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Approximation and Parameterized Algorithms for Segment Set Cover

Master's thesis in COMPUTER SCIENCE

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10 Supervisor's statement

- Hereby I confirm that the presented thesis was prepared under my supervision and that it fulfils the requirements for the degree of Master of Computer Science.
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Hereby I declare that the presented thesis was prepared by me and none of its contents was obtained by means that are against the law.

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22	${f Abstract}$
23 24	The work presents a study of different geometric set cover problems. It mostly focuses on segment set cover and its connection to the polygon set cover.
25	${f Keywords}$
26 27	set cover, geometric set cover, FPT, W[1]-completeness, APX-completeness, PCP theorem, NP-completeness
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36	Algorytmy aproksymacyjne i parametryzowane dla problemu pokrywania punktów
37	odcinkami na płaszczyźnie

38 Contents

39	1.	Introduction	5
40		1.1. Background	5
41		1.1.1. Approximation	5
42		1.1.2. Parametrization	5
43		1.1.3. δ -extensions	5
44		1.2. Our contribution	6
45	2.	Definitions	7
46		2.1. Geometric set cover	7
47		2.2. Approximation	7
48		2.3. Problem modification with δ -extension	7
49	3.	APX-hardness of geometric set cover problem	9
50		3.1. MAX-(3,3)-SAT and statement of reduction	9
51		3.2. Reduction	11
52		3.2.1. VARIABLE-gadget	11
53		3.2.2. OR-gadget	12
54		3.2.3. CLAUSE-gadget	14
55		3.2.4. Summary	16
56		3.2.5. Summary of construction	17
57		3.3. Construction lemmas and proof of Lemma 3.1	18
58	4.	Fixed-parameter tractable algorithm for geometric set cover problem	21
59		4.1. Fixed-parameter tractable algorithm for unweighted segments	21
60		4.1.1. Axis-parallel segments	21
61		4.1.2. Segments in arbitrary directions	22
62		· · · · · · · · · · · · · · · · · · ·	24
63	5.	W[1]-hardness for weighted segments in 3 directions	29
64 65	6.	1 70	39 39
66	7	Conclusions	41

67 Chapter 1

... Introduction

69 1.1. Background

- 70 Some of the problems are very well known to be NP-complete, like Set Cover problem. Even
- 51 so they still can have a variety of different properties. Set Cover problem has been proven in
- $_{72}$ literature to be APX-hard and W[2]-hard [Cygan et al., 2015]. We can also restrict a problem
- to look at it in various setting, what can yield more insteresting complexity and apporximation
- results. In this paper we take a closer look at Geometric Set Cover problem on a plane, where
- points to cover are points on a plane and sets to cover them with are geometric objects.

76 1.1.1. Approximation

- Over the years there have been a lot of work related to approximation of Geometric Set Cover.
- 78 Notabely
- 1. unweighted unit disks admit PTAS [Mustafa and Ray, 2010]
- 2. with weighted unit disks or squares admit QPTAS [Pilipczuk et al., 2020]
- 3. with axis-parallel rectangles is APX-hard [Mustafa et al., 2014]

82 1.1.2. Parametrization

- 83 Geometric set cover parameterized by size of solution
- 1. with unit squares is W[1]-hard [Marx, 2005]
- 2. TODO add more W[1] / FPT algorithms
- 3. Explain what weighted FPT is. Similar weighted settings were described there TODO

1.1.3. δ -extensions

- 88 In this paper, we focus on Geometric Set Cover wih segments in various settings, ie. δ -
- extensions, weighted segments and investigate whether approximation and FPT algorithms
- 90 exist.

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- 1. based on δ -shrinking [Adamaszek et al., 2015], [?] and [Wiese, 2018].
- 2. with fat polygons with δ-extensions (with similar model of extensions) admits EPTAS [Har-Peled and Lee, 2009]

94 1.2. Our contribution

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- 1. Approximation of uweighted geometric set cover with axis-parallel segments (even if we relax it with $\frac{1}{2}$ -extensions) is APX-hard (Theorem 3.1)
 - (a) Stronger result than cover with unweighted axis-parallel rectangles being APX-hard in [Mustafa et al., 2014]
 - (b) This proves that assumption about polyons being fat for the EPTAS in [Har-Peled and Lee, 2009] is a necessary condition
- 2. unweighted segments admit FPT algorithm (Theorem 4.2)
- 3. weighted segments relaxed with δ-extensions admit FPT (Theorem 4.3), see Figure 1.1
 - 4. weighted axis-parallel segments is W[1]-hard (Theorem 5.1), see Figure 1.1

	exact	δ -extensions
axis-parallel	W[1]-hard	FPT*
any	W[1]-hard*	FPT

Figure 1.1: Our results for Geometric Set Cover problem with weighted segments parametrized by the size of solution.

Results marked with * directly follow from more or less restricted settings.

Chapter 2

Definitions

106 In this chapter we present some definitions that are later used across the different chapters.

₀₇ 2.1. Geometric set cover

Every time we refer to geometric set cover, we consider a geometric set cover problem on a 2-dimensional plane.

In the geometric set cover problem we are are given \mathcal{P} – a set of objects, which are connected subsets of the plane and \mathcal{C} – a set of points in the plane. The task is to choose $\mathcal{R} \subseteq \mathcal{P}$ such that every point in \mathcal{C} is inside some object from \mathcal{R} and $|\mathcal{R}|$ is minimized.

In the parameterized setting for a given k, we only look for a solution \mathcal{R} such that $|\mathcal{R}| \leq k$ or decide that there is no such set \mathcal{R} .

In the weighted setting, there is some given weight function $f: \mathcal{P} \to \mathbb{R}^+$ and we would like to find a solution \mathcal{R} that minimizes $\sum_{R \in \mathcal{R}} f(R)$.

117 2.2. Approximation

- Let us recall some definitions related to optimization problems that are used in the following sections.
- Definition 2.1. A polynomial-time approximation scheme (PTAS) for a minimization
- problem Π is a family of algorithms \mathcal{A}_{ϵ} for every $\epsilon > 0$ such that \mathcal{A}_{ϵ} takes an instance I of Π
- and in polynomial time finds a solution that is within a factor of $(1+\epsilon)$ of being optimal.
- That means the reported solution has weight at most $(1+\epsilon)opt(I)$, where opt(I) is the weight
- of an optimal solution to I.

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Definition 2.2. A problem Π is **APX-hard** if assuming $P \neq NP$, there exists $\epsilon > 0$ such that there is no polynomial-time $(1 + \epsilon)$ -approximation algorithm for Π .

2.3. Problem modification with δ -extension

- Another idea presented here, much less versatile than the previous concepts, is δ -extension. We define it specifically for the geometric set cover problem.
- It is based on the similar idea of δ -shrinking for the geometric independent set problem, which was introduced in [Adamaszek et al., 2015] and later utilized in [?] and [Wiese, 2018].
- Intuitively, we consider a problem with slightly larger objects, which makes the instance more permissive. However, we aim to find a solution that is not larger than the optimum

solution to the original problem, so this is substantially easier than just solving the problem for the larger objects. It may even be the case that we are able to find the solution of size smaller than the optimum solution to the original problem.

First, we formally define δ -extended objects.

Definition 2.3. For any $\delta > 0$ and a center-symmetric object L with centre of symmetry $S = (x_s, y_s)$, the δ -extension of L is the object $L^{+\delta} = \{(1 + \delta) \cdot (x - x_s, y - y_s) + (x_s, y_s) : (x, y) \in L\}$, that is, $L^{+\delta}$ is the image of L under homothety centered at S with scale $(1 + \delta)$.

The geometric set cover problem with δ -extension is a modified version of geometric set cover with the following modifications.

- We need to cover all the points in C with objects from $\{P^{+\delta}: P \in P\}$ (which always include no fewer points than the objects before δ -extension).
- We look for a solution that is not larger than the optimum solution to the original problem. Note that it does not need to be an optimal solution in the modified problem.
- Formally, we have the following.

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- Definition 2.4. The geometric set cover problem with δ-extension is the problem where for an input instance $I = (\mathcal{P}, \mathcal{C})$, the task is to output a solution $\mathcal{R} \subseteq \mathcal{P}$ such that the δ-extended set $\{R^{+\delta} : R \in \mathcal{R}\}$ covers \mathcal{C} and is not larger than the optimal solution to the problem without extension, i.e. $|\mathcal{R}| \leq |opt(I)|$.
- At last, we formulate a definition of the polynomial-time approximation scheme (PTAS) of the problem with δ -extension.
- Definition 2.5. We define a PTAS for geometric set cover with δ -extension as a family of algorithms $\{\mathcal{A}_{\delta,\epsilon}\}_{\delta,\epsilon>0}$ that each takes as an input instance $I=(\mathcal{P},\mathcal{C})$, and in polynomial-time outputs a solution $\mathcal{R}\subseteq\mathcal{P}$ such that the δ -extended set $\{R^{+\delta}:R\in\mathcal{R}\}$ covers \mathcal{C} and is within a $(1+\epsilon)$ factor of the optimal solution to this problem without extension, i.e. $(1+\epsilon)|\mathcal{R}|\leq |opt(I)|$.

¹⁵⁹ Chapter 3

APX-hardness of geometric set cover problem

- In this section we analyze whether there exists a PTAS for geometric set cover for rectangles.
- We show that we can restrict this problem to a very simple setting: segments parallel to axes
- and allow (1/2)-extension, and the problem is still APX-hard. Note that segments are just
- degenerated rectangles with one side being very narrow.
- Our results can be summarized in the following theorem and this section aims to prove it.
- Theorem 3.1. (axis-parallel segment set cover with 1/2-extension is APX-hard).
- ${\it Unweighted geometric set cover with axis-parallel segments in 2D (even with 1/2-extension)}$
- is APX-hard. That is, assuming $P \neq NP$, there does not exist a PTAS for this problem.
- Theorem 3.1 implies the following.
- Corollary 3.1. (rectangle set cover is APX-hard). Unweighted geometric set cover with axis-parallel rectangles (even with 1/2-extension) is APX-hard.
- We prove Theorem 3.1 by taking a problem that is APX-hard and showing a reduction.
- For this problem we choose MAX-(3,3)-SAT which we define below.

3.1. MAX-(3,3)-SAT and statement of reduction

- 176 **Definition 3.1. MAX-3SAT** is the following maximization problem. We are given a 3-CNF
- 177 formula, and need to find an assignment of variables that satisfies the most clauses.
- 178 Definition 3.2. MAX-(3,3)-SAT is a variant of MAX-3SAT with an additional restriction
- that every variable appears in exactly 3 clauses and every clause contains exactly 3 literals of 3
- different variables. Note that thus, the number of clauses is equal to the number of variables.
- In our proof of Theorem 3.1 we use hardness of approximation of MAX-(3,3)-SAT proved in [Håstad, 2001] and described in Theorem 3.2 below.
- Definition 3.3 (α-satisfiable MAX-3SAT formula). MAX-3SAT formula with m clauses is at most α-satisfiable, if every assignment of variables satisfies no more than αm clauses.
- Theorem 3.2. [Håstad, 2001] For any $\epsilon > 0$, it is NP-hard to distinguish satisfiable (3,3)-SAT formulas from at most (7/8 + ϵ)-satisfiable (3,3)-SAT formulas.

Given an instance I of MAX-(3,3)-SAT, we construct an instance J of axis-parallel seg-187 ment set cover problem such that for a sufficiently small $\epsilon > 0$, a polynomial time $(1 + \epsilon)$ -188 approximation algorithm for J would be able to distinguish whether an instance I of MAX-189 (3,3)-SAT is fully satisfiable or is at most $(7/8+\epsilon)$ -satisfiable. However, according to Theorem 3.2 the latter problem is NP-hard. This would imply P = NP, contradicting the assumption.

