

# Approximation and parameterization of Segment Set Cover

Katarzyna Kowalska, Michał Pilipczuk

University of Warsaw, MIMUW

*kk371053@students.mimuw.edu.pl*

21.06.2022

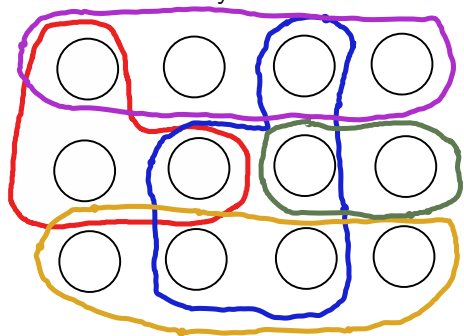
- 1 SET COVER
  - GEOMETRIC SET COVER
  - SEGMENT SET COVER
- 2  $\delta$ -extension
- 3 Approximation
- 4 Parameterization
- 5 WEIGHTED SEGMENT SET COVER

# Problem Statement: SET COVER

**Input:** universe  $\mathcal{U}$ , family  $S$  of subsets of  $\mathcal{U}$

**Output:**  $C \subseteq S$  such that  $|C|$  is minimal and  $\bigcup C = \mathcal{U}$

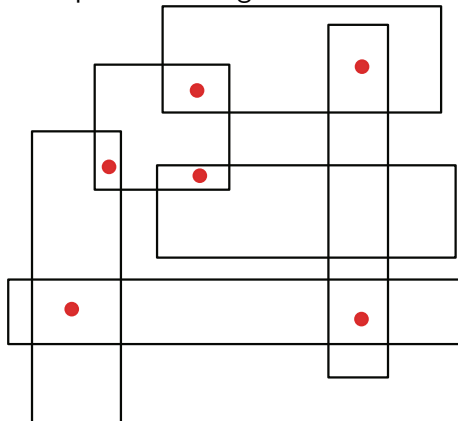
Minimal subfamily that covers all elements in  $\mathcal{U}$ .



# Problem Statement: GEOMETRIC SET COVER

- Universe  $\mathcal{U}$  is set of points in the plane
- Sets  $S$  are some geometric shapes
- Formally, each set in  $S$  is intersection of  $\mathcal{U}$  with some geometric shape.

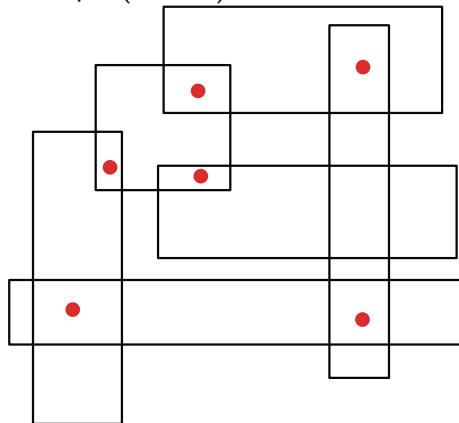
Example for rectangles:



# Problem Statement: SEGMENT SET COVER

- Universe  $\mathcal{U}$  is set of points in the plane
- Sets  $S$  are segments.

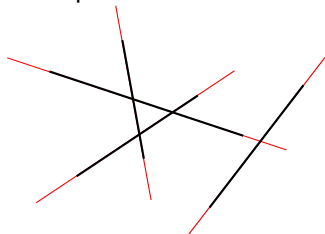
Example (TODO):



# $\delta$ -extension for SEGMENT SET COVER

- $\delta$ -extension for a segment is a segment which is longer by  $\delta$  fraction at both ends
- We accept solution in which segments cover solution after  $\delta$ -extension
- The solution is compared to optimal solution without extension.

Example:



It is based on similar concept of  $\delta$ -shrinking, which helped to provide FPT algorithm and EPTAS for (originally W[1]-hard and APX-hard) MAXIMUM WEIGHT INDEPENDENT SET OF RECTANGLES.

# Preliminaries: Approximation

Given:

- instance  $I$  of the optimization problem (looking for minimal solution)
- weight of the optimal solution  $\text{opt}(I)$

$p$ -approximation is algorithm that yields solution of weight not larger than  $p \cdot \text{opt}(I)$

## PTAS – polynomial time approximation scheme

For every  $\epsilon > 0$ , there exists  $(1 + \epsilon)$ -approximation algorithm running in time  $n^{f(\epsilon)}$  for any computable function  $f$ .

Example: KNAPSACK.

## APX-hardness

For sufficiently small  $\epsilon > 0$ , if  $(1 + \epsilon)$ -approximation exists, then  $P = NP$ .  
Example: MAX-3-SAT (how many clauses in 3-SAT can be solved)

# Approximation results for SET COVER from literature

## SET COVER

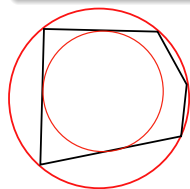
- $\log n$  approximation, no  $o(\log n)$  approximation assuming  $P \neq NP$

## GEOMETRIC SET COVER

- with fat rectangles is APX-hard
- EPTAS for FAT polygons with  $\delta$ -extensions [**Har-Peled and Lee, 2012**]

## Definition

Fatness of polygons  $\tau$  is a ratio between a radius of circle circumscribed on this polygon and inscribed in this polygon.





# MAX-(3,3)-SAT

How do we prove that there doesn't exist  $(1 + \epsilon)$ -approximation?

## MAX-(3,3)-SAT problem

Given 3-SAT instance with  $n$  variables,  $n$  clauses and every variable appears in exactly 3 clauses, find an assignment that satisfies the maximum number of clauses (not necessarily all of them).

MAX-(3,3)-SAT is NP-complete, therefore:

## NP-completeness

Distinguishing if result of MAX-(3,3)-SAT is  $n$  or less than  $n$  is NP-complete.

