HOMEWORK II

Machine Learning

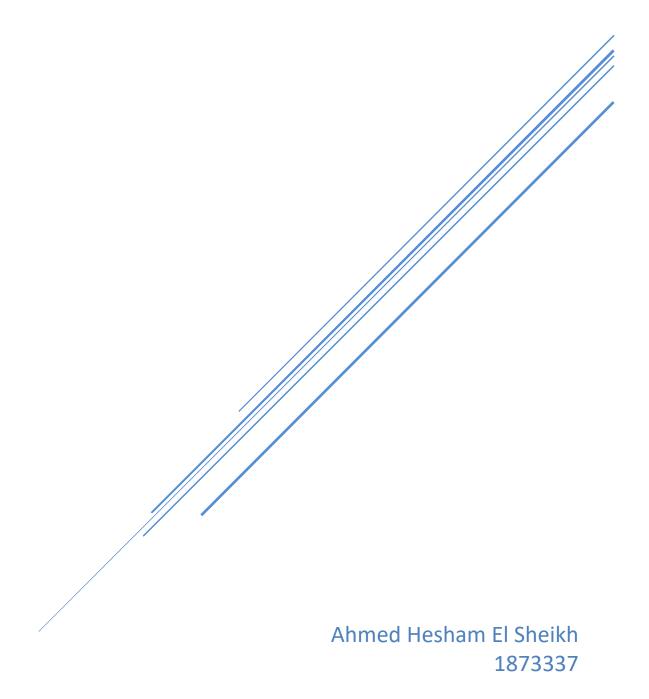


Table of Contents

L.	Introduction	
II.	Dataset	3
III.	Data Preprocessing	4
IV.	Convolutional Neural Network	5
٧.	Conclusion	<u>c</u>
VI.	Appendices	10
,	Appendix A – Model A training process	10
,	Appendix B – Model A prediction process	14
,	Appendix C – Model A with more Dropout layers training process	15
,	Appendix D – Model A with more Dropout layers prediction process	17
,	Appendix D – Model B (LeNet) training process	18
,	Appendix F – Model B (LeNet) Prediction	19

I. Introduction

The aim of this homework is to train a convolutional neural network on a medium size dataset, to classify between different kinds of boats. The dataset used was MarDCT, pictures of the boats were taken using a camera in Venice, Italy.

In this project, keras framework was used -using TensorFlow the as backend- The python scripts included handled the data in terms of preprocessing and feeding the images to the CNN to predict the boat types. Not only, keras with TensorFlow backend, matplot library for plotting of graphs, and showing different visuals, sklearn for the calculation of accuracy scores, and classification report, pandas for reading of the ground truth file, lastly, NumPy.

II. Dataset

The dataset used is MarDCT as mentioned before, it contains images of Venice boats, images taken from different angles, different lighting conditions. The dataset contain 4774 training images belongs to 24 training classes, and 1969 images belonging to 24 testing classes.

This was the first challenge faced in this project, as sum of the classes in the training set are not there in the testing set and vice versa, so in order to deal with this unbalanced dataset -in terms of classes, after figuring out which classes, I had to remove them. Those classes were ['Cacciapesca', 'Caorlina', 'Lanciamaggioredi10mMarrone', 'Sanpierota', 'VigilidelFuoco', 'SnapshotBarcaParziale', 'SnapshotBarcaMultipla', 'Mototopocorto'].

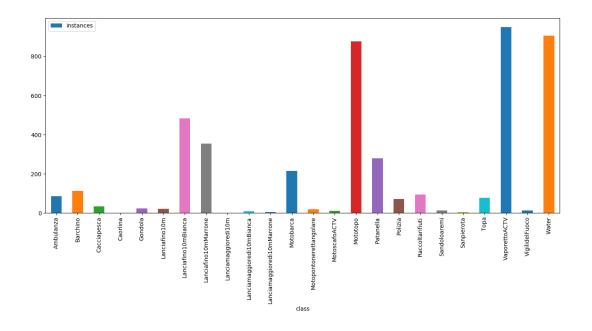


Figure 1: Number of instances before removing any classes

After taking a look on the previous figure, we can safely remove these classes, and work with the rest classes, which are ['Alilaguna', 'Ambulanza', 'Barchino', 'Gondola', 'Lanciafino10m', 'Lanciafino10mBianca', 'Lanciafino10mMarrone', 'Lanciamaggioredi10mBianca', 'Motobarca', 'Motopontonerettangolare', 'MotoscafoACTV', 'Mototopo', 'Patanella', 'Polizia', 'Raccoltarifiuti', 'Sandoloaremi', 'Topa', 'VaporettoACTV', 'Water'], after keeping those classes, we are left with only 19 classes, 4717 training images, and 1681 testing images.