The following lemma encapsulates the properties of the reduction described in this section, 192 and it allows us to prove Theorem 3.1. 193

Lemma 3.1. Given an instance S of MAX-(3,3)-SAT with n variables and optimum value 194 opt(S), we can construct an instance I of geometric set cover with axis-parallel segments in 195 2D such that: 196

- (1) For every solution X of instance I, there exists a solution to S that satisfies at least 197 15n - |X| clauses. 198
- (2) For every solution to instance S that satisfies w clauses, there exists a solution to I of 199 size 15n-w. 200
- (3) Every solution with 1/2-extension of I is also a solution to the original instance I. 201
- Therefore, the optimum size of a solution to I is opt(I) = 15n opt(S).
- We prove Lemma 3.1 in subsequent sections, but meanwhile let us prove Theorem 3.1 203 using Lemma 3.1 and Theorem 3.2. 204
- Proof of Theorem 3.1. Consider any $0 < \epsilon < 1/(15 \cdot 8)$. 205

Let us assume that there exists a polynomial-time $(1 + \epsilon)$ -approximation algorithm for unweighted geometric set cover with axis-parallel segments in 2D with (1/2)-extension. We construct an algorithm that solves the problem stated in Theorem 3.2, thereby proving that P = NP.

Take an instance S of MAX-(3,3)-SAT to be distinguished and construct an instance of geometric set cover I using Lemma 3.1. We now use the $(1 + \epsilon)$ -approximation algorithm for geometric set cover on I. Denote the size of the solution returned by this algorithm as approx(I). We prove that if in S one can satisfy at most $(\frac{7}{8} + \epsilon)n$ clauses, then $approx(I) \ge 15n - (\frac{7}{8} + \epsilon)n$ and if S is satisfiable, then $approx(I) < 15n - (\frac{7}{8} + \epsilon)n$.

Assume S satisfiable. From the definition of S being satisfiable, we have:

$$opt(S) = n.$$

From Lemma 3.1 we have:

$$opt(I) = 14n.$$

Therefore,

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$$approx(I) \le (1+\epsilon)opt(I) = 14n(1+\epsilon) = 14n + 14\epsilon \cdot n =$$

$$= 14n + (15\epsilon - \epsilon)n < 14n + \left(\frac{1}{8} - \epsilon\right)n = 15n - \left(\frac{7}{8} + \epsilon\right)n.$$

Assume S is at most $(\frac{7}{8} + \epsilon)$ satisfiable. From the defintion of S being at most $(\frac{7}{8} + \epsilon)$ n satisfiable, we have:

$$opt(S) \le \left(\frac{7}{8} + \epsilon\right)n$$

From Lemma 3.1 we have:

$$opt(I) \ge 15n - \left(\frac{7}{8} + \epsilon\right)n$$

Since a solution to I with $\frac{1}{2}$ -extension is also a solution without any extention, by Lemma 3.1 (3), we have:

$$approx(I) \ge opt(I) = 15n - \left(\frac{7}{8} + \epsilon\right)n$$

Therefore, by using the assumed $(1 + \epsilon)$ -approximation algorithm, it is possible to distinguish the case when S is satisfiable: from the case when it is at most $(\frac{7}{8} + \epsilon)n$ satisfiable, it suffices to compare approx(I) with $15n - (\frac{7}{8} + \epsilon)n$. Hence, the assumed approximation algorithm cannot exist, unless P = NP.

3.2. Reduction

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We proceed to the proof of Lemma 3.1. That is, we show a reduction from the MAX-(3,3)SAT problem to geometric set cover with segments parallel to axis. Moreover, the obtained instance of geometric set cover will be robust to 1/2-extension (have the same optimal solution after 1/2-extension).

The construction will be composed of 2 types of gadgets: **VARIABLE-gadgets** and **CLAUSE-gadgets**. CLAUSE-gadgets will be constructed using two **OR-gadgets** connected together.

3.2.1. VARIABLE-gadget

VARIABLE-gadget is responsible for choosing the value of a variable in a CNF formula. It allows two minimum solutions of size 3 each. These two choices correspond to the two Boolean values of the variable corresponding to this gadget.

Points. Define points a, b, c, d, e, f, g, h as follows, where L = 22n:



Figure 3.1: **VARIABLE-gadget.** We denote the set of points marked with black circles as $pointsVariable_i$, and they need to be covered (are part of the set \mathcal{C}). Note that some of the points are not marked as black dots and exists only to name segments for further reference. We denote the set of red segments as $chooseVariable_i^{false}$ and the set of blue segments as $chooseVariable_i^{true}$.

$$a = (-3L, 0)$$
 $b = (-2L, 0)$ $c = (-L, 0)$ $d = (-3L, 1)$
 $e = (-2L, 1)$ $f = (-2L, 2)$ $g = (L, 0)$ $h = (L, 2)$

Let us define:

pointsVariable =
$$\{a, b, c, d, e, f\}$$

and, for any $1 \le i \le n$,

pointsVariable_i = pointsVariable + (0, 4i).

We denote $a_i := a + (0, 4i)$ etc.

37 **Segments.** Let us define:

$$\mathsf{chooseVariable}_i^{true} := \{(a_i, d_i), (b_i, f_i), (c_i, g_i)\},$$

$$\mathsf{chooseVariable}_i^{false} := \{(a_i, c_i), (d_i, e_i), (f_i, h_i)\},$$

 $\mathsf{segmentsVariable}_i := \mathsf{chooseVariable}_i^{true} \cup \mathsf{chooseVariable}_i^{false}.$

We also name two of these segment for future reference: $\mathsf{xTrueSegment}_i := (c_i, g_i)$, $\mathsf{xFalseSegment}_i := (f_i, h_i)$.

Lemma 3.2. For any $1 \le i \le n$, points in pointsVariable_i can be covered using 3 segments from segmentsVariable_i.

242 *Proof.* We can use either set chooseVariable $_{i}^{true}$ or chooseVariable $_{i}^{false}$.

Lemma 3.3. For any $1 \le i \le n$, points in pointsVariable_i can not be covered with fewer than 3 segments from segmentsVariable_i.

Proof. No segment of segments Variable_i covers more than one point from $\{d_i, f_i, c_i\}$, therefore points Variable_i can not be covered with fewer than 3 segments.

Lemma 3.4. For every set $A \subseteq \text{segmentsVariable}_i \ such \ that \ A \ covers \ \mathsf{pointsVariable}_i \ and$ ×TrueSegment_i, ×FalseSegment_i $\in A$, it holds that $|A| \ge 4$.

Proof. No segment from segments Variable_i covers more than one point from $\{a_i, e_i\}$, therefore points Variable_i $-\{c_i, f_i, g_i, h_i\}$ can not be covered with fewer than 2 segments.

251 3.2.2. OR-gadget

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OR-gadget connects input and output segments (see Figure 3.2) in a way that is supposed to simulate a binary or function.

Input segments are the only segments that cover points outside of the gadget, as their left ends lie outside of it. Point $v_{i,j}$ is the only one that can be covered by segments that do not belong to the gadget.

The OR-gadget has the property that every set of segments that covers all the points in the gadget uses at least 3 segments from it.. Moreover, the output segment belongs to the solution of size 3 only if at least one of the input segments belong to the solution. Therefore, optimum solutions restricted to the OR-gadget behave like a binary or function for the input segments.

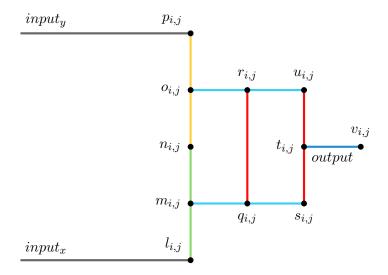


Figure 3.2: **OR-gadget.** Segments from $chooseOr_{i,j}^{false}$ are red, segments from $chooseOr_{i,j}^{true}$ are blue (both light blue and dark blue), segments from $orMoveVariable_{i,j}$ are green and yellow. Dark blue segment is the output segment. Grey segments $input_x$ and $input_y$ are input segments that are not part of $segmentsOr_{i,j}$.

Points.

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$$\begin{array}{lll} l_0 := (0,0) & m_0 := (0,1) & n_0 := (0,2) & o_0 := (0,3) \\ p_0 := (0,4) & q_0 := (1,1) & r_0 := (1,3) & s_0 := (2,1) \\ t_0 := (2,2) & u_0 := (2,3) & v_0 := (3,2) \end{array}$$

$$vec_{i,j} := (20i + 3 + 3j, 4(n+1) + 2j)$$

For integers i, j, define $\{l_{i,j}, m_{i,j} \dots v_{i,j}\}$ as $\{l_0, m_0 \dots v_0\}$ shifted by $vec_{i,j}$, i.e. $l_{i,j} = l_0 + vec_{i,j}$ etc.

Note that $v_{i,0} = l_{i,1}$ (see Figure 3.3)

$$pointsOr_{i,j} := \{l_{i,j}, m_{i,j}, n_{i,j}, o_{i,j}, p_{i,j}, q_{i,j}, r_{i,j}, s_{i,j}, t_{i,j}, u_{i,j}\}$$

Note that points $Or_{i,j}$ does not include the point $v_{i,j}$

268 **Segments.** We define set of segments in several parts:

$$\begin{split} \mathsf{chooseOr}_{i,j}^{false} &:= \{(q_{i,j}, r_{i,j}), (s_{i,j}, u_{i,j})\}, \\ \mathsf{chooseOr}_{i,j}^{true} &:= \{(m_{i,j}, s_{i,j}), (o_{i,j}, u_{i,j}), (t_{i,j}, v_{i,j})\}, \end{split}$$

orMoveVariable_{i,j} := {
$$(l_{i,j}, n_{i,j}), (n_{i,j}, p_{i,j})$$
}.

Finally all segments in OR-gadget are defined as:

$$\mathsf{segmentsOr}_{i,j} := \mathsf{chooseOr}_{i,j}^{false} \cup \mathsf{chooseOr}_{i,j}^{true} \cup \mathsf{orMoveVariable}_{i,j}$$

Lemma 3.5. For any $1 \le i \le n, j \in \{0,1\}$ and $x \in \{l_{i,j}, p_{i,j}\}$, points in pointsOr_{i,j} - $\{x\} \cup \{v_{i,j}\}$ can be covered with 4 segments from segmentsOr_{i,j}.

272 *Proof.* We can do that using one segment from orMoveVariable_{i,j}, the one that does not cover x, and all segments from chooseOr^{true}_{i,j}.

Lemma 3.6. For any $1 \le i \le n, j \in \{0,1\}$, points in points $Or_{i,j}$ can be covered with 4 segments from segments $Or_{i,j}$.

276 Proof. We can do that using segments from $\mathsf{orMoveVariable}_{i,j} \cup \mathsf{chooseOr}_{i,j}^{false}$.

277 3.2.3. CLAUSE-gadget

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A CLAUSE-gadget is responsible for determining whether variable values assigned in variable gadgets satisfy the corresponding clause in the input formula ϕ . It has a minimum solution to weight w if and only if the clause is satisfied, i.e. at least one of the respective variables is assigned the correct value. Otherwise, its minimum solution has weight w+1. In this way, by analyzing the cost of the minimum solution to the entire constructed instance, we will be able to tell how many clauses it was possible to satisfy in the optimum solution to ϕ .

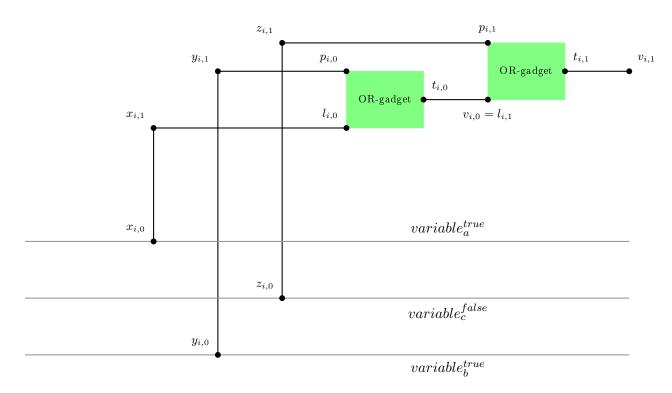


Figure 3.3: **CLAUSE-gadget for a clause** $a \lor b \lor \neg c$. Every green rectangle is an OR-gadget. *y*-coordinates of $x_{i,0}$, $y_{i,0}$ and $z_{i,0}$ depend on the variables in the *i*-th clause. Grey segments corresponds to the values of variables satisfying the *i*-th clause.

