

# Designing Energy Efficient 5G Networks: When Massive Meets Small

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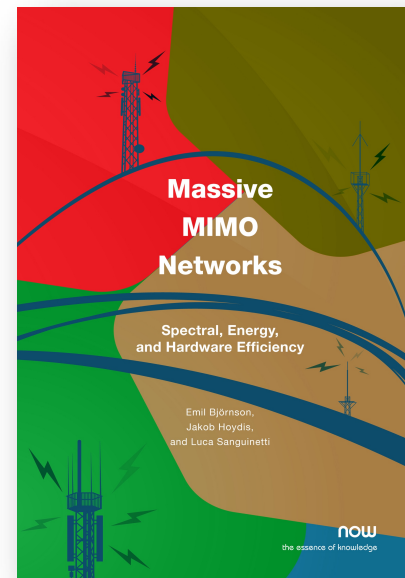
## ***Dr. Emil Björnson***

- *Associate professor at Linköping University*
- *10 year experience of MIMO research*
- *2 books and 8 best paper awards*
- *Tens of pending patent applications*
- *Writer at the Massive MIMO blog, <http://massive-mimo.net>*

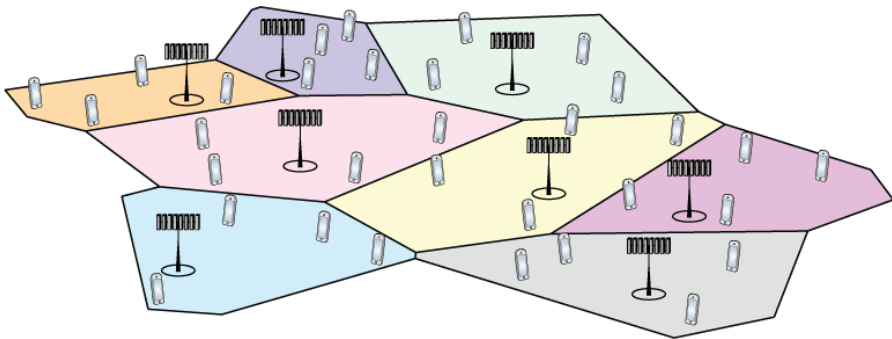


## Outline

1. What is Energy Efficiency?
2. Potential Solutions for Higher Energy Efficiency
3. Case study: When Massive MIMO meets Small Cells



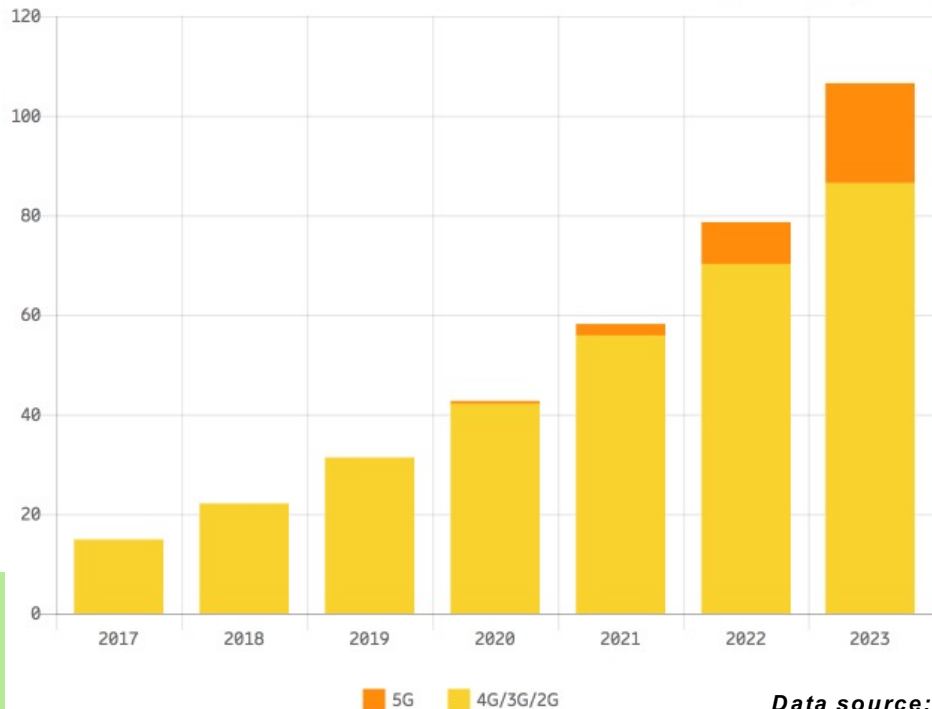
# Exponential Traffic Growth in Cellular Networks



