## University of Warsaw

Faculty of Mathematics, Informatics and Mechanics

## Katarzyna Kowalska

Student no. 371053

# Approximation and Parametrized Algorithms for Segment Set Cover

Master's thesis in COMPUTER SCIENCE

Supervisor: dr Michał Pilipczuk Instytut Informatyki

## 10 Supervisor's statement

- Hereby I confirm that the presented thesis was prepared under my supervision and that it fulfils the requirements for the degree of Master of Computer Science.
- Date Supervisor's signature

#### $_{14}$ Author's statement

Hereby I declare that the presented thesis was prepared by me and none of its contents was obtained by means that are against the law.

The thesis has never before been a subject of any procedure of obtaining an academic degree.

Moreover, I declare that the present version of the thesis is identical to the attached electronic version.

21 Date Author's signature

22	${f Abstract}$
23 24	The work presents a study of different geometric set cover problems. It mostly focuses on segment set cover and its connection to the polygon set cover.
25	${f Keywords}$
26 27	set cover, geometric set cover, FPT, W[1]-completeness, APX-completeness, PCP theorem, NP-completeness
28	Thesis domain (Socrates-Erasmus subject area codes)
29 30	11.3 Informatyka
31	Subject classification
32 33 34	D. Software D.127. Blabalgorithms D.127.6. Numerical blabalysis
35	Tytuł pracy w języku polskim
36	Algorytmy parametryzowania i trudność aproksymacji problemu pokrywania zbiorów

odcinkami na płaszczyźnie

# 38 Contents

39	1.	Introduction
40	2.	Definitions
41		2.1. Geometric Set Cover
42		2.2. Approximation
43		2.3. $\delta$ -extensions
44	3.	APX-completeness Geometric Set Cover
45	٠.	3.1. APX-completeness for segments parallel to axes
46		3.1.1. MAX-(3,3)-SAT and statement of reduction
47		3.1.2. Reduction
48		3.1.2.1. VARIABLE-gadget
49		3.1.2.2. OR-gadget
50		3.1.2.3. CLAUSE-gadget
		3.1.2.4. Summary
51		3.1.2.5. Summary of construction
52		3.1.3. Construction lemmas and proof of Lemma 3.1
53		5.1.5. Construction lemmas and proof of Lemma 5.1
54	4.	FPT for Geometric Set Cover for segments with $\delta$ -extensions
55		4.1. FPT for segments
56		4.1.1. Axis-parallel segments
57		4.1.2. Segments in arbitrary directions
58		4.2. FPT for weighted segments with $\delta$ -extensions
59	<b>5</b> .	W[1]-completeness for weighted segments in 3 directions
60	6.	Geometric Set Cover with lines
61		6.1. Lines parallel to one of the axis
62		6.2. FPT for arbitrary lines
63		6.3. APX-completeness for arbitrary lines
64		6.4. 2-approximation for arbitrary lines
65		6.5. Connection with general set cover
66	7.	Geometric Set Cover with polygons
67	•	7.1. State of the art
60	8	Conclusions

## 69 Chapter 1

## Introduction

The Set Cover problem is one of the most common NP-complete problems. [tutaj referencja]
We are given a family of sets and have to choose the smallest subfamily of these sets that cover
all their elements. This problem naturally extends to settings were we put different weights
on the sets and look for the subfamily of the minimal weight. This problem is NP-complete
even without weights and if we put restrictions on what the sets can be. One of such variants
is Vertex Cover problem, where sets have size 2 (they are edges in a graph).

In this work we focus on another such variant where the sets correspond to some geometric shapes and only some points of the plane have to be covered. When these shapes are rectangles with edges parallel to the axis, the problem can be proven to be W[1]-complete (solution of size k cannot be found in  $n^o(k)$  time), APX-complete (for suffciently small  $\epsilon > 0$ , the problem does not admit  $1 + \epsilon$ -approximation scheme) [refrencje].

Some of these settings are very easy. Set cover with lines parallel to one of the axis can be solved in polynomial time.

There is a notion of  $\delta$ -expansions, which loosen the restrictions on geometric set cover. We allow the objects to cover the points after  $\delta$ -expansion and compare the result to the original setting. This way we can produce both FPT and EPTAS for the rectangle set cover with  $\delta$ -extensions [referencie].

Our contribution. In this work, we prove that unweighted geometric set cover with segments is fixed parameter tractable (FPT).

Moreover, we show that geometric set cover with segments is APX-complete for unweighted axis-parallel segments, even with 1/2-extensions. So the problem for very thin rectangles also can't admit PTAS. Therefore, in the efficient polynomial-time approximation scheme (EPTAS) for *fat polygons* by [Har-Peled and Lee, 2009], the assumption about polygons being fat is necessary.

Finally, we show that geometric set cover with weighted segments in 3 directions is W[1]-complete. However, geometric set cover with weighted segments is FPT if we allow  $\delta$ -extension.

This result is especially interesting, since it's counter-intuitive that the unweighed setting is FPT and the weighted setting is W[1]-complete. Most of such problems (like vertex cover or [wiecej przykladow]) are equally hard in both weighted and unweighted settings.

## Chapter 2

## Definitions

#### 103 2.1. Geometric Set Cover

In the geometric set cover problem we are are given  $\mathcal{P}$  – a set of objects, which are connected subsets of the plane,  $\mathcal{C}$  – a set of points in the plane. The task is to choose  $\mathcal{R} \subseteq \mathcal{P}$  such that every point in  $\mathcal{C}$  is inside some element from  $\mathcal{R}$  and  $|\mathcal{R}|$  is minimized.

In the parametrized setting for a given k, we only look for a solution  $\mathcal{R}$  such that  $|\mathcal{R}| \leq k$ .

In the weighted setting, there is some given weight function  $f: \mathcal{P} \to \mathbb{R}^+$ , and we would like to find a solution  $\mathcal{R}$  that minimizes  $\sum_{R \in \mathcal{R}} f(R)$ .

## 110 2.2. Approximation

Let us recall some definitions related to optimization problems that are used in the following sections.

Definition 2.1. A polynomial-time approximation scheme (PTAS) for a minimization problem  $\Pi$  is a family of algorithms  $\mathcal{A}_{\epsilon}$  for every  $\epsilon > 0$  such that  $\mathcal{A}_{\epsilon}$  takes an instance I of  $\Pi$  and in polynomial time finds a solution that is within a factor  $(1 + \epsilon)$  of being optimal. That means the reported solution has weight at most  $(1 + \epsilon)opt(I)$ , where opt(I) is the weight of an optimal solution for I.

**Definition 2.2.** A problem  $\Pi$  is **APX-hard** if assuming  $P \neq NP$ , there exists  $\epsilon > 0$  such that there is no polynomial-time  $(1 + \epsilon)$ -approximation algorithm for  $\Pi$ .

#### $\sim$ 2.3. $\delta$ -extensions

121 TODO PLACEHOLDER for introductory text

 $\delta$ -extensions is one of the modifications to a problem, that makes geometric set cover problem easier, it has been already used in literature (place some refrence here).

Definition 2.3 ( $\delta$ -extensions for center-symmetric objects). For any  $\delta > 0$  and a center-symmetric object L with centre of symmetry  $S = (x_s, y_s)$ , the  $\delta$ -extension of L is the object  $L^{+\delta} = \{(1 + \delta) \cdot (x - x_s, y - y_s) + (x_s, y_s) : (x, y) \in L\}$ , that is,  $L^{+\delta}$  is the image of L under homothety centered at S with scale  $(1 + \delta)$ 

The geometric set cover problem with  $\delta$ -extensions is a modified version of geometric set cover where:

- We need to cover all the points in C with objects from  $\{P^{+\delta}: P \in P\}$  (which always include no fewer points than the objects before  $\delta$ -extensions);
- We look for a solution that is no larger than the optimum solution for the original problem. Note that it does not need to be an optimal solution in the modified problem.
- Formally, we have the following.

Definition 2.4 (Geometric set cover problem with δ-extensions). The geometric set cover problem with δ-extensions is the problem where for an input instance  $I = (\mathcal{P}, \mathcal{C})$ , the task is to output a solution  $\mathcal{R} \subseteq \mathcal{P}$  such that the δ-extended set  $\{R^{+\delta} : R \in \mathcal{R}\}$  covers  $\mathcal{C}$  and is no larger than the optimal solution for the problem without extensions, i.e.  $|\mathcal{R}| \leq |opt(I)|$ .

TODO: Some text

130

131

Definition 2.5 (Geometric set cover PTAS with δ-extensions). We define a PTAS for geometric set cover with δ-extensions as a family of algorithms  $\{\mathcal{A}_{\delta,\epsilon}\}_{\delta,\epsilon>0}$  that each takes as an input instance  $I=(\mathcal{P},\mathcal{C})$ , and in polynomial-time outputs a solution  $\mathcal{R}\subseteq\mathcal{P}$  such that the δ-extended set  $\{R^{+\delta}:R\in\mathcal{R}\}$  covers  $\mathcal{C}$  and is within a  $(1+\epsilon)$  factor of the optimal solution for this problem without extensions, i.e.  $(1+\epsilon)|\mathcal{R}|\leq |opt(I)|$ .

## <sup>145</sup> Chapter 3

# APX-completeness Geometric Set Cover

### 3.1. APX-completeness for segments parallel to axes

- In this section we analyze whether there exists PTAS for geometric set cover for rectangles. 149
- We show that we can restrict this problem to a very simple setting: segments parallel to axes 150
- and allow (1/2)-extension, and the problem is still APX-hard. Note that segments are just 151
- degenerated rectangles with one side being very narrow. 152
- Our results can be summarized in the following theorem and this section aims to prove it.
- Theorem 3.1. (axis-parallel segment set cover with 1/2-extension is APX-hard). 154
- Unweighted geometric set cover with axis-parallel segments in 2D (even with 1/2-extension)
- is APX-hard. That is, assuming  $P \neq NP$ , there does not exist a PTAS for this problem.
- Theorem 3.1 implies the following.
- Corollary 3.1. (rectangle set cover is APX-hard). Unweighted geometric set cover with 158 rectangles (even with 1/2-extension) is APX-hard. 159
- We prove Theorem 3.1 by taking a problem that is APX-hard and showing a reduction. 160 For this problem we choose MAX-(3,3)-SAT which we define below.
- 3.1.1. MAX-(3,3)-SAT and statement of reduction 162
- **Definition 3.1.** MAX-3SAT is the following maximization problem. We are given a 3-CNF 163 formula, and need to find an assignment of variables that satisfies the most clauses. 164
- **Definition 3.2.** MAX-(3,3)-SAT is a variant of MAX-3SAT with an additional restriction 165
- that every variable appears in exactly 3 clauses. Note that thus, the number of clauses is 166
- equal to the number of variables. 167

161

- In our proof of Theorem 3.1 we use hardness of approximation of MAX-(3,3)-SAT proved 168 in [Håstad, 2001] and described in Theorem 3.2 below. 169
- **Definition 3.3** ( $\alpha$ -satisfiable MAX-3SAT formula). MAX-3SAT formula of size n is at most 170  $\alpha$ -satisfiable, if every assignment of variables satisfies no more than  $\alpha n$  clauses. 171
- Theorem 3.2. [Håstad, 2001] 172
- For any  $\epsilon > 0$ , it is NP-hard to distinguish satisfiable (3,3)-SAT formulas from at most 173  $(7/8 + \epsilon)$ -satisfiable (3,3)-SAT formulas.

