# Non-cyanobacterial diazotrophs dominate dinitrogen fixation in biological soil crusts at the early stage of crust formation.

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#### 1 ABSTRACT

Biological soil crusts (BSC) cover a vast global area and are key components of ecosystem productivity in arid soils. In particular, BSC contribute significantly to the nitrogen (N) budget via N<sub>2</sub>-fixation. N<sub>2</sub>-fixation in mature crusts is largely attributed to heterocystous cyanobacteria, however, early successional crusts possess few N-fixing cyanobacteria and this suggests that microorganisms other than cyanobacteria mediate N<sub>2</sub>-fixation during the early stages of BSC development. DNA stable isotope probing (DNA-SIP) with <sup>15</sup>N<sub>2</sub> revealed that *Clostridiaceae* and *Proteobacteria* are the most common microorganisms to assimilate <sup>15</sup>N in early successional crusts. The maximum <sup>15</sup>N<sub>2</sub>-assimilating *Clostridiaceae* and *Proteobacteria* Operational Taxonomic Unit (OTU, all sequences at least 97% sequence identity to OTU seed) relative abundance in environmental BSC SSU rRNA gene sequence surveys was 0.00225% and 0.00127% in any single sample, respectively. Their low abundance may explain why these heterotrophic diazotrophs have not previously been characterized in BSC. Diazotrophs play a critical role in BSC formation and characterization of these organisms represents a crucial step towards understanding how antropogenic change will effect the formation and ecological function of BSC in arid ecosystems.

#### 2 INTRODUCTION

- Biological soil crusts (BSC) are specialized microbial mat communitites that form at the soil surface in 15 arid environments and fill a variety of important ecological functions. BSC occupy plant interspaces and 16 cover a wide, global geographic range (Garcia-Pichel et al., 2003b). The ground cover of BSC on the 17 Colorado Plateau has been measured as high as 80% by remote sensing (Karnieli et al., 2003). The global 18 biomass of BSC Cyanobacteria alone is estimated at 54 x 10<sup>12</sup> g C (Garcia-Pichel et al., 2003b). BSC play are responsible for significant nitrogen (N) flux (for review of BSC N<sub>2</sub>-fixation see Belnap (2003)). N<sub>2</sub>-20 fixation represents the dominant source of new ecosystem N in more than 80% of BSC from diverse sites 21 22 across North America, Africa, and Australia (Evans and Belnap, 1999), while atmospheric N deposition was a dominant source of N in only a minority of sites. The presence of BSC is positively correlated 23 with vascular plant survival due in part to BSC ecosystem N contributions (for review of BSC-vascular 24 plant interactions see Belnap et al. (2003)). Climate change and disturbance could alter BSC microbial 25 community structure/membership and possibly BSC diazotroph diversity and N<sub>2</sub>-fixation. 26
- BSC  $N_2$ -fixation rate studies (typically employing the acetylene reduction assay (ARA)) have explored BSC diazotroph activity across various ecological gradients. Reported BSC  $N_2$ -fixation rates vary

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significantly across samples and studies (Evans and Lange, 2001). The reasons for inter-site and interstudy variability are complex and likely include the spatial heterogeneity of BSC (Evans and Lange, 2001) and the impact of recent environmental change on N<sub>2</sub>-fixation rates (see Belnap (2001) for discussion). Moreover, the ARA assay is subject to methodological artifacts that can complicate making robust comparisons across sample types that differ in physical and biological characteristics (see Belnap (2001) for review). Nonetheless, N<sub>2</sub>-fixation rates are consistently higher in mature BSC than in early successional BSC (Belnap, 2002; Yeager et al., 2004). This difference may be due to the proliferation of heterocystous Cyanobacteria in mature BSC and is consistent with the theory that heterocystous Cyanobacteria provide the main source of fixed-N. Alternatively, the N<sub>2</sub>-fixation rate differences between early successional and mature BSC might be attributable to methodological artifacts. For instance, N<sub>2</sub>fixation in mature BSC is maximal at the crust surface (coincident with heterocystous cyanobacteria) while it is maximal below the crust surface in early successional BSC (Johnson et al., 2005). Diffusional limitation can cause ARA to underestimate N<sub>2</sub>-fixation which occurs below the crust surface and as a result ARA can systematically underestimate rates of N2-fixation in early successional BSC (Johnson et al., 2005). Diffusion would not be an issue when measuring N<sub>2</sub>-fixation rates in mature crusts as nitrogenase activity peaks near the surface. The difference between N<sub>2</sub> fixation rates of early successional and mature BSC were not statistically significant when N<sub>2</sub>-fixation rates were estimated by integrating ARA rates from thin (1-3mm) slices along BSC depth profiles (as opposed to intact cores) (Johnson et al., 2005).

Molecular studies of BSC microbial diversity include explorations of the BSC microbial community vertical profile (Garcia-Pichel et al., 2003a), BSC nifH gene content surveys (e.g. Yeager et al. (2004), Yeager et al. (2012), Yeager et al. (2006) and Steppe et al. (1996)), and next-generation-sequencing (NGS) enabled studies of BSC SSU rRNA genes across wide geographic ranges (Garcia-Pichel et al., 2013; Steven et al., 2013). Early successional BSC are often described as "light" in appearance relative to "dark' mature BSC (Belnap, 2002; Yeager et al., 2004). Mature BSC possess greater numbers of heterocystous Cyanobacteria (i.e Scytonema, Spirirestis, and Nostoc (Yeager et al., 2006, 2012)) than developing BSC but both early successional and mature BSC are dominated by non-heterocystous Cyanobacteria (Microcoleus vaginatus or M. steenstrupii) (Yeager et al., 2004; Garcia-Pichel et al., 2013). Heterocystous Cyanobacteria are the numerically dominant BSC diazotrophs in nifH clone libraries (Yeager et al., 2006, 2004, 2012). Eighty-nine perent of 693 nifH sequences derived from Colorado Plateau and New Mexico BSC samples were described as heterocystous cyanobacterial (non-cyanobacterial nifH sequences were largely attributed to alpha- and beta- proteobacteria) (Yeager et al., 2006). However, an early survey of Colorado Plateau BSC nifH diversity recovered nifH genes related to Gammaproteobacteria as well as a clade that included nifH genes from the anaerobes Clostridium pssteurianum, Desulfovibrio gigas and Chromatium buderi,