III. Data Preprocessing

Before processing to training the model, we have to preprocess the data to make sure that our model gets different views of the same image, and this will add to our model, and help it to extract more general features, as well as, help it fight overfitting.

So, I applied different images augmentation techniques of rotating, width and height shifts, shear, horizontal and vertical flips, zooming in. As well as, data splitting into 80% for training and 20% for validation.

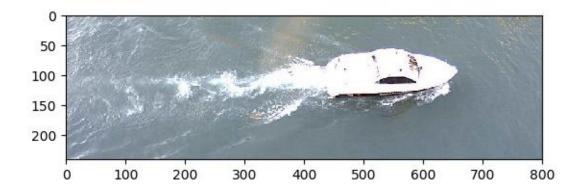


Figure 2: Original image with no changes in it.

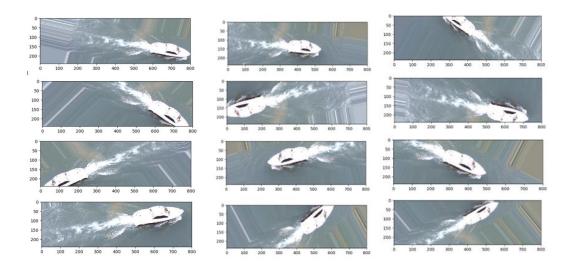


Figure 3:Few images of the samples generated after preprocessing

IV. Convolutional Neural Network

The approach taken in this project was to build a simple CNN, consisting of several layers 2 convolution layers (Conv2D layer -> ReLu Activation -> MaxPooling2D) and a (ZeroPooling2D) layer in between of both convolution layers, why? Because the layer progressively reduces the spatial size of the representation to reduce the number of parameters and computation in the network, and hence to also control overfitting. Batch Normalization layer was added between the Conv2D layer and the 3rd ReLu layer, as it normalizes the output of the Conv2D layer to the ReLu. A Flatten layer was used to turn the feature vector into a 1D to be used by the ANN classifier layer. Using a dropout layer with probability of 0.7 to switch off some neurons of our NN, to prevent overfitting.

Layer (type)	Output Shape	Param #
conv2d (Conv2D)	(None, 254, 252, 32)	1472
activation (Activation)	(None, 254, 252, 32)	9
max_pooling2d (MaxPooling2D)	(None, 127, 126, 32)	9
zero_padding2d (ZeroPadding2	(None, 129, 128, 32)	0
conv2d_1 (Conv2D)	(None, 127, 124, 32)	15392
activation_1 (Activation)	(None, 127, 124, 32)	0
max_pooling2d_1 (MaxPooling2	(None, 63, 62, 32)	9
zero_padding2d_1 (ZeroPaddin	(None, 65, 64, 32)	9
conv2d_2 (Conv2D)	(None, 63, 60, 64)	30784
batch_normalization (BatchNo	(None, 63, 60, 64)	256
activation_2 (Activation)	(None, 63, 60, 64)	9
max_pooling2d_2 (MaxPooling2	(None, 31, 30, 64)	9
flatten (Flatten)	(None, 59520)	9
dense (Dense)	(None, 64)	3809344
activation_3 (Activation)	(None, 64)	9
dropout (Dropout)	(None, 64)	9
dense_1 (Dense)	(None, 19)	1235
activation_4 (Activation)		0
Total params: 3,858,483 Trainable params: 3,858,355 Non-trainable params: 128		

Figure 4: Model A Summary

The images from the dataset was fed into the network of (width, height, channels) were (256, 256, 3), dividing the training images into 3783 training images and 934 validation images, and at last 1681 testing images.