Given an instance I of MAX-(3,3)-SAT, we construct an instance J of axis-parallel segment set cover problem, such that for a sufficiently small  $\epsilon > 0$ , a polynomial time  $(1 + \epsilon)$ approximation algorithm for J would be able to distinguish whether an instance I of MAX(3,3)-SAT is fully satisfiable or is at most  $(7/8+\epsilon)$ -satisfiable. However, according to (Theorem
3.2) the latter problem is NP-hard. This would imply P = NP, contradicting the assumption.

The following lemma encapsulates the properties of the reduction described in this section,
and it allows us to prove Theorem 3.1.

Lemma 3.1. Given an instance S of MAX-(3,3)-SAT with n variables and optimum value opt(S), we can construct an instance I of geometric set cover with axis-parallel segments in 2D, such that:

- 185 (1) For every solution X of instance I, there exists a solution of S that satisfies at least 15n-|X| clauses.
- 187 (2) For every solution of instance S that satisfies w clauses, there exists a solution of I of size 15n w.
- 189 (3) Every solution with 1/2-extensions of I is also a solution to the original instance I. 190 Therefore, the optimum size of a solution of I is opt(I) = 15n - opt(S).

We prove Lemma 3.1 in subsequent sections, but meanwhile let us prove Theorem 3.1 using Lemma 3.1 and Theorem 3.2.

193 Proof of Theorem 3.1.

196

197 198

199

200

201

203

204

Consider any  $0 < \epsilon < 1/(15 \cdot 8)$ .

Let us assume that there exists a polynomial-time  $(1 + \epsilon)$ -approximation algorithm for unweighted geometric set cover with axis-parallel segments in 2D with (1/2)-extensions. We construct an algorithm that solves the problem stated in Theorem 3.2, thereby proving that P = NP.

Take an instance S of MAX-(3,3)-SAT to be distinguished and construct an instance of geometric set cover I using Lemma 3.1. We now use the  $(1 + \epsilon)$ -approximation algorithm for geometric set cover on I. Denote the size of the solution returned by this algorithm as approx(I). We prove that if in S one can satisfy at most  $(\frac{7}{8} + \epsilon)n$  clauses, then  $approx(I) \ge 15n - (\frac{7}{8} + \epsilon)n$  and if S is satisfiable, then  $approx(I) < 15n - (\frac{7}{8} + \epsilon)n$ .

Assume S satisfiable. From the definition of S being satisfiable, we have:

$$opt(S) = n.$$

From Lemma 3.1 we have:

$$opt(I) = 14n.$$

Therefore,

$$approx(I) \le (1+\epsilon)opt(I) = 14n(1+\epsilon) = 14n + 14\epsilon \cdot n =$$

$$= 14n + (15\epsilon - \epsilon)n < 14n + \left(\frac{1}{8} - \epsilon\right)n = 15n - \left(\frac{7}{8} + \epsilon\right)n$$

Assume S is at most  $(\frac{7}{8} + \epsilon)$  satisfiable. From the defintion of S being at most  $(\frac{7}{8} + \epsilon)$  n satisfiable, we have:

$$opt(S) \le \left(\frac{7}{8} + \epsilon\right)n$$

From Lemma 3.1 we have:

$$opt(I) \ge 15n - \left(\frac{7}{8} + \epsilon\right)n$$

Since a solution to I with  $\frac{1}{2}$ -extensions is also a solution without extentions, by Lemma 3.1 (3.), we have:

$$approx(I) \ge opt(I) = 15n - \left(\frac{7}{8} + \epsilon\right)n$$

Therefore, by using the assumed  $(1 + \epsilon)$ -approximation algorithm, it is possible to distinguish the case when S is satisfiable from the case when it is at most  $(\frac{7}{8} + \epsilon)n$  satisfiable, it suffices to compute approx(I) with  $15n - (\frac{7}{8} + \epsilon)n$ . Hence, the assumed approximation algorithm cannot exist, unless P = NP.

#### 11 3.1.2. Reduction

216

217

218

We proceed to the proof of Lemma 3.1. That is, we show a reduction from MAX-(3,3)-SAT problem to geometric set cover with segments parallel to axis. Moreover, the obtained instance of geometric set cover will be robust to 1/2-extensions (have the same optimal solution after 1/2-extension).

The construction will be composed of 2 types of gadgets: **VARIABLE-gadgets** and **CLAUSE-gadgets**. CLAUSE-gadgets would be constructed using two **OR-gadgets** connected together.

#### 3.1.2.1. VARIABLE-gadget

VARIABLE-gadget is responsible for choosing the value of a variable in a CNF formula. It allows two minimum solutions of size 3 each. These two choices correspond to the two Boolean values of the variable corresponding to this gadget.

Points. Define points a, b, c, d, e, f, g, h as follows, where L = 12n:



Figure 3.1: **VARIABLE-gadget.** We denote the set of points marked with black circles as  $pointsVariable_i$ , and they need to be covered (are part of the set  $\mathcal{C}$ ). Note that some of the points are not marked as black dots and exists only to name segments for further reference. We denote the set of red segments as  $chooseVariable_i^{false}$  and the set of blue segments as  $chooseVariable_i^{true}$ .

$$a = (-L, 0)$$
  $b = (-\frac{2}{3}L, 0)$   $c = (-\frac{1}{3}L, 0)$   $d = (-L, 1)$   
 $e = (-\frac{2}{3}L, 1)$   $f = (-\frac{2}{3}L, 2)$   $g = (L, 0)$   $h = (L, 2)$ 

Let us define:

pointsVariable = 
$$\{a, b, c, d, e, f\}$$

and

224

$$pointsVariable_i = pointsVariable + (0, 4i)$$

We denote  $a_i = a + (0, 4i)$  etc.

226 **Segments.** Let us define:

$$\begin{aligned} &\mathsf{chooseVariable}_i^{true} = \{(a_i, d_i), (b_i, f_i), (c_i, g_i)\} \\ &\mathsf{chooseVariable}_i^{false} = \{(a_i, c_i), (d_i, e_i), (f_i, h_i)\} \end{aligned}$$

 $\mathsf{segmentsVariable}_i^f = \mathsf{chooseVariable}_i^{true} \cup \mathsf{chooseVariable}_i^{false}$ 

- Lemma 3.2. For any  $1 \le i \le n$ , points in pointsVariable<sub>i</sub> can be covered using 3 segments from segmentsVariable<sub>i</sub>.
- 229 Proof. We can use either set chooseVariable<sub>i</sub> or chooseVariable<sub>i</sub>  $\Box$
- Lemma 3.3. For any  $1 \le i \le n$ , points in pointsVariable<sub>i</sub> can not be covered with fewer than  $3 \text{ segments from segmentsVariable}_i$ .
- 232 Proof. No segment of segmentsVariable<sub>i</sub> covers more than one point from  $\{d_i, f_i, c_i\}$ , therefore 233 pointsVariable<sub>i</sub> can not be covered with fewer than 3 segments.
- Lemma 3.4. For every set  $A \subseteq \text{segmentsVariable}_i$  such that A covers  $\text{pointsVariable}_i$  and  $(c_i, g_i), (f_i, h_i) \in A$ , it holds that  $|A| \ge 4$ .
- 236 Proof. No segment from segmentsVariable<sub>i</sub> covers more than one point from  $\{a_i, e_i\}$ , therefore pointsVariable<sub>i</sub>  $\{c_i, f_i, g_i, h_i\}$  can not be covered with fewer than 2 segments.

#### 238 3.1.2.2. OR-gadget

- OR-segment connects input and output segments that are connected to other parts of constructions.
- Output segment is part of OR-segment, but iunput is not.
- For every solution  $\mathcal{R}$  of the whole construction. Define  $\mathcal{R}'$  as intersection of  $\mathcal{R}$  and the gadget segments. Minimum solution of OR-gadget has size w, i.e.  $\mathcal{R}' \leq w$ . output segments can be part of  $\mathcal{R}'$  only if  $input_x$  or  $input_y$  are part of the chosen solution  $\mathcal{R}$ . If none of them are chosen, then solution containing output segment has weight at least w+1. Therefore the following formula holds:

$$output \in \mathcal{R}' \land |\mathcal{R}'| = w \Rightarrow (x \in R) \lor (y \in R)$$

Only 3 points that belong to this segment:  $l_{i,j}, p_{i,j}, v_{i,j}$  can be covered by segment not from the OR-gadget.



Figure 3.2: **OR-gadget.** Figure presenting OR-gadget: segments from chooseOr $_{i,j}^{false}$  are red, segments from choose $Or_{i,j}^{true}$  are blue, segments from or $MoveVariable_{i,j}$  are yellow and green. Dark blue segment is an output segment. Grey segments  $input_x$  and  $input_y$  are input segments that are not part of segments $Or_{i,j}$ .

Points. 249

250

$$l_0 = (0,0)$$
  $m_0 = (0,1)$   $n_0 = (0,2)$   $o_0 = (0,3)$   
 $p_0 = (0,4)$   $q_0 = (1,1)$   $r_0 = (1,3)$   $s_0 = (2,1)$   
 $t_0 = (2,2)$   $u_0 = (2,3)$   $v_0 = (3,2)$ 

$$vec_{i,j} = (10i + 3 + 3j, 4n + 2j)$$

Define  $\{l_{i,j}, m_{i,j} \dots v_{i,j}\}$  as  $\{l_0, m_0 \dots v_0\}$  shifted by  $vec_{i,j}$ 251 252

Note that  $v_{i,0} = l_{i,1}$  (see Figure 3.3)

$$\mathsf{pointsOr}_{i,j} = \{l_{i,j}, m_{i,j}, n_{i,j}, o_{i,j}, p_{i,j}, q_{i,j}, r_{i,j}, s_{i,j}, t_{i,j}, u_{i,j}\}$$

Note that  $pointsOr_{i,j}$  does not include point  $v_{i,j}$ 253

**Segments.** We define names subsets of segments, to refer to them in lemmas.

$$\begin{aligned} \text{chooseOr}_{i,j}^{false} &= \{(q_{i,j}, r_{i,j}), (s_{i,j}, u_{i,j})\} \\ \text{chooseOr}_{i,j}^{true} &= \{(m_{i,j}, s_{i,j}), (o_{i,j}, u_{i,j}), (t_{i,j}, v_{i,j})\} \end{aligned}$$

orMoveVariable<sub>i,j</sub> = {
$$(l_{i,j}, n_{i,j}), (n_{i,j}, p_{i,j})$$
}

Segments in OR-gadget: 255

$$\mathsf{segmentsOr}_{i,j} = \mathsf{chooseOr}_{i,j}^{false} \cup \mathsf{chooseOr}_{i,j}^{true} \cup \mathsf{orMoveVariable}_{i,j}$$

**Lemma 3.5.** For any  $1 \le i \le n, j \in \{0, 1\}$  and  $x \in \{l_{i,j}, p_{i,j}\}$ , points in points  $Or_{i,j} - \{x\} \cup I$  $\{v_{i,j}\}$  can be covered with 4 segments from segments  $\mathsf{Or}_{i,j}$ .

