

# Homological algebra and schemes

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# CHAPTER 1

## Abelian Categories

### 1.1 Additive categories

Let  $\mathcal{A}$  be a category such that the hom-sets carry the structure of an abelian group and composition is bilinear. We call such a category **Ab**-enriched. An additive category is an **Ab**-enriched category which has finite coproducts and a zero object.

**thm:atos**

**Thm 1.1.1.** *Let  $\mathcal{A}$  be an additive category. Then finite coproducts in  $\mathcal{A}$  are in fact finite biproducts.*

*Proof.* It is easy to see that initial objects are isomorphic to terminal objects (and they both exist) and so it suffices to show the result for binary coproducts. Let  $A, B \in \mathcal{A}$ . Define  $p_A : A \amalg B \rightarrow A$  and  $p_B : A \amalg B \rightarrow B$  as the maps making the following diagrams commute.

$$\begin{array}{ccc}
 A & \xrightarrow{\text{id}_A} & A \\
 \searrow i_A & & \uparrow p_A \\
 & A \amalg B & \\
 \nearrow i_B & & \downarrow p_B \\
 B & \xrightarrow{0} & B
 \end{array}
 \qquad
 \begin{array}{ccc}
 A & \xrightarrow{0} & B \\
 \searrow i_A & & \uparrow p_B \\
 & A \amalg B & \\
 \nearrow i_B & & \downarrow p_A \\
 B & \xrightarrow{\text{id}_B} & B
 \end{array}
 \tag{1.1}$$

Let  $f = i_A \circ p_A + i_B \circ p_B$ . Then

$$\begin{array}{ccc}
 A & \xrightarrow{i_A} & A \amalg B \\
 \searrow i_A & & \uparrow f \\
 & A \amalg B & \\
 \nearrow i_B & & \downarrow i_B \\
 B & \xrightarrow{i_B} & A \amalg B
 \end{array}
 \tag{1.2}$$

## 1. Abelian Categories

commutes and so by universality we must have  $f = \text{id}_A \amalg B$ . Now suppose we have maps  $f : C \rightarrow A$  and  $g : C \rightarrow B$ . Let  $h : C \rightarrow A \amalg B$  be the map  $i_A \circ f + i_B \circ g$ . Then  $p_A \circ h = f$  and  $p_B \circ h = g$ . Moreover, if  $h' : C \rightarrow A \amalg B$  is any other map satisfying  $p_A \circ h' = f$  and  $p_B \circ h' = g$  then  $h' = \text{id}_A \amalg B \circ h' = i_A \circ f + i_B \circ g = h$  and so  $A \amalg B$  is a biproduct. ■

A functor between additive categories is called additive if it is a homomorphism on hom-sets.

### 1.2 Semiadditive categories

The above definition of an additive category includes the additive structure on the hom-sets as data. In this section we provide a definition where the additive structure arises as a property instead.

Let  $\mathcal{A}$  be a category with a zero object. Recall that in such a category there always exists a morphism between any two objects  $A, B \in \mathcal{A}$  given by  $A \rightarrow 0 \rightarrow B$ . We call this the 0 morphism. Moreover if finite coproducts and finite products exist there is a canonical map  $A \amalg B \rightarrow A \amalg B$  arising from the diagram

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ & \searrow 0 & \nearrow \\ B & \xrightarrow{\text{id}_B} & B \end{array} \quad (1.3)$$

We call a category  $\mathcal{A}$  *semiadditive* if it has a zero object, finite products, finite coproducts and the canonical map  $A \amalg B \rightarrow A \amalg B$  is an isomorphism for all  $A, B \in \mathcal{A}$ . In such a category we write  $A \oplus B$  for the biproduct.

**Thm 1.2.1.** *Let  $\mathcal{A}$  be a semiadditive category then it is naturally enriched over the monoidal category of commutative monoids.*

*Proof.* Let  $\Delta_A : A \oplus A \rightarrow A$  and  $\nabla_A : A \rightarrow A \oplus A$  be the maps that make

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ & \searrow i_A & \nearrow p_A \\ & A \oplus A & \\ & \nearrow i'_A & \searrow p'_A \\ A & \xrightarrow{\text{id}_A} & A \end{array} \quad (1.4)$$

commute. Given  $f, g : A \rightarrow B$  we can construct a map  $f \oplus g : A \oplus A \rightarrow B \oplus B$  in the obvious way. We can then define  $f + g : A \rightarrow B$  to be the composite

$$A \xrightarrow{\nabla_A} A \oplus A \xrightarrow{f \oplus g} B \oplus B \xrightarrow{\Delta_B} B. \quad (1.5)$$

Note that there is a map  $t_A : A \oplus A \rightarrow A \oplus A$  arising from the diagram

$$\begin{array}{ccc} A & \xrightarrow{0} & A \\ & \searrow \text{id}_A & \nearrow \\ A & \xrightarrow{\text{id}_A} & A \\ & \nearrow 0 & \searrow \end{array} \quad (1.6)$$

It is then an easy check to see that  $\Delta_A \circ t_A = \Delta_A$  and  $t_A \circ \nabla_A = \nabla_A$ , from which it follows that  $+$  is commutative. Straightforward calculations also show that  $+$  is associative, distributes over compositions and has the zero map as identity. The result follows. ■

A functor between semiadditive categories is called semiadditive if it preserves zero objects and biproducts i.e. there are isomorphisms  $F(A \oplus B) \cong F(A) \oplus F(B)$  such that

$$\begin{array}{ccccc} & & i_{F(A)} & & \\ & \searrow & \curvearrowright & \searrow & \\ F(A) & & & & \\ & \searrow F(i_A) & & & \\ & & F(A \oplus B) & \xrightarrow{\cong} & F(A) \oplus F(B) \\ & \nearrow F(i_B) & & \nearrow & \\ F(B) & & & & \\ & \nearrow & \curvearrowleft & \nearrow & \\ & & i_{F(B)} & & \end{array} \quad (1.7)$$

commutes, and similarly for the projection maps.

**prop:sa**

**Proposition 1.2.2.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a semiadditive functor and  $f, g : A \rightarrow B$  for  $A, B \in \mathcal{A}$ . Then  $F(f + g) = F(f) + F(g)$ .*

*Proof.* Obvious. ■

We now define an additive category to be a semiadditive category where the enriched hom-sets are in fact groups.

**thm:as**

**Thm 1.2.3.** *Let  $\mathcal{A}$  be an additive category according to the first definition. By theorem 1.1.1,  $\mathcal{A}$  is semiadditive and so the hom-sets naturally carry the structure of a commutative monoid. This monoidal structure agrees with the original group structure.*

*Proof.* Let  $A, B \in \mathcal{A}$  and  $f, g : A \rightarrow B$ . Then the addition arising from the semiadditive structure comes from the composition

$$A \xrightarrow{\nabla_A} A \oplus A \xrightarrow{f \oplus g} B \oplus B \xrightarrow{\Delta_B} B. \quad (1.8)$$

But  $\nabla_A = i_A^L + i_A^R$ ,  $\Delta_B = p_B^L + p_B^R$  and  $f \oplus g = i_B^L \circ f \circ p_A^L + i_B^R \circ g \circ p_A^R$  and so their composition is just  $f + g$ . ■

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**Corollary 1.2.4.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a functor between additive categories. Then  $F$  is additive iff  $F$  is semiadditive.*

*Proof.* Semiadditive  $\implies$  additive follows from proposition 1.2.2 and theorem 1.2.3. Additive  $\implies$  semiadditive is a straightforward exercise. ■

**Corollary 1.2.5.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a functor between additive categories which is a left adjoint. Then  $F$  is additive.*

*Proof.*  $F$  preserves colimits and so is semiadditive. ■

**Corollary 1.2.6.** *If  $\mathcal{A}$  is an additive category then  $\mathcal{A}^{op}$  is also additive.*

*Proof.* The opposite category of a semiadditive category is clearly also semiadditive. The resulting monoidal structure on the hom-sets are also clearly the same and so the result follows. ■

### 1.3 Abelian categories

Abelian categories are additive categories with more structure. Before we state exactly what we mean by this we give some definitions.