- 39% More Data Every Year
  - 7x from 2017 to 2023
  - Video dominant application

**Same trend for energy consumption?**  
**Can we make 5G more energy efficient?**

*Exabyte/month*



**Data source:**

*Ericsson Mobility Report  
(June 2018)*

# What is Energy Efficiency?

- Benefit-Cost Analysis:



- Benefit-Cost Ratio:

$$\text{Energy efficiency [bit/Joule]} = \frac{\text{Data throughput [bit/s/km}^2\text{]}}{\text{Energy consumption [Joule/s/km}^2\text{]}}$$

## Environmental concerns

Energy production is  
mainly non-renewable

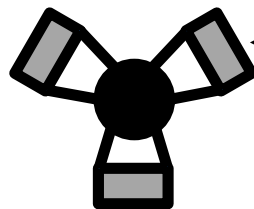
## Economical concerns

Energy price: Joule/€  
Other costs can also be included

# Energy Consumption of a 4G/LTE Base Station



**One site, three base stations**



*Dual-polarized  
antenna panel*

**Data throughput**

Up to 28 Mbit/s

**Energy consumption**

1.35 kW

**Power amplifiers**

**Energy efficiency**

$28 \text{ Mbit/s} / 1.35 \text{ kW} = 20 \text{ kbit/Joule}$

**Reference:**

Auer et al., "How much energy is needed to run a wireless network?,"  
IEEE Wireless Communication, 2011

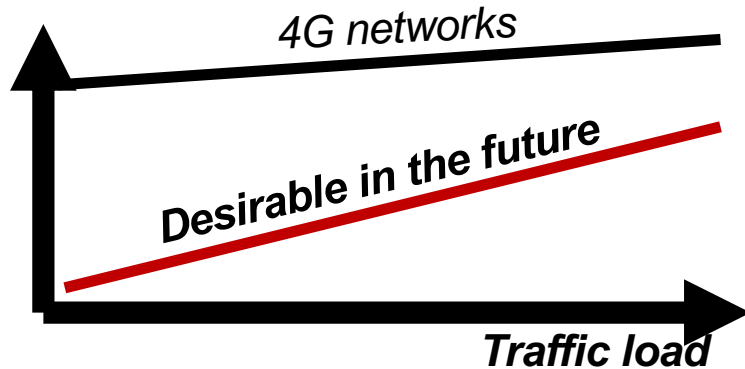
# Is 4G Becoming More Energy Efficient?

*“While traffic in mobile networks has grown tremendously over the last few years, networks have become increasingly energy efficient.”*

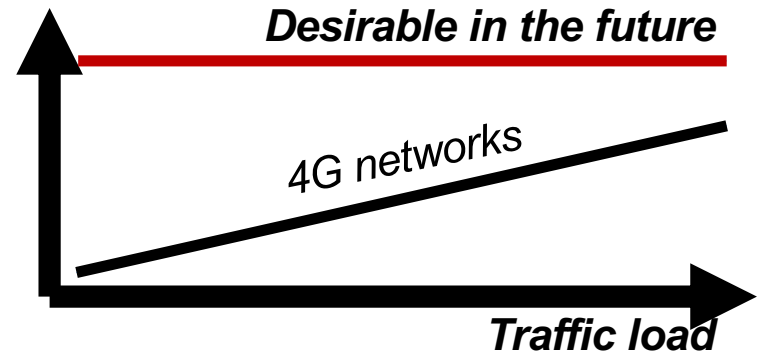
- Ericsson Mobility Report, Nov. 2015

- 13 times more traffic, 40% higher energy consumption

**Energy  
consumption**



**Energy  
efficiency**



**Yes, but not in the right way**

# How to Design Energy Efficient Networks?

$$\text{Energy efficiency [bit/Joule]} = \frac{\text{Data throughput [bit/s/km}^2\text{]}}{\text{Energy consumption [Joule/s/km}^2\text{]}}$$

- Many possible solutions:



