

# The AdS/CFT Correspondence as a Quantum Stokes Theorem of Information

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# 1 Introduction

The AdS/CFT correspondence, or gauge/gravity duality, is one of the major discoveries of contemporary theoretical physics [1]. It states an equivalence between a conformal gauge theory in  $d$  dimensions (CFT) and a gravitational theory in  $d + 1$  dimensions defined on an anti-de Sitter (AdS) space. This idea precisely embodies the *holographic principle*, according to which all the information contained in a volume of spacetime can be encoded on its boundary.

One of the historical drivers of this correspondence is the study of **black holes**. Since the work of Bekenstein and Hawking, it has been known that the entropy of a black hole is proportional to the area of its horizon, not its volume. This suggests that the gravitational degrees of freedom in the bulk are holographically organized on the surface. AdS/CFT provides a concrete framework to make this principle operational: the entropy calculated on the CFT side coincides with the area of the horizon on the gravitational side, thus validating the holographic view.

However, this correspondence relies on strong assumptions:

- The gravitational spacetime is of AdS type, with negative curvature.
- The conformal theory on the boundary must possess a high degree of symmetry (e.g.,  $\mathcal{N} = 4$  SYM in the AdS<sub>5</sub>/CFT<sub>4</sub> case).
- The extension of this duality to more realistic contexts, like de Sitter (dS) spacetime associated with a positive cosmological constant, remains speculative and much less understood.

These constraints make AdS/CFT not a universal description of quantum gravity, but an *exceptional theoretical laboratory*. Within this framework, it is possible to calculate quantities otherwise inaccessible (like strong coupling correlation functions), study black hole physics, and explore the deep links between gravity, quantum field theory, and quantum information.

The deep driver of this correspondence is that **spacetime and gravity are not fundamental**, but *emerge* as structures for processing and encoding quantum information. Gravitational dynamics is then merely an effective manifestation of more elementary rules: those of holography and quantum entanglement. In other words, the *bulk* and its geometry are not primary data: they are reconstructed from the *information pattern* located on the boundary.

This perspective connects with deep clues already present in quantum and gravitational physics:

- **Black hole entropy**: The Bekenstein–Hawking entropy is proportional to the horizon area, a sign that a surface encodes a volume of information.
- **Unruh effect**: An accelerated observer detects particles in the vacuum, showing that the notion of matter depends on the informational state defined by spacetime and the reference frame. Thus, matter can be said to *appear* as a transformation of spacetime itself.
- **Matter/spacetime duality**: Far from being separate entities, matter and spacetime can be seen as two complementary aspects of the same interacting informational substrate.

However, the constraints of this correspondence must be emphasized: it is demonstrated in highly symmetric contexts (AdS spaces with negative curvature, extended supersymmetry) and does not apply directly to more realistic universes like de Sitter (dS) spacetime, associated with a positive cosmological constant. These limitations do not detract from its central role: AdS/CFT constitutes a unique *theoretical laboratory* for exploring quantum gravity, black hole physics, and the intimate link between geometry, matter, and quantum information.

In what follows, we propose to interpret this correspondence as a *holographic generalization of Stokes’ theorem*, where the quantum information of the bulk is entirely encoded on the boundary. Thus, gravity and spacetime appear as a consequence of the *logic of quantum encoding*.

## 2 The Parallel with Stokes' Theorem

Stokes' theorem relates a volume integral to a boundary integral:

$$\int_M d\omega = \int_{\partial M} \omega.$$

It thus establishes a fundamental principle: *the degrees of freedom of the volume are encoded on the boundary.*

AdS/CFT can be understood analogously:

$$Z_{\text{gravity on AdS}}[\phi|_{\partial\text{AdS}} = J] = Z_{\text{CFT on } \partial\text{AdS}}[J].$$

This plays the role of a quantum Stokes: the dynamics in the AdS *bulk* are entirely determined by sources defined at the boundary.

## 3 Informational Reinterpretation

In the language of quantum information:

- The degrees of freedom of the CFT on the boundary act as a *quantum code* that encodes the gravitational degrees of freedom of the bulk.
- The CFT correlators  $\langle \mathcal{O}(x_1) \cdots \mathcal{O}(x_n) \rangle$  contain the complete information about the gravitational fields evolving in AdS.
- The AdS metric itself can be seen as emergent from the quantum entanglement structure in the CFT (cf. work by Van Raamsdonk).

### 3.1 Holographic Quantum Error-Correcting Codes

A central idea is that the AdS/CFT correspondence realizes a *quantum error-correcting code*:

- The information of a bulk operator (e.g., a field localized inside AdS) can be *reconstructed* from several distinct regions of the boundary.
- This reflects the *redundancy* inherent to error-correcting codes: information is protected against the loss of certain boundary regions.
- The so-called *HaPPY code* (Pastawski, Preskill et al.) illustrates this mechanism: a tensor network arranged like a hyperbolic tiling plays the role of a discrete map of AdS space, each tensor realizing an isometry that distributes information.

