M. R. C. van Dongen

Outline

Collections

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Queues Hashing

For Wednesday

Acknowledgements

About this Document

February 7, 2014

Software Development (cs2500)

Lectures 48 & 49: The Collections Framework

M. R. C. van Dongen

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- ☐ Study the Java Collection Framework.
- Finalise our in-depth study of linked lists.
- Explore the Set interface.
- Use the Map interface to implement lookup tables.

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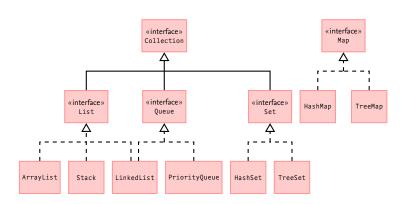
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- Framework for storing items, querying, and traversing.
- All interfaces and classes are generic.
- ☐ At the top are the Collection and Map interfaces.
  - A Collection<T> is for storing/retrieving Ts.
  - A Map<K, V> is for looking up value V using key K.
  - □ In some sense, a Collection<T> is a Map<T,T>.



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About this Document

■ Returns true if and only item is in it. iterator() Returns an Iterator<T>.

Returns true if and only if successful.

size() Determine the size of the collection.

toString() Compute String of what's in the collection.

add( T item ) Add a member to the collection.

remove( T item ) Tentatively remove item from collection.

contains ( T item ) Determine whether item is in the collection.

■ Defined in Iterable<T> interface.

Used to traverse the collection.

☐ If a class implements Iterable<T>, you can use enhanced for notation.

```
Java
```

```
public class MyList<T> {
    private Link<T> nodes;
    private static class Link<T> {
        private T head;
        private Link<T> tail;
```

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Worst-Case Performance Proportional to Length of List

return result;

```
Tava
public boolean remove( T item ) {
   final boolean result:
   if (nodes == null) {
        result = false:
    } else if (nodes.head.equals( item )) {
        result = true:
        nodes = nodes.tail;
    } else {
        Link<T> link = nodes:
        while ((link.tail != null) && (!link.tail.head.equals( item ))) {
            link = link.tail:
        result = link.tail != null;
        if (result)
            link.tail = link.tail.tail;
```

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Iterator Used to traverse collection.

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```
Implementing classes must override:

hasNext() Determine whether there's another member.

next() Get the next member.

remove() Remove most recent next() value.

Implementing this method is optional.

Iterable Implementing classes must override iterator():

public Iterator<T> iterator()

Traverse Iterable classes with enhanced for:

for (T item: collection) {
    /* use item */
```

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About this Document

# Java

