Non-cyanobacterial diazotrophs dominate dinitrogen fixation in biological soil crusts at the early stage of crust formation.

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ABSTRACT

- Biological soil crusts (BSC) cover a vast global area and are key components of ecosystem productivity in arid soils. In particular, BSC contribute significantly to the nitrogen (N) budget in arid ecosystems
- via N₂-fixation. N₂-fixation in mature crusts is largely attributed to heterocystous cyanobacteria,
- however, early successional crusts are dominated by non-heterocystous cyanobacteria and this suggests
- that microorganisms other than cyanobacteria mediate N2-fixation during the early stages of BSC
- 7
- development. DNA stable isotope probing (DNA-SIP) with ¹⁵N₂ revealed that *Clostridiaceae* and *Proteobacteria* are the most common microorganisms to assimilate ¹⁵N in early successional 'light' crusts.
- The maximum relative abundance of $^{15}N_2$ -assimilating taxa in the BSC was 0.00225% and 0.00127% for
- taxa that belong to Clostridiaceae and Proteobacteria, respectively. Their low abundance may explain 10
- why these heterotrophic diazotrophs have not previously been characterized in BSC. Diazotrophs play a
- critical role in BSC formation and characterization of these organisms represents a crucial step towards
- understanding how antropogenic change will effect the formation and ecological function of BSC in arid
- ecosystems.

INTRODUCTION

- Biological soil crusts (BSC) are specialized microbial mat communitites that form at the soil surface in 15
- arid environmets and fill a variety of important ecological functions in arid ecosystems. BSC occupy plant 16
- interspaces and cover a wide, global geographic range (Garcia-Pichel et al., 2003b). The ground cover 17
- of BSC on the Colorado Plateau has been measured as high as 80% by remote sensing (Karnieli et al., 18
- 2003). The global biomass of BSC Cyanobacteria alone is estimated at 54 x 1012 g C (Garcia-Pichel
- et al., 2003b). BSC play important roles in arid ecosystem productivity and are responsible for significant 20
- nitrogen (N) flux (for review of BSC N-fixation see Belnap (2003)). For example, N-input via N-fixation 21
- 22 versus atmospheric depositon was predominant in five times as many BSC samples from North America,
- Africa and Australia (Evans and Belnap, 1999). The presence of BSC is positively correlated with vascular 23
- plant survival due in part to BSC ecosystem N contributions (for review of BSC-vascular plant interactions 24
- see Belnap et al. (2003)). Climate change and disturbance alter BSC microbial community structure and 25
- membership and therefore can alter diazotroph diversity and the BSC N-budget. 26
- BSC N-fixation rate studies (typically employing the acetylene reduction assay (ARA)) have explored 27
- BSC diazotroph activity across various ecological gradients. Reported BSC N-fixation rates vary 28

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significantly (Evans and Lange, 2001). The reasons for this variability are complex and likely include the spatial heterogeneity of BSC (Evans and Lange, 2001) and the impact of recent environmental conditions on N-fixation rates (see Belnap (2001) for discussion). Moreover, the ARA assay is subject to methodological artifacts that preclude cross-study and possibly intra-study but inter-environment type comparisons (see Belnap (2001) for review). Nonetheless, mature BSC N-fixation rate measurements have been higher than younger, developing BSC N-fixation rate measurements (Belnap, 2002; Yeager et al., 2004). This difference may be due to the proliferation of heterocystous Cyanobacteria in older mats and is consistent with the theory that heterocystous *Cyanobacteria* are the primary BSC diazotrophs. Alternatively, the N-fixation rate differences between young and old BSC might be attributable to methodological artifacts. For instance, Johnson et al. (2005) show that N-fixation rates peak at a lower depth in developing BSC as compared to mature BSC. When N-fixation is measured from intact cores of developing BSC the measurement may be artifactually low due to delayed acetylene/ethylene diffusion through the crust to and from the peak N-fixation rate depth in a typical ARA incubation timeframe. Diffusion would not be an issue when measuring N-fixation rates in mature crust as nitrogenase activity peaks near the surface. When total N-fixation rates were calculated by integrating rates over 1-3 mm depth slices along full BSC cores (thus mitigating ethene/acetylene flux limitations), N-fixation rate differences between developing and mature BSC were not statistically significant (Johnson et al., 2005).

Molecular studies of BSC microbial diversity include explorations of the BSC microbial community vertical profile (Garcia-Pichel et al., 2003a), BSC nifH gene content surveys (e.g. Yeager et al. (2004), Yeager et al. (2012), Yeager et al. (2006) and Steppe et al. (1996)), and next-generation-sequencing (NGS) enabled studies of BSC SSU rRNA gene content across wide geographic ranges (Garcia-Pichel et al., 2013; Steven et al., 2013). nifH surveys have been conducted across BSC development stages (Yeager et al., 2004), as well as across seasons, temperatures and precipitation gradients (Yeager et al., 2012). Mature, more fully developed BSC possess greater numbers of heterocystous Cyanobacteria (e.g. Nostoc, Syctonema) than developing BSC but both young and old BSC are dominated by non-heterocystous Cyanobacteria (Microcoleus vaginatus or M. steenstrupii) (Yeager et al., 2004; Garcia-Pichel et al., 2013). Young or recently disturbed BSC are often described as "light" in appearance relative to "dark" mature BSC (Belnap, 2002; Yeager et al., 2004). Heterocystous Cyanobacteria are the numerically dominant BSC diazotrophs in nifH clone libraries (Yeager et al., 2006, 2004, 2012) although an early survey of Colorado Plateau BSC nifH diversity recovered nifH genes related to Gammaproteobacteria as well as a clade that included nifH genes from the anaerobes Clostridium pssteurianum, Desulfovibrio gigas and Chromatium buderi, Specifically, Yeager et al. (2006)—in a study of overall BSC nifH diversity categorized 89% of 693 nifH sequences derived from Colorado Plateau and New Mexico BSC samples as heterocystous cyanobacterial (non-cyanobacterial nifH sequences were largely attributed to alphaand beta-proteobacteria). The heterocystous cyanobacterial BSC diazotrophs fall into three genera, Scytonema, Spirirestis, and Nostoc (Yeager et al., 2006, 2012).