The fitting process, was done using several parameters, using the training data, as well as, the validation data, epochs were set arbitrarily to 100 epochs, however, it was controlled using early stopping to prevent overfitting to the data, added to, keeping log throughout the whole training process, apart from all of that, the model was using a stochastic gradient descent with Nesterov momentum, a starting learning rate of $1e^{-6}$, momentum of 0.9 to help the model converge faster, finally, the steps per epoch and steps per validation will both determined using

$$Steps\ per\ epoch = \frac{number\ of\ samples\ in\ training\ set}{Batch\ size\ of\ training\ set}$$
 $Validation\ Steps = \frac{number\ of\ samples\ in\ validation\ set}{Batch\ size\ of\ validation\ set}$

to ensure we use the minimum amount of time, the used multiprocessing, as well as, setting the script to run on the main thread.

Tweaking few of the above parameters resulted in different results of course, to start with, the number of epochs, I assigned 50 number of epochs arbitrarily, and I noticed that sometimes the model overfit, so I added the early stopping callback function for the model. Not to forget, having high learning rate may result in non-convergence approach because the model might skip the minima and get stuck out of it, as shown in the below figure learning rate (Ir) of $1e^{-5}$ showed earlier convergence than the $1e^{-6}$ model, the learning rates did not affect only the convergence rate, it also had an effect on the overall accuracy of the model -on the test data- the as shown in figure 6, so as a solution to that I used an initial learning rate of $1e^{-6}$ and it modifies after every epoch which actually helped model A to converge and get good results, added to the use of Nesterov momentum with our optimizer yielded in higher convergence rate.

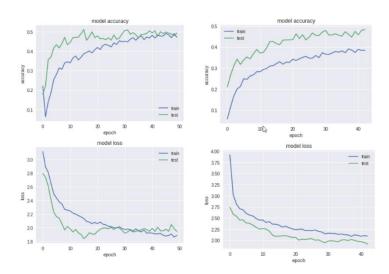


Figure 5: Comparison between learning rate of 1e^ (-5) on the left-hand image and 1e^ (-6) on the right-hand image

1671/1671 [======] - 13s 8ms/step				1671/1671 [] - 12s 7ms/step					
The Accuracy score is: 59.78%				The Accuracy	The Accuracy score is: 55.42%				
	The Classification Report: precision recall f1-score support			The Classific	recall	f1-score	support		
0	0.22	0.21	0.22	19	0	0.43	0.16	0.23	19
1	0.20	0.05	0.07	22	1	0.00	0.00	0.00	22
2	0.00	0.00	0.00	51	2	0.00	0.00	0.00	51
3	0.00	0.00	0.00	3	3	0.00	0.00	0.00	3
4	0.00	0.00	0.00	7	4	0.00	0.00	0.00	7
5	0.41	0.62	0.50	217	5	0.34	0.49	0.40	217
6	0.12	0.02	0.04	125	6	0.19	0.15	0.17	125
7	0.00	0.00	0.00	6	7	0.00	0.00	0.00	6
8	0.33	0.02	0.03	59	8	0.10	0.08	0.09	59
9	0.00	0.00	0.00	3	9	0.00	0.00	0.00	3
10	0.00	0.00	0.00	1	10	0.00	0.00	0.00	1
11	0.45	0.62	0.52	274	11	0.42	0.55	0.47	274
12	0.06	0.04	0.05	74	12	0.00	0.00	0.00	74
13	0.00	0.00	0.00	15	13	0.00	0.00	0.00	15
14	0.00	0.00	0.00	19	14	0.00	0.00	0.00	19
15	0.00	0.00	0.00	3	15	0.00	0.00	0.00	3
16	0.00	0.00	0.00	29	16	0.04	0.03	0.04	29
17	0.73	0.97	0.83	325	17	0.72	0.93	0.81	325
18	0.85	0.88	0.87	419	18	0.89	0.81	0.85	419
micro avg	0.60	0.60	0.60	1671	micro avg	0.55	0.55	0.55	1671
macro avg	0.18	0.18	0.16	1671		0.55	0.55	0.55	1671
weighted avg	0.51	0.60	0.54	1671	macro avg weighted avg	0.16 0.50	0.17 0.55	0.16 0.52	1671 1671

Figure 6: On the left-hand side, we can see that having a $Ir = 1e^{(-5)}$ having better overall performance, compared to $1e^{(-6)}$

Not to mention, the effects of having multiple Dropouts layers, given the fact that dropouts are used to regularize the CNN architecture used, but in our project, a medium sized dataset with a simple architecture dataset and more than a dropout layer resulted in huge drops in the performance rates, check Appendices C and D for training process, prediction process respectively