```
@Override
public Iterator<T> iterator( ) {
    return new Iterator<T>( ) {
        private Link<T> current = nodes;
        @Override
        public boolean hasNext( ) {
            return current != null;
        @Override
        public T next( ) {
            final T next = current.head:
            current = current.tail;
            return next;
        @Override
        public void remove( ) {
   }:
```

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About this Document

So far we've implemented singly linked lists.

■ At the top level a Link instance, nodes, represents the members.

Inserting a new Link depends on two cases:

nodes == null No need to locate insertion position:

Create the new Link.

Assign Link to nodes.

nodes != null We must locate insertion position:

Create the new Link, insertee.

Find Link, position, to insert the Link.

Assign position.tail to insertee.tail.

4 Assign insertee to position.tail.



head tail insertee

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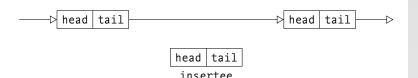
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nodes == null No need to locate insertion position:

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- Assign Link to nodes.

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- Find Link, position, to insert the Link.
- Assign position.tail to insertee.tail.
- 4 Assign insertee to position.tail.



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☐ Inserting a new Link depends on two cases:

nodes == null No need to locate insertion position:

Create the new Link.

2 Assign Link to nodes.

nodes != null We must locate insertion position:

Create the new Link, insertee.

Find Link, position, to insert the Link.

Assign position.tail to insertee.tail.

4 Assign insertee to position.tail.



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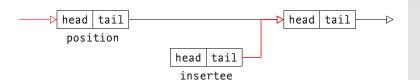
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- At the top level a Link instance, nodes, represents the members.
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nodes == null No need to locate insertion position:

- Create the new Link.
- Assign Link to nodes.

nodes != null We must locate insertion position:

- 1 Create the new Link, insertee.
- Find Link, position, to insert the Link.
- Assign position.tail to insertee.tail.
- 4 Assign insertee to position.tail.



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About this Document

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- Inserting a new Link depends on two cases:

nodes == null No need to locate insertion position:

- Create the new Link.
- Assign Link to nodes.

nodes != null We must locate insertion position:

- Create the new Link, insertee.
- Find Link, position, to insert the Link.
- Assign position.tail to insertee.tail.
- 4 Assign insertee to position.tail.



- Modern CPUs try to predict next instruction.
  - With branching, this prediction becomes difficult.
  - □ Inserting an item needs branching, so it's not ideal.
- Inserting at start of list is constant time operation.
  - Inserting at end requires linear time (in length of list).

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#### Linked Lists

- A doubly linked list overcomes the singly linked list's problems.
- It requires no branching when inserting a new Link.
  - (Provided the insertion position is known.)
- Also supports constant time opration for appending items.
- Allows removal of Link in constant time.
  - ☐ (Provided a reference to Link is known.)
- □ Can be implemented with addAfter( ) and addBefore( ).

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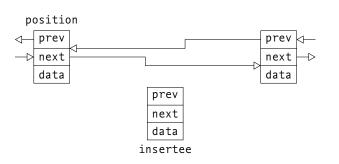
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```
Java
public class DList<T> {
    private DLink<T> sentinel;
    public DList( ) {
        sentinel = new DLink( );
    ...
    private static class DLink<T> {
        private T data;
        private DLink<T> prev;
        private DLink<T> next;
        private DLink( ) {
            prev = next = this;
```

```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```



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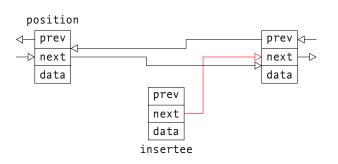
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AddBefore() is Similar

```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```



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```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```

```
position

prev

next
data

prev

next
data

insertee
```

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```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```

```
position

prev

next
data

prev

next
data

insertee
```

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```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```

```
position

prev

next
data

prev

next
data

insertee
```

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```
Java

private static void addAfter( final DLink<T> position, final DLink<T> insertee ) {
   insertee.next = position.next;
   insertee.prev = position;
   position.next.prev = insertee;
   position.next = insertee;
}
```

```
position

prev

next
data

prev

next
data

insertee
```

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if there are few hashCode() collisions.

HashSet No "real" order.

TreeSet Set with order. Uses tree to represent the set.

remove( item ).

■ The Set interface is for collections without repetitions.

■ The order of traversal depends on the implementing class.

- □ Order determined by compareTo().
- Usually poorer performance for add() & remove().

□ Good average performance for add() and remove()

■ Uses item.hashCode() for add(item) and

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- HashSet usually provides good average performance.
- Uses hashCode() to partition members in to subcollections.
- Members with "similar" hashCode( ) go to same subcollection.
  - Equality in subcollections is decided with equals ( ).
  - □ Classes usually override equals ( ).
- Good performance guaranteed if subcollections don't "overflow."
- Diversifying the hashCode() values makes "overflow" less likely.

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```
(Different objects may have the same hashCode().)With these assumptions, we can decide deep equality as follows:
```

■ If you override equals() you must override hashCode().

All Java classes make assumptions about hashCode():

Deciding deep equality is an expensive operation.

■ The HashSet class uses equals() to decide equality.

ith these assumptions, we can decide deep equality as follows

■ The same object must always return the same hashCode().