Points. First, we define auxiliary functions for literals. For a literal w, let idx(w) be the index of the variable in w, and neg(w) be the Boolean value whether the variable is negated in w or not.

Let us assume that clause $C_i = a \lor b \lor c$ for any literals a, b, c. Then, we define points in the gadget as:

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 \begin{aligned} x_{i,0} &:= (20i, 4 \cdot idx(a) + 2 \cdot neg(c)), & x_{i,1} &:= (20i, 4(n+1)), \\ y_{i,0} &:= (20i+1, 4 \cdot idx(b) + 2 \cdot neg(b)), & y_{i,1} &:= (20i+1, 4(n+1)+4), \\ z_{i,0} &:= (20i+2, 4 \cdot idx(c) + 2 \cdot neg(c)), & z_{i,1} &:= (20i+2, 4(n+1)+6). \end{aligned}
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We are now ready to define set of points:

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moveVariable_i :=
$$\{x_{i,j} : j \in \{0,1\}\} \cup \{y_{i,j} : j \in \{0,1\}\} \cup \{z_{i,j} : j \in \{0,1\}\},\$$

$$\mathsf{pointsClause}_i := \mathsf{moveVariable}_i \cup \mathsf{pointsOr}_{i,0} \cup \mathsf{pointsOr}_{i,1} \cup \{v_{i,1}\}.$$

Note that these two points are equal: $v_{i,0} = l_{i,1}$. This translates to the fact, that output of the one OR-gadget is an input to the other OR-gadget to create or of 3 segments.

293 **Segments.** We also define segments for the clause gadget as below:

$$\begin{array}{lll} \mathsf{segmentsClause}_i &:= & \{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (x_{i,1}, l_{i,0}), (y_{i,1}, p_{i,0}), (z_{i,1}, p_{i,1}), \} \cup \\ & \cup \; \mathsf{segmentsOr}_{i,0} \cup \mathsf{segmentsOr}_{i,1}. \end{array}$$

The CLAUSE-gadgets consist of two OR-gadgets. Ideally, we would place the i-th CLAUSE-gadget close to the $\mathsf{xTrueSegment}_{j_1}$ or $\mathsf{xFalseSegment}_{j_1}$ segments corresponding to the literals that occur in the i-th clause. It would be inconvenient to position them there, because between these segments there may be additional $\mathsf{xTrueSegment}_{j_2}$ or $\mathsf{xFalseSegment}_{j_2}$ segments corresponding to the other literals.

Instead, we use simple auxiliary gadgets to transfer whether the segment is in a solution, i.e. segments $(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1})$ in this gadget. Each gadget consists of two segments $(x_{i,0}, x_{i,1}), (x_{i,1}, a)$. These are the only segments that can cover $x_{i,1}$. We place $x_{i,0}$ on a segment that we want to transfer (i.e. segment responsible for choosing the variable value satisfying the corresponding literal). If in some solution $x_{i,0}$ is already covered by this segment, then we can cover $x_{i,1}$ by $(x_{i,1},a)$, thus also covering a. If $x_{i,0}$ is not covered by this segment, then the only way to cover $x_{i,0}$ is to use segment $(x_{i,0}, x_{i,1})$. Intuitively, in any optimal solution the two segments transfer the state of whether $x_{i,0}$ is covered onto whether a is covered. Therefore, the number of segments in the optimal solution is increased by one, and we get a point a that was effectively placed on some segment s, but it can be placed anywhere on the plane instead, consequently simplifying the construction.

Lemma 3.7. For any $1 \le i \le n$ and $a \in \{x_{i,0}, y_{i,0}, z_{i,0}\}$, there is a set solClause $_i^{true,a} \subseteq$ segmentsClause $_i$ with $|\mathsf{solClause}_i^{true,a}| = 11$ that covers all points in $\mathsf{pointsClause}_i - \{a\}$.

Proof. For $a=x_{i,0}$ (analogous proof for $y_{i,0}$): First we use Lemma 3.5 twice with excluded $x=l_{i,0}$ and $x=l_{i,1}=v_{i,0}$, resulting with 8 segments in $\mathsf{chooseOr}_{i,0}^{true} \cup \mathsf{chooseOr}_{i,1}^{true}$ which cover all required points apart from $x_{i,1},y_{i,0},y_{i,1},z_{i,0},z_{i,1},l_{i,0}$. We cover those using additional segments: $\{(x_{i,1},l_{i,0}),(y_{i,0},y_{i,1}),(z_{i,0},z_{i,1})\}$

For $a=z_{0,i}$: Using Lemma 3.6 and Lemma 3.5 with $x=p_{i,1}$, we obtain 8 segments in chooseOr_{i,0}^{false}UchooseOr_{i,1}^{true} which cover all required points apart from $x_{i,0}, x_{i,1}, y_{i,0}, y_{i,1}, z_{i,1}, p_{i,1}$. We cover those using additional 3 segments: $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,1}, p_{i,1})\}$.

Lemma 3.8. For any $1 \le i \le n$ there is a set $\mathsf{solClause}_i^{false} \subseteq \mathsf{segmentsClause}_i$ with $|\mathsf{solClause}_i^{false}| = 12$ that covers all points in $\mathsf{pointsClause}_i$.

Proof. Using Lemma 3.6 twice we can cover pointsOr_{i,0} and pointsOr_{i,1} with 8 segments. To cover the remaining points we additionally use: $\{(x_{i,0}, x_{i,1}), (y_{i,0}, y_{i,1}), (z_{i,0}, z_{i,1}), (t_{i,1}, v_{i,1})\}$

324 **Lemma 3.9.** For any $1 \le i \le n$:

- noints in pointsClause, can not be covered using any subset of segments from segmentsClause, of size smaller than 12;
- (2) points in pointsClause_i $-\{x_{i,0},y_{i,0},z_{i,0}\}$ can not be covered using any subset of segments from segmentsClause_i of size smaller than 11.

Proof of (1). No segment in segmentsClause_i covers more than 1 point from

$$\{x_{i,0}, y_{i,0}, z_{i,0}, l_{i,0}, p_{i,0}, q_{i,0}, u_{i,0}, v_{i,0} = l_{i,1}, p_{i,1}, q_{i,1}, u_{i,1}, v_{i,1}\}.$$

Therefore we need to use at least 12 segments.

Proof of (2). We can define disjoint sets X, Y, Z such that $X \cup Y \cup Z \subseteq \mathsf{pointsClause}_i - \{x_{i,0}, y_{i,0}, z_{i,0}\}$ such that there are no segments in $\mathsf{segmentsClause}_i$ covering points from different sets. And we prove a lower bound for each of these sets. First, let:

$$X := \{x_{i,1}, y_{i,1}, z_{i,1}\}.$$

No two points in X can be covered with one segment of segmentsClause_i, so it must be covered with 3 different segments. Next we define other sets:

$$\begin{split} Y := \mathsf{pointsOr}_{i,0} - \{l_{i,0}, p_{i,0}\}, \\ Z := \mathsf{pointsOr}_{i,1} - \{l_{i,1}, p_{i,1}\}. \end{split}$$

For both Y and Z we can check all of the subsets of 3 segments of segmentsClause $_i$ to conclude that none of them cover the considered, so both Y and Z have to be covered with disjoint sets of 4 segments each.

Therefore, pointsClause_i $-\{x_{i,0}, y_{i,0}, z_{i,0}\}$ must be covered with at least 3+4+4=11 segments from segmentsClause_i.

340 3.2.4. Summary

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Add some smart lemmas that sets will be exclusive to each other.

Lemma 3.10. Robustness to 1/2-extension. For every segment $s \in \mathcal{P}$, s and $s^{+\frac{1}{2}}$ cover the same points from \mathcal{C} .

Proof. We can just check every segment. Most of the segments s are collinear only with points that lay on s, so trivially $s^{+\frac{1}{2}}$ cannot cover more points than s does.

Within VARIABLE-gadget for any $1 \le i \le n$ after $\frac{1}{2}$ -extension: (c_i, g_i) does not cover b_i .

Within OR-gadget some of the segments are collinear and share one point; specifically, for any $1 \le i \le n$ and $j \in \{0, 1\}$, after $\frac{1}{2}$ -extension:

- $(l_{i,j}, n_{i,j})$ does not cover $o_{i,j}$,
- $(n_{i,j}, p_{i,j})$ does not cover $m_{i,j}$,
- $(t_{i,j}, v_{i,j})$ does not cover $n_{i,j}$.

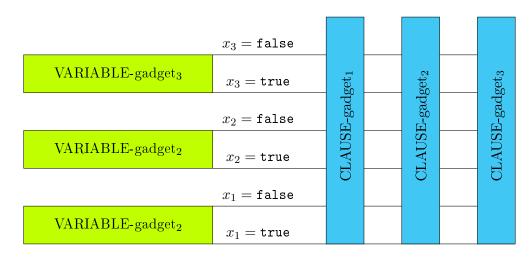


Figure 3.4: Schema of the whole construction.

General layout of VARIABLE-gadgets and CLAUSE-gadgets and how they interact with each other.

Within CLAUSE-gadget, for any $1 \le i \le n$ after $\frac{1}{2}$ -extension:

- $(o_{i,0}, u_{i,0})$ does not cover $m_{i,1}$,
- $(m_{i,1}, s_{i,1})$ does not cover $u_{i,0}$,
- $(y_{i,1}, p_{i,0})$ does not cover $n_{i,1}$.

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For two consequitive VARIABLE-gadgets, for any $1 \le i < n$ after $\frac{1}{2}$ -extension: (b_i, f_i) does not cover b_{i+1} (nor f_{i-1} for i > 1). Similarly (a_i, d_i) does not cover a_{i+1} (nor d_{i-1} for i > 1), because this segment is shorter than the previous one and a_i and b_i share y-coordinate.

For two consequtive CLAUSE-gadgets, segments from one do not cover anything from the other, as the gadgets have width 9 and every lefmost x-coordnate is divisible by 20. Hence two different gadgets do not interact with each other after $\frac{1}{2}$ -extension.

Next we need to check whether VARIABLE-gadget's segments do not cover any points $x_{i,0}, y_{i,0}$ or $z_{i,0}$ from CLAUSE-gadget. For any $1 \le i \le n$ and $1 \le j \le n$, all points $x_{j,0}, y_{j,0}$ and $z_{j,0}$ have x-coordinate strictly positive. Segment (a_i, c_i) have length 2L and c_i has x-coordinate equal to -L, so after $\frac{1}{2}$ -extension this segment does not cover any points with a positive x-coordinate.

3.2.5. Summary of construction

Finally we define set of points and segments for the constructed instance:

$$\mathcal{C} := \bigcup_{1 \leq i \leq n} \mathsf{pointsVariable}_i \cup \mathsf{pointsClause}_i,$$

$$\mathcal{P} := \bigcup_{1 \leq i \leq n} \mathsf{segmentsVariable}_i \cup \mathsf{segmentsClause}_i.$$

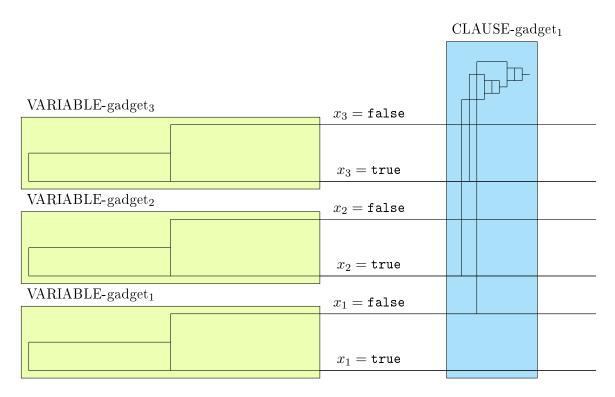


Figure 3.5: Schema of the whole construction. General layout of VARIABLE-gadgets and CLAUSE-gadgets and how they interact with each other.