The influence of microbial community membership and structure on BSC N<sub>2</sub>-fixation is an ongoing research question (Belnap, 2013). While the presence/abundance of heterocystous *Cyanobacteria* has been proposed as the mechanism behind increased N<sub>2</sub>-fixation in mature BSC, it is unclear if mature BSC actually fix more N than early successional BSC (see Johnson et al. (2005)). More studies are necessary to elucidate the microbial membership influence on BSC N<sub>2</sub>-fixation and to determine if heterocystous *Cyanobacteria* are the only keystone diazotrophs. The first step in defining structure function relationships with respect to N<sub>2</sub>-fixation is a full accounting of BSC diazotrophs. Towards this end we conducted <sup>15</sup>N<sub>2</sub> DNA stable isotope probing (DNA-SIP) experiments with early successional Colorado Plateau BSC. DNA-SIP with <sup>15</sup>N<sub>2</sub> has not been attempted with BSC. DNA-SIP provides an accounting of *active* diazotrophs whereas *nifH* clone libraries account for microbes with the genomic potential for N<sub>2</sub>-fixation. Further, we investigae the distribution of these active diazotrophs through collections of SSU rRNA gene sequences from BSC NGS microbial diversity surveys over a range of spatial scales and soil types (Garcia-Pichel et al., 2013; Steven et al., 2013).

#### 3 RESULTS

# 3.1 ORDINATION OF CSCL GRADIENT FRACTION SSU RRNA SEQUENCE COLLECTIONS SHOWS HEAVY FRACTIONS FROM CONTROL AND LABELED CSCL GRADIENTS ARE DIFFERENT

BSC were incubated for 4 days in the presence or absence of <sup>15</sup>N<sub>2</sub> and DNA was extracted for DNA-SIP at 2 and 4 days. Fractionation of CsCl gradients permitted separation of DNA on the basis of buoyant density. Ordination of Bray-Curtis (Bray and Curtis, 1957) distances between gradient fractions (based on OTU abundance within each fraction) reveals that labeled gradient fractions (i.e. gradient fractions from gradients with <sup>15</sup>N<sub>2</sub> labeled DNA) diverge from control (i.e. DNA from incubations without <sup>15</sup>N<sub>2</sub>) at the "heavy" of the CsCl gradients (Figure 1 and Figure S2). Differences among label/control groups with heavy fractions are statistically significant by the Adonis test (p-value: 0.001, r<sup>2</sup>: 0.18) (Anderson, 2001).

# 3.2 OTUS RESPONSIVE TO $^{15}\mathrm{N}_2$ ARE PRIMARILY PROTEOBACTERIA AND CLOSTRIDIACEAE

A statistically significant increase in OTU abundance in heavy fractions of <sup>15</sup>N<sub>2</sub> labeled samples relative 84 to corresponding control fractions provides evidence for OTUs that have incorporated <sup>15</sup>N into their DNA. Specifically, we compared OTU proportion means between labeled and control samples from heavy 86 gradient fractions using statistics developed to find differentially expressed genes with RNASeq data 87 (McMurdie and Holmes, 2014; Love et al., 2014). OTUs that incorporated <sup>15</sup>N into DNA and increased 88 in bouyant density were identified by rejecting the the null hypothesis that the labeled versus control proportion mean ratio for an OTU (considering only heavy fractions) was below a chosen threshold (see methods). p-values were adjusted by the BH method (Benjamini and Hochberg, 1995) and we used a 91 92 false discovery rate (FDR) cutoff of 0.10 (typical FDR threshold in gene expression data analysis). A total of 2,127 and 2,160 OTUs were detected in days 2 and 4, respectively, and interrogated for evidence of 93 <sup>15</sup>N<sub>2</sub>-labelling. Of these OTUs, only 208 and 233, respectively, passed a sparsity threshold we applied 94 as an independent filtering step to pre-screen out OTUs not likely to produce significant p-values (see 95 Love et al. (2014) for discussion of independent filtering). Of OTUs passing sparsity criteria 38 were 96 found to be enriched significantly in "heavy" fractions relative to control. These OTUs likely incorporated 97 <sup>15</sup>N into DNA (<sup>15</sup>N<sub>2</sub> "responders"). Of these 38, 26 are annotated as *Firmicutes*, 9 as *Proteobacteria*, 98 2 as Acidobacteria and 1 as Actinobacteria (Figure 3, Figure 2). If the responder OTUs are ranked by 99 descending, moderated proportion mean labeled:control ratios, the top 10 ratios (i.e. the 10 OTUs that 100 were most enriched in the labeled gradients considering only heavy fractions) are either Firmicutes (6 101 OTUs) or *Proteobacteria* (4 OTUs) (Figure 4). Centroid sequences of strongly responding *Proteobacteria* 102 OTUs all share high sequence identity (>98.48%, Table 1) with cultivars from genera known to possess 103 diazotrophs including Klebsiella, Shigella, Acinetobacter, and Ideonella. None of the Firmicutes OTUs 104 in the top 10 responders share greater than 97% sequence identity with sequences in the LTP database 105 (release 115) (see Table 1). OTUs that passed the sparsity threshold but were not classified as <sup>15</sup>N-106 responsive were subsequently tested against the null hypothesis that the OTU proportion mean ratio was 107 above the selected threshold. Rejecting the second null would indicate an OTU did not incorporate 15N 108 into biomass. There were 58 and 70 "non-responders" at days 2 and 4, respectively. OTUs that did not 109 pass sparsity or could not be classified as either a responder or non-responder are simply ambiguous with respect to <sup>15</sup>N labelling. 111

#### 3.3 15N-RESPONSIVE OTUS IN ENVIRONMENTAL SAMPLES

- Five of the 6 Firmicutes with the strongest response to  $^{15}$ N-labelling (Table X) belong in the Clostridiacea.
- 113 We only observed one of these strongly responding *Clostridiaceae* in the data presented by Garcia-Pichel
- et al. (2013), "OTU.108" (closest BLAST hit in LTP Release 115 Caloramotor proteoclasticus, BLAST

