

# Solving the Steiner tree problem by an approximation algorithm

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## 1 Introduction

An approximation algorithm for finding a Steiner tree of a connected, undirected distance graph was implemented. This algorithm has approximation ratio of  $2 - \frac{2}{l}$ , which means it gives us a Steiner tree with total distance of all edges at most  $2 - \frac{2}{l}$  times that of the optimal tree, where  $l$  is the number of leaves in the optimal tree. The worst case time complexity of this algorithm is  $\mathcal{O}(|V| \log |V| + |E|)$ , where  $E$  is the set of edges and  $V$  is the set of vertices.

## 2 Steiner tree problem

Given an undirected distance graph  $G = (V, E, d)$  and a set  $S$ , where  $E$  is the set of edges in  $G$ ,  $V$  is the set of vertices in  $G$ ,  $d$  is a distance function which maps  $E$  into the set of nonnegative numbers and  $S \subseteq V$  is a subset of  $V$ . Let  $Q$  be any subset of vertices in a connected subgraph  $G'$  of  $G$ . We shall say that  $G'$  spans  $Q$ . A spanning tree of  $G$  is tree subgraph of  $G$  that spans  $V$ . The minimal spanning tree of  $G$  is a spanning tree of  $G$  such that the total distance of on its edges is minimal among all spanning trees. The Steiner tree for a given  $G$  and  $S$  is the tree that spans  $S$ , and the minimal Steiner tree is the one among all Steiner trees for  $G$  and  $S$  that has minimal total distance. The problem for finding a minimal Steiner tree for any given  $G$  and  $S$  has been proved to be NP-Complete[2].

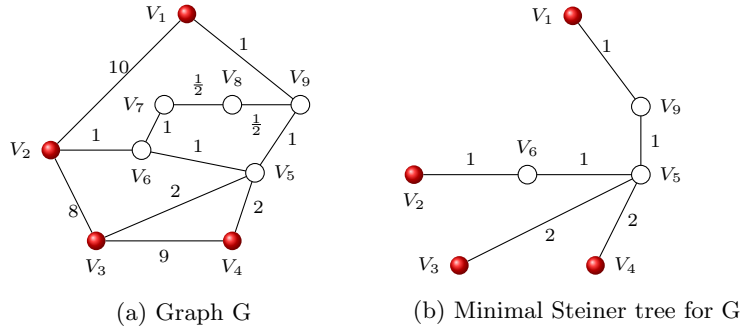


Figure 1: Example of Steiner tree problem with terminal set  $S = \{V_1, V_2, V_3, V_4\}$

## 3 The approximation algorithm

The algorithm is a modified version of Kou, Markowsky and Berman[3], which gives a better running time and also because of reducing the problem to shortest path and minimum spanning tree it is simpler. Given  $G = (V, E, d)$  a connected, undirected distance graph, and the set of terminals  $S \subseteq V$ . Then the graph  $G'_1 = (S, E'_1, d'_1)$  can be constructed as follows.

For every vertex  $s \in S$  let  $N(s)$  be the set of vertices in  $V$  which are closer to  $s$  than to any other vertex in  $S$  (in computational geometry  $N(s)$  is called the Voronoi region of vertex  $s$ ). We can partition  $V$  according to  $N(s)$  by considering  $\{N(s); s \in S\}$ , in other words every vertex  $v \in V$  belongs uniquely to one of the

partitions  $N(s)$  with  $s$  as its center, where  $v$  is closer to  $s$  than any other vertex  $q \in S$ ,  $q \neq s$ . Now, the graph  $G'_1 = (S, E'_1, d'_1)$  is defined by

$$E'_1 = \{(s, t); s, t \in S \text{ and there is an edge } (u, v) \in E \text{ with } u \in N(s), v \in N(t)\} \quad (1)$$

and

$$d'_1(s, t) = \min\{d_1(s, u) + d(u, v) + d_1(v, t); (u, v) \in E, u \in N(s), v \in N(t)\}. \quad (2)$$

In equation 2  $d_1(v_i, v_j)$  is equal to the distance of shortest path from  $v_i$  to  $v_j$  for  $v_i, v_j \in V$  in graph  $G$ .

$d'_1(s, t)$  in equation 2 is the length of shortest path in  $G$  restricted to  $N(s) \cup N(t)$ . And the edge  $(u', v') \in E'_1$  corresponds to the bridge edge  $(u, v) \in E$  which acts like a bridge that goes from region  $N(s)$  to region  $N(t)$ . Now the algorithm is as follow :

1. Construct the graph  $G'_1 = (S, E'_1, d'_1)$
2. Find a minimum spanning tree  $G_2$  of  $G'_1$ .
3. Construct a subgraph  $G_3$  of  $G$  by replacing each edge in  $G_2$  by its corresponding shortest path in  $G$ .
4. Find a minimum spanning tree  $G_4$  of  $G_3$ .
5. Construct a Steiner tree  $G_5$  from  $G_4$  by deleting edges in  $G_4$ , if necessary, so that no leaves in  $G_5$  from the set  $V - S$

#### Algorithm 1

Step 1 of Algorithm 1 dominates the computational time. This step requires the solution of a single shortest path problem which takes  $\mathcal{O}(|V| \log |V| + |E|)$  by using Dijkstra algorithm and Fibonacci heaps[4].