The influence of microbial community membership and structure on BSC N-fixation is an ongoing research question (Belnap, 2013). While the presence/abundance of heterocystous *Cyanobacteria* has been proposed as the mechanism behind increased N-fixation in mature BSC, it is unclear if mature BSC actually fix more N (see Johnson et al. (2005)). More studies are necessary to elucidate the microbial membership influence on BSC N-fixation and to determine if heterocystous *Cyanobacteria* are the only keystone diazotrophs. The first step in defining structure function relationships with respect to N-fixation is a full accounting of BSC diazotrophs. Towards this end we conducted ¹⁵N₂ DNA stable isotope probing (DNA-SIP) experiments with light, developing Colorado Plateau BSC. DNA-SIP with ¹⁵N₂ has not been attempted with BSC. DNA-SIP provides an accounting of *active* diazotrophs whereas *nifH* clone libraries account for microbes with the genomic potential for N-fixation. Further, we track the distribution of putative diazotrophs uncovered in this study through collections of NGS SSU rRNA libraries from BSC microbial diversity surveys over a range of spatial scales and soil types (Garcia-Pichel et al., 2013; Steven et al., 2013).

3 RESULTS

3.1 ORDINATION OF CSCL GRADIENT FRACTION SSU RRNA LIBRARIES

BSC were incubated for 4 days in the presence or absence of ¹⁵N₂ and DNA was extracted for DNA-SIP at 78 2 and 4 days. Fractionation of CsCl gradients permitted separation of DNA on the basis of buoyant density. 79 Ordination of Bray-Curtis (Bray and Curtis, 1957) distances between SSU-rRNA amplicon sequence 80 81 collections from gradient fractions reveals that labeled gradient fraction (i.e. gradient fractions of DNA from ¹⁵N₂ incubations) sequence collections diverge from control (i.e. DNA from incubations without ¹⁵N₂) at the "heavy" of the CsCl gradients (Figure 1 and Figure S2). Although the density position 83 of gradient fractions from different gradients do not match perfectly, fraction pairs from corresponding 84 control versus labeled gradients can be constructed by pairing control gradient fractions with their closest 85 density neighbors/fractions from corresponding labeled gradients. If a gradient fraction did not have a 86 87 mate within a density difference of 0.003 g/mL it remained unpaired. Bray-Curtis distance between the fraction pairs is positively correlated to the density of the labeled fraction (p-value: 0.00052, r²: 88 0.3315) (inset Figure S2). Additionally, differences among label/control groups with heavy fractions are 89 statistically significant by the Adonis test (p-value: 0.001, r²: 0.136) (Anderson, 2001). The first principal axis appears to be correlated with fraction density (Figure S2) and the Adonis test p-value for density 91 versus pairwise Bray-Curtis distances with all CsCl fraction libraries is 0.001 (r² 0.117).

3.2 IDENTITIES OF OTUS RESPONSIVE TO $^{15}\mathrm{N}_2$

A statistically significant increase in OTU abundance in heavy fractions of $^{15}N_2$ labeled samples relative to corresponding gradient fractions from controls provides evidence for OTUs that have incorporated 94 95 ¹⁵N into their DNA. Specifically, we compared OTU proportion means between labeled and control samples from heavy gradient fractions using statistics developed to find differentially expressed genes with 96 RNASeq data (McMurdie and Holmes, 2014; Love et al., 2014), p-values were adjusted by the BH method 97 (Benjamini and Hochberg, 1995) and we used a false discovery rate (FDR) cutoff of 0.10 (typical FDR threshold in gene expression data analysis, (Love et al., 2014)) to reject the null hypothesis that labeled 99 versus control proportion mean differences were below a chosen threshold (see methods). With the above 100 methods 38 OTUs had labeled versus control proportion mean difference adjusted p-values below 0.10 101 for one or both incubation days. These OTUs likely incorporated ¹⁵N into DNA (¹⁵N₂ "responders"). Of 102 these 38, 26 are annotated as Firmicutes, 9 as Proteobacteria, 2 as Acidobacteria and 1 as Actinobacteria 103 (Figure 3, Figure 2). If the OTUs are ranked by descending, moderated proportion mean labeled:control 104 ratios, the top 10 ratios (i.e. the 10 OTUs that were most enriched in the labeled gradients considering only 105 heavy fractions) are either Firmicutes (6 OTUs) or Proteobacteria (4 OTUs) (Figure 4). Proteobacteria 106 OTU centroid sequences for the top 10 responders all share high identity (>98.48% identity, Table 1) 107 with cultivars from genera known to possess diazotrophs including Klebsiella, Shigella, Acinetobacter, 108 and Ideonella. None of the Firmicutes OTUs in the top 10 responders share greater than 97% sequence 109 identity with sequences in the LTP database (release 115) (see Table 1). 110

If we run a second test of differential OTU abundance with the null hypothesis that OTU abundance is greater (above a threshold) in labeled, heavy gradient fractions verus control, heavy gradient fractions, we can count OTUs that likely did not respond the the label. There were 58 and 70 "non-responders" at days 2 and 4, respectively. 208 and 233 of 2,127 and 2,160 OTUs passed our sparsity threshold (OTUs had to have counts in at least 62.5% of heavy fractions) for days 2 and 4, respectively..

