Non-cyanobacterial diazotrophs dominate dinitrogen fixation in biological soil crusts at the early stage of crust formation.

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1 ABSTRACT

Biological soil crusts (BSC) cover a vast global area and are key components of ecosystem productivity in arid soils. In particular, BSC contribute significantly to the nitrogen (N) budget in arid ecosystems via N₂-fixation. N₂-fixation in mature crusts is largely attributed to heterocystous cyanobacteria, however, 4 early successional crusts possess few N-fixing cyanobacteria and this suggests that microorganisms 5 other than cyanobacteria mediate N_2 -fixation during the early stages of BSC development. DNA stable isotope probing (DNA-SIP) with $^{15}N_2$ revealed that *Clostridiaceae* and *Proteobacteria* are the most common microorganisms to assimilate ^{15}N in early successional 'light' crusts. The maximum relative 6 7 abundance of non-cyanobacterial ¹⁵N₂-assimilating taxa in environmental BSC SSU rRNA gene sequence 9 collections was 0.00225% and 0.00127% for taxa that belong to Clostridiaceae and Proteobacteria, 10 respectively. Their low abundance may explain why these heterotrophic diazotrophs have not previously 11 been characterized in BSC. Diazotrophs play a critical role in BSC formation and characterization of these organisms represents a crucial step towards understanding how antropogenic change will effect the formation and ecological function of BSC in arid ecosystems.

2 INTRODUCTION

Biological soil crusts (BSC) are specialized microbial mat communitites that form at the soil surface in arid environmets and fill a variety of important ecological functions in arid ecosystems. BSC occupy plant interspaces and cover a wide, global geographic range (Garcia-Pichel et al., 2003b). The ground cover 17 of BSC on the Colorado Plateau has been measured as high as 80% by remote sensing (Karnieli et al., 18 2003). The global biomass of BSC Cyanobacteria alone is estimated at 54 x 10¹² g C (Garcia-Pichel 19 et al., 2003b). BSC play important roles in arid ecosystem productivity and are responsible for significant 20 nitrogen (N) flux (for review of BSC N₂-fixation see Belnap (2003)). N2-fixation represents the dominant 21 source of new ecosystem N in more than 80% of BSC from diverse sites across North America, Africa, 22 and Australia (Evans and Belnap, 1999), while atmospheric N deposition was a dominant source of N in 23 only a minority of sites. The presence of BSC is positively correlated with vascular plant survival due in 24 part to BSC ecosystem N contributions (for review of BSC-vascular plant interactions see Belnap et al. 25 (2003)). Climate change and disturbance could alter BSC microbial community structure and membership and therefore it is possible that there wll also be changes in diazotroph diversity and N₂-fixation and that 27 these changes can alter the BSC N-budget.

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BSC N₂-fixation rate studies (typically employing the acetylene reduction assay (ARA)) have explored BSC diazotroph activity across various ecological gradients. Reported BSC N2-fixation rates vary significantly across samples and studies (Evans and Lange, 2001). The reasons for inter-site and intersample variability are complex and likely include the spatial heterogeneity of BSC (Evans and Lange, 2001) and the impact of recent environmental change on N₂-fixation rates (see Belnap (2001) for discussion). Moreover, the ARA assay is subject to methodological artifacts that can complicate making robust comparisons across sample types that differ in physical and biological characteristics (see Belnap (2001) for review). Nonetheless, N₂-fixation rates are consistently higher in mature BSC than in young, early successional BSC (Belnap, 2002; Yeager et al., 2004). This difference may be due to the proliferation of heterocystous Cyanobacteria in older mats and is consistent with the theory that heterocystous Cyanobacteria provide the main source of fixed-N in BSC. Alternatively, the N₂-fixation rate differences between young and old BSC might be attributable to methodological artifacts. For instance, Johnson et al. (2005) show that N₂-fixation in mature mats is maximal at the crust surface (coincident with heterocystous cyanobacteria) while it is maximal below the crust sruface in early successional BSC. Diffusional limitation can potentially cause ARA to underestimate N₂-fixation which occurs below the crust surface and as a result ARA may systematically underestimate rates of N2-fixation in early successional BSC. Diffusion would not be an issue when measuring N₂-fixation rates in mature crust as nitrogenase activity peaks near the surface. Differences of N2 fixation rate between developing and mature BSC were not statistically significant when aerial rates were estimated by integrating across ARA performed on thin (1-3mm) slices across a BSC depth profile Johnson et al. (2005).

Molecular studies of BSC microbial diversity include explorations of the BSC microbial community vertical profile (Garcia-Pichel et al., 2003a), BSC *nifH* gene content surveys (e.g. Yeager et al. (2004), Yeager et al. (2012), Yeager et al. (2006) and Steppe et al. (1996)), and next-generation-sequencing (NGS) enabled studies of BSC SSU rRNA gene content across wide geographic ranges (Garcia-Pichel et al., 2013; Steven et al., 2013). Early successional BSC are often described as "light" in appearance relative to "dark" mature BSC (Belnap, 2002; Yeager et al., 2004). Mature BSC possess greater numbers of heterocystous *Cyanobacteria* (i.e. *Scytonema*, *Spirirestis*, and *Nostoc* (Yeager et al., 2006, 2012)) than developing BSC but both young and old BSC are dominated by non-heterocystous *Cyanobacteria* (*Microcoleus vaginatus* or *M. steenstrupii*) (Yeager et al., 2004; Garcia-Pichel et al., 2013). Heterocystous *Cyanobacteria* are the numerically dominant BSC diazotrophs in *nifH* clone libraries (Yeager et al., 2006, 2004, 2012). Eighty-nine % of 693 *nifH* sequences derived from Colorado Plateau and New Mexico BSC samples as heterocystous cyanobacterial (non-cyanobacterial *nifH* sequences were largely attributed to alpha- and beta- *proteobacteria*) Yeager et al. (2006). However, an early survey of Colorado Plateau BSC *nifH* diversity recovered *nifH* genes related to *Gammaproteobacteria* as well as a clade that included *nifH* genes from the anaerobes *Clostridium pssteurianum*, *Desulfovibrio gigas* and *Chromatium buderi*,

