R)$$

finitely generated  
 $k$ -algebras

But ! this is not the right space to associate to a general  $R$

- ① Many interesting rings have only one maximal ideal.  
the space with 1 element is not exciting
- ② We would like  $R \mapsto$  some space ( $R$ ) to be functorial

eg  $R = k[x, y] = k[x-1, y]$  ?  
 $\downarrow$   $\downarrow$   
 $S = k(x)[y] = k(x-1)[y] \quad (y) \in \text{mSpec}(S)$

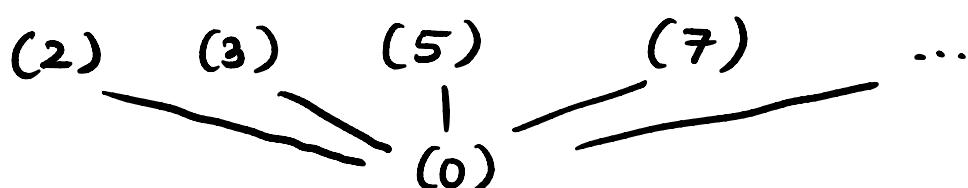
Def the (prime) Spectrum of  $R$  is

$\text{Spec}(R) :=$  prime ideals in  $R$ , with the topology whose closed sets are

$$V(I) := \{p \in \text{Spec}(R) \mid p \supseteq I\}$$

where  $I$  varies over all the ideals of  $R$ , including  $R$ .

Example  $\text{Spec}(\mathbb{Z})$



Closed sets :  $V((n)) = \{p \mid \exists n \} = \{ p \mid n \}$

$$n=0 \Rightarrow V(0) = \text{Spec}(R)$$

$$n=1 \Rightarrow V(1) = \emptyset$$

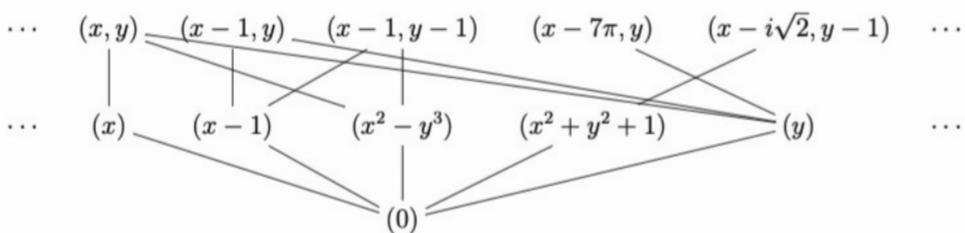
$n \neq 1 \Rightarrow$  finite set containing things in the top row

open sets :  $\emptyset, \text{Spec}(R),$

all points but finitely many non-zero ones

Note : Nonempty open sets are dense!

Ex  $\mathbb{C}[x,y]$



Prop  $I, \mathfrak{a}, I_\lambda$  ideals in  $R$  (maybe improper)

$$1) I \subseteq \mathfrak{a} \Rightarrow V(\mathfrak{a}) \subseteq V(I)$$

$$2) V(I) \cup V(\mathfrak{a}) = V(I \cap \mathfrak{a}) = V(I\mathfrak{a})$$

$$3) \bigcap V(I_\lambda) = V(\sum I_\lambda)$$

$$4) D(f) := \text{Spec}(R) \setminus V(f) = \{p \in \text{Spec}(R) \mid f \notin p\}$$

is a basis for the topology on  $\text{Spec}(R)$

5)  $\text{Spec}(R)$  is quasicompact

Proof 4) Open sets are

$$V(I)^c = V(\{f_\lambda\})^c = \bigcap_{\lambda} V(f_\lambda)^c = \bigcup_{\lambda} D(f_\lambda)$$

$$5) \emptyset = \bigcap_{\lambda} V(I_\lambda) = V\left(\sum_{\lambda} I_\lambda\right)$$

$$\Rightarrow 1 \in \sum I_\lambda \Rightarrow 1 \in I_{\lambda_1} + \cdots + I_{\lambda_n}$$

$$\Rightarrow \emptyset = V(I_{\lambda_1} + \cdots + I_{\lambda_n}) = \bigcap_{i=1}^n V(I_{\lambda_i})$$

