# ERIK MIEHLING

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# work experience

#### **IBM Research**

Dublin, Ireland **Aug 2022** – present

Research Scientist (AI)

- Contributed to emerging AI governance policy through development of human feedback elicitation mechanisms combining natural language explanations and knowledge distillation
- Pioneered and prototyped new approaches for studying algorithmic amplification of bias in RL and ML pipelines
- Played a key role in seeking government funding through involvement in research ideation and proposal writing

# University of Illinois at Urbana-Champaign

Urbana, IL

Postdoctoral Research Associate

Feb 2018 - Aug 2022

- Advised 10 Ph.D. students and published 15 peer-reviewed articles in multi-agent reinforcement learning and game theory spanning five federally funded projects (total research funding: \$39 500 000 USD)
- Made foundational contributions to multi-agent reinforcement learning in both cooperative domains (RNN-based information embeddings) and adversarial domains (online attacker intent inference for cyber-security)
- Co-wrote a successful NSF grant valued at \$500 000 USD which funded a three year research program on modeling, learning, and control of epidemic processes

#### education

#### University of Michigan

Ann Arbor, MI

Ph.D. - Electrical Engineering & Computer Science

Sept 2011 - Dec 2017

## University of British Columbia

Vancouver, Canada

M.A.Sc. - Electrical & Computer Engineering

Sept 2009 - Aug 2011

**B.A.Sc.** – Electrical Engineering

Sept 2006 - May 2009

## research experience

# Horizon Europe: AutoFair – Human-Compatible Artificial Intelligence with Guarantees

Oct 2022 – present

- Collaborated with multiple academic and industrial research institutions on problems of algorithmic fairness.
- Developed simulation-based models of dynamic fairness, human-aligned foundation model selection strategies, and contributed to AI liability policy.

# C3.ai: Algorithms and Software Tools for Testing and Control of COVID-19

Dec 2020 - Aug 2022

- Initiated an interdisciplinary collaboration with epidemiologists to construct data-driven models for explaining the spread of COVID-19.
- Developed control-theoretic models for efficient allocation of testing kits in epidemics.

# NSF: A Comprehensive Approach to Modeling, Learning, Analysis and Oct 2020 – Aug 2022 Control of Epidemic Processes Over Time-Varying and Multi-Layer Networks

 Employed mean-field game theory to construct an agent-based behavioral model for describing asymptomatic viral spread.

#### ARO: A Multimodal Approach to Network Information Dynamics Sept 2020 – Aug 2022

 Constructed game-theoretic models describing how misinformation/disinformation emerges, spreads, and is sustained.

## ARL: Internet of Battlefield Things Collaborative Research Alliance Feb 2019 – Aug 2022

- Employed recurrent neural networks (RNNs) to discover low-dimensional summaries of sufficient information for decentralized partially observable Markov decision processes.
- Developed game-theoretic conflict models for large-scale, uncertain, and time-critical battlefield environments.

# ONR: An Analytical Framework for Actionable Defense against Feb 2018 – Jun 2021 Advanced Persistent Threats (ADAPT)

- Designed provably-convergent reinforcement learning algorithms for cooperative and non-cooperative multi-agent decision problems.
- Developed game-theoretic models for online intent inference in adversarial domains.

## ARO: Adversarial and Uncertain Reasoning for Adaptive Cyber Defense Jan 2014 – Dec 2017

- Design and analysis of sequential decision-making models under both probabilistic and nonprobabilistic uncertainty.
- Application to the development of dynamic defense schemes for large-scale networks.
- Extended the theory of structural results in partially observable Markov decision processes.

# NSF: Foundations of Resilient Cyber-Physical Systems (FORCES) Jan 2013 – Dec 2017

- Developed provably convergent algorithms for a class of non-convex problems.
- Application to the design of decentralized electricity market mechanisms.

