

A Novel CPU Scheduling with Variable Time Quantum based on Mean Difference of Burst Time

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Abstract—CPU scheduling has significant contribution in efficient utilization of computer resources and increases the system performance by switching the CPU among the various processes. However, it also introduces some problems such as starvation, large average waiting time, turnaround time and its practical implementation. Many CPU scheduling algorithms are given to resolve these problems but they are lacked in some ways. Most of the given algorithms tried to resolve one problem but lead to others. To remove these problems, we introduce an approach that uses both average and variable time quantum. In this approach, some processes are served with average time quantum and others with variable time quantum. This approach not only provides the minimum average waiting time and turnaround time but also try to prevent the starvation problem.

Keywords: CPU scheduling, time quantum, waiting time, turnaround time, process, sorted queue, burst time.

I. INTRODUCTION

CPU Scheduling is a basic and desirable work of any operating system. Since, before use, almost all computer resources are scheduled first. CPU scheduling [1][2][6][8] are two types, preemptive and non-preemptive scheduling. Preemption is an ability of the operating system to preempt or stop a currently scheduled task in favour of a higher priority task. Non-preempt ability arises, for instance, when handling an interrupt. In this case, scheduling is avoided until the interrupt is handled. Making a scheduler pre-emptible has the advantage of better system responsiveness and scalability.

Like the memory, CPU is the primary computer resource. Thus, to design operating system, CPU scheduling algorithm's role is crucial. Operating system must decide which one is to run first when there are many runnable processes are available. Scheduler that is the part of the operating system, take care of it and the algorithm it uses is called scheduling algorithm. There are three distinct types of schedulers: long-term, mid-term and short-term scheduler.

Long-term Scheduler:

When a process enters in the system, it is put in the job queue. The long-term scheduler also called admission scheduler decides which processes are to be brought into the ready queue from the job queue for execution [1][3].

Mid-term Scheduler:

The mid-term scheduler is useful in time sharing system where processes are moving from main memory to secondary memory and vice versa. Which processes are to be moved is decided by mid-term scheduler [1][3].

Short-term Scheduler:

The short-term scheduler is also called CPU scheduler. It allocates CPU to the process in the ready queue. Means which process execute next is decided by short term scheduler. It takes scheduling decision much faster than mid-term and long term scheduler. It may preemptive and non-preemptive [1][3].

Dispatcher:

A dispatcher is the module that gives control of the CPU to the process selected by the CPU scheduler. The dispatcher is invoked during every process switch. So it should be as fast as possible. High quality scheduling algorithm design affects the success of a CPU scheduler. High-quality CPU scheduling algorithms depend on scheduling criteria such as throughput, turnaround time, CPU utilization rate, time response and waiting time. Thus, the main focus of the work is to invent a generalized, high quality, optimal CPU scheduling algorithm appropriate for any types of processes [1][3][4].

Context Switching:

Context switching is a desirable feature of time sharing systems. A time sharing system is one in which multiple processes are running on a single CPU seemingly parallel and without interrupting with each other. This illusion of parallelism is achieved by means of context switching that is occurring in rapid succession. These context-switches happen as a result of processes themselves releasing the CPU or as a result of the scheduler making the switch when a process has used up its time quantum. Context switches happen only in kernel mode. Kernel mode is a supervised mode of the CPU and provides access to all memory locations and all other system resources. Context switching is generally computational overhead. That is, it requires CPU time which is substantial [10][11][12].

II. RELATED WORK

This section describes some of the research works done related to CPU scheduling algorithms. The basic CPU scheduling algorithms and their characteristics are discussed in this section.

2.1 Algorithms and Its Characteristics

2.1.1 First Come First Serve

The most simple and fair approach is to allow the first process to execute first. This scheme is called as first-come, first-served (FCFS) scheduling [1][2][3][9].

Algorithm:

Step 1: Allocate CPU to first requested process.

Step 2: The new processes are put at the tail of the ready queue.

Step 3: When the process finishes execution, fetch the next process from head of ready queue and allocate CPU to it.

Characteristics:

1: Absence of prioritization does allow every process to eventually finish execution, hence no starvation problem.

2: Waiting time, turnaround time and response time is large.

3: Longest burst time process can monopolize CPU, even if burst times of other processes are too short. Hence, throughput is very low.