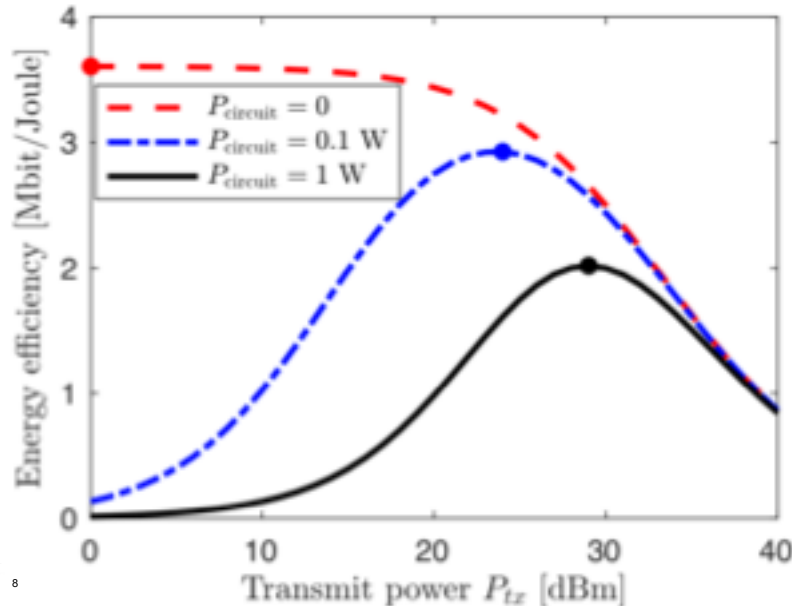
**Non-trivial tradeoffs!**  
Complex networks

**Most likely solution**

Higher energy consumption,  
but more energy efficient

# Potential Solution: Power Control

- Consider point-to-point transmission:



$$\frac{\text{Data throughput}}{\text{Energy consumption}} = \frac{B \log_2 \left( 1 + \frac{P_{tx} \beta}{B N_0} \right)}{\frac{P_{tx}}{\mu} + P_{circuit}}$$

Annotations with red arrows pointing to the equation:

- Bandwidth** points to  $B$ .
- Transmit power** points to  $P_{tx}$ .
- Pathloss** points to  $\beta$ .
- Noise power spectral density** points to  $N_0$ .
- Amplifier efficiency** points to  $\mu$ .
- Circuit power** points to  $P_{circuit}$ .

## Unimodal functions

Control transmit power to find optimum

## Circuit power

Must be accurately modeled

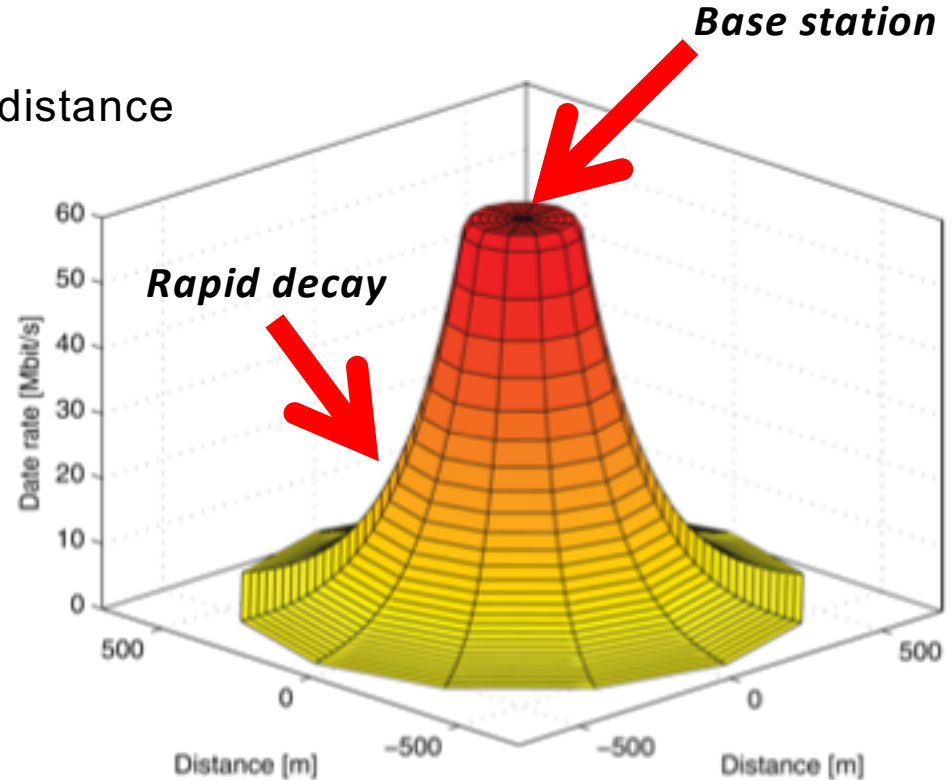
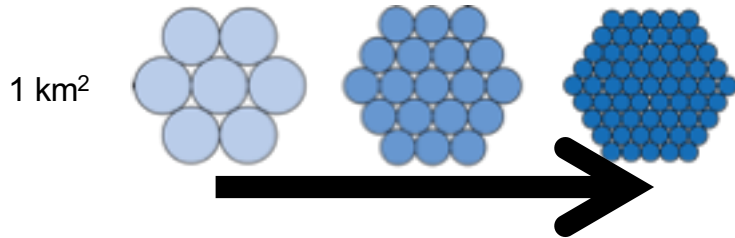
$$B = 10 \text{ MHz}, \mu = 0.25, B N_0 / \beta = 0 \text{ dBm}$$



