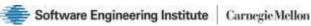
ФАКУЛТЕТ ПО МАТЕМАТИКА И ИНФОРМАТИКА



Partner of:





Q.A.

Осигуряване на качество на софтуера (2017/2018/2019/.../2024 редовно/задочно)

based on: Software Quality Management Models

[SEMP Program course, in collaboration with Carnegie Mellon University]

Assoc. Prof. George Sharkov FMI/PU & ESI Center Eastern Europe/Bulgaria www.esicenter.bg

gesha@esicenter.bg

Dr. Maya Stoeva FMI/PU

may vast@yahoo.com

МАТЕРИАЛИ: http://edesign-bg.com/courses.html

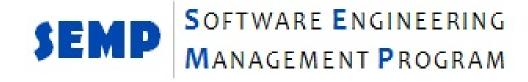








http://semp.esicenter.bg/



The course is developed (and compiled) jointly by ESI Center (Eastern Europe) and CMU from the main lines and materials for SEMP, in partnership with SEI/CMU.

It introduces students to process improvement as a main factor for the quality of products and services.

Based on process-oriented models - CMMI, the "industrial" standard developed by SEI/CMU, project management (PMI/PM BOK), personal/team management (PSP/TSP BOK), strategic planning (Balanced ScoreCards), information security.

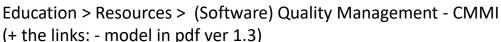
Augmented by modern methods and techniques – Agile CMMI, Six Sigma, etc.
Mapping between main industrial models and standards. Implementation. Models for quality improvement in small settings and SMEs. Business aspects – cost of quality, what is "the right model for my company", why invest in PI, what is the return, who can help.



Информация, източници:

ESI Center Eastern Europe - Resources:

https://esicenter.bg/resources





Links to CMMI models (from the new source – CMMI Institute, spin-off of Carnegie Mellon/SEI):

https://cmmiinstitute.com/resource-files/public/cmmi-v2-0-development-model (paid!!!)

[free] ver 2.0 Practices mapping (to ver 1.3)

https://cmmiinstitute.com/resource-files/public/v2-0-materials/cmmi-v2-0-to-v1-3-practice-mapping



Software Engineering Institute | Carnegie Mellon



> Access V 1.3 to download CMMI –DEV v 1.3 model (free, upon registration)

old SEI repository – VALID for FREE DOWNLOAD:

https://resources.sei.cmu.edu/asset_files/TechnicalReport/2010_005_001_15287.pdf



https://en.wikipedia.org/wiki/Capability Maturity Model Integration

General sources (Software Engineering, Quality)

www.sei.cmu.edu http://resources.sei.cmu.edu/library/ www.cmmiinstitute.com



Съдържание (модули)

- 1 Увод в управление на качеството. Компоненти и цена на качеството. Процеси. Преглед на моделите за управление на качеството и подобряване на процесите. Методи за оценка на зрелостта на ИТ-интензивни и софтуерни организации. Стратегически карти/Балансирана система от показатели (balanced ScoreCards).
- 2 Модел СММІ (ver 1.3, 2.0, 3.0). История, внедряващи организации. Обща структура. Процесни области. Генерични и специфични цели и практики. Презентации Maturity/Capability нива на Continuous и Staged representations. Категории процесни области: Process Management, Project Management, Engineering, Support.
- 3 Процесни области от ниво 2 на СММІ. Детайлно представяне на:

REQM – Requirements Management

PP - Project Planning

MA – Measurement and Analysis

PPQA - Process and Product Quality Assurance

CM – Configuration Management

PMC - Project Monitoring and Control

Преглед на:SAM-Supplier Agreement Management

4 Процесни области от ниво 3 на СММІ. Детайлно представяне на:

RD – Requirements Development

VAL - Validation

VER - Verification

RSKM - Risk Management; TS - Technical Solution

Обобщение на връзките между процесните области: Tying all together

Update for ver. 2.0, 3.0 (CMMI Institute)

5 Методи и средства за извършване на тестове на качеството на софтуер. Видове тестове. Автоматизирани тестове.

Интегриране на CMMI с модел на зрялост за планиране и провеждане на тестове – TMMi.

- 6 Внедряване на програма за подобряване на процесите на база СММІ. Адаптирани подходи Agile CMMI, CMMI/ISO. Нови модели СММІ CMMI for Services, CMMI for Acquisition. Оценка (SCAMPI), роли.
 - DevOps, DevSecOps Security Requirements (for SW), Security by Design, Resilience by Design (CERT RMM), TMM (Testing Maturity Model)
- 7 Подобряване на процесите в малки фирми IT Mark. Компненти на зрелостта бизнес, организация/процеси, информационна сигурност. Оценка на нивото и план за подобрения.

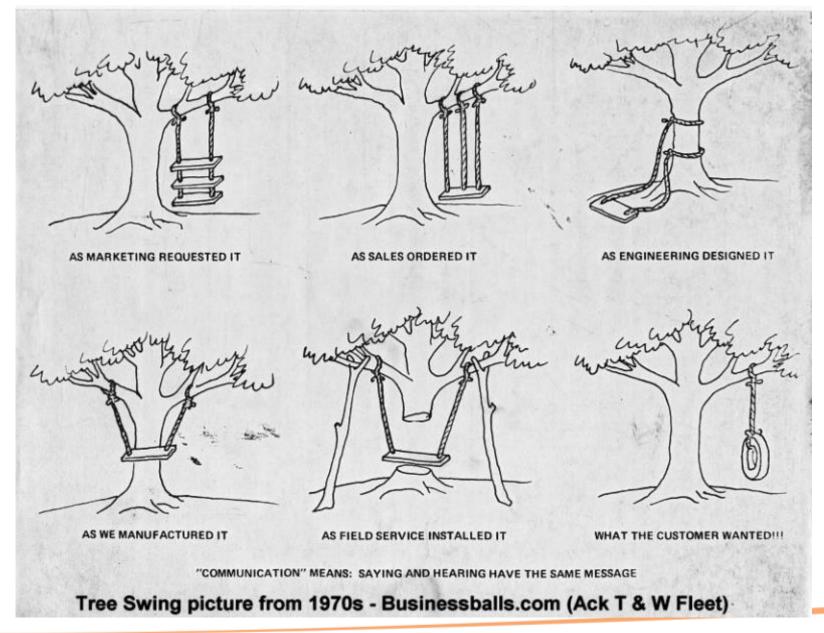


Why are we here?

What is Software Quality and how we assure it?



Do we want this?