The influence of microbial community membership and structure on BSC N₂-fixation is an ongoing research question (Belnap, 2013). While the presence/abundance of heterocystous *Cyanobacteria* has been proposed as the mechanism behind increased N₂-fixation in mature BSC, it is unclear if mature BSC actually fix more N than early successional BSC (see Johnson et al. (2005)). More studies are necessary to elucidate the microbial membership influence on BSC N₂-fixation and to determine if heterocystous *Cyanobacteria* are the only keystone diazotrophs. The first step in defining structure function relationships with respect to N₂-fixation is a full accounting of BSC diazotrophs. Towards this end we conducted ¹⁵N₂ DNA stable isotope probing (DNA-SIP) experiments with light, developing Colorado Plateau BSC. DNA-SIP with ¹⁵N₂ has not been attempted with BSC. DNA-SIP provides an accounting of *active* diazotrophs whereas *nifH* clone libraries account for microbes with the genomic potential for N₂-fixation. Further, we investiage the distribution of these active diazotrophs through collections of SSU rRNA gene sequences from BSC NGS microbial diversity surveys over a range of spatial scales and soil types (Garcia-Pichel et al., 2013; Steven et al., 2013).

3 RESULTS

3.1 ORDINATION OF CSCL GRADIENT FRACTION SSU RRNA SEQUENCE COLLECTIONS SHOWS HEAVY FRACTIONS FROM CONTROL AND LABELED CSCL GRADIENTS ARE DIFFERENT

BSC were incubated for 4 days in the presence or absence of ¹⁵N₂ and DNA was extracted for DNA-SIP at 2 and 4 days. Fractionation of CsCl gradients permitted separation of DNA on the basis of buoyant density. Ordination of Bray-Curtis (Bray and Curtis, 1957) distances between SSU-rRNA amplicon sequence

collections from gradient fractions reveals that labeled gradient fraction (i.e. gradient fractions of DNA

from $^{15}N_2$ incubations) sequence collections diverge from control (i.e. DNA from incubations without 82 $^{15}N_2$) at the "heavy" of the CsCl gradients (Figure 1 and Figure S2). Differences among label/control

83 groups with heavy fractions are statistically significant by the Adonis test (p-value: 0.001, r^2 : 0.18)

4 (Anderson, 2001).

3.2 IDENTITIES OF OTUS RESPONSIVE TO 15 N $_2$

A statistically significant increase in OTU abundance in heavy fractions of ¹⁵N₂ labeled samples relative 85 to corresponding control fractions provides evidence for OTUs that have incorporated ¹⁵N into their DNA. 86 Specifically, we compared OTU proportion means between labeled and control samples from heavy 87 88 gradient fractions using statistics developed to find differentially expressed genes with RNASeq data (McMurdie and Holmes, 2014; Love et al., 2014). p-values were adjusted by the BH method (Benjamini 89 and Hochberg, 1995) and we used a false discovery rate (FDR) cutoff of 0.10 (typical FDR threshold in gene expression data analysis, (Love et al., 2014)) to reject the null hypothesis that labeled versus control 91 proportion mean differences were below a chosen threshold (see methods). With the above methods 38 92 OTUs had labeled versus control proportion mean difference adjusted p-values below 0.10 for one or both 93 incubation days. These OTUs likely incorporated ¹⁵N into DNA (¹⁵N₂ "responders"). Of these 38, 26 94 are annotated as Firmicutes, 9 as Proteobacteria, 2 as Acidobacteria and 1 as Actinobacteria (Figure 3, 95 Figure 2). If the OTUs are ranked by descending, moderated proportion mean labeled:control ratios, 96 the top 10 ratios (i.e. the 10 OTUs that were most enriched in the labeled gradients considering only 97 heavy fractions) are either Firmicutes (6 OTUs) or Proteobacteria (4 OTUs) (Figure 4). Proteobacteria 98 OTU centroid sequences for the top 10 responders all share high identity (>98.48% identity, Table 1) 99 100 with cultivars from genera known to possess diazotrophs including Klebsiella, Shigella, Acinetobacter, and *Ideonella*. None of the *Firmicutes* OTUs in the top 10 responders share greater than 97% sequence 101 identity with sequences in the LTP database (release 115) (see Table 1). 102

If we run a second test of differential OTU abundance with the null hypothesis that OTU abundance is greater (above a threshold) in labeled, heavy gradient fractions verus control, heavy gradient fractions, we can count OTUs that likely did not respond the he label. There were 58 and 70 "non-responders" at days 2 and 4, respectively. 208 and 233 of 2,127 and 2,160 OTUs passed our sparsity threshold (OTUs had to have counts in at least 62.5% of heavy fractions) for days 2 and 4, respectively..

3.3 COMPARISON OF SEQUENCE COLLECTIONS AT "STUDY"-LEVEL

3.3.1 Comparisons of OTU content: There were 3,079 OTUs (209,354 total sequences after quality control) in the DNA-SIP data, 3,203 OTUs (129,033 total sequences after quality control) in the Garcia-Pichel et al. (2013) study, and 2,481 OTUs (129,358 total sequences after quality control) in the Steven et al. (2013) study. Of the 4,340 OTU centroids established for this study (including sequences from Steven et al. (2013) and Garcia-Pichel et al. (2013)) 445 have matches in the Living Tree Project (LTP) (a collection of 16S gene sequences for all sequenced type strains (Yarza et al., 2008)) at greater or equal than 97% (LTP version 115). That is, 445 of 4,340 OTUs are closely related to cultivars. The DNA-SIP data set shares 56% OTUs with the Steven et al. (2013) data and 46% of OTUs with the Garcia-Pichel