# Potential Solution: Smaller Cells

- Signal power decays rapidly with distance
  - 0.001% received at 1 m
  - 0.00001% received at 10 m
  - Faster decay in non-line-of-sight

Smaller cells → Lower loss → Reduce power



**Tradeoff:** Energy consumption [Joule/s/km²] = Transmit power + Circuit power

# Potential Solution: Massive MIMO (multiple-input, multiple-output)

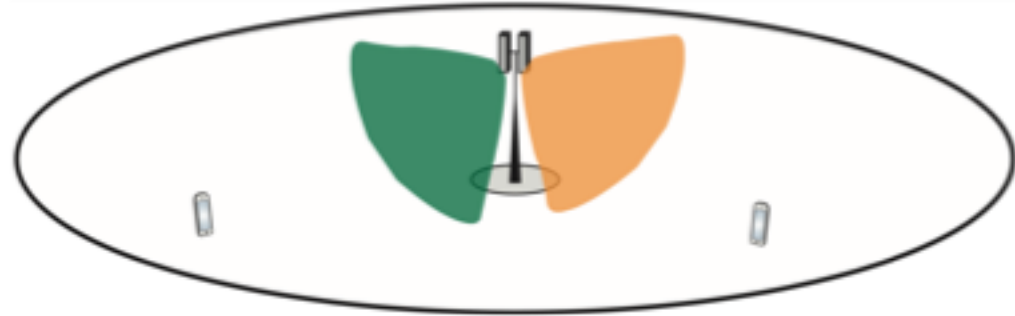
- Direct signals by beamforming
  - Use antenna array
  - Higher received power
  - Spatial multiplexing

More antennas  $\rightarrow$  Reduce power, multiplex users

Throughput



**Tradeoff:** Transmit power + Circuit power



*Few antennas: Broad beams*



*Massive number of antennas: Narrow beams*

# Energy Efficiency Optimization

- How to Find Energy Efficient Network Design?
  1. Select network design variables:  $M, K, \rho, \lambda, \tau$
  2. Model throughput and energy consumption as functions of these variables
  3. Solve:

$$\underset{M, K, \rho, \lambda, \tau}{\text{maximize}} \quad \frac{\text{Data throughput } (M, K, \rho, \lambda, \tau)}{\text{Energy consumption } (M, K, \rho, \lambda, \tau)}$$

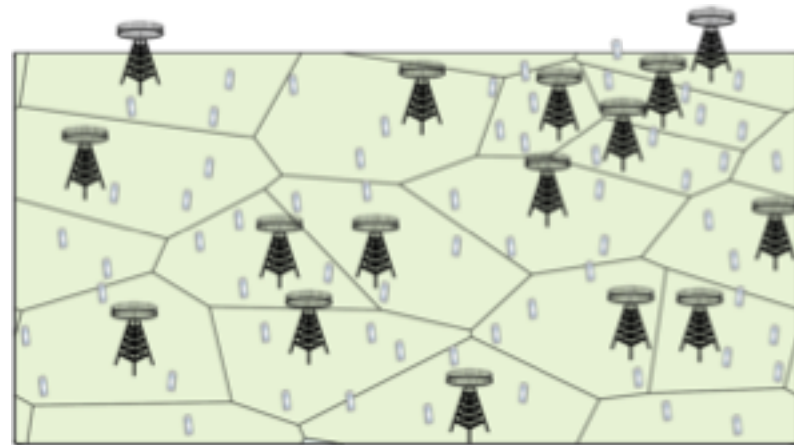
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## References

