Non-cyanobacterial diazotrophs dominate dinitrogen fixation in biological soil crusts at the early stage of crust formation.

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1 ABSTRACT

Biological soil crusts (BSC) cover a vast global area and are key components of ecosystem productivity in arid soils. In particular, BSC contribute significantly to the nitrogen (N) budget in arid ecosystems via N₂-fixation. N₂-fixation in mature crusts is largely attributed to heterocystous cyanobacteria, however, 4 early successional crusts possess few N-fixing cyanobacteria and this suggests that microorganisms 5 other than cyanobacteria mediate N_2 -fixation during the early stages of BSC development. DNA stable isotope probing (DNA-SIP) with $^{15}N_2$ revealed that *Clostridiaceae* and *Proteobacteria* are the most common microorganisms to assimilate ^{15}N in early successional 'light' crusts. The maximum relative 6 7 abundance of non-cyanobacterial ¹⁵N₂-assimilating taxa in environmental BSC SSU rRNA gene sequence 9 collections was 0.00225% and 0.00127% for taxa that belong to Clostridiaceae and Proteobacteria, 10 respectively. Their low abundance may explain why these heterotrophic diazotrophs have not previously 11 been characterized in BSC. Diazotrophs play a critical role in BSC formation and characterization of these organisms represents a crucial step towards understanding how antropogenic change will effect the formation and ecological function of BSC in arid ecosystems.

2 INTRODUCTION

Biological soil crusts (BSC) are specialized microbial mat communitites that form at the soil surface in arid environmets and fill a variety of important ecological functions in arid ecosystems. BSC occupy plant interspaces and cover a wide, global geographic range (Garcia-Pichel et al., 2003b). The ground cover 17 of BSC on the Colorado Plateau has been measured as high as 80% by remote sensing (Karnieli et al., 18 2003). The global biomass of BSC Cyanobacteria alone is estimated at 54 x 10¹² g C (Garcia-Pichel 19 et al., 2003b). BSC play important roles in arid ecosystem productivity and are responsible for significant 20 nitrogen (N) flux (for review of BSC N₂-fixation see Belnap (2003)). N₂-fixation represents the dominant 21 source of new ecosystem N in more than 80% of BSC from diverse sites across North America, Africa, 22 and Australia (Evans and Belnap, 1999), while atmospheric N deposition was a dominant source of N in 23 only a minority of sites. The presence of BSC is positively correlated with vascular plant survival due in 24 part to BSC ecosystem N contributions (for review of BSC-vascular plant interactions see Belnap et al. 25 (2003)). Climate change and disturbance could alter BSC microbial community structure/membership and therefore it is possible that there will also be changes in diazotroph diversity and N₂-fixation and that 27 these changes can alter the BSC N-budget.

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BSC N₂-fixation rate studies (typically employing the acetylene reduction assay (ARA)) have explored BSC diazotroph activity across various ecological gradients. Reported BSC N2-fixation rates vary significantly across samples and studies (Evans and Lange, 2001). The reasons for inter-site and interstudy variability are complex and likely include the spatial heterogeneity of BSC (Evans and Lange, 2001) and the impact of recent environmental change on N₂-fixation rates (see Belnap (2001) for discussion). Moreover, the ARA assay is subject to methodological artifacts that can complicate making robust comparisons across sample types that differ in physical and biological characteristics (see Belnap (2001) for review). Nonetheless, N₂-fixation rates are consistently higher in mature BSC than in young, early successional BSC (Belnap, 2002; Yeager et al., 2004). This difference may be due to the proliferation of heterocystous Cyanobacteria in older mats and is consistent with the theory that heterocystous Cyanobacteria provide the main source of fixed-N in BSC. Alternatively, the N₂-fixation rate differences between young and old BSC might be attributable to methodological artifacts. For instance, Johnson et al. (2005) show that N₂-fixation in mature mats is maximal at the crust surface (coincident with heterocystous cyanobacteria) while it is maximal below the crust surface in early successional BSC. Diffusional limitation can potentially cause ARA to underestimate N₂-fixation which occurs below the crust surface and as a result ARA may systematically underestimate rates of N₂-fixation in early successional BSC. Diffusion would not be an issue when measuring N₂-fixation rates in mature crust as nitrogenase activity peaks near the surface. Differences of N₂ fixation rates between developing and mature BSC were not statistically significant when aerial rates were estimated by integrating across ARA performed on thin (1-3mm) slices across a BSC depth profile Johnson et al. (2005).

Molecular studies of BSC microbial diversity include explorations of the BSC microbial community vertical profile (Garcia-Pichel et al., 2003a), BSC *nifH* gene content surveys (e.g. Yeager et al. (2004), Yeager et al. (2012), Yeager et al. (2006) and Steppe et al. (1996)), and next-generation-sequencing (NGS) enabled studies of BSC SSU rRNA gene content across wide geographic ranges (Garcia-Pichel et al., 2013; Steven et al., 2013). Early successional BSC are often described as "light" in appearance relative to "dark" mature BSC (Belnap, 2002; Yeager et al., 2004). Mature BSC possess greater numbers of heterocystous *Cyanobacteria* (i.e. *Scytonema*, *Spirirestis*, and *Nostoc* (Yeager et al., 2006, 2012)) than developing BSC but both young and old BSC are dominated by non-heterocystous *Cyanobacteria* (*Microcoleus vaginatus* or *M. steenstrupii*) (Yeager et al., 2004; Garcia-Pichel et al., 2013). Heterocystous *Cyanobacteria* are the numerically dominant BSC diazotrophs in *nifH* clone libraries (Yeager et al., 2006, 2004, 2012). Eighty-nine perent of 693 *nifH* sequences derived from Colorado Plateau and New Mexico BSC samples as heterocystous cyanobacterial (non-cyanobacterial *nifH* sequences were largely attributed to alpha- and beta- *proteobacteria*) Yeager et al. (2006). However, an early survey of Colorado Plateau BSC *nifH* diversity recovered *nifH* genes related to *Gammaproteobacteria* as well as a clade that included *nifH* genes from the anaerobes *Clostridium pssteurianum*, *Desulfovibrio gigas* and *Chromatium buderi*,

