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# Approximation and Parametrized Algorithms for Geometric Set Cover

Master's thesis  
in COMPUTER SCIENCE

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June 2020

## **Supervisor's statement**

Hereby I confirm that the presented thesis was prepared under my supervision and that it fulfils the requirements for the degree of Master of Computer Science.

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## **Author's statement**

Hereby I declare that the presented thesis was prepared by me and none of its contents was obtained by means that are against the law.

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## **Abstract**

W pracy przedstawiono prototypową implementację blabalizatora różnicowego bazującą na teorii fetorów  $\sigma$ - $\rho$  profesora Fifaka. Wykorzystanie teorii Fifaka daje wreszcie możliwość efektywnego wykonania blabalizy numerycznej. Fakt ten stanowi przełom technologiczny, którego konsekwencje trudno z góry przewidzieć.

## **Keywords**

blabaliza różnicowa, fetory  $\sigma$ - $\rho$ , fooizm, blarbarucja, blaba, fetoryka, baleronik

## **Thesis domain (Socrates-Erasmus subject area codes)**

11.3 Informatyka

## **Subject classification**

D. Software  
D.127. Blabalgorithms  
D.127.6. Numerical blabalysis

## **Tytuł pracy w języku polskim**

Algorytmy parametryzowania i trudność aproksymacji problemu pokrywania zbiorów na płaszczyźnie



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# Chapter 1

## Introduction

This is some very interesting NP-completeness and APX-hardness results about geometric set cover, because the problem is cool.

**Our contribution.** In this work, we prove that unweighted geometric set cover with segments is fixed parameter tractable (FPT).

Moreover, we show that geometric set cover with segments is APX-complete even for unweighted axis-parallel segments, and with  $1/2$ -extension. Therefore, in the efficient polynomial-time approximation scheme (EPTAS) for *fat polygons* by [Har-Peled and Lee, 2009], the assumption about polygons being fat is necessary.

Finally, we show that geometric set cover with weighted segments in 3 directions is W[1]-complete. However, geometric set cover with weighted segments is FPT if we allow  $\delta$ -extension.



## Chapter 2

# Definitions

Some definitions what geometric set cover is.  $\mathcal{P}$  – set of objects,  $\mathcal{C}$  – set of points. Choose  $\mathcal{R} \subset \mathcal{P}$  such that every point in  $\mathcal{C}$  is inside some element from  $\mathcal{R}$  and  $|\mathcal{R}|$  is minimal.

In parametrized setting we only look among  $|\mathcal{R}| \leq k$ . In weighted settings there is some  $f : \mathcal{P} \rightarrow \mathbb{R}$  and we minimize  $\sum_{R \in \mathcal{R}} f(R)$ .



## Chapter 3

# Geometric Set Cover with segments

### 3.1. FPT for segments

#### 3.1.1. Segments parallel to one of the axis

You can find this in Platypus book.

We'll show  $\mathcal{O}(2^k)$  branching algorithm. Let's take point  $K$  that hasn't been covered yet with the smallest coordinate in lexicographical order. We need to cover  $K$  with some of the remaining segments.

We choose one of the 2 directions on which we will cover this point. In this direction we take greedily the segment that will cover the most points (there are points in  $\mathcal{C}$  only on one side of  $K$  in this direction, so all segments covering  $K$  in this direction create monotone sequence of sets – zbiory zstępujące).

#### 3.1.2. Segments in $d$ directions

The same algorithm as before but in complexity  $\mathcal{O}(d^k)$ .

#### 3.1.3. Segments in arbitrary direction

**Theorem 3.1.1 (FPT for segment cover).** *There exists an algorithm that given a family  $\mathcal{P}$  of  $n$  segments (in any direction), a set of  $m$  points  $\mathcal{C}$  and a parameter  $k$ , runs in time  $f(k) \cdot (nm)^c$  for some computable function  $f$  and constant  $c$ , and outputs a subfamily  $\mathcal{R} \subseteq \mathcal{P}$  such that  $|\mathcal{R}| \leq k$  and  $\mathcal{R}$  covers all points in  $\mathcal{C}$ .*

**Proof.** We will show such algorithm in FPT.

If there exist two segments  $a$  and  $b$  in  $\mathcal{P}$ , such that any point covered by  $a$  is also covered by  $b$ , then without loss of generality we can remove segment  $a$  from  $\mathcal{P}$ . We repeat this process until no such  $(a, b)$  pair exists.