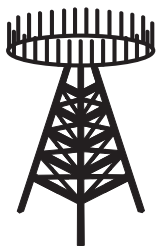
- [1] E. Björnson, L. Sanguinetti, J. Hoydis, M. Debbah, “Optimal Design of Energy-Efficient Multi-User MIMO Systems: Is Massive MIMO the Answer?,” *IEEE Transactions on Wireless Communications*, 2015. **2018 Marconi Prize Paper Award in Wireless Communications**
- [2] E. Björnson, L. Sanguinetti, M. Kountouris, “Deploying Dense Networks for Maximal Energy Efficiency: Small Cells Meet Massive MIMO,” *IEEE Journal on Selected Areas in Communications*, 2016.
- [3] A. Pizzo, D. Verenzuela, L. Sanguinetti, E. Björnson, “Network Deployment for Maximal Energy Efficiency in Uplink with Multislope Path Loss,” *IEEE Transactions on Green Communications and Networking*, 2018.

# Case Study: Network and Optimization Variables

- Practical Random-like Deployment
  - Approximated by Poisson point process
  - Density:  $\lambda$  base stations per  $\text{km}^2$
  - $\text{Po}(\lambda\mathcal{A})$  random locations in area of  $\mathcal{A}$   $\text{km}^2$
- Optimization variables:



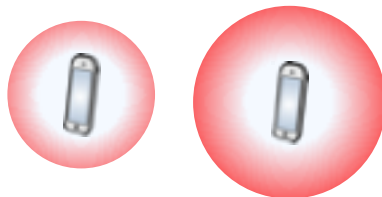
*M antennas*



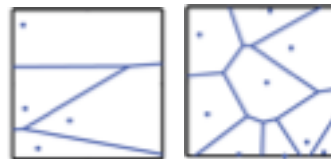
*K users per cell*



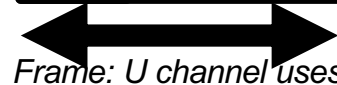
*Transmit power  $\rho$*



*Base station density  $\lambda$*



*Pilot reuse factor  $\tau$*



# Modeling Data Throughput

- Average uplink sum rate per cell:

*Multiplexed users* *Data fraction per frame* *Data rate per user*

$$\text{Data throughput} = K \cdot \left(1 - \frac{\tau K}{U}\right) \frac{B \log_2(1 + \text{SINR})}{M}$$

$$\text{SINR} = \frac{K \cdot \left(1 - \frac{\tau K}{U}\right) \frac{B \log_2(1 + \text{SINR})}{M}}{\left(K + \frac{BN_0}{\rho}\right) \left(1 + \frac{2}{\tau(\alpha - 2)} + \frac{BN_0}{\rho}\right) + \frac{2K}{\alpha - 2} \left(1 + \frac{BN_0}{\rho}\right) + \frac{K}{\tau} \left(\frac{4}{(\alpha - 2)^2} + \frac{1}{\alpha - 1}\right) + \frac{M}{\tau(\alpha - 1)}}$$

- Some assumptions:

**Pathloss exponent:  $\alpha$**

Power decays as  $\omega \cdot \text{kilometers}^{-\alpha}$

**Maximum-ratio processing**

using MMSE estimation

**Power control for uniform service**

Same SNR  $\rho/(BN_0)$  for everyone

# Modeling Energy Consumption



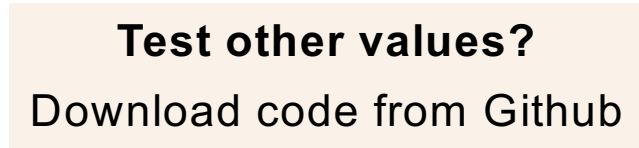
- Depends strongly on hardware
- Characterized by parameters:  $\mu$ ,  $C_{0,0}$ ,  $C_{0,1}$ ,  $C_{1,0}$ ,  $C_{1,1}$ ,  $A$

Energy consumption =

$$\underbrace{K \frac{\rho\omega}{\mu} \frac{\Gamma(\alpha/2 - 1)}{(\pi\lambda)^{\alpha/2}} \left(1 - \frac{\tau K - 1}{U}\right)}_{\text{Transmit power with amplifier inefficiency}} + \underbrace{C_{0,0} + C_{0,1}M + C_{1,0}K + C_{1,1}MK}_{\text{Power per transceiver chain}} + \underbrace{A \cdot \text{Data throughput}}_{\text{Coding/decoding/backhaul}}$$

*Fixed circuit power* (pointing to  $C_{0,0}$ )      *Signal processing* (pointing to  $C_{1,0}K + C_{1,1}MK$ )