The influence of microbial community membership and structure on BSC N₂-fixation is an ongoing research question (Belnap, 2013). While the presence/abundance of heterocystous *Cyanobacteria* has been proposed as the mechanism behind increased N₂-fixation in mature BSC, it is unclear if mature BSC actually fix more N than early successional BSC (see Johnson et al. (2005)). More studies are necessary to elucidate the microbial membership influence on BSC N₂-fixation and to determine if heterocystous *Cyanobacteria* are the only keystone diazotrophs. The first step in defining structure function relationships with respect to N₂-fixation is a full accounting of BSC diazotrophs. Towards this end we conducted ¹⁵N₂ DNA stable isotope probing (DNA-SIP) experiments with light, developing Colorado Plateau BSC. DNA-SIP with ¹⁵N₂ has not been attempted with BSC. DNA-SIP provides an accounting of *active* diazotrophs whereas *nifH* clone libraries account for microbes with the genomic potential for N₂-fixation. Further, we investiage the distribution of these active diazotrophs through collections of SSU rRNA gene sequences from BSC NGS microbial diversity surveys over a range of spatial scales and soil types (Garcia-Pichel et al., 2013; Steven et al., 2013).

3 RESULTS

3.1 ORDINATION OF CSCL GRADIENT FRACTION SSU RRNA SEQUENCE COLLECTIONS SHOWS HEAVY FRACTIONS FROM CONTROL AND LABELED CSCL GRADIENTS ARE DIFFERENT

BSC were incubated for 4 days in the presence or absence of ¹⁵N₂ and DNA was extracted for DNA-SIP at 2 and 4 days. Fractionation of CsCl gradients permitted separation of DNA on the basis of buoyant density. Ordination of Bray-Curtis (Bray and Curtis, 1957) distances between SSU-rRNA amplicon sequence collections from gradient fractions reveals that labeled gradient fraction (i.e. gradient fractions of DNA from ¹⁵N₂ incubations) sequence collections diverge from control (i.e. DNA from incubations without ¹⁵N₂) at the "heavy" of the CsCl gradients (Figure 1 and Figure S2). Differences among label/control groups with heavy fractions are statistically significant by the Adonis test (p-value: 0.001, r²: 0.18) (Anderson, 2001).

3.2 OTUS RESPONSIVE TO $^{15}\mathrm{N}_2$ ARE PRIMARILY *PROTEOBACTERIA* AND *CLOSTRIDIACEAE*

A statistically significant increase in OTU abundance in heavy fractions of ¹⁵N₂ labeled samples relative to corresponding control fractions provides evidence for OTUs that have incorporated ¹⁵N into their 86 DNA. Specifically, we compared OTU proportion means between labeled and control samples from 87 heavy gradient fractions using statistics developed to find differentially expressed genes with RNASeq data (McMurdie and Holmes, 2014; Love et al., 2014). OTUs that incorporated ¹⁵N into DNA and increased in bouyant density were identified by rejecting the the null hypothesis that the labeled versus control proportion mean ratio for an OTU was below a chosen threshold (see methods). p-values were 91 adjusted by the BH method (Benjamini and Hochberg, 1995) and we used a false discovery rate (FDR) 92 cutoff of 0.10 (typical FDR threshold in gene expression data analysis). A total of 2,127 and 2,160 93 OTUs were detected in days 2 and 4, respectively, and interrogated for evidence of ¹⁵N₂-labelling. Of 94 these OTU, only 208 and 233, respectively, passed a sparsity threshold we applied as an independent 95 filtering step to pre-screen out OTUs not likely to produce significant p-values (see Love et al. (2014) 96 for discussion of independent filtering). Of OTUs passing sparsity criteria 38 were found to be enriched 97 significantly in "heavy" fractions relative to control. These OTUs likely incorporated ¹⁵N into DNA (¹⁵N₂ 98 "responders"). Of these 38, 26 are annotated as Firmicutes, 9 as Proteobacteria, 2 as Acidobacteria and 99 1 as Actinobacteria (Figure 3, Figure 2). If the responder OTUs are ranked by descending, moderated 100 proportion mean labeled:control ratios, the top 10 ratios (i.e. the 10 OTUs that were most enriched in 101 the labeled gradients considering only heavy fractions) are either Firmicutes (6 OTUs) or Proteobacteria 102 (4 OTUs) (Figure 4). Centroid sequences of strongly responding *Proteobacteria* OTUs all share high 103 sequence identity (>98.48%, Table 1) with cultivars from genera known to possess diazotrophs including 104 Klebsiella, Shigella, Acinetobacter, and Ideonella. None of the Firmicutes OTUs in the top 10 responders 105 share greater than 97% sequence identity with sequences in the LTP database (release 115) (see Table 1). 106 OTUs that passed the sparsity threshold but were not classified as ¹⁵N-responsive were subsequently 107 tested against the null hypothesis that the OTU proportion mean ratio was above the selected threshold. 108 Rejecting the second null would indicate an OTU did not incorporate ¹⁵N into biomass. There were 58 109 and 70 "non-responders" at days 2 and 4, respectively. OTUs that did not pass sparsity or could not be 110 classified as either a responder or non-responder are simply ambiguous with respect to ¹⁵N labelling. 111

3.3 15N-RESPONSIVE OTUS IN ENVIRONMENTAL SAMPLES

- Five of the 6 Firmicutes with the strongest response to 15 N-labelling (Table X) belong in the Clostridiacea.
- 113 We only observed one of these strongly responding *Clostridiaceae* in the data presented by Garcia-Pichel
- et al. (2013), "OTU.108" (closest BLAST hit in LTP Release 115 Caloramotor proteoclasticus, BLAST