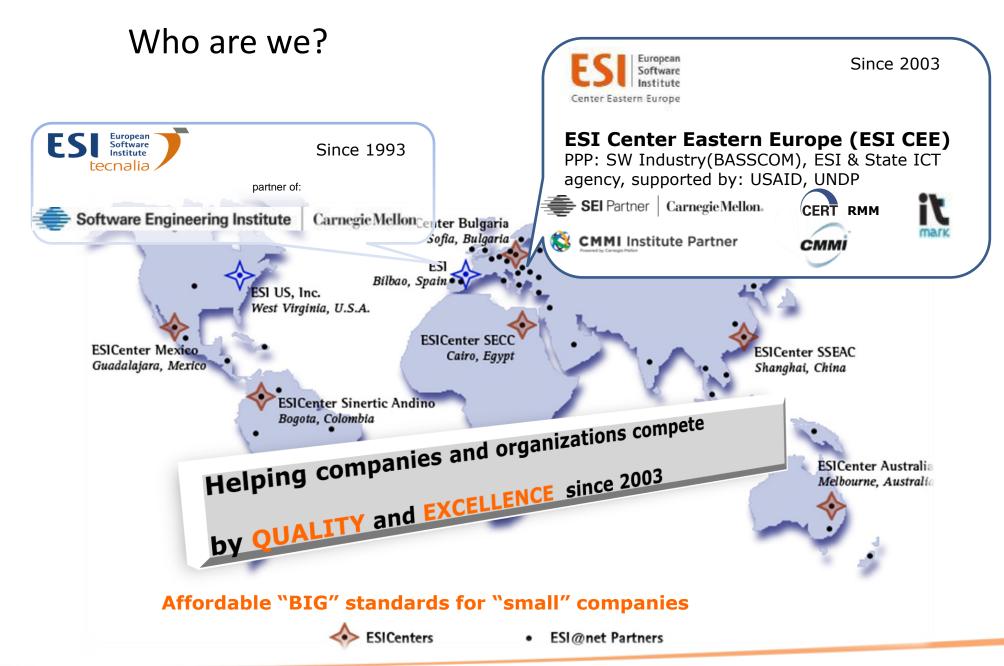




Part 1: Introduction

Увод в управление на качеството. Компоненти и цена на качеството. Процеси. Преглед на моделите за управление на качеството и подобряване на процесите. Методи за оценка на зрелостта на ИТ-интензивни и софтуерни организации. Стратегически карти/Балансирана система от показатели (balanced ScoreCards).







European Software Institute











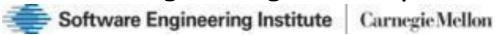




- Non-profit member-based Foundation
- Founded in 1993 by the European Commission and the Basque Government
- Established in Zamudio, near Bilbao, Spain



Software Engineering Institute | CERT | Carnegie Mellon







Carnegie Mellon University

Software Engineering Institute (SEI)

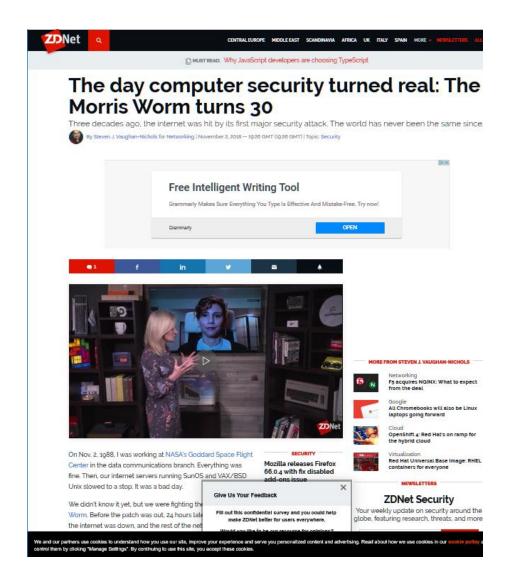
- Federally funded research and development center based at Carnegie Mellon University
- Basic and applied research in partnership with government and private organizations
- Helps organizations improve development, operation, and management of software-intensive and networked systems

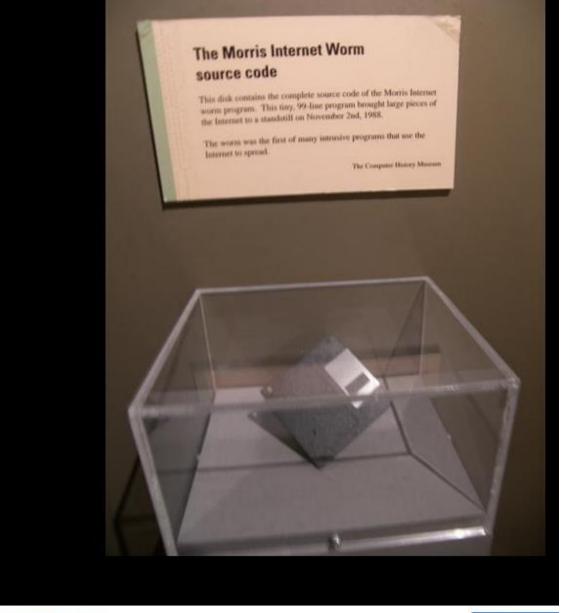
CERT – Anticipating and solving our nation's cybersecurity challenges

- Largest technical program at SEI
 - Focused on internet security, digital investigation, secure systems, insider threat, operational resilience, vulnerability analysis, network situational awareness, and coordinated response









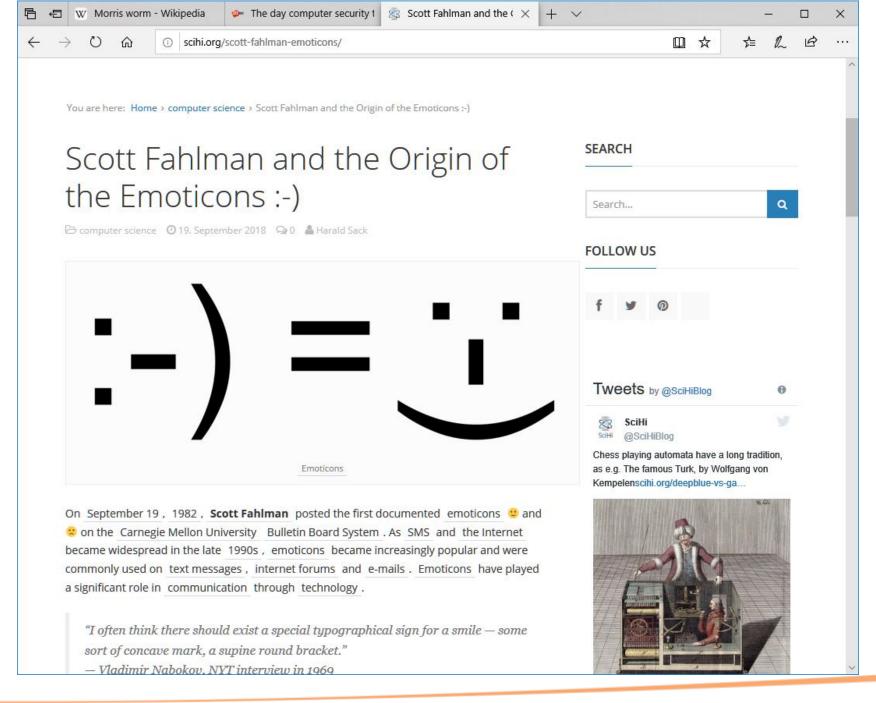
Floppy Diskette containing the source code for the Morris Worm held at the Computer History Museum.





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Also from SEI: **Computer Emergency Response Team**

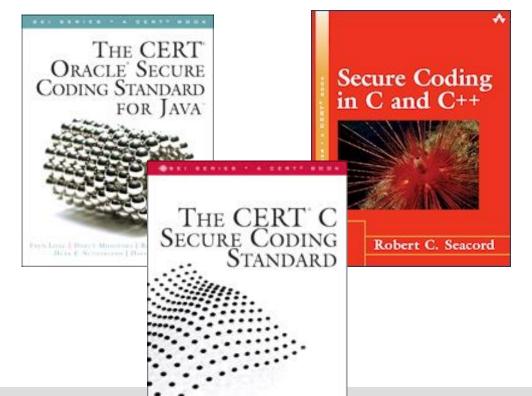


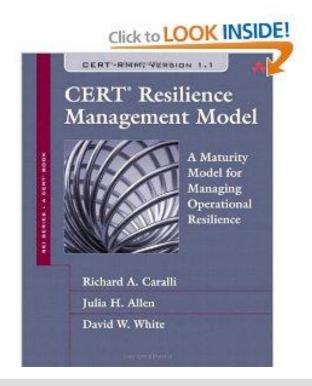
Carnegie Mellon

Closing gaps & develop good code: Secure Coding Standards [languages + compilers]

Generic Model to Manage and Assess the Operational Resilience [Information Security, Security

Business Continuity]







small or BIG

business depends on excellence



What is excellence?