■ Two deeply equal objects must have the same hashCode().

- If a.hashCode( ) != b.hashCode( ) then !a.equals( b );
- Else use a.equals( b ).
- This is usually very efficient, provided
  - hashCode( ) is fast; and
  - □ hashCode( ) depends on as many relevant attributes as possible.

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- By default different objects have different hashCode( ) values.
- This is usually not useful, so we must override it.
- □ Take as much information into account as possible.
- "Information" are the attributes that determine equals ( ).
- This generally reduces collisions.

- Initialise result with some nonzero value;
- For each relevant attribute:
  - Compute an int, intCode, for the attribute;
  - Combine the the current value of result and intCode:
    - □ result = result \* 31 + intCode.
- 3 Return result.

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```
■ If attribute is boolean return attr? 1: 0:
If it's byte, char, or short, return (int)attr;
■ If it's an int return attr:
If it's a long return attr ^ (attr >>> 32);
If it's a float, return Float.floatToIntBits( attr );
If it's a double, return Double.doubleToIntBits( attr );
☐ If it's null return 0:
■ If it's an array:
     ☐ If all values are relevant, return Arrays.hashCode( attr ).
     Recursively compute a hash code for the relevant values.
Otherwise, return attr.hashCode().
```

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## Java

```
public class Person
   final static int INITIAL HASH CODE = 17:
    final static int HASH_MULTIPLIER = 31;
    private final String name;
    private final boolean isMale;
    private final int age;
    ...
   @Override
    public boolean equals (Object that ) {
        final Person p = (Person)that:
        return (name.equals( p.name )) && (age == p.age);
   @Override
    public int hashCode( ) {
        int result = INITIAL HASH CODE:
        result = result * HASH_MULTIPLIER + age;
        result = result * HASH_MULTIPLIER + (isMale ? 1 : 0);
        result = result * HASH MULTIPLIER + name.hashCode():
        return result;
```

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# Java

```
// Always use an interface variable to reference the set.
final Set<Person> set = new HashSet<Person>();
set.add( new Person( "Joe", true, 20 ) );
set.add( new Person( "Jane", false, 20 ) );
...
```

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# Don't Try This at Home

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```
Tava
public class Casher {
    private static final hashCode;
    public Casher( ... ) {
        // first initialise attribute values.
        hashCode = motherOfAllHashCodeComputations():
    @Override
    public int hashCode( ) {
        return hashCode();
```

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```
Code is Correct for Single-Threaded Classes
```

Lazy Computation

- Initialising many hash code values may take too long.
- When too many hash codes are initialised at the same time, this may cause unacceptable delays.
- You can postpone the expensive initialisation.
  - Makes sense if you don't need the hash code values.
- This is called *lazy initialisation*.
  - Intelligent classes such as String use lazy initialisation.

# Java

```
public class Lazy {
   private Integer hashCode;
   @Override
   public int hashCode( ) {
       if (hashCode == null) {
            hashCode = motherOfAllInitialHashCodeComputations();
       return hashCode;
```

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■ There are several Map implementations:

□ The Map interface is generic: Map<K,V>.

■ A given key can only have no more than one value.

Some keys have no values: their values are null.

■ A Map is like a mathematical function.

■ HashMap.

It maps a key to a value.

■ TreeMap.

# Instance Methods

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```
Java
```

```
final Map<Color,String> map = HashMap<Color,String>( );
map.put( Color.RED, "Bad" );
map.put( Color.Green, "Good" );
map.put( Color.Blue, "Cold" );
```

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- The Queue class implements a first-in-first-out (FIFO) collection.
- The PriorityQueue class implements a priority queue.
  - The elements in the collection are ordered.
  - □ Order depends on compareTo( ).
  - Least significant instances have higher *priority*.
  - The remove( ) method removes instance with highest priority.