%ID 96.94, Accession X90488). OTU.108 was found in two samples both characterized as "light" crust. 115 One other Clostridiaceae OTU with a proportion mean ratio (labeled:control) p-value less than 0.10 but 116 outside the top 10 responders was found in the Garcia-Pichel et al. (2013) data (a "light" crust sample) 117 (Figure 2). None of the strongly responding Clostridiacea were found in the sequences provided by Steven 118 et al. (2013). *Clostridiaceae* ¹⁵N-responder OTU are not closely related to cultivars. (Table 1, Figure 5). 119 One of the proteobacterial OTUs with the strongest ¹⁵-N response (Table X) was found in Garcia-Pichel 120 et al. (2013) (closest BLAST hit in LTP Release 115, BLAST %ID 100, Accession ZD3440, Acinetobacter 121 johnsonii). None of the strongly responding Protebacteria OTUs were found in the Steven et al. (2013) 122 sequences. Responder OTUs were found in Steven et al. (2013) samples 133 times. 83 were in "below 123 crust" samples, 50 in crust samples (see Figure 2). Two ¹⁵N-responsive OTUs were found in an extensive 124 number of environmental samples (61 of 65 samples from the combined data sets of Garcia-Pichel et al. 125 (2013) and Steven et al. (2013)). Both OTUs were annotated as Acidobacteria but shared little sequence 126 identity to any cultivar SSU rRNA gene sequences in the LTP (Release 115), with best LTP BLAST hits 127 of 81.91 and 81.32% identity. Additionally, the ¹⁵N-response for each OTU was weak relative to other 128 putative responders (3. Of the remaining 36 stable isotope responder OTUs, only 14 were observed in the 129 130 environmental data (Figure 2, Figure S5).

3.4 COMPARING SEQUENCE COLLECTIONS AT "STUDY"-LEVEL

We compared the sequences determined in this study to two previous surveys of SSU rRNA amplicons 131 from BSC communities: the Garcia-Pichel et al. (2013) and Steven et al. (2013) study. There were 3,079 132 133 OTUs (209,354 total sequences after quality control) in the DNA-SIP data, 3,203 OTUs (129,033 total sequences after quality control) in the Garcia-Pichel et al. (2013) study, and 2,481 OTUs (129,358 total 134 sequences after quality control) in the Steven et al. (2013) study. Of the 4,340 OTU centroids established 135 136 for this study (including sequences from Steven et al. (2013) and Garcia-Pichel et al. (2013)) 445 have matches in the Living Tree Project (LTP) (a collection of 16S gene sequences for all sequenced type 137 strains (Yarza et al., 2008)) at greater or equal than 97% sequence identity (LTP version 115). That is, 445 138 139 of 4,340 OTUs (10%) are closely related to cultivars. The DNA-SIP data set shares 56% OTUs with the Steven et al. (2013) data and 46% of OTUs with the Garcia-Pichel et al. (2013) data (where total OTUs 140 are from the combined data for each pairwise comparison). The Steven et al. (2013) and Garcia-Pichel 141 142 et al. (2013) studies share 46% of OTUs. Cyanobacteria and Proteobacteria were the top two phylumlevel sequence annotations for all three studies of BSC. Only the DNA-SIP data had more *Proteobacteria* 143 annotations than Cyanobacteria. Proteobacteria represented the 29.8% of sequence annotations in DNA-144 SIP data as opposed to 17.8% and 19.2% for the Garcia-Pichel et al. (2013) and Steven et al. (2013) 145 data, respectively. There is a stark contrast in the total percentage of sequences annotated as Firmicutes 146 between the raw environmental samples and the DNA-SIP data. Firmicutes represent only 0.21% and 147 0.23% of total phylum level sequence annotations in the Steven et al. (2013) and Garcia-Pichel et al. 148 149 (2013) studies, respectively (Figure S1). In the DNA-SIP sequence collection *Firmicutes* make up 19% of phylum level sequence annotations. Also in sharp contrast for the DNA-SIP versus environmental data is 150 the number of putative heterocystous Cyanobacteria sequences. Only 0.29% of Cyanobacteria sequences 151 in the DNA-SIP data are annotated as belonging to "Subsection IV" which is the heterocystous order of 152 Cyanobacteria in the Silva taxonomic nomenclature (Pruesse et al., 2007). In the Steven et al. (2013) and 153 Garcia-Pichel et al. (2013) studies 15% and 23%, respectively, of Cyanobacteria sequences are annotated 154 as belonging to "Subsection IV". 155

4 DISCUSSION

156 BSC N-fixation has long been attributed to heterocystous *Cyanobacteria* and molecular surveys of BSC 157 *nifH* gene content have been consistent with this hypothesis finding cyanobacterial *nifH* types to be 158 numerically dominant in *nifH* gene libraries from BSC (Yeager et al., 2006, 2004, 2012). However, ¹⁵N₂

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159 DNA-SIP revealed non-cyanobacterial microbes fixed N₂ in early successional BSC samples. After 2 and 4 incubation days in the presence or absence of $^{15}N_2$ in microcosm headspace, DNA from early successional BSC samples was collected and separated by bouyant density in CsCl density gradients. 160 161 Heavy CsCl gradient fractions from gradients with ¹⁵N-labelled DNA were different in phylogenetic 162 membership/structure than heavy fractions with unlabeled DNA (Figure X). Further, heavy gradient 163 fractions clustered by DNA type (labeled or unlabeled) (Figure X). Therefore, headspace ¹⁵N₂ in early 164 successional BSC microcosms was incorporated into biomass, and, the specific OTUs that incorporated 165 ¹⁵N (from ¹⁵N₂) into biomass would be enriched in labeled gradient heavy fractions relative to control. 166 Proteobacteria and Clostridiaceae represented most OTUs enriched in DNA from labeled gradient heavy 167 fractions relative to control as revealed by a robust statistical framework for quantifying and evaluating 168 differential OTU abundance in microbiome studies (CITE McMurdie), Additionally, Proteobacteria 169 and Clostridiaceae represented OTUs that most strongly responded to ¹⁵N among all responders. SIP 170 places focus upon organisms based on isotope incorporation and has the ability to detect activity by 171 low abundance members of the community. DNA from OTUs that incopororate ¹⁵N into their biomass 172 moves towards the heavy end of the CsCl gradient and therefore OTUs in "labeled" DNA are enriched 173 in the full data pool relative to bulk DNA. Phylum-level taxonomic annotations of $^{15}\mathrm{N}$ -responsive OTUs 174 (i.e. Firmicutes and Proteobacteria) are enriched in the DNA-SIP data relative to environmental data 175 (Figure S1). 176