3.3 COMPARISON OF SEQUENCE COLLECTIONS AT "STUDY"-LEVEL

116 3.3.1 Comparisons of OTU content: There were 3,079 OTUs (209,354 total sequences after quality control) in the DNA-SIP data, 3,203 OTUs (129,033 total sequences after quality control) in the Garcia-118 Pichel et al. (2013) study, and 2,481 OTUs (129,358 total sequences after quality control) in the Steven

- et al. (2013) study. Of the 4,340 OTU centroids established for this study (including sequences from 119
- Steven et al. (2013) and Garcia-Pichel et al. (2013)) 445 have matches in the Living Tree Project (LTP) 120
- 121 (a collection of 16S gene sequences for all sequenced type strains (Yarza et al., 2008)) at greater or equal
- than 97% (LTP version 115). That is, 445 of 4,340 OTUs are closely related to cultivars. The DNA-SIP 122
- data set shares 56% OTUs with the Steven et al. (2013) data and 46% of OTUs with the Garcia-Pichel
- et al. (2013) data (where total OTUs are from the combined data for each pairwise comparison). The 124
- 125 Steven et al. (2013) and Garcia-Pichel et al. (2013) share 46% of OTUs.
- Comparisons of Taxonomic Content: Cyanobacteria and Proteobacteria were the top two 126
- phylum-level sequence annotations for all three studies but only the DNA-SIP data had more 127
- Proteobacteria annotations than Cyanobacteria. Proteobacteria represented the 29.8% of sequence 128
- annotations in DNA-SIP data as opposed to 17.8% and 19.2% for the Garcia-Pichel et al. (2013) and 129
- Steven et al. (2013) data, respectively. There is a stark contrast in the total percentage of sequences 130
- annotated as Firmicutes between the raw environmental samples and the DNA-SIP data. Firmicutes 131
- represent only 0.21% and 0.23% of total phylum level sequence annotations in the Steven et al. (2013) 132
- 133 and Garcia-Pichel et al. (2013) studies, respectively (Figure S1). In the DNA-SIP sequence collection
- 134 Firmicutes make up 19% of phylum level sequence annotations. Also in sharp contrast for the DNA-
- SIP versus environmental data is the number of putative heterocystous *Cyanobacteria* sequences. Only 135
- 0.29% of Cyanobacteria sequences in the DNA-SIP data are annotated as belonging to "Subsection IV" 136
- which is the heterocystous order of Cyanobacteria in the Silva taxonomic nomenclature (Pruesse et al., 137
- 2007). In the Steven et al. (2013) and Garcia-Pichel et al. (2013) studies 15% and 23%, respectively, of 138
- Cyanobacteria sequences are annotated as belonging to "Subsection IV".

3.4 DISTRIBUTION OF BSC DIAZOTROPHS IN ENVIRONMENTAL SAMPLES

- 3.4.1 Clostridiacea: Five of the 6 Firmicutes in the top 10 responder OTUs (above) belong in 140
- the *Clostridiacea*. We only observed one of these strongly responding *Clostridiaceae* in the data presented by Garcia-Pichel et al. (2013), "OTU.108" (closest BLAST hit in LTP Release 115 141
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- Caloramotor proteoclasticus, BLAST %ID 96.94, Accession X90488). OTU.108 was found in two 143
- samples both characterized as "light" crust. One other Clostridiaceae OTU with a proportion mean ratio
- 145 (labeled:control) p-value less than 0.10 but outside the top 10 responders was found in the Garcia-Pichel
- et al. (2013) data (a "light" crust sample) (Figure 2). None of the strongly responding Clostridiacea were 146
- found in the sequences provided by Steven et al. (2013). Clostridiaceae ¹⁵N-responder OTU centroid 16S 147
- sequences are generally more closely related to environmental than cultivar 16S gene sequences (Table X, 148
- 149 Figure X).
- 3.4.2 **Proteobacteria:** One of the *Proteobacteria* OTUs in the 10 most strongly responding OTUs was 150
- found in the Garcia-Pichel et al. (2013) sequences (closest BLAST hit in LTP Release 115, BLAST %ID 151
- 100, Accession ZD3440, Acinetobacter johnsonii). None of the strongly responding Protebacteria OTUs 152
- 153 were found in the Steven et al. (2013) sequences. There were 133 responder OTU-sample occurrences
- (responder OTU was found in a sample library) in the Steven et al. (2013) data. 83 were in "below crust" 154
- samples, 50 in BSC samples (see Figure 2). 155
- 3.4.3 Other taxa: Two ¹⁵N-responsive OTUs were found in an extensive number of environmental 156
- samples (61 of 65 samples from the combined data sets of Garcia-Pichel et al. (2013) and Steven et al. 157
- (2013)). Both OTUs were annotated as Acidobacteria but shared little sequence identity to any cultivar 158
- SSU rRNA gene sequences in the LTP (Release 115), with best LTP BLAST hits of 81.91 and 81.32% 159
- identity. Additionally, the evidence for ¹⁵N incorporation for each OTU was weak relative to other putative
- responders (adjusted p-values of 0.090 and 0.096). Of the remaining 36 stable isotope responder OTUs, 161
- only 14 were observed in the environmental data (Figure 2, Figure S5). 162

4 DISCUSSION

4.1 STUDY-LEVEL DIFFERENCES

- 163 SIP places focus upon organisms based on isotope incorporation and has the ability to detect activity by
- 164 low abundance members of the community. DNA from OTUs that incopororate ¹⁵N into their biomass
- moves towards the heavy end of the CsCl gradient and therefore OTUs in "labeled" DNA are enriched in
- the full data pool relative to bulk DNA. Phylum-level taxonomic annotations of 15 N-responsive OTUs (i.e.
- 167 Firmicutes and Proteobacteria) are enriched in the DNA-SIP data relative to environmental data (Figure
- 168 X).

4.2 ORDINATION OF CSCL GRADIENT FRACTION 16S RRNA GENE SEQUENCE COLLECTIONS

- 169 The ordination of Bray-Curtis distances between CsCl gradient fraction 16S sequence collections show
- 170 that control fractions differ from labeled fractions in the "heavy" range of the CsĈl gradients (Figure S2).
- 171 If each control fraction is paired to the labeled fraction from the same incubation day for which it is closest
- in density, there is a positive and statistically significant correlation between Bray-Curtis distances within
- 173 fraction pairs and density of the pair (see inset Figure S2). Therefore, the "heavy" end of the control and
- 174 labeled gradients differ and the OTUs enriched in the labeled fractions (relative to control) would have
- 175 incorporated ¹⁵N into their DNA during the incubation timeframe.