**Parameter values change over time**  
 Model remains fixed – rerun simulations

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# Impact of Cell Densification

## Different base station densities

All other variables optimized

Constraint: SINR is given

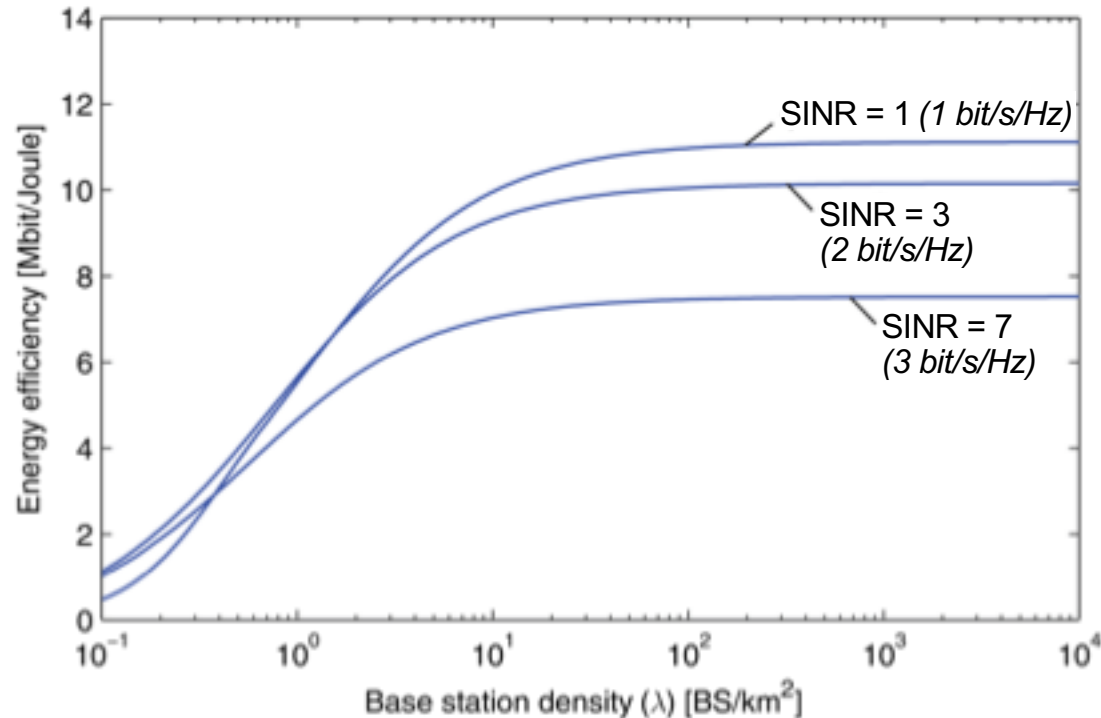
Smaller SINR:  
More energy efficient

## Energy efficiency grows with $\lambda$

Saturates at  $\lambda = 10$

150-300 m between base stations

Satisfied in urban areas – today!