It is possible that PCR-driven molecular surveys of *nifH* gene content have been biased. We propose three mechanisms that could bias nifH clone libraries against heteroptophic diazotrophs. First, polyploidy in Cyanobacteria (Griese et al., 2011) would inflate the representation cyanobacteria in community DNA beyond their cell number representation. Second, *nifH* PCR primers could be biased against heterotrophic diazotrophs. In general the nifH PCR primers used by Yeager et al. (2006, 2004, 2012) ("19F" and "nifH3") for the first round of nested PCR have broad specificity and display at least 86% in silico coverage for Proteobacteria, Cyanobacteria and "Cluster III" (which includes clostridial nifH) reference nifH sequences (Gaby and Buckley, 2012). In the second round of the nested PCR protocol (Yeager et al., 2006, 2004, 2012), primer "nifH11" is biased against "Cluster III" (50% in silico coverage of reference nifH sequences), Proteobacteria (79% coverage) and Cyanobacteria (67% coverage), and, primer "nifH22" matches *Proteobacteria*, Cyanobacteria and "Cluster III" reference sequences poorly (16%, 23% and 21% in silico coverage, respectively) (Gaby and Buckley, 2012). Unfortunately, it is difficult to assess or quantify this bias (in either direction) without knowing the nifH gene content de novo. Third, heterocysts (the specialized N-fixing cells along the trichome of filamentous heterocystous Cyanobacteria such as Nostoc and Scytonema) may be overrepresented with respect to non-cyanobacterial diazotrophs because heterocysts make up a fraction of cells along a trichome and even the non-heterocyst cells in the trichome will possess the $nif\bar{H}$ gene. As a result of polyploidy and the frequency of heterocysts in a cyanobacterial filament, the ratio of cyanobacterial to heterotroph nifH gene copies may be on the order of 10²-10³ higher than the ratio of heterocysts to heterotrophic diazotroph cells. Regardless, our results suggest that BSC N-fixation may include a significant non-cyanobacterial component that requires further assessment across a more comprehensive sampling of BSC types.

We did not observe evidence for N-fixation by heterocystous *Cyanobacteria* in the early successional BSC samples used in this study. One possible explanation for our results is that the early successional BSC samples used in this study possessed too few heterocystous *Cyanobacteria* to statistically evaluate their ¹⁵N-incorporation. Indeed, only 0.29% of sequences from this study's DNA-SIP 16S rRNA gene sequence libraries were from heterocystous *Cyanobacteria* (see results) as opposed to 15% and 23% of total sequences in the Steven et al. (2013) and Garcia-Pichel et al. (2013) data, respectively. Nonetheless, we would still expect even low abundance diazotrophs to show evidence for ¹⁵N-incorporation, provided sequence counts were not too sparse in heavy fractions. The OTUs defined by selected heterocystous *Cyanobacteria* sequences presented in Yeager et al. (2006), however, all fall below the sparsity threshold used in our analysis (see methods). Given the sparsity of heterocystous *Cyanobacteria* sequences in the DNA-SIP data set, it is not possible to assess whether heterocystous *Cyanobacteria* incorporated

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¹⁵N during the incubation. It should be noted that "light" and in particular "sub-biocrust" samples possess much less heterocystous *Cyanobacteria* in general (Figure S3) so the samples used in this study are not necessarily unrepresentative of typical poorly developed BSC simply because they are lacking heterocystous *Cyanobacteria*.

The OTUs that did appear to incorporate ¹⁵N during the incubation were predominantly *Proteobacteria* and *Firmicutes*. The *Proteobacteria* OTUs for which ¹⁵N-incorporation signal was strongest all shared high sequence identity (>=98.48%) with 16S sequences from cultivars in genera with known diazotrophs (Table 1). The *Firmicutes* that displayed signal for ¹⁵N-incorporation (predominantly *Clostridiaceae*) were not closely related to any cultivars (Table 1, Figure 5). These BSC *Clostrodiaceae* diazotrophs represent a gap in culture collections. As culture-based ecophysiological studies have proven useful towards explaining ecological phenomena in BSC 16S rRNA gene sequence libraries (Garcia-Pichel et al., 2013), it would seem that these putative *Clostridiaceae* diazotrophs would be prime candidates for targeted culturing efforts. Assessing the physiological response of these diazotrophic *Clostridiaceae* to temperature would be useful for predicting how climate change will affect the BSC nitrogen budget.

Gamma-proteobacteria and spore-forming Firmicutes are classic opportunistic lineages that would presumably be suited to the boom/bust BSC environment. The compatible solutes produced and secreted by cyanobacteria in response to dessication and subsequent wetting would create C-rich environment after wetting. Diazotrophs would be uniquely suited to respond quickly in high C:N conditions.

Although too undersampled in the environmental data sets to reach statistical conclusions, ¹⁵N-responsive OTUs were found more often in sub-crust or "light" BSC samples (Figure 2 and Figure S5). This result generates some hypotheses that are counter to prior discussions regarding BSC diazotroph temporal dynamics. Specifically, the transition of BSC from a light colored, developing crust to a dark, mature crust may not mark the *emergence* of diazotrophs in BSC but rather the *transition* of the diazotroph community from heterotroph dominance to cyanobacteria. Additionally, the soil beneath BSC may contribute significantly to the N budget in arid ecosystems.