3.3. Construction lemmas and proof of Lemma 3.1

In order to prove Lemma 3.1 we introduce several auxiliary lemmas proving properties of the construction described in the previous section. 371

Consider an instance S of MAX-(3,3)-SAT of size n with optimum solution satisfying k clauses. Let us construct an instance $(\mathcal{C}, \mathcal{P})$ of geometric set cover as described in Section 3.2 for the instance S of MAX-(3,3)-SAT. 374

Lemma 3.11. Instance $(\mathcal{C}, \mathcal{P})$ of geometric set cover admits a solution of size 15n - k. 375

Proof. Let the clauses in S be $c_1, c_2 \ldots c_n$ and the variables be $x_1, x_2 \ldots x_n$. Let the variable assignment in the optimum solution to S be $\phi : \{x_1, x_2 \ldots x_n\} \to \{\texttt{true}, \texttt{false}\}$. 377

We cover every VARIABLE-gadget with solution described in Lemma 3.2, where in the 378 i-th gadget we choose the set of segments corresponding to the value of $\phi(x_i)$.

For every clause that is satisfied, say c_i , let us name the variable that is true in it as x_i 380 and point corresponding to x_i in pointsClause_i as a. Points in pointsClause_i are covered with 381 set solClause $_i^{true,a}$ described in Lemma 3.7. For every clause that is not satisfied, say c_j , points 382 in $pointsClause_i$ are covered with set $solClause_i^{false}$ described in Lemma 3.8. 383

Formally we define sets responsible for choosing variable assignment and satisfing clauses, R_i and C_i respectively, as following: 385

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$$R_i := \begin{cases} \mathsf{chooseVariable}_i^{true} & \text{if } \phi(x_i) = \mathsf{true} \\ \mathsf{chooseVariable}_i^{false} & \text{if } \phi(x_i) = \mathsf{false} \end{cases}$$

$$C_i := \begin{cases} \mathsf{solClause}_i^{true,a} & \text{if } c_i \text{ satisfied by literal corresponding to point } a \\ \mathsf{solClause}_i^{false} & \text{if } c_i \text{ not satisfied} \end{cases}$$

$$\mathcal{R} := \bigcup_{i=1}^n \{ R_i \cup C_i : 1 \leq i \leq n \}.$$

This set covers all the points from C, because the sets R_i , C_i individually cover their corresponding gadgets, as proved in the respective lemmas.

All of these sets are disjoint, so the size of the obtained solution is:

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$$|\mathcal{R}| = \sum_{i=1}^{n} R_i + \sum_{i=1}^{n} C_i = 3n + 11k + 12(n-k) = 15n - k.$$

Lemma 3.12. Suppose we have a solution \mathcal{R} of the instance $(\mathcal{C}, \mathcal{P})$ of geometric set cover.

Then there exists a solution \mathcal{R}' , such that $|\mathcal{R}'| \leq |\mathcal{R}|$, and \mathcal{R}' contains at most one of the segments \times TrueSegment_i and \times FalseSegment_i from each VARIABLE-gadget.

Proof. Assume that we have $\{xTrueSegment_i, xFalseSegment_i\} \subseteq \mathcal{R}$ for some i. We will show how to modify \mathcal{R} into \mathcal{R}' , such that the number of such i decreases, while \mathcal{R}' is still a valid solution to $(\mathcal{C}, \mathcal{P})$, and $|\mathcal{R}'| \leq |\mathcal{R}|$. Then, by repeating this procedure, we can eventually construct a solution satisfying the property from the Lemma.

To construct \mathcal{R}' , we first remove from \mathcal{R} all segments belonging to segmentsVariable_i. Recall that the *i*-th VARIABLE-gadget corresponds to variable x_i in S. As every variable in S is used in exactly 3 clauses, then one literal x_i or $\neg x_i$ must appear in at least 2 clauses. If that literal is x_i , then we add to the constructed solution all segments from chooseVariable^{true}_i, otherwise we add all segments from chooseVariable^{false}_i.

Now, there exists at most one CLAUSE-gadget which needs adjustment to make \mathcal{R}' valid; assuming it is the j-th clause, then one of the points $x_{j,0}, y_{j,0}$ or $z_{j,0}$ for this CLAUSE-gadget might be not covered, say $y_{j,0}$. We amend the solution by adding $(y_{j,0}, y_{j,1})$ to \mathcal{R}' .

By Lemma 3.4 we know that \mathcal{R} used at least 4 segments from segmentsVariable_i. Therefore, we removed at least 4 segments and added at most 4 segments, so $|\mathcal{R}'| \leq |\mathcal{R}|$.

Lemma 3.13. Suppose we have a solution \mathcal{R} of the instance $(\mathcal{C}, \mathcal{P})$ of geometric set cover that is of size w. Then there exists a solution to S that satisfies at least 15n - w clauses.

Proof. Let the clauses in S be $c_1, c_2 \ldots c_n$ and the variables be $x_1, x_2 \ldots x_n$. Given a solution \mathcal{R} of the instance $(\mathcal{C}, \mathcal{P})$ of geometric set cover, we use Lemma 3.12 to modify \mathcal{R} such that for any i it contains at most one of $\mathsf{xTrueSegment}_i$ and $\mathsf{xFalseSegment}_i$; this may decrease the cost of \mathcal{R} , but that does not matter in the subsequent construction. To simplify notation, in the remainder of this proof we use \mathcal{R} to refer to the modified solution.

Given \mathcal{R} , we construct a solution to S by defining an assignment of variables:

$$\phi: \{x_1, x_2 \dots x_n\} \to \{\texttt{true}, \texttt{false}\}$$

that satisfies at least 15n - w clauses in S.

Definition of ϕ . Recall that due to Lemma 3.12, \mathcal{R} contains at most one of xTrueSegment_i and xFalseSegment_i.

We define the value $\phi(x_i)$ for the variable x_i as follows:

$$\begin{cases} \phi(x_i) = \texttt{true} & \text{if } \mathsf{xTrueSegment}_i \in \mathcal{R} \\ \phi(x_i) = \texttt{false} & \text{otherwise} \end{cases}$$

Moreover, from Lemma 3.3 we get $|segmentsVariable_i \cap \mathcal{R}| \geq 3$ for every i.

Clauses satisfied with the chosen variable assignment. For a clause c_i , \mathcal{R} needs to use at least 11 segments to cover pointsClause_i – $\{x_{i,0}, y_{i,0}, z_{i,0}\}$ in the *i*-th CLAUSE-gadget (Lemma 3.9).

Moreover, if none of the points $\{x_{i,0}, y_{i,0}, z_{i,0}\}$ are covered by the segments from $\mathcal{R} \cap \mathsf{segmentsVariable}_i$, then \mathcal{R} needs to cover $\mathsf{pointsClause}_i$ with at least 12 segments by Lemma 3.9.

Let us denote a as the amount of such clauses c_i for which none of the points $x_{i,0}, y_{i,0}, z_{i,0}$ in pointsClause_i were covered by segments from $\mathcal{R} \cap \text{segmentsVariable}_i$ for any $1 \leq i \leq n$.

Consider a clause c_i for which at least one of the points $x_{i,0}, y_{i,0}, z_{i,0}$ in $\mathsf{pointsClause}_i$ were covered by segments from $\mathcal{R} \cap \mathsf{segmentsVariable}_j$ for some $1 \leq j \leq n$, then denote this point as t and say it corresponds to literal q and variable x_j . Point t can be only covered in $\mathsf{segmentsVariable}_j$ by a corresponding segment $\mathsf{xTrueSegment}_j$ or $\mathsf{xFalseSegment}_j$ (depending on whether the literal q is negated or not). From the definition of ϕ and the fact that one of this segment is in \mathcal{R} , we know that $\phi(j)$ has the value that evaluates w to be true. Therefore, clause c_i is satisfied.

Consequently, ϕ satisfies all but at most a clauses in S.

To conclude, given a solution to $(\mathcal{C}, \mathcal{P})$ of size w we constructed a variable assignment ϕ that satisfies at least n-a clauses of S. Finally, note that

$$w \ge 3n + 11(n - a) + 12a = 3n + 11n + a = 14n + a,$$

hence

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$$15n - w \le 15n - 14n - a = n - a.$$

Therefore ϕ satisfies at least 15n - w clauses of S.

We are ready to conclude the proof of Lemma 3.1.

Proof of Lemma 3.1. By Lemma 3.11, we know that there exists a solution to (C, P) of size 15n - k, so:

$$opt((\mathcal{C}, \mathcal{P})) \le 15n - k.$$

Since the optimum solution to S satisfies k clauses, then according to Lemma 3.13:

$$opt((\mathcal{C}, \mathcal{P})) \ge 15n - k.$$

Therefore, the solution given by Lemma 3.11 of size 15n - k is an optimum solution to the instance $(\mathcal{C}, \mathcal{P})$.

⁴³⁸ Chapter 4

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Fixed-parameter tractable algorithm for geometric set cover problem

In this chapter we show fixed-parameter tractable algorithms for the geometric set cover problem in two different settings. Section 4.1 shows a fixed-parameter tractable algorithm for geometric set cover with unweighted segments. The remainder of the chapter presents a fixed-parameter tractable algorithm for geometric set cover with weighted segments with δ -extensions. We show an algorithm for the setting with δ -extensions, because the original problem with weights is W[1]-hard, as we show in Chapter 5.

We start with a shared definition for this problem. We define *extreme points* for a set of collinear points.

Definition 4.1. For a set of collinear points C in the plane, extreme points of C are the endpoints of the smallest segment that covers all points from set C.

If C consists of one point or is empty, then there are 1 or 0 extreme points respectively.

452 4.1. Fixed-parameter tractable algorithm for unweighted seg453 ments

In this section we consider fixed-parameter tractable algorithms for unweighted geometric set cover with segments. The setting where segments are required to be axis-parallel (or limited to a constant number of directions) has an FPT algorithm already present in literature in the Parametrized Algorithms book [Cygan et al., 2015]. We present an FPT algorithm for geometric set cover with unweighted segments, where segments are in arbitrary directions.

4.1.1. Axis-parallel segments

Theorem 4.1. (FPT for segment cover with axis-parallel segments). There exists an algorithm that given a family \mathcal{P} of axis-parallel segments, a set of points \mathcal{C} and a parameter k, runs in time $O(2^k)$, and outputs a solution $\mathcal{R} \subseteq \mathcal{P}$ such that $|\mathcal{R}| \leq k$ and \mathcal{R} covers all points in \mathcal{C} , or determines that such a set \mathcal{R} does not exist.

We present here a simple algorithm from [Cygan et al., 2015] for completeness.

Proof. We show an $\mathcal{O}(2^k)$ -time branching algorithm. In each step, the algorithm selects a point a which is not yet covered, branches to choose one of the two directions, and greedily

chooses a segment a in that direction to cover. This proceeds until either all points are covered or k segments are chosen.

Let us take the point $a = (x_a, y_a)$ which is the smallest among points that are not yet covered in the lexicographic ordering of points in \mathbb{R}^2 . We need to cover a with some of the remaining segments.

Branch over the choice of one of the coordinates (x or y); without loss of generality, let us assume we chose x. Among the segments lying on line $x = x_a$, we greedily add to the solution the one that covers the most points. As a was the smallest in the lexicographical order, all points on the line $x = x_a$ have the y-coordinate larger than y_a . Therefore, if we denote the greedily chosen segment as s, then any other segment on the line $x = x_a$ that covers a can only cover a subset of points covered by s. Thus, greedily choosing s is optimal.