%ID 96.94, Accession X90488). OTU.108 was found in two samples both characterized as "light" crust. 115 One other Clostridiaceae OTU with a proportion mean ratio (labeled:control) p-value less than 0.10 but 116 outside the top 10 responders was found in the Garcia-Pichel et al. (2013) data (a "light" crust sample) 117 (Figure 2). None of the strongly responding Clostridiacea were found in the sequences provided by Steven 118 et al. (2013). *Clostridiaceae* <sup>15</sup>N-responder OTU are not closely related to cultivars. (Table 1, Figure 5). 119 One of the proteobacterial OTUs with the strongest <sup>15</sup>-N response (Table X) was found in Garcia-Pichel 120 et al. (2013) (closest BLAST hit in LTP Release 115, BLAST %ID 100, Accession ZD3440, Acinetobacter 121 johnsonii). None of the strongly responding Protebacteria OTUs were found in the Steven et al. (2013) 122 sequences. Responder OTUs were found in Steven et al. (2013) samples 133 times. 83 were in "below 123 crust" samples, 50 in crust samples (see Figure 2). Two <sup>15</sup>N-responsive OTUs were found in an extensive 124 number of environmental samples (61 of 65 samples from the combined data sets of Garcia-Pichel et al. 125 (2013) and Steven et al. (2013)). Both OTUs were annotated as Acidobacteria but shared little sequence 126 identity to any cultivar SSU rRNA gene sequences in the LTP (Release 115), with best LTP BLAST hits 127 of 81.91 and 81.32% identity. Additionally, the <sup>15</sup>N-response for each OTU was weak relative to other 128 putative responders (3. Of the remaining 36 stable isotope responder OTUs, only 14 were observed in the 129 130 environmental data (Figure 2, Figure S5).

#### 3.4 COMPARING SEQUENCE COLLECTIONS AT "STUDY"-LEVEL

We compared the sequences determined in this study to two previous surveys of SSU rRNA amplicons 131 from BSC communities: the Garcia-Pichel et al. (2013) and Steven et al. (2013) study. There were 3,079 132 OTUs (209,354 total sequences after quality control) in the DNA-SIP data, 3,203 OTUs (129,033 total 133 sequences after quality control) in the Garcia-Pichel et al. (2013) study, and 2,481 OTUs (129,358 total 134 sequences after quality control) in the Steven et al. (2013) study. Of the 4,340 OTU centroids established 135 for this study (including sequences from Steven et al. (2013) and Garcia-Pichel et al. (2013)) 445 have 136 matches in the Living Tree Project (LTP) (a collection of 16S gene sequences for all sequenced type 137 138 strains (Yarza et al., 2008)) at greater or equal than 97% sequence identity (LTP version 115). That is, 445 of 4,340 OTUs (10%) are closely related to cultivars. The DNA-SIP data set shares 56% OTUs with the 139 140 Steven et al. (2013) data and 46% of OTUs with the Garcia-Pichel et al. (2013) data (where total OTUs are from the combined data for each pairwise comparison). The Steven et al. (2013) and Garcia-Pichel 141 et al. (2013) studies share 46% of OTUs. Cyanobacteria and Proteobacteria were the top two phylum-142 level sequence annotations for all three studies of BSC. Only the DNA-SIP data had more *Proteobacteria* 143 annotations than Cyanobacteria. Proteobacteria represented the 29.8% of sequence annotations in DNA-144 SIP data as opposed to 17.8% and 19.2% for the Garcia-Pichel et al. (2013) and Steven et al. (2013) data, 145 146 respectively. There is a stark contrast in the total percentage of sequences annotated as *Firmicutes* between the raw environmental samples and the DNA-SIP data. Firmicutes represent only 0.21% and 0.23% of 147 total phylum level sequence annotations in the Steven et al. (2013) and Garcia-Pichel et al. (2013) studies, 148 respectively (Figure \$1). In the DNA-SIP sequence collection Firmicutes make up 19% of phylum level 149 150 sequence annotations. SIP places focus upon organisms based on isotope incorporation and has the ability to detect activity by low abundance members of the community. DNA from OTUs that incopororate <sup>15</sup>N 151 into their biomass moves towards the heavy end of the CsCl gradient and therefore OTUs in "labeled" 152 DNA are enriched in the full data pool relative to bulk DNA. Phylum-level taxonomic annotations of 153 <sup>15</sup>N-responsive OTUs (i.e. *Firmicutes* and *Proteobacteria*) are enriched in the DNA-SIP data relative to 154 155 environmental data (Figure S1). Also in sharp contrast for the DNA-SIP versus environmental data is the number of putative heterocystous Cyanobacteria sequences. Only 0.29% of Cyanobacteria sequences in 156 the DNA-SIP data are annotated as belonging to "Subsection IV" which is the heterocystous order of 157 158 Cyanobacteria in the Silva taxonomic nomenclature (Pruesse et al., 2007). In the Steven et al. (2013) and Garcia-Pichel et al. (2013) studies 15% and 23%, respectively, of Cyanobacteria sequences are annotated 159 as belonging to "Subsection IV". 160

#### 4 DISCUSSION

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BSC N-fixation has long been attributed to heterocystous Cyanobacteria and molecular surveys of BSC 161 nifH gene content have been consistent with this hypothesis finding cyanobacterial nifH types to be 162 numerically dominant in *nifH* gene libraries from BSC (Yeager et al., 2006, 2004, 2012). However, <sup>15</sup>N<sub>2</sub> 163 DNA-SIP revealed non-cyanobacterial microbes fixed N<sub>2</sub> in early successional BSC samples. DNA from 164 early successional BSC samples-incubated for 2 and 4 days in the presence or absence of <sup>15</sup>N<sub>2</sub>-was 165 collected and separated by bouyant density in CsCl density gradients. Heavy CsCl gradient fractions 166 from gradients with <sup>15</sup>N-labelled DNA were different in phylogenetic membership/structure than heavy 167 fractions with unlabeled DNA (Figure 1 and Figure S2)). Further, heavy gradient fractions clustered 168 by DNA type (labeled or unlabeled) (Figure 1). Therefore, headspace <sup>15</sup>N<sub>2</sub> in early successional BSC 169 microcosms was incorporated into DNA. Further, OTUs enriched in labeled gradient heavy fractions 170 relative to control are the specific taxa that incorporated  $^{15}$ N (from  $^{15}$ N<sub>2</sub>) into biomass. *Proteobacteria* and 171 Clostridiaceae represented most OTUs enriched in DNA from labeled gradient heavy fractions relative 172 173 to control as revealed by a robust statistical framework for quantifying and evaluating differential OTU 174 abundance in microbiome studies. Additionally, Proteobacteria and Clostridiaceae represented OTUs that most strongly responded to <sup>15</sup>N. 175