235 Rarefaction curves of all samples from Steven et al. (2013) and Garcia-Pichel et al. (2013) are still sharply increasing especially for "below crust" samples (Figure S4). Parametric richness estimates of BSC 236 diversity indicate the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequencing efforts recovered on 237 average 40.5% (sd. 9.99%) and 45.5% (sd. 11.6%) of existing 16S OTUs from samples (inset Figure S4), 238 respectively. Further, the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequence collections only 239 share 57.6% of total OTUs found in at least one of the studies. In fact, this study shares more OTUs with 240 Steven et al. (2013), 62.4% of OTUs in the combined data, than the Steven et al. (2013) study shares with 241 Garcia-Pichel et al. (2013). Therefore, is not alarming that few of the ¹⁵N-responsive OTUS were found 242 243 by Garcia-Pichel et al. (2013) and Steven et al. (2013). Even next-generation sequencing efforts of BSC 16S rRNA genes have only shallowly sampled the full diversity of BSC microbes. 244

4.1 CONCLUSION

Heterocystous Cyanobacteria are key contributors to the BSC N-budget, but, the ¹⁵N-responsive OTUs 245 found in this study and the nifH gene sequences from Steppe et al. (1996) in addition to the N-fixation 246 247 rate data presented by Johnson et al. (2005) suggest there may be significant non-cyanobacterial BSC diazotrophs specifically within the Clostrideaceae and Proteobacteria. It seems clear that heterocystous 248 Cyanobacteria increase in abundance with BSC age (Yeager et al., 2004). It is less clear if this transition 249 marks the emergence of diazotrophy versus a re-structuring of the BSC diazotroph community from 250 one dominated by Firmicutes and Proteobacteria to one predominantly heterocystous Cyanobacteria. 251 DNA-SIP is a valuable tool in the molecular microbial ecologist's toolbox for identifying members of 252 microbial community functional guilds (Neufeld et al., 2007). PCR-based surveys of diagnostic marker 253 genes and DNA-SIP are both used to connect microbial phylogenetic types to microbial activities, but 254 they occupy a non-overlapping set of strengths and weaknesses. DNA-SIP does not focus on a specific 255

- 256 diagnostic marker but does identify active players in the studied process (i.e. N-fixation). Combined these
- 257 tools can powerfully reveal connections between ecosystem membership/structure and function. Here we
- 258 supplement previous surveys of BSC *nifH* diversity, a diagnostic marker PCR-driven approach, with $^{15}N_2$
- 259 DNA-SIP, While we do not confirm previous results, we expand knowledge of BSC diazotroph diversity.
- 260 Predicting BSC N-fixation with respect to climate change, althered precipitation regimes and physical
- 261 disturbance requires a careful accounting of diazotrophs including non-cyanobacterial types.

5 MATERIALS AND METHODS

5.1 BSC SAMPLING AND INCUBATION CONDITIONS

- 262 Light crust samples (37.5 cm², average mass 35 g) were incubated in sealed chambers under controlled
- 263 atmosphere and in the light for 4 days. Crusts were dry prior to time zero and were wetted at initiation of
- 264 experiment. Treatments included control air (unenriched headspace) and enriched air (>98% atom $^{15}N_2$)
- headspace. Samples were taken at 2 days and 4 days incubation. Acetylene reduction rates were measured
- 266 daily. DNA was extracted from 1 g of crust. Samples were taken from Green Butte, Arizona as previously
- described (site CP3, Beraldi-Campesi et al. (2009)). All samples were from light crusts as described by
- 268 Johnson et al. (2005).

5.2 DNA EXTRACTION

- 269 DNA from each sample was extracted using a MoBio PowerSoil DNA Isolation Kit (following
- 270 manufacturers protocol, but substituting a 2 minute bead beating for the vortexing step), and then gel
- purified. Extracts were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes).

5.3 DNA-SIP

- 272 Gradient density centrifugation of DNA was undertaken in 4.7 mL polyallomer centrifuge tubes in a
- 273 TLA-110 fixed angle rotor (both Beckman Coulter) in CsCl gradients with an average density of 1.725
- 274 g/mL. Average density for all prepared gradients was checked with an AR200 refractometer before runs.
- 275 Between 2.5-5 μ g of DNA extract was added to the CsCl solution (15mM Tris-HCl, pH 8; 15mM EDTA;
- 276 15mM KCl), and gradients were run under conditions of 20C for 67 hours at 55,000 rpm (Buckley et al.).
- 277 Centrifuged gradients were fractionated from bottom to top in 36 equal fractions of 100 μ L, using a by
- 278 syringe pump as described Manefield et al. (2002). The density of each fraction was determined using
- 270 spring on A 2000 referencement and district spring of the control of the cont
- 279 using an AR200 refractometer modified to accomidate 5ul samples (Buckley et al.). DNA in each fraction
- 280 was desalted on a filter plate (PALL, AcroPrep Advance 96 Filter Plate, Product Number 8035), using four
- 281 washes with 300μ L TE per fraction. After each wash, the filter plate was spun at 500 g for 10 minutes,
- 282 with a final spin of 20 minutes. Fractions were resuspended in 50 uL of TE buffer.

5.4 PCR, LIBRARY NORMALIZATION AND DNA SEQUENCING

- 283 Barcoded PCR of bacterial and archaeal 16S rRNA genes, in preparation for 454 Pyrosequencing, was
- 284 carried out using primer set 515F/806R (Walters et al., 2011) (primers purchased from Integrated DNA
- Technologies). The primer 806R contained an 8 bp barcode sequence, a "TC" linker, and a Roche 454
- 286 B sequencing adaptor, while the primer 515F contained the Roche 454 A sequencing adapter. Each
- 287 25 μ L reaction contained 1x PCR Gold Buffer (Roche), 2.5 mM MgCl₂, 200 μ M of each of the four
- 288 dNTPs (Promega), 0.5 mg/mL BSA (New England Biolabs), 0.3 μ M of each primers, 1.25 U of Amplitaq
- 289 Gold (Roche), and 8 μ L of template. Each sample was amplified in triplicate. Thermal cycling occurred
- 290 with an initial denaturation step of 5 minutes at 95C, followed by 40 cycles of amplification (20s at
- 250 with an initial culaturation step of 5 limites at 55°C, followed by 40 cycles of aniphineation (208 at
- 291 95C, 20s at 53C, 30s at 72C), and a final extension step of 5 min at 72C. Triplicate amplicons were
- 292 pooled and purified using Agencourt AMPure PCR purification beads, following manufacturers protocol.