Let us first assume that we reduced our instance to a kernel, where *any line* contains no more than  $k$  points.

Since any segment covers a set of colinear points, for such a kernel  $k$  segments can cover only at most  $k^2$  points. Therefore, for the answer to be positive, the number of points has to be at most  $k^2$ . The number of segments is now bounded by  $k^4$ , since if we consider two *extreme* points covered by a given segment, then these pairs must be distinct, otherwise two segments would contain the same set of points. Since both the number of points and the

number of segments is bounded by a function of  $k$ , this instance can be easily solved in time  $O(f(k))$ .

It remains to show how to construct the kernel.

Assume there exists a line  $l$  containing points  $x_1, \dots, x_t$ , where  $t \geq k+1$ . Note that a segment that does not lie on  $l$  can cover only at most one of the points  $x_i$ . Therefore, out of points  $x_1, \dots, x_{k+1}$ , at least one has to be covered by a segment that lies on  $l$ , let us fix  $x_i$  to be the first such point. Then, we can greedily choose a segment that lies on  $l$ , covers  $x_i$ , and also covers the largest number of points  $x_j$  for  $j > i$ .

Since we have at most  $k+1$  choices to branch over and each choice adds a segment to the constructed solution, we obtain an algorithm with complexity  $O(k^k)$ .

## 3.2. APX-completeness for segments parallel to axis

### 3.2.1. Definition of MAX-(3,3)-SAT problem

Here we define MAXSAT problem.

**Theorem 3.2.1 [Håstad, 2001]** *Assume  $NP \not\subseteq DTIME(2^{O(\log n \log \log n)})$ . Then, there exists a constant  $c > 0$ , such that for*

$$\epsilon'(n) = \frac{c \log \log \log n}{\log \log n}$$

*fully satisfiable 3-SAT formulas cannot be distinguished in polynomial time from 3-SAT formulas where no more than  $(7/8 + \epsilon'(n))n$  clauses can be satisfied in polynomial time.*

**Lemma 3.2.1** *Given an instance of MAX-(3,3)-SAT with  $n$  variables and optimal result  $k$ , we can construct an instance of axis-parallel segments in 2D, which optimal result (even with 1/2-extension) is exactly  $15n - k$ .*

**Theorem 3.2.2 (axis-parallel segment set cover with 1/2-extension is APX-hard).** *For sufficiently small  $\epsilon > 0$ , there does not exist an  $(1 + \epsilon)$ -approximation scheme for unweighted geometric set cover with axis-parallel segments in 2D (even with 1/2-extension) (problem is APX-hard).*

**Proof.** Take any  $0 < \epsilon < 1/(15 \cdot 8)$ . Choose  $n$  sufficiently large, so that  $\epsilon'(n)$  from Theorem 3.2.1 is not greater than  $\epsilon$ .

Let's assume that there exists an  $(1 + \epsilon)$ -approximation scheme for unweighted geometric set cover with axis-pararell segments in 2D. We will construct an algorithm distinguishing instances of MAX-(3,3)-SAT in Theorem 3.2.1. Take two instances to be distinguished and using Lemma 3.2.1 and name them satisfiable –  $S_1$  and unsatisfiable –  $S_2$ . Let's construct two instances of geometric set cover and name them respectively  $I_1$  and  $I_2$ .

Use  $(1+\epsilon)$ -approximation scheme for instances of geometric set cover, let's name the result of this approximation for an instance of problem  $I$  as  $approx(I)$ .