4.3 BSC DIAZOTROPHS IDENTIFIED IN THE STUDY

- BSC N-fixation has long been attributed to heterocystous *Cyanobacteria* and molecular microbial ecology surveys of BSC *nifH* gene content have been consistent with this hypothesis finding cyanobacterial *nifH*
- types to be numerically dominant in *nifH* gene libraries (Yeager et al., 2006, 2004, 2012). Even poorly
- types to be numerically dominant in *nifH* gene libraries (Yeager et al., 2006, 2004, 2012). Even poorly developed BSC samples have yielded predominantly cyanobacterial *nifH* genes (Yeager et al., 2004).
- 180 And, "sub-biocrust" samples have yielded *entirely* heterocystous cyanobacterial *nifH* genes (Yeager
- 181 et al., 2012). It is possible, however, that PCR-driven molecular surveys of nifH gene content have
- been biased against non-heterocystous Cyanobacteria. In general the nifH PCR primers used by Yeager
- et al. (2006, 2004, 2012) (19F and nifH3) for the first round of nested PCR have broad specificity and display at least 86% in siling coverage for Protechasteria, Counch acteria and "Cluster III" wifH reference
- display at least 86% *in silico* coverage for *Proteobacteria*, *Cyanobacteria* and "Cluster III" *nifH* reference sequences (Gaby and Buckley, 2012). In the second round of the nested PCR protocol (Yeager et al.,
- sequences (Gaby and Buckley, 2012). In the second round of the nested PCR protocol (Yeager et al., 2006, 2004, 2012), primer nifH11 is slightly biased against "Cluster III" (50% coverage) but biased
- in favor of *Proteobacteria* (79% in silico coverage against 67% for *Cyanobacteria*) and nifH22 matches
- 188 Proteobacteria, Cyanobacteria and "Cluster III" reference sequences poorly (16%, 23% and 21% in silico
- 189 coverage, respectively) (Gaby and Buckley, 2012). Unfortunately, it is difficult to assess or quantify this
- bias (in either direction) without knowing the *nifH* gene content *de novo*. Another potential bias in favor of *Cyanobacteria* in BSC *nifH* gene libraries is heterocysts (the specialized N-fixing cells along the trichome
- 192 of filamentous heterocystous *Cyanobacteria* such as *Nostoc* and *Scytonema*) may be overrepresented
- 193 with respect to non-cyanobacterial diazotrophs because heterocysts make up a fraction cells along a
- trichome and even non-heterocyst cells in a trichome will possess the *nifH* gene. Polyploidy could further exacerbate this bias, as many *Cyanobacteria* are estimated to have multiple genome copies per cell (Griese
- 196 et al., 2011). Moreover, it should also be noted that *nifH* gene content is not directly extrapolable to
- 197 the taxonomic relative abundances of nitrogenase proteins. Regardless, our results suggest that BSC N-
- 198 fixation may include a significant non-cyanobacterial component that requires further assessment across
- 199 a more comprehensive sampling of BSC types.
- We did not observe evidence for N-fixation by heterocystous *Cyanobacteria* in the "light" crust samples
- used in this study. One possible explanation for our results is that the "light", still developing BSC samples used in this study possessed too few heterocystous *Cyanobacteria* to statistically evaluate their ¹⁵N-
- 203 incorporation. Indeed, only 0.29% of sequences from this study's DNA-SIP 16S rRNA gene sequence

libraries were from heterocystous Cyanobacteria (see results) as opposed to 15% and 23% of total sequences in the Steven et al. (2013) and Garcia-Pichel et al. (2013) data, respectively. Nonetheless, we would still expect even low abundance diazotrophs to show evidence for ¹⁵N-incorporation, provided sequence counts were not too sparse in heavy fractions. The OTUs defined by selected heterocystous Cyanobacteria sequences presented in Yeager et al. (2006), however, all fall below the sparsity threshold used in our analysis (see methods). Given the sparsity of heterocystous Cyanobacteria sequences in the DNA-SIP data set, it is not possible to assess whether heterocystous Cyanobacteria incorporated ¹⁵N during the incubation. It should be noted that "light" and in particular "sub-biocrust" samples possess much less heterocystous Cyanobacteria in general (Figure S3) so the samples used in this study are not necessarily unrepresentative of typical poorly developed BSC simply because they are lacking heterocystous Cyanobacteria.

The OTUs that did appear to incorporate ¹⁵N during the incubation were predominantly *Proteobacteria* and *Firmicutes*. The *Proteobacteria* OTUs for which ¹⁵N-incorporation signal was strongest all shared high sequence identity (>=98.48% sequence identity) with 16S sequences from cultivars in genera with known diazotrophs (Table 1). The *Firmicutes* that displayed signal for ¹⁵N-incorporation (predominantly *Clostridiaceae*) were not closely related to any cultivars (Table 1, Figure 5). These BSC *Clostrodiaceae* diazotrophs represent a gap in culture collections. As culture-based ecophysiological studies have proven useful towards explaining ecological phenomena in BSC 16S rRNA gene sequence libraries (Garcia-Pichel et al., 2013), it would seem that these putative *Clostridiaceae* diazotrophs would be prime candidates for targeted culturing efforts. Assessing the physiological response of these diazotrophic *Clostridiaceae* to temperature would be useful for predicting how climate change will affect the BSC nitrogen budget. *Gamma-proteobacteria* and spore-forming *Firmicutes* are classic opportunistic lineages that would presumably be suited to the boom/bust BSC environment. The compatible solutes produced and secreted by cyanobacteria in response to dessication and subsequent wetting could produce a local C-rich environment. Diazotrophs would be uniquely suited to respond quickly in C-rich but N-poor conditions.