Mathematically, if  $\mathcal{H}_{\text{bulk}}$  is the Hilbert space of the bulk and  $\mathcal{H}_{\text{bdy}}$  that of the boundary, the encoding is given by an isometry:

$$V : \mathcal{H}_{\text{bulk}} \hookrightarrow \mathcal{H}_{\text{bdy}},$$

where  $V$  acts as a quantum error-correcting code distributing the bulk information into the boundary degrees of freedom.

### 3.2 Consequences

- **Bulk reconstruction:** An operator  $\phi_{\text{bulk}}$  can be represented by several operators  $\mathcal{O}_{\text{bdy}}$  acting on different boundary regions.
- **Holographic robustness:** The loss of part of the boundary does not lead to the loss of gravitational information, as long as the code remains error-correcting.
- **Link with entropy:** This redundancy explains why black hole entropy is measured in area (horizon surface) and not volume.

## 4 An Informational “Stokes’ Theorem” (bulk $\leftrightarrow$ boundary)

The informational analogue of Stokes’ theorem consists of relating an *information measure* defined on a bulk region to a quantity defined on its dual boundary region. The natural choice, robust under strong coupling and additive, is the **relative entropy** and, linearly, the **first law of entanglement**.

### 4.1 Information Measures: Relative Entropy and Modular Hamiltonian

For a reduced state  $\rho_A$  of a region  $A$  (on the boundary) and a reference state  $\sigma_A$ , we define:

$$S_{\text{rel}}(\rho_A \| \sigma_A) = \text{Tr}(\rho_A \log \rho_A) - \text{Tr}(\rho_A \log \sigma_A) = \Delta \langle K_A \rangle - \Delta S_A,$$

where  $K_A \equiv -\log \sigma_A$  is the *modular Hamiltonian* and  $S_A$  the entanglement entropy of  $\rho_A$ . On the bulk side, we consider the region  $W(A)$  (the *entanglement wedge*) and its reduced states  $\rho_{W(A)}$ ,  $\sigma_{W(A)}$ .

### 4.2 JLMS/FLM Type Statement: Informational Stokes

In the holographic semi-classical regime (large  $N$ , low curvature), we have the central equality<sup>1</sup>:

$$\boxed{S_{\text{rel}}^{\text{CFT}}(\rho_A \| \sigma_A) = S_{\text{rel}}^{\text{bulk}}(\rho_{W(A)} \| \sigma_{W(A)})} \quad (1)$$

In other words, *all the information difference* between  $\rho$  and  $\sigma$  measured on  $A$  is *equal* to that contained in the bulk  $W(A)$ . This plays the role of an “info Stokes” formula: a quantity defined in the volume (bulk) equals a quantity defined on the boundary.

In particular, the holographic entropy is given by the *generalized*

$$S_A = \text{ext}_{\chi_A} \left[ \frac{A(\chi_A)}{4G_N} + S_{\text{bulk}}(\text{int}(\chi_A)) \right],$$

where  $\chi_A$  is the *quantum extremal surface* (QES). The equality (1) follows from this in terms of *differences* (FLM/JLMS).

### 4.3 First Law of Entanglement $\Leftrightarrow$ Gravitational Gauss Law

To linear order around  $\sigma$  (first law), we have  $\delta S_A = \delta \langle K_A \rangle$ . On the bulk side, this becomes

$$\boxed{\delta \left( \frac{A(\chi_A)}{4G_N} \right) + \delta S_{\text{bulk}}(W(A)) = \delta \langle K_A^{\text{CFT}} \rangle}, \quad (2)$$

which is interpreted as a *Gauss law of entanglement*: the variation of *generalized information* in the volume (area + bulk entropy) equals the *modular flux* at the boundary. Via the Iyer–Wald identity,  $\delta(A/4G_N)$  can be written as a *boundary term* (Noether charge), reinforcing the “Stokes” analogy.

### 4.4 Integral Form: Information Current

One can formalize (2) in integral form. Let  $\Sigma_A$  be a hypersurface anchored on  $A$  in  $W(A)$ , with boundary  $\partial \Sigma_A = A \cup \chi_A$ . There exists an *information current*  $J_I$  and a *modular Noether potential*  $Q_I$  such that, on solutions of the equations of motion,

$$\int_{\Sigma_A} \nabla \cdot J_I = \oint_{\partial \Sigma_A} Q_I \iff \delta S_{\text{bulk}}(W(A)) + \delta \left( \frac{A(\chi_A)}{4G_N} \right) = \delta \langle K_A^{\text{CFT}} \rangle.$$

This is the *Stokes* version (divergence  $\rightarrow$  flux) of the conservation of modular/gravitational information.

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<sup>1</sup>Known as the JLMS result, equivalent to  $K_A^{\text{CFT}} = \frac{A(\chi_A)}{4G_N} + K_{W(A)}^{\text{bulk}} + \mathcal{O}(1/N)$ , where  $\chi_A$  is the extremal surface (QES).