From defintion of  $S_1$  and  $S_2$  we have:

$$OPT(S_1) = n$$

$$OPT(S_2) \leq \left(\frac{7}{8} + \epsilon'(n)\right)n$$

From Lemmma 3.2.1 we have:

$$OPT(I_1) = 14n$$

$$OPT(I_2) = 15n - \left(\frac{7}{8} + \epsilon'(n)\right)n$$

Let's prove that  $approx(I_2) > approx(I_1)$ :

$$\begin{aligned} approx(I_2) &\geq OPT(I_2) = 15n - \left(\frac{7}{8} + \epsilon'(n)\right)n = 14n + \left(\frac{1}{8} - \epsilon'(n)\right)n > 14n + \left(\frac{1}{8} - \epsilon\right)n > \\ &> 14n + (15\epsilon - \epsilon)n = 14n + (14\epsilon)n = 14n(1 + \epsilon) = OPT(I_1)(1 + \epsilon) \geq approx(I_1) \end{aligned}$$

Therefore, by using our supposed  $(1 + \epsilon)$  approximation, it's possible to distinguish  $S_1$  from  $S_2$ , since the approximation scheme will always return a smaller value for  $I_1$  than for  $I_2$ . This is a contradiction, hence the approximation scheme cannot exist.

### 3.2.2. Reduction construction

Let's take some instance of MAX-(3,3)-SAT with variables  $x_1, x_2 \dots x_n$  and clauses  $C_1, C_2 \dots C_n$ .

We will create gadgets for choosing the value of variables (*true* or *false*) and checking if the clauses are met (any of the variables were chosen).

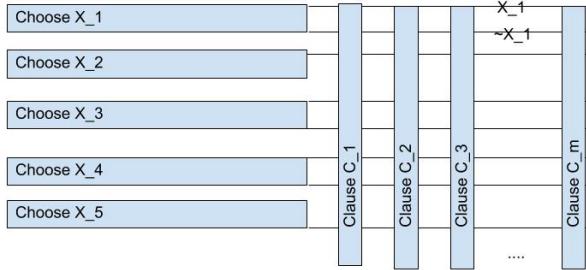


Figure 3.1: General scheme of reduction.

#### Choose $x_i$ gadget

In Figure 3.2, we show a gadget that simulates a single variable  $x_i$ . It consists of six points A, B, C, D, E, F, and several segments. Selecting the segment marked with  $x_i$  to the solution will correspond to setting  $x_i$  to *true*, while selecting the segment marked with  $\neg x_i$  to setting  $x_i$  to *false*. In the following lemmas, we show that this construction indeed models a binary variable.

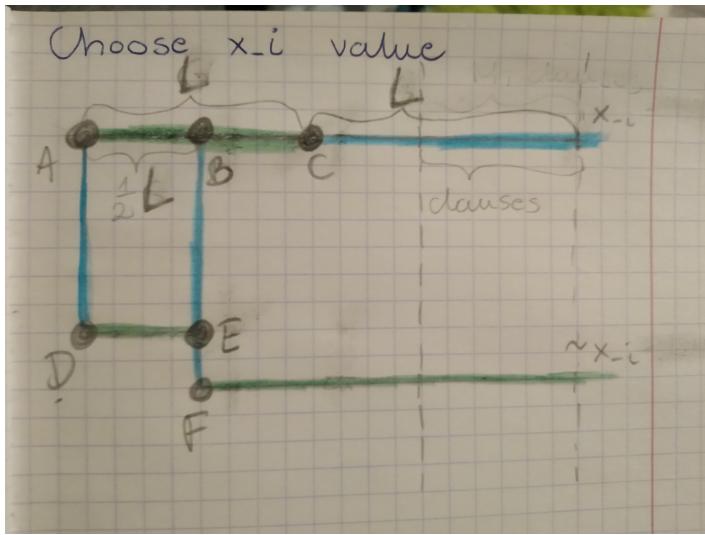


Figure 3.2: Scheme of choose  $x_i$  gadget.

First, note that in the gadget there are exactly two sets of three segments that cover all points  $A, B, C, D, E, F$ . These two sets of segments are marked in Figure 3.2 in blue and green, respectively.

