

SHAKKEY



Unitree G1

World's First Side-Flipping Humanoid Robot





FIGURE 03

LAUNCH

Embodied-AI: Perception, Representation and Action

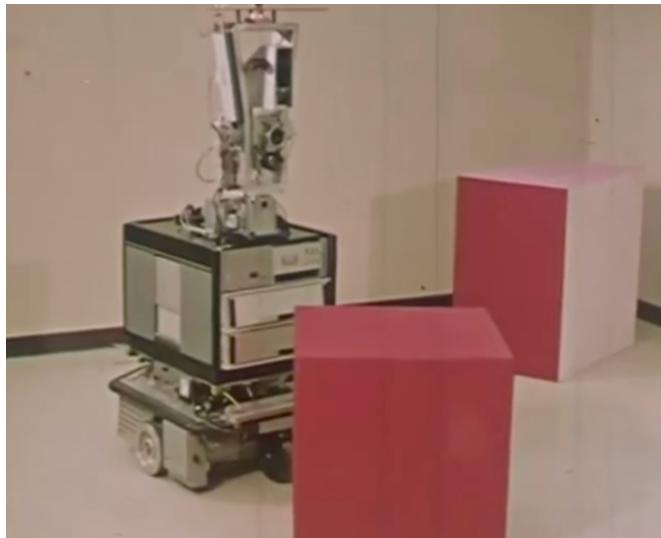
- DATA 8010 & ELEC 8111
- Instructor: Prof. Yanchao Yang



"What changed between 1969 and 2026?"

"And more importantly — what's still hard?"

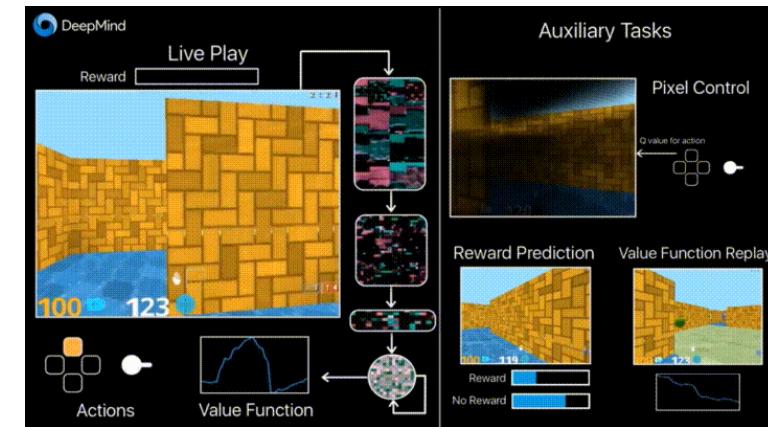
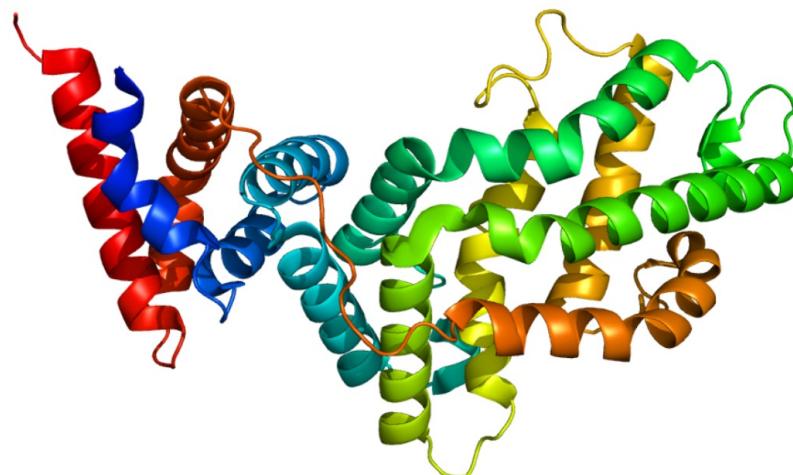
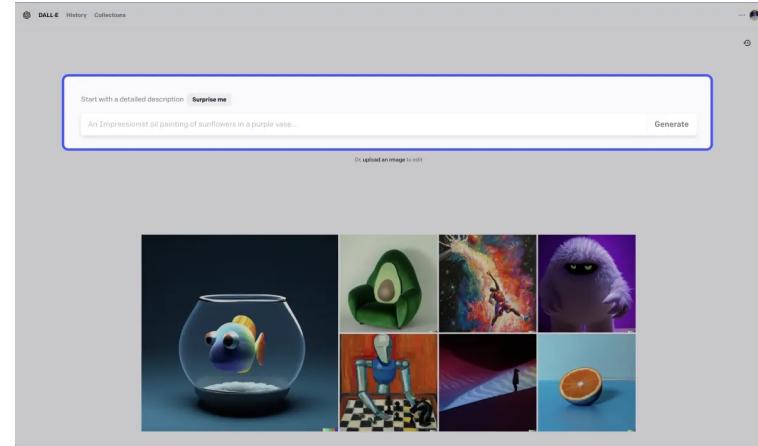
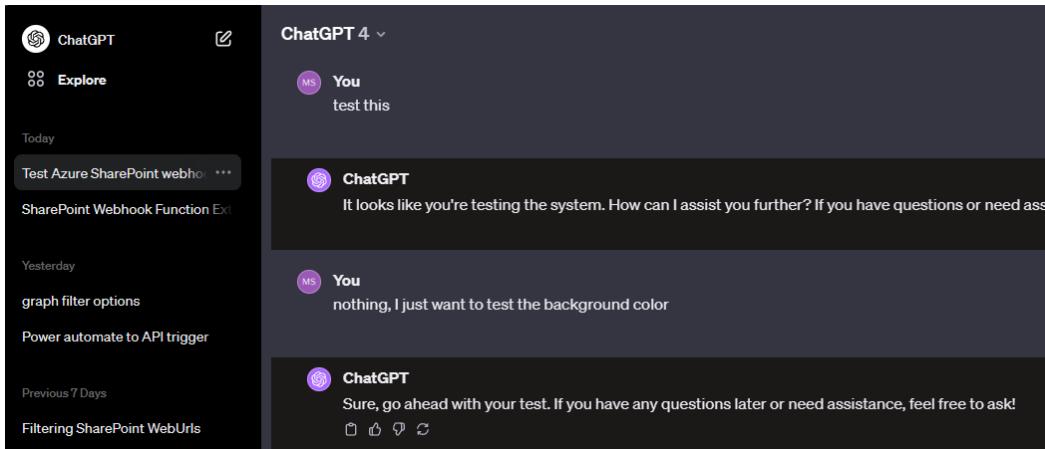
SHAKEY 1969



Humanoids 2025



When we think of AI...



"These systems process information but don't act in the physical world."

When we think of Embodied AI...



"These systems perceive, decide, AND act in the physical world."

The Fundamental Distinction



Disembodied AI

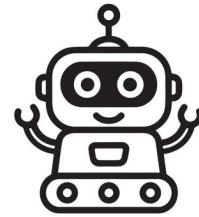
Flow: Input → Computation → Output

Processes: Tokens, pixels, symbols

Errors produce: Wrong answers

Environment: Digital sandbox

Retry: Can retry infinitely



Embodied AI

Flow: Perception → Computation → Action → World

Processes: Sensorimotor streams

Errors produce: Broken objects (or robots)

Environment: Physics constraints

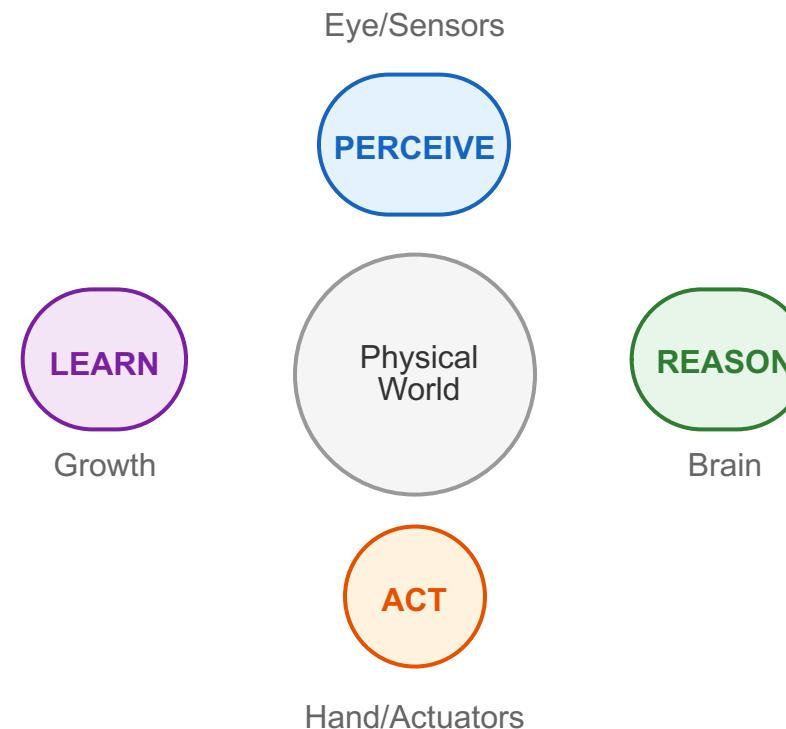
Retry: Actions have consequences

"The world is its own best model." — Rodney Brooks, 1990

Formal Definition

EMBODIED AI studies intelligent systems that:

- 1. PERCEIVE** the physical world through sensors
- 2. REASON** about goals and constraints
- 3. ACT** through actuators to change the world
- 4. LEARN** from the consequences of their actions



Three Distinguishing Characteristics



Perception-Action Loops

Closed loop · Interleaved · Feedback

"The fundamental unit is not a forward pass but a closed loop where sensing and acting are interleaved."



Physical Grounding

Real-time · Safety · Consequences

"Subject to physics: gravity, friction, contact forces, inertia. Mistakes break things."



Uncertainty & Partial Observability

Noisy sensors · Partial observability · Dynamic world

"The world changes, often unpredictably. Other agents act independently."

Why Physical Grounding Matters: "Pick Up a Cup"

Disembodied AI (LLM)

Input: "Pick up the cup"

Output: "I would grasp the cup by its handle and lift it carefully."

Describes the action in words



Embodied AI

- ① Estimate cup pose from noisy depth
- ② Plan collision-free trajectory
- ③ Apply appropriate grasp force
- ④ Handle unexpected perturbations
- ⑤ Verify success via feedback

Actually performs the action

"The gap between knowing and doing is where embodied AI lives."

Quick show of hands:

- ① Who has programmed a physical robot before?
 - ② Who has worked primarily with simulations?
 - ③ Who is coming from a pure ML/AI background?
-

Intelligence is not disembodied computation.

Intelligence emerges from the dynamic interaction

between an agent's

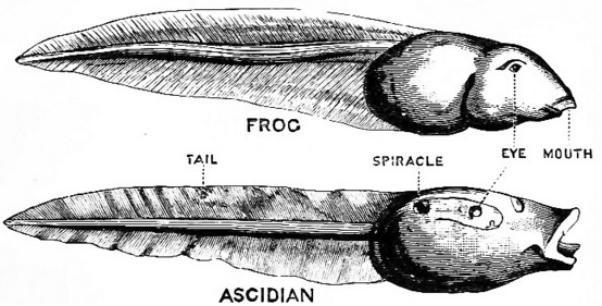
body, brain, and environment.