In each step of the algorithm we add one segment to the solution, thus the recursion can be stopped at depth k. If no branch finds a solution, then this means that a solution of size at most k does not exist.

Note that the same algorithm can be used for segments in d directions, where we branch over d choices of directions, and it runs in complexity $\mathcal{O}(d^k)$.

4.1.2. Segments in arbitrary directions

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In this section we consider the setting where segments are not constrained to a constant number of directions. We present a fixed-parameter tractable algorithm, parameterized by the size of the solution.

Theorem 4.2. (FPT for segment cover). There exists an algorithm that given a family \mathcal{P} of segments (in any direction), a set of points \mathcal{C} and a parameter k, runs in time $k^{O(k)}$.

($|\mathcal{C}| \cdot |\mathcal{P}|$)², and outputs a solution $\mathcal{R} \subseteq \mathcal{P}$ such that $|\mathcal{R}| \le k$ and \mathcal{R} covers all points in \mathcal{C} , or determines that such a set \mathcal{R} does not exist.

We will need the following lemmas proving properties of any instance of the problem.

Lemma 4.1. Given an instance $(\mathcal{P}, \mathcal{C})$ of the segment cover problem, without loss of generality we can assume that no segment covers a superset of what another segment covers. That is, for any distinct $A, B \in \mathcal{P}$, we have $A \cap \mathcal{C} \not\subseteq B \cap \mathcal{C}$ and $A \cap \mathcal{C} \not\supseteq B \cap \mathcal{C}$.

Proof. Assume towards a contradiction that there is an instance $(\mathcal{P}, \mathcal{C})$, and two distinct subsets of \mathcal{P} , A, B, such that $A \cap \mathcal{C} \subseteq B \cap \mathcal{C}$.

We construct a set $\mathcal{P}' := \mathcal{P} - \{A\}$. We prove that for any solution \mathcal{R} of $(\mathcal{P}, \mathcal{C})$, we can construct a solution $\mathcal{R}' \subseteq \mathcal{P}'$, such that $|\mathcal{R}'| \leq |\mathcal{R}|$. Let us take any solution \mathcal{R} of $(\mathcal{P}, \mathcal{C})$. If $A \in \mathcal{R}$, then $\mathcal{R}' := \mathcal{R} \cup \{B\} - \{A\}$, otherwise $\mathcal{R}' := \mathcal{R}$. Let us consider the case when $A \in \mathcal{R}$, because the other case is trivial. Since $A \cap \mathcal{C} \subseteq B \cap \mathcal{C}$, then $\mathcal{R} \cup \{B\} - \{A\}$ covers any point from \mathcal{C} that was covered by \mathcal{R} . Also $|\mathcal{R} \cup \{B\} - \{A\}| \leq |\mathcal{R}|$.

Lemma 4.2. Given an instance $(\mathcal{P}, \mathcal{C})$ of the segment cover problem transformed by Lemma 4.1, if there exists a line L with at least k+1 points on it, then there exists a subset $A \subseteq \mathcal{P}$, of size at most k, such that every solution \mathcal{R} with $|\mathcal{R}| \leq k$ satisfies $|A \cap \mathcal{R}| \geq 1$. Moreover, such a subset can be found in polynomial time.

Proof. Let us enumerate the points from C that lie on L as $x_1, x_2, \ldots x_t$ in the order in which they appear on L. Our proposed set is defined as:

 $A := \{ \text{segment collinear with } L \text{ that covers } x_i \text{ and does not cover } x_{i-1} : i \in 1, \dots k \}.$

Where for i = 1 we just take a segment that covers x_1 .

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If such a segment does not exist for any point x as above, then x does not give rise to any segment in A. We prove the lemma by contradition. Let us assume that there exists a solution \mathcal{R} of size at most k such that $\mathcal{R} \cap A = \emptyset$.

Let us define a set \mathcal{R}_L , which is defined as segments from \mathcal{R} that are collinear with L.

Every segment that is not collinear with L can cover at most one of the points that lie on this line. Hence, if \mathcal{R}_L was empty, then \mathcal{R} would cover at most k points on line L, but L had at least k+1 different points from \mathcal{C} on it.

Therefore, we know that \mathcal{R}_L is not empty and $|\mathcal{R} - \mathcal{R}_L| \leq k - 1$. Segments from \mathcal{R}_L can cover at most k - 1 points among $\{x_1, x_2, \dots x_k\}$, therefore at least one of these points must be covered by segments from \mathcal{R}_L . We take the leftmost point from $\{x_1, x_2, \dots x_k\}$ that is covered in \mathcal{R}_L and name it a. After transformation from Lemma 4.1, in \mathcal{R} there is only one segment that starts in a and is collinear with L, therefore this segment must be in both \mathcal{R} and A. This contradiction concludes the proof that $|A \cap \mathcal{R}| \geq 1$ for any solution \mathcal{R} of size at most k.

We are now ready to prove Theorem 4.2.

Proof of Theorem 4.2. We will prove this theorem by presenting a branching algorithm that works in desired complexity. It first branches over the choice of segments to cover the lines with many points and then solves a small instance (where every line has at most k points) by checking all possible solutions.

Algorithm. We present a recursive algorithm. Given an instance of the problem:

- (1) Use Lemma 4.1 to remove some redundant segments from our instance.
- (2) If there exists a line with at least k+1 points from \mathcal{C} , we branch over the choice of adding to the solution one of the at most k possible segments provided by Lemma 4.2; name this segment s and name the set of points from \mathcal{C} that lie on s as S. By recursion we find a solution \mathcal{R} for the instance $(\mathcal{C} S, \mathcal{P} \{s\})$, and parameter k-1. We return $\mathcal{R} \cup \{s\}$. Note that if Lemma 4.2 returned \emptyset , then we respond NO.
- 533 (3) If every line has at most k points on it and $|\mathcal{C}| > k^2$, then answer NO.
- (4) If $|\mathcal{C}| \leq k^2$, solve the problem by brute force: check all subsets of \mathcal{P} of size at most k.

Correctness. Lemma 4.2 proves that at least one segment that we branch over in (1) must be present in every solution \mathcal{R} with $|\mathcal{R}| \leq k$. Therefore, the recursive call can find a solution, provided there exists one.

In (2) the answer is no, because every line covers no more than k points from \mathcal{C} , which implies the same about every segment from \mathcal{P} . Under this assumption we can cover only k^2 points with a solution of size k, which is less than $|\mathcal{C}|$.

Checking all possible solutions in (3) is trivially correct.

Complexity. In the leaves of the recursion we have $|\mathcal{C}| \leq k^2$, so $|\mathcal{P}| \leq k^4$, because every segment can be uniquely identified by the two extreme points it covers (by Lemma 4.1). Therefore, there are $\binom{k^4}{k}$ possible solutions to check, each can be checked in time $O(k|\mathcal{C}|)$. Thus, (3) takes time $k^{O(k)}$.

In this branching algorithm our parameter k is decreased with every recursive call, so we have at most k levels of recursion with branching over k possibilites. Candidates to branch over can be found on each level in time $O((|\mathcal{C}| \cdot |\mathcal{P}|)^{O(1)})$.

Reduction from Lemma 4.1 can be implemented in time $O((|\mathcal{C}| \cdot |\mathcal{P}|)^{O(1)})$. It follows that the overall complexity is $O((|\mathcal{C}| \cdot |\mathcal{P}|)^{O(1)}) \cdot k^{O(k)})$

551 4.2. Fixed-parameter tractable algorithm for weighted segments with δ -extensions

In this section we consider the geometric set cover problem for weighted segments relaxed with δ -extensions. We show that this problem admits an FPT algorithm when parameterized by the size of the solution and δ . In the next chapter we show that the assumption about the problem being relaxed with δ -extensions is necessary: we prove that geometric set cover problem for weighted segments (without extensions) is W[1]-hard, which means there does not exist any FPT algorithm parameterized by solution size for it, assuming FPT \neq W[1].

Theorem 4.3 (FPT for weighted segment cover with δ -extensions). There exists an algorithm that given a family \mathcal{P} of n weighted segments (in any direction), a set of m points \mathcal{C} , and parameters k and $\delta > 0$, runs in time $f(k, \delta) \cdot (nm)^c$ for some computable function f and a constant c, and outputs a set $\mathcal{R} \subseteq \mathcal{P}$ such that $|\mathcal{R}| \leq k$ and $\mathcal{R}^{+\delta}$ covers all points in \mathcal{C} and weight of \mathcal{R} is not greater than weight of optimum solution of size at most k for this problem without δ -extensions, or determines that such a set \mathcal{R} does not exist.

To solve this problem we will introduce a lemma about choosing a dense subset of points. A dense subset of points for a set of collinear points C and parameters k and δ is a subset of C such that if we cover it with at most k segments, these segments after δ -extensions will cover all of the points from C. We will prove that such set of size bounded by some function $f(k,\delta)$ always exists (Lemma 4.3). Later, Lemma 4.3 will allow us to find a kernel for our original problem.

Definition 4.2. For a set of collinear points C, a subset $A \subseteq C$ is (k, δ) -dense if for any set of segments R that covers A and such that $|R| \le k$, it holds that $R^{+\delta}$ covers C.

Lemma 4.3. For any set of collinear points C, $\delta > 0$ and $k \ge 1$, there exists a (k, δ) -dense set $A \subseteq C$ of size at most $(2 + \frac{2}{\delta})^k$. Moreover, there exists an algorithm that computes the (k, δ) -dense set in time $O(|C| \cdot (2 + \frac{2}{\delta})^k)$.

576 Proof. We prove this for a fixed δ by induction on k.

Inductive hypothesis. For any set of collinear points C, there exists a set A such that:

- A is subset of C,
- A is (ℓ, δ) -dense for every $1 \le \ell \le k$,
- $\bullet |A| \le (2 + \frac{2}{\delta})^k,$

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• the extreme points of C are in A.

Base case for k=1. It is sufficient that A consists of the extreme points of C.

If they are covered with one segment, it must be a segment that includes the extreme points from C, so it covers the whole set C.

There are at most 2 extreme points in C and $2 < 2 + \frac{2}{\delta}$.

Inductive step. Assuming inductive hypothesis for any set of collinear points C and for parameter k, we will prove it for k+1.

Let s be the minimal segment that includes all points from C. That is, the extreme points of C are endpoints of s.

We define $M = \lceil 1 + \frac{2}{\delta} \rceil$ subsegments of s by splitting s into M closed segments of equal length. We name these segments v_i , note that $|v_i| = \frac{|s|}{M}$ for each $1 \le i \le M$.

Let C_i be the subset of C consisting of points lying on v_i .

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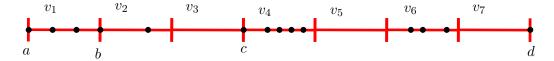
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Let t_i be the segment with endpoints being the extreme points of C_i . It might be a degenerate segment if C_i consists of one point, or t_i might be empty if C_i is empty.

Figure 4.1 presents an example of such segments v_i and t_i .



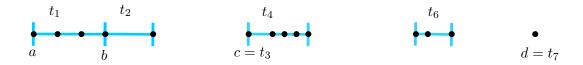


Figure 4.1: Example of segments v_i and t_i .

Example for M=7 and some set of points (marked with black circles). The top panel shows segments v_i and the bottom panel shows segments t_i on the same set of points. a and b are the extreme points and therefore segment s ends at a and b. Red segments depict the split into M segments of equal length v_i . Blue segments depict the segments t_i . t_5 is an empty segment, because there are no points that lie on segment v_5 . Segments t_3 and t_7 are degenerated to one point -c and d respectively. Segments t_1 and t_2 share one point b.