**Lemma 3.2.2** *Points  $A, B, C, D, E, F$  cannot be covered using less than 3 segments (even with 1/2-extensions).*

**Proof.** We need to take at least one segment on line  $ABC$ , because it's the only way to cover  $C$ . All other points ( $D, E, F$ ) are not colinear, so we need at least 2 other segments to cover them.

**Lemma 3.2.3** *If we choose both segments  $x_i$  and  $\neg x_i$ , we need to use at least 4 segments to cover all points  $A, B, C, D, E, F$  (even with 1/2-extensions).*

**Proof.** Choosing both segments  $x_i$  and  $\neg x_i$  we only cover points  $C$  (because  $B$  is too far away to be covered with 1/2-extension) and  $F$ .

The remaining points ( $A, B, D, E$ ) are not colinear, so we need at least two more segments to cover them.

**Lemma 3.2.4** *There exist a solution such that takes a segment  $x_i$  ( $\neg x_i$ ) and 2 other segments, and covers all points  $A, B, C, D, E, F$*

**Proof.** We can choose  $x_i$ ,  $AD$  and  $BF$ .

Alternatively we can choose  $\neg x_i$ ,  $AC$ ,  $DE$ .

**Robustness to 1/2-extension.** Take a look at Figure 3.1. The points will be included in choose gadgets (horizontal boxes) and clause gadgets (vertical boxes).

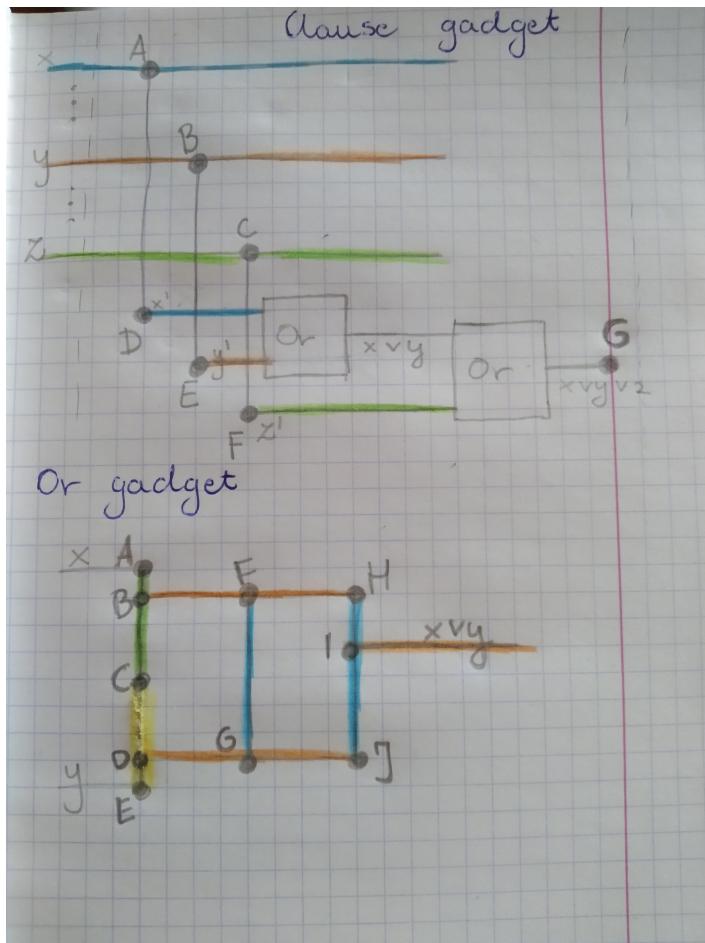
Since segment  $AC$  is very long and colinear with  $x_i$ , after 1/2-extension it will cover a significant part of segment  $x_i$ , even though  $x_i$  will not be chosen.

If we put all the clause gadgets in the area marked with **clauses** at gadget scheme in Figure 3.2, it is enough to prove that  $AC$  will not cover any points in the **clauses** area even with 1/2-extensions.

**Lemma 3.2.5** No points in **clauses** area can be covered by  $AC$  with  $1/2$ -extension.

**Proof.** Bear in mind that length of  $AC$  is equal to length of  $x_i$ . Area **clauses** takes a second half of the segment  $x_i$  and  $AC$  after extension will cover the first half of segment  $x_i$ .