**Definition 1.3.1.** Let  $\mathcal{A}$  be an additive category and  $f : A \rightarrow B$  a morphism in  $\mathcal{A}$ .

1. A kernel of  $f$  is an equaliser of  $A \rightrightarrows B$   $\begin{smallmatrix} f \\ 0 \end{smallmatrix}$ .
2. A cokernel of  $f$  is a coequaliser of the same diagram.
3.  $f$  is called monic if  $f \circ g = 0$  implies  $g = 0$  for all  $g$ .
4.  $f$  is called epi if  $g \circ f = 0$  implies  $g = 0$  for all  $g$ .

*Remark 1.3.2.* It is easy to see that all kernels are monic, all cokernels are epi, a map is monic iff its kernel is 0, and a map is epi iff its cokernel is 0.

We call an additive category  $\mathcal{A}$  pre-abelian if all morphisms have kernels and cokernels. In such a category, given any morphism  $f : A \rightarrow B$  we can form

$$\begin{array}{ccccc}
 & & \ker(\operatorname{coker}(f)) & & \\
 & \nearrow \alpha & \downarrow i & \searrow & \\
 K \xrightarrow{\ker(f)} & A & \xrightarrow{f} & B & \xrightarrow{\operatorname{coker}(f)} C \\
 & \searrow p & \downarrow \beta & \nearrow & \\
 & & \operatorname{coker}(\ker(f)) & & 
 \end{array} \tag{1.9} \quad \boxed{\text{eq: canon-decomp}}$$

where  $\alpha$  and  $\beta$  exist from the universal property of kernels and cokernels respectively. Since  $p$  is epi and  $0 = \operatorname{coker}(f) \circ f = \operatorname{coker}(f) \circ \beta \circ p$  it follows

that  $\text{coker}(f) \circ \beta = 0$  and so there is a map  $\gamma : \text{coker}(\ker(f)) \rightarrow \ker(\text{coker}(f))$  such that  $i \circ \gamma = \beta$ . Similarly there is a map  $\gamma' : \text{coker}(\ker(f)) \rightarrow \ker(\text{coker}(f))$  such that  $\gamma' \circ p = \alpha$ . Using that  $p$  is epi one can see that  $\gamma' = \gamma$  and so for any morphism  $f$  there is a canonical decomposition

$$A \xrightarrow{p} \text{coker}(\ker(f)) \xrightarrow{\gamma_f} \ker(\text{coker}(f)) \xrightarrow{i} B. \quad (1.10)$$

An abelian category is a pre-abelian category in which  $\gamma_f$  is an isomorphism for every  $f$ .

thm:abcat

**Thm 1.3.3.** *Let  $\mathcal{A}$  be a pre-abelian category. Then  $\gamma_f$  is an isomorphism for all morphism  $f$  iff every monic is the kernel of its cokernel and every epi is the cokernel of its kernel.*

*Proof.* ( $\Rightarrow$ ) The kernel of a monic is the 0 object with the 0 map, and the cokernel of this is just  $A$  together with the identity. Thus, if  $\gamma_f$  is an isomorphism the canonical decomposition of  $f$  just becomes

$$A \xrightarrow{\text{id}} A \xrightarrow{\cong} \ker(\text{coker}(f)) \xrightarrow{i} B \quad (1.11)$$

and so  $f$  is the kernel of its cokernel. Similarly one obtains that if  $f$  is epi it is the cokernel of its kernel.

( $\Leftarrow$ ) First note that if a kernel is epi then it must be an isomorphism so all epic monics must be isomorphisms (since all monics are kernels). Thus, it suffices to show that the maps  $\alpha$  and  $\beta$  in equation 1.9 are epi and monic respectively. To see that  $\beta$  is monic let  $x : X \rightarrow \text{coker}(\ker(f))$  be a map such that  $\beta \circ x = 0$ . Then let  $q : \text{coker}(\ker(f)) \rightarrow \text{coker}(x)$  be the coker of  $x$ , and  $j : \text{coker}(x) \rightarrow B$  the map such that  $j \circ q = \beta$ . Finally let  $l : \ker(q \circ p) \rightarrow A$  be the kernel of  $q \circ p$ . Then we have the following diagram

$$\begin{array}{ccccc} \ker(q \circ p) & & & & \\ \downarrow \text{dashed} & \searrow l & & & \\ & A & \xrightarrow{f} & B & \\ & \uparrow k & \searrow p & \nearrow \beta & \\ & \ker(f) & & \text{coker}(\ker(f)) & \\ & & \nearrow x & \searrow q & \\ & & X & & \text{coker}(x). \end{array} \quad (1.12)$$

Since  $q \circ p$  is epi it is the coker of  $l$ . But also  $f \circ l = j \circ q \circ p \circ l = 0$ , so  $l$  factors through  $\ker(f)$  and so  $p \circ l = 0$ . Thus there exists  $p' : \text{coker}(x) \rightarrow \text{coker}(\ker(f))$  such that

$$\begin{array}{ccccc} \ker(q \circ p) & \xrightarrow{l} & A & \xrightarrow{p} & \text{coker}(\ker(f)) \\ & & \downarrow q \circ p & \nearrow \text{dashed} & \\ & & \text{coker}(x) & & \end{array} \quad (1.13)$$

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commutes. Since  $p$  is epi, it must follow that  $p' \circ q = \text{id}$ . Thus  $q$  is monic and so  $x = 0$ . It follows that  $\beta$  is monic. Similarly one can show that  $\alpha$  is epi. ■

It follows that an abelian category is equivalently a pre-abelian category in which every monic is the kernel of its cokernel and every epi is the cokernel of its kernel.

**Thm 1.3.4.** *If  $\mathcal{A}$  is an abelian category then  $\mathcal{A}^{op}$  is also an abelian category.*

*Proof.* It is certainly additive. Moreover, kernels and cokernels simply swap roles.  $\gamma_f$  is then still an isomorphism for all  $f$  and so  $\mathcal{A}^{op}$  is abelian. ■

From now on we write  $\text{im}(f) := \ker(\text{coker}(f))$  and  $\text{coim}(f) := \text{coker}(\ker(f))$ .

### 1.4 Exact sequences

sec:es

Let  $\mathcal{A}$  be an abelian category and  $\mathcal{S}$  be the category with objects given by  $A \xrightarrow{f} B \xrightarrow{g} C$  such that  $g \circ f = 0$ , and morphisms given by chain maps. Recall from earlier that  $f$  can be factored as

$$A \xrightarrow{p_f} \text{im}(f) \xrightarrow{i_f} B. \quad (1.14)$$

Since  $p_f$  is epi, we must have  $g \circ i_f = 0$ . Thus we can factor  $f$  further through  $\ker(g)$  to obtain  $f : A \rightarrow \text{im}(f) \rightarrow \ker(g) \rightarrow B$ . Let  $H(A \xrightarrow{f} B \xrightarrow{g} C)$  be the cokernel of the morphism  $\text{im}(f) \rightarrow \ker(g)$ . If we have the commutative diagram

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ \downarrow & & \downarrow & & \downarrow \\ A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' \end{array} \quad (1.15)$$

then there exists maps so that

$$\begin{array}{ccccccc} A & \longrightarrow & \text{im}(f) & \longrightarrow & \ker(g) & \longrightarrow & B \longrightarrow C \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \downarrow \\ A' & \longrightarrow & \text{im}(f') & \longrightarrow & \ker(g') & \longrightarrow & B' \longrightarrow C' \end{array} \quad (1.16)$$

commutes. In particular there is a morphism

$$\text{coker}(\text{im}(f) \rightarrow \ker(g)) \rightarrow \text{coker}(\text{im}(f') \rightarrow \ker(g')). \quad (1.17)$$

It is easy to check that this construction is functorial and so we obtain a functor  $H : \mathcal{S} \rightarrow \mathcal{A}$ .

One can similarly construct a functor  $H' : \mathcal{S} \rightarrow \mathcal{A}$  by considering

$$\ker(\text{coker}(f) \rightarrow \text{coim}(g)) \quad (1.18)$$

instead.



*Remark 1.4.1.* We may also form a functor by looking simply at the fact that  $f$  factors through  $\ker(g)$  and then looking at the coker of the resulting morphism  $A \rightarrow \ker(g)$ . It is an easy check to see that this yields a functor naturally isomorphic to  $H$ . Similarly for  $H'$ .