Corporate Excellence is a feature of an organizational entity that manifests how incomparably excellent it is when assessed adhering to success criteria (ISO, CMMI, 6 Sigma etc.); excellence refers always to excellent performance concerning the best methodologies in the world and it manifests in official certification according to them.

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Corporate excellence perspectives: Balanced ScoreCards (BSC)



Corporate excellence is a balanced model

Kaplan and Norton structured it in four perspectives:

- Financial perspective
- Customers perspective
- Internal Processes perspective
- Learning & Growth perspective
 (Organizational Capacity)

https://balancedscorecard.org/bsc-basics-overview/



So what is the Balanced Scorecard?

The Balanced Scorecard is a framework for translating a vision into a strategy by focusing on shareholder, customer, internal and learning requirements which collectively describe the strategy of an organisation and how that strategy can be achieved.

Kaplan & Norton Harvard Business Review, 1992 "The Balanced Scorecard - Measures that Drive Performance"

Financial Perspective

"If we succeed, how will we look to our shareholders?"



Customer Perspective

"To achieve our vision, how must we look to our customers?"



Process Perspective

"To satisfy our customers' value proposition, what must excel at?"



Growth Perspective

"If we are to succeed, what must we do to learn and improve?"



Excellence is in:

repeating the success
turn it to sustainable growth
make the best with your people
for higher profit

= follow established processes (repeatable, basis for continuous improvement)



Corporate excellence – FINANCIAL Perspective

The RESULT produced by the corporate excellence is high profitability

- The major goal of the companies is to produce profit for their shareholders rather than have the "ideal company"
- Corporate excellence is a tool for sustainable financial results
- The key social impacts of corporate excellence are higher employment and increased fiscal stability

Typical indicators: Return on Investment (ROI), Shareholder Value, Increase of Revenue, Increase of Turnover, Cash Flow, etc.



Corporate excellence – CUSTOMERS Perspective

The corporate excellence is CERTIFIED by the customers

Understanding, predicting and managing the customers' expectations are critical:

low cost <-> creativity and efficiency

coding <-> complex solution

outsourcing <-> partnership with the clients

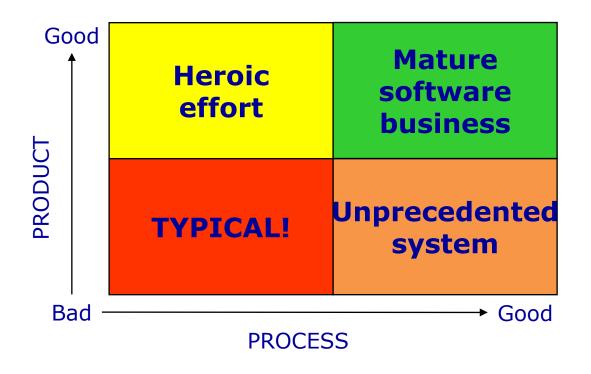
competition <-> "coopetition"

Typical indicators are: market segments, customer satisfaction, percentage of new customers, life cycle, quality, service, price - quality, delivery times, reputation, commitment to delivery times



Corporate excellence – INTERNAL Perspective

The corporate excellence is BASED on good internal processes



"The quality of a product is largely determined by the quality of the process that is used to develop and maintain it."

Based on TQM principles as taught by Shewhart, Juran, Deming and Humphrey.

Typical indicators: Processing time, % millstones met, process frequency, process costs, process quality, time to market, innovation cycle etc.



Corporate excellence – LEARNING and GROWTH Perspective

The corporate excellence is EMPOWERED by <u>learning and innovations</u>

- Motivated and qualified human resources
- Knowledge management
- Organizational learning

Typical indicators: market innovation, intellectual competences, staff satisfaction, fluctuation, staff productivity, number of improvement proposals, quality of improvement proposals, training days, etc.



Why focus on the processes?



Quality Is More Than Making a Good Product

The company inside:

Why should a manager care about the software process?

"It's very difficult to consistently deliver quality products to your

customers, while also making a profit, if your development

process is poor."



The sad truth

25% of all software projects are killed.

Companies are releasing products to their customers with 15% of the defects remaining in the product.

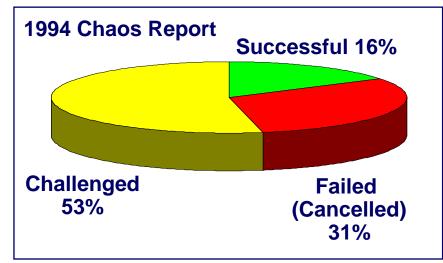
Many companies are spending 30-44% of their time and money on reworking software they have already written.

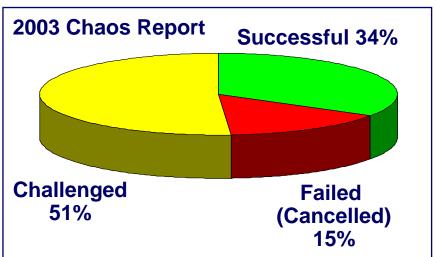
Companies meet their schedules only 50% of the time.

Sources: Capers Jones and Bill Curtis



We're getting better, but ...





- Project waste has dropped from 32% to 21.5% of project spending
- Cost overruns have dropped from 180% to 43%
- Project waste of \$55 billion against\$255 billion in project spending
- For every 100 project starts, there are 94 restarts
- 52% of required features and functions make it to the released product
- Projects cost, on average, 143% of the original estimate and 82% have schedule overruns

Definitions			
Successful	on time, on budget, promised functionality		
Challenged	late, over budget and / or missing functionality		
Failed	Severely impaired projects; cancelled projects		

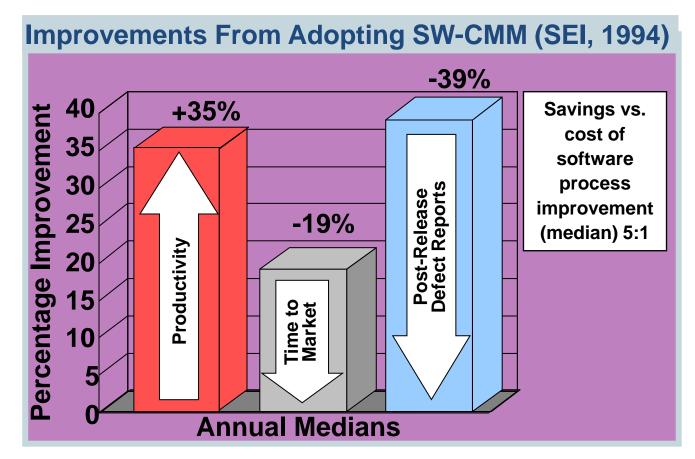
Source: Standish Group Chaos Report - 2003