### Clause gadget



**Lemma 3.2.6** In order to cover  $D$  ( $E, F$ ) point at least one of the segments  $AD$  ( $BE, CF$ ) or  $x'$  ( $y', z'$ ).

**Lemma 3.2.7** Points  $A$  and  $D$  can be covered with one additional segment  $x'$  only if  $x$  is already chosen. Otherwise they can be covered with one segment only by using  $AD$ .

**Lemma 3.2.8** Points  $A, B, C, D, E, F$  can be covered with 3 segments and cannot be covered in less even with  $1/2$ -extension.

**Proof.** There are 3 points that aren't pairwise connected, so they have to be covered with 3 separate segments.

Also there exists solution with 3 segments, ie.  $AD, BE, CF$ .

## Or gadget

**Lemma 3.2.9** *Points A, B, C, D, E, F, G, H, I, J can be covered using at least 4 segments and cannot be covered with less even with 1/2-extension.*

**Lemma 3.2.10** *Points A, B, C, D, E, F, G, H, I, J can be covered using 4 segments and segment  $x \vee y$  can be chosen even with 1/2-extension only if at least one of the segments x or y is chosen.*

**Lemma 3.2.11** *The whole caluse gadget can be covered with 11 segments and cannot be covered with less even with 1/2-extension.*

**Lemma 3.2.12** *The whole caluse gadget can be covered with 11 segments and only when one of the variables x, y or z is chosen, otherwise it can be covered with 12 segments.*

### 3.2.3. Proofs of construction Lemma 3.2.1

**Lemma 3.2.13** *Given an instance of MAX-(3,3)-SAT of size n with optimal solution k. For instance of geometric cover, constructed in the aforementioned manner, there exists a solution of weight  $15n - k$ .*

**Proof.** Let's name the assignments of the variables in MAX-(3,3)-SAT instance, that achieve the optimal solution,  $y_1, y_2 \dots y_n$ , Let's cover every clause with solution described in Lemma 3.2.4, in the  $i$ -th segment choosing the segment responsible for value  $y_i$ .

Cover every clause gadget with solution described in Lemma 3.2.12.

This solution uses  $3n + (11m + (m - k)) = 15n - k$  segments.

**Lemma 3.2.14** *Given an instance of MAX-(3,3)-SAT of size n, and solution of size w to the instance of geometric cover, constructed in the aforementioned manner, there exists a solution to MAX-(3,3)-SAT of size at least  $15n - w$ .*

**Proof.** Among the segments responsible for choosing the value of variable  $x_i$ , we need to use at least 3 segments (Lemma 3.2.2). If we have chosen segments responsible for both  $x_i$  and  $\neg x_i$ , then we have used at least 4 segments (Lemma 3.2.3).

If we chose at most one of the variables  $x_i$  and  $\neg x_i$ , choose that value to the solution. If we chose both values, choose the value that appears in most (at least 2) clauses. If we have chosen none of the values, choose any value.

To cover these segments we have used at least  $3n + a$  segments, where  $a$  is the number of variables that we have chosen both values for.

Among the segments responsible for the clause  $C_i = x \vee y \vee z$  we need to use at least 11 segments (Lemma 3.2.11) and if we can cover it with 11 segments, then we have earlier chosen one of the variables  $x, y$  or  $z$ .

So we have 11 segments for satisfied clauses and 12 segments for unsatisfied clauses, so we cover it with at least  $11n + b$  segments, where  $b$  is number of clauses where none of the variables  $x, y, z$  were chosen. If the segment responsible for  $x$  was taken, but this variable is set to have this value, then we have chosen both  $x$  and  $\neg x$  for this variable, so "we cheated" and this maybe clause is not met, but we assigned the value for this  $x_i$  that meets the most clauses, so for each of this variables, at most one of the clauses isn't met.