**Lemma 1.4.2.** *Let  $A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$ . Recall that we have the factorisation*

$$A \rightarrow \operatorname{im}(f) \rightarrow \ker(g) \xrightarrow{i_g} B \xrightarrow{p_f} \operatorname{coker}(f) \rightarrow \operatorname{coim}(g) \rightarrow C. \quad (1.19)$$

*Let  $h$  be the composition  $\ker(g) \rightarrow B \rightarrow \operatorname{coker}(f)$ . Then*

1.  $\ker(h) = \operatorname{im}(f) \rightarrow \ker(g)$
2.  $\operatorname{coker}(h) = \operatorname{coker}(f) \rightarrow \operatorname{coim}(g)$ .

*Proof.* Let  $l : C \rightarrow \ker(g)$  be such that  $h \circ l = 0$ . Then  $p_f \circ i_g \circ l = 0$  and so  $i_g \circ l$  factors through  $\operatorname{im}(f)$ . Since  $i_g$  is monic it follows that  $l$  factors through  $\operatorname{im}(f)$ . Uniqueness follows automatically. Thus the result follows. The second part follows similarly. ■

**Thm 1.4.3.** *The functors  $H, H' : \mathcal{S} \rightarrow \mathcal{A}$  are naturally isomorphic.*

*Proof.* Let  $S := A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$  and  $h$  be as in the lemma. Then  $H(S) = \operatorname{coker}(\ker(h))$  and  $H'(S) = \ker(\operatorname{coker}(h))$  so we obtain the factorisation

$$\ker(g) \rightarrow H(S) \xrightarrow{\cong} H'(S) \rightarrow \operatorname{coker}(f). \quad (1.20)$$

Naturality of the isomorphism then follows from naturality of this factorisation. ■

*Remark 1.4.4.* In a pre-abelian category we still have a natural transformation  $H \Rightarrow H'$ , but it might not be an isomorphism.

**Definition 1.4.5.** Let  $S := A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$ . We say that  $S$  is exact at  $B$  if  $H(S) = 0$ .

**Proposition 1.4.6.**  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  is a short exact sequence iff  $A = \ker(g)$  and  $C = \operatorname{coker}(f)$ .

*Proof.* ( $\Rightarrow$ ) We have  $\ker(g) \cong \operatorname{im}(f) \cong A$  and  $\operatorname{coker}(f) \cong \operatorname{coim}(g) \cong C$ .

( $\Leftarrow$ ) Certainly have exactness at  $A$  and  $C$ . Exactness at  $B$  also holds. ■

### 1.4.1 Split sequences

**Thm 1.4.7.** Let  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  be a short exact sequence. The following are equivalent

1. there exists  $q : B \rightarrow A$  such that  $q \circ f = \text{id}_A$
2. there exists  $p : C \rightarrow B$  such that  $g \circ p = \text{id}_C$
3. there is an isomorphism  $h : B \rightarrow A \oplus C$  such that  $h \circ f$  and  $g \circ h^{-1}$  are the natural inclusion and projection respectively.

*Proof.* (3) certainly implies both (1) and (2).

(2)  $\Rightarrow$  (3) Let  $q : B \rightarrow A$  be the unique map making the following diagram commute

$$\begin{array}{ccc} A & \xrightarrow{f} & B \xrightarrow{g} C. \\ \uparrow q & \nearrow \text{id}_B - p \circ g & \\ B & & \end{array} \quad (1.21)$$

Then  $\text{id}_B = p \circ g + f \circ q$ . It follows that  $p = f \circ q \circ p + p$ . Since  $f$  is monic we have  $q \circ p = 0$ . Thus  $q = q \circ f \circ q$  and so since  $q$  is epi,  $q \circ f = \text{id}_A$ . The result follows. (1)  $\Rightarrow$  (3) follows similarly. ■

**Corollary 1.4.8.** Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor of abelian categories. Then  $F$  applied to a split short exact sequence is also split exact.

**Proposition 1.4.9.** Let  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  be a short exact sequence. If either

1.  $A$  is injective or
2.  $C$  is projective

then the sequence is split.

## 1.5 Adjoint functors

Let  $L : \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor between abelian categories. If  $L$  admits a right adjoint  $R : \mathcal{B} \rightarrow \mathcal{A}$  then it turns out  $L$  has a lot of useful properties. In this section we explore these properties.

**Proposition 1.5.1.** Suppose  $L \dashv R$ . Then  $L$  is right exact and  $R$  is left exact.

*Proof.* Consider the short exact sequence  $0 \rightarrow B_1 \rightarrow B_2 \rightarrow B_3 \rightarrow 0$ . For every  $A \in \mathcal{A}$  we get the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}(L(A), B_1) & \longrightarrow & \text{Hom}(L(A), B_2) & \longrightarrow & \text{Hom}(L(A), B_3) \\ & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\ 0 & \longrightarrow & \text{Hom}(A, R(B_1)) & \longrightarrow & \text{Hom}(A, R(B_2)) & \longrightarrow & \text{Hom}(A, R(B_3)) \end{array} \quad (1.22)$$

where the top row is exact. It follows that the bottom row is exact for all  $A$  and so the bottom row is too. It follows that

$$0 \longrightarrow R(B_1) \longrightarrow R(B_2) \longrightarrow R(B_3) \quad (1.23)$$

is exact and so  $R$  is left exact. By a similar argument  $L$  is right exact. ■

**Proposition 1.5.2.** *Suppose  $L \dashv R$ . Then*

1. *if  $L$  is exact then  $R$  preserves injectives*
2. *if  $R$  is exact then  $L$  preserves projectives.*

*Proof.* Suppose  $L$  is exact and  $I$  is an injective object in  $\mathcal{B}$ . We need to show that  $\text{Hom}(-, R(I))$  is exact. To do this it suffices to show that given  $f : A \rightarrow B$  injective, the map  $f^* : \text{Hom}(B, R(I)) \rightarrow \text{Hom}(A, R(I))$  is surjective. But  $L$  is exact so  $Lf$  is injective and so  $(Lf)^* : \text{Hom}(LB, I) \rightarrow \text{Hom}(LA, I)$  is surjective. We also have that  $L \dashv R$  and so

$$\begin{array}{ccc} \text{Hom}(L(B), I) & \xrightarrow{(Lf)^*} & \text{Hom}(L(A), I) \\ \downarrow \cong & & \downarrow \cong \\ \text{Hom}(B, R(I)) & \xrightarrow{f^*} & \text{Hom}(A, R(I)) \end{array} \quad (1.24)$$

commutes. It follows that  $f^*$  is surjective as required.

The corresponding result for  $R$  follows similarly. ■

## 1.6 Limits and derived functors

**Proposition 1.6.1.** *An abelian category  $\mathcal{A}$  is cocomplete iff it has all direct sums.*

*Proof.* We already have kernels and hence equalisers so the statement follows. ■

*Remark 1.6.2.* The same result holds if we replace direct sums with product and cocomplete with complete.

**Thm 1.6.3.** *Let  $\mathcal{A}$  be a cocomplete abelian category with enough projectives. If  $F : \mathcal{A} \rightarrow \mathcal{B}$  is a left adjoint, then for every set  $\{A_i\}$  of objects in  $\mathcal{A}$  we have*

$$L_*F\left(\bigoplus_{i \in I} A_i\right) \cong \bigoplus_{i \in I} L_*F(A_i). \quad (1.25)$$

*Proof.* Let  $P_i \rightarrow A_i$  be projective resolutions. Then  $\bigoplus_i P_i \rightarrow \bigoplus_i A_i$  is also a projective resolution. Hence

$$L_*F(\bigoplus_i A_i) = H_*(F(\bigoplus_i P_i)) \cong H_*(\bigoplus_i F(P_i)) \cong \bigoplus_i H_*(F(P_i)) = \bigoplus_i L_*F(A_i). \quad (1.26)$$
■

### 1.6.1 Filtered colimits

**Definition 1.6.4.** A category  $I$  is called filtered if it has coproduct and co-equaliser diagrams. A filtered colimit is the colimit of a functor from a filtered category.

