

# COUNTERADVERSARIAL RECALL OF SYNTHETIC OBSERVATIONS

*A neuro-inspired approach to foil gradient-based adversarial attacks*

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### An elevator pitch

CARSO (*CounterAdversarial Recall of Synthetic Observations*) is a **novel** deep learning architecture and training/inference methodology for the improvement of **adversarial robustness** in *deep artificial neural networks*.

- Loosely inspired by high-level *neurocognitive* mechanisms;
- Targeted against **gradient-based**, *white-box* attacks;
- Significant, promising results so far; comprehensive testing still in early stages.



*Deep learning* today is a remarkably powerful and mature paradigm, able to reach (super)human-level performance in (selected) *regression*, *classification*, *data generation* and *control* tasks.



97.3% macaw



However...



88.9% bookcase

(*P. Perdikaris, 2018*)



The last shown picture is an example of

## ***Adversarial Input***

An *input* is said to be *adversarial* to a machine learning system if it alters its **reasonably** expected behaviour<sup>1</sup>. Also called *adversarial attack*, stressing the intentional<sup>2</sup> crafting of it.

In the specific case of a classifier: produce a *misclassification*.

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<sup>1</sup>Usually from the *P.o.V.* of the user(s).

<sup>2</sup>Which is not a strict requirement, though!

# 🤔 Why studying *adversarial robustness*?



We live in times where a growing portion of even *high-stakes* **decisions** is **delegated** to autonomous systems (e.g. *HR* selection, insurance, health, fraud detection, etc. ...).

## Purely *technical* reasons

- Harden *ML/DL* systems against **misuse** and *input-tampering*;
- Assess (and **patch!**) behaviour where it the most fragile.

## Legal / ethical / social reasons

- To ensure **compliance** with regulatory frameworks or coordinated initiatives thereof;
- Increase understanding, transparency, and societal **trust**.

## Broader-reaching goals

- Use *robustness* as a lens through which to study **neurocognitive** phenomena.



We can always reformulate the problem of *adversarial inputs* as one of *adversarial perturbations*, i.e.

$$\mathbf{x}_{\text{adversarial}} := \mathbf{x}_{\text{legitimate}} + \mathbf{p}$$

leading to the following

**Definition:**  $\epsilon$ -perturbative adversarial attack against classifier  $\mathcal{N}$  in  $x_0 \in \mathbb{I}$ , w.r.t.  $\|\cdot\|$

Any  $\mathbf{x}^* := \mathbf{x}_0 + \mathbf{p} \mid \mathcal{N}(\mathbf{x}^*) \neq \mathcal{N}(\mathbf{x}_0)$  and  $\|\mathbf{p}\| < \epsilon$



No optimal, universal defence! Many *case-by-case* results, many *trade-offs*, practically no *robust-by-design* applicable solution.

## A remark

But... have *you* ever experienced an *adversarial(-like) phenomenon*?





Indeed, *brains* may be the *only* practical realisation of a system with the *robustness* properties we look for...



## A guiding idea

Is it possible to loosely inform the development of *robust* DL systems with (grossly simplified, idealised) descriptions of **neurocognitive** phenomena?

Getting inspiration from the ideas of **recall** of *acquired information*, and **introspection** as *thought about thought*.

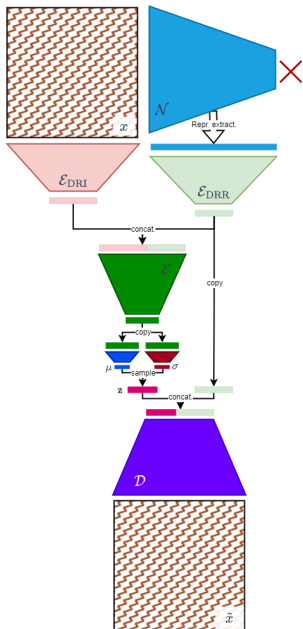


## A crucial remark!



### ⚠ Beware!

The *modelling* that follows has no claim of *biological plausibility* whatsoever, at this stage! This would be *added value*, though – and in interesting research direction!