## Reading.

- (1) is the *strong* equality “bulk = boundary” for information (relative entropy).
- (2) is the *linearized* version that identifies emergent gravity with a Gauss law of information.

## 5 Holographic Implications

This information-based view offers a unified understanding of various phenomena:

- **Black hole entropy (Bekenstein-Hawking):** the surface (horizon) encodes volumetric information.
- **Tensor networks:** constructions like MERA explicitly realize a discrete quantum Stokes (bulk  $\leftrightarrow$  boundary).
- **Computational complexity:** the geometry in AdS can be related to the complexity of operators in CFT.

## 6 Conclusion

Thus, the AdS/CFT correspondence appears as an instance of the general principle:

$$\text{Information in the volume} \equiv \text{Information on the boundary},$$

which generalizes Stokes’ theorem to quantum field theory and gravity.

This viewpoint places holography at the heart of a broader reflection: gravity and spacetime themselves are not fundamental entities, but *emergent structures for processing and encoding quantum information*. Therefore, the real question is not to “quantize spacetime”, but to understand the *universal laws of information encoding* that make the emergence of geometry, matter, and interactions possible.

## Appendix

### A Lagrangians of the AdS<sub>5</sub>/CFT<sub>4</sub> Correspondence N = 4 Super Yang-Mills Theory

The AdS/CFT correspondence, or gauge/gravity duality, is one of the most important discoveries of recent theoretical physics. It establishes an equivalence between a gauge theory in  $d$  dimensions and a gravity theory in  $(d + 1)$  dimensional anti-de Sitter (AdS) space. The most studied realization is the AdS<sub>5</sub>/CFT<sub>4</sub> correspondence which relates:

- CFT<sub>4</sub> side: The  $\mathcal{N} = 4$  Super Yang-Mills (SYM) theory in 4 dimensions with gauge group  $SU(N)$
- AdS<sub>5</sub> side: Type IIB superstring theory on the space  $AdS_5 \times S^5$

This document focuses on the formulation on the CFT<sub>4</sub> side of this correspondence.

## B $\mathcal{N} = 4$ Super Yang-Mills Theory

The  $\mathcal{N} = 4$  SYM theory is a maximally supersymmetric gauge theory in four dimensions. It possesses the following remarkable properties:

- Conformal invariance (CFT)
- Maximal supersymmetry ( $\mathcal{N} = 4$  supercharges)
- $SU(N)$  gauge symmetry
- Global R-symmetry  $SU(4) \simeq SO(6)$

## C Field Content

The theory contains:

- A gauge field  $A_\mu$  in the adjoint of  $SU(N)$
- Six real scalar fields  $\phi^I$  ( $I = 1, \dots, 6$ ) in the adjoint of  $SU(N)$
- Four Weyl fermions  $\psi_\alpha^a$  ( $a = 1, \dots, 4$ ) in the adjoint of  $SU(N)$

The scalar and fermion fields transform under the R-symmetry:

- The scalars  $\phi^I$  in the **6** representation of  $SU(4)$
- The fermions  $\psi^a$  in the **4** representation of  $SU(4)$

## D Bosonic Lagrangian

The complete Lagrangian of the  $\mathcal{N} = 4$  SYM theory can be separated into bosonic and fermionic parts. The purely bosonic part is written:

$$\mathcal{L}_{\text{bos}} = \text{Tr} \left[ -\frac{1}{2g_{\text{YM}}^2} F_{\mu\nu} F^{\mu\nu} + \frac{\theta_I}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu} + \sum_{I=1}^6 D_\mu \phi^I D^\mu \phi^I - \frac{g_{\text{YM}}^2}{2} \sum_{I,J=1}^6 [\phi^I, \phi^J]^2 \right]$$

where:

- $F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu - ig_{\text{YM}}[A_\mu, A_\nu]$  is the Yang-Mills field strength tensor
- $\tilde{F}^{\mu\nu} = \frac{1}{2}\epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$  is the Hodge dual of  $F_{\mu\nu}$
- $D_\mu \phi^I = \partial_\mu \phi^I - ig_{\text{YM}}[A_\mu, \phi^I]$  is the covariant derivative
- $g_{\text{YM}}$  is the Yang-Mills coupling constant
- $\theta_I$  is the instanton theta angle
- The trace  $\text{Tr}$  is taken over the gauge indices of the  $SU(N)$  group

## E Formulation in Terms of Complex Coupling Constant

It is often useful to combine the two coupling constants into a complex constant:

$$\tau = \frac{\theta_I}{2\pi} + \frac{4\pi i}{g_{\text{YM}}^2}$$

The bosonic Lagrangian can then be rewritten more compactly:

$$\mathcal{L}_{\text{bos}} = \text{Tr} \left[ -\frac{1}{2} \text{Im}(\tau) F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} \text{Re}(\tau) F_{\mu\nu} \tilde{F}^{\mu\nu} + \sum_{I=1}^6 D_\mu \phi^I D^\mu \phi^I - \frac{g_{\text{YM}}^2}{2} \sum_{I,J=1}^6 [\phi^I, \phi^J]^2 \right]$$