# Impact of Number of Antennas and Users

Optimized  $\tau, \lambda, \rho$   
Constraint: SINR = 3

Optimal: Massive MIMO

$M = 89, K = 10, \tau \approx 7$

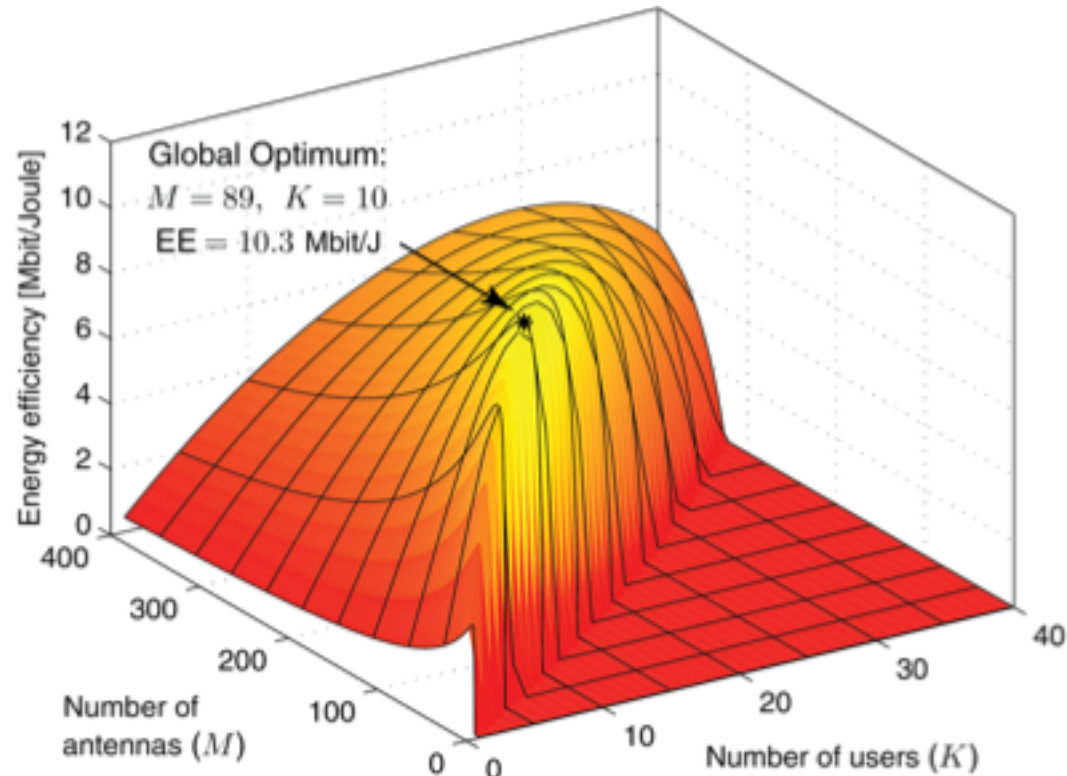
500 times higher efficiency  
than today

## Why not only small cells?

Small cells improve SNR, not SINR

Massive MIMO:

- Improves cell-edge SINR
- Circuit power shared



# Energy Consumption at Optimal Solution

- What Consumes Energy?
  - Recall model:

$$C_{0,0} + C_{0,1}M + C_{1,0}K + C_{1,1}MK + A \cdot \text{Data throughput}$$

## Dominating Parts

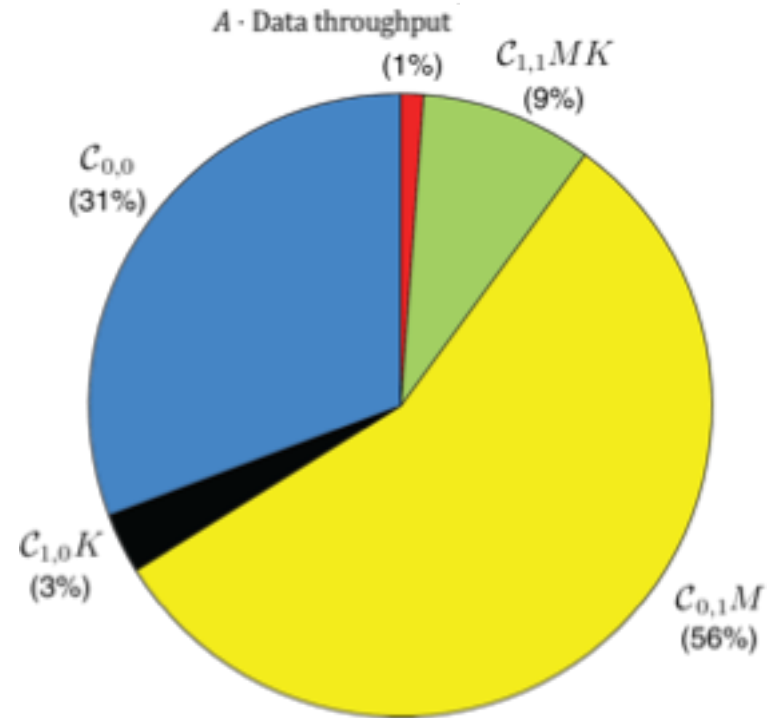
Power of BS transceivers:  $C_{0,1}M$

Fixed power consumption:  $C_{0,0}$

## How to Improve Future Hardware?

Improve the dominating parts

Good design: No part is dominating

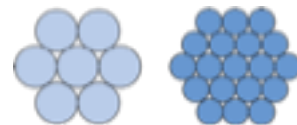


# Four Common Misconceptions

<i><b>Misconception</b></i>	<i><b>Reality</b></i>
<i>“We can turn off inactive base stations to save energy”</i>	<i>Degrades network coverage – <b>no operator wants that!</b> (Discontinuous transmission is ok)</i>
<i>“We normalize the bandwidth to <math>B = 1</math> Hz and the noise power to 1 without loss of generality”</i>	<i><b>No</b>, the noise power is <math>BN_0</math>. We cannot normalize anything – actual transmit power matters!</i>
<i>“The energy efficiency is measured in bit/Joule/Hz”</i>	<i>It <b>makes no sense</b> to divide with <math>B</math> to get bit/Joule/Hz since the noise depends on <math>B</math></i>
<i>“The radiated energy efficiency of Massive MIMO goes to infinity as <math>M \rightarrow \infty</math>”</i>	<i>Yes, but the <b>actual</b> energy efficiency goes to zero since circuit power grows with <math>M</math></i>

