



6G in the Upper Mid-Band: The Rise of Gigantic MIMO

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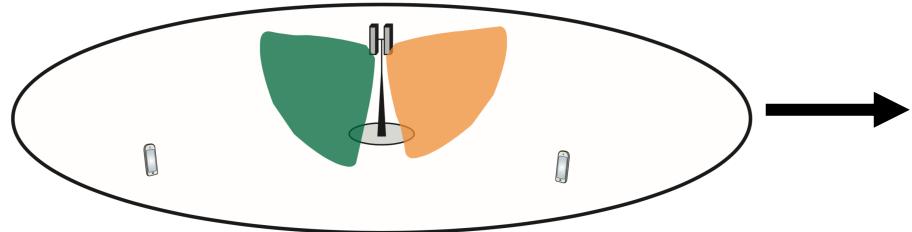
A special thanks to
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Nikolaos Kolomvakis
Özlem Tuğfe Demir
Parisa Ramezani
Alva Kosasih
Ferdi Kara



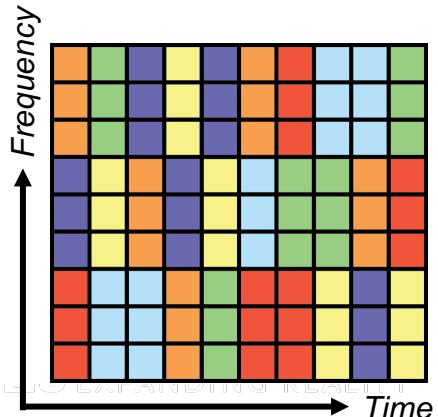
*Knut and Alice
Wallenberg
Foundation*

Multiple Access in Wireless Networks

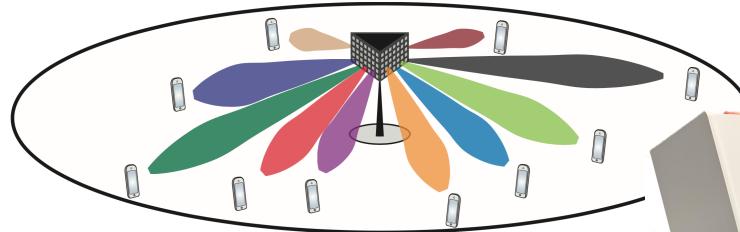
1. Sectorization and frequency reuse



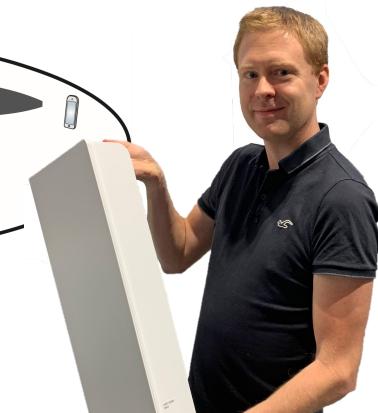
Time-frequency scheduling



2. Multi-user MIMO (multiple-input multiple-output)

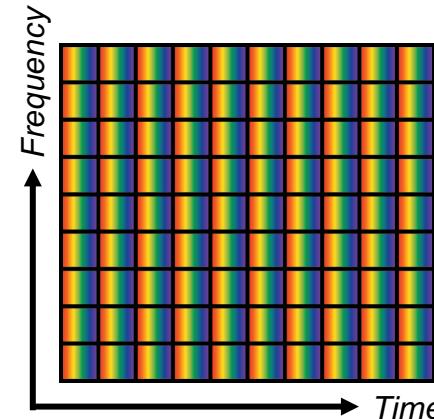


User-separation by beamforming



What comes next?

- a) 6G frequency bands
- b) MIMO functionalities
- c) Predict “early 6G”

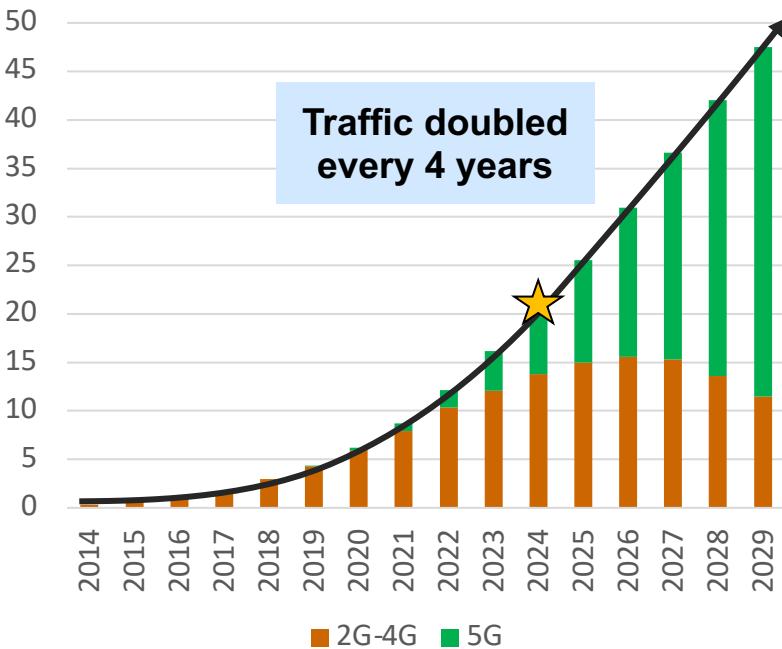


5G: Massive MIMO
64 antennas

The Need for 6G: Network Capacity Growth

Demand

Gigabyte per month per person



Supply

Channel capacity (bit/s per access point):