## F Physical Interpretation

- The term  $F_{\mu\nu} F^{\mu\nu}$  describes the dynamics of the gauge field.
- The term  $F_{\mu\nu} \tilde{F}^{\mu\nu}$  is a topological term (instanton).
- The terms  $D_\mu \phi^I D^\mu \phi^I$  describe the dynamics of the scalar fields and their interaction with the gauge field.
- The term  $[\phi^I, \phi^J]^2$  represents a scalar potential that forces the scalar fields to commute in the vacuum.

## G Symmetries and Special Properties

The  $\mathcal{N} = 4$  SYM theory has remarkable properties:

- **Conformal invariance:** The conformal symmetry group  $\text{SO}(4,2)$
- **Extended supersymmetry:** 4 major supercharges and 4 conformal supercharges
- **R-symmetry:**  $\text{SU}(4) \simeq \text{SO}(6)$  acting on the  $I$  indices of the scalars
- **S-duality:** Invariance under  $\text{SL}(2, \mathbb{Z})$  transformations acting on  $\tau$
- **Integrability:** The theory is conjectured to be exactly integrable in the large  $N$  limit

## H The Topological Term and the Commutator Term

In the Lagrangian of the  $\mathcal{N} = 4$  Super Yang-Mills theory, two terms play fundamental roles in describing physical phenomena:

- The topological term  $\frac{\theta_I}{8\pi^2} F_{\mu\nu} \tilde{F}^{\mu\nu}$  which is related to CP violation
- The scalar potential term  $\frac{g_{\text{YM}}^2}{2} \sum_{I,J=1}^6 [\phi^I, \phi^J]^2$  which allows mass acquisition through symmetry breaking

This document explains in detail how these terms, although "optional" from a certain perspective, are essential for describing observed physical effects.



# I The Topological Term and CP Violation

## I.1 Mathematical Formulation

The topological term in the Lagrangian is written:

$$\mathcal{L}_\theta = \frac{\theta_I}{8\pi^2} \text{Tr}(F_{\mu\nu} \tilde{F}^{\mu\nu})$$

where  $\tilde{F}^{\mu\nu} = \frac{1}{2} \epsilon^{\mu\nu\rho\sigma} F_{\rho\sigma}$  is the Hodge dual of the field strength tensor.

## I.2 "Optional" Character

This term is qualitatively different from the other terms in the Lagrangian:

- It is a **total divergence**: It can be written as the derivative of a topological current
- It does not affect the **classical equations of motion**
- It does not contribute to **perturbative scattering processes**

In this sense, it is "optional" in the classical description of the theory.

## I.3 Physical Interpretation

This term is called "topological" because it can be written as a total derivative:

$$\text{Tr}(F \wedge F) = d \text{Tr}(A \wedge dA + \frac{2}{3} A \wedge A \wedge A)$$

It therefore does not contribute to the classical equations of motion, but has important effects in quantum field theory:

- **Instanton effects**: This term is related to instanton solutions of the gauge theory
- **$\theta$  angle**: The parameter  $\theta_I$  is the theta angle which controls CP violation
- **Modular invariance**: Combined with the coupling constant, it forms the complex parameter  $\tau = \frac{\theta}{2\pi} + \frac{4\pi i}{g_{\text{YM}}^2}$  which transforms under S-duality

## I.4 Role in Quantum Theory

Although this term is a total divergence and does not affect classical dynamics, it has profound consequences in quantum theory:

- It contributes to the effective action of instantons
- It affects the structure of the quantum vacuum (multiple vacua)
- It is essential for the electromagnetic dualities of the theory

## I.5 Role in CP Violation

Despite its seemingly innocuous character, this term has deep physical consequences:

- **CP violation**: The parameter  $\theta_I$  introduces a violation of CP symmetry (combination of charge conjugation and parity)
- **Instantons**: This term contributes to the action of instanton solutions in gauge theory
- **Strong CP problem**: In QCD, the measured value of  $\theta$  is extremely small ( $|\theta| < 10^{-10}$ ), which constitutes an unsolved problem

## I.6 Example in QCD

Although we are discussing  $\mathcal{N} = 4$  SYM theory, the  $\theta$  term is also present in QCD where it has observable effects:

- Neutron electric dipole moment
- Decays of neutral mesons
- These effects have not been observed experimentally, strongly constraining  $\theta$

## J The Commutator Term and Symmetry Breaking

### J.1 Mathematical Formulation

The scalar potential term in the Lagrangian is written:

$$V(\phi) = \frac{g_{\text{YM}}^2}{2} \sum_{I,J=1}^6 \text{Tr}([\phi^I, \phi^J]^2)$$