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Things are Looking Brighter



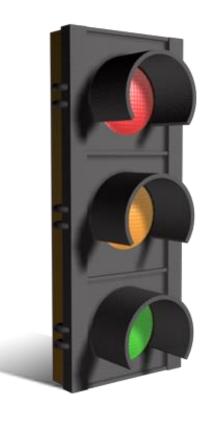
Current ROI Value to Programs (DACS, 1999)

Development Costs	Reduced 73%	
Rework Costs	Reduced 96%	
Average Schedule Length	Reduced 37%	
Post-Release Defects	Reduced 80%	
Weighted Risk Likelihood	Reduced 92%	
Return On Investment	21:1	

Expect Even Higher ROI For CMMI



You can only do 3 things



Work harder

Hire better people

Invest in improving the processes that you use to do your job

Cost of Quality (CoQ)





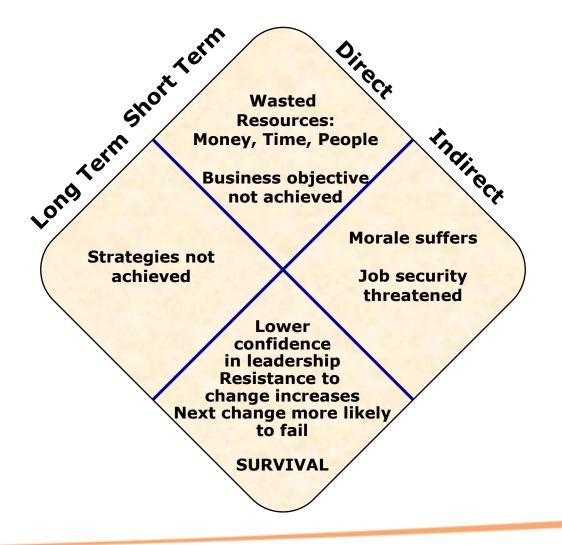
Cost of implementation failure

Quality is NOT Free...

Cost of conformance

...but quality is cheaper than the alternatives

Cost of nonconformance





Cost of Quality (CoQ)

Crosby describes Cost of Nonconformance as the extra cost incurred because a product or service wasn't done right the first time.

Cost Categories

Cost of Nonconformance

+ Cost of Conformance

Cost of Quality

Internal Failures
+ External Failures

Prevention + Appraisal



Exercise CoQ - Cost Categories

Prevention	Appraisal	Internal Failure	External Failure
Costs associated with preventing defects	Costs associated with "looking" for defects	Costs associated with defects found prior to	Costs associated with defects found after the
Planning Documentation Training	Reviews System Requirements	implementation / release Rework • Requirements	product is implemented / released Warranties
Tools Policies and procedures Quality improvement projects Data gathering and analysis Fault and root cause analysis Quality reporting	 Design Test Plan Test Script Walkthroughs and code inspections Testing (First-time) Audits CMM Assessments Class A,, B, C 	 Requirements Design Code Documentation Defect re-testing Process losses (testing downtime, changing deliverables, schedule slips, cost overruns, etc.) 	Complaint adjustments Lost projects Tech support Subsequent releases, patches, "Service Packs" (MS terminology)

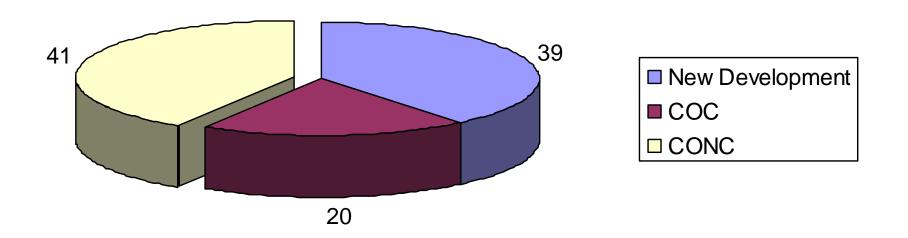


An Early CoSQ Experience



Where are software engineers spending their time? OR

Where are we spending our software engineering budget?



Source: Raytheon Electronic Systems Experience in Software Process Improvement, CMU/SEI-95-TR-017, November 1995



Successful software process improvement programs can

reduce the number of defects delivered to customers by 95%

reduce software development schedules by 71%

increase productivity (measured in lines-of-code or function points per day) by 222%

realized an average ROI of 5:1

Sources: Capers Jones and Software Engineering Institute



Why Focus on Process?

Process provides a constructive, high-leverage focus...

... as opposed to a focus on people

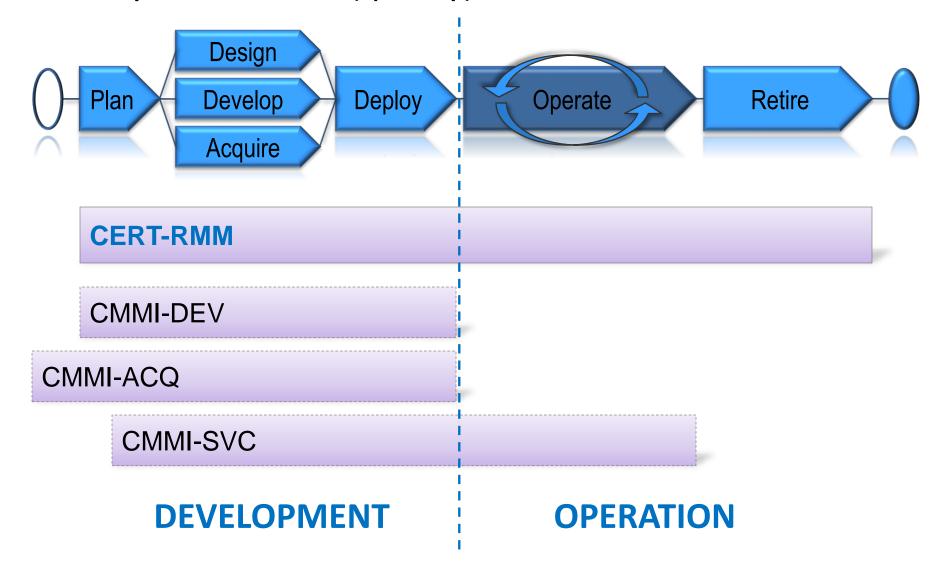
- Your work force, on the average, is as "good" as it is trained to be.
- Working harder is not the answer.
- Working smarter, through process, is the answer.

... as opposed to a focus on technology

- Technology applied without a suitable roadmap will not result in significant payoff.
- Technology provides the most benefit in the context of an appropriate process roadmap.

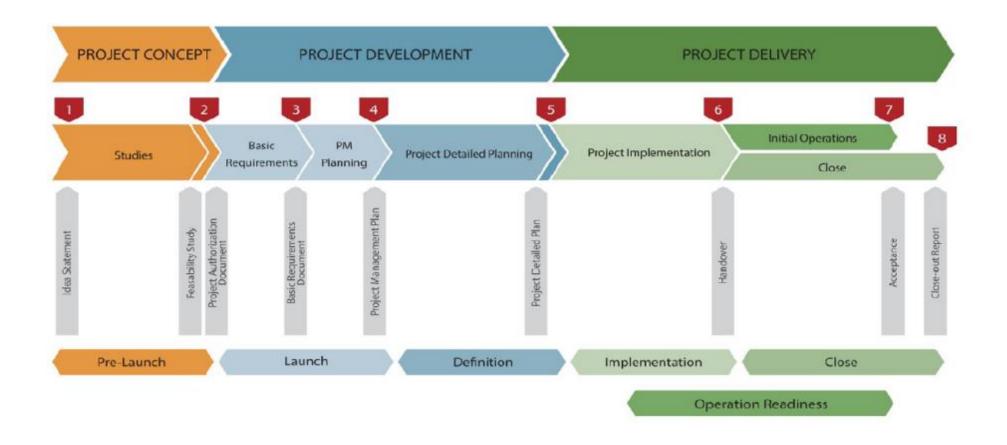


SW life cycle, software (quality) assurance standards/models





SW Project life cycle (detailed)





Defects: Insertion Pattern & Cost of Removal

But...this is also about SW Quality?