Embodied Cognition tradition

(Varela, Thompson & Rosch, 1991; Brooks, 1991; Pfeifer & Bongard, 2006)

Evidence from Biology: The Sea Squirt

Larva stage



Adult stage



Larval Stage
(mobile)



Adult Stage
(sessile)

Has brain,
swims

Brain digested

Sea Squirt Lifecycle

Larval Stage

Has a notochord, neural tube, and cerebral ganglion (primitive brain). Swims actively to find a suitable location to settle.

After Settling

Once attached to a surface, the sea squirt **digests its own brain**, absorbing it for nutrients. It no longer needs to move.

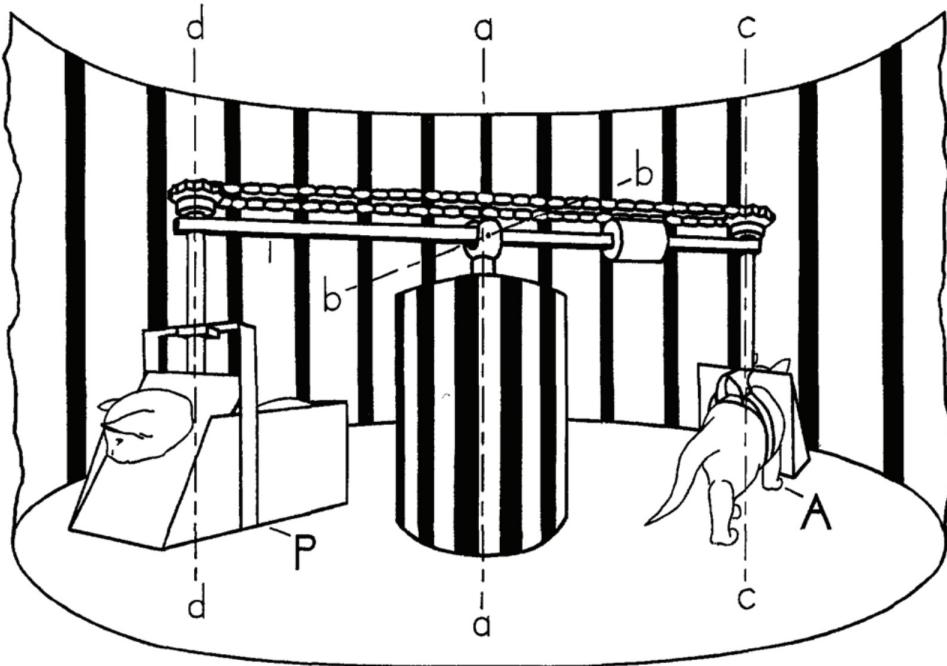
Implication

"If you don't need to move, you don't need a brain."

"The brain exists to produce adaptable, complex movements." — Daniel Wolpert

The Kitten Carousel Experiment (Held & Hein, 1963)

Held & Hein (1963)



Setup

Two kittens in identical visual environments. One walks (active), one is carried (passive). Both see the same things.

Results

Only active kittens developed normal depth perception and visual cliff avoidance.

Implication

"Perception requires action to develop properly."

Rodney Brooks: Intelligence Without Representation



1. The Representation Bottleneck

Building accurate world models is intractable. The world is too complex, changes too fast, and has too many relevant details to capture in symbolic form.

2. Behavior Emerges from Interaction

Intelligent behavior doesn't require a central executive or explicit planning. It emerges from the interaction of simple behaviors with the environment.

Key Papers

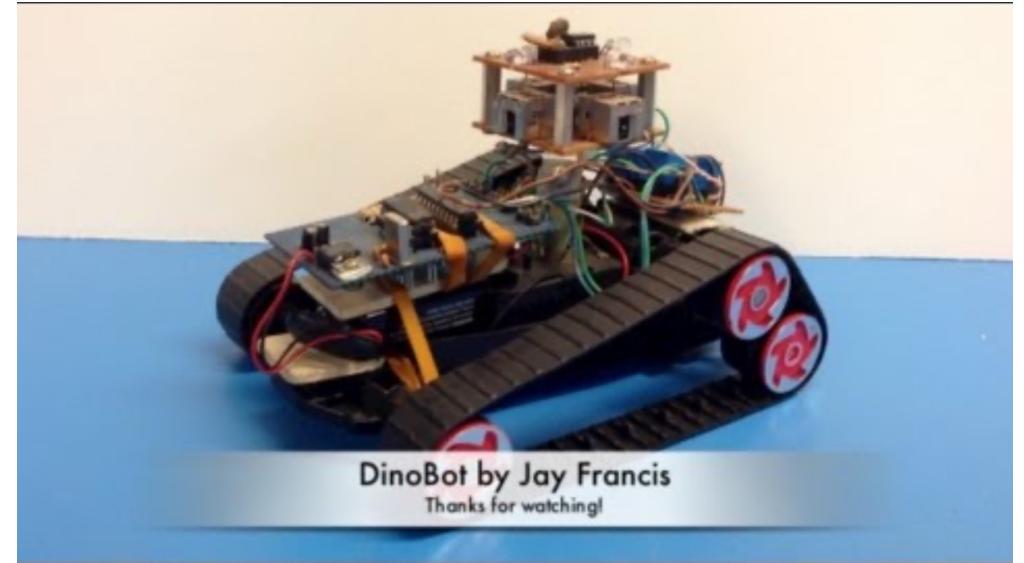
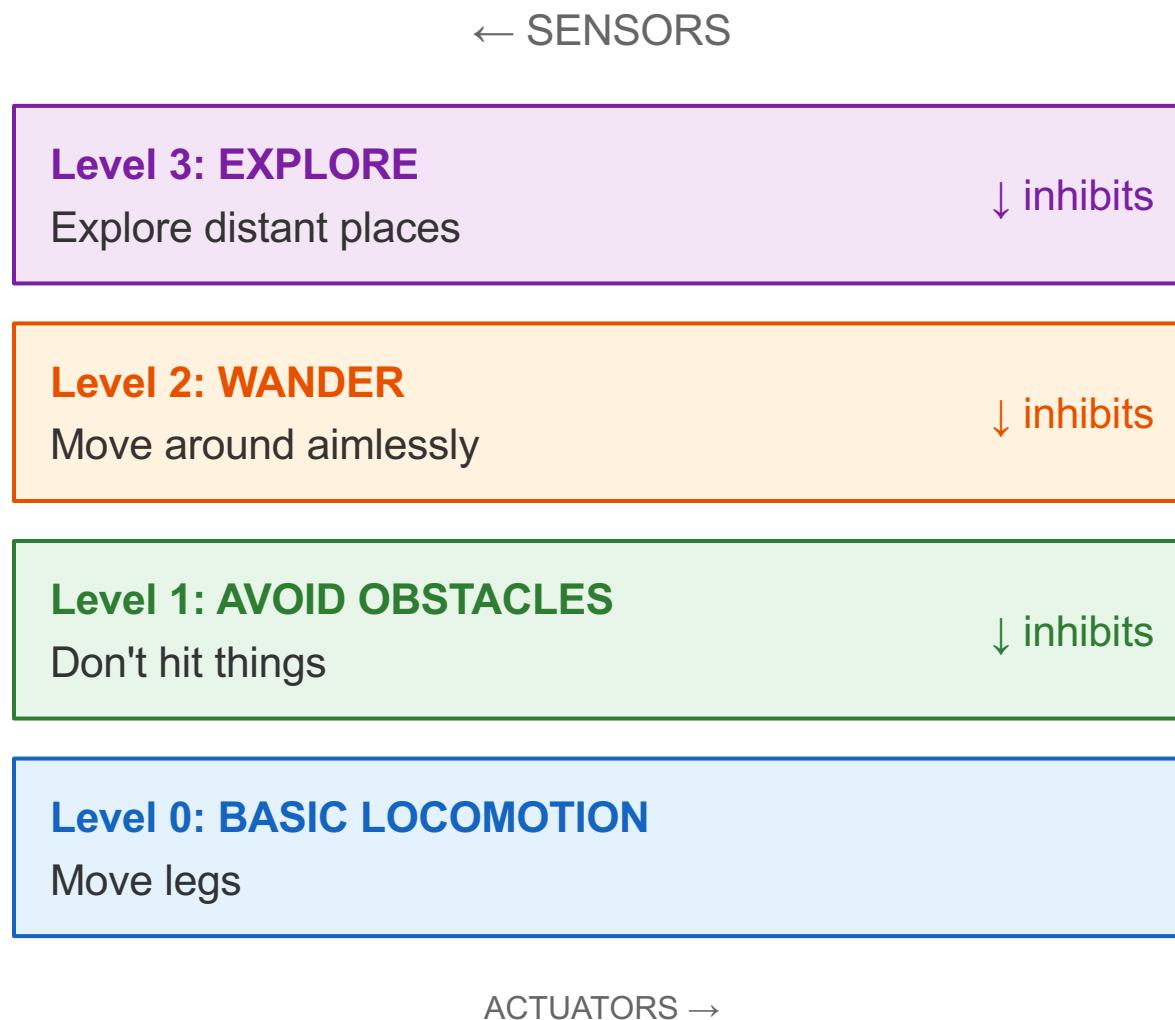
- "Intelligence Without Representation" (1991)
- "Elephants Don't Play Chess" (1990)

3. Situatedness and Embodiment

Robots must be situated in the real world, not in simulated or abstract environments. The physical body and its interaction with the world are essential.

"The world is its own best model."

The Subsumption Architecture



Key Insight

Each layer is a complete behavior system. Higher layers modulate (not replace) lower layers.

How It Works

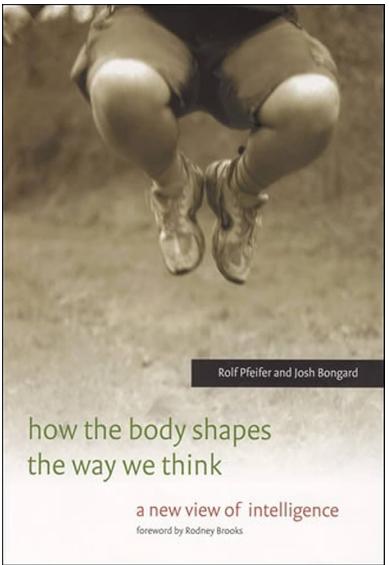
Lower layers run continuously. Higher layers can suppress outputs when appropriate, but lower layers remain autonomous.

Example Robots

Allen (1985), Herbert (1988), Genghis (1989)

How the Body Shapes the Way We Think

Pfeifer & Bongard (2006)



1. Morphological Computation

The body does part of the "thinking." Physical structure constrains and guides behavior without requiring explicit computation.

Example: A well-designed hand naturally conforms to objects during grasping.

2. Ecological Balance

Match complexity of body, brain, and environment. "Cheap design" — let physics do the work instead of computation.

Example: Passive dynamics in walking reduce motor control complexity.

3. Embodied Development

Intelligence develops through physical interaction with the world. It cannot be programmed from scratch — it must be learned through experience.

Example: Infants learn sensorimotor skills through months of exploration.