### J.2 "Optional" Character

This term seems "optional" at first glance because:

- In the classical vacuum, we impose  $[\phi^I, \phi^J] = 0$  to minimize energy
- The scalar fields can be simultaneously diagonalized in the vacuum
- The term seems to vanish in the vacuum configuration

### J.3 Physical Interpretation

This term represents the potential energy of the scalar fields and has several crucial roles:

- **Higgs potential:** It determines the possible values of the scalar fields in the vacuum
- **Interaction between scalars:** It describes how the different scalar fields interact with each other
- **Symmetry breaking:** It can lead to spontaneous symmetry breaking

### J.4 Role in the Higgs Mechanism

However, this term is essential for the generalized Higgs mechanism:

- **Higgs potential:** It determines the shape of the potential that allows spontaneous symmetry breaking
- **Mass acquisition:** When the scalar fields acquire a non-zero vacuum expectation value ( $\langle \phi^I \rangle \neq 0$ ), the gauge bosons acquire mass
- **Goldstone mode:** The degrees of freedom corresponding to the generators of the broken symmetry become Goldstone bosons

## J.5 Vacuum Structure

The classical vacuum states of the theory are determined by minimizing this potential:

$$[\phi^I, \phi^J] = 0 \quad \text{for all } I, J$$

This means that in the vacuum, the scalar fields can be simultaneously diagonalized:

$$\phi^I = \begin{pmatrix} \phi_1^I & & \\ & \ddots & \\ & & \phi_N^I \end{pmatrix}$$

This condition gives rise to the "moduli space" of the theory, which is a space of possible vacuum solutions.

## J.6 Role in Supersymmetry

This term is crucial for the supersymmetry of the theory:

- It is necessary for invariance under supersymmetric transformations
- It ensures the balance between bosonic and fermionic degrees of freedom
- It contributes to the cancellation of ultraviolet divergences, making the theory conformal

## J.7 Geometric Interpretation

In the context of the AdS/CFT correspondence, this term has a deep geometric interpretation:

- The six scalar fields  $\phi^I$  can be interpreted as the transverse coordinates of a D3-brane
- The commutator  $[\phi^I, \phi^J]$  represents a non-commutative area
- The potential  $V(\phi)$  measures the energy associated with the non-commutativity of the geometry

## J.8 Example of Symmetry Breaking

Consider a simple case where only two scalar fields have a non-zero vacuum expectation value:

$$\langle \phi^1 \rangle = v \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, \quad \langle \phi^2 \rangle = 0, \quad \dots, \quad \langle \phi^6 \rangle = 0$$

The potential term becomes:

$$V(\phi) = \frac{g_{\text{YM}}^2}{2} \text{Tr}([\langle \phi^1 \rangle, \langle \phi^2 \rangle]^2) + \dots = 0$$

However, if we consider fluctuations around this vacuum:

$$\phi^I = \langle \phi^I \rangle + \delta \phi^I$$

The term  $[\delta \phi^I, \delta \phi^J]^2$  generates interactions between the fluctuations and can give mass to the gauge bosons via the Higgs mechanism.

## K Conclusion on the "Optional" Terms

The two terms discussed, although appearing "optional" at first glance, are in reality essential for describing important physical phenomena:

- The topological term  $\theta F\tilde{F}$  is necessary to understand CP violation in non-Abelian gauge theories
- The commutator term  $[\phi^I, \phi^J]^2$  is crucial for the generalized Higgs mechanism that gives mass to particles

In the context of the AdS/CFT correspondence, these terms take on an even deeper meaning:

- The parameter  $\theta$  is related to certain background fields in the dual gravity theory
- The scalar potential is related to the geometry of the internal space  $S^5$  in the duality

Thus, although these terms may seem technical or optional, they are in fact fundamental to the coherence of the theory and its ability to describe observed physical phenomena.

## L Geometry of $\text{AdS}_5 \times S^5$ Space

Let us now turn to the gravitational side of this correspondence: type IIB superstring theory on  $\text{AdS}_5 \times S^5$  space.

### L.1 Anti-de Sitter (AdS) Space

$\text{AdS}_5$  space is a Lorentzian manifold of 5 dimensions with constant negative curvature. It is a solution of Einstein's equations with a negative cosmological constant.

The metric of  $\text{AdS}_5$  can be written in different coordinates. In global coordinates:

$$ds_{\text{AdS}_5}^2 = - \left( 1 + \frac{r^2}{R^2} \right) dt^2 + \frac{dr^2}{1 + \frac{r^2}{R^2}} + r^2 d\Omega_3^2$$

where  $R$  is the curvature radius of AdS.

### L.2 Sphere $S^5$

The sphere  $S^5$  is a Riemannian manifold of 5 dimensions with constant positive curvature. Its metric can be written:

$$ds_{S^5}^2 = R^2 (d\theta^2 + \sin^2 \theta d\Omega_4^2)$$

### L.3 Product Space $\text{AdS}_5 \times S^5$

The total space is the direct product of these two spaces:

$$ds^2 = ds_{\text{AdS}_5}^2 + ds_{S^5}^2$$

This geometry preserves maximal supersymmetry in 10 dimensions.