## research advising

#### PhD students

<ul> <li>Shubham Aggarwal (with T. Başar): mean-field games</li> </ul>	202I <b>–</b> 2022
<ul> <li>Raj Kiriti Velicheti (with T. Başar): misinformation, multi-agent RL</li> </ul>	2021 - 2022
<ul> <li>Xiaoqi Bi (with C. Beck): epidemics, stochastic control</li> </ul>	2020 - 2022
<ul> <li>S. Yagiz Olmez (with P. G. Mehta): epidemics, game theory</li> </ul>	2020 - 2022
<ul> <li>Jameson Mori (with R. L. Smith): epidemics</li> </ul>	2020 - 2021
<ul> <li>Weichao Mao (with T. Başar): multi-agent RL</li> </ul>	2019 – 2022
<ul> <li>Kaiqing Zhang (with T. Başar): multi-agent RL</li> </ul>	2018 – 2020
<ul> <li>Xiangyuan Zhang (with T. Başar): multi-agent RL, game theory</li> </ul>	2018 – 2022
<ul> <li>Aneeq Zaman (with T. Başar): mean-field games</li> </ul>	2018 – 2022
<ul> <li>Muhammed Sayin (with T. Başar): security, game theory</li> </ul>	2018 – 2019

## Masters students

Danilo Dordevic (ETH Zürich, with M. Hudoba de Badyn): math finance

Urbana, IL

# teaching experience

#### University of Illinois at Urbana-Champaign

ECE 486 - Control Systems, Guest Lecturer Apr 2019

# University of Michigan, College of Engineering EECS 215 - Introduction to Electronic Circuits, Discussion Lecturer EECS 216 - Signals and Systems, Laboratory Lecturer Fall 2012

internships	US Department of Energy, Oak Ridge National Laboratory Advanced Short-Term Research Opportunity	<i>Oak Ridge, TN</i> <b>May 2013 – Sept. 2013</b>
	Defense Research & Development Canada, Radar Systems Group Summer Internship	<i>Ottawa, ON</i> <b>May 2010 – Sept. 2010</b>
	Broadcom Canada, Centre for Audio Excellence Summer Internship	Richmond, BC May 2008 – Sept. 2008
"Estimation, Modeling, and Active Testing of Uncertain Epid C3.ai Digital Transformation Institute Research Symposium, "Optimal Transport and Boundary-Constrained Control"  Internet of Battlefield Things (IoBT) Research Presentation (research Contingency Prediction and Response for Cyber-Physics (IoBT)	"Mixed Signals: Information Disclosure in Persuasion, Security, and Value A IBM Research, Dublin, Ireland	Alignment" <i>April</i> 2022
	"Estimation, Modeling, and Active Testing of Uncertain Epidemic Processes C3.ai Digital Transformation Institute Research Symposium, Miami, FL	." Mar 2022
	"Optimal Transport and Boundary-Constrained Control"  Internet of Battlefield Things (IoBT) Research Presentation (remote)	Feb 2022
	"Secure Contingency Prediction and Response for Cyber-Physical Systems" 4th IEEE Conf. on Control Technology and Applications, Montreal, QC, Cana	da (remote)
	"Strategic Inference With a Single Private Sample" 58th IEEE Conf. on Decision and Control, Nice, France "Optimal Switching Strategies for System Obfuscation" Internet of Battlefield Things (IoBT) Bootcamp, Chicago, IL	Dec <b>2</b> 019
		Jul 2019
	"Online Planning for Decentralized Stochastic Control with Partial History American Control Conf. (ACC) 2019, Philadelphia, PA	Sharing" Jul 2019
	"Strategic Inference Under Private Sampling"  EC 2019 Workshop: Learning in the Presence of Strategic Behavior, Phoenix, A 8th Midwest Workshop on Control and Game Theory, St. Louis, MO	Z Jun 2019 Apr 2019
	"A Bayesian Multi-armed Bandit Approach for Identifying Human Vulneral Decision and Game Theory for Security (GameSec 2018)	oilities"  Oct 2018
	"Monotonicity Properties of Optimal Policies for POMDPs on Partially Ordon Department of Electrical Engineering, University of Washington, Seattle, WA Host: Lillian Ratliff	ered Spaces" Apr 2018
	Stanford Intelligent Systems Laboratory Seminar, Stanford University Hosts: Katherine Driggs-Campbell, Mykel J Kochenderfer  "A Dependency Graph Formalism for the Dynamic Defense of Cyber Networth IEEE Global Conf. on Signal and Information Processing (GlobalSIP 2017)	Jan 2018
	"A Stochastic Control Approach to Dynamic Defense of Large-Scale Cyber ECE Systems & Control Seminar, University of New Mexico  Host: Meeko Oishi	Networks" Nov 2017
	"A Dependency Graph Formalism for the Dynamic Defense of Large-Scale 3rd Multidiscip. Conf. on Reinforcement Learning & Decision Making (RLDM)	•
	"A Decentralized Mechanism for Computing Competitive Equilibria in Der Electricity Markets"	egulated
	American Control Conf. (ACC 2016)  "A Formal Model for the Dynamic Defense of Cyber Networks"	Jul 2016
	2nd Moving Target Defense Quantification Workshop (MTDQ)	Jun 2016
	"Optimal Defense Policies for Partially Observable Spreading Processes on Ba 2nd ACM Workshop on Moving Target Defense (MTD)	ayesian Attack Graphs" Oct 2015