- et al. (2013) data (where total OTUs are from the combined data for each pairwise comparison). The Steven et al. (2013) and Garcia-Pichel et al. (2013) share 46% of OTUs. 117
- 118 3.3.2 Comparisons of Taxonomic Content: Cyanobacteria and Proteobacteria were the top two
- phylum-level sequence annotations for all three studies but only the DNA-SIP data had more 119
- Proteobacteria annotations than Cyanobacteria. Proteobacteria represented the 29.8% of sequence 120
- annotations in DNA-SIP data as opposed to 17.8% and 19.2% for the Garcia-Pichel et al. (2013) and 121
- Steven et al. (2013) data, respectively. There is a stark contrast in the total percentage of sequences 122
- annotated as Firmicutes between the raw environmental samples and the DNA-SIP data. Firmicutes 123
- 124 represent only 0.21% and 0.23% of total phylum level sequence annotations in the Steven et al. (2013)
- and Garcia-Pichel et al. (2013) studies, respectively (Figure S1). In the DNA-SIP sequence collection 125
- Firmicutes make up 19% of phylum level sequence annotations. Also in sharp contrast for the DNA-126
- SIP versus environmental data is the number of putative heterocystous Cyanobacteria sequences. Only 127
- 0.29% of Cyanobacteria sequences in the DNA-SIP data are annotated as belonging to "Subsection IV" 128
- 129 which is the heterocystous order of Cyanobacteria in the Silva taxonomic nomenclature (Pruesse et al.,
- 2007). In the Steven et al. (2013) and Garcia-Pichel et al. (2013) studies 15% and 23%, respectively, of 130
- Cyanobacteria sequences are annotated as belonging to "Subsection IV".

DISTRIBUTION OF BSC DIAZOTROPHS IN ENVIRONMENTAL SAMPLES

- 132 3.4.1 Clostridiacea: Five of the 6 Firmicutes in the top 10 responder OTUs (above) belong in
- the Clostridiacea. We only observed one of these strongly responding Clostridiaceae in the data 133
- presented by Garcia-Pichel et al. (2013), "OTU.108" (closest BLAST hit in LTP Release 115 –
- Caloramotor proteoclasticus, BLAST %ID 96.94, Accession X90488). OTU.108 was found in two 135
- samples both characterized as "light" crust. One other Clostridiaceae OTU with a proportion mean ratio 136 (labeled:control) p-value less than 0.10 but outside the top 10 responders was found in the Garcia-Pichel 137
- et al. (2013) data (a "light" crust sample) (Figure 2). None of the strongly responding Clostridiacea were 138
- found in the sequences provided by Steven et al. (2013). Clostridiaceae ¹⁵N-responder OTU centroid 16S 139
- sequences are generally more closely related to environmental than cultivar 16S gene sequences (Table 1, 140
- 141 Figure 5).
- 3.4.2 **Proteobacteria:** One of the *Proteobacteria* OTUs in the 10 most strongly responding OTUs was 142
- found in the Garcia-Pichel et al. (2013) sequences (closest BLAST hit in LTP Release 115, BLAST %ID 143
- 100, Accession ZD3440, Acinetobacter johnsonii). None of the strongly responding Protebacteria OTUs 144
- were found in the Steven et al. (2013) sequences. There were 133 responder OTU-sample occurrences 145
- (responder OTU was found in a sample library) in the Steven et al. (2013) data. 83 were in "below crust" 146
- samples, 50 in BSC samples (see Figure 2). 147
- 3.4.3 Other taxa: Two ¹⁵N-responsive OTUs were found in an extensive number of environmental 148
- samples (61 of 65 samples from the combined data sets of Garcia-Pichel et al. (2013) and Steven et al. 149
- (2013)). Both OTUs were annotated as Acidobacteria but shared little sequence identity to any cultivar 150
- SSU rRNA gene sequences in the LTP (Release 115), with best LTP BLAST hits of 81.91 and 81.32% 151
- identity. Additionally, the evidence for ¹⁵N incorporation for each OTU was weak relative to other putative 152
- responders (adjusted p-values of 0.090 and 0.096). Of the remaining 36 stable isotope responder OTUs, 153
- only 14 were observed in the environmental data (Figure 2, Figure S5). 154

DISCUSSION

STUDY-LEVEL DIFFERENCES

- SIP places focus upon organisms based on isotope incorporation and has the ability to detect activity by 155
- low abundance members of the community. DNA from OTUs that incopororate ¹⁵N into their biomass 156
- moves towards the heavy end of the CsCl gradient and therefore OTUs in "labeled" DNA are enriched 157
- in the full data pool relative to bulk DNA. Phylum-level taxonomic annotations of ¹⁵N-responsive OTUs
- (i.e. Firmicutes and Proteobacteria) are enriched in the DNA-SIP data relative to environmental data 159
- 160 (Figure S1).

ORDINATION OF CSCL GRADIENT FRACTION 16S RRNA GENE SEQUENCE 4.2 COLLECTIONS

- The ordination of Bray-Curtis distances between CsCl gradient fraction 16S sequence collections show 161
- that control fractions differ from labeled fractions in the "heavy" range of the CsCl gradients (Figure S2). 162
- 163 If each control fraction is paired to the labeled fraction from the same incubation day for which it is closest
- in density, there is a positive and statistically significant correlation between Bray-Curtis distances within 164
- fraction pairs and density of the pair (see inset Figure S2). Therefore, the "heavy" end of the control and 165
- labeled gradients differ and the OTUs enriched in the labeled fractions (relative to control) would have 166
- incorporated ¹⁵N into their DNA during the incubation timeframe. 167