2.1.2 Shortest Job First

In SJF [1][2][3][9], processes are arranged in such way so that least burst time process at the head and longest burst time process at the tail of ready queue.

Algorithm:

Step 1: Here, CPU is allocated to the process that has the shortest burst time.

Step 2: Allocate the CPU to the processes according to the FCFS basis if, two or more than two processes have equal burst time.

Step 3: When a process completes execution, fetch the next process from head of ready queue and execute it.

Step 4: Partially executed process are swapped out and put in tail of ready queue.

Characteristics:

1: The actual difficulty with the SJF algorithm is to have prior knowledge about burst time of next CPU request.

2: SJF has the minimal average waiting time because it services smaller processes before larger ones.

3: It reduces average waiting and turnaround time but it may starve a process when a larger burst time process has made request but there are too many incoming shorter burst time processes.

2.1.3 Priority Scheduling

In priority scheduling, lower priority process always get interrupted by incoming higher priority processes [5][7][9].

Algorithm:

Step 1: A priority is assigned to each process in the ready queue.

Step 2: Assign the CPU to higher priority process first for time quantum and so on.

Step 3: Assign the CPU to the processes according to the FCFS basis if, two or more than two processes have same priority.

Characteristics:

1: Low priority process may get starve.

2: For the equal priority processes, the waiting time gradually increases.

3: Here, waiting and response time for higher priority processes have smaller.

2.1.4 Round Robin

Round Robin algorithm [1][2][3][9] uses time quantum that is slice of time.

Algorithm:

Step 1: Select the time quantum and allocate CPU to each process of ready queue.

Step 2: Assign the CPU to each process according to the FCFS basis.

Step 3: If burst time of the process is less than or equal to time quantum, assign the CPU to that process still it finishes the execution. Else the process will hold the CPU till time quantum and is added to the tail of the queue for the second round of execution.

Step 4: New processes are added to the tail of the ready queue.

Characteristics:

1: Selecting the time quantum too small causes too many context switches and decreases the CPU throughput and efficiency.

2: Selecting the time quantum too large may cause poor response time.

3: Deadlines are rarely achieved in a pure Round Robin system because of large average waiting times.

2.1.5 SRV

SRV [3] uses the working methods of basic CPU scheduling algorithms. The algorithm works as follows:

Algorithm:

Step 1: Calculate the time quantum

n

$$\text{Time quantum} = \left\{ \sum_{i=1}^n P_i \right\} / n$$

Where, P_i is the burst time of i^{th} Process, n is the number of processes.

Step 2: Allocate this Time Quantum to each process of the ready queue.

Step 3: Arrange the processes in such way so that least burst time process at the head and longest burst time process at the tail of ready queue.

Step 4: Assign the CPU to the processes according to FCFS basis if, two or more processes have same burst time.

Step 5: If burst time of a process is less than Time Quantum, assign the CPU to that process still it terminates. Else the process will hold the CPU till the Time Quantum and is put to the tail of the ready queue for the second round of execution.

Characteristics:

- 1: Here,starvation problem of a longer process can be removed by assigningCPU for a time quantum to each.
- 2: No process can monopolize CPU.
- 3: Waiting time,response time, turnaround time and CPU utilization and are average.

This section describes various CPU scheduling algorithms such as FCFS, SJF, Round Robin, Priority scheduling and SRV. Here, their characteristics,advantages and various issues are discussed. After counseling all scheduling algorithms,it is found that there is still need a CPU scheduling algorithm that can remove all these problems. The proposed CPU scheduling algorithm tries to solve these problems.

III.MODEL AND ASSUMPTIONS

The proposed CPU scheduling model contains two queue, sortedqueue(readyqueue) andwaiting queue as shown in fig.1. Ready queue is called sorted queue here because all the processesfor fixed interval are sorted in ready queue. Processesare sorted in increasing order of their burst time. So, ready queue contain smallest bursttime at head. Scheduler selects the process from head of ready queue and assigns the CPU.Now, if process has burst time less than or equal to time quantum then it is executed in singleCPU assignment and relinquish the CPU voluntarily otherwise partially executed processis swapped out and put it to tail of sorted queue for second round of execution.