## M Type IIB Superstring Theory

### M.1 Field Content

Type IIB superstring theory contains the following fields:

- **Metric**  $G_{MN}$ : the gravitational field
- **Dilaton**  $\Phi$ : scalar field that determines the string coupling constant
- **Ramond-Ramond field**:
  - Scalar field  $C_0$  (axion)
  - 2-form field  $C_2$
  - 4-form field  $C_4$  (self-dual)
- **Neveu-Schwarz field**:
  - 2-form field  $B_2$
- **Gravitinos**: supersymmetric particles of spin 3/2

### M.2 Effective Action

The low-energy effective action is type IIB supergravity. The bosonic action is written:

$$\begin{aligned}
 S_{\text{IIB}} = & \frac{1}{2\kappa_{10}^2} \int d^{10}x \sqrt{-G} \left[ e^{-2\Phi} \left( R + 4(\nabla\Phi)^2 - \frac{1}{2}|H_3|^2 \right) \right. \\
 & \left. - \frac{1}{2}|F_1|^2 - \frac{1}{2}|\tilde{F}_3|^2 - \frac{1}{4}|\tilde{F}_5|^2 \right] \\
 & + \frac{1}{4\kappa_{10}^2} \int C_4 \wedge H_3 \wedge F_3
 \end{aligned}$$

where:

- $H_3 = dB_2$  is the Neveu-Schwarz field strength
- $F_1 = dC_0$ ,  $F_3 = dC_2$ ,  $F_5 = dC_4$  are the Ramond-Ramond field strengths
- $\tilde{F}_3 = F_3 - C_0 \wedge H_3$
- $\tilde{F}_5 = F_5 - \frac{1}{2}C_2 \wedge H_3 + \frac{1}{2}B_2 \wedge F_3$
- We impose the self-duality condition  $\tilde{F}_5 = \star\tilde{F}_5$

## N $\text{AdS}_5 \times S^5$ Solution

### N.1 Field Configuration

The  $\text{AdS}_5 \times S^5$  solution is characterized by:

- A product metric  $\text{AdS}_5 \times S^5$  with curvature radius  $R$
- A Ramond-Ramond flux through the sphere  $S^5$ :

$$\int_{S^5} F_5 = N$$

- A constant dilaton:  $e^\Phi = g_s$
- The other fields are zero:  $C_0 = 0$ ,  $B_2 = 0$ ,  $C_2 = 0$

## N.2 Relation with Gauge Theory

The parameters of the two theories are related by:

- The curvature radius  $R$  is related to the D-brane charge  $N$  and the string coupling constant:

$$R^4 = 4\pi g_s N \alpha'^2$$

- The Yang-Mills coupling constant is related to the string coupling constant:

$$g_{\text{YM}}^2 = 4\pi g_s$$

- The limit of large  $N$  and strong coupling ( $\lambda = g_{\text{YM}}^2 N \gg 1$ ) corresponds to the supergravity limit ( $R^4 \gg \alpha'^2$ )

## O Spectrum of Excitations

### O.1 Supergravity Fields

The spectrum of supergravity fields on  $\text{AdS}_5 \times S^5$  can be decomposed according to the representations of the symmetry group  $\text{SO}(4,2) \times \text{SO}(6)$ . These fields correspond to operators in the dual gauge theory.

### O.2 String States

Beyond supergravity, the complete string theory includes massive states corresponding to high conformal dimension operators in the gauge theory.

## P AdS/CFT Dictionary

The correspondence establishes a precise dictionary between the two theories:

- Correlation functions in the gauge theory are related to string theory partition functions with appropriate boundary conditions
- The conformal dimension  $\Delta$  of operators is related to the mass  $m$  of fields in AdS:

$$\Delta = 2 + \sqrt{4 + m^2 R^2}$$

- The conformal symmetry  $\text{SO}(4,2)$  of the gauge theory corresponds to the isometry group of  $\text{AdS}_5$
- The R-symmetry  $\text{SU}(4)$  of the gauge theory corresponds to the isometry group of  $S^5$

## Q Applications and Developments

The AdS/CFT correspondence has led to many developments:

- Calculation of correlation functions in strongly coupled gauge theories
- Study of confinement via gravitational duals of QCD-like theories
- Application to condensed matter physics (AdS/CMT)
- Relation with quantum information and the black hole information paradox

## R Place of Superstrings

The bosonic Lagrangian of the  $\mathcal{N} = 4$  SYM theory presents a rich structure despite its relative simplicity. Its exact formulation is crucial for understanding the AdS/CFT correspondence and its many applications in theoretical physics, ranging from quantum chromodynamics to condensed matter physics and quantum information.