$\therefore \text{Spec}(R)$  is quasi compact

$$\text{Def } R \xrightarrow{\varphi} S \rightsquigarrow \text{Spec}(S) \xrightarrow{\varphi^*} \text{Spec}(R)$$

$$P \longmapsto \varphi^{-1}(P)$$

so the preimage of a prime ideal by a ring homomorphism is prime

$\varphi^*$  is:

- Order preserving

- Continuous:  $U \subseteq \text{Spec}(R) \Rightarrow U = V(I)^c$

Notation:  $P \cap R = \varphi^*(P)$

$$q \in (\varphi^*)^{-1}(U) \Leftrightarrow q \cap R \not\supseteq I \Leftrightarrow q \not\supseteq IS \Leftrightarrow q \in V(IS)$$

$$\text{so } (\varphi^*)^{-1}(U) = (V(IS))^c \text{ is open}$$

Ex:  $R \xrightarrow{\pi} R/I$  the canonical projection

$\Rightarrow \text{Spec}(R/I) \xrightarrow{\pi^*} \text{Spec}(R)$   
is the inclusion

$$V(I) \hookrightarrow \text{Spec}(R)$$

Def a subset  $W \subseteq R$  is multiplicatively closed if

- $1 \in W$
- $a, b \in W \Rightarrow ab \in W$

Axiom  $W$  multiplicatively closed subset of  $R$

$I$  ideal in  $R$

$W \cap I = \emptyset \Rightarrow$  there exists  $p \in V(I)$  with  $p \cap W = \emptyset$

Proof  $\mathcal{F} = \{J \mid J \supseteq I, J \cap W = \emptyset\}$  ordered with  $\supseteq$   
 $I \in \mathcal{F} \Rightarrow \mathcal{F} \neq \emptyset$

$J_1 \supseteq J_2 \supseteq J_3 \supseteq \dots$  chain in  $\mathcal{F} \Rightarrow \bigcap J_i \supseteq I, \bigcap J_i \cap W = \emptyset$   
 $\therefore \bigcap J_i \in \mathcal{F}$  is an upper bound for the chain

By Zorn's axiom,  $\mathcal{F}$  has a maximal element  $A$   
we claim  $A$  is prime.

$f, g \notin A \Rightarrow A \not\subseteq A+(f), A+(g) \notin \mathcal{F}$

Since  $I \subseteq A \subseteq A+(f), A+(g)$ , we must have  
 $(A+(f)) \cap W \neq \emptyset, (A+(g)) \cap W \neq \emptyset$

$$x_1 f + a_1 \in w \cap (A + (f))$$

$$x_1 \in R, a_1 \in A$$

$$x_2 g + a_2 \in w \cap (A + (g))$$

$$x_2 \in R, a_2 \in A$$

$$\underbrace{(x_1 f + a_1)}_{\text{EW}} \underbrace{(x_2 g + a_2)}_{\text{EW}} = x_1 x_2 fg + \underbrace{x_1 f a_2}_{\text{EA}} + \underbrace{x_2 g a_1}_{\text{EA}} + a_1 a_2 \in A \cap w$$

$\cancel{fg} \notin A$

$\therefore fg \notin A$  and  $A$  is prime □

Prop  $V(I) \subseteq V(f) \iff f \in \sqrt{I}$

Equivalently,  $\sqrt{I} = \bigcap_{p \in V(I)} p$

Proof  $V(I) \subseteq V(f) \iff f \in p$  for all  $p \supseteq I \iff f \in \bigcap_{p \in V(I)} p$

$$\sqrt{I} = \bigcap_{p \in V(I)} p$$

(2) WTS:  $p \supseteq I \Rightarrow p \supseteq \sqrt{I}$

Indeed:  $f \in \sqrt{I} \Rightarrow f^n \in I \subseteq p \Rightarrow f \in p$

( $\subseteq$ )  $f \notin \sqrt{I}$ . Set  $w = \{1, f, f^2, \dots\}$ . Note:  $w \cap \sqrt{I} = \emptyset$

$\Rightarrow$  there exists  $p \in V(I)$ ,  $p \cap w = \emptyset \Rightarrow f \notin p$

Corollary There is an order reversing bijection

$$\{ \text{closed subsets of } \text{Spec}(R) \} \longleftrightarrow \{ \text{radical ideals in } R \}$$