- 293 Once cleaned, amplicons were quantified using PicoGreen nucleic acid quantification dyes (Molecular
- 294 Probes) and pooled together in equimolar amounts. Samples were sent to the Environmental Genomics
- 295 Core Facility at the University of South Carolina (now Selah Genomics) to be run on a Roche FLX 454
- 296 pyrosequencing machine.

5.5 DATA ANALYSIS

- All code to take raw sequencing data through the presented figures can be found at:
- 298 http://nbviewer.ipython.org/github/chuckpr/NSIP data analysis
- Sequence quality control Sequences were initially screened by maximum expected errors at a 299 specific read length threshold (Edgar, 2013) which has been shown to be as effective as denoising 454 300 reads with respect to removing pyrosequencing errors. Specifically, reads were first truncated to 230 301 nucleotides (nt) (all reads shorter than 230 nt were discarded) and any read that exceeded a maximum 302 303 expected error threshold of 1.0 was removed. After truncation and max expected error trimming, 91% of original reads remained. The first 30 nt representing the forward primer and barcode on high quality, 304 truncated reads were trimmed. Remaining reads were taxonomically annotated using the "UClust" 305 taxonomic annotation framework in the QIIME software package (Caporaso et al., 2010; Edgar, 2010) 306 307 with cluster seeds from Silva SSU rRNA database (Pruesse et al., 2007) 97% sequence identity OTUs as reference (release 111Ref). Reads annotated as "Chloroplast", "Eukaryota", "Archaea", "Unassigned" or 308 "mitochondria" were culled from the dataset. Finally, reads were aligned to the Silva reference alignment 309 provided by the Mothur software package (Schloss et al., 2009) using the Mothur NAST aligner (DeSantis 310 et al., 2006). All reads that did not appear to align to the expected amplicon region of the SSU rRNA gene were discarded. Quality control parameters removed 34,716 of 258,763 raw reads.
- Sequence clustering Sequences were distributed into OTUs using the UParse methodology 313 (Edgar, 2013). Specifically, cluster seeds were identified using USearch with a collection of non-redundant 314 reads sorted by count as input. The sequence identity threshold for establishing a new OTU centroid 315 was 97%. After initial cluster centroid selection, select 16S rRNA gene sequences trimmed to the same alignment positions as the other centroids from Yeager et al. (2006) were added to the centroid collection. 317 Specifically, Yeager et al. (2006) Colorado Plateau or Moab, Utah sequences were added which included 318 the 16S rRNA gene sequences for Calothrix MCC-3A (accession DQ531700.1), Nostoc commune MCT-1 319 320 (accession DQ531903), Nostoc commune MFG-1 (accession DQ531699.1), Scytonema hyalinum DC-A (accession DQ531701.1), Scytonema hyalinum FGP-7A (accession DQ531697.1), Spirirestis rafaelensis 321 LQ-10 (accession DQ531696.1). Centroid sequences that matched selected Yeager et al. (2006) sequences 322 with greater than to 97% sequence identity were subsequently removed from the centroid collection. With 323 324 USearch/UParse, potential chimeras are identified during OTU centroid selection and are not allowed to become cluster centroids effectively removing chimeras from the read pool. All quality controlled reads 325 were then mapped to cluster centroids at an identity threshold of 97% again using USearch. 95.6% of 326 327 quality controlled reads could be mapped to centroids. Unmapped reads do not count towards sample 328 counts and are essentially removed from downstream analyses. The USearch software version for cluster 329 generation was 7.0.1090.
- 5.5.3 Merging data from this study, Garcia-Pichel et al. (2013), and Steven et al. (2013) As only sequences without corresponding quality scores were publicly available from Garcia-Pichel et al. (2013) and Steven et al. (2013), these data sets were only quality screened by determining if they covered the expected region of the 16S rRNA gene (described above). All data (this study, Garcia-Pichel et al. (2013) and Steven et al. (2013)) were included as input to USearch for OTU centroid selection and subsequent mapping to OTU centroids.

- 336 5.5.4 Phylogenetic tree The alignment for the "Clostridiaceae" phylogeny was created using SSU-Align which is based on Infernal (Nawrocki and Eddy, 2013; Nawrocki et al., 2009). Columns in 337 338 the alignment that were not included in the SSU-Align covariance models or were aligned with poor confidence (less than 95% of characters in a position had posterior probability alignment scores of 339 at least 95%) were masked for phylogenetic reconstruction. Additionally, the alignment was trimmed 340 to coordinates such that all sequences in the alignment began and ended at the same positions. The 341 "Clostridiaceae" tree included all top BLAST hits (parameters below) for ¹⁵N Clostridiaceae responders 342 in the Living Tree Project database (Yarza et al., 2008) in addition to BLAST hits within a sequence 343 identity threshold of 97% to ¹⁵N responders from the Silva SSURef_NR SSU rRNA database (Pruesse 344 et al., 2007). Only one SSURef_NR115 hit per study per OTU ("study" was determined by "title" field) 345 was selected for the tree. FastTree (Price et al., 2010) was used to build the tree and support values are 346 347 SH-like scores reported by FastTree.
- Placement of short sequences into backbone phylogeny Short sequences were mapped to the reference backbone using pplacer (Matsen et al., 2010) (default parameters). pplacer finds the edge placements that maximize phylogenetic likelihood. Prior to being mapped to the reference tree, short sequences were aligned to the reference alignment using Infernal (Nawrocki et al., 2009) against the same SSU-Align covariance model used to align reference sequences.
- 5.5.5 BLAST searches BLAST searches were done with the "blastn" program from BLAST+ toolkit (Camacho et al., 2009) version 2.2.29+. Default parameters were always employed and the BioPython (Cock et al., 2009) BLAST+ wrapper was used to invoke the blastn program. Pandas (McKinney, 2012) and dplyr (Wickham and Francois, 2014) were used to parse and munge BLAST output tables.
- 5.5.6 Identifying OTUs that incorporated ¹⁵N into their DNA SIP is a culture-independent approach 357 towards defining identity-function connections in microbial communities (Buckley, 2011; Neufeld et al., 358 2007). Microbes incubated in the presence of ¹³C or ¹⁵N labeled substrates can incorporate the stable 359 heavy isotope into biomass if they participate in the substrate's transformation. Stable isotope labeled 360 nucleic acids can then be separated from unlabeled by buoyant density in a CsCl gradient. As the buoyant 361 density of a macromolecule is dependent on many factors in addition to stable isotope incorporation 362 (e.g. GC-content in nucleic acids (Youngblut and Buckley, 2014)), labeled nucleic acids from one 363 microbial population may have the same buoyant density of unlabeled nucleic acids from another (i.e. 364 each population's nucleic acids would be found at the same point along a density gradient although 365 366 only one population's nucleic acids are labeled). Therefore it is imperative to compare density gradients with nucleic acids from heavy stable isotope incubations to gradients from "control" incubations where 367 everything mimics the experimental conditions except that unlabeled substrates are used (and all DNA 368 would be unlabeled). By contrasting "heavy" density gradient fractions in experimental density gradients 369 (hereafter referred to as "labeled" gradients) against heavy fractions in control gradients, the identities of 370 371 microbes with labeled nucleic acids can be determined
- 372 We used an RNA-Seq differential expression statistical framework (Love et al., 2014) to find OTUs 373 enriched in heavy fractions of labeled gradients relative to corresponding density fractions in control gradients (for review of RNA-Seq differential expression statistics applied to microbiome OTU count data 374 see McMurdie and Holmes (2014)). We use the term differential abundance (coined by McMurdie and 375 Holmes (2014)) to denote OTUs that have different proportion means across sample classes (in this case 376 the only sample class is labeled/control). CsCl gradient fractions were categorized as "heavy" or "light". 377 The heavy category denotes fractions with density values above 1.725 g/mL. Since we are only interested 378 in enriched OTUs (labeled versus control), we used a one-sided z-test for differential abundance (the null 379 hypothesis is the labeled:control proportion mean ratio for an OTU is less than a selected threshold). P-380 381 values were corrected with the Benjamini and Hochberg method (Benjamini and Hochberg, 1995). We selected a log₂ fold change null threshold of 0.25 (or a labeled:control proportion mean ratio of 1.19). 382 DESeq2 was used to calculate the moderated log₂ fold change of labeled:control proportion mean ratios 383