**Lemma 1.6.5.** Let  $I$  be a filtered category, and  $A : I \rightarrow \text{Mod} - R$ . Then

1. Every element  $a \in \text{colim}_I A$  is the image of some element  $a_i \in A_i$  for some  $i \in I$  under the canonical map  $A_i \rightarrow \text{colim}_I A$ .
2. For every  $i$ , the kernel of the canonical map  $A_i \rightarrow \text{colim}_I A$  is the union of the kernels of the maps  $A(\phi) : A_i \rightarrow A_j$  for  $\phi : i \rightarrow j$  in  $I$ .

*Proof.* Use the explicit construction of the colimit as the cokernel of

$$\bigoplus_{i \rightarrow j} A_i \rightarrow \bigoplus_i A_i. \quad (1.27)$$

■

**Thm 1.6.6.** Filtered colimits of  $R$ -modules are exact considered as functors from  $\text{Fun}(I, \text{Mod} - R)$  to  $\text{Mod} - R$ .

*Proof.* We know that  $\text{colim}$  is a left adjoint and so is right exact. It thus suffices to show that if  $t : A \rightarrow B$  is monic then  $\text{colim}_I A \rightarrow \text{colim}_I B$  is too. But this follows immediately from the previous proposition. ■

**Definition 1.6.7.** We say an abelian category  $\mathcal{A}$  satisfies axiom (AB5) if it is cocomplete and filtered colimits are exact.

**Thm 1.6.8.** Let  $\mathcal{A}$  be an abelian category satisfying axiom (AB5). Then for  $F : \mathcal{A} \rightarrow \mathcal{B}$  a left adjoint, we have that for all filtered  $I$ ,

$$L_* F(\text{colim}_I A) \cong \text{colim}_I L_* F(A_i). \quad (1.28)$$

*Proof.*  $\text{colim}_I$  is exact so commutes with  $H_i$ . The rest of the proof is similar to the direct sum proof. ■

## CHAPTER 2

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# Sheaf Theory

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ch:sheafs

### 2.1 Presheaves

Let  $\mathcal{C}$  be any category,  $\mathcal{A}$  be an abelian category and define  $\text{PreSh}(\mathcal{C}) = \text{Fun}(\mathcal{C}^{op}, \mathcal{A})$  to be the category of presheaves on  $\mathcal{C}$  with values in  $\mathcal{A}$ . The functor sending all objects to 0 is certainly both initial and terminal, direct sums can be defined pointwise, and the hom-sets in  $\text{PreSh}(\mathcal{C})$  inherit an additive structure from  $\mathcal{A}$  so  $\text{PreSh}(\mathcal{C})$  is naturally an additive category. Moreover kernels and cokernels can be constructed in the obvious way and it is clear that they satisfy the axioms for an abelian category and so  $\text{PreSh}(\mathcal{C})$  is abelian.

### 2.2 Sheaves

To define sheaves we restrict to the case when  $X$  be a topological space,  $\mathcal{U}$  the poset of open sets of  $X$ , and  $\mathcal{A}$  be an abelian category. We write  $\text{PreSh}(X)$  for  $\text{PreSh}(\mathcal{U})$ . The category of sheaves on  $X$  with values in  $\mathcal{A}$ ,  $\text{Sh}(X)$ , is defined to be the full subcategory of  $\text{PreSh}(X)$  with objects given by presheaves  $\mathcal{F}$  for which the following diagram is an equalizer for all open coverings  $U = \cup_i U_i$

$$\mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i) \rightrightarrows \prod_{i,j} \mathcal{F}(U_i \cap U_j). \quad (2.1)$$

Since  $\mathcal{A}$  is an abelian category this is equivalent to the following diagram being exact

$$0 \rightarrow \mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i) \xrightarrow{\text{diff}} \prod_{i,j} \mathcal{F}(U_i \cap U_j). \quad (2.2)$$

Note that since  $\emptyset$  admits the empty covering and the empty product is 0 this forces  $\mathcal{F}(\emptyset) = 0$ .

As in the case of  $\text{PreSh}(\mathcal{C})$ ,  $\text{Sh}(X)$  is an additive category. However, the cokernel of a morphism between sheaves need not be a sheaf and so we must do some more work to show that  $\text{Sh}(X)$  is abelian.

Fix  $x \in X$ . For a (pre)sheaf  $\mathcal{F}$  define the stalk of  $\mathcal{F}$  at  $x$  to be

$$\mathcal{F}_x = \varinjlim_{U \ni x} \mathcal{F}(U) \quad (2.3)$$

## 2. Sheaf Theory

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when this limit exists. Note that this is a functor since morphisms between (pre)sheaves are natural transformations.

**Thm 2.2.1.** *Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves.*

1. *If  $\phi_x$  is injective for all  $x \in X$  then  $\phi$  is injective on sections.*
2. *If  $\phi_x$  is an isomorphism for all  $x \in X$  then  $\phi$  is an isomorphism.*

*Proof.* Exercise. ■

**Proposition 2.2.2.** *Let  $\mathcal{F}, \mathcal{G}$  be presheaves and  $\phi, \psi : \mathcal{F} \rightarrow \mathcal{G}$  be morphisms that are equal on stalks. If  $\mathcal{G}$  satisfies sheaf condition (A) then  $\phi = \psi$ .*

*Proof.* Consider  $\phi - \psi$ . ■

### Aside

Although we do not need this right away, given an  $A \in \mathcal{A}$  we can define the (pre)sheaf  $x_*A$  by

$$(x_*A)(U) = \begin{cases} A & \text{if } x \in U \\ 0 & \text{otherwise} \end{cases} \quad (2.4)$$

**Proposition 2.2.3.** *When it exists, the functor  $(-)_x : \mathbf{Sh}(X) \rightarrow \mathcal{A}$  is left adjoint to  $x_* : \mathcal{A} \rightarrow \mathbf{Sh}(X)$ .*

*Proof.* To see this simply note that morphisms between  $\mathcal{F}$  and  $x_*(A)$  correspond naturally to natural transformations between  $\mathcal{F}$  restricted to  $U \ni x$  and  $\Delta(A)$ . ■

*Remark 2.2.4.* The result also holds in  $\mathbf{PreSh}(X)$ .

## 2.3 Étale space of a presheaf and sheafification

For a presheaf  $\mathcal{F}$  we are now in the position to define its étalé space. The étalé space of  $\mathcal{F}$ , denoted  $\mathrm{Spé}(\mathcal{F})$  is the topological space with underlying set  $\coprod_{x \in X} \mathcal{F}_x$  and topology generated by the basis of sets given by  $\{s_x | x \in U\}$  for  $s \in \mathcal{F}(U)$  where  $U \subset X$  is open. Together with this space there is also a natural continuous map  $\pi : \mathrm{Spé}(\mathcal{F}) \rightarrow X$  sending an element  $s_x$  to  $x$ . The sheafification of  $\mathcal{F}$ , denoted  $\mathcal{F}^+$ , is then defined to be the sheaf of sections of  $\pi : \mathrm{Spé}(\mathcal{F}) \rightarrow X$ . By unwrapping the definitions we see that the sections can be characterised as

$$\mathcal{F}^+(U) = \{s : U \rightarrow \coprod_{x \in U} \mathcal{F}_x : \forall x \in U, \exists V \subset U \text{ open containing } x \text{ and } t \in \mathcal{F}(V) \text{ s.t. } s(y) = t_y \forall y \in V\} \quad (2.5)$$

In particular there is a natural morphism  $\mathcal{F} \rightarrow \mathcal{F}^+$  sending  $s \in \mathcal{F}(U)$  to the section  $x \mapsto s_x$  which is an isomorphism on stalks. From the characterisation

### 2.3. Étalé space of a presheaf and sheafification

of sections it clear that if  $\mathcal{F}$  is a presheaf of  $\mathbf{AbGrp}, \mathbf{Ring}, \dots$  then  $\mathcal{F}^+$  is a sheaf with values in the corresponding abelian category.