So there are at most  $a + b$  unsatisfied clauses in this instance, so we have shown the result with result at least  $n - (a + b)$ .

$$w > 3n + a + 11n + b = 14n + a + b$$

$$15n - w < n - (a + b)$$

### Proof of Lemma 3.2.1

Given an instance of MAX-(3,3)-SAT of size  $n$  with optimal result  $k$ . Let's construct an instance of geometric cover, constructed in aforementioned manner.

Given the Lemma 3.2.13, we know the optimal solution for the constructed geometric cover is at most  $17n - k$  and since the  $k$  is optiomal solution for MAX-(3,3)-SAT, then according to Lemma 3.2.14 there doesn't exist a solution with cost lesser than  $17n - k$ .

## 3.3. Weighted segments

### 3.3.1. FPT for weighted segments with $\delta$ -extensions

**Theorem 3.3.1 (FPT for weighted segment cover with  $\delta$ -extensions).** *There exists an algorithm that given a family  $\mathcal{P}$  of  $n$  weighted segments (in any direction), a set of  $m$  points  $\mathcal{C}$  and a parameter  $k$ , runs in time  $f(k) \cdot (nm)^c$  for some computable function  $f$  and constant  $c$ , and outputs a subfamily  $\mathcal{R} \subseteq \mathcal{P}$  such that  $|\mathcal{R}| \leq k$  and  $\mathcal{R}^{+\delta}$  covers all points in  $\mathcal{C}$ .*

To solve this problem we will introduce kernel for slightly different problem: Weighted segment cover of points and segments. In shortcut: WSCPS.

**Lemma 3.3.1 (Algorithm for kernel of WSCPS).** *There exists an algorithm that given a family  $\mathcal{P}$  of  $n$  weighted segments (in any direction), a set of  $m_1$  points  $\mathcal{C}_1$  and  $m_2$  segments  $\mathcal{C}_2$  and a parameter  $k$ , runs in time  $f(k) \cdot g(m_1, m_2) \cdot n^c$  for some computable functions  $f, g$  and constant  $c$ , and outputs a subfamily  $\text{sol} \subseteq \mathcal{P}$  such that  $|\mathcal{R}| \leq k$  and  $\mathcal{R}$  covers all points in  $\mathcal{C}_1$  and all segments in  $\mathcal{C}_2$ .*

**Proof** Only sketch for now.

We can compute dynamic programming  $dp(A, B, z)$  – the best cost to cover at least whole segment  $A, B$  using at most  $z$  segments.  $A, B$  are all interesting points – ends of any segment given on the input or points given on the input. We can compute it in polynomial time.

Then we can create a new double weighted set (original weight, number of used segments from  $\mathcal{P}$ ) –  $\mathcal{P}_2$  that has only segments which never cover partially any segment from  $\mathcal{C}_2$  (covers the whole segment or doesn't cover at all). In such  $\mathcal{P}_2$  we can find solution  $\mathcal{R}$  where any 2 segments have empty intersection (don't cover each other and don't meet at the ends). Because if we had such solution, we can merge these two segments and such segment there's also in  $\mathcal{P}_2$ .

In that case we can find kernel of  $\mathcal{P}_2$  of size  $k \cdot (m_1 + 2m_2)^2$ , because we only need to take the best weight covering some subset of  $\mathcal{C}_1 \cup \mathcal{C}_2$ .

**Lemma 3.3.2 Kernel in WSCPS.** *For segment cover, there is a kernel of size  $f(k)$ .*

**Lemma 3.3.3** *If the biggest  $(1/\delta)^{k+1}$  spaces between points are filled, the whole segment is filled after  $\delta$ -extensions of segments.*

### **3.3.2. W[1]-completeness for weighted segments in 3 directions**

#### **3.3.3. What is missing**

We don't know FPT for axis-pararell segments without  $\delta$ -extensions.

## Chapter 4

# Geometric Set Cover with lines

### 4.1. Lines parallel to one of the axis

When  $\mathcal{R}$  consists only of lines parallel to one of the axis, the problem can be solved in polynomial time.

We create bipartite graph  $G$  with node for every line on the input split into sets:  $H$  – horizontal lines and  $V$  – vertical lines. If any two lines cover the same point from  $\mathcal{C}$ , then we add edge between them.

