# Automating Algorithm Design through Genetic Programming Hyper Heuristics

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## **ABSTRACT**

actually write an abstract

Citing stuff to see what it looks like [3] and [2]

## **Keywords**

Evolutionary Computation, Genetic Programming, Hyper Heuristics, Autoconstruction

## 1. INTRODUCTION

## Mostly notes right now

Traditionally, genetic programming solutions to problems evolve, but almost everything else is specified by the system designer [5]. In autoconstruction, the variation methods are evolving as well.

In sections 2.1, 2.2, and 2.3 we describe necessary background for understanding the rest of the paper. Next, we describe the history of automating algorithm design (AAD) with a focus on hyper-heuristics (HH) optimization in section 2.4. Then, in section 3, we go over a few different genetic programming variants used in HH and the success of two in particular. Finally, we will go over current research being done with stack-based genetic programming (one of the GP variants) in section 4; the stack-based programming language called Push is used in this example along with a technique for AAD called autoconstruction.

this is long roadmap. Probably need to shorten

## 2. BACKGROUND

- 2.1 Evolutionary Computation
- 2.2 Genetic Programming
- 2.3 Hyper Heuristics

## 2.4 History of AAD

Automating algorithm design (AAD) has been investigated by several different areas for about 60 years. In the 1950s, the term "machine learning" was defined as "computers programming themselves" [2]. This definition has

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changed over time to focus more on the learning aspect, but it's still an important area in AAD. While the field of machine learning is out of the scope of our paper, it also plays a major role in the history of AAD and was one of the first fields to do so.

Focusing on the automation of heuristic methods, we can trace the beginnings of hyper heuristics (HH) to the 1960s [2]. Early approaches of HH focused on automatically setting the parameters of evolutionary algorithms [2]. A parameter used to be thought of as things like population size and crossover. However, the definition has expanded to include evolutionary algorithm components like mutation rates and selection mechanisms [2]. Many researchers still question which parameters to tune when designing HH systems. Traditionally, parameters are tuned before the evolution starts and controlled during the evolution [2]. There are, however, exceptions.

The idea of *self-adaption*, where an algorithm is able to tune itself to a given problem while solving it, emerged later.

## add more about evolving evolution?

Now, we have two major types of HH: heuristic selection and heuristic generation; the first focuses on selecting the best algorithm from a set of existing heuristics while the latter focuses on generating a new heuristic from the components of existing heuristics [2]. Heuristic generation uses several different methods for the generation process, but we will be focusing on heuristic generation techniques that use genetic programming.

I focus pretty heavily on HH, which is good because that is the focus of paper, but feels weird because it's less of 'history of AAD' and more 'history of HH'

## 3. GENETIC PROGRAMMING VARIANTS

## 3.1 Tree-based Genetic Programming

## 3.2 Stack-based Genetic Programming

## 4. AUTOCONSTRUCTION

In genetic programming hyper heuristics (GPHH), the goal is to use genetic programming to evolve a program that will solve hard computational search problems. The individual programs serve as potential solutions. In GPHH, only the potential solutions are evolving – everything else is specified by the system designer.

# not sure how to phrase previous sentence. Also not sure if I need to clarify what I mean by "potential solutions"

In autoconstruction, evolution is evolving as well. This happens by encoding the methods of variation into the programs that are evolving so that, as a program evolves, its variation methods also evolve (see section 4.1 for how this works).

Prior work on autoconstruction has explored a variety of system designs, but, until recently, none of them have been able to solve hard problems. A new system called Autoconstructive Diversification of Genomes (AutoDoG) has broken this trend by solving at least one hard problem: "Replace Space with Newline" [5]. And, in recent unpublished work, AutoDoG has actually solved a problem that has never been solved before [1].

Not sure how much of this is introduction stuff

## 4.1 Push and Plush

Push is a stack-based programming language with a separate stack for each data type. It was developed for program evolution and autoconstruction was one of the driving forces behind the original design [5]. Push programs are strings of instructions, constants, and parentheses with only one syntax requirement: the parentheses must be balanced [3].

I am not sure that I should mention prev sentence, because I may want to give examples if this is mentioned..