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and corresponding standard errors. Mean ratio moderation allows for reliable ratio ranking such that high variance and likely statistically insignificant mean ratios are appropriately shrunk and subsequently ranked lower than they would be as raw ratios. To summarize, OTUs with high moderated labeled:control proportion mean ratios have higher proportion means in heavy fractions of labeled gradients relative to heavy fractions of control gradients, and therefore have likely incorporated ¹⁵N into their DNA during the incubation.

Although DNA-SIP is a powerful technique, analysis of DNA-SIP data is not without ambiguities. One limitation is the discrete, selected boundary in the form of a adjusted p-value threshold (or false discovery rate) that marks which OTUs we consider to be enriched in the heavy fractions of labeled CsCl gradients (and thus have likely incorporated ¹⁵N into their DNA during the incubation). In reality the metric we use to quantify the magnitude of an OTU's response to a stable isotope is continuous, and there is only an artificial boundary between which OTUs appear to have "responded" and which OTUs have unknown response. For this reason, we have presented all the OTUs that satisfy our "response" criteria but focused on the most strongly responding OTUs. As with any hypothesis-based statistical test, care should be taken when interpreting the significance of results where p-values are near the selected threshold for rejecting the null hypothesis.

5.5.7 Ordination Principal coordinate ordinations depict the relationship between samples at each time point (day 2 and 4). Bray-Curtis distances were used as the sample distance metric for ordination. The Phyloseq (McMurdie and Holmes, 2014) wrapper for Vegan (Oksanen et al., 2013) (both R packages) was used to compute sample values along principal coordinate axes. GGplot2 (Wickham, 2009) was used to display sample points along the first and second principal axes. Adonis tests Anderson (2001) were done with default number of permutations (1000).

5.6 RICHNESS ANALYSES

Rarefaction curves were created using bioinformatics modules in the PyCogent Python package (Knight et al., 2007). Parametric richness estimates were made with CatchAll using only the best model for total OTU estimates (Bunge, 2010).

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6 FIGURES AND LONG TABLES

Table 1.15N responders BLAST against Living Tree Project

OTU ID	Species Names	BLAST %ID
OTU.342	Acinetobacter johnsonii	100.0
OTU.263	Azospirillum picis	98.48
OTU.137	Azospirillum rugosum, A. lipoferum	98.98
OTU.3	Bacillus azotoformans	100.0
OTU.140	Bacillus korlensis, B. beringensis	100.0
OTU.108	Caloramator proteoclasticus	96.94
OTU.61	Clostridium drakei, C. carboxidivorans	95.92
OTU.11	Clostridium drakei, C. carboxidivorans	95.94
OTU.1673	Clostridium drakei, C. carboxidivorans	95.9
OTU.1747	Clostridium hydrogeniformans, C. algidicarnis	94.36
OTU.327	Clostridium hydrogeniformans, C. amylolyticum	94.92
OTU.330	Clostridium lundense	96.94
OTU.75	Clostridium lundense	96.97
OTU.2175	Clostridium paraputrificum, C. lundense	95.96
OTU.643	Clostridium tagluense, C. estertheticum subsp. laramiense, C. estertheticum subsp. estertheticum, C. bowmanii, C. algoriphilum	97.45
OTU.17	Clostridium thiosulfatireducens, C. sulfidigenes, C. subterminale	95.45
OTU.176	Delftia tsuruhatensis, D. lacustris	100.0
OTU.78	Desulfocella halophila, Bryobacter aggregatus	80.31
OTU.55	Desulfocella halophila, Bryobacter aggregatus	81.03
OTU.2404	Domibacillus robiginosus	99.49
OTU.3712	Eubacterium tarantellae, Clostridium perfringens	96.43
OTU.4167	Fonticella tunisiensis	93.43
OTU.4037	Fonticella tunisiensis	93.85
OTU.57	Fonticella tunisiensis, Caloramator proteoclasticus	93.88
OTU.575	Gracilibacter thermotolerans	94.42
OTU.37	Ilyobacter delafieldii, Clostridium nitrophenolicum, C. aciditolerans	96.43
OTU.14	Pantoea rwandensis, P. rodasii, Kluyvera intermedia, K. cryocrescens, Klebsiella variicola, K. pneumoniae subsp. rhinoscleromatis, K. pneumoniae subsp. pneumoniae, Erwinia aphidicola, Enterobacter soli, E. ludwigii, E. kobei, E. hormaechei, E. cloacae subsp. dissolvens, E. cancerogenus, E. asburiae, E. amnigenus, E. aerogenes, Buttiauxella warmboldiae, B. noackiae, B. izardii, B. agrestis	99.49
OTU.259	Parasporobacterium paucivorans	98.47
OTU.321	Pseudomonas beteli	100.0
OTU.54	Shigella sonnei, S. flexneri, Escherichia fergusonii, E. coli	100.0
OTU.116	Streptomyces ziwulingensis, S. viridodiastaticus, S. viridochromogenes, S. violascens, S. violarus, S. violaceorubidus, S. violaceoruber, S. violaceolatus, S. violaceochromogenes, S. vinaceusdrappus, S. variabilis, S. tuirus, S. tricolor, S. thinghirensis, S. tendae, S. spectabilis,	100.0
his is a pr	Osis ilacquille Stratification of the majority of the control of t	14