Now, CPU is assigned to next process in sorted queue. If, a process is waiting for I/Oduring execution then that process is swapped out immediatelyand put it to waiting queue and later enter the tail of sorted queue on its turn. Here, it is assumedthat no new process is adding in tail of ready queue. Scheduling is done among processes that are already taken. For second round of execution of remaining processes, same procedure is followed.

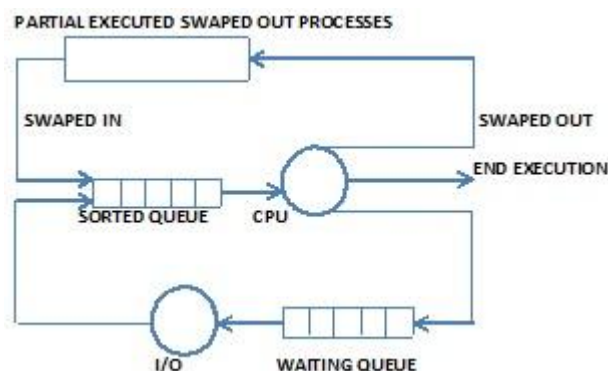


Fig. 1Proposed Scheduling Model

IV.PROPOSED SCHEME

There are some concepts that we should know to understand the proposed scheme better.

Context Switching:

A major focus in the design of proposed CPU scheduling algorithm is to avoid unnecessary context switching as possible. The proposed scheduling algorithm provides a solution to context switching. The suitable time quantum is assigned to all the processes in the queue for execution and numbers of processes get completed in first or just few round of assigned time quantum. The significant decrease in number of processes will lead to substantial reduction in the number of context switch, which show high overhead on the operating system in several cases.

The number of context switches can be calculated mathematically as follows [5]:

$$\text{Context Switching Time} = \left\{ \sum_{i=1}^n P_i \right\} - 1$$

Where, P_i is i^{th} process's burst time and n is total no of processes.

Waiting Time:

The total amount of time that a process has spent in sorted queue (ready queue) is called waiting time [15]. The average waiting time is calculated using the following equation:

$$\text{Average Waiting Time (AW}_T\text{)} = \left\{ \sum_{i=1}^n W_{Ti} \right\} / n$$

Where, W_{Ti} is the waiting time of i^{th} process and n is total no of processes.

Turnaround Time:

It is the time interval from submission of a process and completion of execution of that process. The average turnaround time is calculated using following equation:

$$\text{Average turnaround Time (AT}_T\text{)} = \left\{ \sum_{i=1}^n T_{Ti} \right\} / n$$

Where, T_{Ti} is the turnaround time of i^{th} process and n is total no of processes.

Time Quantum:

Time quantum or time slice is basically a small unit of time. In CPU scheduling, time quantum is generally in milliseconds.

Average Time Quantum:

Time quantum can be taken randomly but here time quantum is calculated as follows:

$$\text{Average Time quantum (TQ)} = \left\{ \sum_{i=1}^n P_i \right\} / n$$

Where, P_i is the i^{th} process's burst time and n is total no of processes.

Mean Difference:

The mean difference is a measure of statistical dispersion equal to the average of consecutive difference of two or more individual values.

Example:

Process ID	Burst Time
P1	X3
P2	X1
P3	X5
P4	X2
P5	X4

Table 1: Processes and their Burst Time

Arrange the processes in increasing order of their burst time. Suppose increasing order of their burst time as follows

X1, X2, X3, X4, X5

Take consecutive differences of burst time of processes and add them.

$$(X2-X1)+(X3-X2)+(X4-X3)+(X5-X4)$$

Take the Mean Difference (C) of them.

Mean Difference (C) = $(X2-X1)+(X3-X2)+(X4-X3)+(X5-X4) / \text{no of terms (means total no of processes -1)}$

Variable Time Quantum:

Variable time quantum is computed as follows:

Variable Time Quantum (VTQ) for a process:

Variable Time Quantum (VTQ) = Average Time Quantum (TQ) + no of turn of the process (turn of the process is basically position of that process among those processes having burst time greater than average time quantum (TQ) each) * C

Example:

Time quantum for first process (first in those who have burst time greater than average time quantum each)

$$VTQ_1 = TQ + 1 * C$$

Time quantum for second process

$$VTQ_2 = TQ + 2 * C$$

Time quantum for third process

$$VTQ_3 = TQ + 3 * C$$

Time quantum for fourth process

$$VTQ_4 = TQ + 4 * C$$

Time quantum for i^{th} process

$$VTQ_i = TQ + i * C$$

Where, i is an integer variable.