## 4 Implementation

Step 1 of Algorithm 1 requires the voronoi region  $\{N(s); s \in S\}$  of each vertex  $v \in V$ . The partition  $\{N(s); s \in S\}$  can be computed by adjoining an auxiliary vertex  $S_0$  and edges  $(s_0, s), s \in S$ , with length 0 to  $G$  and then performing a single source shortest path with source  $s_0$ . This step was implemented in the program by using a min priority queue based on a priority heap. The priority queue provides  $\mathcal{O}(\log(n))$  time for enqueueing and dequeing. For the Dijkstra algorithm the priority queue stores at most  $|V|$  number of item, thus each of enqueueing and dequeing need  $\mathcal{O}(\log(|V|))$  and totally there are  $|E|$  number of such operations, which gives the running time of  $\mathcal{O}(|E| \log |V|)$  for step 1. This step

also needs  $\mathcal{O}(|E|)$  for finding  $G'_1$  edges. For finding  $G'_1$ 's edges the program goes through all edges  $(u, v) \in E$  and generates the triples  $(s(u), s(v), d_1(s(u), u) + d(u, v) + d_1(v, s(v)))$  in which  $s(v) \in S$  is the center of region that  $v$  belongs to. Then the program finds the minimum distance for edge  $(s(u), s(v))$  over all distances  $d_1(s(u), u) + d(u, v) + d_1(v, s(v))$ .

Step 2 computes the minimum spanning tree  $G_2$  of  $G'_1$ . The graph  $G'_1$  has  $\mathcal{O}(|E|)$  edges and hence this step can be carried out in  $\mathcal{O}(|E|\log(|S|))$  by using Kruskal algorithm and Union Find data structure[4].

In step 3 the edges of  $G_2$  have to be replaced by its corresponding edges from the shortest path computed in step 1. Consider the edge  $(u, v)$  of  $G_2$ , this edge corresponds to the shortest path between  $u$  and  $v$  in the graph  $G$ , here all the edges of  $G$  from this shortest path are plugged back to  $G_2$ . In step 1 the predecessor of each vertex has been computed and stored in an array, hence by means of this array the shortest path can be constructed.

Step 4 is the same as step 2 in which the minimum spanning tree of the graph from step 3 was computed. A

## 5 Results

Several tests have been performed to evaluate the performance of this approximation algorithm. The code is implemented in Java 1.8 and the dataset from 11th DIMACS Implementation Challenge[5] is used. All runs are performed on a machine with score 181.433863 (the score is calculated according to DIMACS Implementation Challenge benchmark code to compare the results from different machines).

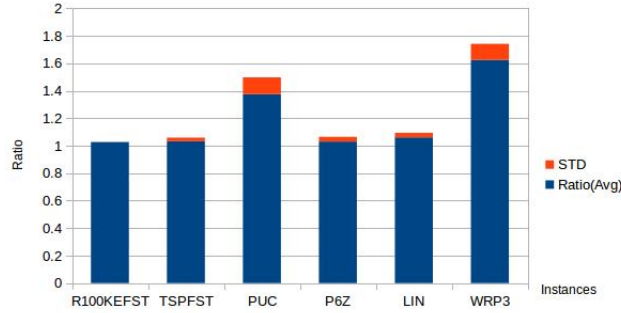
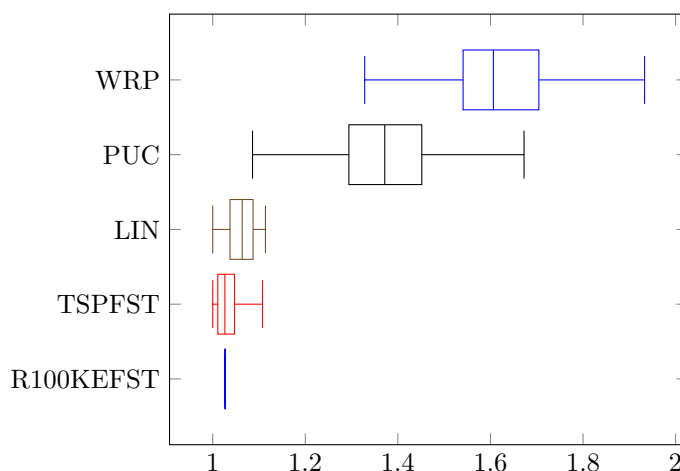


Figure 2: Comparing approximation ratio over instances of Steiner tree problem

The ratio in Figure 2 is the average (arithmetic mean) of several instances for each kind. R100KEFST, is the instance with fractional weight, Euclidean distance. TSPFST, PUC, P6Z and LIN are the instances of *SteinLib*[6] testsets.



## 6 Conclusions

We have presented an implementation of an approximation algorithm for Steiner tree problem. The algorithm has approximation ratio of  $2 - \frac{2}{l}$ , where  $l$  is the minimum number of leaves in any Steiner tree for the given graph. The algorithm running time is dominated by a single shortest path problem which is computed in our code using Dijkstra algorithm and priority queue which yields the running time of  $\mathcal{O}(|E|\log|V|)$ .

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