$$C = \text{Bandwidth} \cdot \text{Layers} \cdot \log_2(1 + \text{SNR})$$



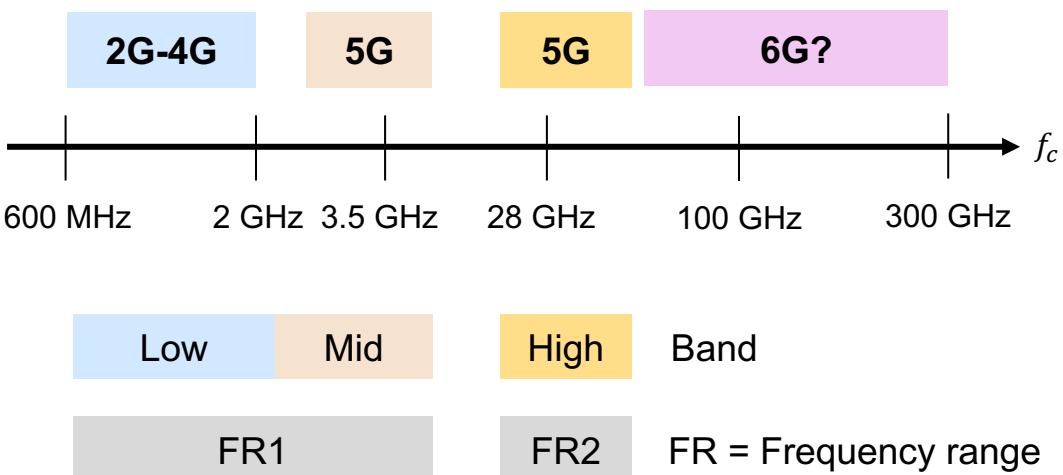
6G: More bandwidth?

6G: Bigger MIMO?

The rise of mmWave...

...and fall?