	Require-	Design	Code	Software	System	Field
	ments			Test	Test	Use
Where Defects are Introduced	10%	400	50%			
Relative Cost to	\$1	\$1	\$1	\$6	\$12	\$100

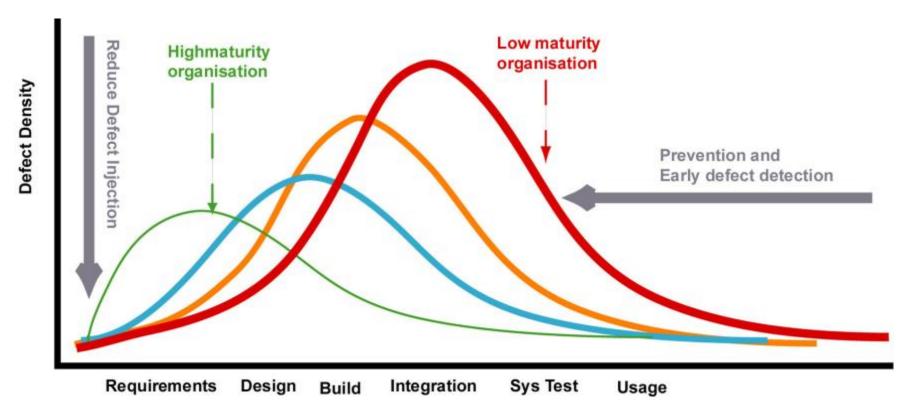


Source: SEPG Asia Pacific 2009 presented by Ravindra Nath, KUGLER MAAG CIE GmbH

SELECT name FROM users WHERE name=" OR "=" AND passwd= " OR "="



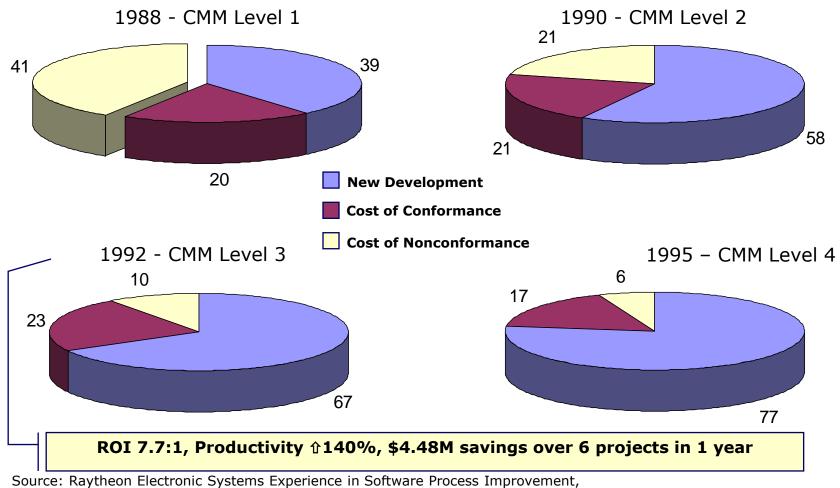
Defects-2: Injection & Prevention



Source: Six Sigma and DFSS for IT and Software Engineering Position Paper Radouane Oudrhiri, CTO, Systonomy Limited



The shift to increased profitability



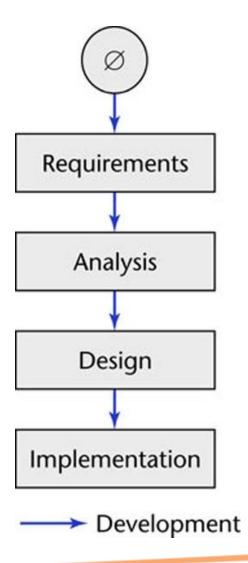


CMU/SEI-95-TR-017, November 1995

Software Development in Theory

Ideally, software is developed:

- Linear
- Starting from scratch





Software Development in Practice

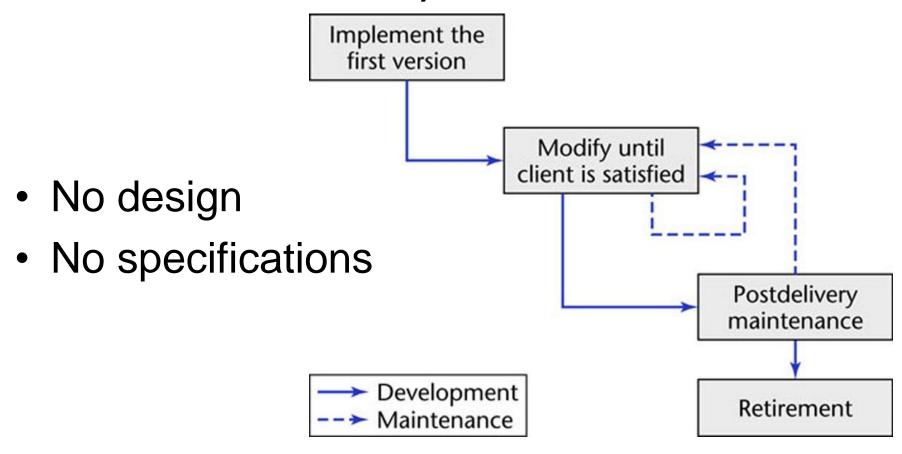
In the real world, software development is totally different and is more chaotic

- Software professionals make mistakes
- The client's requirements change while the software product is being developed
- A software product is a model of the real world, and the real world is continually changing.

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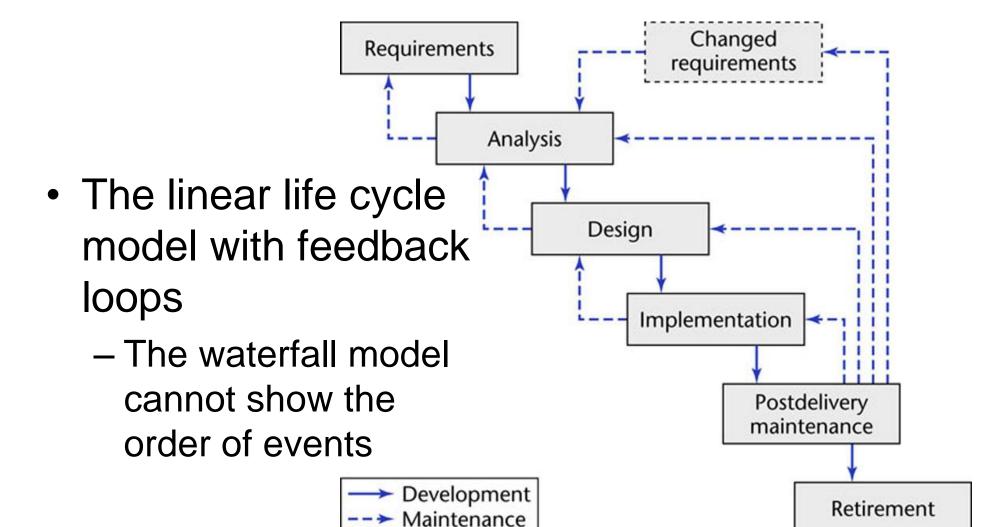
Code-and-Fix Life-Cycle Model



The easiest way to develop software The most expensive way for maintenance (i.e., maintenance nightmare)



Waterfall Basic Life-Cycle Model





Waterfall Life-Cycle Model

(Cont.)