We use the inductive hypothesis to choose (k, δ) -dense sets A_i for sets C_i . Note that if $|C_i| \leq 1$, then $A_i = C_i$ and it is still a (k, δ) -dense set for C_i .

Then we define $A = \bigcup_{i=1}^{M} A_i$. Thus A includes the extreme points of C, because they are included in the sets A_1 and A_M .

The size of each A_i is at most $(2 + \frac{2}{\delta})^k$ from the inductive hypothesis, therefore size of A is at most:

$$M\left(2+\frac{2}{\delta}\right)^k = \left[1+\frac{2}{\delta}\right] \cdot \left(2+\frac{2}{\delta}\right)^k \le \left(2+\frac{2}{\delta}\right)^{k+1}.$$

Proof that A is (k, δ) -dense for C. Let us take any cover of A with k + 1 segments and call it \mathcal{R} .

For every segment t_i , if there exists a segment x in \mathcal{R} that is disjoint with t_i , then we have a cover of A_i with at most k segments using $\mathcal{R} - \{x\}$. Since A_i is (k, δ) -dense for t_i and C_i , $(\mathcal{R} - \{x\})^{+\delta}$ covers C_i . So $\mathcal{R}^{+\delta}$ covers C_i as well.

If there exists a segment t_i for which a segment x as defined above does not exist, then all k+1 segments that cover A_i intersect t_i . An example of such segments is depicted in Figure 4.2. Let us consider any such t_i . By inductive hypothesis, the endpoints of s are

in A_1 and A_M respectively, so \mathcal{R} must cover them. For each endpoint of s, there exists a 608 segment that contains this endpoint and intersects t_i . Let us call these two segments y and 609 z. It follows that: $|y| + |z| + |t_i| \ge |s|$. Since $|t_i| \le |v_i| = \frac{|s|}{M} \le \frac{|s|}{1 + \frac{2}{\delta}} = \frac{|s|\delta}{\delta + 2}$, we have 610 $\max(|y|, |z|) \ge |s|(1 - \frac{\delta}{\delta + 2})/2 = \frac{|s|}{\delta + 2}.$

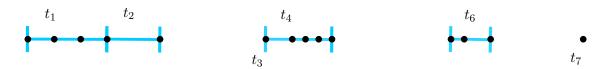




Figure 4.2: Example of all k+1 segments intersecting one segment t_i . Both panels show the same set \mathcal{C} (black circles), the same as in Figure 4.1. The top panel shows blue segments t_i for M=7. The bottom panel shows green segments – solution \mathcal{R} of size 4. All segments from \mathcal{R} intersect t_4 . Segments z and y are named in the figure.

After δ -extension, the longer of these segments will expand at both ends by at least:

$$\max(|y|, |z|)\delta \ge \frac{|s|\delta}{\delta + 2} = \frac{|s|}{1 + \frac{2}{\delta}} \ge \frac{|s|}{M} = |v_i| \ge |t_i|.$$

Therefore, the longer of segments y and z will cover the whole segment t_i after δ -extension. 612 We conclude that $\mathcal{R}^{+\delta}$ covers C_i . Since $C = \bigcup_{i=1}^{M} C_i$, it follows that $\mathcal{R}^{+\delta}$ covers C. 613

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Algorithm. We can simulate the inductive proof presented above by a recursive algorithm with the following complexity:

$$O\left(|C| + \frac{1}{\delta}\right) + O\left(|C| \cdot \left(2 + \frac{2}{\delta}\right)^k\right).$$

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Let us now formulate some claims about the properties for the problem parameterized by the solution size. These properties provide bounds for different objects in the problem instance, which help us to find a small kernel for the problem or conclude that the optimum solution to this instance must be in terms of size above some treshold.

Definition 4.3. A line in the plane is **long** if there are at least k+1 points from \mathcal{C} on it. 620

Claim 4.1. If there are more than k different long lines, then C can not be covered with k 621 segments.

Proof. We prove the claim by contradiction. Let us assume that we have at least k+1 different 623 long lines in our instance of the problem and there is a solution \mathcal{R} of size at most k covering 624 points \mathcal{C} . 625

Choose any long line L. Every segment from \mathcal{R} which is not collinear with L, covers at most one point that lies on L. L is long, so there are at least k+1 points from \mathcal{C} that lie on L. That implies that there must be a segment in \mathcal{R} that is collinear with L.

Since we have at least k+1 different long lines, there are at least k+1 segments in \mathcal{R} collinear with different lines. This contradicts with the assumption that $|\mathcal{R}| \leq k$.

Claim 4.2. If there are more than k^2 points from C that do not lie on any long line, then C can not be covered with k segments.

Proof. We prove the claim by contradiction. Let us assume that we have at least $k^2 + 1$ points from \mathcal{C} that do not lie on any long line, call this set A, and a solution \mathcal{R} of size at most k covering all points in \mathcal{C} .

Every segment s from \mathcal{R} covers at most k points from A. This is because if s covered at least k+1 points from A, then the line in the direction of s would be a long line and that contradicts the definition of A.

If every segment from \mathcal{R} covers at most k points from A and $|\mathcal{R}| \leq k$, then at most k^2 points from A are covered by \mathcal{R} and that contradicts the fact that \mathcal{R} is a solution to the given geometric set cover instance.

We are now ready to give a proof of Theorem 4.3.

Proof of Theorem 4.3. Applying Claims 4.1 and 4.2, if we have more than k different long lines or more than k^2 points from \mathcal{C} that do not lie on any long line, then we answer that there is no solution of size at most k. Let us name the number of different long lines as l.

Otherwise, we can split C into at most k+1 sets:

- D: at most k^2 points that do not lie on any long line;
- C_i for $1 \le i \le l$: points that lie on the *i*-th long line.

Note that sets C_i do not need to be disjoint.

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Then for every set C_i we can use Lemma 4.3 to obtain a (k, δ) -dense set A_i for C_i with $|A_i| \leq (2 + \frac{2}{\delta})^k$.

Then we have a set $\mathcal{C}' = D \cup (\bigcup A_i)$ of size at most $k^2 + k(2 + \frac{2}{\delta})^k$. Observe that if we have a solution \mathcal{R} of size at most k that covers \mathcal{C}' , then $\mathcal{R}^{+\delta}$ covers \mathcal{C} .

 \mathcal{C} is separated into several parts – sets D and C_i . Points from D are covered by \mathcal{R} , because D is part of \mathcal{C}' . Each A_i is covered, because A_i is part of \mathcal{C}' ; A_i is a (k, δ) -dense set for C_i , therefore $\mathcal{R}^{+\delta}$ covers C_i .

After that we shrunk down \mathcal{C} to \mathcal{C}' of size $f(k,\delta)$ for some computable function f. Then we would like to shrink down \mathcal{P} to some set of relevant segments of bounded size as well.

For every pair of points \mathcal{C}' , we can choose one segment from \mathcal{P} that have the lowest weight among segments that cover these points or decide there is no segment that cover them. Call this set \mathcal{P}' and name these segments **interesting**. There are at most $|\mathcal{C}'|^2$ different segments in \mathcal{P}' .

We need to show that when we cover \mathcal{C}' with segments from \mathcal{P}' we achieve the same minimal solution as when we cover them with segments from \mathcal{P} . In order to prove this, consider a minimal solution \mathcal{R} that covers \mathcal{C}' with segments from \mathcal{P}' and take any segment s from \mathcal{R} . Let us look at the points from \mathcal{C}' that lie on s and call this set of points F. F is a set of collinear points for course. We can cover F with any segment that covers extreme points of F, because all other points lay on the segment between these points. Therefore we

can change s to an interesting segment s' and interesting segments are defined in such a way, that s' has weight no larger than weight of s.

This has complexity $O(|\mathcal{C}'|^2|\mathcal{P}|)$ and produces shrunk down set of segments \mathcal{P}' of size $f(k,\delta)$ for some computable function f.

Then we can iterate over all subsets of \mathcal{P}' and choose the set with the lowest sum of weights that cover \mathcal{C}' . This solution would have weight not larger than optimal solution to the problem without extension, because we iterate over all posibilities of covering the subset of \mathcal{C}' .

Chapter 5

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W[1]-hardness for weighted segments in 3 directions

In this chapter we consider geometric set cover problem with weighted segments. In Theorem 5.1 below, we prove that this problem is W[1]-hard when parameterized by the size of 681 the solution. We additionally restrict the problem to only use segments in three directions to 682 achieve a stronger result. W[1]-hardness is proved by a reduction from the grid tiling problem, which was introduced in [Marx, 2007].

Definition 5.1. A line is **right-diagonal** if it is described by linear function x + y = d for some $d \in \mathbb{R}$. Segment is **right-diagonal** if its direction is a right-diagonal line.

Theorem 5.1. Consider the problem of covering a set C of points by selecting at most k687 segments from a set of segments \mathcal{P} with non-negative weights $w:\mathcal{P}\to\mathbb{R}^+$ so that the weight of the cover is minimal. Then this problem is W[1]-hard when parameterized by k and assuming ETH, there is no algorithm for this problem with running time $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{o(\sqrt{k})}$ for any 690 computable function f. Moreover, this holds even if all segments in \mathcal{P} are axis-parallel or 691 right-diagonal.

In order to prove Theorem 5.1 we will show reduction from a W[1]-hard problem. We 693 introduce the grid tiling problem, which is proven to be W[1]-complete in literature TODO: add reference.

Definition 5.2. We define the **powerset** of a set A, denoted as Pow(A), as the set of all 696 subsets of A, ie. $Pow(A) = \{B : B \subseteq A\}.$

Definition 5.3. In the **grid tiling** problem we are given integers n and k, and a function 698 $f: \{1 \dots k\} \times \{1 \dots k\} \to \mathsf{Pow}(\{1 \dots n\} \times \{1 \dots n\})$ specifying the set of allowed tiles for each 699 cell of a $k \times k$ grid. The task is to find functions $x, y : \{1 \dots k\} \to \{1 \dots n\}$ that assign colors from $\{1...n\}$ to respectively columns and rows of the grid, so that $(x(i),y(j)) \in f(i,j)$ for all $i, j \in \{1 \dots k\}$, or conclude that such an assignment does not exist. 702

In short, in grid tiling problem one needs to assign numbers to rows and columns in such a way that for every pair of a row and a column, the pair of colors assigned to the row and column belongs to the allowed set of tiles for this pair. The next theorem describes the 705 complexity of this problem, which is W[1]-hard when parameterized by the size of the grid. 706

Theorem 5.2. [Marx, 2007] Grid tiling is W[1]-hard when parameterized by k and assuming 707 ETH, there is no $f(k) \cdot n^{o(k)}$ -time algorithm solving the grid tiling problem for any computable 708 function f.

	x(1) = 3	x(2) = 1	x(3) = 3	x(4) = 7
y(4) = 1	(2,1); (2,2); (3,1); (3,9)	(1,1);(3,1)	(3,1) ; (7,2)	(2,1); (7,1)
y(3) = 1	(2,1); (3,1); (4,2); (8,2)	(1,1); (1,3)	(3,1) ; (4,3)	(2,2); (7,1)
y(2) = 6	(2,6);(3,6)	(1,2); (1,6); (2,6)	(2,6); (3,6)	(2,6);(7,6)
y(1) = 4	(2,4); (2,6); (3,4); (3,9)	(1,4); (1,9)	(3,4);(3,9)	(2,9); (7,4)

Figure 5.1: Example of a grid tiling instance and its solution.

In the first row and column of the table you can see the solution: functions x and y. The tiles used in this solution are marked in **bold**. If we instead chose the tiles marked in **blue** (whenever there is one, taking the tile marked in **bold** otherwise), then that corresponds to setting x(1) = 2, and would also form a correct solution. On the other hand, if we instead chose the tiles marked in red (as before), then this corresponds to setting y(1) = 9 and x(4) = 2 and that would **not** form a correct solution. Even though the first row is correct, the cell with coordinates (3,4) requires tile (2,1), not (2,2) (marked in **bold red**).