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### Java

```
public class MyProcess implements Comparable<MyProcess> {
    private int priority;
    private final Task task:
    public static void main( String[] args ) {
        final PriorityQueue<MyProcess> tasks = new PriorityQueue( );
        tasks.add( ... );
        while (!tasks.isEmpty( )) {
            final Task task = queue.remove();
            task.run();
   @Override
    public int compareTo( MyProcess that ) {
        return (this.priority < that.priority) ? -1:
               (this.priority > that.priority) ? 1:0;
```

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#### Hashing

- hashCode( )
- Random Hash Functions
- Collision Resolution
- Running Example
- Linear Probing
- Double Hashing
- Limitation of Open Addressing
- Separate Chaining
- For Wednesday
- Acknowledgements
- About this Document

- Hashing maps keys to positions in arrays.
- Perfect hashing maps different keys to different positions.
- Perfect hashing is rarely possible:
  - In general collisions will occur.
  - Should they occur, these collisions should be resolved.
- We shall study two classes of collision resolution strategies.
  - Open addressing: here we look for other free cells.
  - Separate chaining: stores colliding keys in a special data structure.

- Arrays are the basic data structure for many applications.
- However, storing and retrieving by index isn't always ideal.
- E.g., let's assume we want to implement a Table (Map) class.
- Each item in the Table has a *key*.
- Different items have different keys.
- The Table supports the following methods.

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## Implementing a Table Class

- Arrays are the basic data structure for many applications.
- However, storing and retrieving by index isn't always ideal.
- E.g., let's assume we want to implement a Table (Map) class.
- Each item in the Table has a *key*.
- Different items have different keys.
- □ The Table supports the following methods.

- All operations should be fast.
- We shall use an array to store each object in the Table.
- We use the keys to determine the object's positions.
- How do we map the keys to index positions in the array?

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## Don't Try This at Home

```
public class Table {
    private final Object[] members;
    public Table( int size ) {
        members = new Object[ size ];
    private int hashFunction( Object o ) {
        return Math.abs( o.hashCode( ) ) % members.length:
    public void add( Object o ) {
        members[ hashFunction( o ) ] = o;
    public void remove( Object o ) {
        members[ hashFunction( o ) ] = null;
    public boolean contains( Object o ) {
        return (o != null)
                   && (o == members[ hashFunction( o ) ]);
```

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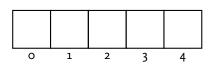
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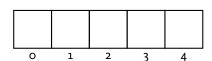
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Add Object with HashCode 123





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Add Object with HashCode 123





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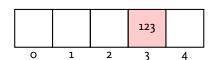
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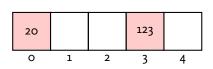
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Add Object with HashCode 20





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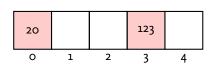
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Add Object with HashCode 666





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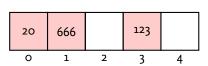
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Add Object with HashCode 666





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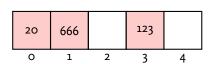
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Add Object with HashCode 33





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20	666		123	
			33	
	1	2	3	4



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### Definition (Hash Function)

□ A hash function is a function that maps its argument to an index position of an array.

### Java

```
public class IntTable {
   final int[] members;
    private int hashFunction( int key ) {
        return Math.abs( key ) % members.length;
```

## Ideal Hash Function Properties

- Good hash functions should be correct:
  - The result should be an allowed index.
- □ They should be fast.
- They should minimise collisions.
- Random keys should result in random index positions.

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- Let's assume we have three objects.
- □ The hash codes of the objects are 0, 1, and 5.
- Let's also assume we have two Table objects.
- Table 1 has a capacity of 3: its hash function is  $h_3(c) = c \% 3$ .
- Table 2 has a capacity of 4: its hash function is  $h_4(c) = c \% 4$ .
- With the first hash function we get no collisions:
  - $h_3(0) = 0$ ,  $h_3(1) = 1$ , and  $h_3(5) = 2$ .
- With the second hash function we get a collision:
  - $h_4(0) = 0$ ,  $h_4(1) = 1$ , and  $h_4(5) = 1$ .
- So, how do we fix this problem?