176 It is possible that PCR-driven molecular surveys of nifH gene content have been biased. We propose three mechanisms that could bias nifH clone libraries against heteroptophic diazotrophs. First, polyploidy 177 in Cyanobacteria (Griese et al., 2011) would inflate the representation of cyanobacteria in community 178 179 DNA (beyond a cell ratio). Second, *nifH* PCR primers could be biased against heterotrophic diazotrophs. In general the nifH PCR primers used by Yeager et al. (2006, 2004, 2012) ("19F" and "nifH3") for the first 180 round of nested PCR have broad specificity and display at least 86% in silico coverage for Proteobacteria, 181 Cyanobacteria and "Cluster III" (which includes clostridial nifH) reference nifH sequences (Gaby and 182 Buckley, 2012). In the second round of the nested PCR protocol used by Yeager et al. (2006, 2004, 2012), 183 primer "nifH11" is biased against "Cluster III" (50% in silico coverage of reference nifH sequences), 184 Proteobacteria (79% coverage) and Cyanobacteria (67% coverage), and, primer "nifH22" matches Proteobacteria, Cyanobacteria and "Cluster III" reference sequences poorly (16%, 23% and 21% in 185 186 silico coverage, respectively) (Gaby and Buckley, 2012). Unfortunately, it is difficult to assess or quantify 187 this bias (in either direction) without knowing the nifH gene content de novo. Third, heterocysts (the 188 189 specialized N-fixing cells along the trichome of filamentous heterocystous Cyanobacteria such as Nostoc and Scytonema) may be overrepresented in nifH clone libraries. Heterocysts make up a fraction of cells 190 along a trichome and even the non-heterocyst (non-N-fixing) cells in the trichome will possess the nifH 191 gene. As a result of polyploidy and the frequency of heterocysts in a cyanobacterial filament, the ratio 192 of cyanobacterial to heterotroph *nifH* gene copies may be  $10^2$ - $10^3$  higher than the ratio of heterocysts 193 to heterotrophic diazotroph cells. Regardless, our results suggest that BSC N-fixation may include a 194 significant non-cyanobacterial component that requires further assessment across a more comprehensive 195 196 sampling of BSC types.

We did not observe evidence for N-fixation by heterocystous *Cyanobacteria* in the early successional BSC samples used in this study. One possible explanation for our results is that the early successional BSC samples used in this study possessed too few heterocystous *Cyanobacteria* to statistically evaluate their <sup>15</sup>N-incorporation. Indeed, only 0.29% of sequences from this study's DNA-SIP 16S rRNA gene sequence collections were from heterocystous *Cyanobacteria* (see results) as opposed to 15% and 23% of total sequences in the Steven et al. (2013) and Garcia-Pichel et al. (2013) data, respectively. Nonetheless, we would still expect even low abundance diazotrophs to show evidence for <sup>15</sup>N-incorporation, provided sequence counts were not too sparse in heavy fractions. The OTUs defined by selected heterocystous *Cyanobacteria* sequences presented in Yeager et al. (2006), however, all fall below the sparsity threshold used in our analysis (see methods). Given the sparsity of heterocystous *Cyanobacteria* sequences in the DNA-SIP data set, it is not possible to assess whether heterocystous *Cyanobacteria* incorporated <sup>15</sup>N during the incubation. It should be noted that "light" crust samples

possess much less heterocystous *Cyanobacteria* in general (Figure S3) so the samples used in this study are not necessarily unrepresentative of typical early successional developed BSC simply because they are lacking heterocystous *Cyanobacteria*.

The OTUs that did appear to incorporate <sup>15</sup>N during the incubation were predominantly *Proteobacteria* and *Firmicutes*. The *Proteobacteria* OTUs for which <sup>15</sup>N-incorporation signal was strongest all shared high sequence identity (>=98.48%) with 16S sequences from cultivars in genera with known diazotrophs (Table 1). The *Firmicutes* that displayed signal for <sup>15</sup>N-incorporation (predominantly *Clostridiaceae*) were not closely related to any cultivars (Table 1, Figure 5). These BSC *Clostrodiaceae* diazotrophs represent a gap in culture collections. As culture-based ecophysiological studies have proven useful towards explaining ecological phenomena in BSC 16S rRNA gene sequence surveys (Garcia-Pichel et al., 2013), it would seem that these putative *Clostridiaceae* diazotrophs would be prime candidates for targeted culturing efforts. Assessing the physiological response of these diazotrophic *Clostridiaceae* to temperature would be useful for predicting how climate change will affect the BSC nitrogen budget.

Although too undersampled in the environmental data sets to reach statistical conclusions, <sup>15</sup>N-responsive OTUs were found more often in sub-crust or or early successional BSC samples (Figure 2 and Figure S5). This result generates some hypotheses that are counter to prior discussions regarding BSC diazotroph temporal dynamics. Specifically, the succession of BSC may not be marked by the *emergence* of diazotrophs in BSC but rather the *transition* of the diazotroph community from heterotroph to cyanobacteria dominance. Additionally, sub-crust soil may contribute significantly to the N budget in arid ecosystems.