# academic service

#### **Organizational & Outreach**

- IBM Research speaker at Technical Vitality Day 2022
- NSF grant review panelist, Spring 2022 session
- CDC 2021 session co-organizer (modeling, prediction, and control of epidemic processes)
- CDC 2020 session co-chair (epidemics)
- CDC 2019 session co-chair (machine learning in complex networks II)
- Allerton 2019 session assistant (performance, reliability, and security)
- ACC 2019 volunteer
- SPS 2019 technical program committee member
- CDC 2018 volunteer
- GameSec 2018 session chair (advanced persistent threats)
- Allerton 2018 session assistant (dynamic games); tutorial session assistant (real time optimization for estimation and control)
- ACC 2018 volunteer

#### Reviewer

- ACM/IEEE International Conference on Cyber-Physical Systems
- ACM Transactions on Cyber-Physical Systems
- ACM Workshop on Moving Target Defense
- American Control Conference (ACC)
- Computers & Security
- Dynamics Games and Applications (Springer)
- IEEE Conference on Decision and Control (CDC)
- IEEE International Workshop on Signal Processing Advances in Wireless Communications
- IEEE Power & Energy Society General Meeting
- IEEE Transactions on Information Forensics & Security
- IEEE Transactions on Power Systems
- IEEE Transactions on Reliability
- IEEE/PES Transmission and Distribution Conference and Exposition
- Indian Control Conference (ICC)
- Springer/Birkhäuser
- Systems & Control Letters

#### **Professional Membership**

Institute of Electrical and Electronics Engineers (IEEE)

#### publications

### Journal

- A. Zaman, E. Miehling, and T. Başar. Reinforcement Learning for Non-Stationary Discrete-Time Linear-Quadratic Mean-Field Games in Multiple Populations. *Dynamic Games and Applications*, vol. 13, no. 1, pp. 118-164, 2023.
- E. Miehling and D. Teneketzis. Monotonicity Properties for Two-Action Partially Observable Markov Decision Processes on Partially Ordered Spaces. *European Journal of Operational Research*, vol. 282, no. 3, pp. 936-944, 2019.
- E. Miehling, M. Rasouli and D. Teneketzis. A POMDP Approach to the Dynamic Defense of Large-Scale Cyber Networks. *IEEE Transactions on Information Forensics and Security*, vol. 13, no. 10, pp. 2490–2505, 2018.

• V. Krishnamurthy, R.R. Bitmead, M. Gevers, and E. Miehling. Sequential Detection with Mutual Information Stopping Cost. *IEEE Transactions on Signal Processing*, vol. 60, no. 2, pp. 700–714, 2012.