No phase is complete until the **documentation** for that phase has been completed and the products of that phase have been approved by the **software quality** assurance (SQA) group.

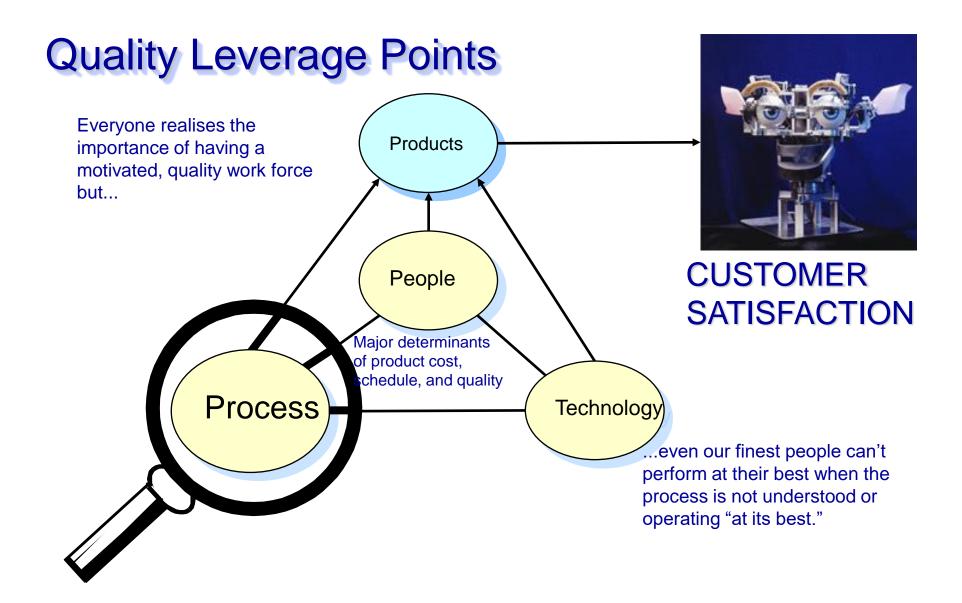
If the products of an earlier phase have to be changed as a consequence of following a **feedback loop**, that earlier phase is deemed to be complete only when the documentation for the phase has been modified and the modifications have been checked by the SQA group.

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Focus on the processes (2)







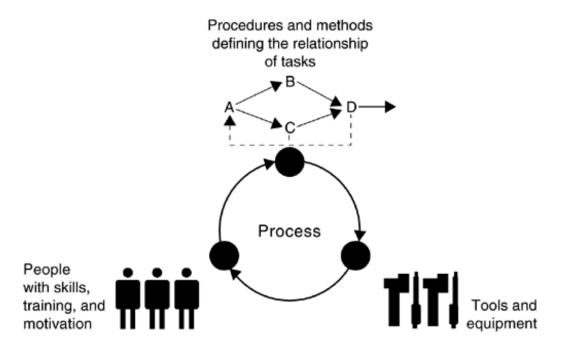
General Definition of Process

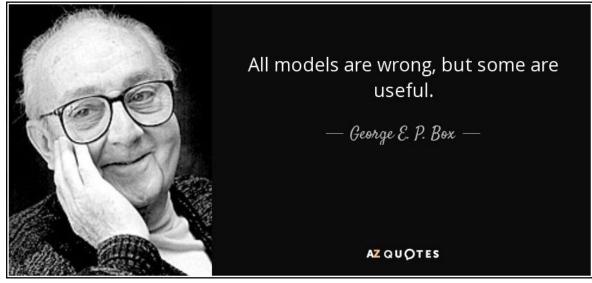
How do you define process?

A process is a set of practices performed to achieve a given purpose; it may include tools, methods, materials, and/or people.

While process is often described as a leg of the processpeople-technology triad, it may also be considered the "glue" that unifies the other aspects.

Why using models?





George E. P. Box. (n.d.). AZQuotes.com. Retrieved November 20, 2023, from AZQuotes.com Web site: https://www.azquotes.com/quote/534227

Remember:

Process **#** Bureaucracy

Process = Work

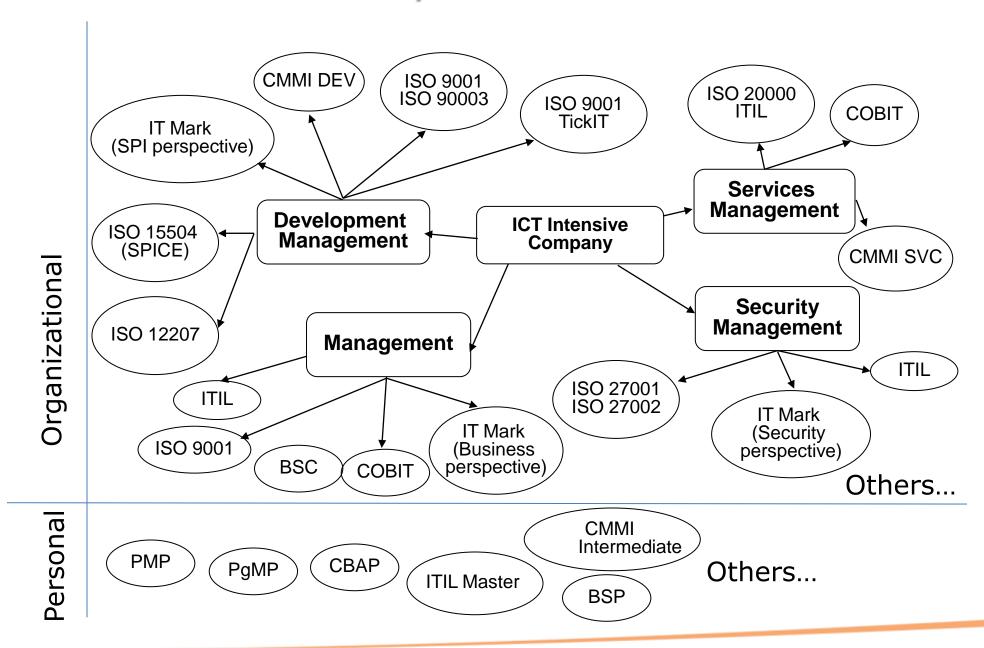


Part 2: CMMI model

Модел CMMI (ver 1.3). История, внедряващи организации. Обща структура. Процесни области. Цели и практики. Презентации — Maturity/Capability нива на Continuous и Staged representations. Категории процесни области: Process Management, Project Management, Engineering, Support.



So many models and standards...





What is a Capability Maturity Model?