Morphological Computation in Action: Passive Dynamic Walkers



Mechanical Schematic



No motors



No sensors



No computer



Gravity = energy



Geometry = control

Passive Dynamic Walker

What It Is

A mechanical device that walks down a gentle slope using only gravity. No motors, no sensors, no computer. The geometry of the legs creates a stable walking gait.

Why It Matters

Demonstrates that intelligent-looking behavior can emerge from physical design alone. The "control" is embedded in the morphology, not computed by a brain.

Lesson for Robot Design

"If you design the body right, you need less brain."

Source: Cornell Passive Dynamic Walking Project; MIT Leg Lab

Connecting Philosophy to Practice

Challenge	Embodied Cognition Insight	Modern Application
LLMs hallucinate	Lack of physical grounding — no feedback from reality to correct false beliefs	VLAs ground language in physical action and perception
Policies don't generalize	No sensorimotor development — passive learning from fixed datasets is insufficient	Sim-to-real transfer, domain randomization, active learning
Robots are brittle	Over-reliance on planning — world models fail when reality diverges	Reactive control + learned policies that adapt online
Data efficiency	Passive learning insufficient — agents must actively explore	Curiosity-driven exploration, intrinsic motivation

Key Message: Foundation models are powerful but lack grounding. Embodiment provides the reality check.

"Those who cannot remember the past are condemned to repeat it."

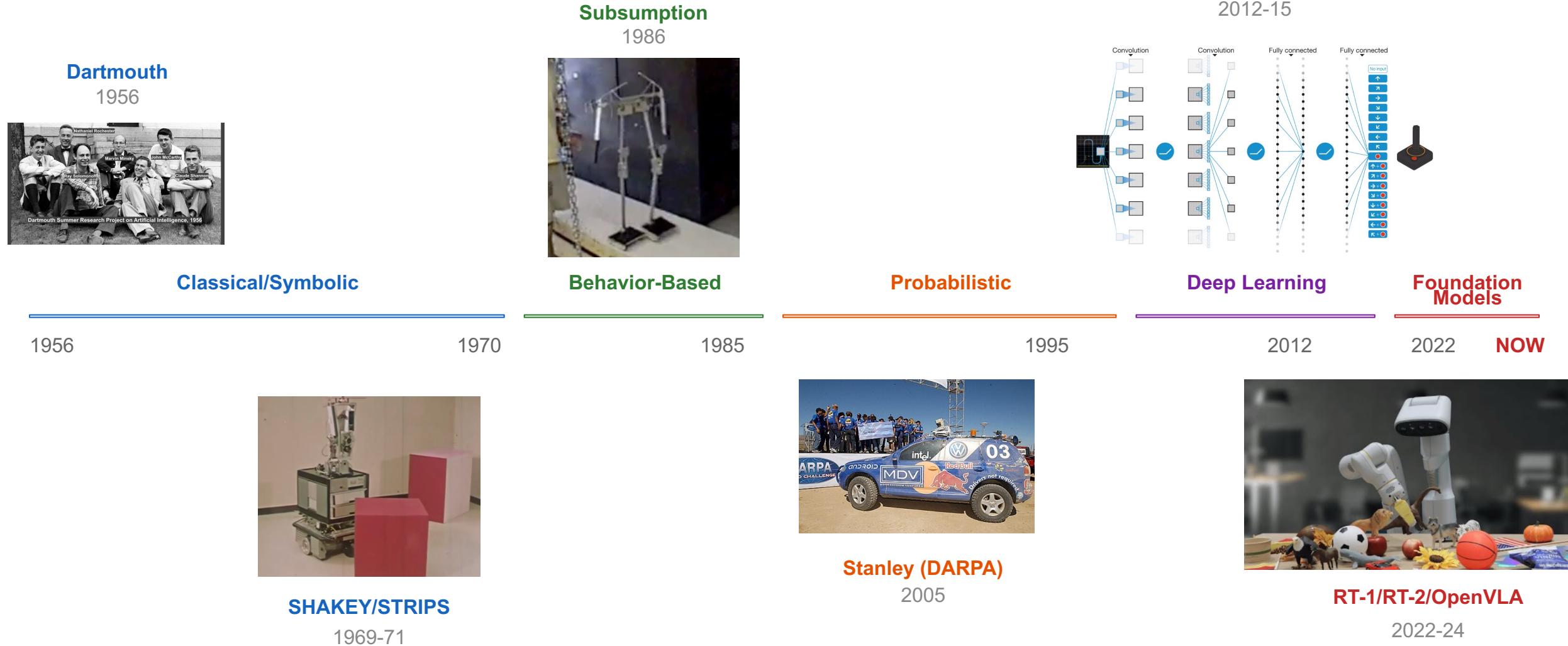
— George Santayana

Reframed:

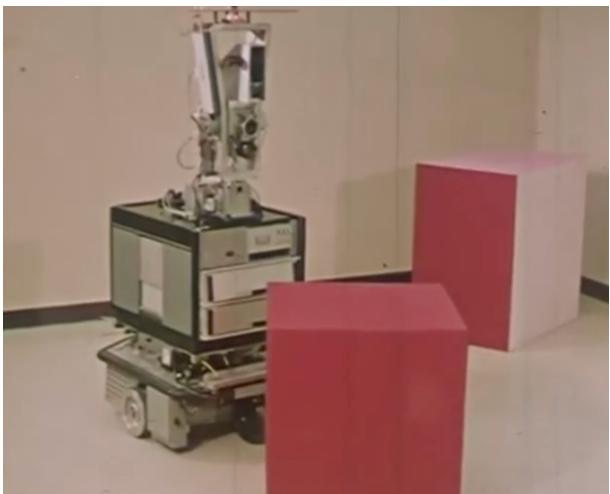
To understand why learning-based methods
are transforming robotics, we must understand
what classical methods achieved —

and where they fell short.

The Evolution of Embodied AI



SHAKY: The First Mobile Robot to Reason (1966-1972)



Built at SRI International (Stanford Research Institute)

What SHAKY Was

First robot to integrate **perception**, **planning**, and **action** in a unified system.

Could navigate rooms, push boxes, climb ramps — all while reasoning about its goals and the state of the world.

Technical Innovations

A* Search Algorithm (Hart, Nilsson, Raphael, 1968) — Optimal pathfinding, still used today

STRIPS Planner (Fikes & Nilsson, 1971) — Foundation of automated planning

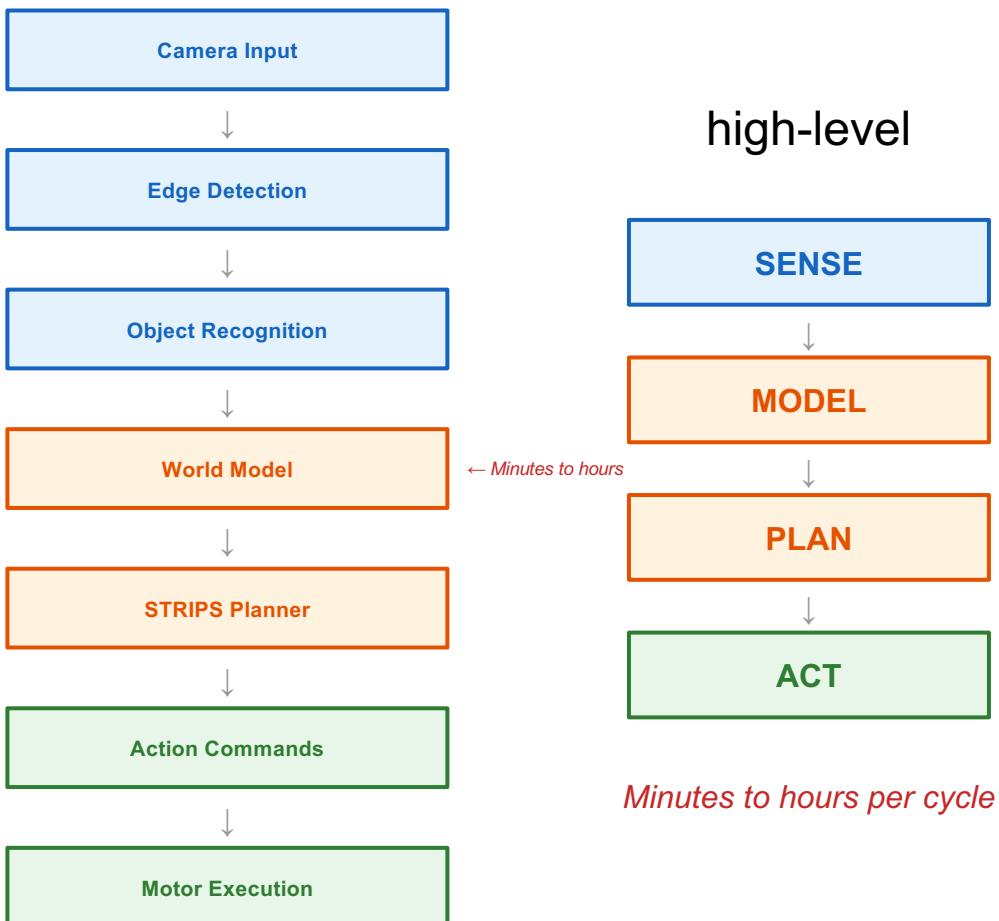
Hough Transform — For SHAKY's vision

Historical Significance

SHAKY established the Sense-Model-Plan-Act paradigm that dominated robotics for decades.

SHAKY's Architecture: Sense-Model-Plan-Act

detailed



high-level

Key Characteristics

Sequential processing. Build complete world model before acting. Each step takes significant time.