We have defined  $\mathrm{Spé}$  and  $(-)^+$  on objects but they can also be turned into functors. If we have a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  between presheaves, this induces a continuous map  $\mathrm{Spé}(\phi) : \mathrm{Spé}(\mathcal{F}) \rightarrow \mathrm{Spé}(\mathcal{G})$  given by  $s_x \mapsto \phi_x(s_x)$  so that

$$\begin{array}{ccc} \mathrm{Spé}(\mathcal{F}) & \xrightarrow{\mathrm{Spé}(\phi)} & \mathrm{Spé}(\mathcal{G}) \\ & \searrow \pi & \swarrow \pi \\ & X & \end{array} \quad (2.6)$$

commutes. This construction is functorial and turns  $\mathrm{Spé}$  into a functor from presheaves to topological bundles over  $X$ .

*Remark 2.3.1.* The natural map  $\mathcal{F} \rightarrow \mathcal{F}^+$  induces a homeomorphism  $\mathrm{Spé}(\mathcal{F}) \rightarrow \mathrm{Spé}(\mathcal{F}^+)$ .

It follows that we also obtain a map of sheaves  $\phi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}^+$  by composing sections with  $\mathrm{Spé}(\phi)$ . Thus we have a functor  $(-)^+ : \mathbf{PreSh}(X) \rightarrow \mathbf{Sh}(X)$  and in fact the following diagram commutes.

$$\begin{array}{ccc} \mathcal{F}^+ & \xrightarrow{\phi^+} & \mathcal{G}^+ \\ \uparrow & & \uparrow \\ \mathcal{F} & \xrightarrow{\phi} & \mathcal{G} \end{array} \quad (2.7) \quad \boxed{\text{eq:sheafif}}$$

Note that since the morphism  $\mathcal{F} \rightarrow \mathcal{F}^+$  is an isomorphism when  $\mathcal{F}$  is a sheaf, this says that the functor  $(-)^+$  restricted to  $\mathbf{Sh}(X)$  is naturally isomorphic to the identity functor.

**Thm 2.3.2.** *Let  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  be the natural morphism. Then for any morphism of presheaves  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  with  $\mathcal{G}$  a sheaf, there exists a unique morphism of sheaves  $\psi : \mathcal{F}^+ \rightarrow \mathcal{G}$  so that*

$$\begin{array}{ccc} \mathcal{F}^+ & \xrightarrow{\psi} & \mathcal{G} \\ \theta \uparrow & \nearrow \phi & \\ \mathcal{F} & & \end{array} \quad (2.8)$$

*commutes.*

*Proof.* This just follows from equation 2.7, the fact that  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  is an isomorphism when  $\mathcal{F}$  is a sheaf, and by taking stalks.  $\blacksquare$

**Corollary 2.3.3.** *The sheafification functor is left adjoint to the inclusion functor  $\iota : \mathbf{Sh}(X) \rightarrow \mathbf{PreSh}(X)$ .*

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*Proof.* Let  $\mathcal{F}$  be a presheaf and  $\mathcal{G}$  be a sheaf. Given a morphism  $\phi : \mathcal{F}^+ \rightarrow \mathcal{G}$  we can precompose it with  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  to obtain a map  $\mathcal{F} \rightarrow \iota\mathcal{G}$ . Conversely, given  $\psi : \mathcal{F} \rightarrow \iota\mathcal{G}$ , we obtain a map  $\mathcal{F}^+ \rightarrow \mathcal{G}$  from the theorem. Then the theorem says these operations are inverse so we have a bijection

$$\mathrm{Hom}(\mathcal{F}^+, \mathcal{G}) \cong \mathrm{Hom}(\mathcal{F}, \iota\mathcal{G}). \quad (2.9)$$

Naturality is then an easy check.  $\blacksquare$

**Corollary 2.3.4.** *The sheafification functor is exact.*

*Proof.* It is a left adjoint so it is right exact. It thus suffices to show that if  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is injective then so is  $\phi^+$ . For this it suffices to show that  $\phi_x$  is injective for all  $x$ . But this is obvious.  $\blacksquare$

We can now define the cokernel of a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  in  $\mathrm{Sh}(X)$ . We simply define it to be the sheafification of the cokernel in  $\mathrm{PreSh}(X)$  and it is an easy to check to see that this is indeed a cokernel object in  $\mathrm{Sh}(X)$ . It is then easy to see that  $\ker \mathrm{coker} = \mathrm{coker} \ker$  by looking at stalks and so  $\mathrm{Sh}(X)$  is an abelian category.

*Remark 2.3.5.* While  $\mathrm{Sh}(X)$  is a full subcategory of  $\mathrm{PreSh}(X)$  that is abelian, it is not a full abelian subcategory.

## 2.4 Maps defined on a basis

**Thm 2.4.1.** *Let  $\mathcal{F}, \mathcal{G}$  be sheafs on  $X$  and let  $\mathcal{B}$  be a basis for the topology on  $X$ . Then any morphism  $\phi|_{\mathcal{B}} : \mathcal{F}|_{\mathcal{B}} \rightarrow \mathcal{G}|_{\mathcal{B}}$  extends uniquely to a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$ . Moreover this procedure is functorial.*

*Proof.* There is a natural isomorphism between  $\varinjlim_{U \ni x} \mathcal{F}$  and  $\varinjlim_{B \ni x} \mathcal{F}$ . Thus we obtain a map  $\phi := \phi|_{\mathcal{B}}^+ : \mathcal{F} \rightarrow \mathcal{G}$ . It is clear that this is a morphism of sheaves. Moreover for  $U \in \mathcal{B}$  and  $s \in \mathcal{F}(U)$  it is clear that  $\phi(U)(s)$  and  $\phi|_{\mathcal{B}}(U)(s)$  have the same stalks and so must be equal. Thus  $\phi$  extends  $\phi|_{\mathcal{B}}$ . Finally, if a morphism extends  $\phi|_{\mathcal{B}}$  then it is determined on stalks and hence must equal to  $\phi$ , which gives us uniqueness. Functoriality is clear.  $\blacksquare$

## 2.5 Exact sequences

Now that we know that we are working in an abelian category we can talk about exact sequences in  $\mathrm{Sh}(X)$ . Recall from section 1.4 that  $\mathcal{F} \xrightarrow{\theta} \mathcal{G} \xrightarrow{\phi} \mathcal{H}$  is exact at  $\mathcal{G}$  if  $\phi \circ \theta = 0$  and the map induced map  $\mathrm{im}(\theta) \rightarrow \ker(\phi)$  is an isomorphism. But the map  $\mathrm{im}(\theta) \rightarrow \ker(\phi)$  is an isomorphism iff it is an isomorphism at the level of stalks iff  $\mathcal{F}_x \xrightarrow{\theta_x} \mathcal{G}_x \xrightarrow{\phi_x} \mathcal{H}_x$  is exact for all  $x \in X$ . Thus  $(-)_x$  is an exact functor and exactness in  $\mathrm{Sh}(X)$  can be verified by checking exactness at all the stalks.



## 2.6 Direct sums of sheaves

If  $\mathcal{A}$  has direct sums, then so does  $\text{PreSh}(X)$  since we can compute the direct sum pointwise. It follows that  $\text{PreSh}(X)$  is cocomplete. The sheafification of the direct sum in  $\text{PreSh}(X)$  gives us a direct sum in  $\text{Sh}(X)$  and hence  $\text{Sh}(X)$  is also cocomplete.

We also have products in both  $\text{PreSh}(X)$  and  $\text{Sh}(X)$  (computed pointwise) and so they are also both complete.

## 2.7 Sheaves over different spaces

### 2.7.1 Direct image sheaf

Let  $f : X \rightarrow Y$  be a continuous map between topological spaces and  $\mathcal{F}$  a sheaf on  $X$ . We define the direct image of  $\mathcal{F}$  under  $f$  to be the sheaf  $f_*\mathcal{F}$  on  $Y$  defined by  $f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$ . If we define  $f_*$  on morphisms in the obvious way then it is clear that we obtain a functor  $f_* : \text{Sh}(X) \rightarrow \text{Sh}(Y)$ . In fact we also obtain a functor  $f_* : \text{PreSh}(X) \rightarrow \text{PreSh}(Y)$  and it turns out this functor has nice left adjoint.