Although too undersampled in the environmental data sets to reach statistical conclusions, ¹⁵N-responsive OTUs were found more often in below crust samples (as opposed to BSC samples) in the Steven et al. (2013) data and in "light" BSC samples in the Garcia-Pichel et al. (2013) data (Figure S5). This result generates some hypotheses that are counter to prior discussions regarding BSC diazotroph temporal dynamics (keeping in mind this phenomenon has not been evaluated statistically). Specifically, the transition of BSC from a light colored, developing crust to a dark, mature crust may not mark the *emergence* of diazotrophs in BSC but rather the *transition* of the diazotroph community from heterotroph dominance to cyanobacteria. Additionally, the soil beneath BSC may contribute significantly to the N budget in arid ecosystems.

4.4 SEQUENCING DEPTH

Rarefaction curves of all samples from Steven et al. (2013) and Garcia-Pichel et al. (2013) are still sharply increasing especially for "below crust" samples (Figure S4). Parametric richness estimates of BSC diversity indicate the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequencing efforts recovered on average 40.5% (sd. 9.99%) and 45.5% (sd. 11.6%) of existing 16S OTUs from samples (inset Figure S4), respectively. Further, the Steven et al. (2013) and Garcia-Pichel et al. (2013) sequence collections only share 57.6% of total OTUs found in at least one of the studies. In fact, this study shares more OTUs with Steven et al. (2013), 62.4% of OTUs in the combined data, than the Steven et al. (2013) study shares with Garcia-Pichel et al. (2013). Therefore, is not alarming that few of the ¹⁵N-responsive OTUS were found by Garcia-Pichel et al. (2013) and Steven et al. (2013), it is important to point out that even nextgeneration sequencing efforts of BSC 16S rRNA genes have only shallowly sampled the full diversity of BSC microbes.

4.5 CONCLUSION

Heterocystous Cyanobacteria are key contributors to the BSC N-budget, but, the ¹⁵N-responsive OTUs 249 found in this study and the nifH gene sequences from Steppe et al. (1996) in addition to the N-fixation 250 rate data presented by (Johnson et al., 2005) suggest there may be significant non-cyanobacterial BSC 251 diazotrophs specifically within the *Clostrideaceae* and *Proteobacteria*. It seems clear that heterocystous 252 Cyanobacteria increase in abundance with BSC age (Yeager et al., 2004). It is less clear if this transition 253 254 marks the emergence of diazotrophy versus a re-structuring of the BSC diazotroph community from one dominated by Firmicutes and Proteobacteria to one predominantly heterocystous Cyanobacteria. DNA-255 SIP is a valuable tool in the molecular microbial ecologist's toolbox for identifying members of microbial 256 community functional guilds (Neufeld et al., 2007). PCR-based surveys of diagnostic marker genes and 257 258 DNA-SIP are both used to connect microbial phylogenetic types to microbial activities, but they occupy a non-overlapping set of strengths and weaknesses. Combined these tools can powerfully reveal connections 259 between ecosystem membership/structure and function. Here we supplement previous surveys of BSC 260 nifH diversity, a diagnostic marker PCR-driven approach, with ¹⁵N₂ DNA-SIP, and, while we do not 261 confirm previous results, we expand knowledge of BSC diazotroph diversity. Predicting BSC N-fixation 262 263 with respect to climate change, althered precipitation regimes and physical disturbance requires a careful accounting of diazotrophs including non-cyanobacterial types. 264

5 MATERIALS AND METHODS

5.1 BSC SAMPLING AND INCUBATION CONDITIONS

Light crust samples (37.5 cm², average mass 35 g) were incubated in sealed chambers under controlled atmosphere and in the light for 4 days. Crusts were dry prior to time zero and were wetted at initiation of experiment. Treatments included control air (unenriched headspace) and enriched air (>98% atom ¹⁵N₂) headspace. Samples were taken at 2 days and 4 days incubation. Acetylene reduction rates were measured daily. DNA was extracted from 1 g of crust. Samples were taken from Green Butte, Arizona as previously described (site CP3, Beraldi-Campesi et al. (2009)). All samples were from light crusts as described by Johnson et al. (2005).

5.2 DNA EXTRACTION

272 DNA from each sample was extracted using a MoBio PowerSoil DNA Isolation Kit (following 273 manufacturers protocol, but substituting a 2 minute bead beating for the vortexing step), and then gel 274 purified. Extracts were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes).

Gradient density centrifugation of DNA was undertaken in 4.7 mL polyallomer centrifuge tubes in a

5.3 DNA-SIP

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TLA-110 fixed angle rotor (both Beckman Coulter) in CsCl gradients with an average density of 1.725 276 g/mL. Average density for all prepared gradients was checked with an AR200 refractometer before runs. 277 Between 2.5-5 μ g of DNA extract was added to the CsCl solution (15mM Tris-HCl, pH 8; 15mM EDTA; 278 15mM KCl), and gradients were run under conditions of 20C for 67 hours at 55,000 rpm (Buckley et al.). 279 Centrifuged gradients were fractionated from bottom to top in 36 equal fractions of 100 μ L, using a by 280 syringe pump as described Manefield et al. (2002). The density of each fraction was determined using 281 using an AR200 refractometer modified to accomidate 5ul samples (Buckley et al.). DNA in each fraction 282 was desalted on a filter plate (PALL, AcroPrep Advance 96 Filter Plate, Product Number 8035), using four 283 washes with 300μ L TE per fraction. After each wash, the filter plate was spun at 500 g for 10 minutes, 284 with a final spin of 20 minutes. Fractions were resuspended in 50 uL of TE buffer. 285