Capability Maturity Model:

A reference model of mature practices in a specified discipline, used to assess a group's capability to perform that discipline

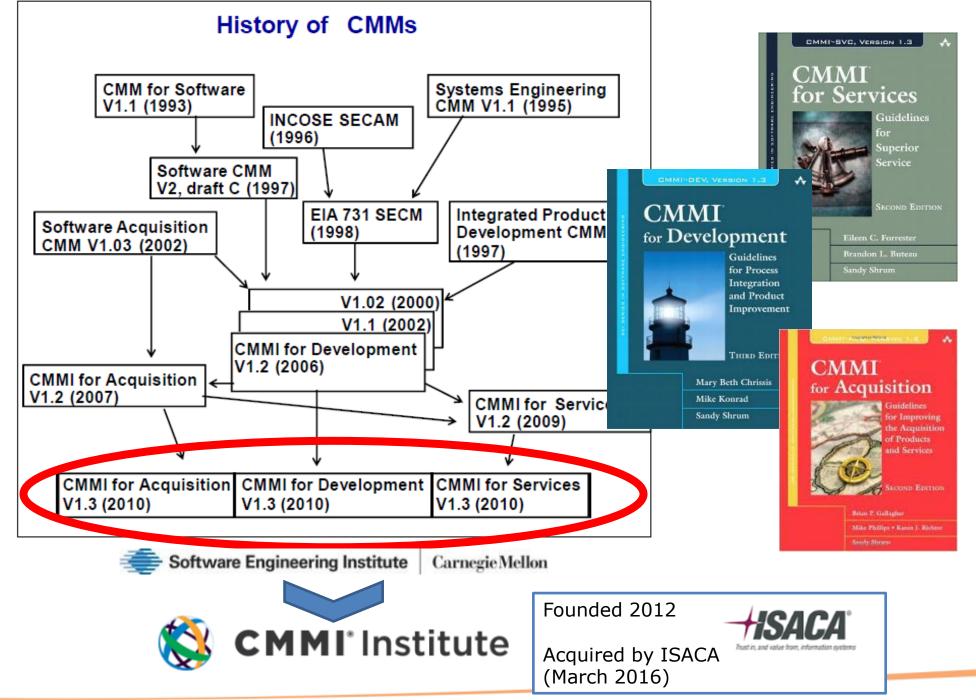
CMMs differ by

- Discipline (software, systems, acquisition, etc.)
- Structure (staged versus continuous)
- How Maturity is Defined (process improvement path)
- How Capability is Defined (institutionalisation)

"Capability Maturity Model®" and CMM® are used by the Software Engineering Institute (SEI) to denote a particular class of main models

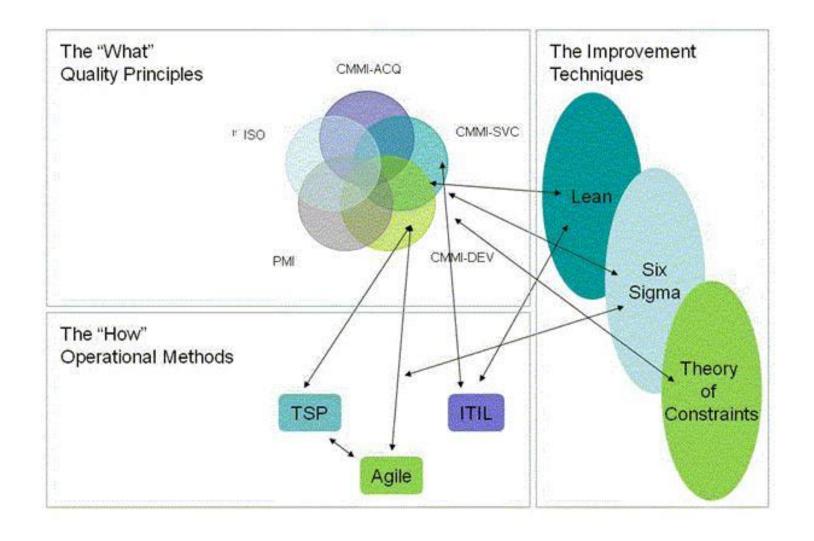
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ESI European Softward Institute

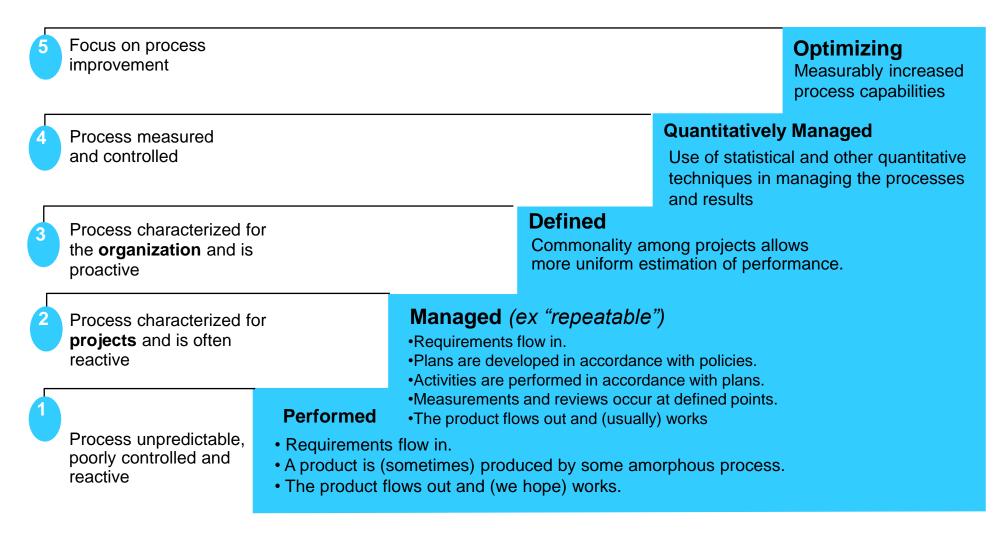
CMMI and other models





CMMI – reference model & de facto industrial standard

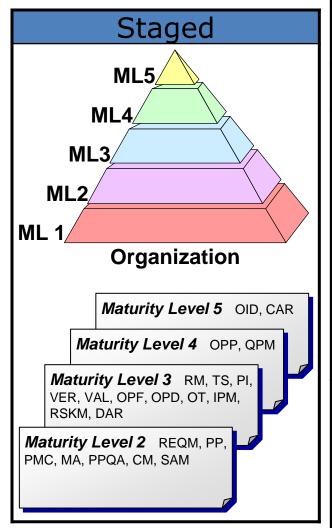
Maturity Levels (ML 1-5) - Staged Representation



CMMI DEV, CMMI ACQ, CMMI SVC



CMMI Representations



Process Areas

Organizational Innovation & Deployment (OID)

Causal Analysis and Resolution (CAR)

Organizational Process Performance (OPP) Quantitative Project Management (QPM)

Requirements Development (RD)

Technical Solution (TS)

Product Integration (PI)

Verification (VER)

Validation (VAL)

Organizational Process Focus (OPF)

Organizational Process Definition (OPD) + **IPPD**

Organizational Training (OT)

Integrated Project Management (IPM) + IPPD

Risk Management (RSKM)

Decision Analysis and Resolution (DAR)

Requirements Management (REQM)

Project Planning (PP)

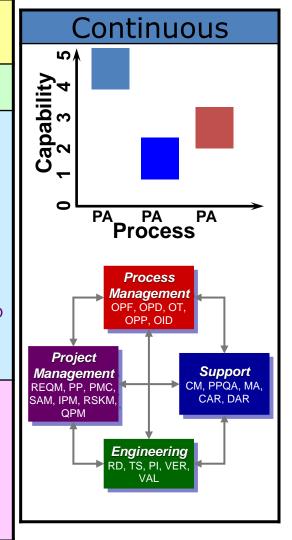
Project Monitoring and Control (PMC)