Rule-of-thumb: Bandwidth $\propto f_c$



South Korea cancels SKT's 28 GHz 5G licence

Written by [Mary Lennihan](#) 15 May 2023 @ 12:38

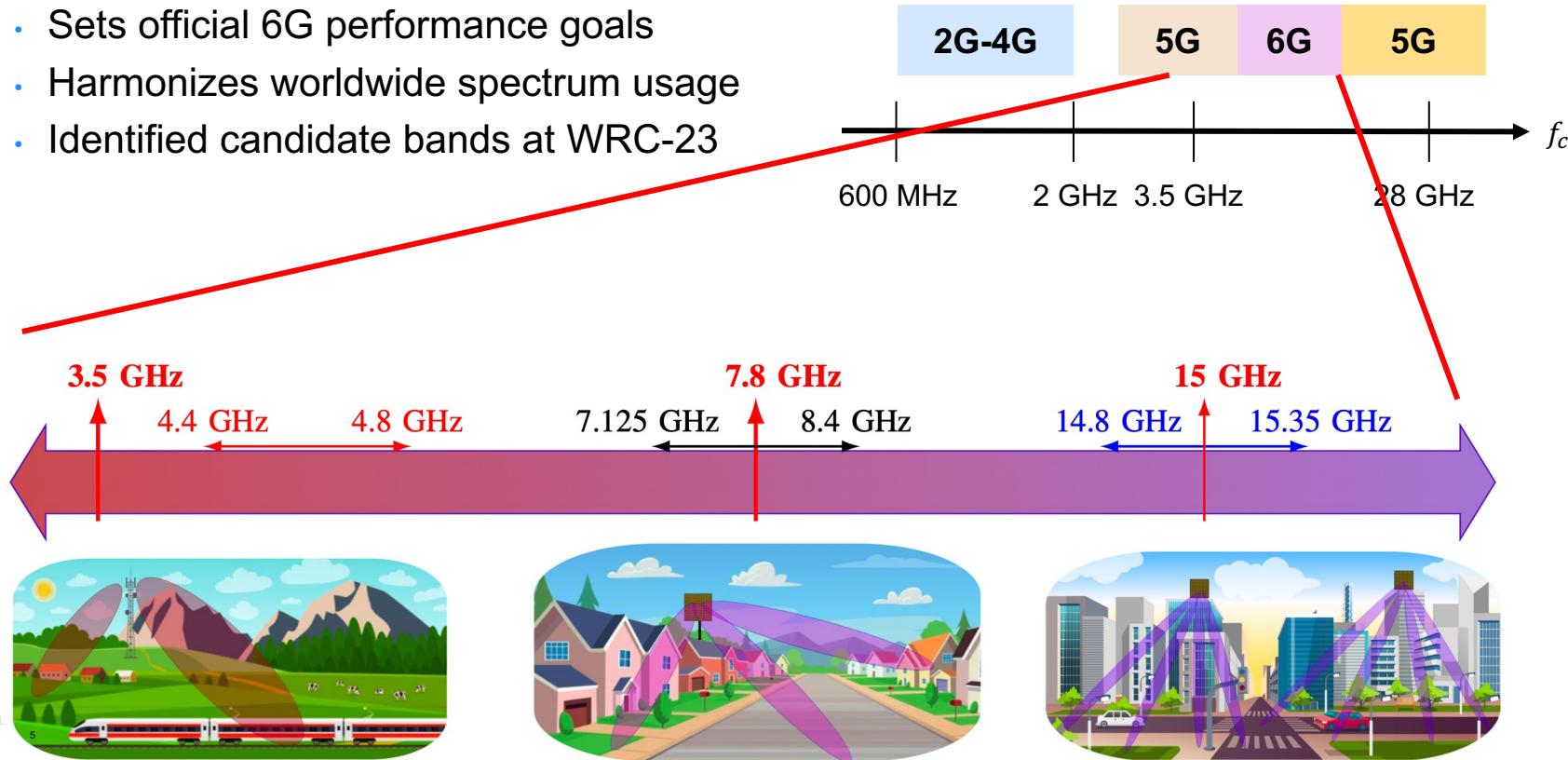


South Korea has withdrawn SK Telecom's licence to operate 5G services in the 28 GHz band, the telco having failed to meet its rollout requirements.

Candidate Bands for 6G

International Telecommunications Union (ITU)

- Sets official 6G performance goals
- Harmonizes worldwide spectrum usage
- Identified candidate bands at WRC-23



6G Spectrum in the Upper Mid-Band (7-24 GHz)

6G Candidate bands

- 4.4-4.8 GHz (400 MHz)
- 7.1-8.4 GHz (650-1275 MHz)
- 14.8-15.35 GHz (550 MHz)

Current 5G bands

- 3.3-3.8 GHz (400 MHz)
- 6.4-7.1 GHz (700 MHz, new at WRC-23)

6G will only have slightly more bandwidth than 5G!



Per operator
and base station:



Can “bigger MIMO” be the distinguishing 6G factor?

Addressing the Frequency-Dependent Pathloss

Friis' formula: Received power with isotropic antennas, with area $A = \frac{\lambda^2}{4\pi}$

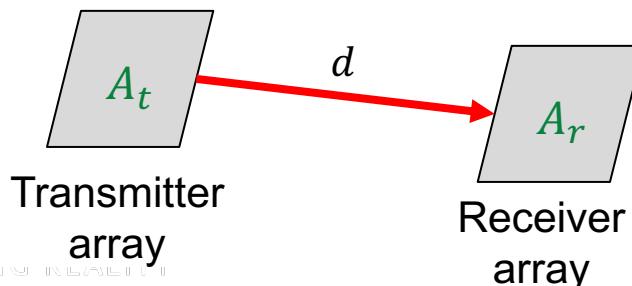
$$P_r = \frac{\lambda^2}{(4\pi d)^2} \cdot P_t$$

Higher frequency \rightarrow Less power?

Received power with array areas A_t and A_r :

$$P_r = \frac{A_r A_t}{d^2 \lambda^2} \cdot P_t$$

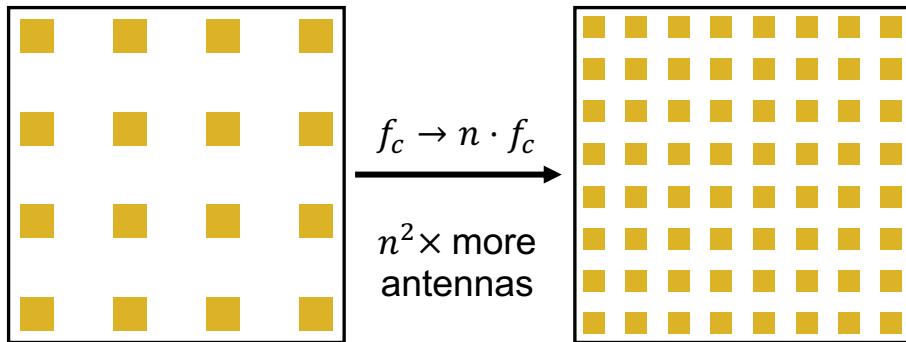
Higher frequency \rightarrow More power!



But more antennas needed to fill the area

How Many More Antennas at 6G Base Stations?

Example: Filling the array aperture area



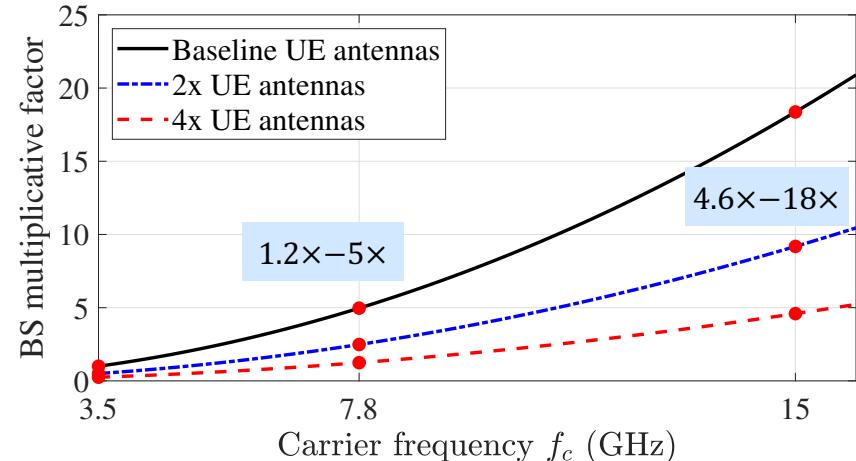
Improves the free-space pathloss!