5.4 PCR, LIBRARY NORMALIZATION AND DNA SEQUENCING

Barcoded PCR of bacterial and archaeal 16S rRNA genes, in preparation for 454 Pyrosequencing, was 286 287 carried out using primer set 515F/806R (Walters et al., 2011) (primers purchased from Integrated DNA Technologies). The primer 806R contained an 8 bp barcode sequence, a "TC" linker, and a Roche 454 288 289 B sequencing adaptor, while the primer 515F contained the Roche 454 A sequencing adapter. Each 25 μL reaction contained 1x PCR Gold Buffer (Roche), 2.5 mM MgCl₂, 200 μM of each of the four 290 dNTPs (Promega), 0.5 mg/mL BSA (New England Biolabs), 0.3 μ M of each primers, 1.25 U of Amplitaq 291 Gold (Roche), and 8 μ L of template. Each sample was amplified in triplicate. Thermal cycling occurred 292 with an initial denaturation step of 5 minutes at 95C, followed by 40 cycles of amplification (20s at 293 294 95C, 20s at 53C, 30s at 72C), and a final extension step of 5 min at 72C. Triplicate amplicons were 295 pooled and purified using Agencourt AMPure PCR purification beads, following manufacturers protocol. 296 Once cleaned, amplicons were quantified using PicoGreen nucleic acid quantification dyes (Molecular Probes) and pooled together in equimolar amounts. Samples were sent to the Environmental Genomics 297 Core Facility at the University of South Carolina (now Selah Genomics) to be run on a Roche FLX 454 298 299 pyrosequencing machine.

5.5 DATA ANALYSIS

300 Sequence quality control Sequences were initially screened by maximum expected errors at a 301 specific read length threshold (Edgar, 2013) which has been shown to be as effective as denoising 454 302 reads with respect to removing pyrosequencing errors. Specifically, reads were first truncated to 230 nucleotides (nt) (all reads shorter than 230 nt were discarded) and any read that exceeded a maximum 303 expected error threshold of 1.0 was removed. After truncation and max expected error trimming, 91% of 304 305 original reads remained. The first 30 nt representing the forward primer and barcode on high quality, truncated reads were trimmed. Remaining reads were taxonomically annotated using the "UClust" 306 taxonomic annotation framework in the QIIME software package (Caporaso et al., 2010; Edgar, 2010) 307 with cluster seeds from Silva SSU rRNA database (Pruesse et al., 2007) 97% sequence identity OTUs as reference (release 111Ref). Reads annotated as "Chloroplast", "Eukaryota", "Archaea", "Unassigned" or 308 309 310 "mitochondria" were culled from the dataset. Finally, reads were aligned to the Silva reference alignment provided by the Mothur software package (Schloss et al., 2009) using the Mothur NAST aligner (DeSantis 311 312 et al., 2006). All reads that did not appear to align to the expected amplicon region of the SSU rRNA gene were discarded. Quality control parameters removed 34,716 of 258,763 raw reads. 313

314 Sequence clustering Sequences were distributed into OTUs using the UParse methodology 315 (Edgar, 2013). Specifically, cluster seeds were identified using USearch with a collection of non-redundant reads sorted by count as input. The sequence identity threshold for establishing a new OTU centroid 316 was 97%. After initial cluster centroid selection, select 16S rRNA gene sequences trimmed to the same 317 alignment positions as the other centroids from Yeager et al. (2006) were added to the centroid collection. 318 Specifically, Yeager et al. (2006) Colorado Plateau or Moab, Utah sequences were added which included 319 320 the 16S rRNA gene sequences for *Calothrix* MCC-3A (accession DQ531700.1), *Nostoc commune* MCT-1 (accession DQ531903), Nostoc commune MFG-1 (accession DQ531699.1), Scytonema hyalinum DC-A 321 (accession DQ531701.1), Scytonema hyalinum FGP-7A (accession DQ531697.1), Spirirestis rafaelensis 322 LQ-10 (accession DQ531696.1). Centroid sequences that matched selected Yeager et al. (2006) sequences 323 with greater than to 97% sequence identity were subsequently removed from the centroid collection. With 324 USearch/UParse, potential chimeras are identified during OTU centroid selection and are not allowed to 325 become cluster centroids effectively removing chimeras from the read pool. All quality controlled reads 326 were then mapped to cluster centroids at an identity threshold of 97% again using USearch. 95.6% of 327 328 quality controlled reads could be mapped to centroids. Unmapped reads do not count towards sample counts and are essentially removed from downstream analyses. The USearch software version for cluster 329 generation was 7.0.1090. 330