4.3 BSC DIAZOTROPHS IDENTIFIED IN THE STUDY

- BSC N-fixation has long been attributed to heterocystous Cyanobacteria and molecular microbial ecology 168
- surveys of BSC nifH gene content have been consistent with this hypothesis finding cyanobacterial nifH 169
- 170 types to be numerically dominant in *nifH* gene libraries (Yeager et al., 2006, 2004, 2012). Even poorly
- developed BSC samples have yielded predominantly cyanobacterial nifH genes (Yeager et al., 2004). 171
- And, "sub-biocrust" samples have yielded *entirely* heterocystous cyanobacterial *nifH* genes (Yeager et al., 172
- 2012). It is possible, however, that PCR-driven molecular surveys of nifH gene content have been biased 173
- 174 against non-heterocystous Cyanobacteria. In general the nifH PCR primers used by Yeager et al. (2006,
- 2004, 2012) ("19F" and "nifH3") for the first round of nested PCR have broad specificity and display at 175
- least 86% in silico coverage for Proteobacteria, Cyanobacteria and "Cluster III" nifH reference sequences 176
- (Gaby and Buckley, 2012). In the second round of the nested PCR protocol (Yeager et al., 2006, 2004, 177
- 2012), primer "nifH11" is slightly biased against "Cluster III" (50% coverage) but biased in favor of 178
- Proteobacteria (79% in silico coverage against 67% for Cyanobacteria) and primer "nifH22" matches 179
- Proteobacteria, Cyanobacteria and "Cluster III" reference sequences poorly (16%, 23% and 21% in silico 180
- coverage, respectively) (Gaby and Buckley, 2012). Unfortunately, it is difficult to assess or quantify this 181
- bias (in either direction) without knowing the nifH gene content de novo. Another potential bias in favor of 182
- Cyanobacteria in BSC nifH gene libraries is heterocysts (the specialized N-fixing cells along the trichome 183
- 184 of filamentous heterocystous Cyanobacteria such as Nostoc and Scytonema) may be overrepresented
- with respect to non-cyanobacterial diazotrophs because heterocysts make up a fraction of cells along a 185
- trichome and even the non-heterocyst cells in a trichome will possess the nifH gene. Polyploidy could 186
- further exacerbate this bias, as many Cyanobacteria are estimated to have multiple genome copies per 187
- cell (Griese et al., 2011). It should also be noted that *nifH* gene content is not directly extrapolable to 188
- the taxonomic relative abundances of nitrogenase proteins. Regardless, our results suggest that BSC N-189 fixation may include a significant non-cyanobacterial component that requires further assessment across 190
- a more comprehensive sampling of BSC types. 191
- We did not observe evidence for N-fixation by heterocystous Cyanobacteria in the "light" crust samples 192
- used in this study. One possible explanation for our results is that the "light", still developing BSC samples 193
- used in this study possessed too few heterocystous Cyanobacteria to statistically evaluate their ¹⁵N-194
- incorporation. Indeed, only 0.29% of sequences from this study's DNA-SIP 16S rRNA gene sequence 195

196 libraries were from heterocystous Cyanobacteria (see results) as opposed to 15% and 23% of total sequences in the Steven et al. (2013) and Garcia-Pichel et al. (2013) data, respectively. Nonetheless, 197 we would still expect even low abundance diazotrophs to show evidence for ¹⁵N-incorporation, provided 198 sequence counts were not too sparse in heavy fractions. The OTUs defined by selected heterocystous 199 Cyanobacteria sequences presented in Yeager et al. (2006), however, all fall below the sparsity threshold 200 used in our analysis (see methods). Given the sparsity of heterocystous Cyanobacteria sequences in 201 the DNA-SIP data set, it is not possible to assess whether heterocystous Cyanobacteria incorporated 202 203 ¹⁵N during the incubation. It should be noted that "light" and in particular "sub-biocrust" samples possess much less heterocystous Cyanobacteria in general (Figure S3) so the samples used in this study 204 are not necessarily unrepresentative of typical poorly developed BSC simply because they are lacking 205 heterocystous Cyanobacteria. 206

The OTUs that did appear to incorporate ¹⁵N during the incubation were predominantly *Proteobacteria* 207 and Firmicutes. The Proteobacteria OTUs for which 15N-incorporation signal was strongest all shared 208 high sequence identity (>=98.48% sequence identity) with 16S sequences from cultivars in genera with 209 known diazotrophs (Table 1). The Firmicutes that displayed signal for ¹⁵N-incorporation (predominantly 210 Clostridiaceae) were not closely related to any cultivars (Table 1, Figure 5). These BSC Clostrodiaceae 211 diazotrophs represent a gap in culture collections. As culture-based ecophysiological studies have proven 212 useful towards explaining ecological phenomena in BSC 16S rRNA gene sequence libraries (Garcia-213 Pichel et al., 2013), it would seem that these putative Clostridiaceae diazotrophs would be prime 214 215 candidates for targeted culturing efforts. Assessing the physiological response of these diazotrophic Clostridiaceae to temperature would be useful for predicting how climate change will affect the BSC 216 217 nitrogen budget. Gamma-proteobacteria and spore-forming Firmicutes are classic opportunistic lineages that would presumably be suited to the boom/bust BSC environment. The compatible solutes produced 218 and secreted by cyanobacteria in response to dessication and subsequent wetting would create C-rich 219 environment after wetting. Diazotrophs would be uniquely suited to respond quickly in high C:N 220 conditions.

Although too undersampled in the environmental data sets to reach statistical conclusions, ¹⁵Nresponsive OTUs were found more often in sub-crust or "light" BSC samples (Figure 2 and Figure S5). This result generates some hypotheses that are counter to prior discussions regarding BSC diazotroph temporal dynamics. Specifically, the transition of BSC from a light colored, developing crust to a dark, mature crust may not mark the emergence of diazotrophs in BSC but rather the transition of the diazotroph community from heterotroph dominance to cyanobacteria. Additionally, the soil beneath BSC may contribute significantly to the N budget in arid ecosystems.