Instructions are executed by putting them on the exec stack. If the necessary arguments are not available for the instruction to be executed, the instruction is skipped. For example, assume all stacks are empty and assume a program says (1 2 integer\_add). This means push 1 and 2 onto the integer stack and push the integer\_add onto the take the exec stack [4]. The integer\_add instruction will try to execute: the first two integers (in this case 1 and 2) are popped off the top of the integer stack, added together, and the result is pushed back on to the integer stack. If, instead, the program was (1 integer\_add) then it would push 1 onto the integer stack, but skip the integer\_add because there are not enough integers in the integer stack.

not sure I should include example inline like this, might want to separate and try to shorten somehow

- Talk about:
- Plush
- linear genomes
- how Plush/linear genomes are beneficial to autoconstruction
- PushGP–a "reasonably standard generational genetic programming system"
- PUT PUSHGP IN WITH RESULTS STUFF... BRIEFLY MENTION WHAT IT IS (and that it's not autoconstruciton)

## Turn list into paragraphs

## 4.2 AutoDoG

In this section, we briefly describe some of the key features of AutoDoG.

One thing that the designers of AutoDoG wanted to do was maintain diversity in parent selection. To do this, AutoDoG uses Lexicase Selection, described below:

In each parent selection event,

- start with pool of entire population
- filter pool based on how each program performs on individual fitness cases (performed in random order, and one at a time)

HOW do I phrase prev bullet point?

 In each fitness case, only retain programs best on that case.

#### List or paragraph?

More/better description of lexi (reread section in helmuth's dissertation)

Its name comes from the way it filters the population using a kind of "lexigraphic ordering" of cases [5]. When tested on a benchmark suite of problems taken from introductory programming textbooks and compared to the results of other current GP parent selection techniques, Lexicase Selection allows for the solution of more problems in fewer generations [5].

#### rephrase prev sentence?

talk about why lee is concerned about cloning (11:20 to 12:22 in video)!!!!

Most autoconstruction systems have some form of the "no cloning rule" which prevents an exact copy of a program from moving on to the next generation, and AutoDoG has a form of this as well [5]. AutoDoG's version of this requires offspring to pass a more stringent diversification test in order to enter the population [5]. This test is described below:

- make individual for next generation
- individual takes itself as mate and makes temp children.
- if temp children differ enough from individual and each other, individual enters next generation's population
- else, generate new random individual and repeat previous steps
- if random individual fails, generate individual with empty genome and add it to next generation
- discard any children after test

TO NIC: should I rewrite so that it's not in list form? Paragraph would save space.

AutoDoG works similarly to PushGP, a reasonably standard generational programming system, but is run with autoconstruction as the sole genetic operator rather than using human designed mutation and crossover operators. AutoDoG's main loop

left off 13:00 in video, here (ish) in paper

"iteratively tests the error of all individuals and then builds the next generation by selecting parents and passing them to genetic operators" [5].

Describe more/better, summarize long quotes, turn lists into paragraphs

#### 4.3 Results

AutoDoG is unique among autoconstructive systems in that it can solve hard problems. However, as stated by Spector et al. [5],

We do not know which of these, or which combinations of these, may be responsible for the fact that AutoDoG appears to be capable of solving more difficult problems than previous autoconstructive evolution systems.

This is because it's hard to separate the pieces; there are a lot of intertwined elements of AutoDoG and Push. Separating them to find exactly which elements contribute to the success without unraveling the entire system is difficult and has not yet been done.

#### TO NIC: Is this (above) more correct?

One challenging problem AutoDoG has solved is Replace Space with Newline (RSWN). This is a software synthesis problem which, when given a string, requires the solution to print the string replacing spaces with newlines. It also requires the return of the integer count of the non-whitespace characters. This is complex because it involves multiple data types and outputs, and requires conditionals and iteration or recursion. AutoDoG does not actually do better than standard PushGP on this problem.

AutoDoG does not succeed as reliably on this problem as has PushGP in some other configurations, but it does solve the problem approximately 5-10~% of the time, producing general solutions [5]

"This is not surprising because AutoDoG has to learn how to evolve as well as learn how to solve the problem. That said, recent (unpublished) results look like there might be scenarios where autoconstruction may find solutions to problems we've never solved with regular PushGP, so we may soon have examples where autoconstruction is 'better' in some meaningful sense"

# PARAPHRASE QUOTE FROM NIC

#### More info about new AutoDoG results

One interesting thing to note is how AutoDoG evolves. In standard PushGP, one can see a steady incline in differences between the genomes of parents and their children. Over time, change occurs at a fairly steady rate and is not very dramatic (from one generation to the next). In AutoDoG, there are huge differences between the genomes of some parents and their children. There was significant change for some individuals from one generation to the next and overall it was a bit erratic.

Clean up, more info about weird AutoDoG evolution. Maybe include DL graphs

## 5. CONCLUSIONS AND FUTURE WORK

## 6. ACKNOWLEDGMENTS

## 7. REFERENCES

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Add more of references to bib, update [1], cite Nic?