We propose that fast-growing heterotrophic diazotrophs such as *Clostridiaceae* are likely BSC ecosystem pioneers. *M. vaginatus* accumulates compatible solutes such as trehalose and sucrose as osmoprotectants during dessication (Rajeev et al., 2013). Additionally, although not demonstrated specifically with *M. vaginatus*, microorganisms can rapidly excrete compatible solutes upon wetting (Poolman and Glaasker, 1998). Many *Clostridiaceae* have a saccharolytic metabolism (Wiegel et al., 2006) and *Clostridiaceae* isolates have been shown to utilize trehalose and/or sucrose (summarized in Wiegel et al. (2006)). Further, *Clostridiaceae* isolates are fast-growing (doubling times typically between 30 min and 3 hr when grown on monosaccharides in culture (Wiegel et al., 2006)). Upon wetting, the early successional BSC environment may become rapidly rich in compatible solutes excreted by *M. vaginatus*. This boom-bust cycle would favor fast-growing microorganisms such as *Clostridiaceae* that can double rapidly and also fix N.

Rarefaction curves of all samples from Steven et al. (2013) and Garcia-Pichel et al. (2013) are still sharply increasing especially for sub-crust samples (Figure S4). Parametric richness estimates of BSC diversity indicate the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequencing efforts recovered on average 40.5% (sd. 9.99%) and 45.5% (sd. 11.6%) of existing 16S OTUs from samples (inset Figure S4), respectively. Therefore, is not alarming that few of the <sup>15</sup>N-responsive OTUS were found by Garcia-Pichel et al. (2013) and Steven et al. (2013). Even next-generation sequencing efforts of BSC 16S rRNA genes have only shallowly sampled the full diversity of BSC microbes.

#### 4.1 CONCLUSION

Heterocystous *Cyanobacteria* are key contributors to the BSC N-budget, but, the <sup>15</sup>N-responsive OTUs found in this study and the nifH gene sequences from Steppe et al. (1996) in addition to the N-fixation rate data presented by Johnson et al. (2005) suggest there may be significant non-cyanobacterial BSC diazotrophs specifically within the Clostrideaceae and Proteobacteria. It seems clear that heterocystous Cyanobacteria increase in abundance with BSC age (Yeager et al., 2004). It is less clear if this transition marks the emergence of diazotrophs versus a re-structuring of the BSC diazotroph community from one dominated by *Clostridiaceae* and *Proteobacteria* to one predominantly heterocystous *Cyanobacteria*. DNA-SIP is a valuable tool in the molecular microbial ecologist's toolbox for identifying members of microbial community functional guilds (Neufeld et al., 2007). PCR-based surveys of diagnostic marker 

- 256 genes and DNA-SIP are both used to connect microbial phylogenetic types to microbial activities, but
- they occupy a non-overlapping set of strengths and weaknesses. DNA-SIP does not focus on a specific 257
- 258 diagnostic marker but does identify active players in the studied process (i.e. N-fixation). Combined these
- tools can powerfully reveal connections between ecosystem membership/structure and function. Here we 259
- supplement previous surveys of BSC nifH diversity, a diagnostic marker PCR-driven approach, with <sup>15</sup>N<sub>2</sub> 260
- DNA-SIP, While we do not confirm previous results, we expand knowledge of BSC diazotroph diversity. 261
- Predicting BSC N-fixation with respect to climate change, althered precipitation regimes and physical 262
- disturbance requires a careful accounting of diazotrophs including non-cyanobacterial types. 263

## MATERIALS AND METHODS

#### **BSC SAMPLING AND INCUBATION CONDITIONS**

- Light crust samples (37.5 cm<sup>2</sup>, average mass 35 g) were incubated in sealed chambers under controlled 264
- atmosphere and in the light for 4 days. Crusts were dry prior to time zero and were wetted at initiation of 265
- experiment. Treatments included control air (unenriched headspace) and enriched air (>98% atom  $^{15}N_2$ ) 266
- headspace. Samples were taken at 2 days and 4 days incubation. Acetylene reduction rates were measured 267
- daily. DNA was extracted from 1 g of crust. Samples were taken from Green Butte, Arizona as previously 268
- described (site CP3, Beraldi-Campesi et al. (2009)). All samples were from light crusts as described by 269
- 270 Johnson et al. (2005).

#### 5.2 DNA EXTRACTION

- DNA from each sample was extracted using a MoBio PowerSoil DNA Isolation Kit (following 271
- manufacturers protocol, but substituting a 2 minute bead beating for the vortexing step), and then gel 272
- purified. Extracts were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes).

#### **DNA-SIP** 5.3

- 274 Gradient density centrifugation of DNA was undertaken in 4.7 mL polyallomer centrifuge tubes in a
- TLA-110 fixed angle rotor (both Beckman Coulter) in CsCl gradients with an average density of 1.725 275
- g/mL. Average density for all prepared gradients was checked with an AR200 refractometer before runs. 276
- Between 2.5-5 μg of DNA extract was added to the CsCl solution (15mM Tris-HCl, pH 8; 15mM EDTA; 277
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- 15mM KCl), and gradients were run under conditions of 20C for 67 hours at 55,000 rpm (Buckley et al.,
- 2007). Centrifuged gradients were fractionated from bottom to top in 36 equal fractions of 100  $\mu$ L, using a 279
- by syringe pump as described Manefield et al. (2002). The density of each fraction was determined using 280 using an AR200 refractometer modified to accomidate 5ul samples (Buckley et al., 2007). DNA in each 281
- fraction was desalted on a filter plate (PALL, AcroPrep Advance 96 Filter Plate, Product Number 8035),
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- using four washes with  $300\mu$ L TE per fraction. After each wash, the filter plate was spun at 500 g for 10 283 minutes, with a final spin of 20 minutes. Fractions were resuspended in 50 uL of TE buffer. 284

## PCR, LIBRARY NORMALIZATION AND DNA SEQUENCING

- Barcoded PCR of bacterial and archaeal 16S rRNA genes, in preparation for 454 Pyrosequencing, was 285 carried out using primer set 515F/806R (Walters et al., 2011) (primers purchased from Integrated DNA 286
- Technologies). The primer 806R contained an 8 bp barcode sequence, a "TC" linker, and a Roche 454 287
- B sequencing adaptor, while the primer 515F contained the Roche 454 A sequencing adapter. Each 288
- 25 µL reaction contained 1x PCR Gold Buffer (Roche), 2.5 mM MgCl<sub>2</sub>, 200 µM of each of the four 289
- 290 dNTPs (Promega), 0.5 mg/mL BSA (New England Biolabs), 0.3  $\mu$ M of each primers, 1.25 U of Amplitaq
- Gold (Roche), and 8  $\mu$ L of template. Each sample was amplified in triplicate. Thermal cycling occurred 291
- with an initial denaturation step of 5 minutes at 95C, followed by 40 cycles of amplification (20s at 292

- 293 95C, 20s at 53C, 30s at 72C), and a final extension step of 5 min at 72C. Triplicate amplicons were
- pooled and purified using Agencourt AMPure PCR purification beads, following manufacturers protocol. 294
- 295 Once cleaned, amplicons were quantified using PicoGreen nucleic acid quantification dyes (Molecular
- Probes) and pooled together in equimolar amounts. Samples were sent to the Environmental Genomics 296
- Core Facility at the University of South Carolina (now Selah Genomics) to be run on a Roche FLX 454
- 298 pyrosequencing machine.