Define  $\lim_f : \text{PreSh}(Y) \rightarrow \text{PreSh}(X)$  to be the functor that sends  $\mathcal{G} \in \text{PreSh}(Y)$  to the presheaf  $\lim_f(\mathcal{G})(U) = \varinjlim_{V \supset f(U)} \mathcal{G}(V)$  on  $X$ , and does the obvious things to morphisms.

**Thm 2.7.1.**  $\lim_f \dashv f_*$  as functors between  $\text{PreSh}(X)$  and  $\text{PreSh}(Y)$ .

*Proof.* Let  $\phi : \lim_f \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves. For  $V$  open in  $Y$ ,  $f^{-1}(V)$  is open in  $X$  and so we have maps

$$\mathcal{F}(V) \rightarrow \varinjlim_{W \supset f(U)} \mathcal{F}(W) \rightarrow \mathcal{G}(U) \quad (2.10)$$

where  $U = f^{-1}(V)$ . If  $V' \subset V$ ,  $U = f^{-1}(V)$  and  $U' = f^{-1}(V')$  then

$$\begin{array}{ccccccc} \mathcal{F}(V) & \longrightarrow & \varinjlim_{W \supset f(U)} \mathcal{F}(W) & \longrightarrow & \mathcal{G}(U) & & \\ \downarrow & \searrow & \downarrow & & \downarrow & & \\ \mathcal{F}(V') & \longrightarrow & \varinjlim_{W \supset f(U')} \mathcal{F}(W) & \longrightarrow & \mathcal{G}(U') & & \end{array} \quad (2.11)$$

commutes and so these maps in fact define a morphism  $\mathcal{F} \rightarrow f_*\mathcal{G}$ .

Conversely suppose we are given a morphism  $\mathcal{F} \rightarrow f_*\mathcal{G}$ . Let  $U$  be open in  $X$ . For  $V \supset f(U)$  we have maps

$$\mathcal{F}(V) \rightarrow \mathcal{G}(f^{-1}(V)) \rightarrow \mathcal{G}(U). \quad (2.12)$$

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Moreover if  $V \supset V' \supset f(U)$  then

$$\begin{array}{ccc} \mathcal{F}(V) & \rightarrow & \mathcal{G}(f^{-1}(V)) \\ \downarrow & & \downarrow \searrow \\ \mathcal{F}(V') & \rightarrow & \mathcal{G}(f^{-1}(V')) \nearrow \mathcal{G}(U) \end{array} \quad (2.13)$$

commutes so we obtain maps  $\varinjlim_{V \supset f(U)} \mathcal{F}(V) \rightarrow \mathcal{G}(U)$ . If  $U \supset U'$  we have maps

$$\begin{array}{ccc} \varinjlim_{V \supset f(U)} \mathcal{F}(V) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \varinjlim_{V \supset f(U')} \mathcal{F}(V) & \longrightarrow & \mathcal{G}(U'). \end{array} \quad (2.14)$$

A straightforward calculation shows that this commutes and so we obtain a morphism  $\lim_f \mathcal{F} \rightarrow \mathcal{G}$ .

These operations are clearly inverse to each other. A straightforward calculation shows that the bijection is natural.  $\blacksquare$

**Corollary 2.7.2.**  $\lim_f$  is an exact functor.

*Proof.* It is a left adjoint so it is right exact. Thus it suffices to show that it sends injective maps to injective maps. But this is obvious.  $\blacksquare$

### Stalks

**Proposition 2.7.3.** Let  $\mathcal{F}$  be a sheaf on  $X$  and  $f : X \rightarrow Y$  a continuous map. Then there is a natural map  $(f_*\mathcal{F})_{f(p)} \rightarrow \mathcal{F}_p$  in the sense that if  $\mathcal{G}$  is another sheaf on  $X$  and  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism then

$$\begin{array}{ccc} (f_*\mathcal{F})_{f(p)} & \xrightarrow{(f_*\phi)_{f(p)}} & (f_*\mathcal{G})_{f(p)} \\ \downarrow & & \downarrow \\ \mathcal{F}_p & \xrightarrow{\phi_p} & \mathcal{G}_p \end{array} \quad (2.15)$$

commutes.

*Proof.* We have

$$(f_*\mathcal{F})_{f(p)} = \varinjlim_{U \ni f(p)} f_*\mathcal{F}(U) = \varinjlim_{U : f^{-1}(U) \ni p} \mathcal{F}(f^{-1}(U)). \quad (2.16)$$

But  $\{U : f^{-1}(U) \ni p\} \subseteq \{V : V \ni p\}$  and so there is map

$$(f_*\mathcal{F})_{f(p)} = \varinjlim_{U : f^{-1}(U) \ni p} \mathcal{F}(f^{-1}(U)) \rightarrow \varinjlim_{V \ni p} \mathcal{F}(V) = \mathcal{F}_p. \quad (2.17)$$

Naturality is an easy exercise.  $\blacksquare$

### 2.7.2 Inverse image sheaf

Let  $f : X \rightarrow Y$  be a continuous map between topological spaces and  $\mathcal{F}$  a sheaf on  $Y$ . Let  $f^{-1}\mathrm{Spé}(\mathcal{F})$  be the pullback

$$\begin{array}{ccc} f^{-1}\mathrm{Spé}(\mathcal{F}) & \dashrightarrow & \mathrm{Spé}(\mathcal{F}) \\ \downarrow \pi & \lrcorner & \downarrow \pi \\ X & \xrightarrow{f} & Y. \end{array} \quad (2.18)$$

We define the inverse image sheaf  $f^{-1}\mathcal{F}$  to be the sheaf of sections of  $\pi : f^{-1}\mathrm{Spé}(\mathcal{F}) \rightarrow X$ . Equivalently, it is the sheaf

$$f^{-1}\mathcal{F}(U) = \left\{ s : U \rightarrow \mathrm{Spé}(\mathcal{F}) : \begin{array}{ccc} & \mathrm{Spé}(\mathcal{F}) & \\ s \nearrow & \downarrow \pi & \\ U & \xrightarrow{f|_U} & Y \end{array} \text{ commutes} \right\} \quad (2.19) \quad \boxed{\text{eq:inving}}$$

or also equivalently, the sheaf

$$f^{-1}\mathcal{F}(U) = \{ s : U \rightarrow \coprod_{x \in U} \mathcal{F}_{f(x)} : \forall x \in U, \exists W \subset Y, V \subset f^{-1}(W) \cap U \text{ open and } t \in \mathcal{F}(W) \text{ s.t. } x \in V \wedge s(y) = t_{f(y)} \forall y \in V \}. \quad (2.20)$$

It is clear from the construction that we obtain a functor  $f^{-1} : \mathrm{Sh}(Y) \rightarrow \mathrm{Sh}(X)$ .

*Remark 2.7.4.* A direct calculation shows that  $f^{-1}\mathcal{F}_x$  and  $\mathcal{F}_{f(x)}$  are naturally isomorphic and so there is a natural bijection between  $f^{-1}\mathrm{Spé}(\mathcal{F})$  and  $\mathrm{Spé}(f^{-1}\mathcal{F})$ . It is then a straightforward exercise to check that this bijection is in fact a homeomorphism i.e.  $f^{-1}\mathrm{Spé}(\mathcal{F}) \cong \mathrm{Spé}(f^{-1}\mathcal{F})$ .

**Thm 2.7.5.**  $f^{-1}$  is naturally isomorphic to  $(-)^+ \circ \lim_f$  as functors  $\mathrm{PreSh}(Y) \rightarrow \mathrm{Sh}(X)$ .

*Proof.* Let  $U$  be an open subset of  $X$  and  $s \in \lim_f \mathcal{F}(U)$ . There is a natural map  $\phi_x : (\lim_f \mathcal{F})_x \rightarrow \mathcal{F}_{f(x)}$  so we can define a map  $U \rightarrow \mathrm{Spé}(\mathcal{F})$  by  $x \mapsto \phi_x(s_x)$ . It is clear that this gives an element of  $f^{-1}\mathcal{F}(U)$  as characterised by equation 2.19. Thus we obtain a morphism  $\lim_f \mathcal{F} \rightarrow f^{-1}\mathcal{F}$ . On stalks this map is given by  $\phi_x$ . A direct calculation shows that  $\phi_x$  is an isomorphism for all  $x \in X$  and so the induced map  $(\lim_f \mathcal{F})^+ \rightarrow f^{-1}\mathcal{F}$  must be an isomorphism. It is straightforward to see that this defines a natural transformation.  $\blacksquare$

*Remark 2.7.6.* In fact  $f^{-1} \circ (-)^+$ ,  $f^{-1}$  and  $(-)^+ \circ \lim_f$  are all naturally isomorphic.