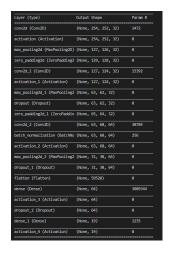


Figure 7: Adding more Dropout layers to model A

So, this will make us question why not to add more layers other than Dropout layers, what will happen? Actually, adding layers unnecessarily to any CNN will increase the number of parameters only for the smaller datasets. It's true for some reasons that on adding more hidden layers, it will give more accuracy. This is true for larger datasets, as more layers with less stride factor will extract more features for the input data. In CNN, how we play with the network's architecture is completely dependent on what are the requirements and how the dataset looks like. Increasing Unnecessary parameters will only result in overfit, which we are trying to combat all the time.

The above model which we will refer to as model A training process can be found in Appendix A, the following plots shows the accuracy plotted against the validation accuracy, as well as, loss plotted

against the validation loss, the loss function used was categorical cross entropy as we are classifying 19 classes.

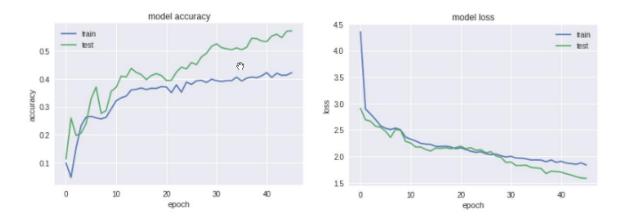


Figure 8: First model accuracy & loss values through the fitting process

Another model was used in this process which we will refer to as model B, was the LeNet architecture, here is a view of the model summary

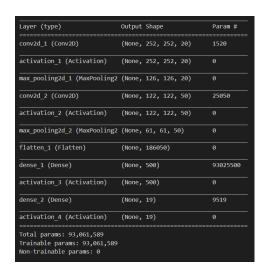


Figure 9: LeNet model or model B

It yielded a very low-test accuracy of 1% which is even lower than the random guess, which is calculated as $^1/_{number\ of\ classes'}$ in our case the random guess is 5.3% approximately, and this is due to being too simple, it has a convolutional layer less than model A, and has no batch normalization layer, and due to the very large trainable parameters model B used made it to memorize the parameters, and this concludes why the very low accuracy

V. Conclusion

The use of keras made it possible and easy to have a working model in few lines of code. CNN in general provides good results, as they were implemented for image, audio, videos classification. The training of a model depends on different parameters, the data augmentation, number of samples as per class, training time (or number of epochs), types of layers, activation functions used, as well as, types of loss function used, size of the network, however, simple networks may show better results.

The big number of layers is only good if our dataset is large thus the complex architecture, there is multiple ways to avoid overfitting by adding a dropout layer, using early stopping, using data generator, augmenting the present data. Using SGD with Nesterov momentum helps the network converge faster.

VI. Appendices

Appendix A – Model A training process

Epoch 1/100
118/118 [===================================
Epoch 2/100
118/118 [===================================
Epoch 3/100
118/118 [============] - 36s 302ms/step - loss: 2.7879 - acc: 0.1563 - val_loss: 2.6631 - val_acc: 0.1973
Epoch 4/100
118/118 [============] - 36s 303ms/step - loss: 2.6830 - acc: 0.2339 - val_loss: 2.5693 - val_acc: 0.2051
Epoch 5/100
118/118 [===================================
Epoch 6/100
118/118 [===================================
Epoch 7/100
118/118 [===================================
Epoch 8/100
118/118 [============] - 35s 300ms/step - loss: 2.5226 - acc: 0.2583 - val_loss: 2.5097 - val_acc: 0.2761
Epoch 9/100
118/118 [===================================
Epoch 10/100
118/118 [============] - 36s 302ms/step - loss: 2.3497 - acc: 0.2938 - val_loss: 2.2808 - val_acc: 0.3548
Epoch 11/100
118/118 [============] - 36s 302ms/step - loss: 2.3104 - acc: 0.3236 - val_loss: 2.2506 - val_acc: 0.3692
Epoch 12/100
118/118 [===================================
Epoch 13/100

```
Epoch 14/100
Epoch 15/100
Epoch 16/100
Epoch 17/100
Epoch 18/100
Epoch 19/100
Epoch 20/100
Epoch 21/100
Epoch 22/100
Epoch 23/100
Epoch 24/100
Epoch 25/100
Epoch 26/100
Epoch 27/100
```