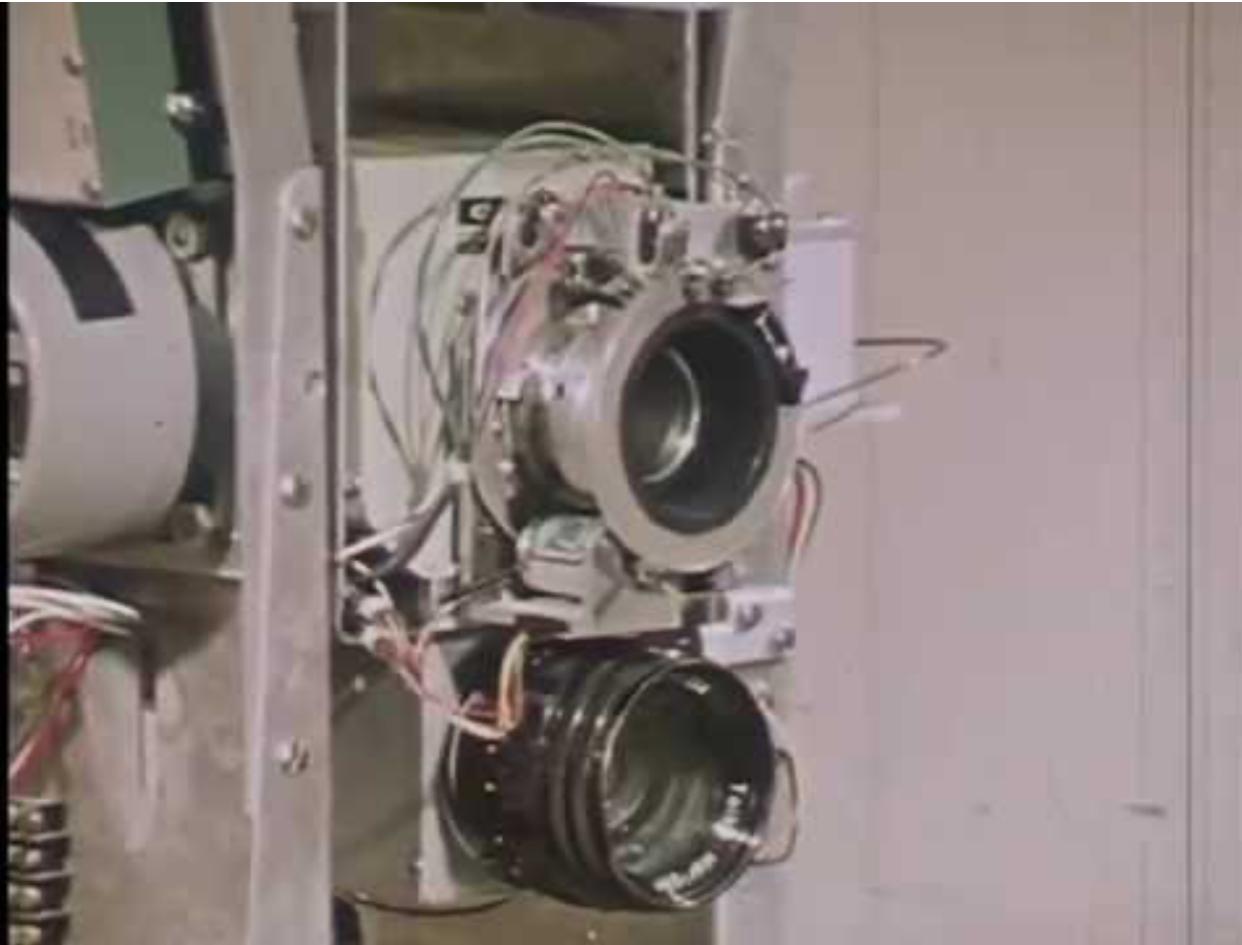
The Paradigm

SMPA dominated robotics for decades. Assumes world can be fully modeled.

Critical Limitation

"Works in controlled labs. Struggles in the real world where environments change faster than the robot can plan."

SHAKY in Action (1969)



"Notice the deliberate pace — SHAKY stops to think between actions."

Industrial Manipulators (1970s-1980s)



Unimate (1961)
GM Assembly Line



PUMA (1978)
Programmable Arm

The Success Story

Precise, repeatable, tireless. Transformed manufacturing worldwide.

The Limitation

Structured environments only
Pre-programmed trajectories
No perception of novel situations
"Blind and rigid"

"Industrial robots succeeded by eliminating uncertainty, not by handling it."

Why Didn't Robots Take Over?

Key Events

1973: Lighthill Report criticizes AI progress

1980s: Expert systems boom, then bust

Robots didn't "take over" as predicted

1973

Lighthill

1980s

AI Winter

1990s

Recovery

Why Robotics Stalled

1. Sensing was hard

Cameras expensive, low-resolution

2. Computation was slow

Couldn't process in real-time

3. Generalization failed

Every new task required reprogramming

4. The world is messy

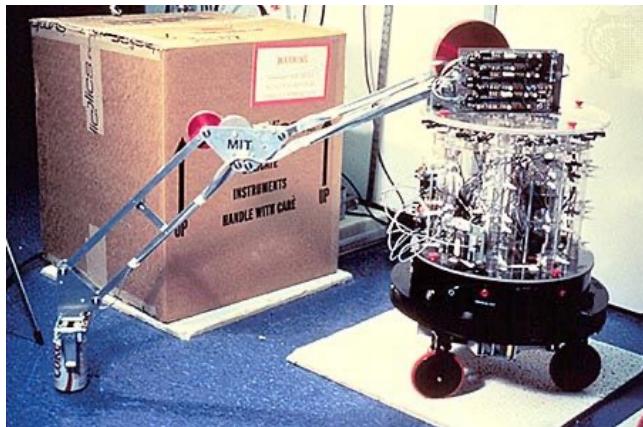
Factories controlled, homes not

Behavior-Based Robotics (1985-1995)

Sense-Model-Plan-Act	Behavior-Based
Serial processing	Parallel behaviors
Build complete model	React to immediate stimuli
Plan then execute	Act while perceiving
Centralized control	Distributed, emergent



Genghis
6-legged walker



Herbert
Soda can collector



Commercial Success
Roomba (2002) — built on behavior-based principles. Over 40 million sold.

Lessons from the Classical Era

1. Representation is Hard

Building accurate world models is intractable.

The world changes while you model it.

2. Real-Time Matters

Deliberation taking minutes is useless for dynamic tasks.

Reactive systems handle unexpected changes.

3. The Body Helps

Mechanical design simplifies control.

Physical compliance handles contact.

4. Generalization Remains Elusive

Every approach worked in its niche.

Transferring to new domains required starting over.

The Probabilistic Revolution: Embrace Uncertainty

Classical Approach

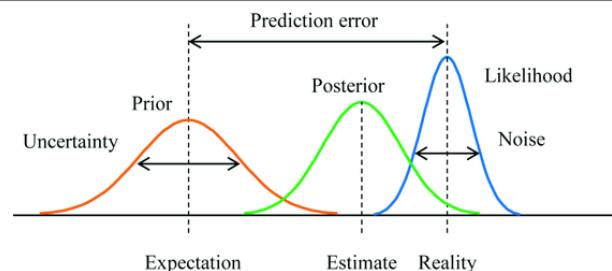
"Build a perfect model, plan the optimal action"

Probabilistic Approach

"Maintain beliefs about the world, act to reduce uncertainty"

Mathematical Foundation

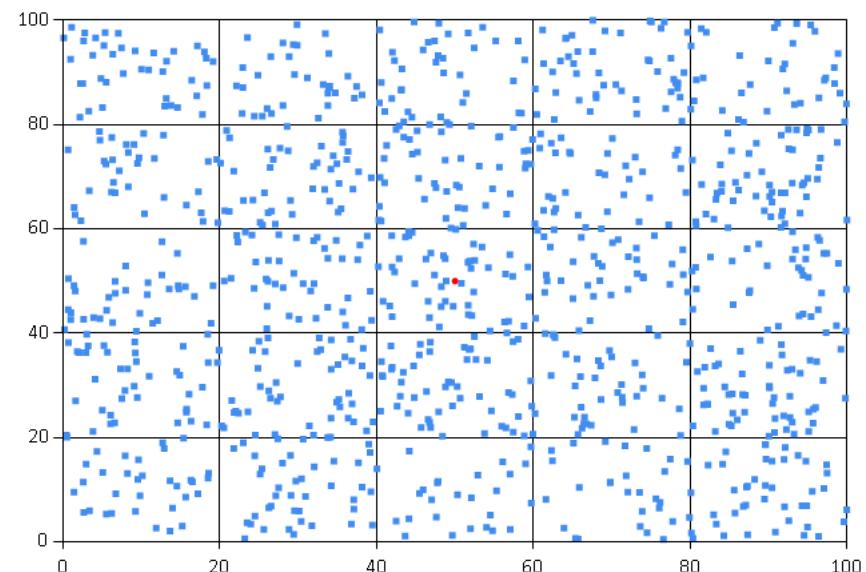
$$P(\text{state} \mid \text{observations}) \propto P(\text{observations} \mid \text{state}) \cdot P(\text{state})$$



Bayes' rule applied to robotics: Update beliefs based on sensor observations. The robot maintains a probability distribution over possible states rather than a single estimate.

Key Algorithms of the Probabilistic Era

Algorithm	Problem Solved	Key Idea
Kalman Filter (1960)	State estimation	Optimal fusion of prediction + observation (Gaussian)
Particle Filter (1993)	Non-Gaussian estimation	Sample-based belief representation
SLAM (1990s)	Localization + Mapping	Build map while localizing within it



The DARPA Grand Challenges

2004 Grand Challenge

Course: 142-mile desert route

Prize: \$1 million

Result:

No vehicle finished

Best performance: 7.3 miles



2005 Grand Challenge

Course: 132-mile desert route

Prize: \$2 million

Result:

5 vehicles finished

Winner: Stanley (Stanford, Sebastian Thrun)



Key Insight: In just one year, the field went from complete failure to five finishers. The challenge catalyzed rapid progress by creating a concrete, measurable goal.

2007 Urban Challenge



The Challenge

Navigate 60 miles of urban environment

Obey traffic laws, handle intersections

Interact with other (robotic) vehicles

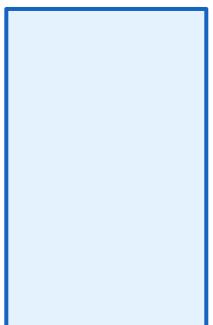
Winner

Boss (Carnegie Mellon University)

Industry Impact

"Launched the autonomous vehicle industry. Waymo, Cruise, Aurora — all trace their lineage to these challenge teams."

Meanwhile, in Manipulation...



Navigation

Rapid progress



Manipulation

Slower progress

↑ Gap

Navigation

SLAM matured
Autonomous vehicles emerged
Indoor navigation reliable

Manipulation

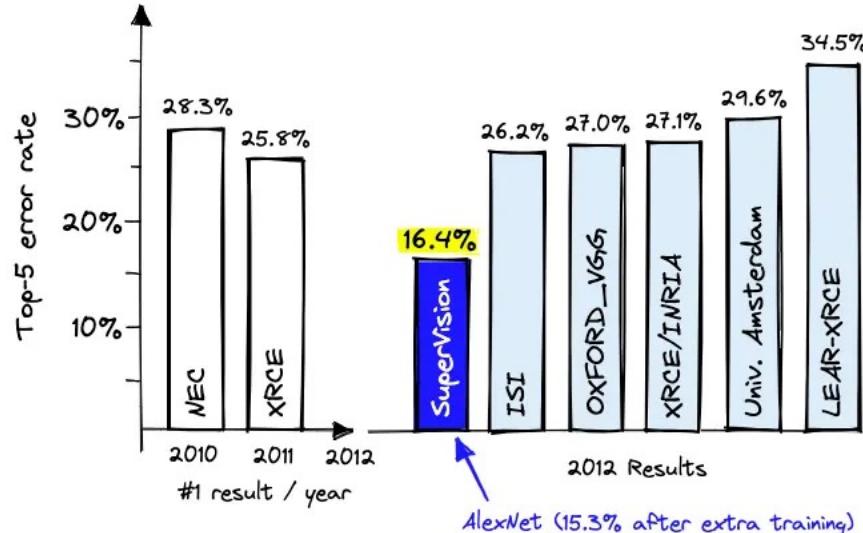
Contact physics harder
Object variety enormous
Dexterity challenges remain

Why the Difference?

Navigation can plan in 2D space. Manipulation involves 6-DOF contact dynamics, deformable objects, and tool use.