Figure 1. Ordination of heavy gradient fraction sequence collections by Bray-Curtis distances.

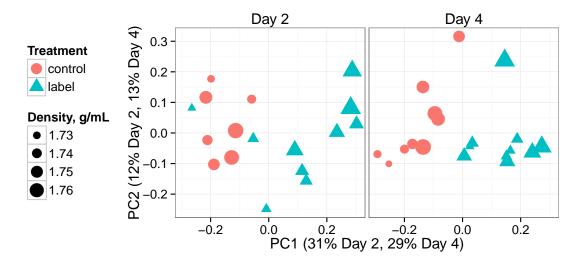


Figure 2. Phylogenetic trees of OTUs passing sparsity threshold for selected phyla. *A)* Point denotes OTU is classified as a 15 N "responder". *B)* Heatmap of moderated \log_2 proportion mean ratios (labeled:control gradients) for each OTU at each incubation day. High values indicate 15 N incorporation. *C)* Presence/absence of OTUs (black indicates presence) in lichen, light, or dark environmental samples (Garcia-Pichel et al., 2013). *D)* Presence/absence of OTUs (black indicates presence) in crust and below crust samples (Steven et al., 2013).



Figure 3. Moderated log_2 of proportion mean ratios for labeled versus control gradients (heavy fractions only, densities i_0 1.725 g/mL). All OTUs found in at least 62.5% of heavy fractions at a specific incubation day are shown. Red color denotes a proportion mean ratio that has a corresponding adjusted p-value below a false discovery rate of 10% (the null model is that the proportion mean is ratio is below 0.25). The horizontal line is the proportion mean threshold for the null model, 0.25. The inset figure summarizes the taxonomy of OTUs that with proportion mean ratio p-vaules under 0.10 for at least one time point.



Figure 4. Relative abundance values in heavy fractions (density greater or equal to 1.725 g/mL) for the top 10 ¹⁵N "responders" (putative diazotrophs, see results for selection criteria of top 10) at each incubation day. See Table 1 for BLAST results of top 10 responders against the LTP database (release 115). Point area is proportional to CsCl gradient fraction density, and color signifies control (red) or labeled (blue) treatment.



Figure 5. See methods for selection criteria for sequences in backbone tree. Edge width is proportional to number of short putative *Clostridiaceae* diazotroph sequences placed at that position. Placement of short sequences can be spread across multiple edges Matsen et al. (2010). Reference sequences from cultivars have boxes at tips and full species names. Tips with only accession annotations are from environmental reference sequences.



7 SUPPLEMENTAL FIGURES



Figure S1. Distribution of sequences into top 9 phyla (phyla ranked by sum of all sequence annotations).

Figure S2. Ordination of Bray-Curtis sample pairwise distances for each incubation time. Point area is proportional to the density of the CsCl gradient fraction for each sequence library, and color/shape reflects control (red triangles) or labeled (blue circles) treatment. Inset shows Bray-Curtis distances for paired control versus labeled CsCl gradient fractions (i.e. fractions from the same incubation day and same density) against the density of the pair (p-value: 4.526e⁻⁵, r²: 0.434).

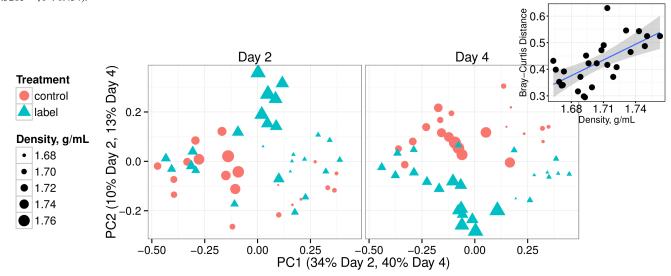


Figure S3. Relative abundance of selected heterocystous cyanobacterial OTUs with centroids from sequences described in Yeager et al. (2006) (see methods for selection criteria) in Steven et al. (2013) data set.



Figure S4. Rarefaction curves for all samples presented by Garcia-Pichel et al. (2013) and Steven et al. (2013). Inset is boxplot of estimated sampling effort for all samples in Garcia-Pichel et al. (2013) and Steven et al. (2013) (number of observed OTUs divided by number of CatchAll Bunge (2010) estimated total OTUs)



Figure S5. Counts of "responder" OTU occurrences in samples from Steven et al. (2013) and Garcia-Pichel et al. (2013). Steven et al. (2013) collected BSC samples (25 samples total) and samples from soil beneath BSC (17 samples total, "below" column in figure). Garcia-Pichel et al. (2013) collected samples from "dark" (9 samples total) and "light" (12 samples total) crusts in addition to "lichen" (2 samples total) dominated crusts.

Garcia-Pichel et al. (2013)

Steven et al. (2013)