*Proof.* We can do that using one segment from orMoveVariable<sub>i,j</sub>, the one that does not cover x, and all segments from  $\mathsf{chooseOr}^{true}_{i,j}$ .

Lemma 3.6. For any  $1 \le i \le n, j \in \{0,1\}$ , points in points $Or_{i,j}$  can be covered with 4 segments from segments $Or_{i,j}$ .

262 Proof. We can do that using segments from  $\mathsf{orMoveVariable}_{i,j}$  and  $\mathsf{chooseOr}_{i,j}^{false}$ .

#### 3.1.2.3. CLAUSE-gadget

CLAUSE-gadget is responsible for calculating if variables values assigned in variable gadgets satisfy the respective clause in CNF. It has minimum solution of weight w if and only if the clause is satisfied, i.e. at least one of the respective variables is assigned a correct value. Otherwise it has minimum solution of weight w+1. This way, by analyzing the minimum solution for the whole problem, we can tell how many clauses were possible to satisfy in the optimum solution of CNF.

The CLAUSE-gadgets consist of two OR-gadgets. It would be inconvenient to position the CLAUSE-gadents in between the very long variable segments. Instead, we use a simple auxiliary gadget to transfer whether the segment is in a solution, i.e. segments  $(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1})$ . Each gadget consists of two segments  $(x_{i,0}, x_{i,1}), (x_{i,1}, a)$ . These are the only segments that can cover  $x_{i,1}$ . If  $x_{i,0}$  is already covered by some other gadget, we can cover  $x_{i,1}$  by the other segment covering another point from the gadget, say a. If  $x_{i,0}$  is not covered, then the only way to cover  $x_{i,0}$  is to use segment  $(x_{i,0}, x_{i,1})$ . Intuitively, the two segments transfer the state of  $x_{i,0}$  onto a, but there are less restrictions on where a can be placed, simplifying the construction.



Figure 3.3: **CLAUSE-gadget.** This figure presents CLAUSE-gadget. Every green rectangle is an OR-gadget. y-coordinates of  $x_{i,0}$ ,  $y_{i,0}$  and  $z_{i,0}$  depend on the variables in the i-th clause. Grey segments corresponds to the values of variables satisfying the i-th clause.

#### **Points.** TODO: Rephrase it

Assuming clause  $C_i = a \lor b \lor c$ , function idx(w) returns index of the variable w, function neg(w) returns whether variable w is negated in a clause.

$$x_{i,0} = (10i+1, 4 \cdot idx(a) + 2 \cdot neg(c)) \quad x_{i,1} = (10i+1, 4n)$$

$$y_{i,0} = (10i+2, 4 \cdot idx(b) + 2 \cdot neg(b)) \quad y_{i,1} = (10i+2, 4n+4)$$

$$z_{i,0} = (10i+3, 4 \cdot idx(c) + 2 \cdot neg(c)) \quad z_{i,1} = (10i+3, 4n+6)$$

$$\text{moveVariable}_i = \{x_{i,j} : j \in \{0,1\}\} \cup \{y_{i,j} : j \in \{0,1\}\} \cup \{z_{i,j} : j \in \{0,1\}\}$$

$$\text{pointsClause}_i = \text{moveVariable}_i \cup \text{pointsOr}_{i,0} \cup \text{pointsOr}_{i,1} \cup \{v_{i,1}\}$$

$$\text{Segments.}$$

$$\text{segmentsClause}_i = \{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (x_{i,1}, l_{i,0}), (y_{i,1}, p_{i,0}), (z_{i,1}, p_{i,1}), \} \cup \cup \text{segmentsOr}_{i,0} \cup \text{segmentsOr}_{i,1}$$

$$\text{Lemma 3.7. For any } 1 \leq i \leq n \text{ and } a \in \{x_{i,0}, y_{i,0}, z_{i,0}\}, \text{ there is a solClause}_i^{true,a}$$

Lemma 3.7. For any  $1 \le i \le n$  and  $a \in \{x_{i,0}, y_{i,0}, z_{i,0}\}$ , there is a solClause $_i^{true,a} \subset segmentsClause_i \ with |solClause_i^{true,a}| = 11 \ that \ covers \ points \ in \ pointsClause_i - \{a\}$ .

Proof. For  $a=x_{i,0}$  (analogous proof for  $y_{i,0}$ ): First we use Lemma 3.5 twice with excluded  $x=l_{i,0}$  and  $x=l_{i,1}=v_{i,0}$ , resulting with 8 segments  $chooseOr_{i,0}^{true} \cup chooseOr_{i,1}^{true}$  which cover all required points apart from  $x_{i,1}, y_{i,0}, y_{i,1}, z_{i,0}, z_{i,1}, l_{i,0}$ . We cover those using additional 3 segments:  $\{(x_{i,1}, l_{i,0}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1})\}$ 

For  $a=z_{0,i}$ : Using Lemma 3.6 and Lemma 3.5 with  $x=p_{i,1}$ , resulting with 8 segments chooseOr<sub>i,0</sub><sup>false</sup> $\cup$ chooseOr<sub>i,1</sub><sup>true</sup> which cover all required points apart from  $x_{i,0}, x_{i,1}, y_{i,0}, y_{i,1}, z_{i,1}, p_{i,1}$ . We cover those using additional 3 segments:  $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,1}, p_{i,1})\}$ .

Lemma 3.8. For any  $1 \le i \le n$  there is  $\operatorname{solClause}_i^{false} \subset \operatorname{segmentsClause}_i$  with  $|\operatorname{solClause}_i^{false}| = 12$  that  $\operatorname{covers} \ points \ in \ \operatorname{pointsClause}_i$ .

Proof. Using Lemma 3.6 twice we can cover pointsOr<sub>i,0</sub> and pointsOr<sub>i,1</sub> with 8 segments.

To cover the remaining points we additionally use:  $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (t_{i,1}, v_{i,1})\}$ 

297 **Lemma 3.9.** For any  $1 \le i \le n$ :

304

- 298 (1) points in points Clause, can not be covered using any subset of segments from segments Clause, of size smaller than 12;
- 300 (2) points in pointsClause $_i \{x_{i,0}, y_{i,0}, z_{i,0}\}$  can not be covered using any subset of segments 301 from segmentsClause $_i$  of size smaller than 11.

Proof of (1). No segment in segmentsClause<sub>i</sub> covers more than 2 points from  $\{x_{i,0}, y_{i,0}, z_{i,0}, l_{i,0}, p_{i,0}, q_{i,0}, u_{i,0}, v_{i,0}, u_{i,0}, v_{i,0}, u_{i,1}, u_{i$ 

Therefore we need to use at least 12 segments.

Proof of (2). We can choose disjoint sets X,Y,Z such that  $X \cup Y \cup Z \subseteq \mathsf{pointsClause}_i$   $\{x_{i,0},y_{i,0},z_{i,0}\}$  and there are no segments covering points from different sets. And we prove lower bounds for each of these sets.

$$X = \{x_{i,1}, y_{i,1}, z_{i,1}\}$$

No two points in X are covered with one segment of segmentsClause<sub>i</sub>, so it must be covered with 3 different segments.

$$Y = \mathsf{pointsOr}_{i,0} - \{l_{i,0}, p_{i,0}\}$$
 
$$Z = \mathsf{pointsOr}_{i,1} - \{l_{i,1}, p_{i,1}\}$$

For both Y and Z we can check all of the subsets of 3 segments of segmentsClause, with brutforce that none of them cover the set of points, so both Y and Z have to be covered with disjoined sets of 4 segments.

TODO: Funny fact, neither Y nor Z doesn't have independent set of size 4.

Therefore pointsClause, must be covered with at least 3+4+4=11 segments.

### 3.1.2.4. Summary

313

324

329

330

331

332

333

Add some smart lemmas that sets will be exclusive to each other.

Lemma 3.10. Robustness to 1/2-extensions. For every segment  $s \in \mathcal{P}$ , s and  $s^{+1/2}$  cover the same points from  $\mathcal{C}$ .

Proof. We can just check every segment. Most of the segments s are collinear only with points that lay on s, so trivially  $s^{+\frac{1}{2}}$  cannot cover more points than s does.

TODO: list problematic segments here

In the same gadget:  $(n_{i,j}, p_{i,j})$  does not cover  $m_{i,j}$  and symmetrically.  $(t_{i,j}, v_{i,j})$  does not cover  $n_{i,j}$ .  $(o_{i,0}, u_{i,0})$  does not cover  $m_{i,1}$  and symmetrically.  $(y_{i,1}, p_{i,0})$  does not cover  $n_{i,j}$ .

From different gadgets:  $(b_i, f_i)$  after  $\frac{1}{2}$ -extensions does not cover  $b_{i+1}$  point.

VARIABLE-gadget's  $(a_i, c_i)$  after  $\frac{1}{2}$ -extensions does not cover any points  $x_{i,0}, y_{i,0}$  or  $z_{i,0}$  from CLAUSE-gadget.

327

#### 3.1.2.5. Summary of construction

We define:

$$\mathcal{C} := \bigcup_{1 \leq i \leq n} \mathsf{pointsVariable}_i \cup \mathsf{pointsClause}_i$$

$$\mathcal{P} := \bigcup_{1 \leq i \leq n} \mathsf{segmentsVariable}_i \cup \mathsf{segmentsClause}_i$$

The subsequent sections define these sets.

We prove some properties of different gadgets. Every segment for a gadget will only cover points in this gadget (won't interact with any different gadget), so we can prove lemmas *locally*.

TODO: y axis is increasing values downward on figures (not upwards like in normal).

#### 3.1.3. Construction lemmas and proof of Lemma 3.1

In order to prove Lemma 3.1 we introduce several auxiliary lemmas proving properties of the construction described in the previous section.

Consider an instance S of MAX-(3,3)-SAT of size n with optimum solution satisfying k clauses. Let us construct an instance (C, P) of geometric set cover as described in Section 3.1.2 for instance S of MAX-(3,3)-SAT.

**Lemma 3.11.** Instance (C, P) of geometric set cover admits a solution of size 15n - k.



Figure 3.4: General schema.

General layout of VARIABLE-gadget and CLAUSE-gadget and how they interact with each other.

TODO: Rename Choose X to VARIABLE-gadget and Clause C to CLAUSE-gadget.

Proof. Let the clauses in S be  $c_1, c_2 \ldots c_n$  and the variables be  $x_1, x_2 \ldots x_n$ . Let the assignment of the variables in the optimum solution to S be  $\phi : \{x_1, x_2 \ldots x_n\} \to \{\text{true}, \text{false}\}$ .

342

344

348

350

351

352

353

361

362

363

365

366

367

368

369

We cover every VARIABLE-gadget with solution described in Lemma 3.2, in the *i*-th gadget choosing the set of segments corresponding to the value of  $\phi(x_i)$ .

For every clause that is satisfied, say  $c_i$ , let us name the variable that is true in it as  $x_i$  and point corresponding to  $x_i$  in pointsClause<sub>i</sub> as a. Points in pointsClause<sub>i</sub> are covered with set solClause<sub>i</sub><sup>true,a</sup> described in Lemma 3.7. For every clause that is not satisfied, say  $c_j$ , points in pointsClause<sub>i</sub> are covered with set solClause<sub>i</sub><sup>false</sup> described in Lemma 3.8.