1995 → 2020

The ImageNet Moment (2012)



Top-5 Error Rate on ImageNet

26.2%

2011

15.3%

AlexNet 2012

AlexNet (Krizhevsky, Sutskever, Hinton, 2012)

Deep convolutional neural network

GPU-accelerated training

Won ImageNet by large margin

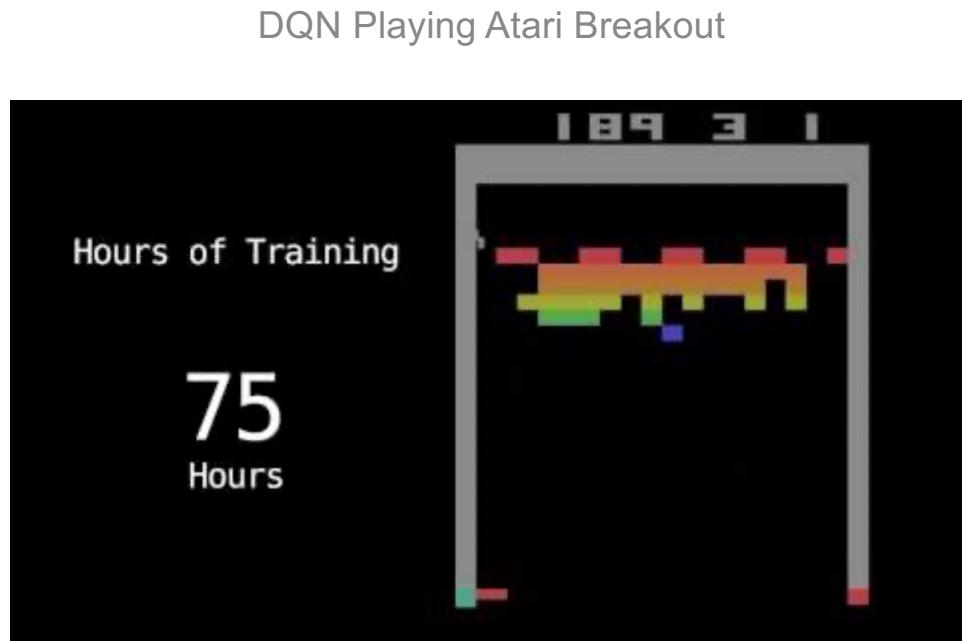
Why It Mattered for Robotics

Demonstrated that learning from data beats hand-designed features.

Suggested the same might apply to control.

Launched the modern deep learning era.

Deep Reinforcement Learning (2013-2015)



Source: Mnih et al., Nature 2015

DQN (Mnih et al.)

- 49 Atari games from raw pixels
- No game-specific engineering
- Human-level performance

Key Equation

$$Q(s, a; \theta) \approx r + \gamma \max Q(s', a'; \theta')$$

The Insight

"Raw sensory input → Neural network → Action. End-to-end learning."

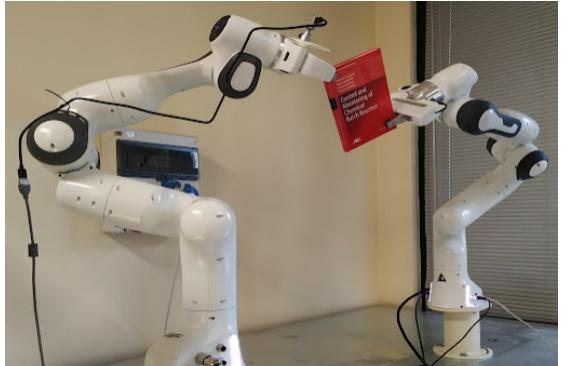
From Games to Robots — The Challenge

Atari Games	Real Robots
Perfect observation	Noisy, partial observation
Deterministic physics	Complex, continuous physics
Fast (1000s games/hr)	Slow (~10 episodes/hr)
Safe failure	Failure breaks things
Unlimited retries	Expensive mistakes

Key Question

"How do we get millions of training episodes without destroying millions of robots?"

The Answer: Simulation



Physical Robot



Digital Twin

Core Idea

1. Build simulated version of robot and environment
2. Train policies in simulation (fast, safe, parallel)
3. Transfer learned policies to real robot

Challenge: The Sim-to-Real Gap

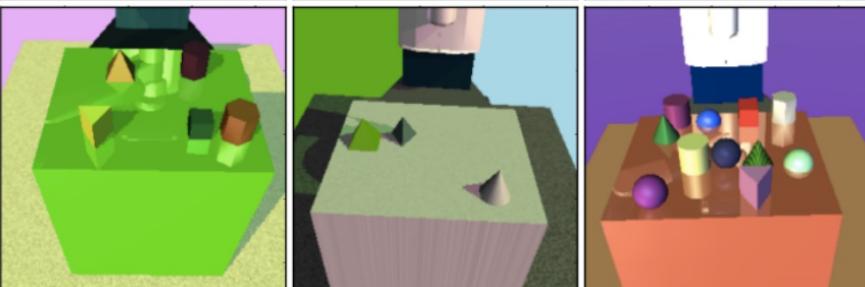
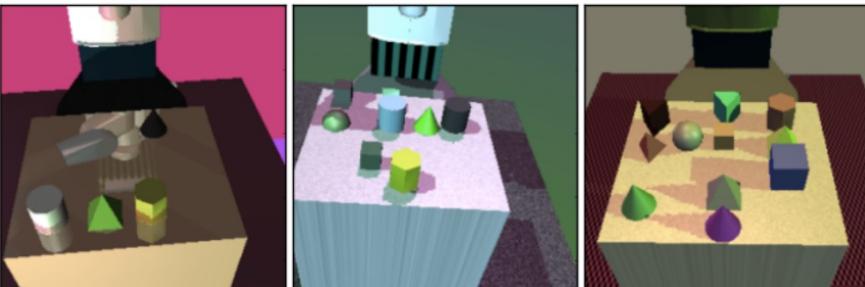
Physics approximations

Visual differences

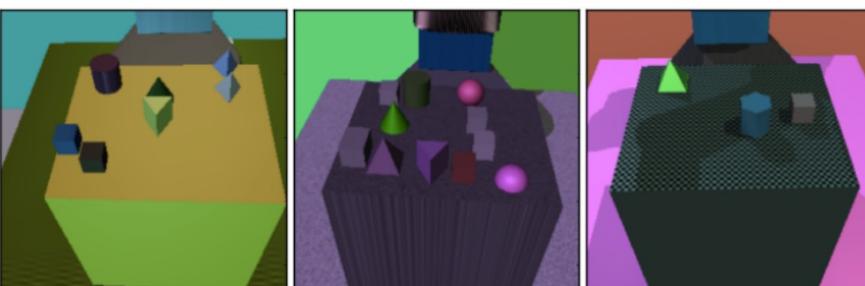
Sensor/actuator differences

Domain Randomization (Tobin et al., 2017)

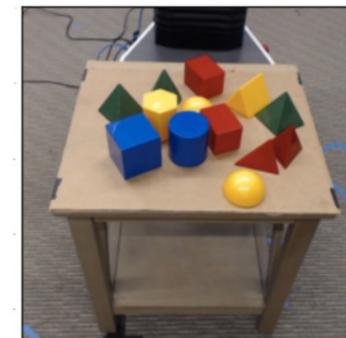
Training



...



Test



Key Idea

"Train on such a diverse distribution of simulated environments that reality looks like 'just another sample.'"

Randomized Parameters

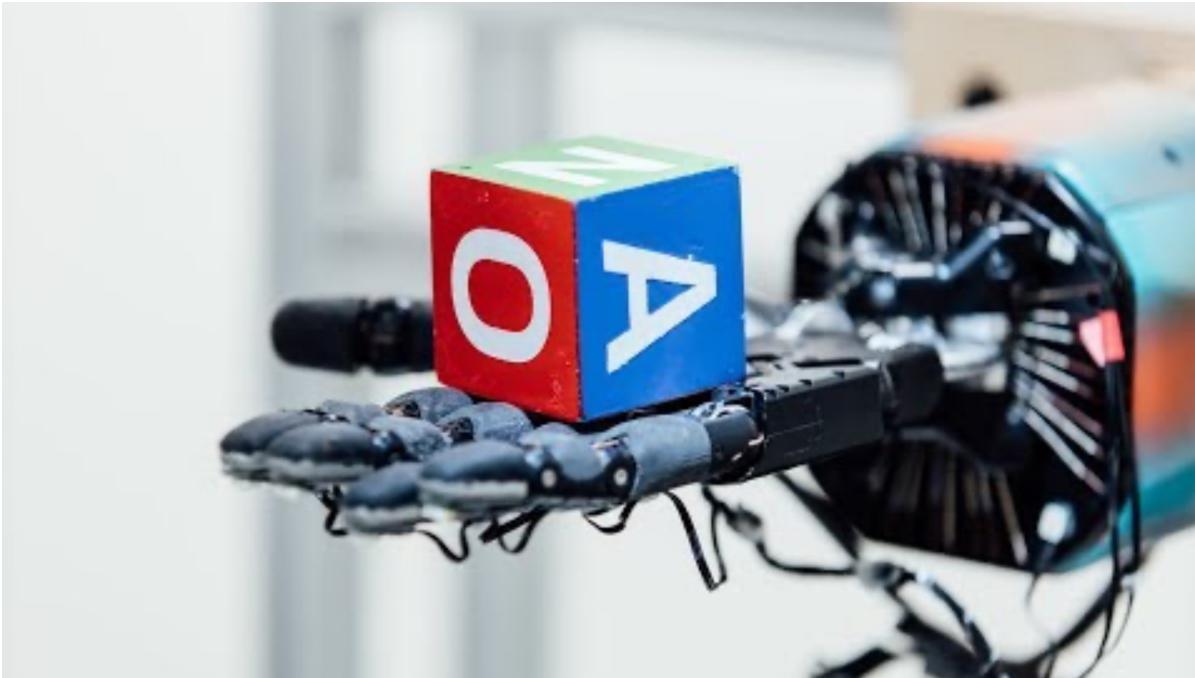
- Textures and colors
- Lighting conditions
- Camera positions
- Physics parameters

Result

Object detection with **1.5cm accuracy** using zero real training data.

OpenAI Rubik's Cube (2019)

Shadow Hand Solving Rubik's Cube



Achievement

Robot hand solves Rubik's Cube

Policy learned entirely in simulation

60% success rate on real robot

Automatic Domain Randomization (ADR)

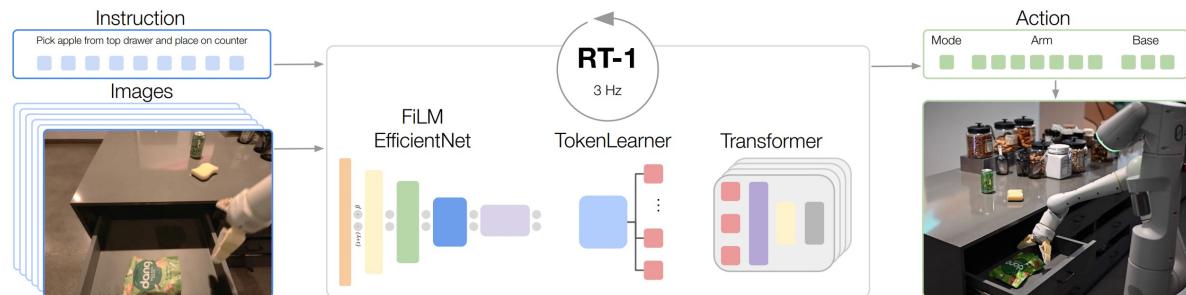
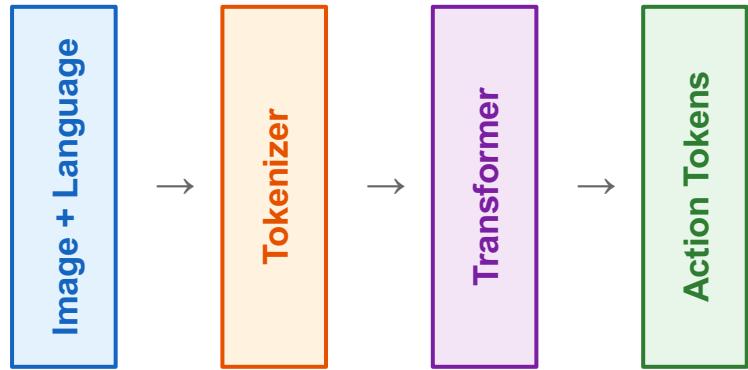
100+ randomized parameters

Equivalent to **13,000 years** of experience

Insight

"Massive simulation + aggressive randomization can bridge the sim-to-real gap."