#### **DATA ANALYSIS** 5.5

- All code to take raw sequencing data through the presented figures can be found at: 299
- http://nbviewer.ipython.org/github/chuckpr/NSIP\_data\_analysis 300
- 301 5.5.1 Sequence quality control Sequences were initially screened by maximum expected errors at a 302 specific read length threshold (Edgar, 2013) which has been shown to be as effective as denoising 454 reads with respect to removing pyrosequencing errors. Specifically, reads were first truncated to 230 303 nucleotides (nt) (all reads shorter than 230 nt were discarded) and any read that exceeded a maximum 304 expected error threshold of 1.0 was removed. After truncation and max expected error trimming, 91% of original reads remained. The first 30 nt representing the forward primer and barcode on high quality, 306 307 truncated reads were trimmed. Remaining reads were taxonomically annotated using the "UClust" taxonomic annotation framework in the QIIME software package (Caporaso et al., 2010; Edgar, 2010) 308 with cluster seeds from Silva SSU rRNA database (Pruesse et al., 2007) 97% sequence identity OTUs as reference (release 111Ref). Reads annotated as "Chloroplast", "Eukaryota", "Archaea", "Unassigned" or 309 310 "mitochondria" were culled from the dataset. Finally, reads were aligned to the Silva reference alignment 311 provided by the Mothur software package (Schloss et al., 2009) using the Mothur NAST aligner (DeSantis
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- 313 et al., 2006). All reads that did not appear to align to the expected amplicon region of the SSU rRNA gene
- were discarded. Quality control parameters removed 34,716 of 258,763 raw reads. 314
- 315 5.5.2 Sequence clustering Sequences were distributed into OTUs using the UParse methodology 316 (Edgar, 2013). Specifically, cluster seeds were identified using USearch with a collection of non-redundant reads sorted by count as input. The sequence identity threshold for establishing a new OTU centroid 317 was 97%. After initial cluster centroid selection, select 16S rRNA gene sequences trimmed to the same 318 alignment positions as the other centroids from Yeager et al. (2006) were added to the centroid collection. 319 320 Specifically, Yeager et al. (2006) Colorado Plateau or Moab, Utah sequences were added which included the 16S rRNA gene sequences for Calothrix MCC-3A (accession DQ531700.1), Nostoc commune MCT-1 321 (accession DQ531903), Nostoc commune MFG-1 (accession DQ531699.1), Scytonema hyalinum DC-A 322 (accession DQ531701.1), Scytonema hyalinum FGP-7A (accession DQ531697.1), Spirirestis rafaelensis 323 324 LQ-10 (accession DQ531696.1). Centroid sequences that matched selected Yeager et al. (2006) sequences 325 with greater than to 97% sequence identity were subsequently removed from the centroid collection. With USearch/UParse, potential chimeras are identified during OTU centroid selection and are not allowed to 326 become cluster centroids effectively removing chimeras from the read pool. All quality controlled reads 327 were then mapped to cluster centroids at an identity threshold of 97% again using USearch. 95.6% of 328 quality controlled reads could be mapped to centroids. Unmapped reads do not count towards sample 329 counts and are essentially removed from downstream analyses. The USearch software version for cluster 330 generation was 7.0.1090. 331
- 5.5.3 Merging data from this study, Garcia-Pichel et al. (2013), and Steven et al. (2013) As only 332 sequences without corresponding quality scores were publicly available from Garcia-Pichel et al. (2013) 333 and Steven et al. (2013), these data sets were only quality screened by determining if they covered the 334 expected region of the 16S rRNA gene (described above). All data (this study, Garcia-Pichel et al. (2013) and Steven et al. (2013)) were included as input to USearch for OTU centroid selection and subsequent 336 mapping to OTU centroids. 337