Type IIB superstring theory on  $\text{AdS}_5 \times S^5$  provides a complete dual description of  $\mathcal{N} = 4$  Super Yang-Mills theory. This correspondence is a powerful tool for studying strongly coupled gauge theories using gravitational methods, and has profoundly influenced our understanding of quantum gravity, gauge theories, and their interrelations.

## Appendix: Generalization of Stokes' Theorem

In this appendix, we present three frameworks where the classical Stokes theorem

$$\int_M d\omega = \int_{\partial M} \omega$$

admits generalizations: supermanifolds, graded differential algebras, and supersymmetric localization.

### S Stokes on Supermanifolds and Berezin Integration

A *supermanifold* is a space locally isomorphic to  $\mathbb{R}^m|n$ , equipped with bosonic coordinates  $x^\mu$  ( $\mu = 1, \dots, m$ ) and fermionic coordinates  $\theta^\alpha$  ( $\alpha = 1, \dots, n$ ). Functions on a supermanifold are elements of

$$C^\infty(\mathbb{R}^m) \otimes \Lambda(\theta^1, \dots, \theta^n),$$

that is, smooth functions in  $x$  with values in the exterior algebra of the variables  $\theta$ .

Integration is defined as follows:

- For bosonic coordinates  $x^\mu$ , we use the usual Lebesgue integral.
- For fermionic coordinates  $\theta^\alpha$ , we use *Berezin integration*, defined by:

$$\int d\theta \theta = 1, \quad \int d\theta 1 = 0,$$

and more generally  $\int d\theta^n \dots d\theta^1 \theta^1 \theta^2 \dots \theta^n = 1$ .

In this framework, there exists an analogue of Stokes' theorem: if  $\omega$  is a differential form (in the sense of supermanifolds), then

$$\int_M d\omega = \int_{\partial M} \omega,$$

where the integral is now a Lebesgue–Berezin combination. This identity relies on  $d^2 = 0$  and the property that Berezin integration is a compactly supported derivation.

This result is the basis of many calculations in quantum field theory with supersymmetry, where the field space is extended by Grassmann-type variables.

### T Algebraic Version: Graded Differential Algebras

Abstractly, Stokes' theorem relies on two ingredients:

- The existence of a differential operator  $d$  of degree  $+1$  satisfying  $d^2 = 0$ .
- The existence of an *integration form* (or trace)  $\int$  that annihilates  $d$ -exact forms:

$$\int d\omega = 0.$$

Any *graded differential algebra* (GDA)  $(A, d)$  can therefore support an abstract version of Stokes' theorem. For example: - In de Rham cohomology,  $A = \Omega^\bullet(M)$  and  $d$  is the exterior derivative. - In superalgebras,  $A$  includes even and odd generators, and  $d$  can be a graded derivation.



In this framework, the analogue of Stokes' theorem is simply:

$$\int_A d\omega = 0, \quad \forall \omega \in A,$$

as long as the integral is defined on  $A$  and respects the degree rule.

This shows that Stokes is essentially a cohomological property: the integral defines a morphism

$$\int : H^\bullet(A, d) \longrightarrow \mathbb{R},$$

and depends only on the cohomology class of  $\omega$ .

## U Application: Supersymmetric Localization (Witten)

A profound physical application of the generalization of Stokes is *supersymmetric localization*. In a supersymmetric theory, there exists a nilpotent operator  $Q$  (supercharge or BRST operator) that acts as a graded differentiation:  $Q^2 = 0$ .

Let a configuration space  $\mathcal{F}$  (typically, a space of fields) be equipped with an integration measure  $d\mu$ . We consider a  $Q$ -exact observable, of the form  $QV$ . Then:

$$\int_{\mathcal{F}} d\mu QV = 0.$$

This is the supersymmetric analogue of Stokes' theorem: the integral of a “ $Q$ -derivative” is zero. This implies that the path integral of a supersymmetric theory does not depend on deformations of the Lagrangian by  $Q$ -exact terms.

Major consequence: the path integral *localizes* on the fixed points of  $Q$ , that is, on configurations invariant under supersymmetry. This is the basis of many exact calculations in supersymmetry (e.g., Witten's localization theorems, exact partition calculations in 4d  $\mathcal{N} = 2$ , supersymmetric indices, etc.).

## V Conclusion of the Appendix

These three frameworks show that Stokes' theorem is not limited to classical differential geometry, but extends:

- to supermanifolds via Berezin integration,
- to graded differential algebras by a cohomological principle,
- to supersymmetric theories via localization.

In all cases, the heart of the principle remains the same: *the integral of an exact form is zero*. This provides an algebraic and geometric justification for holography and emergence mechanisms in theoretical physics.