Supplier Agreement Management (SAM)

Measurement and Analysis (MA)

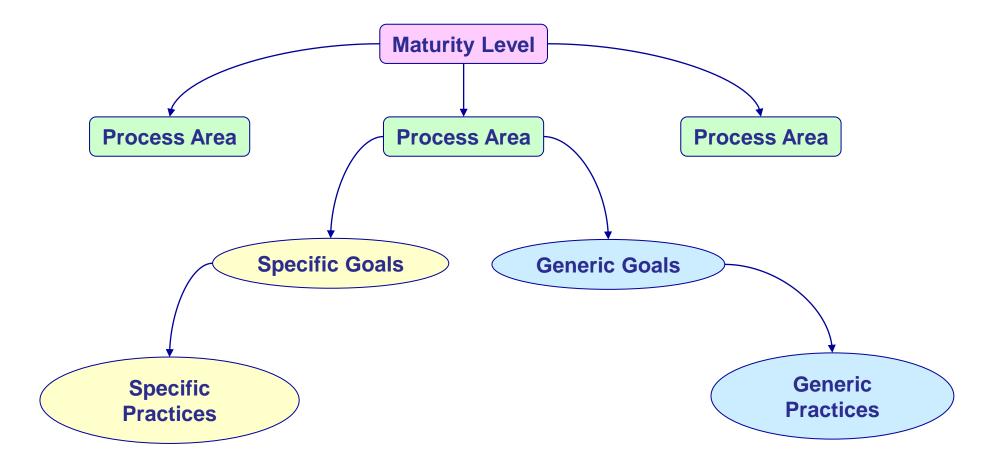
Process and Product Quality Assurance (PPQA)

Configuration Management (CM)



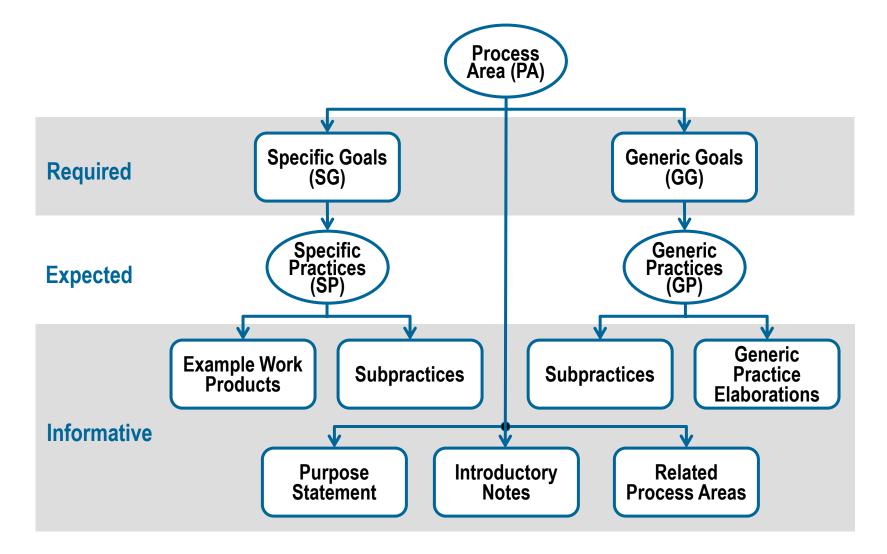


Structure of the CMMI Staged Representation





Process Area Components (or how to read the book)





Maturity Levels Cannot Be Skipped

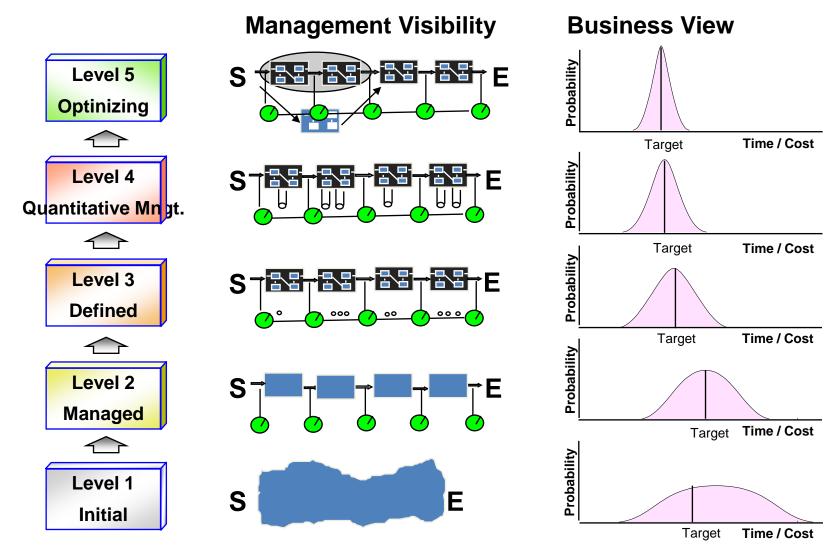
- A level provides a necessary foundation for effective implementation of processes at the next level.
 - Higher level processes are easily sacrificed without the discipline provided by lower levels.
 - The effect of innovation is obscured in a noisy process.

Higher maturity level processes may be performed by organisations at lower maturity levels, with risk of not being consistently applied in a crisis.



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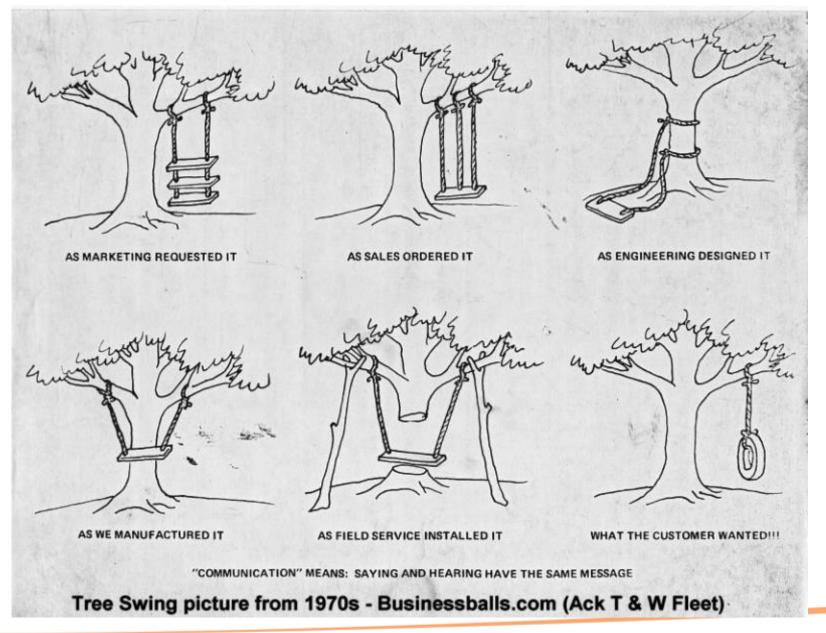
Visibility & Predictability



Source: SEPG Asia Pacific 2009 presented by Ravindra Nath, KUGLER MAAG CIE GmbH



Remember: We want to avoid this!





DO NOT FORGET!!!