Of course there will be no edges between nodes inside  $H$ , because all of them are parallel and if they share one point, they are the same lines. Similar argument for  $V$ . So the graph is bipartite.

Now Geometric Set Cover can be solved with Vertex Cover on graph  $G$ . Since Vertex Cover (even in weighted setting) on bipartite graphs can be solved in polynomial time.

Short note for myself just to remember how to this in polynomial time:

Non-weighted setting - Konig theorem + max matching

Weighted setting - Min cut in graph of  $\neg A$  or  $\neg B$  (edges directed from  $V$  to  $H$ )

### 4.2. FPT for arbitrary lines

You can find this in Platypus book. We will show FPT kernel of size at most  $k^2$ .

(Maybe we need to reduce lines with one point/points with one line).

For every line if there is more than  $k$  points on it, you have to take it. At the end, if there is more than  $k^2$  points, return NO. Otherwise there is no more than  $k^4$  lines.

In weighted settings among the same lines with different weights you leave the cheapest one and use the same algorithm.

### 4.3. APX-completeness for arbitrary lines

We will show a reduction from Vertex Cover problem. Let's take an instance of the Vertex Cover problem for graph  $G$ . We will create a set of  $|V(G)|$  pairwise non-parallel lines, such that no three of them share a common point.

Then for every edge in  $(v, w) \in E(G)$  we put a point on crossing of lines for vertices  $v$  and  $w$ . They are not parallel, so there exists exactly one such point and any other line don't cover this point (any three of them don't cross in the same point).

Solution of Geometric Set Cover for this instance would yield a sound solution of Vertex Cover for graph  $G$ . For every point (edge) we need to choose at least one of lines (vertices)  $v$  or  $w$  to cover this point.

Vertex Cover for arbitrary graph is APX-complete, so this problem is also APX-complete.

#### 4.4. 2-approximation for arbitrary lines

Vertex Cover has an easy 2-approximation algorithm, but here very many lines can cross through the same point, so we can do  $d$ -approximation, where  $d$  is the biggest number of lines crossing through the same point. So for set where any 3 lines don't cross in the same point it yields 2-approximation.

The problematic cases are where through all points cross at least  $k$  points and all lines have at least  $k$  points on them. It can be created by casting  $k$ -grid in  $k$ -D space on 2D space.

Greedy algorithm yields  $\log |\mathcal{R}|$ -approximation, but I have example for this for bipartite graph and reduction with taking all lines crossing through some point (if there are no more than  $k$ ) would solve this case. So maybe it works.

Unfortunaly I haven't done this :(

I can link some papers telling it's hard to do.

#### 4.5. Connection with general set cover

Problem with finite set of lines with more dimensions is equivalent to problem in 2D, because we can project lines on the plane which is not perpendicular to any plane created by pairs of (point from  $\mathcal{C}$ , line from  $\mathcal{P}$ ).

Of course every two lines have at most one common point, so is every family of sets that have at most one point in common equivalent to some geometric set cover with lines?

No, because of Desargues's theorem. Have to write down exactly what configuration is banned.

## Chapter 5

# Geometric Set Cover with polygons

### 5.1. State of the art

Covering points with weighted discs admits PTAS [Li and Jin, 2015] and with fat polygons with  $\delta$ -extensions with unit weights admits EPTAS [Har-Peled and Lee, 2009].

Although with thin objects, even if we allow  $\delta$ -expansion, the Set Cover with rectangles is APX-complete (for  $\delta = 1/2$ ), it follows from APX-completeness for segments with  $\delta$ -expansion in Section 3.2.

Covering points with squares is W[1]-hard [Marx, 2005]. It can be proven that assuming SETH, there is no  $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{k-\epsilon}$  time algorithm for any computable function  $f$  and  $\epsilon > 0$  that decides if there are  $k$  polygons in  $\mathcal{P}$  that together cover  $\mathcal{C}$ , *Theorem 1.9* in [Marx and Pilipczuk, 2015].



# Chapter 6

## Conclusions



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