**Corollary 2.7.7.**  $f^{-1} \dashv f_*$  as functors between  $\mathrm{Sh}(X)$  and  $\mathrm{Sh}(Y)$ .

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*Proof.*  $f^{-1}$  is naturally isomorphic to  $(-)^+ \circ \lim_f$  and so for  $\mathcal{F} \in \mathbf{Sh}(Y)$ ,  $\mathcal{G} \in \mathbf{Sh}(X)$  we have natural bijections

$$\begin{aligned} \mathrm{Hom}_{\mathbf{Sh}(X)}(f^{-1}\mathcal{F}, \mathcal{G}) &\cong \mathrm{Hom}_{\mathbf{Sh}(X)}\left(\left(\lim_f \mathcal{F}\right)^+, \mathcal{G}\right) \cong \mathrm{Hom}_{\mathbf{PreSh}(X)}\left(\lim_f \mathcal{F}, \mathcal{G}\right) \\ &\cong \mathrm{Hom}_{\mathbf{PreSh}(Y)}(\mathcal{F}, f_*\mathcal{G}) \cong \mathrm{Hom}_{\mathbf{Sh}(Y)}(\mathcal{F}, f_*\mathcal{G}). \end{aligned} \quad (2.21)$$

■

**Corollary 2.7.8.**  $(-)_x \circ f^{-1} = (-)_{f(x)}$ .

*Proof.*  $(-)_x \circ f^{-1} = (-)_x \circ (-)^+ \circ \lim_f = (-)_x \circ \lim_f = (-)_{f(x)}$ . ■

**Corollary 2.7.9.**  $f^{-1}$  is an exact functor.

*Proof.* It is the composition of two exact functors. Alternatively take stalks. ■

**Corollary 2.7.10.** There are natural transformations  $e : \mathrm{id} \Rightarrow f_*f^{-1}$  and  $\epsilon : f^{-1}f_* \rightarrow \mathrm{id}$  such that

$$f^{-1} \xrightarrow{f^{-1}e} f^{-1}f_*f^{-1} \xrightarrow{\epsilon f^{-1}} f^{-1} \quad (2.22)$$

$$f_* \xrightarrow{ef_*} f_*f^{-1}f_* \xrightarrow{f_*\epsilon} f_* \quad (2.23)$$

both compose to the identity natural transformation.

## 2.8 The $\mathcal{H}om$ sheaf

**Lemma 2.8.1.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves and  $f : \mathrm{Spé}(\mathcal{F}) \rightarrow \mathrm{Spé}(\mathcal{G})$  be a continuous map so that

$$\begin{array}{ccc} \mathrm{Spé}(\mathcal{F}) & \xrightarrow{f} & \mathrm{Spé}(\mathcal{G}) \\ & \searrow \pi & \swarrow \pi \\ & X & \end{array} \quad (2.24)$$

commutes. Let  $\tilde{f} : \mathcal{F}^+ \rightarrow \mathcal{G}^+$  be the morphism obtained by postcomposing sections with  $f$ . Then  $\tilde{f}_x = f|_x$ .

*Proof.* This follows from the fact that if  $s \in \mathcal{F}^+(U)$  then for  $x \in U$ ,  $s_x = s(x)$ . ■

**Thm 2.8.2.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves. Then there is a bijection between continuous maps  $\mathrm{Spé}(\mathcal{F}) \rightarrow \mathrm{Spé}(\mathcal{G})$  and morphisms of sheaves  $\mathcal{F} \rightarrow \mathcal{G}$ .

*Proof.* For sheaves we have  $\mathcal{F} \cong \mathcal{F}^+$  and so the results follows from the lemma. ■

**Corollary 2.8.3.** *The presheaf  $\mathcal{H}om(\mathcal{F}, \mathcal{G})$  defined by*

$$\mathcal{H}om(\mathcal{F}, \mathcal{G})(U) = \text{Hom}(\mathcal{F}|_U, \mathcal{G}|_U) \quad (2.25)$$

*is in fact a sheaf.*

## 2.9 Sheaves of modules

**Definition 2.9.1.** Let  $\mathcal{A}$  be a presheaf of rings and  $\mathcal{F}$  a presheaf of groups. We say that  $\mathcal{F}$  is an  $\mathcal{A}$ -module if there is a morphism  $\mathcal{A} \times \mathcal{F} \rightarrow \mathcal{F}$  satisfying the usual commutative diagrams. Write  $\text{PreMod}(\mathcal{A})$  for the category of presheaf  $\mathcal{A}$ -modules, and  $\text{Mod}(\mathcal{A})$  for the category of  $\mathcal{A}$ -modules.

**Proposition 2.9.2.** *Let  $\mathcal{A}$  be a presheaf of rings. There is an isomorphism of categories between  $\text{Mod}(\mathcal{A})$  and  $\text{Mod}(\mathcal{A}^+)$ .*

*Proof.* Given  $\mathcal{A} \times \mathcal{F} \rightarrow \mathcal{F}$  we obtain  $\mathcal{A}^+ \times \mathcal{F} \rightarrow \mathcal{F}$  via sheafification. Conversely, given  $\mathcal{A}^+ \times \mathcal{F} \rightarrow \mathcal{F}$  we obtain  $\mathcal{A} \times \mathcal{F} \rightarrow \mathcal{F}$  by composing with  $\mathcal{A} \times \mathcal{F} \rightarrow \mathcal{A}^+ \times \mathcal{F}$ . These operations are clearly inverse and respect morphisms.  $\blacksquare$

**Proposition 2.9.3.** *Let  $\mathcal{A}$  be a sheaf of rings and  $\mathcal{F} \in \text{PreMod}(\mathcal{A})$ . Then  $\mathcal{F}^+ \in \text{Mod}(\mathcal{A}^+)$  and the canonical morphism  $\mathcal{F} \rightarrow \mathcal{F}^+$  is an  $\mathcal{A}$ -module map.*

## 2.10 Tensors

**Definition 2.10.1.** Let  $\mathcal{A}$  be a presheaf of rings, and  $\mathcal{F}, \mathcal{G} \in \text{PreMod}(\mathcal{A})$ . Define  $\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G}$  to be the sheafification of the presheaf tensor product.  $\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G}$  is a  $\mathcal{A}^+$ -module. Write  $\mathcal{F} \otimes'_{\mathcal{A}} \mathcal{G}$  for the presheaf tensor.

**Thm 2.10.2.** *Let  $\mathcal{A}$  be a sheaf of rings. Then any  $\mathcal{A}$ -bilinear morphism  $\mathcal{F} \times \mathcal{G} \rightarrow \mathcal{H}$  where  $\mathcal{H} \in \text{Mod}(\mathcal{A})$  factors uniquely through  $\mathcal{F} \times \mathcal{G} \rightarrow \mathcal{F} \otimes_{\mathcal{A}} \mathcal{G}$ .*

**Thm 2.10.3.**  $-\otimes_{\mathcal{A}} - : \text{PreMod}(\mathcal{A}) \times \text{PreMod}(\mathcal{A}) \rightarrow \text{Mod}(\mathcal{A}^+)$  is a functor.

**Proposition 2.10.4.** *Let  $\mathcal{A}$  be a presheaf of rings on  $Y$ ,  $\mathcal{F}, \mathcal{G} \in \text{PreMod}(\mathcal{A})$ , and  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous maps. Then there are natural isomorphisms*

1.  $\mathcal{F} \otimes_{\mathcal{A}} \mathcal{A} \cong \mathcal{F}$
2.  $\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G} \cong \mathcal{F}^+ \otimes_{\mathcal{A}^+} \mathcal{G}^+$
3.  $f^{-1}(\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G}) \cong f^{-1}\mathcal{F} \otimes_{f^{-1}\mathcal{A}} f^{-1}\mathcal{G}$

*Proof.* 1. Obvious.