Margin for non-line-of-sight issues

Bigger penetration losses

Less scattering and diffraction

Example: Maintain free-space pathloss



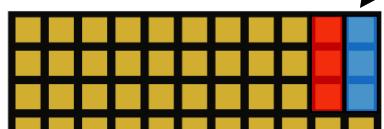
Tradeoff between adding antennas at base station and users

From Massive to Gigantic Numbers

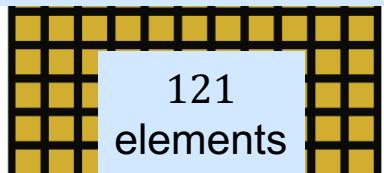
Example: 0.5×0.5 m arrays

Antenna ports

- # elements \geq # antenna ports
- Fully digital transceivers
 - Dual-polarized elements

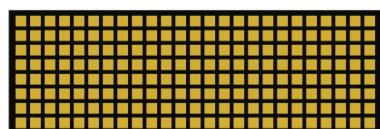


"Massive MIMO"



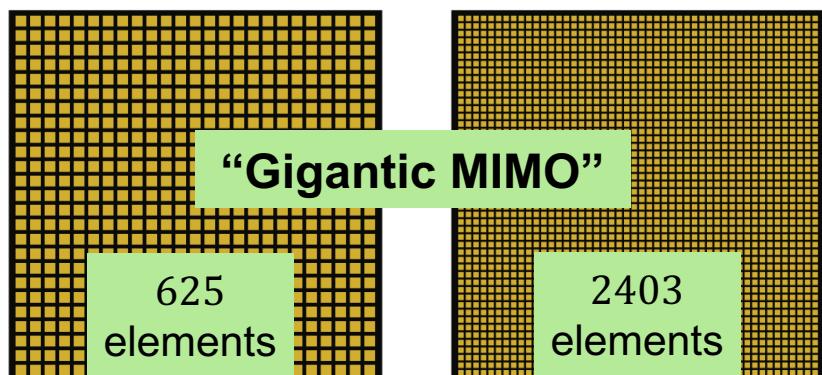
121
elements

3.5 GHz:
 11×11 array



"Gigantic MIMO"

625
elements



2403
elements

15 GHz:
 48×48 array

5G base station:

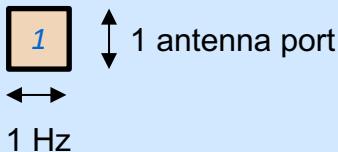


Theoretical Peak Rates per User

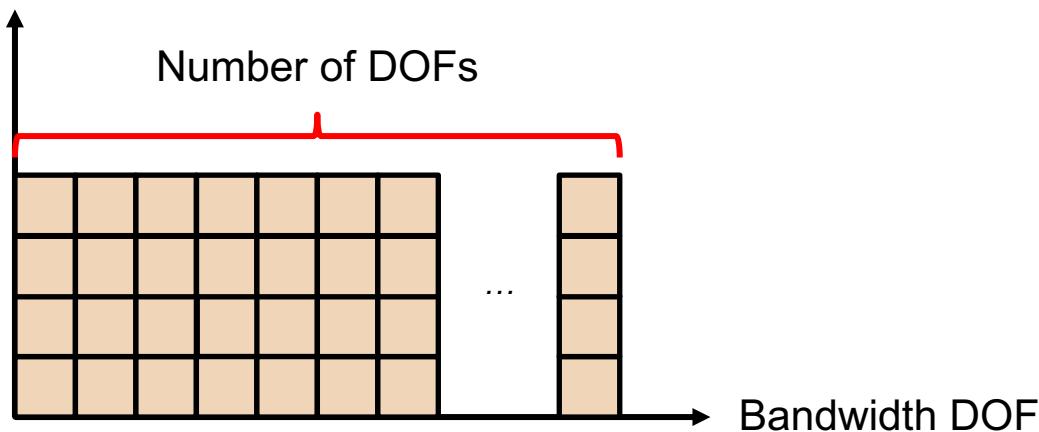
Bit rate formula:

$$\text{bit/s} = \text{bit/DOF} \cdot \text{DOF/s}$$

Degree of freedom (DOF)



Spatial DOF



6G Possibility

$$(f_c = 7.8 \text{ GHz}, B = 1200 \text{ MHz})$$

12 bit/DOF (4096-QAM)