# PCP theorem

## PCP Theorem

$\text{NP} = \text{PCP}(\log n, \mathcal{O}(1))$ .

## Remark

Result of MAX-(3,3)-SAT is not less than  $\frac{7}{8}n$

## PCP theorem implication

Distinguishing if result of MAX-(3,3)-SAT is  $n$  or at most  $(\frac{7}{8} + \epsilon)n$  is NP-hard.

Therefore MAX-(3,3)-SAT does not have  $(\frac{7}{8} + \epsilon)$ -approximation

# Approximation of SEGMENT SET COVER

## Theorem 1

SEGMENT SET COVER is APX-hard, i.e. there exists such  $\epsilon > 0$  that  $(1 + \epsilon)$ -approximation does not exist unless  $P = NP$ .

How can we make this problem *easier*?

- Allow only segments in 2 directions (parallel to the two axes)
- Allow segments to *almost* cover the points, i.e.  $\delta$ -extensions

## Theorem [Har-Peled and Lee, 2012]

Set cover with polygons of bounded fatness (at least  $\tau$ ) with  $\delta$ -extension has EPTAS.

## Theorem 1 (rephrased)

SEGMENT SET COVER is APX-hard, even if segments are axis-parallel and problem is relaxed with  $\frac{1}{2}$ -extension.

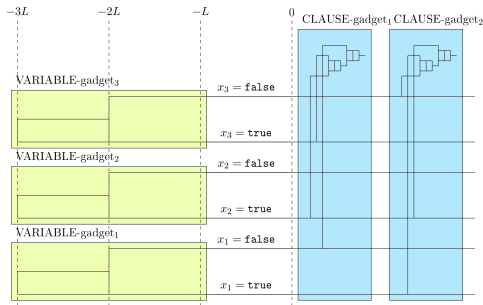


Figure: Scheme of the construction.

# Results for approximation of GEOMETRIC SET COVER

	exact	$\delta$ -extensions
fat polygons	APX-hard [Chan and Grant, 2014]	EPTAS [Har-Peled and Lee, 2012]
segments	APX-hard*	APX-hard (Theorem 1)
any polygons	APX-hard*	APX-hard*

Results marked with \* follow from results for more restricted settings.

# Parameterized algorithms vs. $W[1]$ -hardness

Given:

- parameter  $k$  (usually size of the solution)
- size of the instance  $n$

Class	Upper Bound of Complexity	Example
$W[1]$ -hard	$n^{O(k)}$	$k$ -CLIQUE, GRID TILING
FPT	$f(k) \cdot n^{O(1)}$	VERTEX COVER

SET COVER is:

- $W[2]$ -complete
- no algorithm with running time  $n^{k-\epsilon}$  for any  $\epsilon > 0$

## Theorem 2

SEGMENT SET COVER parameterized by the solution size  $k$  can be solved in time  $k^k \cdot n^{O(1)}$ .

**Technique:** Branching over at most  $k + 1$  segments on the lines with more than  $k$  points on them.

# Definition of WEIGHTED SEGMENT SET COVER

**Input:** universe  $\mathcal{U}$ , subfamily  $S$  of subsets of  $\mathcal{U}$  and function of weights assigned to sets  $w : S \rightarrow \mathbb{N}$

**Output:**  $C \subseteq S$  such that  $\sum_{c \in C} w(c)$  is minimal and  $\bigcup C = \mathcal{U}$   
Minimal subfamily that covers all elements in  $\mathcal{U}$ .

**In parameterized setting:** We look for the *best* solution with restricted size  $|C| \leq k$ .

**In approximation setting:** It is still APX-hard, nothing interesting

**FPT results for weighted problems in literature:**

- general weighted FPT framework [Shachnai and Zehavi, 2017]
- kernels for WEIGHTED SUBSET SUM, WEIGHTED KNAPSACK [Etscheid et al., 2017]
- WEIGHTED *st*-CUT WEIGHTED DIRECTED FEEDBACK SET [Kim et al., 2021]



## Theorem 3

WEIGHTED SEGMENT SET COVER is  $W[1]$ -hard and there does not exist an algorithm running in time  $n^{o(\sqrt{k})}$ .

How can we make this problem easier?

- Allow less directions (maybe just two parallel to axes)
- Allow  $\delta$ -extension

## Theorem 4

WEIGHTED SEGMENT SET COVER relaxed with  $\delta$ -extensions has an FPT algorithm.

It can be solved with algorithm running in time  $\mathcal{O}((2 + k/\delta)^k \cdot n^{O(1)})$ .

**Technique intuition:** Provide a kernel where we choose  **$k$ -good** set of points of size at most  $f(k, \delta)$  on each line with more than  $k + 1$  points on them.

Subset of a set of collinear points  $S$  is  **$k$ -good** if no matter how we cover it with  $k$  segments, then these segments after  $\delta$ -extension cover all points from the original set of points  $S$ .

## Theorem 3

WEIGHTED SEGMENT SET COVER is  $W[1]$ -hard and there does not exist an algorithm running in time  $n^{o(\sqrt{k})}$  even when segments are limited to 3 directions.

This result is particularly interesting, because unweighted problem has an FPT algorithm.

## Future work:

- prove Theorem 3 for axis-parallel segments (2 directions);
- remove the gap between the lower bound of complexity  $n^{o(\sqrt{k})}$  and algorithm in  $n^{O(k)}$ .

# Results of parameterization of SEGMENT SET COVER

	exact	$\delta$ -extensions
unweighted	FPT (Theorem 2)	FPT*
weighted 2 directions	???	FPT*
weighted 3 directions	W[1]-hard (Theorem 3)	FPT*
weighted any directions	W[1]-hard*	FPT (Theorem 4)