### Different Hash Functions

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- Possible solution:
  - Let each Table object compute its own hash function.
- They start with a random hash function.
- They use it until a collision arises.
- When the collision arises:
  - The Table computes a new random hash function.
  - The new hash function should resolve the colission.

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- Assume the hash codes are in the domain  $U = \{0, ..., m-1\}$ .
- $\square$  Furthermore, assume our array has length  $n \leq m$ .
- □ Let  $p \ge m$  be a random prime.
- □ Finally, let 0 < a < p and  $0 \le b \le p$  be random integers.
- Consider the following hash function:

$$h_{a,b}(x) = ((ax + b) \% p) \% n$$
.

 $\square$  You can prove that if x and y are random members from U, then

$$\mathbb{P}\left(h_{a,b}(x) = h_{a,b}(y)\right) \le 1/n. \tag{1}$$

■ Mitzenmacher, and Upfal [2005, Lemma 13.6] prove (1).

```
Improving our Hash Functions
```

```
public class IntTable {
    final Random rand;
    int[] array;
   int a, b, m, p;
    public IntTable( int size, int m ) {
        array = new int[ size ];
        rand = new Random();
        this.m = m:
        computeNewHashFunctionConstants();
    private void computeNewHashFunctionConstants() {
        p = randomPrime( m ):
        a = 1 + rand.nextInt(p - 2):
        b = rand.nextInt( p + 1 );
    // ASSUMPTION O <= x < m.
    private int hashFunction( int x ) {
        final int n = array.length;
        return ((a * x + b) % p) % n;
    ...
```

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- □ A perfect hash function maps each key to a unique index.
- Non-perfect hash function may result in collisions.
  - They cannot be avoided.
- If a collision occurs when adding a key,
  - We must resolve the collision.
- There are two techniques:
  - Open addressing: Use a different, free index.
    - Buckets: Allow multiple keys per index.

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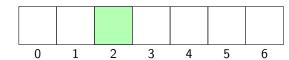
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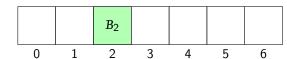
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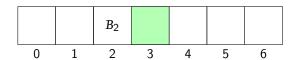
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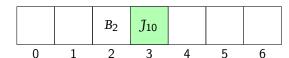
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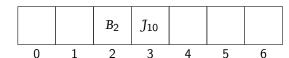
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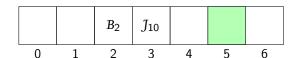
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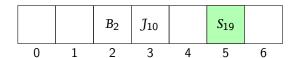
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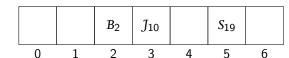
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- $\blacksquare$  Let n > 2 be a prime and let's assume we have an n-sized array
- $\square$  Furthermore, let's assume we want to insert a key, k.
- However, this time a collision occurs.

that isn't full.

- To resolve the collision we need to find a free cell.
- We start at h(k), next visit (h(k) 1) % n, visit (h(k) 2) % n, ....
- Eventually, we should find some free cell.
- This collision resolution policy is called linear probing.

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- Linear probing visits a sequence of occupied and free indices.
- □ The sequence of occupied cells is called the *probe sequence*.
- The key, k, and the hash function determine the sequence's first index: h(k).
- The remaining indices are determined by the collision resolution policy.
- lacksquare The *i*th next index is  $(h(k) i \times p(k)) \% n$ ,
  - Where  $p(\cdot)$  is the probe decrement function.
- For linear probing the probe decrement function is given by p(k) = 1.

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- When removing a value we shouldn't break a probe sequence.
- $\blacksquare$  E.g. let's assume we remove  $B_2$  by making Cell 2 empty.
- If make it empty we'll have problems locating  $X_{24}$ :
  - $\square$  A free cell in the sequence should indicate that  $B_2$  isn't in the table.