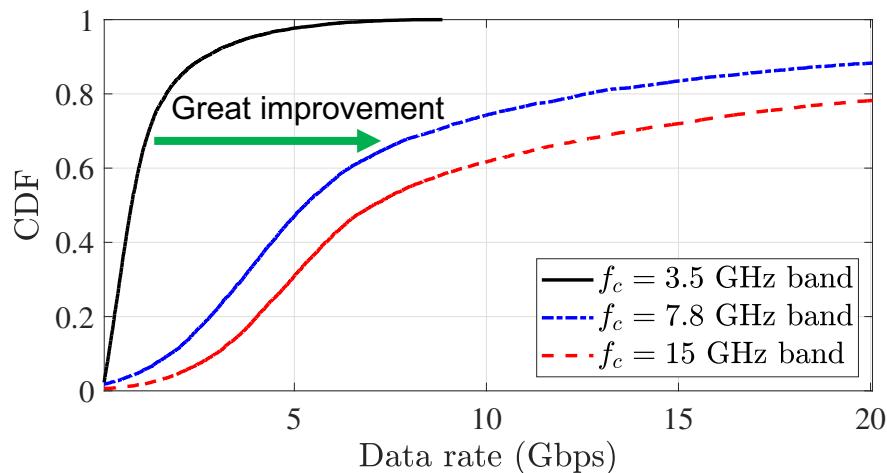
16 spatial DOFs

$1.2 \cdot 10^9$ bandwidth DOFs

$$12 \cdot 16 \cdot 1.2 = 230 \text{ Gbps}$$

**ITU 6G vision of
200 Gbps is within reach**

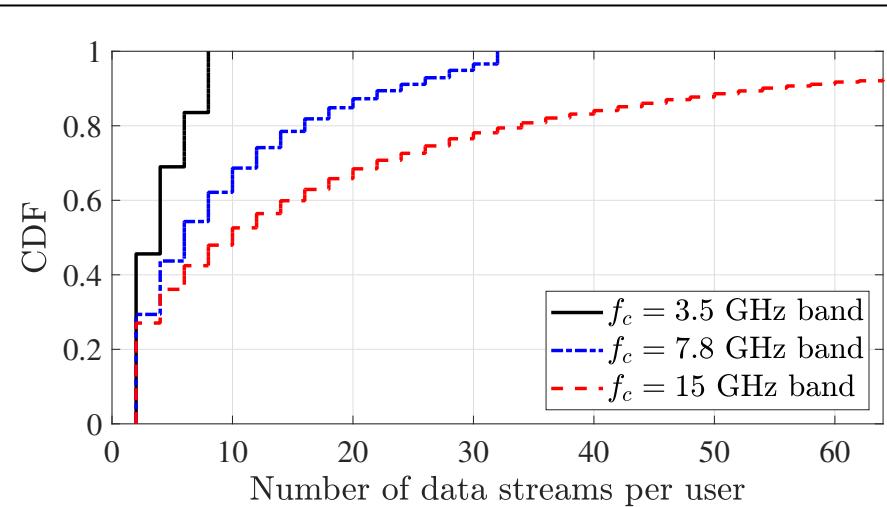
Great *Typical* 6G Bit Rates



Example: 3GPP Urban Micro Cell LOS

- $1 \times 1 \text{ km}$ area, 10 random user locations
 - QuaDRiGa simulations
 - Block-diagonalization, perfect CSI
 - Fixed array areas: $0.5 \times 0.5 \text{ m}$, $4 \times 4 \text{ cm}$
- Spectrum: 100 MHz (5G), 400 MHz (6G)

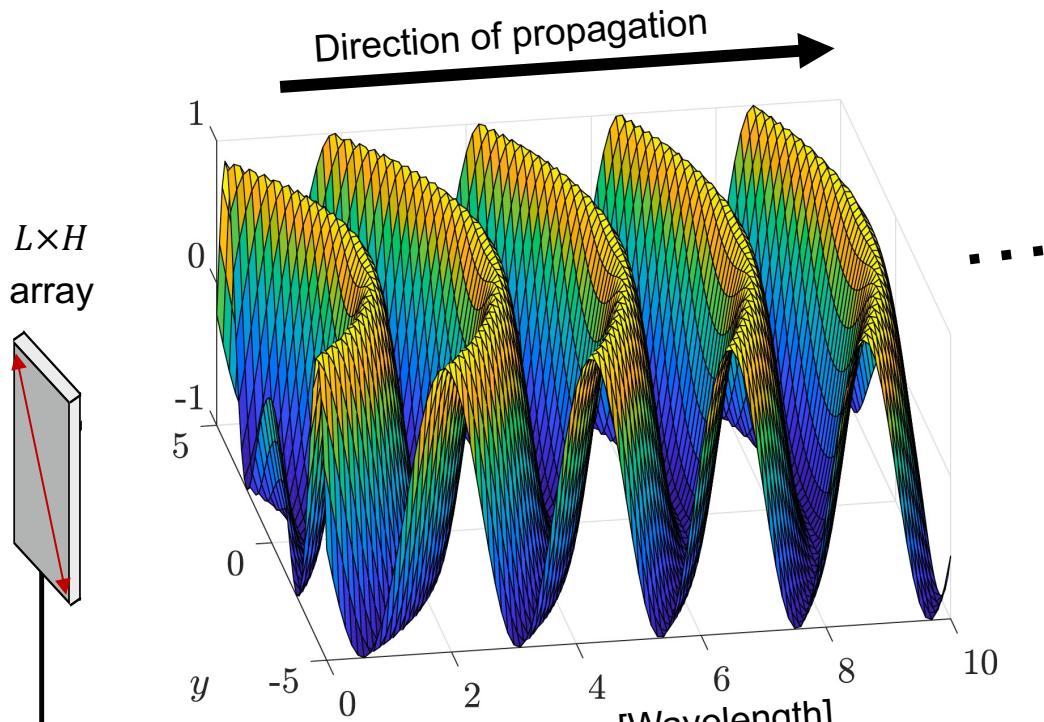
**ITU 6G vision of
0.5 Gbps is within reach**