SEQUENCING DEPTH

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Rarefaction curves of all samples from Steven et al. (2013) and Garcia-Pichel et al. (2013) are still 229 sharply increasing especially for "below crust" samples (Figure S4). Parametric richness estimates of BSC 230 231 diversity indicate the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequencing efforts recovered on average 40.5% (sd. 9.99%) and 45.5% (sd. 11.6%) of existing 16S OTUs from samples (inset Figure S4), 232 respectively. Further, the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequence collections only 233 share 57.6% of total OTUs found in at least one of the studies. In fact, this study shares more OTUs with 234 Steven et al. (2013), 62.4% of OTUs in the combined data, than the Steven et al. (2013) study shares with 235 Garcia-Pichel et al. (2013). Therefore, is not alarming that few of the ¹⁵N-responsive OTUS were found 236 by Garcia-Pichel et al. (2013) and Steven et al. (2013). Even next-generation sequencing efforts of BSC 237 16S rRNA genes have only shallowly sampled the full diversity of BSC microbes. 238

4.5 CONCLUSION

Heterocystous Cyanobacteria are key contributors to the BSC N-budget, but, the ¹⁵N-responsive OTUs 239 found in this study and the nifH gene sequences from Steppe et al. (1996) in addition to the N-fixation 240 241 rate data presented by Johnson et al. (2005) suggest there may be significant non-cyanobacterial BSC diazotrophs specifically within the Clostrideaceae and Proteobacteria. It seems clear that heterocystous 242 Cyanobacteria increase in abundance with BSC age (Yeager et al., 2004). It is less clear if this transition 243 marks the emergence of diazotrophy versus a re-structuring of the BSC diazotroph community from 244 one dominated by Firmicutes and Proteobacteria to one predominantly heterocystous Cyanobacteria. 245 DNA-SIP is a valuable tool in the molecular microbial ecologist's toolbox for identifying members of 246 microbial community functional guilds (Neufeld et al., 2007). PCR-based surveys of diagnostic marker 247 248 genes and DNA-SIP are both used to connect microbial phylogenetic types to microbial activities, but they occupy a non-overlapping set of strengths and weaknesses. DNA-SIP does not focus on a specific 249 diagnostic marker but does identify active players in the studied process (i.e. N-fixation). Combined these 250 tools can powerfully reveal connections between ecosystem membership/structure and function. Here we 251 supplement previous surveys of BSC *nifH* diversity, a diagnostic marker PCR-driven approach, with ¹⁵N₂ 252 DNA-SIP, While we do not confirm previous results, we expand knowledge of BSC diazotroph diversity. 253 254 Predicting BSC N-fixation with respect to climate change, althered precipitation regimes and physical disturbance requires a careful accounting of diazotrophs including non-cyanobacterial types. 255

5 MATERIALS AND METHODS

5.1 BSC SAMPLING AND INCUBATION CONDITIONS

atmosphere and in the light for 4 days. Crusts were dry prior to time zero and were wetted at initiation of experiment. Treatments included control air (unenriched headspace) and enriched air (>98% atom ¹⁵N₂) headspace. Samples were taken at 2 days and 4 days incubation. Acetylene reduction rates were measured daily. DNA was extracted from 1 g of crust. Samples were taken from Green Butte, Arizona as previously described (site CP3, Beraldi-Campesi et al. (2009)). All samples were from light crusts as described by Johnson et al. (2005).

Light crust samples (37.5 cm², average mass 35 g) were incubated in sealed chambers under controlled

5.2 DNA EXTRACTION

DNA from each sample was extracted using a MoBio PowerSoil DNA Isolation Kit (following manufacturers protocol, but substituting a 2 minute bead beating for the vortexing step), and then gel purified. Extracts were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes).

Gradient density centrifugation of DNA was undertaken in 4.7 mL polyallomer centrifuge tubes in a

5.3 DNA-SIP

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TLA-110 fixed angle rotor (both Beckman Coulter) in CsCl gradients with an average density of 1.725 267 g/mL. Average density for all prepared gradients was checked with an AR200 refractometer before runs. 268 Between 2.5-5 μ g of DNA extract was added to the CsCl solution (15mM Tris-HCl, pH 8; 15mM EDTA; 269 15mM KCl), and gradients were run under conditions of 20C for 67 hours at 55,000 rpm (Buckley et al.). 270 Centrifuged gradients were fractionated from bottom to top in 36 equal fractions of 100 μ L, using a by 271 syringe pump as described Manefield et al. (2002). The density of each fraction was determined using 272 using an AR200 refractometer modified to accomidate 5ul samples (Buckley et al.). DNA in each fraction 273 was desalted on a filter plate (PALL, AcroPrep Advance 96 Filter Plate, Product Number 8035), using four 274 washes with $300\mu L$ TE per fraction. After each wash, the filter plate was spun at 500 g for 10 minutes, 275

276 with a final spin of 20 minutes. Fractions were resuspended in 50 uL of TE buffer.

5.4 PCR, LIBRARY NORMALIZATION AND DNA SEQUENCING

277 Barcoded PCR of bacterial and archaeal 16S rRNA genes, in preparation for 454 Pyrosequencing, was carried out using primer set 515F/806R (Walters et al., 2011) (primers purchased from Integrated DNA 278 Technologies). The primer 806R contained an 8 bp barcode sequence, a "TC" linker, and a Roche 454 279 B sequencing adaptor, while the primer 515F contained the Roche 454 A sequencing adapter. Each 280 25 µL reaction contained 1x PCR Gold Buffer (Roche), 2.5 mM MgCl₂, 200 µM of each of the four 281 dNTPs (Promega), 0.5 mg/mL BSA (New England Biolabs), 0.3 μ M of each primers, 1.25 U of Amplitaq 282 283 Gold (Roche), and 8 μ L of template. Each sample was amplified in triplicate. Thermal cycling occurred with an initial denaturation step of 5 minutes at 95C, followed by 40 cycles of amplification (20s at 284 95C, 20s at 53C, 30s at 72C), and a final extension step of 5 min at 72C. Triplicate amplicons were 285 pooled and purified using Agencourt AMPure PCR purification beads, following manufacturers protocol. 286 287 Once cleaned, amplicons were quantified using PicoGreen nucleic acid quantification dyes (Molecular 288 Probes) and pooled together in equimolar amounts. Samples were sent to the Environmental Genomics Core Facility at the University of South Carolina (now Selah Genomics) to be run on a Roche FLX 454 289 pyrosequencing machine. 290