- 5.5.3 Merging data from this study, Garcia-Pichel et al. (2013), and Steven et al. (2013) As only sequences without corresponding quality scores were publicly available from Garcia-Pichel et al. (2013) and Steven et al. (2013), these data sets were only quality screened by determining if they covered the expected region of the 16S rRNA gene (described above). All data (this study, Garcia-Pichel et al. (2013) and Steven et al. (2013)) were included as input to USearch for OTU centroid selection and subsequent
- 336 mapping to OTU centroids.
- 337 5.5.4 Phylogenetic tree The alignment for the "Clostridiaceae" phylogeny was created using SSU-Align which is based on Infernal (Nawrocki and Eddy, 2013; Nawrocki et al., 2009). Columns in 338 the alignment that were not included in the SSU-Align covariance models or were aligned with poor 339 confidence (less than 95% of characters in a position had posterior probability alignment scores of 340 at least 95%) were masked for phylogenetic reconstruction. Additionally, the alignment was trimmed 341 to coordinates such that all sequences in the alignment began and ended at the same positions. The 342 "Clostridiaceae" tree included all top BLAST hits (parameters below) for ¹⁵N Clostridiaceae responders 343 in the Living Tree Project database (Yarza et al., 2008) in addition to BLAST hits within a sequence 344 identity threshold of 97% to ¹⁵N responders from the Silva SSURef_NR SSU rRNA database (Pruesse 345 et al., 2007). Only one SSURef_NR115 hit per study per OTU ("study" was determined by "title" field) 346 was selected for the tree. FastTree (Price et al., 2010) was used to build the tree and support values are 347 348 SH-like scores reported by FastTree.
- Placement of short sequences into backbone phylogeny Short sequences were mapped to the reference backbone using pplacer (Matsen et al., 2010) (default parameters). pplacer finds the edge placements that maximize phylogenetic likelihood. Prior to being mapped to the reference tree, short sequences were aligned to the reference alignment using Infernal (Nawrocki et al., 2009) against the same SSU-Align covariance model used to align reference sequences.
- 5.5.5 BLAST searches BLAST searches were done with the "blastn" program from BLAST+ toolkit (Camacho et al., 2009) version 2.2.29+. Default parameters were always employed and the BioPython (Cock et al., 2009) BLAST+ wrapper was used to invoke the blastn program. Pandas (McKinney, 2012) and dplyr (Wickham and Francois, 2014) were used to parse and munge BLAST output tables.
- 5.5.6 Identifying OTUs that incorporated ¹⁵N into their DNA SIP is a culture-independent approach 358 towards defining identity-function connections in microbial communities (Buckley, 2011; Neufeld et al., 359 2007). Microbes incubated in the presence of ¹³C or ¹⁵N labeled substrates can incorporate the stable 360 heavy isotope into biomass if they participate in the substrate's transformation. Stable isotope labeled 361 nucleic acids can then be separated from unlabeled by buoyant density in a CsCl gradient. As the buoyant 362 density of a macromolecule is dependent on many factors in addition to stable isotope incorporation 363 (e.g. GC-content in nucleic acids (Youngblut and Buckley, 2014)), labeled nucleic acids from one 364 microbial population may have the same buoyant density of unlabeled nucleic acids from another (i.e. 365 each population's nucleic acids would be found at the same point along a density gradient although 366 only one population's nucleic acids are labeled). Therefore it is imperative to compare density gradients 367 with nucleic acids from heavy stable isotope incubations to gradients from "control" incubations where 368 everything mimics the experimental conditions except that unlabeled substrates are used (and all DNA 369 would be unlabeled). By contrasting "heavy" density gradient fractions in experimental density gradients (hereafter referred to as "labeled" gradients) against heavy fractions in control gradients, the identities of 370 371 microbes with labeled nucleic acids can be determined 372
- We used an RNA-Seq differential expression statistical framework (Love et al., 2014) to find OTUs enriched in heavy fractions of labeled gradients relative to corresponding density fractions in control gradients (for review of RNA-Seq differential expression statistics applied to microbiome OTU count data see McMurdie and Holmes (2014)). We use the term differential abundance (coined by McMurdie and

391

Holmes (2014)) to denote OTUs that have different proportion means across sample classes (in this case 377 the only sample class is labeled/control). CsCl gradient fractions were categorized as "heavy" or "light". 378 The heavy category denotes fractions with density values above 1.725 g/mL. Since we are only interested 379 in enriched OTUs (labeled versus control), we used a one-sided z-test for differential abundance (the null 380 hypothesis is the labeled:control proportion mean ratio for an OTU is less than a selected threshold). Pvalues were corrected with the Benjamini and Hochberg method (Benjamini and Hochberg, 1995). We 382 selected a log₂ fold change null threshold of 0.25 (or a labeled:control proportion mean ratio of 1.19). 383 DESeq2 was used to calculate the moderated log₂ fold change of labeled:control proportion mean ratios 384 and corresponding standard errors. Mean ratio moderation allows for reliable ratio ranking such that 385 high variance and likely statistically insignificant mean ratios are appropriately shrunk and subsequently 386 ranked lower than they would be as raw ratios. To summarize, OTUs with high moderated labeled:control 387 proportion mean ratios have higher proportion means in heavy fractions of labeled gradients relative to 388 heavy fractions of control gradients, and therefore have likely incorporated ¹⁵N into their DNA during the 389 390 incubation.

Although DNA-SIP is a powerful technique, analysis of DNA-SIP data is not without ambiguities. One limitation is the discrete, selected boundary in the form of a adjusted p-value threshold (or false discovery 392 rate) that marks which OTUs we consider to be enriched in the heavy fractions of labeled CsCl gradients 393 (and thus have likely incorporated ¹⁵N into their DNA during the incubation). In reality the metric we 394 use to quantify the magnitude of an OTU's response to a stable isotope is continuous, and there is only 395 an artificial boundary between which OTUs appear to have "responded" and which OTUs have unknown 396 response. For this reason, we have presented all the OTUs that satisfy our "response" criteria but focused 397 on the most strongly responding OTUs. As with any hypothesis-based statistical test, care should be taken 398 when interpreting the significance of results where p-values are near the selected threshold for rejecting 399 400 the null hypothesis.

5.5.7 Ordination Principal coordinate ordinations depict the relationship between samples at each time 401 point (day 2 and 4). Bray-Curtis distances were used as the sample distance metric for ordination. The 402 403 Phyloseq (McMurdie and Holmes, 2014) wrapper for Vegan (Oksanen et al., 2013) (both R packages) was 404 used to compute sample values along principal coordinate axes. GGplot2 (Wickham, 2009) was used to display sample points along the first and second principal axes. 405

RICHNESS ANALYSES 5.6

406 Rarefaction curves were created using bioinformatics modules in the PyCogent Python package (Knight 407 et al., 2007). Parametric richness estimates were made with CatchAll using only the best model for total 408 OTU estimates (Bunge, 2010).