MIMO is essential

DOF depends on user location
Scales with antenna numbers

From Spherical Waves to Approximately Planar Waves

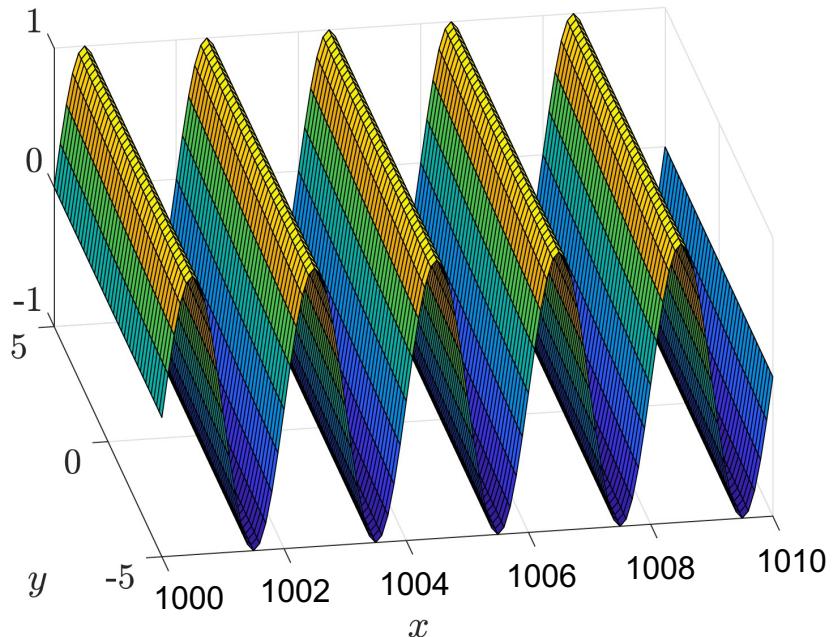


Reactive
near-field

Radiative near-field

$$\text{User distance} < 2 \cdot \frac{L^2 + H^2}{\lambda}$$

Fraunhofer
distance



Far-field regime

Traditional propagation
scenario

Spatial Multiplexing in Both Angle and Depth

5G array:



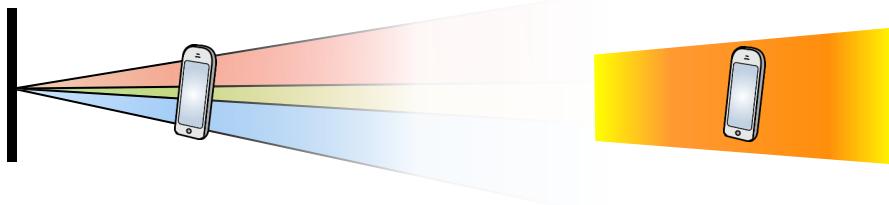
Example: Fraunhofer distance

$1 \times 1 \text{ m}, 3 \text{ GHz}: 40 \text{ m}$

$1 \times 1 \text{ m}, 30 \text{ GHz}: 400 \text{ m}$

$10 \times 10 \text{ m}, 3 \text{ GHz}: 4 \text{ km}$

Extremely large
aperture array:



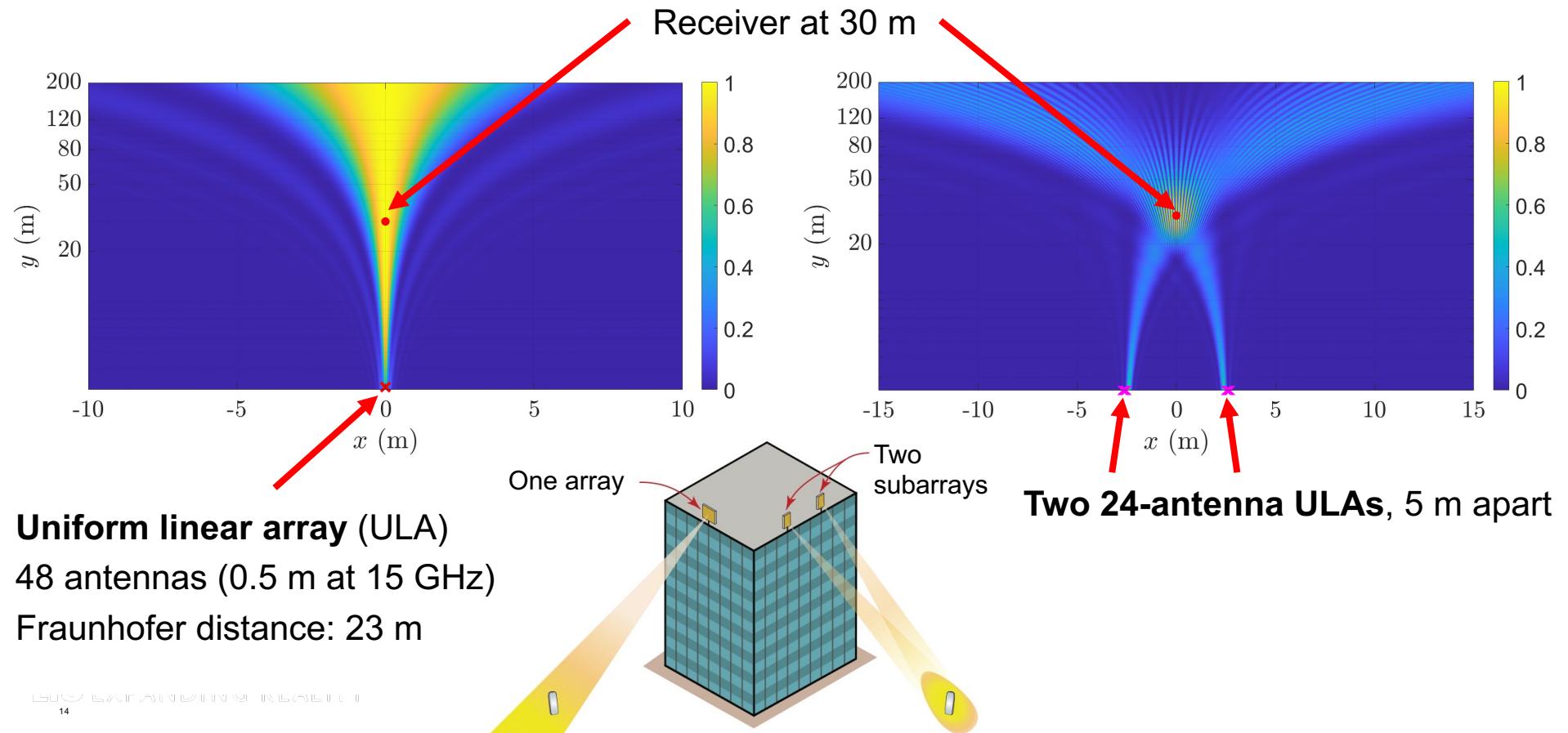
Fraunhofer

Larger antenna array

Narrower beams and finite depth in radiative near-field

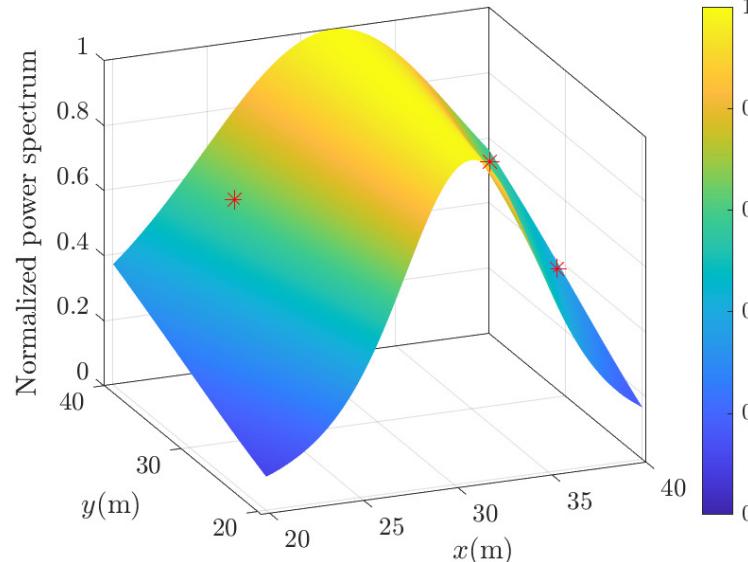
Will Near-Field Effects Appear in 6G?

Yes, with distributed subarrays

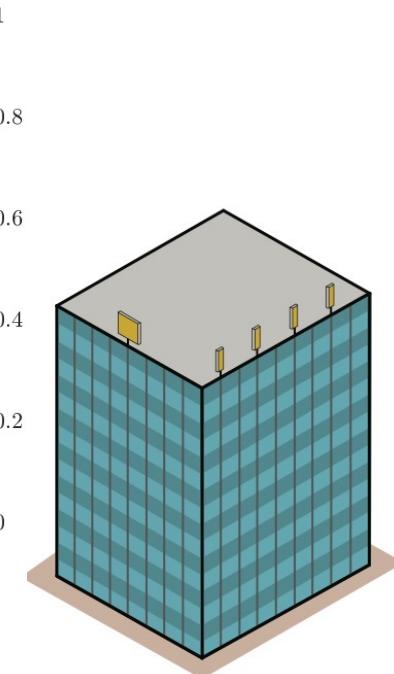


Enabling Precise Localization and Sensing

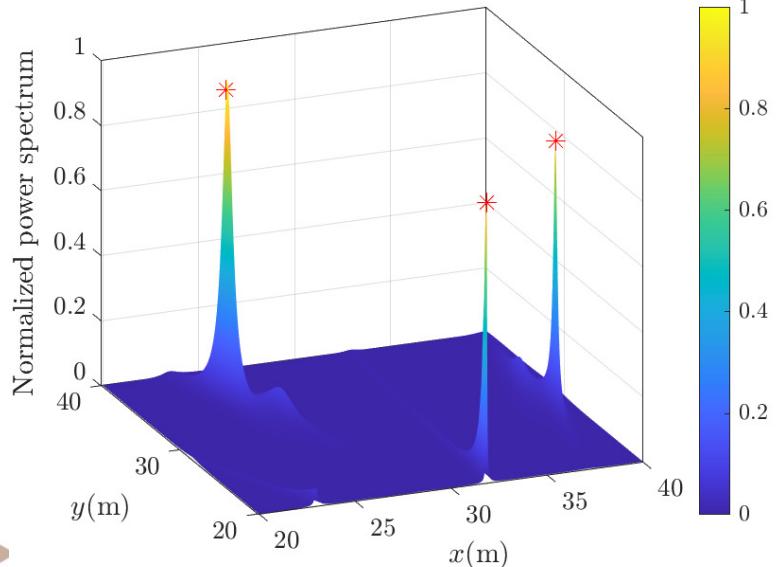
Example: Localization of three targets using *MUSIC algorithm*