Formally we define sets responsible for choosing variable and satisfing the variable,  $R_i$  and  $C_i$  respectively, as following:

$$R_i = \begin{cases} \mathsf{chooseVariable}_i^{true} & \text{if } \phi(x_i) = \mathsf{true} \\ \mathsf{chooseVariable}_i^{false} & \text{if } \phi(x_i) = \mathsf{false} \end{cases}$$
 
$$C_i = \begin{cases} \mathsf{solClause}_i^{true,a} & \text{if } c_i \text{ satisfied} \\ \mathsf{solClause}_i^{false} & \text{if } c_i \text{ not satisfied} \end{cases}$$
 
$$\mathcal{R} = \bigcup_{i=1}^n \{R_i \cup C_i : 1 \leq i \leq n\}.$$

This set covers all the points from C, because the sets  $R_i$ ,  $C_i$  individually cover their corresponding gadgets, as proved in the respective lemmas.

All of these sets are disjoint, so the size of the obtained solution is:

$$|\mathcal{R}| = \sum_{i=1}^{n} R_i + \sum_{i=1}^{n} C_i = 3n + 11k + 12(n-k) = 15n - k.$$

Lemma 3.12. Suppose we have a solution  $\mathcal{R}$  of the instance  $(\mathcal{C}, \mathcal{P})$  of geometric set cover.

Then there exists a solution  $\mathcal{R}'$ , such that  $|\mathcal{R}'| \leq |\mathcal{R}|$ , and for each VARIABLE-gadget  $\mathcal{R}'$  contains at most one of the segments  $(c_i, g_i)$  and  $(f_i, h_i)$ .

Proof. Assume that we have  $\{(c_i, g_i), (f_i, h_i)\}\subseteq \mathcal{R}$  for some i. We will show how to modify  $\mathcal{R}$  into  $\mathcal{R}'$ , such that the number of such i decreases, while  $\mathcal{R}'$  is still a valid solution of  $(\mathcal{C}, \mathcal{P})$ , and  $|\mathcal{R}'| \leq |\mathcal{R}|$ . Then, by repeating this procedure, we can eventually construct a solution satisfying the property from the Lemma.

To construct  $\mathcal{R}'$ , we remove either  $(c_i, g_i)$  or  $(f_i, h_i)$  from  $\mathcal{R}$ , and then add one extra segment to make  $\mathcal{R}'$  valid. Recall that the *i*-th VARIABLE-gadget corresponds to variable  $x_i$  in S. As every variable in S is used in exactly 3 clauses, one of the ways of setting  $x_i$  (to either true or false) must satisfy at least 2 clauses. If that setting is  $x_i = \text{true}$ , then we remove  $(f_i, h_i)$ , otherwise we remove  $(c_i, g_i)$ . Now, there exists at most one CLAUSE-gadget which needs adjustment to make  $\mathcal{R}'$  valid; we do that by adding  $(t_{j,1}, v_{j,1})$  to  $\mathcal{R}'$ .

TODO: Can we really just remove one segment and add another one? I'd think we need to "restructure"  $\mathcal{R}$  around pointsVariable<sub>i</sub> (saving one segment due to Lemma 3.3 and Lemma 3.4) and then again restructure  $\mathcal{R}$  around the clause that we need to fix?

Lemma 3.13. Suppose we have a solution  $\mathcal{R}$  of the instance  $(\mathcal{C}, \mathcal{P})$  of geometric set cover that is of size w. Then there exists a solution of S that satisfies at least 15n - w clauses.

Proof. Let the clauses in S be  $c_1, c_2 \ldots c_n$  and the variables be  $x_1, x_2 \ldots x_n$ . Given a solution  $\mathcal{R}$  of the instance  $(\mathcal{C}, \mathcal{P})$  of geometric set cover, we use Lemma 3.12 to modify  $\mathcal{R}$  such that for any i it contains at most one of  $(c_i, g_i)$  and  $(f_i, h_i)$ ; this may decrease the cost of  $\mathcal{R}$ , but that does not matter in the subsequent construction. To simplify notation, in the remainder of this proof we use  $\mathcal{R}$  to refer to the modified solution.

Given  $\mathcal{R}$ , we construct a solution of S by constructing an assignment of variables  $\phi$ :  $\{x_1, x_2 \dots x_n\} \to \{\text{true}, \text{false}\}\$ that satisfies at least 15n-w clauses in S.

**Variables** Recall that due to Lemma 3.12,  $\mathcal{R}$  contains at most one of  $(c_i, g_i)$  and  $(f_i, h_i)$ . We define the value  $\phi(x_i)$  for the variable  $x_i$  as follows:

$$\begin{cases} \phi(x_i) = \text{true} & \text{if } (c_i, g_i) \in \mathcal{R} \\ \phi(x_i) = \text{false} & \text{if } (f_i, h_i) \in \mathcal{R} \\ \phi(x_i) = \text{false} & \text{otherwise} \end{cases}$$
(3.1)

Moreover, from Lemma 3.3 we get |pointsVariable<sub>i</sub>  $\cap \mathcal{R} | \geq 3$  for every i.

Clauses For a clause  $C_i = x \vee y \vee z$ ,  $\mathcal{R}$  needs to use at least 11 segments to cover pointsClause<sub>i</sub>  $-\{x,y,z\}$  in CLAUSE-gadget (Lemma 3.9).

TODO: maybe put something with cases and names of sets as above

Moreover, if all of the points  $\{x_{i,0}, y_{i,0}, z_{i,0}\}$  are not covered by the segments from  $\mathcal{R} \cap \mathsf{pointsVariable}_i$ , then  $\mathcal{R}$  needs to cover  $\mathsf{pointsClause}_i$  with at least 12 segments by Lemma 3.9.

TODO: Maybe remove section below, because we do this calculation at the end anyway We covered CLAUSE-gadget with at least 11 or at least 12 segments:

$$|\bigcup_{i=1}^n \mathsf{segmentsClause}_i \cap \mathcal{R}| \geq 11n + a$$

where a is the number of clauses where none of the points  $x_{i,0}, y_{i,0}, z_{i,0}$  were covered by  $\mathcal{R} \cap \mathsf{segmentsVariable}_i$  for their respective variable  $x_j$ .

Satisfied clauses with chosen variable assignment. Consider a clause, say  $c_i$ . If none of the points  $x_{i,0}, y_{i,0}, z_{i,0}$  in pointsClause<sub>i</sub> were covered by segments from  $\mathcal{R} \cap \mathsf{segmentsVariable}_j$ , this clause is not satisfied by assignment  $\phi$ .

If one of these points is covered by segments from VARIBALE-gadget (TODO better this or  $\mathcal{R} \cap \text{segmentsVariable}_j$ ), then denote this point as t and say it corresponds to variable  $x_j$ . Consider the cases of choosing value of  $\phi(x_j)$  in equation (3.1).

If  $\mathcal{R}$  contains exactly one of the segments  $(c_j, g_j)$  and  $(f_j, h_j)$ , then the value  $\phi(x_j)$  satisfies  $c_i$ .

If  $\mathcal{R}$  contains neither  $(c_j, g_j)$  nor  $(f_j, h_j)$ , then it is impossible that t is covered by segments in  $\mathcal{R} \cap \text{segmentsVariable}_i$ .

This means that  $\phi$  satisfies all but at most a clauses in S.

To conclude, we proved that given a solution of  $(\mathcal{C}, \mathcal{P})$  of size w, we have constructed a variables assignment  $\phi$  that satisfies at least n-a clauses of S. Finally, note that

$$w \ge 3n + 11(n - a) + 12a = 3n + 11n + a = 14n + a,$$

hence

379

380

383

388

389

390

391

392

394

395

396

397

398

399

400

401

$$15n - w \le 15n - 14n - a = n - a.$$

So  $\phi$  satisfies at least 15n - w clauses of S.

We are ready to conclude the proof of Lemma 3.1.

*Proof of Lemma 3.1.* By Lemma 3.11, we know that there exists a solution to (C, P) of size 15n - k, so:

$$opt((\mathcal{C}, \mathcal{P})) \le 15n - k.$$

Since the optimum solution of S satisfies k clauses, then according to Lemma 3.13:

$$opt((\mathcal{C}, \mathcal{P})) \ge 15n - k.$$

Therefore, the solution given by Lemma 3.11 of size 15n - k is an optimum solution to the instance  $(\mathcal{C}, \mathcal{P})$ .

## Chapter 4

# FPT for Geometric Set Cover for segments with $\delta$ -extensions

## 4.1. FPT for segments

In this section we consider the fixed-parameter tractable algorithms for unweighted geometric set cover with segments. Setting where segments are limited to be axis-parallel (or limited to constant number of directions) has an FPT algorithm already present in literature. We present an FPT algorithm for unweighted geometric set cover with segments, where segments are in arbitrary directions.

### 4.1.1. Axis-parallel segments

416

417

418

420

421

422

423

424

425

426

428

429

430

431

432

You can find this in Platypus book. (TODO add referece)

We show an  $\mathcal{O}(2^k)$ -time branching algorithm. In each step, the algorithm selects a point a which is not yet covered, branches to choose one of the two directions, and greedily chooses a segment in that direction to cover a. This proceeds until either all points are covered or k segments are chosen.

Let us take the point  $a = (x_a, y_a)$  which is the smallest among points that are not yet covered in the lexicographic ordering of points in  $\mathbb{R}^2$ . We need to cover a with some of the remaining segments.

Branch over the choice of one of the coordinates (x or y); without loss of generality, let us assume we chose x. Among the segments lying on line  $x = x_a$ , we greedily add to the solution the one that covers the most points. As a was the smallest in the lexicographical order, then all points on line  $x = x_a$  have the y-coordinate larger than  $y_a$ . Therefore, if we denote the greedily chosen segment as s, then any other segment on  $x = x_a$  that covers a can only cover a (possibly improper) subset of points covered by s. Thus, greedily choosing s is optimal.

In each step of the algorithm we add one segment to the solution, thus each branch can stop at depth k. If no branch finds a solution, then that means a solution of size at most k does not exist.

TODO: Maybe split it into theorem + algorithm + explanation like in section 4.1.2

**Remark 4.1.** The same algorithm can be used for segments in d directions, where we branch over d directions and it runs in complexity  $\mathcal{O}(d^k)$ .

#### 4.1.2. Segments in arbitrary directions

- In this section we consider setting where segments are not constrained to only d directions.