N <sub>14</sub>	X <sub>24</sub>	B <sub>2</sub>	$J_{10}$		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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- $\square$  If make it empty we'll have problems locating  $X_{24}$ :
  - $\blacksquare$  A free cell in the sequence should indicate that  $B_2$  isn't in the table.

N <sub>14</sub>	X <sub>24</sub>		J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
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- $\square$  If make it empty we'll have problems locating  $X_{24}$ :
  - $\blacksquare$  A free cell in the sequence should indicate that  $B_2$  isn't in the table.

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- When removing a value we shouldn't break a probe sequence.
- $\blacksquare$  E.g. let's assume we remove  $B_2$  by making Cell 2 empty.
- $\square$  If make it empty we'll have problems locating  $X_{24}$ :
  - $\blacksquare$  A free cell in the sequence should indicate that  $B_2$  isn't in the table.

N <sub>14</sub>	X <sub>24</sub>		J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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N <sub>14</sub>	X <sub>24</sub>		J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
Λ	1	2	3	4	5	6

### Removing an Item:

- $\blacksquare$  Instead of making  $B_2$ 's cell available, we mark it  $\bot$ .
  - $\square$  When looking for items we treat  $\bot$  as a used cell.
  - $\blacksquare$  When looking for free cells we treat  $\bot$  as a free cell.

N <sub>14</sub>	X <sub>24</sub>	B <sub>2</sub>	J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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### Removing an Item: Remove $B_2$

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0	1	2	3	4	5	6

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N <sub>14</sub>	X <sub>24</sub>	1	J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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N <sub>14</sub>	X <sub>24</sub>	1	J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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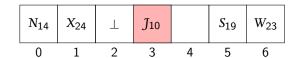
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- $\blacksquare$  Instead of making  $B_2$ 's cell available, we mark it  $\bot$ .
  - $lue{}$  When looking for items we treat  $lue{}$  as a used cell.
  - $\blacksquare$  When looking for free cells we treat  $\bot$  as a free cell.



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- $\blacksquare$  Instead of making  $B_2$ 's cell available, we mark it  $\bot$ .
  - $lue{}$  When looking for items we treat  $oldsymbol{}$  as a used cell.
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N <sub>14</sub>	X <sub>24</sub>	1	J <sub>10</sub>		S <sub>19</sub>	W <sub>23</sub>
0	1	2	3	4	5	6

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- Linear probing is not a good collision resolution policy.
- It tends to lead to clusters, which in their turn lead to bigger clusters. ....
- With large clusters you get long probe sequences.
- The larger the clusters get the faster they grow.
- In general it is better to have different probe decrements for different keys.
- This is called double hashing.

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- With double hashing we have two hash functions.
  - $\square$   $h_1(k)$  should be random index.
  - $\blacksquare$   $h_2(k)$  should be a random probe decrement as a function of k.
- $\square$  We use the two to compute the probe sequence (modulo n):

$$h_1(k)$$
,  $h_1(k) - h_2(k)$ ,  $h_1(k) - 2h_2(k)$ ,  $h_1(k) - 3h_2(k)$ , . . .

- Square hopping should still work with  $h_2(\cdot)$ .
  - Therefore  $h_2(k)$  should be relative prime to n.
- $\square$  Usually, n is a power of two.
- □ If n is a power of two ands  $h_2(k)$  is odd,
  - □ Then  $h_2(k)$  and n are relative prime [Cormen et al. 2001].

### Limitations of Open Addressing

- Frequent additions cause clustering.
- Frequent additions will eventually fill the table.
- Both problems may be overcome by resizing the table.
- Java has many classes based on hashing.
- ☐ Many re-size themselves so as to ensure good performance.

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- Another collision resolution strategy is separate chaining.
- □ It changes the structure of the hash table.
- Table locations now store *multiple* values.
- Each non-empty table index now has a *bucket*.
- Initially all buckets are empty.
- When a key is mapped to a location,
  - We add it to the location's bucket.
- □ A possible implementation for the bucket is a linked list.
- Separate chaining provides a simple way to resolve collisions.
- However, it requires more memory than open addressing.