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6 FIGURES AND LONG TABLES

Table 1.15N responders BLAST against Living Tree Project

OTU ID	Species Name	BLAST percent identity	accession
OTU.108	Caloramator proteoclasticus	96.94	X90488
	Pantoea rwandensis Pantoea rodasii Kluyvera intermedia Kluyvera cryocrescens	99.49 99.49 99.49 99.49	JF295055 JF295053 AF310217 AF310218
OTU.14	Klebsiella variicola Klebsiella pneumoniae subsp. rhinoscleromatis Klebsiella pneumoniae subsp. pneumoniae Erwinia aphidicola Enterobacter soli Enterobacter ludwigii Enterobacter kobei Enterobacter hormaechei Enterobacter cloacae subsp. dissolvens Enterobacter cancerogenus Enterobacter asburiae Enterobacter amnigenus Enterobacter aerogenes Buttiauxella warmboldiae Buttiauxella izardii Buttiauxella agrestis	99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49 99.49	AJ783916 Y17657 X87276 FN547376 GU814270 AJ853891 AJ508302 Z96079 Z96078 AB004744 AB004749 AB004750 AJ233406 AJ233405 AJ233404 AJ233400
OTU.1673	Clostridium drakei Clostridium carboxidivorans	95.9 95.9	Y18813 FR733710
OTU.327	Clostridium hydrogeniformans Clostridium amylolyticum	94.92 94.92	DQ196623 EU037903
OTU.330	Clostridium lundense	96.94	AY858804
OTU.342	Acinetobacter johnsonii	100.0	Z93440
OTU.4037	Fonticella tunisiensis	93.85	HE604099
OTU.54	Shigella sonnei Shigella flexneri Escherichia fergusonii Escherichia coli	100.0 100.0 100.0 100.0	FR870445 X96963 AF530475 X80725
OTU.57	Fonticella tunisiensis Caloramator proteoclasticus	93.88 93.88	HE604099 X90488
OTU.586	Vitreoscilla filiformis Ottowia pentelensis Ideonella dechloratans Diaphorobacter nitroreducens Comamonas terrigena	98.48 98.48 98.48 98.48	HM037993 EU518930 X72724 AB064317 AF078772

Figure 1. Ordination of heavy gradient fraction sequence collections by Bray-Curtis distances.

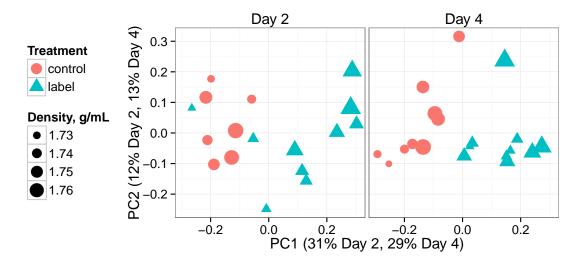


Figure 2. Phylogenetic trees of OTUs passing sparsity threshold for selected phyla. *A)* Point denotes OTU is classified as a 15 N "responder". *B)* Heatmap of moderated \log_2 proportion mean ratios (labeled:control gradients) for each OTU at each incubation day. High values indicate 15 N incorporation. *C)* Presence/absence of OTUs (black indicates presence) in lichen, light, or dark environmental samples (Garcia-Pichel et al., 2013). *D)* Presence/absence of OTUs (black indicates presence) in crust and below crust samples (Steven et al., 2013).



Figure 3. Moderated log_2 of proportion mean ratios for labeled versus control gradients (heavy fractions only, densities i_0 1.725 g/mL). All OTUs found in at least 62.5% of heavy fractions at a specific incubation day are shown. Red color denotes a proportion mean ratio that has a corresponding adjusted p-value below a false discovery rate of 10% (the null model is that the proportion mean is ratio is below 0.25). The horizontal line is the proportion mean threshold for the null model, 0.25. The inset figure summarizes the taxonomy of OTUs that with proportion mean ratio p-vaules under 0.10 for at least one time point.



Figure 4. Relative abundance values in heavy fractions (density greater or equal to 1.725 g/mL) for the top 10 ¹⁵N "responders" (putative diazotrophs, see results for selection criteria of top 10) at each incubation day. See Table 1 for BLAST results of top 10 responders against the LTP database (release 115). Point area is proportional to CsCl gradient fraction density, and color signifies control (red) or labeled (blue) treatment.



Figure 5. See methods for selection criteria for sequences in backbone tree. Edge width is proportional to number of short putative *Clostridiaceae* diazotroph sequences placed at that position. Placement of short sequences can be spread across multiple edges Matsen et al. (2010). Reference sequences from cultivars have boxes at tips and full species names. Tips with only accession annotations are from environmental reference sequences.



7 SUPPLEMENTAL FIGURES



Figure S1. Distribution of sequences into top 9 phyla (phyla ranked by sum of all sequence annotations).

Figure S2. Ordination of Bray-Curtis sample pairwise distances for each incubation time. Point area is proportional to the density of the CsCl gradient fraction for each sequence library, and color/shape reflects control (red triangles) or labeled (blue circles) treatment. Inset shows Bray-Curtis distances for paired control versus labeled CsCl gradient fractions (i.e. fractions from the same incubation day and same density) against the density of the pair (p-value: 4.526e⁻⁵, r²: 0.434).

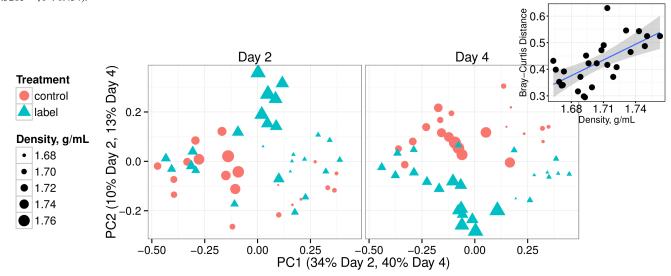


Figure S3. Relative abundance of selected heterocystous cyanobacterial OTUs with centroids from sequences described in Yeager et al. (2006) (see methods for selection criteria) in Steven et al. (2013) data set.



Figure S4. Rarefaction curves for all samples presented by Garcia-Pichel et al. (2013) and Steven et al. (2013). Inset is boxplot of estimated sampling effort for all samples in Garcia-Pichel et al. (2013) and Steven et al. (2013) (number of observed OTUs divided by number of CatchAll Bunge (2010) estimated total OTUs)



Figure S5. Counts of "responder" OTU occurrences in samples from Steven et al. (2013) and Garcia-Pichel et al. (2013). Steven et al. (2013) collected BSC samples (25 samples total) and samples from soil beneath BSC (17 samples total, "below" column in figure). Garcia-Pichel et al. (2013) collected samples from "dark" (9 samples total) and "light" (12 samples total) crusts in addition to "lichen" (2 samples total) dominated crusts.

Garcia-Pichel et al. (2013)

Steven et al. (2013)