The remainder of this section is devoted to proving Theorem 5.1 by a reduction from a grid tiling problem instance to a geometric set cover instance. That proves the W[1]-hardness of the geometric set cover problem, because if we could solve it with an FPT algorithm, then we could also solve the grid tiling problem (which we reduced to the geometric set cover). Therefore geometric set cover with setting described in Theorem 5.1 is at least as hard as the grid tiling problem.

Construction. We start with an instance of the grid tiling problem (n, k, f). The instance consists of:

• size of the grid k,

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- number of colors n,
 - function of allowed tiles $f: \{1, \ldots, k\} \times \{1, \ldots, k\} \to \mathsf{Pow}(\{1, \ldots, n\} \times \{1, \ldots, n\})$.

We construct an instance $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$ of geometric set cover as follows.

First, let us choose any bijection order: $\{1,\ldots,n^2\} \to \{1,\ldots,n\} \times \{1,\ldots,n\}$.

Define $\mathsf{match}_v(i,j)$ and $\mathsf{match}_h(i,j)$ as boolean functions denoting whether two points share x or y coordinate:

 $\mathsf{match}_v(i,j)$ is $\mathsf{true} \iff \mathsf{order}(i)$ and $\mathsf{order}(j)$ have the same x coordinate, $\mathsf{match}_h(i,j)$ is $\mathsf{true} \iff \mathsf{order}(i)$ and $\mathsf{order}(j)$ have the same y coordinate.

Points. For $1 \le i, j \le k$ and $1 \le t \le n^2$ define points:

$$h_{i,j,t} := (i \cdot (n^2 + 1) + t, j \cdot (n^2 + 1)),$$

$$v_{i,j,t} := (i \cdot (n^2 + 1), j \cdot (n^2 + 1) + t).$$

Let us define sets H and V as:

$$H := \{h_{i,j,t} : 1 \le i, j, \le k, 1 \le t \le n^2\},\$$

$$V := \{v_{i,j,t} : 1 \le i, j, \le k, 1 \le t \le n^2\}.$$

Let $\epsilon = \frac{1}{2k^2}$. For a point p = (x, y) we define points:

$$p^{L} := (x - \epsilon, y),$$

$$p^{R} := (x + \epsilon, y),$$

$$p^{U} := (x, y + \epsilon),$$

$$p^{D} := (x, y - \epsilon).$$

Then we define the point set as follows:

$$\mathcal{C} := H \cup \{p^L : p \in H\} \cup \{p^R : p \in H\} \cup V \cup \{p^U : p \in V\} \cup \{p^D : p \in V\}.$$

Definition 5.4. For every point $p \in H$, we name point p^L its left guard and point p^R its right guard.

Similarly for every points $p \in V$, we name point p^D its **lower guard** and point p^U its **upper guard**.

Segments. For $1 \le i, j \le k$ and $1 \le t_1, t_2 \le n^2$ define segments:

$$\begin{split} & \mathsf{hor}_{i,j,t_1,t_2} & := & (h^R_{i,j,t_1}, h^L_{i+1,j,t_2}), \\ & \mathsf{ver}_{i,j,t_1,t_2} & := & (v^U_{i,j,t_1}, v^D_{i,j+1,t_2}), \\ & \mathsf{horBeg}_{i,t} & := & (h^L_{1,i,1}, h^L_{1,i,t}), \\ & \mathsf{horEnd}_{i,t} & := & (h^R_{k,i,t}, h^R_{k,i,n^2}), \\ & \mathsf{verBeg}_{i,t} & := & (v^U_{i,1,1}, v^U_{i,1,t}), \\ & \mathsf{verEnd}_{i,t} & := & (v^U_{i,k,t}, v^U_{i,k,n^2}). \end{split}$$

Next, we define sets of vertical and horizontal segments:

$$\begin{split} \mathsf{HOR} & := & \{ \mathsf{hor}_{i,j,t_1,t_2} : 1 \leq i < k, 1 \leq j \leq k, 1 \leq t_1, t_2 \leq n^2, \mathsf{match}_h(t_1,t_2) \ \mathsf{holds} \} \\ & \cup & \{ \mathsf{horBeg}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \} \\ & \cup & \{ \mathsf{horEnd}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \}, \end{split}$$

$$\begin{split} \mathsf{VER} &:= & \{ \mathsf{ver}_{i,j,t_1,t_2} : 1 \leq i \leq k, 1 \leq j < k, 1 \leq t_1, t_2 \leq n^2, \mathsf{match}_v(t_1,t_2) \ \mathsf{holds} \} \\ & \cup & \{ \mathsf{verBeg}_{i,t} : 1 \leq i \leq k, 1 \leq t \leq n^2 \} \\ & \cup & \{ \mathsf{verEnd}_{i,t} : 1 < i < k, 1 < t < n^2 \}. \end{split}$$

You can see an example of these segments in Figure 5.3.

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Finally, we also define a set of right-diagonal segments:

$$\mathsf{DIAG} := \{ (h_{i,i,t}, v_{i,i,t}) : 1 \le i, j \le k, 1 \le t \le n^2, \mathsf{order}(t) \in f(i,j) \}.$$



Figure 5.2: Vertices and segments in DIAG.

This is an example of constructed points any $1 \le i, j \le k$. Points from H and V are marked in black, their guards are marked in blue. You can also see segments from DIAG with their weights (equal to δ).

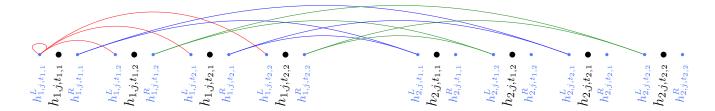


Figure 5.3: Vertices and segments in HOR.

This is an example for n=2 and any $1 \leq j \leq k$. Points from H are marked in black, their guards are marked in light blue. $t_{i,j}$ is a notation that we use for $\mathsf{order}^{-1}(i,j)$. Segments are represented as arcs between endpoints. You can see $\mathsf{horBeg}_{j,t}$ segments in red. $\mathsf{horBeg}_{j,1}$ is degenerated to a single point at $h_{1,1,t_{1,1}}^L$. Segments $\mathsf{hor}_{i,j,t_{x_1,y},t_{x_2,y}}$ are marked in blue and green. Blue segments connect $t_{x_1,y}$ and $t_{x_2,y}$ such that they share y-coordinate equal to 1, for green segments it is equal to 2.

You can see an example of such segments in Figure 5.2.

Every segment in DIAG connects points $(i(n^2+1)+t, j\cdot(n^2+1))$ and $(i\cdot(n^2+1), j(n^2+1)+t)$ for some $1 \le i, j \le k, 1 \le t \le n^2$. The line on which it lies can be described by linear equation $y = -x + (t + (i+j)(n^2+1))$, thus these segments are in fact right-diagonal.

The constructed segment set is defined as:

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$$\mathcal{P} := \mathsf{HOR} \cup \mathsf{VER} \cup \mathsf{DIAG}.$$

The weight of each segment in HOR \cup VER is equal to its length, while every segment in DIAG has weight $\delta := \frac{1}{4k^4}$.

$$w(s) = \begin{cases} length(s) & \text{if } s \in \mathsf{HOR} \cup \mathsf{VER} \\ \delta & \text{if } s \in \mathsf{DIAG} \end{cases}$$

Now, we prove that the constructed instance of geometric set cover with weighted segments is indeed a correct and sound reduction of the grid tiling problem. Lemma 5.1 proves that if the solution to the instance of the grid tiling instance exists, then there exists a solution with bounded size and weight of the constructed instance of geometric set cover problem.

Then Lemma 5.5 proves that if the solution to the geometric set cover instance with bounded weight exists, then there exists a solution to the original grid tiling instance.

Lemma 5.1. If there exists a solution to the grid tiling instance $(f_{i,j})$, then there exists a solution to the instance $(C, \mathcal{P}, w, 3k^2 + 2k)$ of geometric set cover with weight $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$.

Proof. Suppose there exists a solution x, y of the instance $(f_{i,j})$ of the grid tiling problem.

We define the proposed solution $\mathcal{R}\subset\mathcal{P}$ of the instance of geometric set cover in three

parts $D \subset \mathsf{DIAG}$, $A \subset \mathsf{HOR}$ and $B \subset \mathsf{VER}$:

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$$\begin{array}{lll} D &:= & \{(v_{i,j,t},h_{i,j,t}): 1 \leq i,j \leq k,t = \mathsf{order}^{-1}(x(i),y(j))\}, \\ A &:= & \{\mathsf{horBeg}_{i,\mathsf{order}^{-1}(x(1),y(i))}: 1 \leq i \leq k\} \\ & \cup & \{\mathsf{horEnd}_{i,\mathsf{order}^{-1}(x(k),y(i))}: 1 \leq i \leq k\} \\ & \cup & \{\mathsf{hor}_{i,j,\mathsf{order}^{-1}(x(i),y(j)),\mathsf{order}^{-1}(x(i+1),y(j))}: 1 \leq i < k, 1 \leq j \leq k\}, \\ B &:= & \{\mathsf{verBeg}_{i,\mathsf{order}^{-1}(x(i),y(1))}: 1 \leq i \leq k\} \\ & \cup & \{\mathsf{verEnd}_{i,\mathsf{order}^{-1}(x(i),y(k))}: 1 \leq i \leq k\} \\ & \cup & \{\mathsf{ver}_{i,j,\mathsf{order}^{-1}(x(i),y(j)),\mathsf{order}^{-1}(x(i),y(j+1))}: 1 \leq i \leq k, 1 \leq j < k\}, \end{array}$$

 $\mathcal{R} := D \cup A \cup B.$

Since $\mathcal{C} = H \cup V$, we show that \mathcal{R} covers the whole set H, proof for V is analogous.

Take any $1 \leq j \leq k$ and define $t_i := \mathsf{order}^{-1}(x(i), y(j))$. The two leftmost segments in A for this j are $\mathsf{horBeg}_{j,t_1} = (h^L_{1,j,1}, h^L_{1,j,t_1})$ and $\mathsf{hor}_{1,j,t_1,t_2} = (h^R_{1,j,t_1}, h^L_{2,j,t_2})$. Therefore points $h_{1,j,x}, h^L_{1,j,x}$ and $h^R_{1,j,x}$ for all $1 \leq x \leq n^2$ ale covered by horBeg_{j,t_1} and $\mathsf{hor}_{1,j,t_1,t_2}$, excluding point h_{1,j,t_1} .

Analogously for $2 \le i \le k-1$ for two consecutive segments $\mathsf{hor}_{i-1,j,t_{i-1},t_i}$ and $\mathsf{hor}_{i,j,t_i,t_{i+1}}$ we prove that all points $h_{i,j,x}, h_{i,j,x}^L$ and $h_{i,j,x}^R$ for all $1 \le x \le n^2$ ale covered by these segments excluding point h_{i,j,t_i} .

Finally $\mathsf{hor}_{k-1,j,t_{k-1},t_k}$ and horEnd_{j,t_k} cover all points $h_{k,j,x}, h_{k,j,x}^L$ and $h_{k,j,x}^R$ for all $1 \le x \le n^2$ excluding point h_{k,j,t_k} .