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Epoch 28/100
Epoch 29/100
Epoch 30/100
Epoch 31/100
Epoch 32/100
Epoch 33/100
Epoch 34/100
Epoch 35/100
Epoch 36/100
Epoch 37/100
Epoch 38/100
Epoch 39/100
Epoch 40/100
Epoch 41/100
Epoch 42/100
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118/118 [===================================
Epoch 43/100
118/118 [===================================
Epoch 44/100
118/118 [===================================
Epoch 45/100
118/118 [============] - 36s 303ms/step - loss: 1.8642 - acc: 0.4149 - val_loss: 1.5887 - val_acc: 0.5698
Epoch 46/100
118/118 [============] - 36s 303ms/step - loss: 1.8222 - acc: 0.4253 - val_loss: 1.5822 - val_acc: 0.5710
Epoch 00046: early stopping

Appendix B – Model A prediction process

1671/1671	[========] -	12s 7ms/step
1671/1671	[========] -	13s 8ms/step

The Accuracy is: 61.34%

The Classification Report:

pr	ecision	recall	f1-score	support		
0	0.00	0.00	0.00	19		
1	0.00	0.00	0.00	22		
2	0.00	0.00	0.00	51		
3	0.00	0.00	0.00	3		
4	0.00	0.00	0.00	7		
5	0.62	0.20	0.30	217		
6	0.37	0.56	0.45	125		
7	0.00	0.00	0.00	6		
8	0.00	0.00	0.00	59		
9	0.00	0.00	0.00	3		
10	0.00	0.00	0.00	1		
11	0.42	0.90	0.58	274		
12	0.00	0.00	0.00	74		
13	0.00	0.00	0.00	15		
14	0.00	0.00	0.00	19		
15	0.25	0.33	0.29	3		
16	0.00	0.00	0.00	29		
17	0.92	0.89	0.90	325		
18	0.73	0.89	0.81	419		
micro av	g 0.6	51 0.6	51 0.63	1 1671		
macro av	vg 0.	18 0.	20 0.1	7 1671		
weighted a	avg ().54 (0.61 0.	55 1671		

Appendix C – Model A with more Dropout layers training process

Epoch 1/100
118/118 [==============] - 130s 1s/step - loss: 7.0944 - acc: 0.0665 - val_loss: 2.8853 - val_acc: 0.1250
Epoch 2/100
118/118 [==================] - 127s 1s/step - loss: 5.0368 - acc: 0.0879 - val_loss: 2.9119 - val_acc: 0.1175
Epoch 3/100
118/118 [==================] - 127s 1s/step - loss: 4.0721 - acc: 0.0805 - val_loss: 2.9200 - val_acc: 0.1153
Epoch 4/100
118/118 [==================] - 126s 1s/step - loss: 3.7027 - acc: 0.0879 - val_loss: 2.9275 - val_acc: 0.1220
Epoch 5/100
118/118 [===================================
Epoch 6/100
118/118 [===================================
Epoch 7/100
118/118 [=============] - 126s 1s/step - loss: 3.2650 - acc: 0.0905 - val_loss: 2.9363 - val_acc: 0.0909
Epoch 8/100
118/118 [===================================
Epoch 9/100
118/118 [=============] - 126s 1s/step - loss: 3.1661 - acc: 0.0925 - val_loss: 2.9388 - val_acc: 0.1164
Epoch 10/100
118/118 [=============] - 126s 1s/step - loss: 3.1661 - acc: 0.0880 - val_loss: 2.9390 - val_acc: 0.1330
Epoch 11/100
118/118 [=============] - 126s 1s/step - loss: 3.0865 - acc: 0.0866 - val_loss: 2.9403 - val_acc: 0.1175
Epoch 12/100
118/118 [===================================
Epoch 13/100
118/118 [===================================
Epoch 14/100