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## Example

Letters Left:  $B_2$ ,  $J_{10}$ ,  $S_{19}$ ,  $N_{14}$ ,  $X_{24}$ ,  $W_{23}$ 

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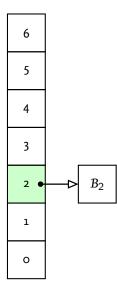
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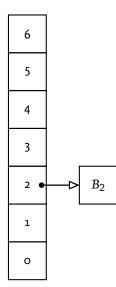
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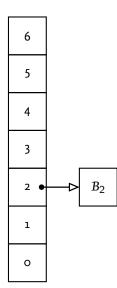
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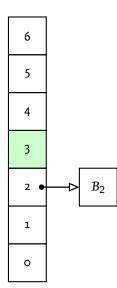
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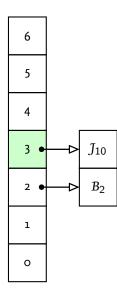
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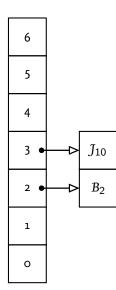
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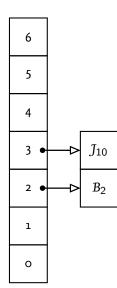
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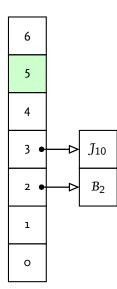
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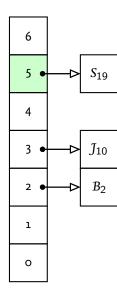
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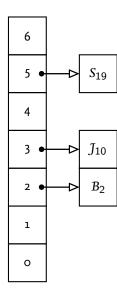
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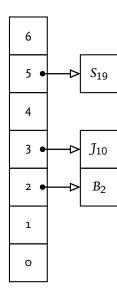
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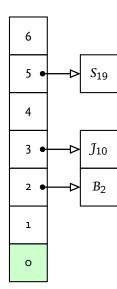
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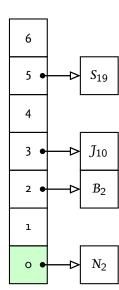
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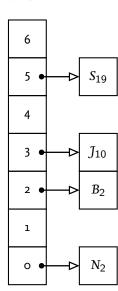
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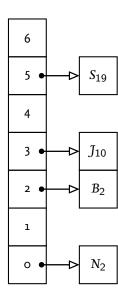
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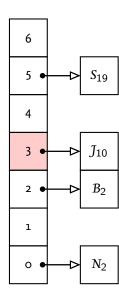
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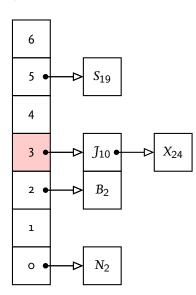
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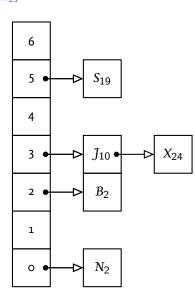
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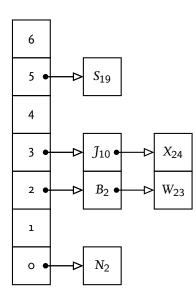
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## For Wednesday

- Study [Horstmann 2013, Chapter 14.1–14.4].
- Read [Horstmann 2013, Chapter 15.4].

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## Acknowledgements

- Parts of this lecture correspond to [Horstmann 2013, Chapter 14.1–14.4].
- Overriding hashCode() is based on [Bloch 2008, Item 9].
- The part about random hashing is based on [Mitzenmacher, and Upfal 2005].
- The running linear probing example is based on [Standish 1994, Chapter 11].

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Acknowledgements

- □ This document was created with pdflatex.
- The LATEX document class is beamer.