  We present a fixed-parameter tractable algorithm, where parameter is the size of the solution.
- Theorem 4.1. (FPT for segment cover). There exists an algorithm that given a family  $\mathcal{P}$  of n segments (in any direction), a set of m points  $\mathcal{C}$  and a parameter k, runs in time  $k^{O(k)} \cdot (nm)^2$ , and outputs a subfamily  $\mathcal{R} \subseteq \mathcal{P}$  such that  $|\mathcal{R}| \leq k$  and  $\mathcal{R}$  covers all points in  $\mathcal{C}$ , or determines that such a set  $\mathcal{R}$  does not exist.
- We will need the following lemmas.
- Lemma 4.1. Given an instance  $(\mathcal{P}, \mathcal{C})$  of the segment cover problem, without a loss of generality we can assume that no segment covers a superset of what another segment covers. That is, for any distinct  $A, B \in \mathcal{P}$ , we have  $A \cap C \not\subseteq B \cap C$  and  $A \cap C \not\supseteq B \cap C$ .
- 446 Proof. Trivial.
- Lemma 4.2. Given an instance  $(\mathcal{P}, \mathcal{C})$  of the segment cover problem, if there exists a line L with at least k+1 points on it, then there exists a subset  $\mathcal{A} \subseteq \mathcal{P}$ ,  $|\mathcal{A}| \leq k$ , such that every solution  $\mathcal{R}$  with  $|\mathcal{R}| \leq k$  satisfies  $|\mathcal{A} \cap \mathcal{R}| \geq 1$ . Moreover, such a subset can be found in polunomial time.
- 451 Proof. First we use Lemma 4.1.
- Let us enumerate the points from C that lie on L as  $x_1, x_2, \ldots x_t$  in the order in which they appear on L. Every segment that is not collinear with L can cover at most one of these points. Therefore, in any solution of size not larger than k, among any k of these points at least one must be covered with segment collinear with L.
  - Therefore, every solution needs to take one of the segments collinear with L that covers any of the points  $x_1, x_2, \ldots x_k$ . After using reduction from Lemma 4.1, there are at most k such segments that are distinct.
- We are ready to prove Theorem 4.1.
- 460 Proof of Theorem 4.1.

456

457

458

466

- We will prove this theorem by presenting a branching algorithm that works in desired complexity. It branches over the choice of segments to cover lines with a lot of points, then finally solving the small instance, where every line has at most k points by checking all possible solutions.
- Algorithm. First we use Lemma 4.1.
  - Next, we present a recursive algorithm. Given an instance of the problem:
- (1) If there exist a line with at least k+1 points from  $\mathcal{C}$ , we branch over adding to the solution one of the at most k possible segments provided by Lemma 4.2; name this segment S. Then we find a solution  $\mathcal{R}$  for the problem for points  $\mathcal{C} S$ , segments  $\mathcal{P} \{S\}$ , and parameter k-1. We return  $\mathcal{R} \cup \{S\}$ .
- (2) If every line has at most k points on it and  $|\mathcal{C}| > k^2$ , then answer NO.
- (3) If  $|\mathcal{C}| \leq k^2$ , solve the problem by brute force: check all subsets of  $\mathcal{P}$  of size at most k.

**Correctness.** Lemma 4.2 proves that at least one segment that we branch over in (1) must be present in every solution  $\mathcal{R}$  with  $|\mathcal{R}| \leq k$ . Therefore, the recursive call can find a solution, provided there exists one.

In (2) the answer is no, because every line covers no more than k points from  $\mathcal{C}$ , which implies the same about every segment from  $\mathcal{P}$ . Under this assumption we can cover only  $k^2$  points with a solution of size k, which is less than  $|\mathcal{C}|$ .

Checking all possible solutions in (3) is trivially correct.

473

474

475

478

479

485

486

487

488

501

502

503

504

505

515

Complexity. In the leaves of recursion we have  $|\mathcal{C}| \leq k^2$ , so  $|\mathcal{P}| \leq k^4$ , because every segments can be uniquely identified by the two extreme points it covers (by Lemma 4.1).

Therefore, there are  $\binom{k^4}{k}$  possible solutions to check, each can be checked in time  $O(k|\mathcal{C}|)$ .

Therefore, (3) takes time  $k^{O(k)}$ .

In this branching algorithm our parameter k is decreased with every recursive call, so we have at most k levels of recursion with branching over k possibilities. Candidates to branch over can be found on each level in time  $O((nm)^2)$ .

Reduction from Lemma 4.1 can be implemented in time  $O(n^2m)$ .

It follows that the overall complexity is  $O((nm)^2 \cdot k^{O(k)})$ 

## 489 4.2. FPT for weighted segments with $\delta$ -extensions

In this section we consider a geometric set cover problem for weighted segments relaxed with  $\delta$ -extensions. We show that this problem admits an FPT algorithm when parametrized with size of solution and  $\delta$ . Assumption about the problem being relaxed with  $\delta$ -extensions is necessary. In the next chapter we show that geometric set cover problem for weighted segments is W[1]-hard, which means there does not exist an FPT algorithm parametrized by solution size for it.

Theorem 4.2 (FPT for weighted segment cover with  $\delta$ -extensions). There exists an algorithm that given a family  $\mathcal{P}$  of n weighted segments (in any direction), a set of m points  $\mathcal{C}$ , and parameters k and  $\delta > 0$ , runs in time  $f(k, \delta) \cdot (nm)^c$  for some computable function f and a constant c, and outputs a set  $\mathcal{R} \subseteq \mathcal{P}$  such that  $|\mathcal{R}| \leq k$  and  $\mathcal{R}^{+\delta}$  covers all points in  $\mathcal{C}$ , or determines that such a set  $\mathcal{R}$  does not exist.

To solve this problem we will introduce a lemma about choosing a good subset of points. Good subset of points for a set of collinear points C and parameters k and  $\delta$  is a subset of C, such that if we cover it with at most k segments, these segments after  $\delta$ -extensions will cover all of the points from C.

We will prove that such set of size bounded by some function  $f(k, \delta)$  always exists. In later part of the section Lemma 4.3 will allow us to find a kernel for our original problem.

Definition 4.1. For a set of collinear points C, a subset  $A \subseteq C$  is  $(k, \delta)$ -good if for any set of segments R that covers A and such that  $|R| \le k$ , it holds that  $R^{+\delta}$  covers C.

Lemma 4.3. For any set of collinear points C,  $\delta > 0$  and  $k \ge 1$ , there exists a  $(k, \delta)$ -good set  $A \subseteq C$  of size at most  $(2 + \frac{2}{\delta})^k$ . Moreover there exists an algorithm that computes the  $(k, \delta)$ -good set in time  $O(|C| \cdot (2 + \frac{2}{\delta}))$ .

To prove this theorem we define extreme points for a set of colinear points.

Definition 4.2. For a set of collinear points C, extreme points are the ends of the smallest segment that covers all points from set C.

If C consists of one point or is empty, then there exists 1 or 0 extreme points respectively.

Proof of Lemma 4.3. We prove this for a fixed  $\delta$  by induction over k.

Inductive hypothesis. For any set of collinear points C, there exists a set A such that:

- A is subset of C,
- A is  $(\ell, \delta)$ -good for every  $1 \le \ell \le k$ ,
- $|A| \leq (2 + \frac{2}{\delta})^k$ ,

517

518

520

521

522

523

524

525

526

527

529

530

531

532

533

534

535

536

537

• extreme points from C are in A.

Base case for k = 1. It is sufficient that A consists of extreme points of C.

If they are covered with one segment, it must be a segment that includes the extreme points from C, so it covers the whole set C.

There are at most 2 extreme points in C and  $2 < 2 + \frac{2}{\delta}$ .

**Inductive step.** Assuming inductive hypothesis for any set of collinear points C and for parameter k, we will prove hypothesis for k+1.

Let s be the minimal segment that includes all points from C. That is, the extreme points of C are endpoints of s.

We define  $M = \lceil 1 + \frac{2}{\delta} \rceil$  subsegments of s in the following way. We split s into M closed segments of equal length. We name these as segments  $v_i$  and  $|v_i| = \frac{|s|}{M}$  for each  $1 \le i \le M$ .

Let  $C_i$  be the subset of C consisting of points laying on  $v_i$ .

Let  $t_i$  be the segment with endpoints being the extreme points of  $C_i$ . It might be degenerated segment if  $C_i$  consists of one point or empty if  $C_i$  is empty.

You can see an example of such segments  $v_i$  and  $t_i$  in Figure 4.1.





Figure 4.1: Example of segments  $v_i$  and  $t_i$ .

Example for M=7 and some set of points (marked with black circles). Upper picture shows segments  $v_i$  and lower picture shows segments  $t_i$  on the same set of points. a and b are extreme points and therefore segment s ends in a and b. Red segments denote split into M segments of equal length  $v_i$ . Blue segments denote segments  $t_i$ .  $t_5$  is an empty segment, because there are no points that lie on segment  $v_5$ . Segments  $t_3$  and  $t_7$  are degenerated to one point -c and -c respectively. Segments  $t_1$  and  $t_2$  share one point -c

We use the inductive hypothesis to choose  $(k, \delta)$ -good sets  $A_i$  for sets  $C_i$ . Note that if  $|C_i| \leq 1$ , then  $A_i = C_i$  and it's still a  $(k, \delta)$ -good set for  $C_i$ .

Then we define  $A = \bigcup_{i=1}^{M} A_i$ . Thus A includes the extreme points of C, because they are included in the sets  $A_1$  and  $A_M$ .

Size of each  $A_i$  is at most  $(2 + \frac{2}{\delta})^{(k-1)}$  from inductive hypothesis, therefore size of A is at most:

$$M\left(2+\frac{2}{\delta}\right)^{k-1} = \left\lceil 1+\frac{2}{\delta} \right\rceil \cdot \left(2+\frac{2}{\delta}\right)^{k-1} \le \left(2+\frac{2}{\delta}\right)^k.$$

**Proof that** A is  $(k, \delta)$ -good for C. Let us take any cover of A with k + 1 segments and call it  $\mathcal{R}$ .

For every segment  $t_i$ , if there exists a segment x in  $\mathcal{R}$  that is disjoint with  $t_i$ , then we have a cover of  $A_i$  with at most k segments using  $\mathcal{R} - \{x\}$ . Since  $A_i$  is  $(k, \delta)$ -good for  $t_i$  and  $C_i$ , then  $(\mathcal{R} - \{x\})^{+\delta}$  covers  $C_i$ . So  $\mathcal{R}^{+\delta}$  covers  $C_i$  as well.

If there exists a segment  $t_i$  for which a segment x as defined above does not exist, then all k+1 segments that cover  $A_i$  intersect with  $t_i$ . You can see an example of such segments in Figure 4.2. Note that there may exist only one such segment  $t_i$ . From the inductive hypothesis endpoints of s are in  $A_1$  and  $A_M$  respectively, so  $\mathcal R$  must cover them. For each endpoint of s, there exists a segment that starts in this endpoint and ends somewhere in  $t_i$ . Let us call these two segments y and z. It follows that:  $|y| + |z| + |t_i| \ge |s|$ . Since  $|t_i| \le |v_i| = \frac{|s|}{M} \le \frac{|s|}{1+\frac{2}{s}} = \frac{|s|\delta}{\delta+2}$ ,

we have  $\max(|y|, |z|) \ge |s|(1 - \frac{\delta}{\delta + 2})/2 = \frac{|s|}{\delta + 2}$ .

538

540

543

544

545

546

549

550

551

554





Figure 4.2: Example of all k+1 segments intersecting with one segment  $t_i$ . Both pictures show the same set  $\mathcal{C}$  (black circles), the same as in Figure 4.1. The upper picture shows blue segments  $t_i$  for M=7. The lower picture shows green segments – solution  $\mathcal{R}$  of size 4. All segments from  $\mathcal{R}$  intersect with  $t_4$ . Segments z and y are named on the picture.

After  $\delta$ -extension, the longer of these segments will expand at both ends by at least:

$$\max(|y|,|z|)\delta \geq \frac{|s|\delta}{\delta+2} = \frac{|s|}{1+\frac{2}{\delta}} \geq \frac{|s|}{M} = v_i \geq t_i.$$

Therefore, the longer of segments y and z will cover the whole segment  $t_i$  after  $\delta$ -extension. We conclude that  $\mathcal{R}^{+\delta}$  covers  $C_i$ .