#### **Conference & Workshop**

- X. Bi, E. Miehling, C. Beck, T. Başar. Approximate Testing in Uncertain Epidemic Processes. In *Conference on Decision and Control (CDC 2022)*, pp. 4339-4344. IEEE, 2022.
- S. Y. Olmez, S. Aggarwal, J. W. Kim, E. Miehling, T. Başar, M. West, and P. G. Mehta. Modeling Presymptomatic Spread in Epidemics via Mean-Field Games. In *American Control Conference* (ACC 2022), pp. 3648-3655. IEEE, 2022.
- M. H. de Badyn, E. Miehling, D. Janak, Behcet Açıkmeşe, Mehran Mesbahi, T. Başar, J. Lygeros, R. S. Smith. Discrete-Time Linear-Quadratic Regulation via Optimal Transport. In Conference on Decision and Control (CDC 2021), pp. 3060-3065. IEEE, 2021.
- S. Y. Olmez, J. Mori, E. Miehling, T. Başar, R. L. Smith, M. West, and P. G. Mehta. A Data-Informed Approach for Analysis, Validation, and Identification of COVID-19 Models. In American Control Conference (ACC 2021), pp. 3138-3144. IEEE, 2021.
- R. Dong, E. Miehling, and C. Langbort. Protecting Consumers Against Personalized Pricing: A Stopping Time Approach. Extended abstract in *The Symposium on Foundations of Responsible Computing (FORC 2020)*.
- W. Mao, K. Zhang, E. Miehling, and T. Başar. Information State Embedding in Partially Observable Cooperative Multi-Agent Reinforcement Learning. In 59th Conference on Decision and Control (CDC 2020), pp. 6124-6131. IEEE, 2020.
- A. Zaman, K. Zhang, E. Miehling, and T. Başar. Reinforcement Learning in Non-Stationary Discrete-Time Linear-Quadratic Mean-Field Games. In 59th Conference on Decision and Control (CDC 2020), pp. 2278-2284. IEEE, 2020.
- E. Miehling, C. Langbort, and T. Başar. Secure Contingency Prediction and Response for Cyber-Physical Systems. In 4th IEEE Conference on Control Technology and Applications (CCTA 2020), pp. 998-1003. IEEE, 2020.
- A. Zaman, K. Zhang, E. Miehling, and T. Başar. Approximate Equilibrium Computation for Discrete-Time Linear-Quadratic Mean-Field Games. In *American Control Conference (ACC)*, pp. 333-339. IEEE, 2020.
- X. Zhang, K. Zhang, E. Miehling, and T. Başar. Non-cooperative Inverse Reinforcement Learning. In *Advances in Neural Information Processing Systems (NeurIPS)*, pp. 9482-9493. 2019.
- E. Miehling, R. Dong, C. Langbort, and T. Başar. Strategic Inference with a Single Private Sample, In *58th Conference on Decision and Control (CDC)*, arXiv:1909.06057. IEEE, 2019.
- K. Zhang, E. Miehling, and T. Başar. Online Planning for Decentralized Stochastic Control with Partial History Sharing. In *American Control Conference (ACC)*, pp. 3544–3550. IEEE, 2019.
- E. Miehling, B. Xiao, R. Poovendran, and T. Başar. A Bayesian Multi-armed Bandit Approach for Identifying Human Vulnerabilities. In *Decision and Game Theory for Security (GameSec)*, pp. 521–539. Springer, 2018.
- E. Miehling and D. Teneketzis. A Decentralized Mechanism for Computing Competitive Equilibria in Deregulated Electricity Markets. In *American Control Conference (ACC)*, pp. 4107–4113. IEEE, 2016.
- E. Miehling, M. Rasouli, D. Teneketzis. Optimal Defense Policies for Partially Observable Spreading Processes on Bayesian Attack Graphs. In *Proceedings of the Second ACM Workshop on Moving Target Defense*, pp. 67–76. ACM, 2015.
- E. Miehling and D. Teneketzis. Multilateral Trades in Interconnected Power Systems: A Local Public Goods Approach. In *Proceedings of the 3rd International Conference on High Confidence Networked Systems*. ACM, 2014.
- M. Rasouli, E. Miehling, D. Teneketzis. A Supervisory Control Approach to Dynamic Cyber-Security. In *Decision and Game Theory for Security*, pp. 99–117. Springer, 2014.

## **Book Chapters**

- E. Miehling, M. Rasouli, and D. Teneketzis. Control-Theoretic Approaches to Cyber-Security. In *Adversarial and Uncertain Reasoning for Adaptive Cyber Defense* (Sushil Jajodia, George Cybenko, Peng Liu, Cliff Wang, Michael Wellman; Editors), pp. 12–28. Springer/Birkhäuser, 2019.
- M. Rasouli, E. Miehling, D. Teneketzis. A Scalable Decomposition Method for the Dynamic Defense of Cyber Networks. In *Game Theory for Security Risk Management From Theory to Practice* of *Static & Dynamic Game Theory: Foundations and Applications* (Tamer Başar, Series Editor), pp. 75–98. Springer/Birkhäuser, 2017.