Proposed Scheduling Algorithm:

Round Robin and SRV are CPU scheduling algorithms based on time quantum. Their performance solely depends on the time quantum. If, time quantum is too large then Round Robin degenerates to FCFS. And if time quantum is too small then context switching occurs more which is computational overhead. SRV CPU scheduling algorithm is same as Round Robin but in place of FCFS as in Round Robin here processes are served in SJF fashion, having its own calculated time quantum. But performance of SRV depends on its calculated time quantum. So, selection of time quantum is crucial. The proposed approach is same as SRV scheduling algorithm but it works with two types of time quantum.

In the proposed algorithm, first calculate the average time quantum (TQ). Then arrange all the processes in increasing order of their burst time. After that, calculate the Mean Difference (C) and Variable time quantum (VTQ). Processes having their burst time less than or equal to average time quantum (TQ) each are served with average time quantum (TQ) and all these processes will be finished in just single time quantum and rest of the processes will be served with variable time quantum (VTQ) but this VTQ is not same for all rest of the processes. VTQ is different for different processes. It depends on process's turn. Actually, VTQ is TQ where for each incoming process (processes having burst time greater than average time quantum (TQ)); value equal to mean difference (C) is added to previous time quantum. Variable time quantum (VTQ) is calculated on TQ, mean difference (C) and process's turn.

Proposed Scheduling Algorithm:

Step 1. Calculate the Average Time Quantum (TQ)

$$TQ = \frac{\sum_{i=1}^n P_i}{n}$$

Where, P_i is the burst time of i^{th} process and n is total no of processes.

Step 2. Arrange all the processes in increasing order of their burst time.

Step 3. Calculate the Mean Difference (C) of burst times of all the processes.

Step4. Calculate the Variable Time Quantum (VTQ_i)

$$VTQ_i = TQ + i * C$$

Where, i is an integer variable.

Step5. If two or more processes have equal burst time

```
{
  Assign the CPU to the processes according to FCFS basis.
}
```

Step6. If (burst time of a process <= Average Time Quantum (TQ))

```
{
  Assign the CPU to that process till it is finished. And that
  process will be served with time quantum that is equal to
  Average Time Quantum (TQ).
}
Else
{
  Each incoming process Pi is allocated CPU for different
  time quantum called Variable Time quantum (VTQi). The
  process will hold the CPU till its VTQi and added to tail of
  sorted queue for next round of execution if, it is not finished
  within given time quantum VTQi.
}
```

V. PERFORMANCE ANALYSIS AND SIMULATION

There are given several algorithms on CPU scheduling and they have different characteristics. The selection of a specific algorithm may be better for a type of processes over others. One algorithm may be better over others for some requirements. If we want optimal average waiting time and turnaround time then SJF is the best. But it suffers with starvation and also has problem to implement it practically. Now, if we want a fair and simplest algorithm then FCFS is good but it has large average waiting time, turnaround time. It also suffers with starvation problem. Priority scheduling algorithm has large average waiting time, turnaround time. It also suffers with starvation problem. Round Robin and SRV are CPU scheduling algorithms based on time quantum. Their performance solely depends on selection of time quantum. If, time quantum is too large then Round Robin degenerates to FCFS. And if time quantum is too small then context switching occurs more which is computational overhead. Same as with SRV algorithm, if time quantum is too large then SRV degenerates to SJF. And if time quantum is too small then context switching occurs more. SRV uses the time quantum that is the average of burst time of processes. Round Robin and SRV both use the single and same time quantum for all the processes. Their performances are average in terms of waiting and turnaround time. Actually, as we try to move towards optimal average waiting time and turnaround time, starvation problem increases. Because optimal average waiting time and turnaround time achieve when we try to execute shortest job first, means we are setting a type of priority among them. Where priority applies, starvation

problem arises. So, all algorithms try to achieve minimum average waiting time and turnaround time may have starvation problem at some level.