5.5 DATA ANALYSIS

- 291 All code to take raw sequencing data through the presented figures can be found at:
- 292 http://nbviewer.ipython.org/github/chuckpr/NSIP_data_analysis
- Sequence quality control Sequences were initially screened by maximum expected errors at a 293 294 specific read length threshold (Edgar, 2013) which has been shown to be as effective as denoising 454 295 reads with respect to removing pyrosequencing errors. Specifically, reads were first truncated to 230 nucleotides (nt) (all reads shorter than 230 nt were discarded) and any read that exceeded a maximum 296 expected error threshold of 1.0 was removed. After truncation and max expected error trimming, 91% of 297 original reads remained. The first 30 nt representing the forward primer and barcode on high quality, 298 truncated reads were trimmed. Remaining reads were taxonomically annotated using the "UClust" 299 taxonomic annotation framework in the QIIME software package (Caporaso et al., 2010; Edgar, 2010) 300 with cluster seeds from Silva SSU rRNA database (Pruesse et al., 2007) 97% sequence identity OTUs as 301 reference (release 111Ref). Reads annotated as "Chloroplast", "Eukaryota", "Archaea", "Unassigned" or 302 "mitochondria" were culled from the dataset. Finally, reads were aligned to the Silva reference alignment 303 provided by the Mothur software package (Schloss et al., 2009) using the Mothur NAST aligner (DeSantis 304 305 et al., 2006). All reads that did not appear to align to the expected amplicon region of the SSU rRNA gene were discarded. Quality control parameters removed 34,716 of 258,763 raw reads. 306
- 307 Sequence clustering Sequences were distributed into OTUs using the UParse methodology 5.5.2 308 (Edgar, 2013). Specifically, cluster seeds were identified using USearch with a collection of non-redundant reads sorted by count as input. The sequence identity threshold for establishing a new OTU centroid 309 310 was 97%. After initial cluster centroid selection, select 16S rRNA gene sequences trimmed to the same alignment positions as the other centroids from Yeager et al. (2006) were added to the centroid collection. 311 Specifically, Yeager et al. (2006) Colorado Plateau or Moab, Utah sequences were added which included 312 the 16S rRNA gene sequences for Calothrix MCC-3A (accession DQ531700.1), Nostoc commune MCT-1 313 (accession DQ531903), Nostoc commune MFG-1 (accession DQ531699.1), Scytonema hyalinum DC-A (accession DQ531701.1), Scytonema hyalinum FGP-7A (accession DQ531697.1), Spirirestis rafaelensis 315 316 LQ-10 (accession DQ531696.1). Centroid sequences that matched selected Yeager et al. (2006) sequences with greater than to 97% sequence identity were subsequently removed from the centroid collection. With 317 USearch/UParse, potential chimeras are identified during OTU centroid selection and are not allowed to become cluster centroids effectively removing chimeras from the read pool. All quality controlled reads 319 were then mapped to cluster centroids at an identity threshold of 97% again using USearch. 95.6% of 320

- quality controlled reads could be mapped to centroids. Unmapped reads do not count towards sample 321
- 322 counts and are essentially removed from downstream analyses. The USearch software version for cluster
- 323 generation was 7.0.1090.
- 5.5.3 Merging data from this study, Garcia-Pichel et al. (2013), and Steven et al. (2013) As only 324
- 325 sequences without corresponding quality scores were publicly available from Garcia-Pichel et al. (2013)
- 326 and Steven et al. (2013), these data sets were only quality screened by determining if they covered the
- 327 expected region of the 16S rRNA gene (described above). All data (this study, Garcia-Pichel et al. (2013)
- and Steven et al. (2013)) were included as input to USearch for OTU centroid selection and subsequent 328
- 329 mapping to OTU centroids.
- 5.5.4 Phylogenetic tree The alignment for the "Clostridiaceae" phylogeny was created using SSU-330
- Align which is based on Infernal (Nawrocki and Eddy, 2013; Nawrocki et al., 2009). Columns in 331
- 332 the alignment that were not included in the SSU-Align covariance models or were aligned with poor
- confidence (less than 95% of characters in a position had posterior probability alignment scores of 333
- at least 95%) were masked for phylogenetic reconstruction. Additionally, the alignment was trimmed 334
- to coordinates such that all sequences in the alignment began and ended at the same positions. The 335
- "Clostridiaceae" tree included all top BLAST hits (parameters below) for ¹⁵N Clostridiaceae responders 336
- in the Living Tree Project database (Yarza et al., 2008) in addition to BLAST hits within a sequence 337
- identity threshold of 97% to ¹⁵N responders from the Silva SSURef_NR SSU rRNA database (Pruesse 338
- et al., 2007). Only one SSURef_NR115 hit per study per OTU ("study" was determined by "title" field) 339
- was selected for the tree. FastTree (Price et al., 2010) was used to build the tree and support values are 340
- SH-like scores reported by FastTree. 341
- 342 Placement of short sequences into backbone phylogeny Short sequences were mapped to the reference
- backbone using pplacer (Matsen et al., 2010) (default parameters). pplacer finds the edge placements that 343
- 344 maximize phylogenetic likelihood. Prior to being mapped to the reference tree, short sequences were
- 345 aligned to the reference alignment using Infernal (Nawrocki et al., 2009) against the same SSU-Align
- 346 covariance model used to align reference sequences.
- 347 5.5.5 BLAST searches BLAST searches were done with the "blastn" program from BLAST+ toolkit
- (Camacho et al., 2009) version 2.2.29+. Default parameters were always employed and the BioPython 348
- (Cock et al., 2009) BLAST+ wrapper was used to invoke the blastn program. Pandas (McKinney, 2012) 349
- 350 and dplyr (Wickham and Francois, 2014) were used to parse and munge BLAST output tables.
- 5.5.6 Identifying OTUs that incorporated ¹⁵N into their DNA SIP is a culture-independent approach 351
- towards defining identity-function connections in microbial communities (Buckley, 2011; Neufeld et al., 352
- 2007). Microbes incubated in the presence of ¹³C or ¹⁵N labeled substrates can incorporate the stable 353
- heavy isotope into biomass if they participate in the substrate's transformation. Stable isotope labeled 354
- 355 nucleic acids can then be separated from unlabeled by buoyant density in a CsCl gradient. As the buoyant
- density of a macromolecule is dependent on many factors in addition to stable isotope incorporation 356
- (e.g. GC-content in nucleic acids (Youngblut and Buckley, 2014)), labeled nucleic acids from one 357
- microbial population may have the same buoyant density of unlabeled nucleic acids from another (i.e. 358
- 359 each population's nucleic acids would be found at the same point along a density gradient although
- only one population's nucleic acids are labeled). Therefore it is imperative to compare density gradients 360
- with nucleic acids from heavy stable isotope incubations to gradients from "control" incubations where 361
- everything mimics the experimental conditions except that unlabeled substrates are used (and all DNA 362
- would be unlabeled). By contrasting "heavy" density gradient fractions in experimental density gradients 363
- (hereafter referred to as "labeled" gradients) against heavy fractions in control gradients, the identities of 364
- microbes with labeled nucleic acids can be determined 365