118/118 [==================] - 136s 1s/step - loss: 3.0607 - acc: 0.0993 - val_loss: 2.9407 - val_acc: 0.2084
Epoch 15/100
118/118 [============] - 126s 1s/step - loss: 3.0599 - acc: 0.1080 - val_loss: 2.9410 - val_acc: 0.2084
Epoch 16/100
118/118 [=============] - 126s 1s/step - loss: 3.0383 - acc: 0.1040 - val_loss: 2.9409 - val_acc: 0.2040
Epoch 17/100
118/118 [=============] - 127s 1s/step - loss: 3.0324 - acc: 0.1101 - val_loss: 2.9410 - val_acc: 0.2018
Epoch 18/100
118/118 [=============] - 126s 1s/step - loss: 3.0297 - acc: 0.1004 - val_loss: 2.9413 - val_acc: 0.1996
Epoch 19/100
118/118 [============] - 126s 1s/step - loss: 3.0326 - acc: 0.1020 - val_loss: 2.9411 - val_acc: 0.2051
Epoch 20/100
118/118 [=============] - 126s 1s/step - loss: 3.0055 - acc: 0.1007 - val_loss: 2.9416 - val_acc: 0.2073
Epoch 21/100
118/118 [============] - 125s 1s/step - loss: 3.0149 - acc: 0.1052 - val_loss: 2.9415 - val_acc: 0.2073
Epoch 22/100
118/118 [=============] - 126s 1s/step - loss: 3.0117 - acc: 0.0997 - val_loss: 2.9409 - val_acc: 0.2151
Epoch 00022: early stopping

Appendix D – Model A with more Dropout layers prediction process

The Accuracy score is: 20.95%

The Classification Report:

The Class	sifica	ation Report:			
		precision	recall	f1-score	support
	0	0.00	0.00	0.00	19
	1	0.00	0.00	0.00	22
	2	0.00	0.00	0.00	51
	3	0.00	0.00	0.00	3
	4	0.00	0.00	0.00	7
	5	0.50	0.00	0.01	217
	6	0.00	0.00	0.00	125
	7	0.00	0.00	0.00	6
	8	0.00	0.00	0.00	59
	9	0.00	0.00	0.00	3
	10	0.00	0.00	0.00	1
	11	0.14	0.10	0.12	274
	12	0.00	0.00	0.00	74
	13	0.00	0.00	0.00	15
	14	0.00	0.00	0.00	19
	15	0.00	0.00	0.00	3
	16	0.00	0.00	0.00	29
	17	0.21	0.85	0.33	325
	18	0.52	0.11	0.17	419
micro	avg	0.21	0.21	0.21	1671
macro	avg	0.07	0.06	0.03	1671
weighted	avg	0.26	0.21	0.13	1671

Appendix D – Model B (LeNet) training process

Epoch 1/100
118/118 [============] - 108s 913ms/step - loss: 15.8304 - acc: 0.0167 - val_loss: 15.7360 - val_acc: 0.0237
Epoch 2/100
118/118 [===========] - 103s 872ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 3/100
118/118 [===========] - 103s 872ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 4/100
118/118 [=============] - 103s 873ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 5/100
118/118 [============] - 103s 871ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 6/100
118/118 [============] - 103s 869ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 7/100
118/118 [===========] - 102s 867ms/step - loss: 15.7296 - acc: 0.0241 - val_loss: 15.7250 - val_acc: 0.0244
Epoch 00007: early stopping

Appendix F – Model B (LeNet) Prediction

1671/1671	[=======]	-	14s	9ms/step
1671/1671	[=======]	-	16s	9ms/step

The Accuracy score is: 1.14%

The Classification Report:

The Classific	cation Report:			
	precision	recall	f1-score	support
0	0.01	1.00	0.02	19
1	0.00	0.00	0.00	22
2	0.00	0.00	0.00	51
3	0.00	0.00	0.00	3
4	0.00	0.00	0.00	7
5	0.00	0.00	0.00	217
6	0.00	0.00	0.00	125
7	0.00	0.00	0.00	6
8	0.00	0.00	0.00	59
9	0.00	0.00	0.00	3
10	0.00	0.00	0.00	1
11	0.00	0.00	0.00	274
12	0.00	0.00	0.00	74
13	0.00	0.00	0.00	15
14	0.00	0.00	0.00	19
15	0.00	0.00	0.00	3
16	0.00	0.00	0.00	29
17	0.00	0.00	0.00	325
18	0.00	0.00	0.00	419
micro avg	0.01	0.01	0.01	1671
macro avg	0.00	0.05	0.00	1671
weighted avg	0.00	0.01	0.00	1671