D covers all points h_{i,j,t_i} and v_{i,j,t_i} , therefore all points in H are covered. Size of this proposed solution is:

$$|\mathcal{R}| = |D| + |A| + |B| = k^2 + (k+1)k + (k+1)k = 3k^2 + 2k.$$

Then, we need to compute the total weight of the solution \mathcal{R} . First, we compute the sum of weights of segments in A. Fix $1 \leq j \leq k$ and compute segments collinear with the j-th line. All points $h_{i,j,t}$, $h_{i,j,t}^L$ and $h_{i,j,t}^R$ for every $1 \leq i \leq k$ and $1 \leq t \leq n^2$ are covered by A excluding points $h_{i,j,\mathsf{order}^{-1}(x(i),y(j))}$. Every such point leaves a gap of length 2ϵ between $h_{i,j,\mathsf{order}^{-1}(x(i),y(j))}^L$ and $h_{i,j,\mathsf{order}^{-1}(x(i),y(j))}^R$. Therefore, the total weight of segments in A that lie on the line in question equals the length of the segment $h_{i,i,n}^L$ minus $h_{i,i,n}^R$ minus $h_{i,i,n}^R$ minus $h_{i,i,n}^R$ minus $h_{i,i,n}^R$ which is $h_{i,i,n}^R$ minus $h_{i,i,n}^R$ min

Calculation for vertical segments is analogous and has the same result. Every segment in D has weight δ , therefore the sum of all weights is equal to:

$$2k(k(n^2+1) - 2(1-\epsilon) - 2k\epsilon) + k^2\delta = 2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$$

Claim 5.1. In any solution to the instance $(C, P, w, 3k^2 + 2k)$:

• left and right guards of points in H (points in $\{p^L : p \in H\} \cup \{p^R : p \in H\}$) have to be covered with segments from HOR,

• lower and upper guards of points in V (points in $\{p^D: p \in V\} \cup \{p^U: p \in V\}$) have to be covered with segments from VER.

Proof. We prove the claim for the points from H as the proof for points from V is analogous.

Every segment in VER is vertical and has x-coordinate equal to $i(n^2+1)$ for some $1 \le i \le k$,

so they all have different x-coordinate than any left or right guard of points in H.

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805 806 807 Every point x, which is a left or right guard of points in H have kn^2 segments from DIAG that intersect with the horizontal line that goes through x. All of these segments intersect with this line in points from set H, therefore none of them cover any of the guards.

Therefore none of the segments from VER or DIAG cover any of the guards of the points in H.

Now we present a few additional properties of the constructed instance of the geometric set cover that help us to prove Lemma 5.5.

Claim 5.2. For any $1 \le i, j \le n$ and any solution to the instance $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$ all, but at most one point $h_{i,j,t}$ and at most one point $v_{i,j,t}$ for $1 \le t \le n^2$ must be covered with segments from HOR or VER.

Proof. We prove the claim for horizontal segments, as the proof for vertical segments is analoguous.

We prove this by contradiction. Assume that we have two points h_{i,j,t_1}, h_{i,j,t_2} such that they are not covered with segments from HOR for any $1 \le t_1 < t2 \le n^2$.

Point h_{i,j,t_1}^R has to be covered with HOR by Claim 5.1. Every segment in HOR covering h_{i,j,t_1}^R , but not h_{i,j,t_1} must start at h_{i,j,t_1}^R and all such segments cover also h_{i,j,t_2} . This contradicts the assumption, which concludes the proof.

Lemma 5.2. For every solution to the instance $(C, P, w, 3k^2 + 2k)$, the sum of weights of segments chosen from sets HOR and VER is at least $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon)$.

Proof. We prove the lemma for vertical lines, as the proof for horizontal segments is analogous. Let us fix $1 \le i \le k$.

We provide a lower bound for the sum of lengths of vertical segments from $\mathcal{R} \cap \mathsf{VER}$. This bound is the same for each i and is the same for horizontal lines, thus we need to multiply such bound by 2k.

(1) The total length between $v_{i,1,1}^D$ and v_{i,k,n^2}^U is:

$$(k(n^2+1) + n^2 + \epsilon) - ((n^2+1) + 1 - \epsilon) = k(n^2+1) - 2(1-\epsilon).$$

(2) For every $1 \leq j \leq k$ there exists at most one $1 \leq t \leq n^2$ such that $v_{i,j,t}$ is not covered by segments from VER (Claim 5.2). Its guards (see Definition 5.4) $v_{i,j,t}^U$ and $v_{i,j,t}^D$ have to be covered in VER (Claim 5.1). Therefore, at most k spaces of length 2ϵ can be left not covered by segments from VER between $v_{i,1,1}^D$ and v_{i,k,n^2}^U .

The sum of these lower bounds for vertical and horizontal lines is:

$$2k(k(n^2+1)-2k\epsilon-2(1-\epsilon)) = 2k^2(n^2+1)-4k^2\epsilon-4k(1-\epsilon)$$

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Let us name the bound from the previous lemma as $W_{hv} := 2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon)$ for future reference.

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Lemma 5.3. Let \mathcal{R} be a solution to a constructed instance (\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k) with weight at most 2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta. Then for every 1 \leq i, j \leq k there exists such 1 \leq t \leq n^2 that:
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- 814 (1) $v_{i,j,t}, h_{i,j,t}$ are not covered by segments from VER or HOR;
- s15 (2) segment $(v_{i,i,t}, h_{i,i,t})$ is in solution \mathcal{R} ;

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- 816 (3) $\operatorname{order}(t) \in f(i,j)$, that is, $\operatorname{order}(t)$ is an allowed tile for (i,j);
- 817 (4) for every $1 \le s \le n^2$, $s \ne t$, $v_{i,i,s}$ is covered in VER;
- 818 (5) for every $1 \le s \le n^2$, $s \ne t$, $h_{i,j,s}$ is covered in HOR.

Proof. At most one of points $\{h_{i,j,t_x}: 1 \le t_x \le n^2\}$ and one of points $\{v_{i,j,t_y}: 1 \le t_y \le n^2\}$ is covered with DIAG (Claim 5.2).

Moreover, exactly one such point h_{i,j,t_x} and one such point v_{i,j,t_y} is covered with DIAG, because if none of them were covered, then the solution would have to have weight at least $W_{hv} + 2\epsilon$ (Lemma 5.2), which is more than $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$.

We observe that points h_{i,j,t_x} and v_{i,j,t_y} have to be covered with the same segment from DIAG. Indeed we need to use at least k^2 of them to use exactly one DIAG segment for every pair of $1 \le i, j \le k$, if we used 2 segments from DIAG for one pair (i,j), then we would have used $W_{hv} + k^2\delta + \delta$ (Lemma 5.2), which if more than $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$. Since points h_{i,j,t_x} and v_{i,j,t_y} are covered by a single segment from DIAG, we have $t_x = t_y$.

Therefore $t_x = t_y$ and $\operatorname{order}(t_x)$ is an allowed tile for (i, j) because the corresponding segment is in DIAG.

We refer to the function mapping $1 \le x \le k$ to t_x from Lemma 5.3 as diagonal: $\{1 \dots k\} \times \{1 \dots k\} \to \{1 \dots n^2\}$.

Lemma 5.4. For any solution \mathcal{R} of a constructed instance $(\mathcal{C}, \mathcal{P}, w, 3k^2 + 2k)$ with weight at most $2k^2(n^2 + 1) - 4k^2\epsilon - 4k(1 - \epsilon) + k^2\delta$:

- 1. for any $1 \le i < k, 1 \le j \le k$, match_h(diagonal(i, j), diagonal(i + 1, j)) is true;
- 836 2. for any $1 \le i \le k, 1 \le j < k$, match_v(diagonal(i, j), diagonal(i, j + 1)) is true.
- Proof. We prove (1) by contradiction, the proof of (2) is analogous.

Let us take any $1 \le i < k, 1 \le j \le k$ and name $t_1 = \mathsf{diagonal}(i,j)$ and $t_2 = \mathsf{diagonal}(i+1,j)$. We also assume that $\mathsf{match}_h(t_1,t_2)$ is false , which is equivalent to the fact that segment $(h_{i,j,t_1}^R, h_{i+1,j,t_2}^L)$ is not in set HOR.

Therefore h_{i,j,t_1} and h_{i+1,j,t_2} are not covered by segments from HOR (Lemma 5.3), while h_{i,j,t_1}^R and h_{i+1,j,t_2}^L have to be covered by segments from HOR (Claim 5.1).

Every segment from HOR starts at point h_{x,y,z_1}^R and ends at point h_{x+1,y,z_2}^L for some 1 $1 \le x < k, 1 \le y \le k$ and $1 \le z_1, z_2 \le n^2$. All of the points between h_{i,j,t_1}^R and h_{i+1,j,t_2}^L are 1 covered by segments in HOR and there is no segment $(h_{i,j,t_1}^R, h_{i+1,j,t_2}^L)$ in HOR. Hence, there 1 are at least two different segments covering them. One of them must begin at h_{i,j,t_1}^R and end 1 at h_{i+1,j,z_2}^L and there must be other one that begins at h_{i,j,z_1}^R and ends at h_{i+1,j,t_2}^L for some 1 $1 \le z_1, z_2 \le n^2$.

Thus, the space between h_{i,j,z_1}^R and $h_{i,j+1,z_2}^L$ would be covered twice and is longer than ϵ .

By Lemma 5.2, the lower bound for weight of such a solution is $W_{hv} + \epsilon$ which is more than $2k^2(n^2+1) - 4k^2\epsilon - 4k(1-\epsilon) + k^2\delta$.

Therefore h_{i,j,t_1}^R and h_{i+1,j,t_2}^L must be covered by one segment from HOR, $(h_{i,j,t_1}^R, h_{i+1,j,t_2}^L)$ is a segment in HOR and $\mathsf{match}_h(t_1,t_2)$ is true.

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Lemma 5.5. If there exists solution to instance (C, P, w, 3k^2 + 2k) with weight at most
    2k^2(n^2+1)-4k^2\epsilon-4k(1-\epsilon)+k^2\delta, then there exists a solution to the grid tiling instance
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     Proof. Take diagonal function from Lemma 5.3.
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        To define the x funtion for every 1 \leq i \leq k set x(i) := x_i where (x_i, a) = \operatorname{order}(v_{i,1}).
    Similarly, to define the y function, for every 1 \le i \le k set y(i) := y_i where (b, y_i) = \mathsf{order}(h_{1,i})
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        To prove that it is a correct solution to grid tiling, we need to prove that for every
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    1 < i, j < k \ (x(i), y(j)) is in allowed tiles set f(i, j).
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        Let us take any 1 \le i, j \le k. By Lemma 5.4 and simple induction, we know that
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    \mathsf{match}_h(\mathsf{diagonal}(i,j),\mathsf{diagonal}(i,j)) and \mathsf{match}_v(\mathsf{diagonal}(i,1),\mathsf{diagonal}(i,j)) are true. There-
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    fore order(diagonal(i,j)) = (x(i),y(j)). By Lemma 5.3 we know that order(diagonal(i,j)) is in
     f(i,j). Therefore (x(i),y(j)) is in f(i,j).
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     Proof of Theorem 5.1. Follows from Lemmas 5.1 and 5.5.
                                                                                                        866
        TODO: Add reference when known In proof of reduction we did not use the assumption
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    that the solution is of bounded size. Thus this reduction proves that the problem is not only
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     W[1]-hard, but assuming ETH there also does not exist permissive FPT algorithm for this
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Permissive fpt, similar idea in [Marx and Schlotter, 2011], [Gaspers et al., 2012].

problem.

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Chapter 6

Geometric Set Cover with polygons

6.1. State of the art

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Covering points with weighted discs admits PTAS [Li and Jin, 2015] and with fat polygons with δ -extensions with unit weights admits EPTAS [Har-Peled and Lee, 2009]. 876

Although with thin objects, even if we allow δ -expansion, the Set Cover with rectangles is 877 APX-complete (for $\delta = 1/2$), it follows from APX-completeness for segments with δ -expansion 878 in Section ??. 879

Covering points with squares is W[1]-hard [Marx, 2005]. It can be proven that assuming SETH, there is no $f(k) \cdot (|\mathcal{C}| + |\mathcal{P}|)^{k-\epsilon}$ time algorithm for any computable function f and 881 $\epsilon > 0$ that decides if there are k polygons in \mathcal{P} that together cover \mathcal{C} , Theorem 1.9 in [Marx and Pilipczuk, 2015].

Chapter 7

885 Conclusions

We know FPT for axis-parallel segments without δ -extensions.

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