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We used an RNA-Seq differential expression statistical framework (Love et al., 2014) to find OTUs enriched in heavy fractions of labeled gradients relative to corresponding density fractions in control gradients (for review of RNA-Seq differential expression statistics applied to microbiome OTU count data see McMurdie and Holmes (2014)). We use the term differential abundance (coined by McMurdie and Holmes (2014)) to denote OTUs that have different proportion means across sample classes (in this case the only sample class is labeled/control). CsCl gradient fractions were categorized as "heavy" or "light". The heavy category denotes fractions with density values above 1.725 g/mL. Since we are only interested in enriched OTUs (labeled versus control), we used a one-sided z-test for differential abundance (the null hypothesis is the labeled:control proportion mean ratio for an OTU is less than a selected threshold). Pvalues were corrected with the Benjamini and Hochberg method (Benjamini and Hochberg, 1995). We selected a log₂ fold change null threshold of 0.25 (or a labeled:control proportion mean ratio of 1.19). DESeq2 was used to calculate the moderated log₂ fold change of labeled:control proportion mean ratios and corresponding standard errors. Mean ratio moderation allows for reliable ratio ranking such that high variance and likely statistically insignificant mean ratios are appropriately shrunk and subsequently ranked lower than they would be as raw ratios. To summarize, OTUs with high moderated labeled:control proportion mean ratios have higher proportion means in heavy fractions of labeled gradients relative to heavy fractions of control gradients, and therefore have likely incorporated ¹⁵N into their DNA during the incubation.

Although DNA-SIP is a powerful technique, analysis of DNA-SIP data is not without ambiguities. One limitation is the discrete, selected boundary in the form of a adjusted p-value threshold (or false discovery rate) that marks which OTUs we consider to be enriched in the heavy fractions of labeled CsCl gradients (and thus have likely incorporated ¹⁵N into their DNA during the incubation). In reality the metric we use to quantify the magnitude of an OTU's response to a stable isotope is continuous, and there is only an artificial boundary between which OTUs appear to have "responded" and which OTUs have unknown response. For this reason, we have presented all the OTUs that satisfy our "response" criteria but focused on the most strongly responding OTUs. As with any hypothesis-based statistical test, care should be taken when interpreting the significance of results where p-values are near the selected threshold for rejecting the null hypothesis.

5.5.7 Ordination Principal coordinate ordinations depict the relationship between samples at each time point (day 2 and 4). Bray-Curtis distances were used as the sample distance metric for ordination. The Phyloseq (McMurdie and Holmes, 2014) wrapper for Vegan (Oksanen et al., 2013) (both R packages) was used to compute sample values along principal coordinate axes. GGplot2 (Wickham, 2009) was used to display sample points along the first and second principal axes. Adonis tests Anderson (2001) were done with default number of permutations (1000).

5.6 RICHNESS ANALYSES

- 400 Rarefaction curves were created using bioinformatics modules in the PyCogent Python package (Knight
- 401 et al., 2007). Parametric richness estimates were made with CatchAll using only the best model for total
- 402 OTU estimates (Bunge, 2010).

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6 FIGURES AND LONG TABLES

Table 1.15N responders BLAST against Living Tree Project

OTU ID	Species Names	BLAST %ID
OTU.342	Acinetobacter johnsonii	100.0
OTU.263	Azospirillum picis	98.48
OTU.137	Azospirillum rugosum, A. lipoferum	98.98
OTU.3	Bacillus azotoformans	100.0
OTU.140	Bacillus korlensis, B. beringensis	100.0
OTU.108	Caloramator proteoclasticus	96.94
OTU.61	Clostridium drakei, C. carboxidivorans	95.92
OTU.11	Clostridium drakei, C. carboxidivorans	95.94
OTU.1673	Clostridium drakei, C. carboxidivorans	95.9
OTU.1747	Clostridium hydrogeniformans, C. algidicarnis	94.36
OTU.327	Clostridium hydrogeniformans, C. amylolyticum	94.92
OTU.330	Clostridium lundense	96.94
OTU.75	Clostridium lundense	96.97
OTU.2175	Clostridium paraputrificum, C. lundense	95.96
OTU.643	Clostridium tagluense, C. estertheticum subsp. laramiense, C. estertheticum subsp. estertheticum, C. bowmanii, C. algoriphilum	97.45
OTU.17	Clostridium thiosulfatireducens, C. sulfidigenes, C. subterminale	95.45
OTU.176	Delftia tsuruhatensis, D. lacustris	100.0
OTU.78	Desulfocella halophila, Bryobacter aggregatus	80.31
OTU.55	Desulfocella halophila, Bryobacter aggregatus	81.03
OTU.2404	Domibacillus robiginosus	99.49
OTU.3712	Eubacterium tarantellae, Clostridium perfringens	96.43
OTU.4167	Fonticella tunisiensis	93.43
OTU.4037	Fonticella tunisiensis	93.85
OTU.57	Fonticella tunisiensis, Caloramator proteoclasticus	93.88
OTU.575	Gracilibacter thermotolerans	94.42
OTU.37	Ilyobacter delafieldii, Clostridium nitrophenolicum, C. aciditolerans	96.43
OTU.14	Pantoea rwandensis, P. rodasii, Kluyvera intermedia, K. cryocrescens, Klebsiella variicola, K. pneumoniae subsp. rhinoscleromatis, K. pneumoniae subsp. pneumoniae, Erwinia aphidicola, Enterobacter soli, E. ludwigii, E. kobei, E. hormaechei, E. cloacae subsp. dissolvens, E. cancerogenus, E. asburiae, E. amnigenus, E. aerogenes, Buttiauxella warmboldiae, B. noackiae, B. izardii, B. agrestis	99.49
OTU.259	Parasporobacterium paucivorans	98.47
OTU.321	Pseudomonas beteli	100.0
OTU.54	Shigella sonnei, S. flexneri, Escherichia fergusonii, E. coli	100.0
OTU.116	Streptomyces ziwulingensis, S. viridodiastaticus, S. viridochromogenes, S. violascens, S. violarus, S. violaceorubidus, S. violaceoruber, S. violaceolatus, S. violaceochromogenes, S. vinaceusdrappus, S. variabilis, S. tuirus, S. tricolor, S. thinghirensis, S. tendae, S. spectabilis,	100.0
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Figure 1. Ordination of heavy gradient fraction sequence collections by Bray-Curtis distances.