(a) One array with 48 antennas



Near-field requires subarrays



(b) Four coordinated subarrays with 12 antennas

Far-field: Estimate angle (Multiple base stations needed)

Near-field: Estimate angle and distance (One is enough)

What do we get in 6G?

gMIMO: Four Open Research Challenges



1. Antenna placement strategies

- Other array form factors, “movable antennas”
- Robustness to uncertain array configurations

2. Near-field-compliant models and algorithms

- Industry-accepted models needed
- Measurements → Ray-tracing, machine learning refinements

3. Medium-resolution transceivers and computations

- Many antenna elements, fewer transceivers, more distortion
- Better than “*hybrid transceivers*” and “*one-bit resolution*”
- Reduced resolution in hardware and computations

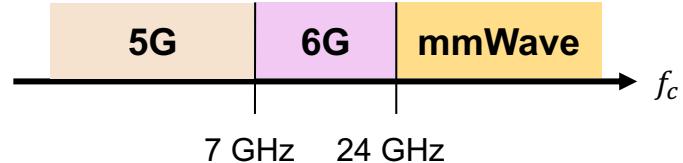
4. Resource-efficient channel estimation

- Many parameters to estimate, shorter coherence time
- Exploit non-isotropic structure under pilot contamination

What can we expect in 6G?

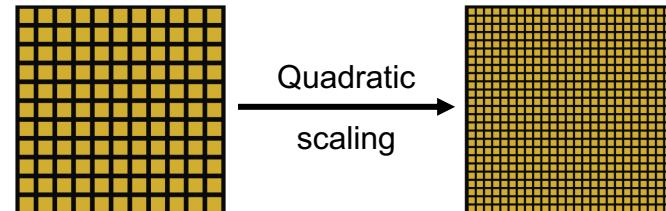
Frequency band: Upper mid-band (7-24 GHz)

- Mediocre bandwidth availability
- Many more antennas in the same form factor



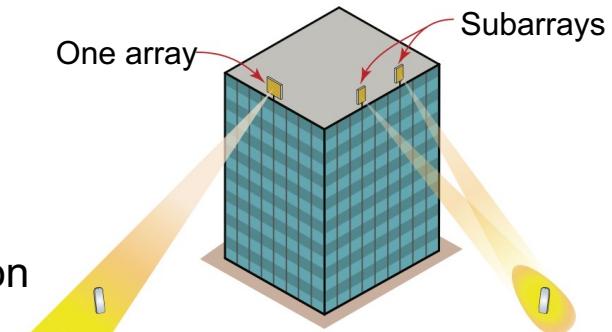
“Gigantic MIMO”

- 512-2048 antenna elements per base station
- Higher rank channels for users
- 200 (peak) and 0.5 Gbps (typical) within reach



Near-field effects

- Requires distributed subarray deployments
- Precise beamfocusing for interference control
- 3D localization and sensing from one base station





Learn more in the paper:

[cs.IT] 8 Jul 2024

Enabling 6G Performance in the Upper Mid-Band Through Gigantic MIMO

Emil Björnson, Ferdi Kara, Nikolaos Kolomvakis, Alva Kosasih, Parisa Ramezani, and Murat Babek Salman

Abstract—The initial 6G networks will likely operate in the upper mid-band (7–24 GHz), which has decent propagation conditions but underwhelming new spectrum availability. In this paper, we explore whether we can anyway reach the ambitious 6G performance goals by evolving the multiple-input multiple-output (MIMO) technology from being massive to gigantic. We describe how many antennas are needed and can realistically be deployed, and what the peak user rate and degrees-of-freedom (DOF) can become. We further suggest a new deployment strategy that enables the utilization of radiative near-field effects in these bands for precise beamfocusing, localization, and sensing from a single base station site. We also identify five open research challenges that must be overcome to efficiently use gigantic MIMO dimensions in 6G from hardware, cost, and algorithmic perspectives.

standardization and technology development. Evidently, 6G must provide more capacity to meet the ever-increasing traffic demands and enable new data-intensive applications such as virtual reality (VR), augmented reality (AR), and ultra-high-definition video streaming. To achieve commercial viability, 6G must also support new use cases and functionalities and ensure connectivity for many more devices with diverse characteristics. Previous general shifts have been associated with wider bandwidths at higher carrier frequencies because more spectrum directly leads to higher rates. In the last decade, it was believed that the millimeter-wave (mmWave) band (24 GHz and above) would be the natural next step due to the abundant spectrum availability. In fact, 5G was co-designed for two frequency ranges (FRs): FR1 with 0.4–7

1

arXiv:2407.05630