- 338 5.5.4 Phylogenetic tree The alignment for the "Clostridiaceae" phylogeny was created using SSU-Align which is based on Infernal (Nawrocki and Eddy, 2013; Nawrocki et al., 2009). Columns in 339 340 the alignment that were not included in the SSU-Align covariance models or were aligned with poor confidence (less than 95% of characters in a position had posterior probability alignment scores of 341 at least 95%) were masked for phylogenetic reconstruction. Additionally, the alignment was trimmed 342 to coordinates such that all sequences in the alignment began and ended at the same positions. The 343 "Clostridiaceae" tree included all top BLAST hits (parameters below) for <sup>15</sup>N Clostridiaceae responders 344 in the Living Tree Project database (Yarza et al., 2008) in addition to BLAST hits within a sequence 345 identity threshold of 97% to <sup>15</sup>N responders from the Silva SSURef\_NR SSU rRNA database (Pruesse 346 et al., 2007). Only one SSURef\_NR115 hit per study per OTU ("study" was determined by "title" field) 347 was selected for the tree. FastTree (Price et al., 2010) was used to build the tree and support values are 348 349 SH-like scores reported by FastTree.
- Placement of short sequences into backbone phylogeny Short sequences were mapped to the reference backbone using pplacer (Matsen et al., 2010) (default parameters). pplacer finds the edge placements that maximize phylogenetic likelihood. Prior to being mapped to the reference tree, short sequences were aligned to the reference alignment using Infernal (Nawrocki et al., 2009) against the same SSU-Align covariance model used to align reference sequences.
- 5.5.5 BLAST searches BLAST searches were done with the "blastn" program from BLAST+ toolkit (Camacho et al., 2009) version 2.2.29+. Default parameters were always employed and the BioPython (Cock et al., 2009) BLAST+ wrapper was used to invoke the blastn program. Pandas (McKinney, 2012) and dplyr (Wickham and Francois, 2014) were used to parse and munge BLAST output tables.
- 5.5.6 Identifying OTUs that incorporated <sup>15</sup>N into their DNA SIP is a culture-independent approach 359 towards defining identity-function connections in microbial communities (Buckley, 2011; Neufeld et al., 360 2007). Microbes incubated in the presence of <sup>13</sup>C or <sup>15</sup>N labeled substrates can incorporate the stable 361 heavy isotope into biomass if they participate in the substrate's transformation. Stable isotope labeled 362 nucleic acids can then be separated from unlabeled by buoyant density in a CsCl gradient. As the buoyant 363 density of a macromolecule is dependent on many factors in addition to stable isotope incorporation 364 (e.g. GC-content in nucleic acids (Youngblut and Buckley, 2014)), labeled nucleic acids from one 365 366 microbial population may have the same buoyant density of unlabeled nucleic acids from another (i.e. each population's nucleic acids would be found at the same point along a density gradient although 367 368 only one population's nucleic acids are labeled). Therefore it is imperative to compare density gradients with nucleic acids from heavy stable isotope incubations to gradients from "control" incubations where 369 everything mimics the experimental conditions except that unlabeled substrates are used (and all DNA 370 would be unlabeled). By contrasting "heavy" density gradient fractions in experimental density gradients 371 (hereafter referred to as "labeled" gradients) against heavy fractions in control gradients, the identities of 372 373 microbes with labeled nucleic acids can be determined
- 374 We used an RNA-Seq differential expression statistical framework (Love et al., 2014) to find OTUs 375 enriched in heavy fractions of labeled gradients relative to corresponding density fractions in control gradients (for review of RNA-Seq differential expression statistics applied to microbiome OTU count data 376 see McMurdie and Holmes (2014)). We use the term differential abundance (coined by McMurdie and 377 Holmes (2014)) to denote OTUs that have different proportion means across sample classes (in this case 378 the only sample class is labeled/control). CsCl gradient fractions were categorized as "heavy" or "light". 379 The heavy category denotes fractions with density values above 1.725 g/mL. Since we are only interested 380 in enriched OTUs (labeled versus control), we used a one-sided z-test for differential abundance (the null 381 hypothesis is the labeled:control proportion mean ratio for an OTU is less than a selected threshold). P-382 383 values were corrected with the Benjamini and Hochberg method (Benjamini and Hochberg, 1995). We selected a log<sub>2</sub> fold change null threshold of 0.25 (or a labeled:control proportion mean ratio of 1.19). 384 DESeq2 was used to calculate the moderated log<sub>2</sub> fold change of labeled:control proportion mean ratios 385

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and corresponding standard errors. Mean ratio moderation allows for reliable ratio ranking such that high variance and likely statistically insignificant mean ratios are appropriately shrunk and subsequently ranked lower than they would be as raw ratios. To summarize, OTUs with high moderated labeled:control proportion mean ratios have higher proportion means in heavy fractions of labeled gradients relative to heavy fractions of control gradients, and therefore have likely incorporated <sup>15</sup>N into their DNA during the incubation.

Although DNA-SIP is a powerful technique, analysis of DNA-SIP data is not without ambiguities. One limitation is the discrete, selected boundary in the form of a adjusted p-value threshold (or false discovery rate) that marks which OTUs we consider to be enriched in the heavy fractions of labeled CsCl gradients (and thus have likely incorporated <sup>15</sup>N into their DNA during the incubation). In reality the metric we use to quantify the magnitude of an OTU's response to a stable isotope is continuous, and there is only an artificial boundary between which OTUs appear to have "responded" and which OTUs have unknown response. For this reason, we have presented all the OTUs that satisfy our "response" criteria but focused on the most strongly responding OTUs. As with any hypothesis-based statistical test, care should be taken when interpreting the significance of results where p-values are near the selected threshold for rejecting the null hypothesis.

5.5.7 Ordination Principal coordinate ordinations depict the relationship between samples at each time point (day 2 and 4). Bray-Curtis distances were used as the sample distance metric for ordination. The Phyloseq (McMurdie and Holmes, 2014) wrapper for Vegan (Oksanen et al., 2013) (both R packages) was used to compute sample values along principal coordinate axes. GGplot2 (Wickham, 2009) was used to display sample points along the first and second principal axes. Adonis tests Anderson (2001) were done with default number of permutations (1000).

#### 5.6 RICHNESS ANALYSES

Rarefaction curves were created using bioinformatics modules in the PyCogent Python package (Knight et al., 2007). Parametric richness estimates were made with CatchAll using only the best model for total OTU estimates (Bunge, 2010).

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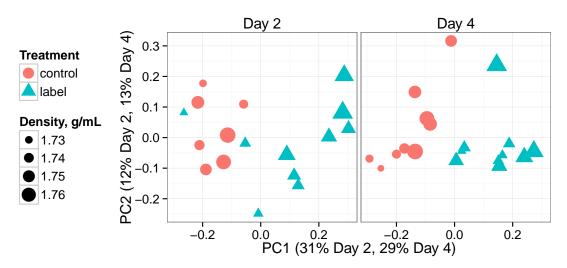
#### **6 FIGURES AND LONG TABLES**