RT-1: Robotics Transformer (2022)



RT-1 Architecture

Key Facts

- 130,000+ demonstrations
- 13 robots collecting data over 17 months
- Transformer architecture
- 700+ distinct tasks

Performance

- 97% success on seen tasks
- 76% success on unseen tasks

Innovation

"Tokenize images and actions. Apply transformer architecture. Benefit from scale."

RT-2: Vision-Language-Action Models (2023)



RT-2 Following Novel Instruction

The Leap

Co-fine-tune vision-language model on robotics data

Actions represented as text tokens

Inherits reasoning from web-scale pretraining

Emergent Capabilities

"Pick up the extinct animal"

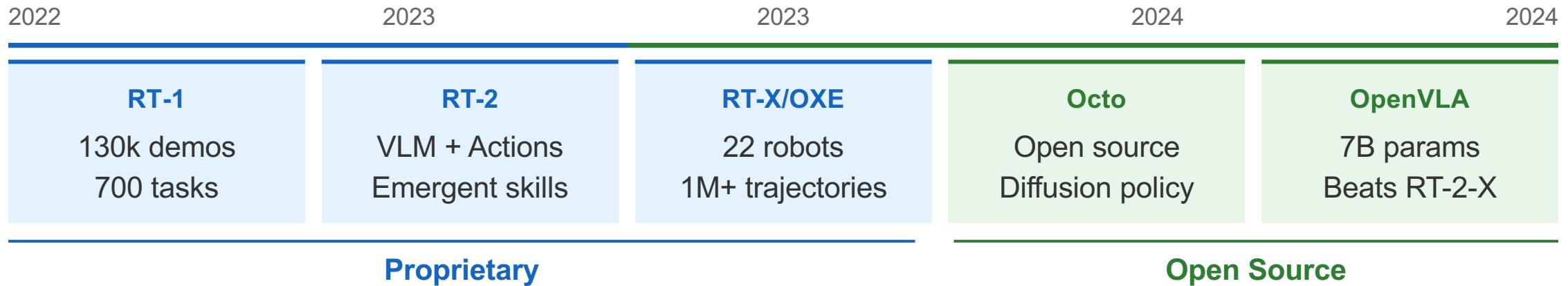
→ **Picks up dinosaur toy**

"Move the Coke can to Taylor Swift"

→ **Associates face with name**

Reasoning never seen in robot training data.

The Current Moment — Open-Source VLAs



Key Message: Foundation models for robotics are becoming accessible. The field is democratizing rapidly.

Where We Are Now

Era	Core Question	Approach
Classical	How do we model the world?	Build representations, plan
Behavioral	How do we react to the world?	Design behaviors, let emerge
Probabilistic	How do we handle uncertainty?	Maintain beliefs, reduce uncertainty
Learning-Based	How do we acquire skills?	Collect demos, train policies
Foundation Models	How do we build generalists?	Pre-train at scale, fine-tune

The Question Shift

From "Can robots learn?" to "How do we scale robot learning?"

The Platforms of Embodied AI



Manipulator

Precision & Dexterity



Mobile Robot

Navigation & Exploration



Humanoid

Whole-Body Intelligence

"Three platforms, distinct challenges, shared foundations"

Manipulators: The Workhorse of Robot Learning



Why Franka Became the Standard

- 7 degrees of freedom (redundant)
- Torque sensing at every joint
- 1 kHz control rate
- Safe for human collaboration
- Open programming interface
- ~\$30,000 (research accessible)

Impact

Used in 100+ research labs worldwide. Featured in RT-1, RT-2, Diffusion Policy, and most major manipulation research.

Manipulation Challenges



1. Contact-Rich Interaction

Insertion, assembly, tool use

Challenge: Contact physics is discontinuous



2. Dexterous Grasping

Novel objects, clutter, deformables

Challenge: Infinite object variety

This slide provides a high-level overview of the Manipulation Challenges dataset. It includes the following key statistics:

- 1M Episodes from 311 Scenes
- 34 Research Labs across 21 Institutions
- 22 Embodiments
- 527 Skills: pour, stack, route
- 60 Datasets
- 1,798 Attributes • 5,228 Objects • 23,486 Spatial Relations

The slide also features small thumbnail images illustrating various skills like pouring from a bottle into a cup, stacking objects, and navigating a route through clutter.

3. Generalization

Same skill, different contexts

Challenge: Policies fail in new settings

State of the Art in Manipulation

Key Methods

Diffusion Policy

Chi et al., 2023

ACT (Action Chunking)

Zhao et al., 2023

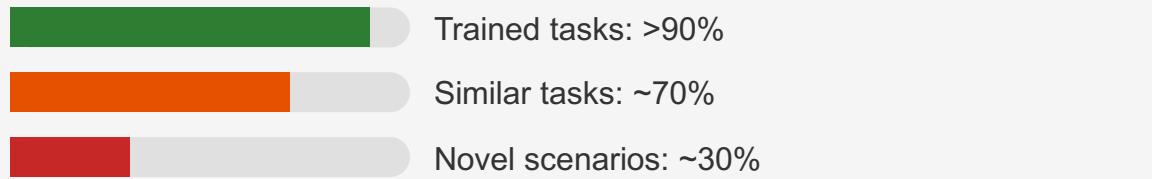
RT-1 / RT-2 / RT-X

Google DeepMind, 2022-2023

OpenVLA / Octo

Open-source VLAs, 2024

Current Capabilities



"High performance on trained tasks, but generalization remains the frontier."

Mobile Robots: Navigation and Beyond

Wheeled

TurtleBot, Clearpath

✓ Simple, efficient

✗ Terrain limited



Legged

ANYmal, Spot, A1

✓ Terrain versatile

✗ Complex control



Aerial

DJI, quadrotors

✓ 3D movement

✗ Limited payload



Hybrid

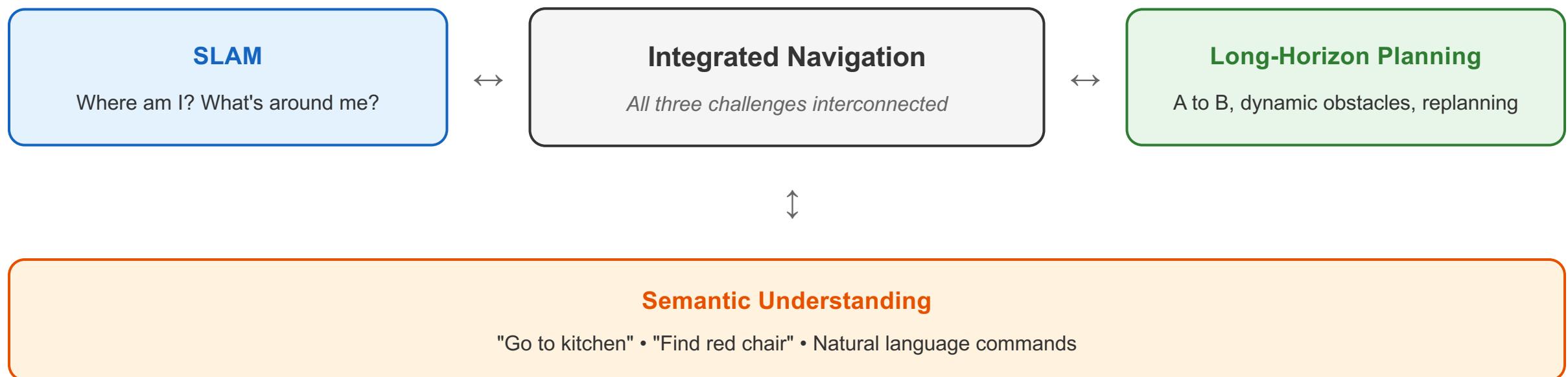
Wheeled-legged

✓ Combines benefits

✗ Complex design



Navigation Challenges



Learning-Based Navigation

Approaches

End-to-End RL

Raw visual input → actions directly

Modular Pipeline

Perception → Planning → Control

Hybrid

Learning for perception, classical for planning

Key Benchmarks

Habitat Challenge (Meta AI)

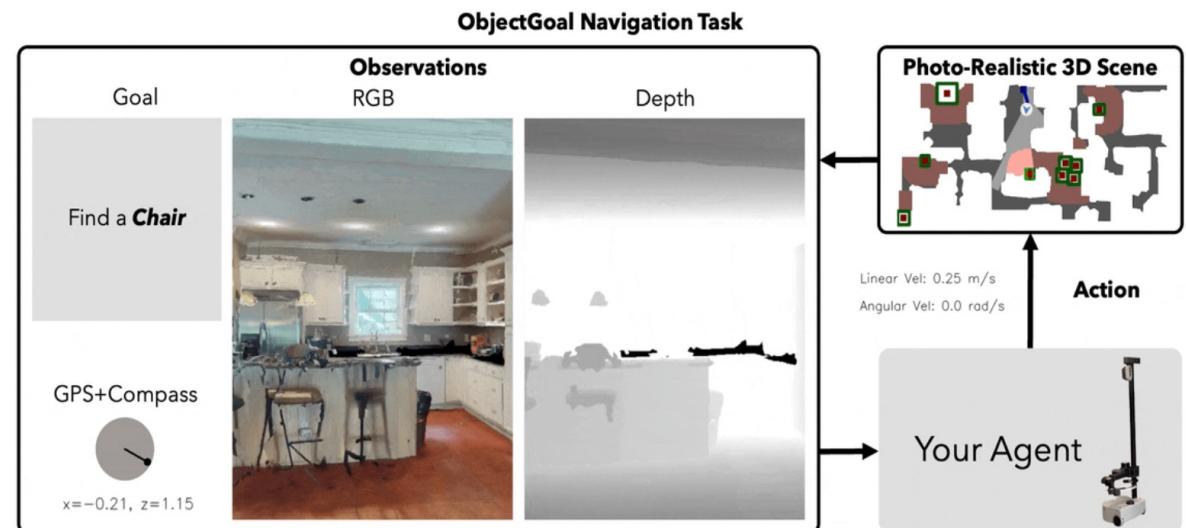
iGibson (Stanford)

Habitat Navigation Challenge 2023

Overview

In 2023, we are hosting the ObjectNav and ImageNav challenge in the Habitat simulator [1].