## W Toward a *Covariant* Stokes Formula

Let  $E \rightarrow M^n$  be a vector bundle equipped with a connection  $\nabla$  (connection form  $A$ ) and its covariant exterior derivative  $D$  acting on forms with values in  $E$ . We have  $D^2 = R$ , where  $R$  (or  $F$  on the gauge side) is the curvature:  $F = dA + A \wedge A$ . The classical Stokes theorem crucially relies on  $d^2 = 0$ ; replacing  $d$  by  $D$  *without precaution* therefore breaks the argument. However, there are three frameworks where a “covariant Stokes” version is valid and useful.

### W.1 (I) Covariant Integration by Parts with Invariant Pairing

Let  $\alpha \in \Omega^p(M, E)$  and  $\beta \in \Omega^{n-p-1}(M, E^*)$ , and let  $\langle \cdot, \cdot \rangle : E \otimes E^* \rightarrow \mathbb{R}$  be a fiber-to-fiber pairing compatible with the connection (i.e.,  $D\langle \alpha, \beta \rangle = \langle D\alpha, \beta \rangle + (-1)^p \langle \alpha, D\beta \rangle$ ). Then

$$d(\langle \alpha \wedge \beta \rangle) = \langle D\alpha \wedge \beta \rangle + (-1)^p \langle \alpha \wedge D\beta \rangle.$$

Integrating over  $M$  and applying Stokes to the scalar  $\langle \alpha \wedge \beta \rangle$ :

$$\int_M \langle D\alpha \wedge \beta \rangle + (-1)^p \int_M \langle \alpha \wedge D\beta \rangle = \int_{\partial M} \langle \alpha \wedge \beta \rangle.$$

**Reading:** this is the *covariant Green's formula* (or Stokes "with connection"). It is the proper way to *substitute*  $D$  for  $d$  as soon as we reduce the integrand to a scalar via an invariant pairing.

**Flat case.** If the connection is *flat* ( $F = 0$ ), then  $D^2 = 0$  and we recover a covariant cohomological complex. In this case, identities of the type  $\int_M D\omega = \int_{\partial M} \omega$  can hold for appropriate classes.

### W.2 (II) Chern–Weil Transgression: Global “Covariant Stokes”

Let  $P$  be an invariant polynomial of degree  $k$  on the Lie algebra (e.g.,  $P(X) = \text{Tr}(X^k)$ ). The characteristic form  $P(F)$  is closed:  $dP(F) = 0$  (Bianchi  $DF = 0$ ). There exists a *transgression form* (Chern–Simons)  $Q_{2k-1}(A)$  such that

$$dQ_{2k-1}(A) = P(F) \quad (\text{locally, or globally if the boundary trivialization is fixed}).$$

By Stokes,

$$\int_M P(F) = \int_{\partial M} Q_{2k-1}(A).$$

**Examples.**

- $n = 4, k = 2$  (Yang–Mills):  $P(F) = \text{Tr}(F \wedge F)$ ,  $Q_3(A) = \text{Tr}(A \wedge dA + \frac{2}{3}A \wedge A \wedge A)$ . Hence  $\int_M \text{Tr}(F \wedge F) = \int_{\partial M} Q_3(A)$ .
- **Gravitational** (spin connection  $\omega$ , curvature  $R$ ):  $\text{Tr}(R \wedge R) = dQ_3(\omega)$  gives the *gravitational Chern–Simons* at the boundary.

**Reading:** this is exactly a *covariant* version of the “volume  $\leftrightarrow$  boundary” principle. It underlies *anomaly inflow*: a topological term in the bulk induces the anomaly (non-conservation) on the boundary.

### W.3 (III) Super-connections (Quillen) and Chern Character

For a graded bundle  $E = E^+ \oplus E^-$  equipped with a *super-connection*  $\mathbb{A}$  (1-form + higher degree terms), the curvature  $\mathbb{F} = \mathbb{A}^2$  is a *form* with values in endomorphisms. The *Chern character* super-trace is closed:

$$d\text{Str}(e^{-\mathbb{F}}) = 0,$$

and two super-connections related by homotopy differ by an *exact* term  $d(\dots)$  (transgression). Stokes therefore gives, for an interpolating family,

$$\int_M \text{Str}(e^{-\mathbb{F}_1}) - \text{Str}(e^{-\mathbb{F}_0}) = \int_{\partial M} (\text{generalized Chern–Simons form}).$$

**Reading:** this is the most general version (useful in supersymmetric indices, differential K-theory, etc.).

## W.4 Comments for Holography

- The **replacement**  $d \rightarrow D$  is *coherent* as soon as we *trace/pair* to obtain a scalar (I), or work with *characteristic forms* (II), or super-connections (III).
- The key structural condition becomes  $\boxed{DF = 0}$  (Bianchi) and the *invariance* of the trace/polynomial: this is what replaces  $d^2 = 0$  in the proofs.
- In AdS/CFT,  $\int_M P(F)$  or  $\int_M \text{Tr}(R \wedge R)$  play the role of *bulk terms* whose *transgression* to the boundary is a *Chern–Simons*: this is the standard mechanism of *boundary anomalies* (inflow), signature of a physically operational *covariant Stokes*.

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