Table 1. 15 N responders BLAST search against Living Tree Project

OTU ID	Genera	BLAST %ID	Phylum
OTU.78	Desulfocella, Bryobacter	80.31	Acidobacteria
OTU.55	Desulfocella, Bryobacter	81.03	Acidobacteria
OTU.116	Streptomyces	100.0	Actinobacteria
OTU.140	Bacillus	100.0	Firmicutes
OTU.3	Bacillus	100.0	Firmicutes
OTU.1747	Clostridium	94.36	Firmicutes
OTU.327	Clostridium	94.92	Firmicutes
OTU.17	Clostridium	95.45	Firmicutes
OTU.61	Clostridium	95.92	Firmicutes
OTU.11	Clostridium	95.94	Firmicutes
OTU.2175	Clostridium	95.96	Firmicutes
OTU.1673	Clostridium	95.9	Firmicutes
OTU.330	Clostridium	96.94	Firmicutes
OTU.75	Clostridium	96.97	Firmicutes
OTU.643	Clostridium	97.45	Firmicutes
OTU.3712	Clostridium, Eubacterium	96.43	Firmicutes
OTU.37	Clostridium, Ilyobacter	96.43	Firmicutes
OTU.2404	Domibacillus	99.49	Firmicutes
OTU.4167	Fonticella	93.43	Firmicutes
OTU.4037	Fonticella	93.85	Firmicutes
OTU.57	Fonticella, Caloramator	93.88	Firmicutes
OTU.575	Gracilibacter	94.42	Firmicutes
OTU.259	Parasporobacterium	98.47	Firmicutes
OTU.278	Symbiobacterium	90.62	Firmicutes
OTU.88	Symbiobacterium	92.86	Firmicutes
OTU.342	Acinetobacter	100.0	Proteobacteria
OTU.263	Azospirillum	98.48	Proteobacteria
OTU.137	Azospirillum	98.98	Proteobacteria
OTU.176	Delftia	100.0	Proteobacteria
OTU.14	Klebsiella, Pantoea, Erwinia, Enterobacter, Kluyvera, Buttiauxella	99.49	Proteobacteria
OTU.586	Ottowia, Diaphorobacter, Ideonella, Vitreoscilla, Comamonas	98.48	Proteobacteria

OTU ID	Table 1 – continued from previous page Genera	BLAST %ID	Phylum
OTU.321	Pseudomonas	100.0	Proteobacteria
OTU.54	Shigella, Escherichia	100.0	Proteobacteria

Figure 1. Ordination of heavy gradient fraction sequence collections by Bray-Curtis distances.



**Figure 2.** Phylogenetic trees of OTUs passing sparsity threshold for selected phyla. *A)* Point denotes OTU is classified as a  $^{15}$ N "responder". *B)* Heatmap of moderated  $\log_2$  proportion mean ratios (labeled:control gradients) for each OTU at each incubation day. High values indicate  $^{15}$ N incorporation. *C)* Presence/absence of OTUs (black indicates presence) in lichen, light, or dark environmental samples (Garcia-Pichel et al., 2013). *D)* Presence/absence of OTUs (black indicates presence) in crust and below crust samples (Steven et al., 2013).



Figure 3. Moderated  $log_2$  of proportion mean ratios for labeled versus control gradients (heavy fractions only, densities  $i_0$ 1.725 g/mL). All OTUs found in at least 62.5% of heavy fractions at a specific incubation day are shown. Red color denotes a proportion mean ratio that has a corresponding adjusted p-value below a false discovery rate of 10% (the null model is that the proportion mean is ratio is below 0.25). The horizontal line is the proportion mean threshold for the null model, 0.25. The inset figure summarizes the taxonomy of OTUs that with proportion mean ratio p-vaules under 0.10 for at least one time point.



**Figure 4.** Relative abundance values in heavy fractions (density greater or equal to 1.725 g/mL) for the top 10 <sup>15</sup>N "responders" (putative diazotrophs, see results for selection criteria of top 10) at each incubation day. See Table 1 for BLAST results of top 10 responders against the LTP database (release 115). Point area is proportional to CsCl gradient fraction density, and color signifies control (red) or labeled (blue) treatment.



**Figure 5.** See methods for selection criteria for sequences in backbone tree. Edge width is proportional to number of short putative *Clostridiaceae* diazotroph sequences placed at that position. Placement of short sequences can be spread across multiple edges Matsen et al. (2010). Reference sequences from cultivars have boxes at tips and full species names. Tips with only accession annotations are from environmental reference sequences.



## 7 SUPPLEMENTAL FIGURES



Figure S1. Distribution of sequences into top 9 phyla (phyla ranked by sum of all sequence annotations).

**Figure S2.** Ordination of Bray-Curtis sample pairwise distances for each incubation time. Point area is proportional to the density of the CsCl gradient fraction for each sequence library, and color/shape reflects control (red triangles) or labeled (blue circles) treatment. Inset shows Bray-Curtis distances for paired control versus labeled CsCl gradient fractions (i.e. fractions from the same incubation day and same density) against the density of the pair (p-value:  $4.526e^{-5}$ ,  $r^2$ : 0.434).

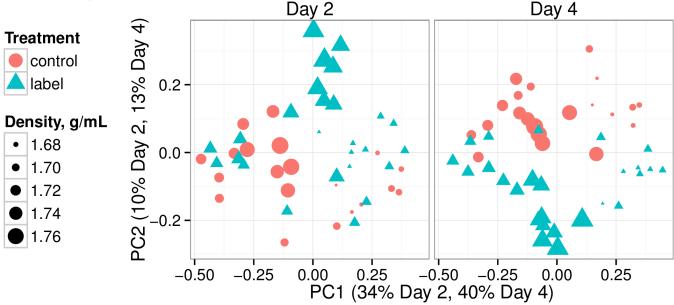


Figure S3. Relative abundance of selected heterocystous cyanobacterial OTUs with centroids from sequences described in Yeager et al. (2006) (see methods for selection criteria) in Steven et al. (2013) data set.



**Figure S4.** Rarefaction curves for all samples presented by Garcia-Pichel et al. (2013) and Steven et al. (2013). Inset is boxplot of estimated sampling effort for all samples in Garcia-Pichel et al. (2013) and Steven et al. (2013) (number of observed OTUs divided by number of CatchAll Bunge (2010) estimated total OTUs)



Figure S5. Counts of "responder" OTU occurrences in samples from Steven et al. (2013) and Garcia-Pichel et al. (2013). Steven et al. (2013) collected BSC samples (25 samples total) and samples from soil beneath BSC (17 samples total, "below" column in figure). Garcia-Pichel et al. (2013) collected samples from "dark" (9 samples total) and "light" (12 samples total) crusts in addition to "lichen" (2 samples total) dominated crusts.

Garcia-Pichel et al. (2013)

Steven et al. (2013)