# Conclusions

- Designing Energy Efficient 5G Networks
  - First step: Densify to a few hundred meters between base stations
    - Transmit power becomes negligible
  - Then: Use Massive MIMO
    - Suppress interference spatially
    - Share circuit power between users



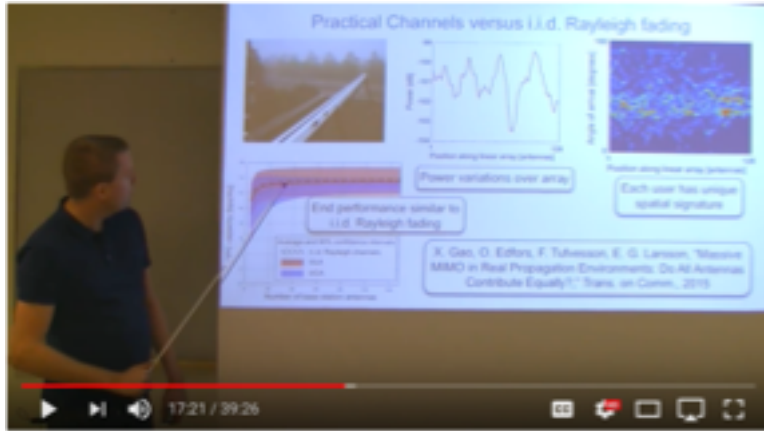
**Optimal solution:** Combination of small cells and Massive MIMO

**Methodology** for energy efficiency maximization useful in many setups  
Other variables: Bandwidth, frequency band, hardware components

# Learn More: Blog and Book

- Massive MIMO blog: [www.massive-mimo.net](http://www.massive-mimo.net)

## Youtube channel:



Massive MIMO for 5G: How Big Can it Get?

<https://www.comsoc.org/webinars/>

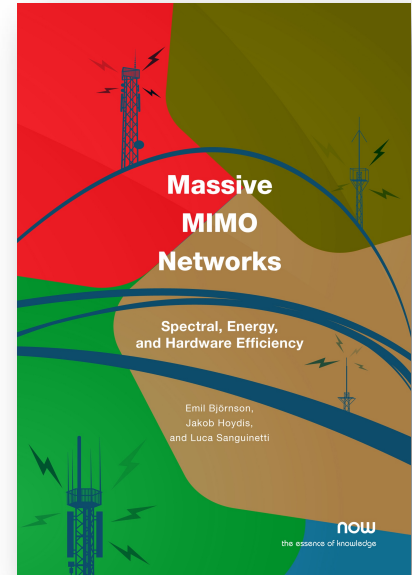
<https://youtu.be/m9wEAucKoWo>

## New book:

Emil Björnson, Jakob Hoydis and Luca Sanguinetti (2017),  
**“Massive MIMO Networks:  
Spectral, Energy, and  
Hardware Efficiency”**

*517 pages, Matlab code,  
Teaching material available*

*Contact me for a free PDF!*



[massivemimobook.com](http://massivemimobook.com)

# Thank you!

Questions are most welcome!

Dr. Emil Björnson

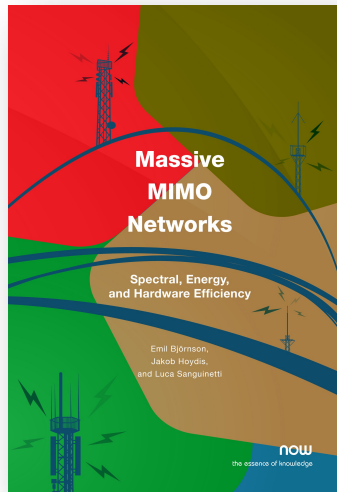
***Slides, papers, and code available online:***

*<http://www.ebjornson.com/research>*

*<http://www.massivemimobook.com>*

Emil Björnson, Jakob Hoydis  
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