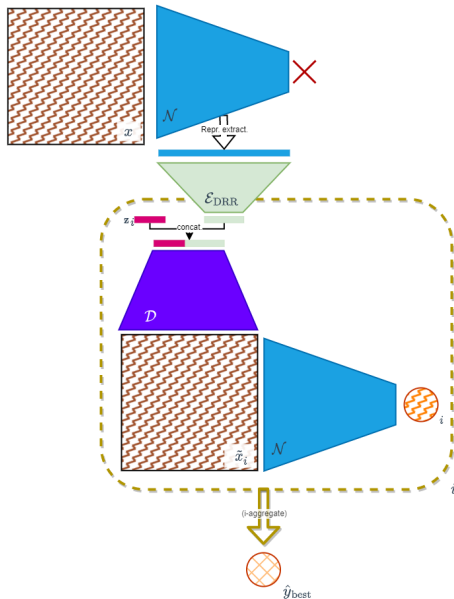
## TL;DR: Just a fancy cVAE!

Given an *adversarially-pretrained* classifier (for the problem of interest, and according to a given *threat model*):

- First *classification pass* for representation *extraction*;
- Pre-encoding and *rebalancing* of input & representation;
- As in *cVAE*, aiming at *purified input* reconstruction from any input.

## Requirements

A dataset of *clean/attacked* inputs to the classifier is needed (but *no labels!*). Threat models may differ.



TL;DR: Condition, sample, classify, aggregate!

Given the same *adversarially-pretrained* classifier, the just-trained *representation pre-compressor* and *decoder*:

- First *classification pass* for representation **extraction**;
- Representation *pre-encoding*;
- **Repeated sampling** of candidate *purified inputs*;
- Second classification pass on such reconstructions, for **actual classification**;
- **Aggregation** of results.



Attack / Defence ( <i>adv. acc.%</i> )	None	IAT	CARSO
None	<b>98.40</b>	97.17	96.72
FGSM $\ \cdot\ _2, \epsilon = 0.15$	12.09	91.89	<b>93.62</b>
FGSM $\ \cdot\ _2, \epsilon = 0.30$	01.21	76.94	<b>86.43</b>
(U) FGSM $\ \cdot\ _2, \epsilon = 0.50$	01.00	12.29	<b>13.59</b>
PGD $\ \cdot\ _\infty, \epsilon = 0.15$	01.60	90.54	<b>93.44</b>
PGD $\ \cdot\ _\infty, \epsilon = 0.30$	06.85	71.26	<b>86.27</b>
(U) PGD $\ \cdot\ _\infty, \epsilon = 0.50$	20.66	11.67	<b>38.38</b>
(U) DF $\ \cdot\ _\infty, \epsilon = 0.15$	00.66	90.25	<b>95.06</b>
(U) DF $\ \cdot\ _\infty, \epsilon = 0.30$	00.00	60.54	<b>93.31</b>
(U) DF $\ \cdot\ _\infty, \epsilon = 0.50$	00.00	00.78	<b>71.34</b>



Within the scope of the experimental analysis performed so far, we consider the results obtained to be *moderately-to-very positive*.

- A *clean accuracy toll* is imposed by the method w.r.t. *IAT*. Yet, this is to be generally expected, and slight in magnitude;
- Against foreseen attacks: significant – but not large – increase in *adversarial accuracy*;
- Against unforeseen attacks: very solid performance, clearly beyond *foreseen attacks/defences* transferability. *Innate robustness*

Speculatively: the result of a combined, synergistic effect. However, the lens of the *data manifold hypothesis* may give a more precise analysis: **CARSO** acts mainly as an *on manifold re-projector*!



We talked about **CARSO** – a novel framework devised to foil *gradient-based adversarial attacks*, specifically targeted at image classification – showing noteworthy improvements upon **IAT**, a strong contribution to *off-manifold-to-on-manifold reprojection*, and solid *innate robustness*.

Experimental scope can be – and will be! – broadened, though, to a wider set of *neural architectures*, *types of data*, or more complex, challenging (classification) tasks.



The work required to develop and assess **CARSO** evoked suggestions reaching far longer and broader than expected. Chiefly, in order of increasing conceptual distance...

- The idea that *adaptive* defences may exist, explicitly steering their behaviour on the basis of the geometric properties of inputs or attacks faced.
- Weight-agnostic layers operating at the *feature-specific*, able to produce *zero-gradient* in expectation.
- The possibility of informing the development of *deep learning* architectures with neural activity recordings from even *live-subjects*. 🐹



🙏 Thanks for your attention!