We conclude that  $\mathcal{R}^{+\delta}$  covers  $C_i$ . Since  $C = \bigcup_{i=1}^M C_i$ , it follows that  $\mathcal{R}^{+\delta}$  covers C.

**Algorithm.** We can simulate the inductive proof by a recursive algorithm with the following complexity:

$$O\left(|C| + \frac{1}{\delta}\right) + O\left(k(2 + \frac{1}{\delta})^k\right).$$

555

Let us now formulate some claims about the properties for the problem parametrized by the solution size. These properties provide bounds for different objects in the problem instance, that help us to find small kernel of the problem or claim that the minimal solution of this instance must be above some treshold.

**Definition 4.3.** A line in  $\mathbb{R}$  is long if there are at least k+1 points from  $\mathcal{C}$  on it.

Claim 4.1. If there are more than k different long lines, then C can not be covered with k segments.

Proof. We prove the claim by contradiction. Let us assume that we have at least k+1 different long lines in our instance of the problem and solution  $\mathcal{R}$  of size at most k covering points  $\mathcal{C}$ .

Choose any long line L. Every segment from  $\mathcal{R}$ , which is not collinear with L, covers at most one point that lies on L.

L is long, so there are at least k+1 points from C that lie on L. That implies that there must be a segment in R that is collinear with L.

Since we have at least k+1 different long lines, then there are at least k+1 segments in  $\mathcal{R}$  collinear with different lines. It contradicts with the assumption that  $|\mathcal{R}| \leq k$ .

Claim 4.2. If there are more than  $k^2$  points from C that do not lie on any long line, then C can not be covered with k segments.

Proof. We prove the claim by contradiction. Let us assume that we have at least  $k^2 + 1$  points from  $\mathcal{C}$  that do not lie on any long line, call this set A, and a solution  $\mathcal{R}$  of size at most k covering points  $\mathcal{C}$ .

For every segment s from  $\mathcal{R}$  it covers at most k points from A. It holds because if s covered at least k+1 points from A, then the line in the direction of s would be a long line and that contradicts of definition of A.

If every segment from  $\mathcal{R}$  covers at most k points from A and  $|\mathcal{R}| \leq k$ , then at most  $k^2$  points from A are covered by  $\mathcal{R}$  and that contradicts the fact that  $\mathcal{R}$  is a solution of the given geometric set cover instance.

We are now ready to give a proof of Theorem 4.2.

Proof of Theorem 4.2. Applying the claims 4.1 and 4.2, if we have more than k different long lines or more than  $k^2$  points from  $\mathcal{C}$  that do not lie on any long line, then we answer that there is no solution of size at most k.

Otherwise, we can split C into at most k+1 sets:

- D, at most  $k^2$  points that do not lie on any long line;
- $C_i$ , points that lie on the *i*-th long line.

Sets  $C_i$  do not need to be disjoint.

565

567

568

569

570

576

579

580

581

582

583

584

585

586

587

588

592 593

Then for every set  $C_i$  we can use Lemma 4.3 to obtain a  $(k, \delta)$ -good set  $A_i$  for  $C_i$  with  $|A_i| \leq (2 + \frac{2}{\delta})^k$ .

Then we have a set  $\mathcal{C}' = D \cup (\bigcup A_i)$  of size at most  $k^2 + k(2 + \frac{2}{\delta})^k$ . Observe that if we have a solution  $\mathcal{R}$  of size at most k that covers  $\mathcal{C}'$ , then  $\mathcal{R}^{+\delta}$  covers  $\mathcal{C}$ .

 $\mathcal{C}$  is separated into several parts – sets D and  $C_i$ . Points from D are covered by  $\mathcal{R}$ , because D is part of C'. Each  $A_i$  is covered, because  $A_i$  is part of C';  $A_i$  is a  $(k, \delta)$ -good set for  $C_i$ , therefore  $\mathcal{R}^{+\delta}$  covers  $C_i$ .

After that we shrunk down  $\mathcal{C}$  to  $\mathcal{C}'$  of size  $f(k,\delta)$  for some computable function f. Then we would like to shrink down  $\mathcal{P}$  to some set of relevant segments of bounded size as well.

For every pair of points  $\mathcal{C}'$ , we can choose one segment from  $\mathcal{P}$  that have the lowest weight among segments that cover these points or decide there is no segment that cover them. Call this set  $\mathcal{P}'$  and name these segments **interesting**. There are at most  $|\mathcal{C}'|^2$  different segments in  $\mathcal{P}'$ .

We need to show that when we cover  $\mathcal{C}'$  with segments from  $\mathcal{P}'$  we achieve the same minimal solution as when we cover them with segments from  $\mathcal{P}$ . In order to prove this, consider a minimal solution  $\mathcal{R}$  that covers  $\mathcal{C}'$  with segments from  $\mathcal{P}'$  and take any segment s from  $\mathcal{R}$ . Let us look at the points from  $\mathcal{C}'$  that lie on s and call this set of points F. F is a set of collinear points for course. We can cover F with any segment that covers extreme points of F, because all other points lay on the segment between these points. Therefore we can change s to an interesting segment s' and interesting segments are defined in such a way, that s' has weight no larger than weight of s.

This has complexity  $O(|\mathcal{C}'|^2|\mathcal{P}|)$  and produces shrunk down set of segments  $\mathcal{P}'$  of size  $f(k,\delta)$  for some computable function f.

Then we can iterate over all subsets of  $\mathcal{P}'$  and choose the set with the lowest sum of weights that cover  $\mathcal{C}'$ . This solution would have weight not larger than optimal solution for the problem without extension, because we iterate over all posibilities of covering the subset of  $\mathcal{C}'$ .

## 617 Chapter 5

644

645

646

647

# W[1]-completeness for weighted segments in 3 directions

In this chapter we consider geometric set cover problem with weighted segments. Theorem 5.1 proves that this problem is W[1]-hard when parametrized by the size of the solution. We additionally restrict the problem to only use segments in three directions to achieve a stronger result. W[1]-hardness is proved by reduction to a grid tiling problem, which was introduced in [Marx, 2007].

Definition 5.1. Line is right-diagonal if it is described by linear function y = x + d for any  $d \in \mathbb{R}$ . Segment is right-diagonal if its direction is a right-diagonal line.

Theorem 5.1. Consider the problem of covering a set C of points by selecting at most k segments from a set of segments P with non-negative weights  $w: P \to \mathbb{R}^+$  so that the weight of the cover is minimal. Then this problem is W[1]-hard parametrized by k and assuming ETH, there is no algorithm for this problem with running time  $f(k) \cdot (|C| + |P|)^{o(\sqrt{k})}$  for any computable function f. Moreover, this holds even if all segments in P are axis-parallel or right-diagonal.

Theorem 5.1 is also true for less restricted problem where segments have any direction.

We prove more tight setting in this section.

In order to prove Theorem 5.1 we will show reduction from a W[1]-hard problem. We introduce the grid tiling problem, which is proven to be W[1]-complete in literature.

Definition 5.2. We define **powerset** of some set A, denoted as Pow(A), as a set of all subsets of A, ie.  $Pow(A) = \{B : B \subseteq A\}$ .

Definition 5.3. In the grid tiling problem we are given integers n and k, and a function  $f:\{1\ldots k\}\times\{1\ldots k\}\to \mathsf{Pow}(\{1\ldots n\}\times\{1\ldots n\})$  specifying the set of allowed tiles for each cell of a  $k\times k$  grid. The task is to find functions  $x,y:\{1\ldots k\}\to\{1\ldots n\}$  that assign numbers from  $\{1\ldots n\}$  to respectively columns and rows of the grid, so that  $(x(i),y(j))\in f(i,j)$  for all valid i and j, or conclude that such an assignment does not exist.

In short, in grid tiling problem you need to assign numbers to rows and columns in such a way, that for every pair of a row and a column, the pair of numbers assigned to the row and column belongs to the allowed set corresponding to the intersection of the row and column in question. The next theorem describes the complexity of this problem, which is W[1]-hard when parametrized by the size of the grid.

Theorem 5.2. [Marx, 2007] Grid tiling is W[1]-hard parametrized by k and assuming ETH, there is no  $f(k) \cdot n^{o(\sqrt{k})}$ -time algorithm solving the grid tiling problem for any computable function f.

The reminder of this section is proving Theorem 5.1 by reduction of grid tiling problem to geometric set cover. That proves the W[1]-hardness of geometric set cover, because if we could solve it with an FPT algorithm, then we could also solve grid tiling problem (which we reduced to geometric set cover). Therefore geometric set cover with setting described in Theorem 5.1 is at least as hard as the grid tiling problem.

We start with an instance of the grid tiling problem (n, k, f). The instance consists of:

• size of the grid k,

652

653

654

655

656

657

661

- number of colors n,
- function of allowed tiles  $f: \{1, \dots, k\} \times \{1, \dots, k\} \rightarrow \mathsf{Pow}(\{1, \dots, n\} \times \{1, \dots, n\}).$ 
  - TODO: nice picture of instance of grid tiling with solution
- Construction. We construct an instance  $(C, P, w, 3k^2 + 2k)$  of geometric set cover as follows. First let us choose any bijection order :  $\{1, \ldots, n^2\} \to \{1, \ldots, n\} \times \{1, \ldots, n\}$ .

Define  $\mathsf{match}_v(i,j)$  and  $\mathsf{match}_h(i,j)$  as boolean functions denoting whether two points share x or y coordinate:

 $\mathsf{match}_v(i,j)$  is  $\mathsf{true} \iff \mathsf{order}(i)$  and  $\mathsf{order}(j)$  have the same x coordinate,  $\mathsf{match}_h(i,j)$  is  $\mathsf{true} \iff \mathsf{order}(i)$  and  $\mathsf{order}(j)$  have the same y coordinate.

**Points.** For  $1 \le i, j \le k$  and  $1 \le t \le n^2$  define points:

$$h_{i,j,t} := (i \cdot (n^2 + 1) + t, j \cdot (n^2 + 1)),$$
  
$$v_{i,j,t} := (i \cdot (n^2 + 1), j \cdot (n^2 + 1) + t).$$

Let us define sets H and V as:

$$H := \{h_{i,j,t} : 1 \le i, j, \le k, 1 \le t \le n^2\},\$$

$$V := \{v_{i,j,t} : 1 \le i, j, \le k, 1 \le t \le n^2\}.$$

Let  $\epsilon = \frac{1}{2k^2}$ . For a point p = (x, y) we define points:

$$\begin{split} p^L &:= (x - \epsilon, y), \\ p^R &:= (x + \epsilon, y), \\ p^U &:= (x, y + \epsilon), \\ p^D &:= (x, y - \epsilon). \end{split}$$

Then we define the point set as follows:

$$\mathcal{C} := H \cup \{p^L : p \in H\} \cup \{p^R : p \in H\} \cup V \cup \{p^U : p \in V\} \cup \{p^D : p \in V\}.$$

Definition 5.4. For every point  $p \in H$ , we name point  $p^L$  its left guard and point  $p^R$  its right guard.

Similarly for every points  $p \in V$ , we name point  $p^D$  its **lower guard** and point  $p^U$  its **upper guard**.