Task #1: ObjectNav focuses on egocentric object/scene recognition and a commonsense understanding of object semantics (where is a bed typically located in a house?).



Current State

Near-human performance in simulation. Real-world transfer improving rapidly with sim-to-real techniques.

Humanoids: The Grand Challenge



Atlas

Boston Dynamics



H1

Unitree



Figure 02

Figure AI



Optimus

Tesla



Digit

Agility

Why Humanoids?

Human environments, human tools, human collaboration — the ultimate test of embodied AI.

Current Humanoid Platforms

Platform	Specs	Approach	Key Achievement
Atlas	Hydraulic→Electric	Model + Learning	Parkour, gymnastics
H1	Electric, 180cm, 47kg	Learning-based	3.3 m/s running, backflip
Figure 02	Electric, commercial	VLA-controlled	Factory deployment
Optimus	Electric, low-cost target	Learning-based	Autonomous operation
Digit	Electric, warehouse	Hybrid control	Commercial deployment

"2023-2025 saw more humanoid progress than the previous two decades."

Humanoid Challenges

1. Whole-Body Coordination

30+ DOF, walking while reaching

Can't separate locomotion and manipulation

2. Balance and Recovery

Bipeds inherently unstable

Must handle pushes, slips, unexpected contacts

3. Energy Efficiency

Batteries limit runtime (~2 hours)

Humans operate all day on modest calories

4. Generalization

Humanoid form should enable broad capabilities

Current systems still task-specific

Learning-Based Humanoid Control

Recent Breakthroughs

Radosavovic et al. (2024)

Transformer policy with in-context adaptation. Single policy adapts to different terrains and perturbations without retraining.

Cheng et al. (2024)

RL from motion capture data. H1 humanoid performs diverse athletic motions learned from human demonstrations.

Helix (Figure AI, 2025)

VLA for 35 DOF humanoid at 200 Hz control. Multi-robot coordination, deployed in production factories.

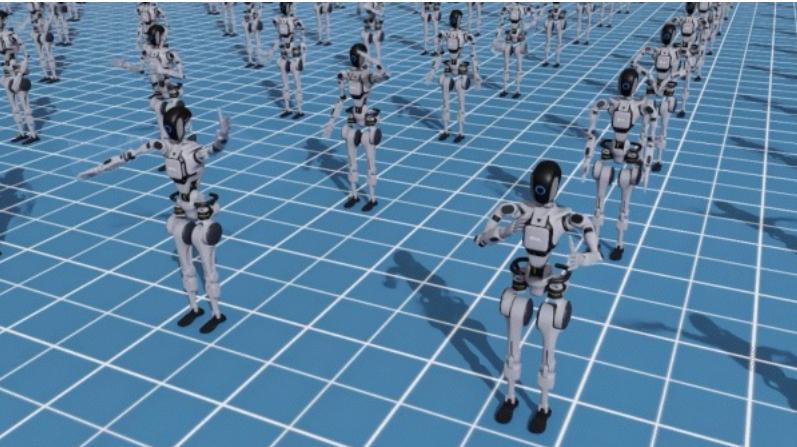


The Three Platforms — Summary

Aspect	Manipulators	Mobile Robots	Humanoids
Primary Challenge	Contact, dexterity	Navigation, SLAM	Whole-body, balance
Typical DOF	6-7 + hand	2-12	20-40+
Control Rate	100-1000 Hz	10-100 Hz	100-1000 Hz
Maturity	Most mature	Mature	Emerging
Data Availability	Good	Moderate	Limited

"Each platform pushes different aspects of embodied AI."

Why Simulation Has Become Central



1 Real Robot

10 eps/hr



1000s Simulated

10,000 eps/hr

VS

1. Scale

10 eps/hr (real) vs. 10,000 eps/hr (sim)

2. Safety

Simulated failures are free

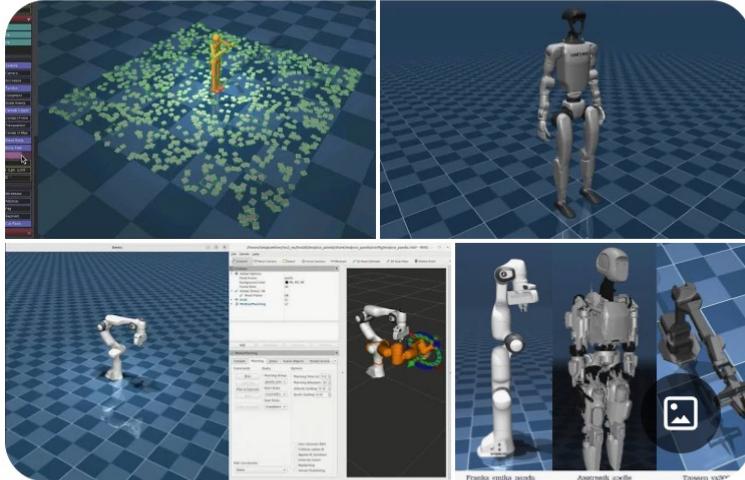
3. Parallelization

Thousands of environments on one GPU

4. Reproducibility

Same sim, same seed → same results

The Simulation Stack for This Course



MuJoCo

Primary Use: Foundations

Key Features: Gold standard, open source

→ **HW1, HW2**

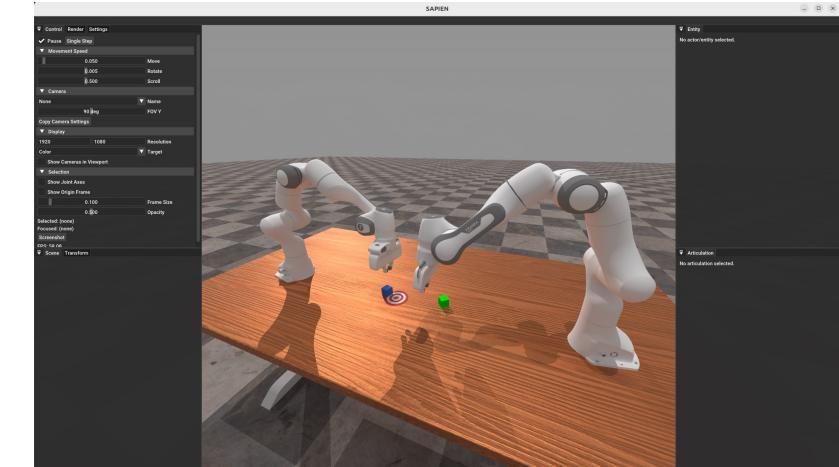


Isaac Lab

Primary Use: GPU RL

Key Features: 4096+ parallel envs

→ **HW3**



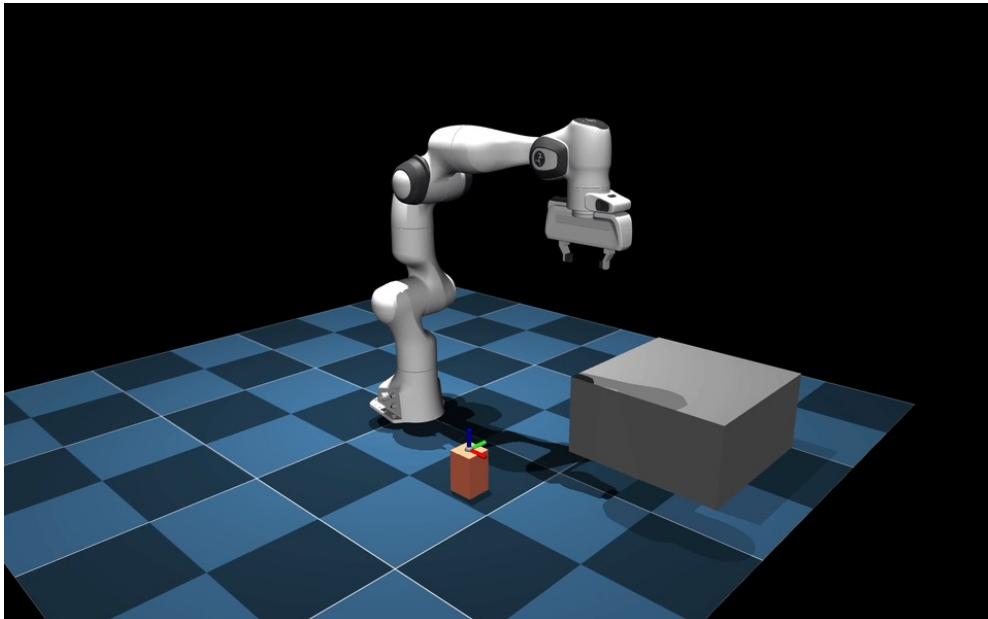
ManiSkill3

Primary Use: Benchmarks

Key Features: 30k+ FPS, comprehensive

→ **Optional**

MuJoCo: The Foundation



History

Created by Emanuel Todorov (2012)

Acquired by DeepMind (2021)

Open-sourced under Apache 2.0 (2022)

Why MuJoCo

Accurate contact dynamics

Fast: 10M+ steps/second

Excellent documentation

Dynamics Equation

$$M(q)\ddot{q} + C(q,\dot{q})\dot{q} + g(q) = \tau + J^T f$$

You'll implement this in HW1.

Isaac Lab: GPU-Accelerated Learning



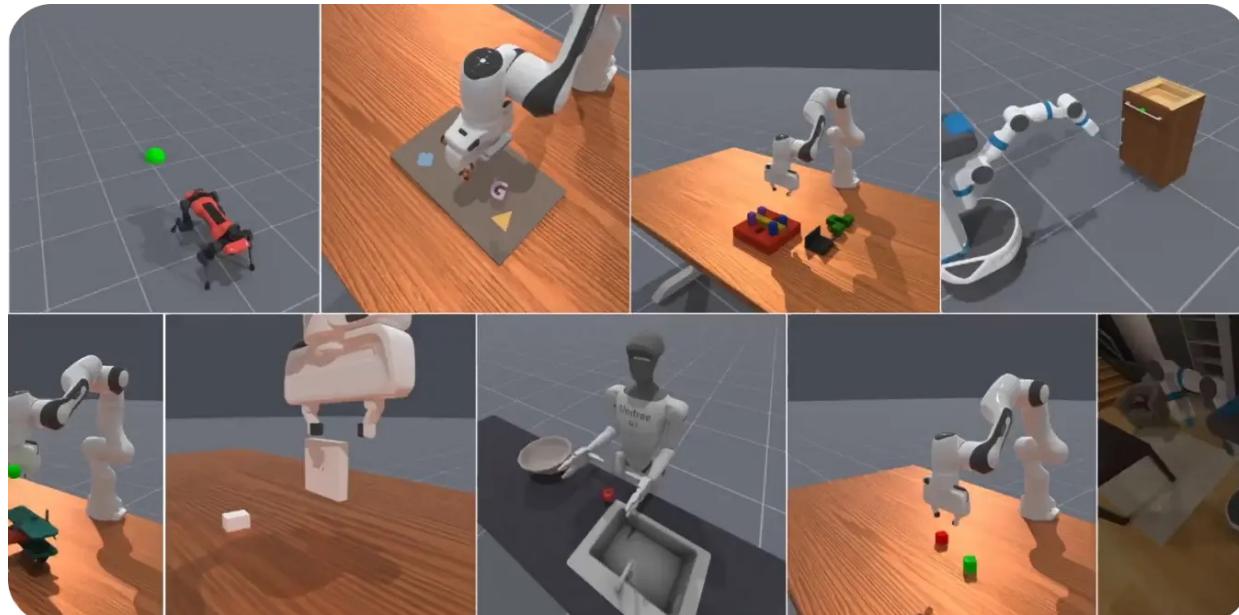
Capabilities

- Thousands of parallel environments on single GPU
- End-to-end GPU pipeline
- Photorealistic rendering
- ROS 2 integration

Use Case

"When you need to train RL policies fast, Isaac Lab is the tool."