In the proposed scheme, all processes having burst time less than or equal to average time quantum (TQ), are finished execution in single round and rest of the processes are finished in single or in few rounds. The proposed scheme shows optimal result when all processes have equal burst time or their burst time differences are multiple of mean difference of their burst time because at that situation each process will finish in single round. But in general, proposed scheme shows minimum average waiting time and turnaround time compared to others except SJF. It also removes the starvation problem at certain level because each process can hold CPU till time quantum assigned to it. In the proposed algorithm, processes are fixed for a time interval unlike SJF and the algorithm is simple, so it is easy to implement. The proposed algorithm is basically a new and efficient approach where we want minimum turnaround time and waiting time.

The proposed scheme is implemented and simulated with C programming. It may be a new approach in case of CPU scheduling and can be implemented easily in the operating system. Here, we can see the comparative results of some CPU scheduling algorithms. There are three samples of data over which algorithms are analyzed.

Ex.1

Process:	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10
Burst Time:	5	2	8	10	4	14	20	17	30	27
Priority	3	6	2	10	5	8	1	7	4	9

Ex.2

Process:	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10
Burst Time:	10	1	2	1	5	7	13	4	8	16
Priority	3	1	4	6	2	5	7	9	10	8

Ex.3

Process:	P1	P2	P3	P4	P5	P6	P7	P8	P9	P10
Burst Time:	7	3	11	2	5	16	20	1	6	9
Priority	3	2	9	5	1	8	4	7	6	10

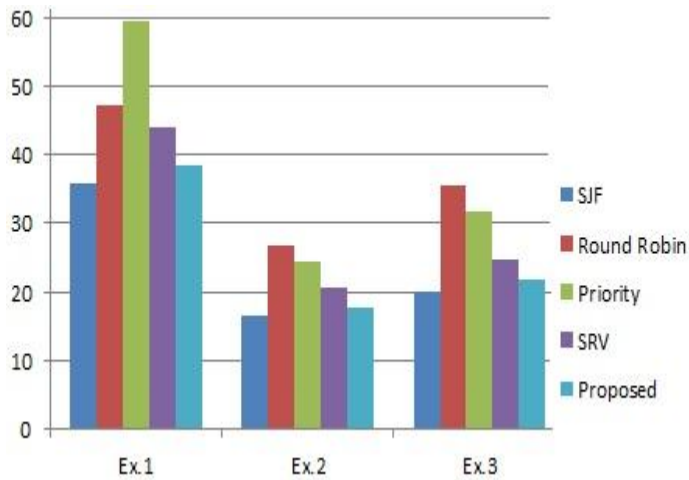


Fig. 2 Average Waiting Time

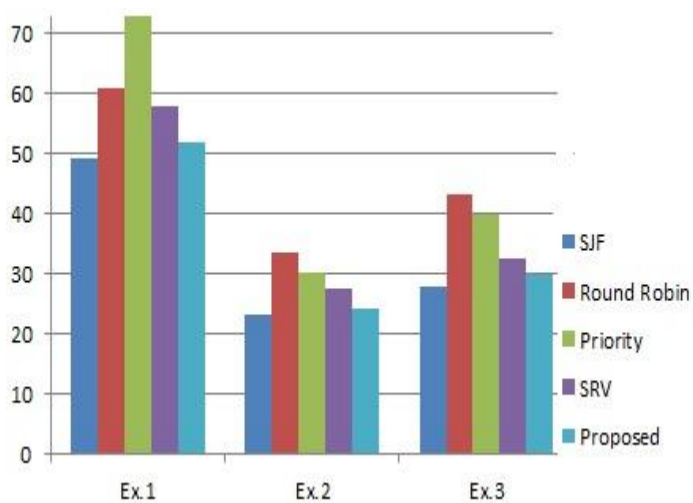


Fig. 3 Average Turnaround Time

V. CONCLUSION

There are many criteria such as waiting time, turnaround time, starvation and implementation upon which we can evaluate the CPU scheduling algorithms. Many scheduling algorithms have been given but they have lacked in some ways. For example, SJF has optimal average waiting and turnaround time but suffers with starvation and its practical implementation problem. The proposed algorithm has removed the starvation problem and is also easy to implement by employing two types of time quantum. In general, it has also minimum average turnaround time and waiting time compared to others except SJF.

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