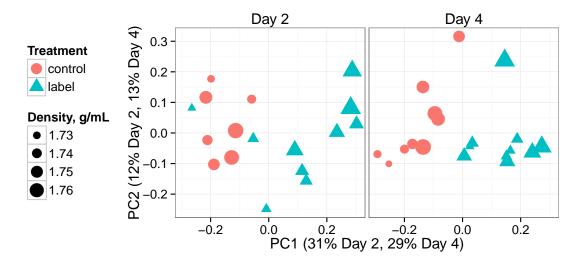


Figure 2. Phylogenetic trees of OTUs passing sparsity threshold for selected phyla. *A)* Point denotes OTU is classified as a 15 N "responder". *B)* Heatmap of moderated \log_2 proportion mean ratios (labeled:control gradients) for each OTU at each incubation day. High values indicate 15 N incorporation. *C)* Presence/absence of OTUs (black indicates presence) in lichen, light, or dark environmental samples (Garcia-Pichel et al., 2013). *D)* Presence/absence of OTUs (black indicates presence) in crust and below crust samples (Steven et al., 2013).



Figure 3. Moderated log_2 of proportion mean ratios for labeled versus control gradients (heavy fractions only, densities i_0 1.725 g/mL). All OTUs found in at least 62.5% of heavy fractions at a specific incubation day are shown. Red color denotes a proportion mean ratio that has a corresponding adjusted p-value below a false discovery rate of 10% (the null model is that the proportion mean is ratio is below 0.25). The horizontal line is the proportion mean threshold for the null model, 0.25. The inset figure summarizes the taxonomy of OTUs that with proportion mean ratio p-vaules under 0.10 for at least one time point.



Figure 4. Relative abundance values in heavy fractions (density greater or equal to 1.725 g/mL) for the top 10 ¹⁵N "responders" (putative diazotrophs, see results for selection criteria of top 10) at each incubation day. See Table 1 for BLAST results of top 10 responders against the LTP database (release 115). Point area is proportional to CsCl gradient fraction density, and color signifies control (red) or labeled (blue) treatment.



Figure 5. See methods for selection criteria for sequences in backbone tree. Edge width is proportional to number of short putative *Clostridiaceae* diazotroph sequences placed at that position. Placement of short sequences can be spread across multiple edges Matsen et al. (2010). Reference sequences from cultivars have boxes at tips and full species names. Tips with only accession annotations are from environmental reference sequences.



7 SUPPLEMENTAL FIGURES



Figure S1. Distribution of sequences into top 9 phyla (phyla ranked by sum of all sequence annotations).

Figure S2. Ordination of Bray-Curtis sample pairwise distances for each incubation time. Point area is proportional to the density of the CsCl gradient fraction for each sequence library, and color/shape reflects control (red triangles) or labeled (blue circles) treatment. Inset shows Bray-Curtis distances for paired control versus labeled CsCl gradient fractions (i.e. fractions from the same incubation day and same density) against the density of the pair (p-value: 4.526e⁻⁵, r²: 0.434).

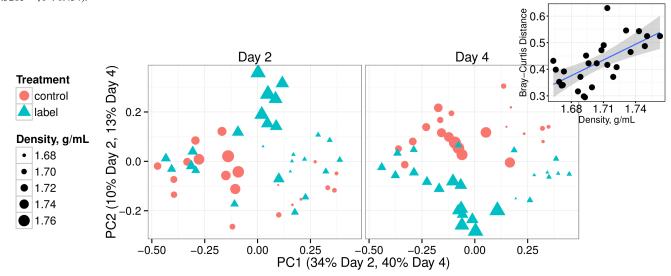


Figure S3. Relative abundance of selected heterocystous cyanobacterial OTUs with centroids from sequences described in Yeager et al. (2006) (see methods for selection criteria) in Steven et al. (2013) data set.



Figure S4. Rarefaction curves for all samples presented by Garcia-Pichel et al. (2013) and Steven et al. (2013). Inset is boxplot of estimated sampling effort for all samples in Garcia-Pichel et al. (2013) and Steven et al. (2013) (number of observed OTUs divided by number of CatchAll Bunge (2010) estimated total OTUs)



Figure S5. Counts of "responder" OTU occurrences in samples from Steven et al. (2013) and Garcia-Pichel et al. (2013). Steven et al. (2013) collected BSC samples (25 samples total) and samples from soil beneath BSC (17 samples total, "below" column in figure). Garcia-Pichel et al. (2013) collected samples from "dark" (9 samples total) and "light" (12 samples total) crusts in addition to "lichen" (2 samples total) dominated crusts.

Garcia-Pichel et al. (2013)

Steven et al. (2013)