**Segments.** For  $1 \le i, j \le k$  and  $1 \le t_1, t_2 \le n^2$  define segments:

$$\begin{split} & \mathsf{hor}_{i,j,t_1,t_2} := (h^R_{i,j,t_1}, h^L_{i+1,j,t_2}), \\ & \mathsf{ver}_{i,j,t_1,t_2} := (v^U_{i,j,t_1}, v^D_{i,j+1,t_2}), \\ & \mathsf{horBeg}_{i,t} := (h^L_{1,i,1}, h^L_{1,i,t}), \\ & \mathsf{horEnd}_{i,t} := (h^R_{k,i,t}, h^R_{k,i,n^2}), \\ & \mathsf{verBeg}_{i,t} := (v^D_{i,1,1}, v^D_{i,1,t}), \\ & \mathsf{verEnd}_{i,t} := (v^U_{i,k,t}, v^U_{i,k,n^2}). \end{split}$$

Next, we define sets of vertical and horizontal segments:

$$\begin{split} \mathsf{HOR} &:= & \{ \mathsf{hor}_{i,j,t_1,t_2} : 1 \leq i < k, 1 \leq j \leq k, 1 \leq t_1, t_2 \leq n^2, \mathsf{match}_h(t_1,t_2) \ \mathsf{holds} \} \\ & \cup & \{ \mathsf{horBeg}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \} \\ & \cup & \{ \mathsf{horEnd}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \}, \end{split}$$

$$\begin{split} \mathsf{VER} &:= & \{\mathsf{ver}_{i,j,t_1,t_2} : 1 \leq i \leq k, 1 \leq j < k, 1 \leq t_1, t_2 \leq n^2, \mathsf{match}_v(t_1,t_2) \; \mathsf{holds} \} \\ & \cup & \{\mathsf{verBeg}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \} \\ & \cup & \{\mathsf{verEnd}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \}. \end{split}$$

Finally, we also define a set of right-diagonal segments:

$$\mathsf{DIAG} := \{ (h_{i,j,t}, v_{i,j,t}) : 1 \le i, j \le k, 1 \le t \le n^2, \mathsf{order}(t) \in f(i,j) \}$$

TODO: explain that these segments are in fact diagonal

The constructed segment set is:

668

670

671

674

675

676

677

678

680

$$\mathcal{P} := \mathsf{HOR} \cup \mathsf{VER} \cup \mathsf{DIAG}.$$

The weight of each segment in HOR  $\cup$  VER is equal to the segment, while every segment in DIAG has weight  $\delta = \frac{1}{4k^4}$ .

TODO: Put a picture of small instance like 3x3 with n=2

$$w(s) = \begin{cases} length(s) & \text{if } s \in \mathsf{HOR} \cup \mathsf{VER} \\ \delta & \text{if } s \in \mathsf{DIAG} \end{cases}$$

Now, we prove that the constructed instance of geometric set cover with weighted segments is indeed a correct and sound reduction of the grid tiling problem. Lemma 5.1 proves that if the solution of the grid tiling instance exists, then there exists a solution with bounded size and weight of constructed geometric set cover instance.

Then Lemma 5.5 proves that if the solution of the geometric set cover instance with bounded weight exists, then there exists a solution to the original grid tiling instance.

Lemma 5.1. If there exists a solution of the grid tiling instance  $(f_{i,j})$ , then there exists a solution for the instance  $(C, \mathcal{P}, w, 3k^2 + 2k)$  of geometric set cover of size at most  $3k^2 + 2k$  with weight  $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$ .

Proof. Suppose there exists a solution x, y to the grid tiling problem.

We define subset of  $\mathcal{P}$  – a proposed solution  $\mathcal{R}$  in three parts  $D \subset \mathsf{DIAG}$ ,  $A \subset \mathsf{HOR}$  and  $B \subset \mathsf{VER}$ :

$$\begin{array}{lll} D &:= & \{(v_{i,j,t},h_{i,j,t}): 1 \leq i,j \leq k,t = \operatorname{order}^{-1}(x(i),y(j))\}, \\ A &:= & \{\operatorname{horBeg}_{i,\operatorname{order}^{-1}(x(1),y(i))}: 1 \leq i \leq k\} \\ & \cup & \{\operatorname{horEnd}_{i,\operatorname{order}^{-1}(x(k),y(i))}: 1 \leq i \leq k\} \\ & \cup & \{\operatorname{hor}_{i,j,\operatorname{order}^{-1}(x(i),y(j)),\operatorname{order}^{-1}(x(i+1),y(j))}: 1 \leq i < k, 1 \leq j \leq k\}, \\ B &:= & \{\operatorname{verBeg}_{i,\operatorname{order}^{-1}(x(i),y(1))}: 1 \leq i \leq k\} \\ & \cup & \{\operatorname{verEnd}_{i,\operatorname{order}^{-1}(x(i),y(k))}: 1 \leq i \leq k\} \\ & \cup & \{\operatorname{ver}_{i,j,\operatorname{order}^{-1}(x(i),y(j)),\operatorname{order}^{-1}(x(i),y(j+1))}: 1 \leq i \leq k, 1 \leq j < k\}, \end{array}$$

$$\mathcal{R} := D \cup A \cup B.$$

Since  $C = H \cup V$ , we show that this covers the whole set H, proof for V is analogical.

Take any  $1 \leq j \leq k$  and define  $t_{i,j} := \operatorname{order}^{-1}(x(i), y(j))$ :  $\operatorname{horBeg}_{j,t_1} = (h_{1,j,1}^L, h_{1,j,t_1}^L)$  and next segment  $\operatorname{hor}_{1,j,t_1,t_2} = (h_{1,j,t_1}^R, h_{2,j,t_2}^L)$ . Therefore points  $h_{1,j,x}, h_{1,j,x}^L$  and  $h_{1,j,x}^R$  for all  $1 \leq x \leq n^2$  ale covered by  $\operatorname{horBeg}_{j,t_1} and \operatorname{hor}_{1,j,t_1,t_2}$ , excluding point  $h_{1,j,t_i}$ .

D covers all points  $h_{i,j,t_{i,j}}$  and  $v_{i,j,t_{i,j}}$ , therefore all points are covered. Size of this proposed solution is:

$$|\mathcal{R}| = |D| + |A| + |B| = k^2 + (k+1)k + (k+1)k = 3k^+ 2k.$$

TODO: Calculate weight of  $\mathcal{R}$  Whatevs, przeciez widac Then consider a solution that covers all points

688 689 690

691

692

696

697

700

701

702

703

704

705

$$\{h_{i,j,t}: 1 \leq i, j \leq k, \mathsf{order}(t) = (x(i), y(j))\} \cup \{v_{i,j,t}: 1 \leq i, j \leq k, \mathsf{order}(t) = (x(i), y(j))\}$$

with  $k^2$  segments from DIAG and the rest in VER or HOR. This solution has weight  $2k^2(n^2+1)-4k^2\epsilon-4k(1-\epsilon)+k^2\delta$ .

Claim 5.1. In any solution of the instance  $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$ :

- left and right guards of points in H (points in  $\{p^L : p \in H\} \cup \{p^R : p \in H\}$ ) have to be covered with sgements from HOR,
- lower and upper guard of points in V (points in  $\{p^D: p \in V\} \cup \{p^U: p \in V\}$ ) have to be covered with segments from VER.

Proof. We prove the claim for the points from H as the proof for points from V is analogical. Every segment in VER is vertical and their x-coordinate is equal to  $i(n^2 + 1)$  for some  $1 \le i \le k$ , so they all have different x-coordinate than any left or right guard of points in H. Every point x, which is a left or right guard of points in H have  $kn^2$  segments from DIAG that intersect with the horizontal line that goes through x. All of these segments intersect

Therefore none of the segments from VER or DIAG cover any of the guards of the points in H.

with this line in points from set H, therefore none of them cover any of the guards.

Now we present a few additional properties of the constructed instance of the geometric set cover that help us to prove the Lemma 5.5.

Claim 5.2. For any  $1 \le i, j \le n$  and any solution of the instance  $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$  all but at most one points  $h_{i,j,t_1}, h_{i,j,t_2}$   $(v_{i,j,t_1}, v_{i,j,t_2})$  for  $1 \le t_1 < t_2 \le n^2$  must be covered with segments from HOR (VER).

Proof. We prove the claim for horizontal segments, as the proof for vertical segments is analoguous.

Assume point  $h_{i,j,t_1}$  is not covered with segments from HOR. Point  $h_{i,j,t_1}^R$  has to be covered with HOR by Claim 5.1. Every segment in HOR covering  $h_{i,j,t_1}^R$ , but not  $h_{i,j,t_1}$  covers also  $h_{i,j,t_2}$ .

Lemma 5.2. For every solution of the instance  $(C, P, w, 3k^2 + 2k)$ , the sum of weights of segments chosen from sets HOR and VER at least  $W_{hv} = 2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon)$ .

Proof. We know that for all  $1 \le i, j \le k$  there exists at most one  $t_x$  and at most one  $t_y$  such that  $v_{i,j,t_x}$  and  $h_{i,j,t_y}$  are not covered by segments from HOR and VER (Claim 5.2),

TODO: Rephrase the sentence below

We sum the lower bound for sum of length for horizontal/vertical lines for a single vertical line (the bound is the same for every horizontal line).

Let us fix  $1 \le i \le k$ .

722

730

(1) The total length between  $v_{i,1,1}^D$  and  $v_{i,k,n^2}^U$  is:

$$(k(n^{2}+1) + n^{2} + \epsilon) - ((n^{2}+1) + 1 - \epsilon) = k(n^{2}+1) - 2(1 - \epsilon).$$

- 726 (2) For every  $1 \leq j \leq k$  there exists at most one  $1 \leq t \leq n^2$  such that  $v_{i,j,t}$  is not covered by segments from VER (Claim 5.2). Its guards (see Definition 5.4)  $v_{i,j,t}^U$  and  $v_{i,j,t}^D$  have to be covered in VER (Claim 5.1). Therefore at most k spaces of length  $2\epsilon$  can be left not covered by segments from VER between  $v_{i,1,1}^D$  and  $v_{i,k,n^2}^U$ .
  - Proof for horizontal segments is analogous.

The sum of these lower bounds for vertical and horizontal lines is:

$$2k(k(n^2+1) - 2k\epsilon - 2(1-\epsilon)) = 2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon)$$

731

Lemma 5.3. Let  $\mathcal{R}$  be a solution of a constructed instance  $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$  with weight at most  $2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$ . Then for every  $1 \leq i, j \leq k$  there exists such  $1 \leq t \leq n^2$  that:

- 735 (1)  $v_{i,j,t}, h_{i,j,t}$  are not covered by segments from VER or HOR;
- 736 (2) segment  $(v_{i,j,t}, h_{i,j,t})$  is in solution  $\mathcal{R}$ ;
- 737 (3)  $\operatorname{order}(t) \in f(i,j)$  that is,  $\operatorname{order}(t)$  is an allowed tile for (i,j);
- 738 (4) for every  $1 \le s \le n^2$ ,  $s \ne t$ ,  $v_{i,j,s}$  is covered in VER;
- 739 (5) for every  $1 \le s \le n^2$ ,  $s \ne t$ ,  $h_{i,j,s}$  is covered in HOR.