ManiSkill3: Benchmarks and Baselines



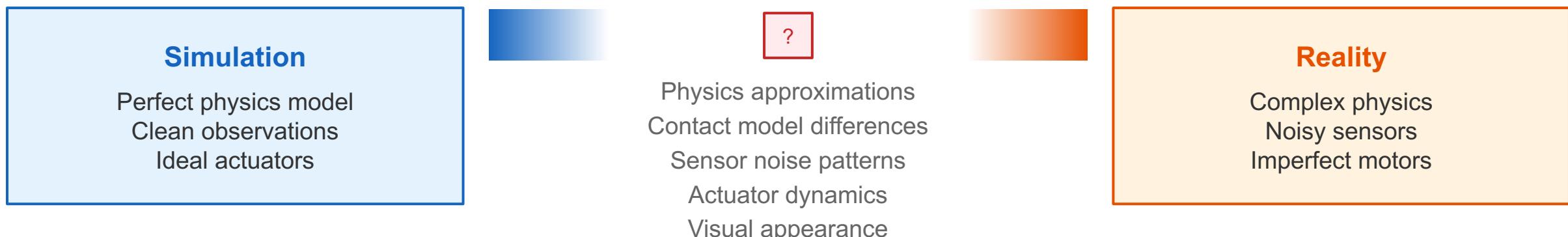
Key Features

- SAPIEN-based simulation
- Diverse manipulation tasks
- Pre-implemented baselines
- 30,000+ RGBD FPS

Why It Matters

Standardized evaluation across methods. Easy comparison with published baselines. Quick start for research projects.

The Sim-to-Real Gap



Bridging Strategies

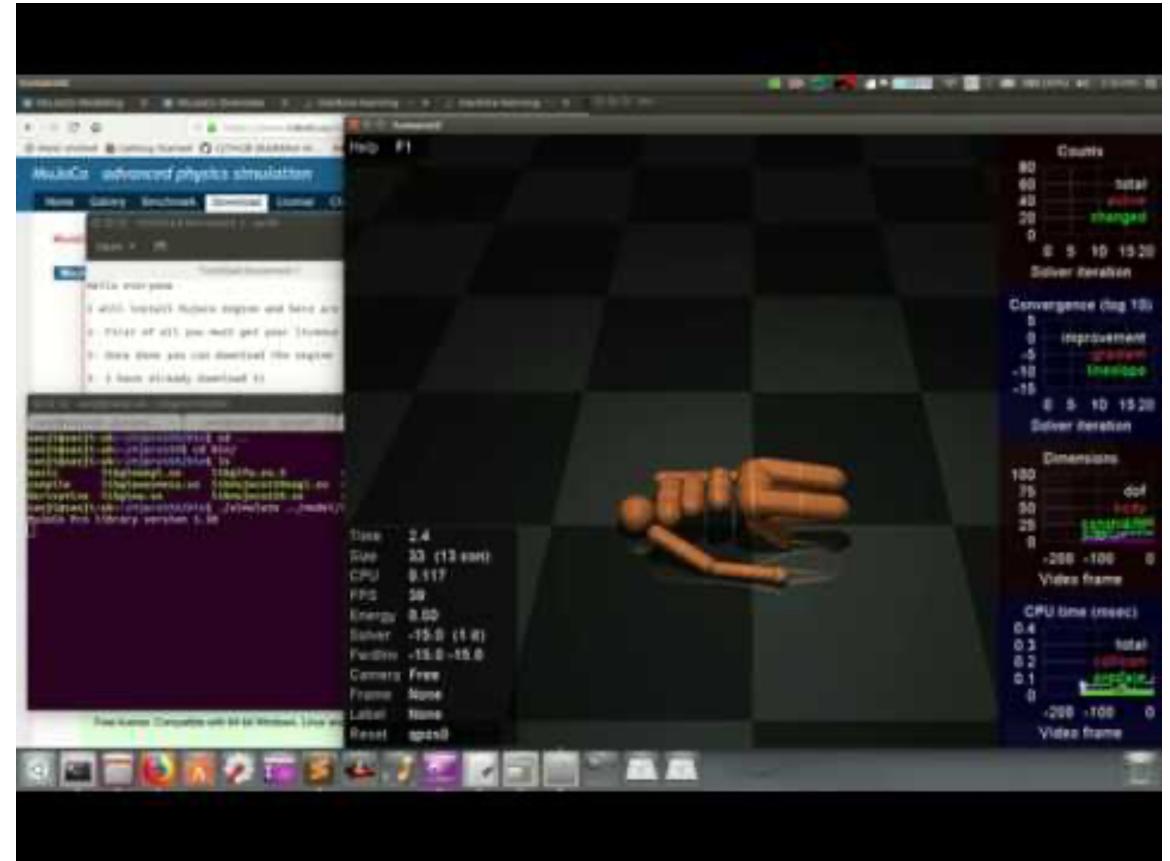
Domain Randomization • System Identification • Domain Adaptation • Real-World Fine-Tuning

Live Demo: MuJoCo Setup

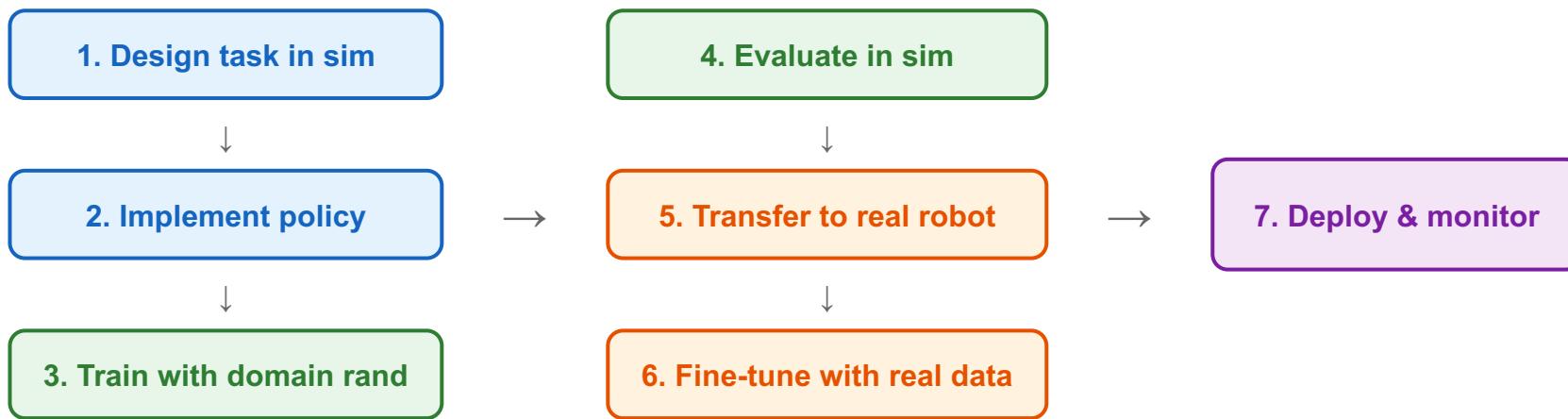
```
import
mujoco
import
mujoco.viewer

# Load model
model = mujoco.MjModel.from_xml_path("franka_panda.xml")
data = mujoco.MjData(model)

# Launch viewer
with
mujoco.viewer.launch_passive(model, data) as viewer:
while
viewer.is_running():
mujoco.mj_step(model, data)
viewer.sync()
```



Simulation-First Development



"Simulation is not a shortcut — it's the foundation."

Your Journey Starts Now

Course Structure: Four Modules

Module 1: Foundations

L2: Kinematics, Dynamics, Sim

L3: Control

L4: Planning

Module 2: Perception

L5: Visual Perception

L6: Tactile Sensing

Module 3: Learning

L7: RL

L8: Imitation Learning

L9: Generative Models

Module 4: Foundation

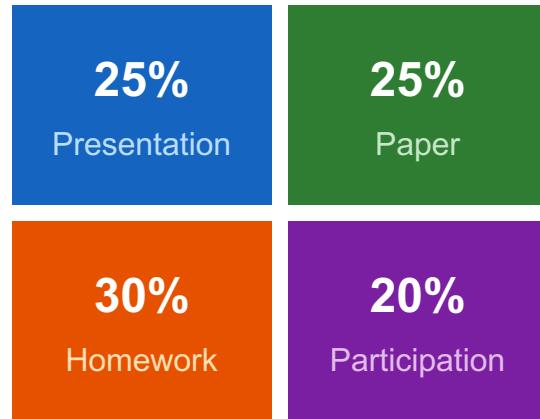
L10: VLAs

L11: World Models

L12: Humanoids & Future

Foundations → Perception → Learning → Foundation Models

Grading Structure



Presentation (25%)

45 min presentation + 15 min Q&A

Paper (25%)

15-20 pages, 30-50 papers reviewed

Homework (30%)

Three assignments (10% each)

Participation (20%)

Questions + Discussion

Four Takeaways from Today

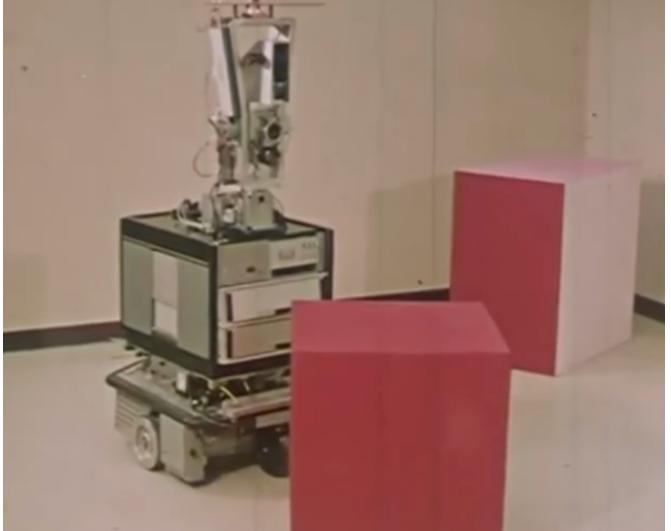
1. Embodied AI = Intelligence through physical perception-action loops

2. Historical Evolution: SHAKEY → Behaviors → Probabilistic → Learning → Foundation Models

3. Three Platforms: Manipulators, Mobile Robots, Humanoids — distinct challenges, shared foundations

4. Simulation-First: MuJoCo, Isaac Lab are your laboratory for this course

Final Thought



SHAKEY

1969



Modern Humanoid

2025

"The robots in those opening videos aren't magic — they're the product of ideas you'll understand by the end of this course."

"Let's build that understanding together."



"Want to understand the essence of intelligence?"

Study Embodied AI.

Q & A