Proof. At most one of points  $\{h_{i,j,t_x}: 1 \le t_x \le n^2\}$  and one of points  $\{v_{i,j,t_y}: 1 \le t_y \le n^2\}$  is covered with DIAG (Claim 5.2).

Moreover exactly one such point  $h_{i,j,t_x}$  and one such point  $v_{i,j,t_y}$  is covered with DIAG, because if none of them were covered, then the solution would have to have weight at least  $W_{hv} + 2\epsilon$ , which is more than  $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$ .

We observe that points  $h_{i,j,t_x}$  and  $v_{i,j,t_y}$  have to be covered with the same segment from DIAG. Indeed we need to use at least  $k^2$  of them to use exactly one DIAG segment for every pair of  $1 \le i, j \le k$ , if we used 2 segments from DIAG for one pair (i, j), then we would have used  $W_{hv} + k^2\delta + \delta$ , which if more than  $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$ . Since points  $h_{i,j,t_x}$  and  $v_{i,j,t_y}$  are covered by a single segment from DIAG, we have  $t_x = t_y$ .

Therefore  $t_x = t_y$  and  $\operatorname{order}(t_x)$  is an allowed tile for (i,j) because the corresponding segment is in DIAG.

We refer to the function of the sequence  $t_x$  from Lemma 5.3 as diagonal :  $\{1...k\} \times \{1...k\} \rightarrow \{1...n^2\}$ .

Lemma 5.4. For any solution  $\mathcal{R}$  of a constructed instance  $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$  with weight at most  $2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$ :

- 1. for any  $1 \le i < k, 1 \le j \le k$ , match<sub>h</sub>(diagonal(i, j), diagonal(i + 1, j)) must be true;
- 2. for any  $1 \le i \le k, 1 \le j < k$ , match<sub>v</sub>(diagonal(i, j), diagonal(i, j + 1)) must be true.
- 758 Proof. TODO: There is something wrong with the proof below, read
- We prove (1), the proof of (2) is analogous.

742

746

747

748

749

756

Let us take any  $1 \le i < k, 1 \le j \le k$  and name  $t_1 = diagonal(i, j)$  and  $t_2 = diagonal(i + j)$ 760 (1,j). Therefore  $h_{i,j,t_1}$  and  $h_{i+1,j,t_2}$  are not covered by segments from HOR, while  $h_{i,j,t_1}^R$  and 761  $h_{i+1,j,t_2}^L$  have to be covered by segments from HOR (Claim 5.1). Every segment from HOR 762 starts at  $h_{x,y,z_1}^R$  segment and finishes at  $h_{x,y+1,z_2}^L$  segment for some  $1 \le x \le k, 1 \le y < k$  and  $1 \le z_1, z_2 \le n^2$ . Since all of the points between  $h_{i,j,t_1}^R$  and  $h_{i+1,j,t_2}^L$  are covered by segments in HOR, and there are two different segments covering these points, one of them must begin 764 at  $h_{i,j,t_1}^R$  and end at  $h_{i,j+1,z_2}^L$  and the other one begin at  $h_{i,j,z_1}^R$  and end at  $h_{i+1,j,t_2}^L$  for some  $1 \leq z_1, z_2 \leq n^2$ . Therefore, the space between  $h_{i,j,z_1}^R$  and  $h_{i,j+1,z_2}^L$  would be covered twice and is longer than  $\epsilon$ . By Lemma 5.2, the lower bound for weight of such a solution is  $W_{hv} + \epsilon$  which 767 768 contradicts that the given solution has weight  $2k^2(n^2+1)-4k^2\epsilon-4k(1-\epsilon)+k^2\delta < W_{hv}+\epsilon$ Therefore  $h_{i,j,t_1}^R$  and  $h_{i+1,j,t_2}^L$  must be covered by one segment from HOR and these points are 770 endpoints of this segment. 771

So  $h_{i,j,t_1}^R$  and  $h_{i+1,j,t_2}^L$  are endpoints of a segment from HOR, therefore  $\mathsf{match}_h(t_1,t_2)$  must be true.

Corollary 5.1. For a constructed instance  $(C, \mathcal{P}, w, 3k^2 + 2k)$  for any solution of weight  $2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$  it holds that diagonal function from Lemma 5.3:

- 1. for any  $1 \le i, j \le k$ ,  $match_h(diagonal(1, j), diagonal(i, j))$  must be true;
- 2. for any  $1 \le i, j \le k$ ,  $\mathsf{match}_v(diagonal(i, 1), diagonal(i, j))$  must be true.
- Proof. Simple inductive proof based on Lemma 5.4.

Lemma 5.5. If there exists solution of instance  $(C, P, w, 3k^2 + 2k)$  with weight at most  $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$ , then there exists a solution for grid tiling instance.

```
Proof. Take diagonal function from Lemma 5.3.
781
         To define x funtion for every 1 \le i \le k as x(i) = x_i where (x_i, a) = \operatorname{order}(v_{i,1}) and y
782
     function for every 1 \le i \le k as y(i) = y_i where (b, y_i) = \operatorname{order}(h_{1,i})
783
         To prove that it is a correct solution for grid tiling, we need to prove that for every
784
     1 \le i, j \le k \ (x(i), y(j)) is in allowed tiles set f(i, j).
785
         Let us take any 1 \le i, j \le k, By Corollary 5.1 we know that \mathsf{match}_h(diagonal(1, j), diagonal(i, j))
786
     and match_v(diagonal(i, 1), diagonal(i, j)) are true. Therefore order(diagonal(i, j)) = (x(i), y(i)).
787
     By Lemma 5.3 we know that \operatorname{order}(\operatorname{diagonal}(i,j)) is in f(i,j). Therefore (x(i),y(i)) is in
788
     f(i,j).
789
                                                                                                              790
     Proof of Theorem 5.1. Based on Lemmas 5.1 and 5.5 this is true.
```

## $_{792}$ Chapter 6

797

798

799

800

801

802

806

810

819

820

## Geometric Set Cover with lines

## $_{794}$ 6.1. Lines parallel to one of the axis

When  $\mathcal{R}$  consists only of lines parallel to one of the axis, the problem can be solved in polynomial time.

We create bipartial graph G with node for every line on the input split into sets: H – horizontal lines and V – vertical lines. If any two lines cover the same point from C, then we add edge between them.

Of course there will be no edges between nodes inside H, because all of them are pararell and if they share one point, they are the same lines. Similar argument for V. So the graph is bipartial.

Now Geometric Set Cover can be solved with Vertex Cover on graph G. Since Vertex Cover (even in weighted setting) on bipartial graphs can be solved in polynomial time.

Short note for myself just to remember how to this in polynomial time:

Non-weighted setting - Konig theorem + max matching

Weighted setting - Min cut in graph of  $\neg A$  or  $\neg B$  (edges directed from V to H)

## 6.2. FPT for arbitrary lines

You can find this is Platypus book. We will show FPT kernel of size at most  $k^2$ .

(Maybe we need to reduce lines with one point/points with one line).

For every line if there is more than k points on it, you have to take it. At the end, if there is more than  $k^2$  points, return NO. Otherwise there is no more than  $k^4$  lines.

In weighted settings among the same lines with different weights you leave the cheapest one and use the same algorithm.

## 6.3. APX-completeness for arbitrary lines

We will show a reduction from Vertex Cover problem. Let's take an instance of the Vertex Cover problem for graph G. We will create a set of |V(G)| pairwise non-pararell lines, such that no three of them share a common point.

Then for every edge in  $(v, w) \in E(G)$  we put a point on crossing of lines for vertices v and w. They are not pararell, so there exists exactly one such point and any other line don't cover this point (any three of them don't cross in the same point).

Solution of Geometric Set Cover for this instance would yield a sound solution of Vertex Cover for graph G. For every point (edge) we need to choose at least one of lines (vertices) v or w to cover this point.

Vertex Cover for arbitrary graph is APX-complete, so this problem in also APX-complete.

## 826 6.4. 2-approximation for arbitrary lines

Vertex Cover has an easy 2-approximation algorithm, but here very many lines can cross through the same point, so we can do d-approximation, where d is the biggest number of lines crossing through the same point. So for set where any 3 lines don't cross in the same point it yields 2-approximation.

The problematic cases are where through all points cross at least k points and all lines have at least k points on them. It can be created by casting k-grid in k-D space on 2D space.

Greedy algorithm yields  $\log |\mathcal{R}|$ -approximation, but I have example for this for bipartial graph and reduction with taking all lines crossing through some point (if there are no more than k) would solve this case. So maybe it works.

Unfortunaly I haven't done this:(

I can link some papers telling it's hard to do.

## 6.5. Connection with general set cover

Problem with finite set of lines with more dimensions is equivalent to problem in 2D, because we can project lines on the plane which is not perpendicular to any plane created by pairs of (point from C, line from P).

Of course every two lines have at most one common point, so is every family of sets that have at most one point in common equivalent to some geometric set cover with lines?

No, because of Desargues's theorem. Have to write down exactly what configuration is banned.

## Chapter 7

## Geometric Set Cover with polygons

#### 7.1. State of the art

854

857

Covering points with weighted discs admits PTAS [Li and Jin, 2015] and with fat polygons 849 with  $\delta$ -extensions with unit weights admits EPTAS [Har-Peled and Lee, 2009]. 850

Although with thin objects, even if we allow  $\delta$ -expansion, the Set Cover with rectangles is 851 APX-complete (for  $\delta = 1/2$ ), it follows from APX-completeness for segments with  $\delta$ -expansion 852 in Section 3.1. 853

Covering points with squares is W[1]-hard [Marx, 2005]. It can be proven that assuming SETH, there is no  $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{k-\epsilon}$  time algorithm for any computable function f and 855  $\epsilon > 0$  that decides if there are k polygons in  $\mathcal{P}$  that together cover  $\mathcal{C}$ , Theorem 1.9 in [Marx and Pilipczuk, 2015].

# SESS Chapter 8

# Conclusions

We do not know FPT for axis-parallel segments without  $\delta$ -extensions.

## Bibliography

- [Har-Peled and Lee, 2009] Har-Peled, S. and Lee, M. (2009). Weighted geometric set cover problems revisited. *Journal of Computational Geometry*, 3.
- <sup>864</sup> [Håstad, 2001] Håstad, J. (2001). Some optimal inapproximability results. J. ACM, <sup>865</sup> 48(4):798-859.
- [Li and Jin, 2015] Li, J. and Jin, Y. (2015). A PTAS for the weighted unit disk cover problem. CoRR, abs/1502.04918.
- [Marx, 2005] Marx, D. (2005). Efficient approximation schemes for geometric problems? In Brodal, G. S. and Leonardi, S., editors, *Algorithms ESA 2005*, pages 448-459, Berlin, Heidelberg. Springer Berlin Heidelberg.
- [Marx, 2007] Marx, D. (2007). On the optimality of planar and geometric approximation schemes. In 48th Annual IEEE Symposium on Foundations of Computer Science (FOCS 2007), October 20-23, 2007, Providence, RI, USA, Proceedings, pages 338–348. IEEE Computer Society.
- [Marx and Pilipczuk, 2015] Marx, D. and Pilipczuk, M. (2015). Optimal parameterized algorithms for planar facility location problems using voronoi diagrams. CoRR, abs/1504.05476.