2. Use universal property.

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3. It is straightforward to check that  $\lim_f(\mathcal{F} \otimes'_{\mathcal{A}} \mathcal{G}) \cong \lim_f \mathcal{F} \otimes'_{\lim_f \mathcal{A}} \lim_f \mathcal{G}$ .  
But then

$$\begin{aligned} f^{-1}(\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G}) &\cong f^{-1}(\mathcal{F} \otimes'_{\mathcal{A}} \mathcal{G}) \cong \left( \lim_f (\mathcal{F} \otimes'_{\mathcal{A}} \mathcal{G}) \right)^+ \\ &\cong f^{-1} \mathcal{F} \otimes_{f^{-1} \mathcal{A}} f^{-1} \mathcal{G} \end{aligned} \quad (2.26)$$

■

**Proposition 2.10.5.** *Let  $\mathcal{A}$  be a presheaf of rings on  $X$  and  $\mathcal{F}, \mathcal{G} \in \text{Mod}(X)$ . Then  $(\mathcal{F} \otimes_{\mathcal{A}} \mathcal{G})_p \cong \mathcal{F}_p \otimes_{\mathcal{A}_p} \mathcal{G}_p$  for all  $p \in X$ .*

### 2.11 Injective sheaves

**Definition 2.11.1.** Let  $\mathcal{F}$  be a sheaf. Define  $D(\mathcal{F})$  to be the sheaf of all (not necessarily continuous) sections of  $\text{Spé}(\mathcal{F}) \rightarrow X$ .

**Lemma 2.11.2.**  $D(\mathcal{F}) = \prod_{x \in X} x_*(\mathcal{F}_x)$ .

*Proof.* Obvious. ■

**Thm 2.11.3.**  $\text{Sh}(X)$  over the abelian category  $\text{AbGrp}/\text{Ring}/\text{Mod}_R$  has enough injectives.

*Proof.* Let  $\mathcal{A}$  denote the abelian category. Recall that  $x_* : \mathcal{A} \rightarrow \text{Sh}(X)$  is the right adjoint of an exact functor. Thus it is left exact and preserves injectives. Let  $x \in X$ .  $\mathcal{A}$  has enough injectives, so there is some injective object  $I_x$  such that  $0 \rightarrow \mathcal{F}_x \rightarrow I_x$  is exact. It follows that  $0 \rightarrow x_*(\mathcal{F}_x) \rightarrow x_*(I_x)$  is also exact. We can then form the exact sequence  $0 \rightarrow \prod_{x \in X} x_*(\mathcal{F}_x) \rightarrow \prod_{x \in X} x_*(I_x)$ . The last term is injective since it is a product of injective objects. Composing this with the canonical map  $\mathcal{F} \rightarrow D(\mathcal{F})$  gives the required injection into an injective object. ■

## CHAPTER 3

# Scheme Theory

### 3.1 Locally ringed spaces

A locally ringed space is a pair  $(X, \mathcal{O}_X)$  consisting of a topological space  $X$  and a sheaf  $\mathcal{O}_X$  of rings on  $X$  such that the stalks are local rings. A morphism of between the locally ringed spaces  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$  consisting of a continuous map  $f : X \rightarrow Y$  and a morphism of sheaves  $f^\# : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  which induces morphisms of local rings on stalks  $f_p^\# : \mathcal{O}_{Y, f(p)} \rightarrow \mathcal{O}_{X, p}$ .

Given morphisms  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  and  $(g, g^\#) : (Y, \mathcal{O}_Y) \rightarrow (Z, \mathcal{O}_Z)$  we define their composition  $(h, h^\#) : (X, \mathcal{O}_X) \rightarrow (Z, \mathcal{O}_Z)$  by  $h = g \circ f$  and

$$h^\# = \mathcal{O}_Z \rightarrow g_* \mathcal{O}_Y \rightarrow g_*(f_* \mathcal{O}_X) = h_* \mathcal{O}_X. \quad (3.1)$$

Note that

$$\begin{array}{ccccc}
 & & (h^\#)_{h(p)} & & \\
 & \nearrow & & \searrow & \\
 \mathcal{O}_{Z, h(p)} & \longrightarrow & (g_* \mathcal{O}_Y)_{g \circ f(p)} & \longrightarrow & (g_* f_* \mathcal{O}_X)_{g \circ f(p)} \\
 & \searrow & \downarrow & & \downarrow \\
 & & \mathcal{O}_{Y, f(p)} & \longrightarrow & (f_* \mathcal{O}_X)_{f(p)} \\
 & & & \searrow & \downarrow \\
 & & & & \mathcal{O}_{X, p}
 \end{array}
 \quad (3.2)$$

$g_{f(p)}^\#$  (down from  $\mathcal{O}_{Z, h(p)}$  to  $\mathcal{O}_{Y, f(p)}$ )  
 $f_p^\#$  (down from  $(f_* \mathcal{O}_X)_{f(p)}$  to  $\mathcal{O}_{X, p}$ )  
 $(h^\#)_{h(p)}$  (curved arrow from  $\mathcal{O}_{Z, h(p)}$  to  $\mathcal{O}_{X, p}$ )

commutes and so  $h_p^\# = f_p^\# \circ g_{f(p)}^\#$  is a morphism of local rings and so  $(h, h^\#)$  is indeed a morphism of locally ringed spaces.

**prop:factor**

**Proposition 3.1.1.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces. If  $f(X) \subseteq U$  for some open subset  $U \subseteq Y$  then  $(f, f^\#)$  factors through  $(U, \mathcal{O}_Y|_U)$ .*

*Proof.* Let  $\bar{f} : X \rightarrow U$  denote the map  $f$  viewed as having codomain  $U$ , and  $i : U \rightarrow Y$ . Then  $f = i \circ \bar{f}$ . Moreover, there is a natural morphism

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$i^\# : \mathcal{O}_Y \rightarrow i_*(\mathcal{O}_Y|_U)$  given by the restriction maps. Since  $\bar{f}^{-1}(V) = f^{-1}(V)$  for  $V \subseteq U$ , there is also a natural map  $\bar{f}^\# : \mathcal{O}_Y|_U \rightarrow \bar{f}_*\mathcal{O}_X$  given by the restriction of  $f^\#$ . It is straightforward to see that  $f^\# = i^\# \circ \bar{f}^\#$ . ■

**Thm 3.1.2.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces.  $(f, f^\#)$  is an isomorphism iff  $f$  is a homeomorphism and  $f^\#$  is an isomorphism.*

*Proof.* The forwards direction is obvious. Now suppose  $f$  is a homeomorphism and  $f^\#$  is an isomorphism. Let  $g = f^{-1} : Y \rightarrow X$  and  $g^\# = (g_*f^\#)^{-1}$ . Then  $(g, g^\#) \circ (f, f^\#) = \text{id}$  and  $(f, f^\#) \circ (g, g^\#) = \text{id}$ . ■

**Corollary 3.1.3.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces. If*

1.  $U := f(X)$  is an open subset of  $Y$ ,
2.  $f$  is a homeomorphism onto its image,
3.  $f_p^\#$  is an isomorphism for all  $p \in X$

*then  $(X, \mathcal{O}_X) \cong (U, \mathcal{O}_Y|_U)$ .*

*Proof.* By proposition 3.1.1,  $(f, f^\#)$  factors through  $(\bar{f}, \bar{f}^\#) : (X, \mathcal{O}_X) \rightarrow (U, \mathcal{O}_Y|_U)$ . By the theorem it suffices to check that  $\bar{f}_p^\#$  is an isomorphism for all  $p \in X$ . But this follows from the fact that  $i^\#$  is an isomorphism on stalks. ■

**Thm 3.1.4.** *Let  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  be locally ringed spaces. The presheaf  $U \mapsto \text{Hom}((U, \mathcal{O}_X|_U), (Y, \mathcal{O}_Y))$  on  $\text{Top}(X)$  is a sheaf of sets.*

## 3.2 Morphisms

### 3.2.1 Quasi-compact

**Definition 3.2.1.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is quasi-compact if there is an affine covering  $\{V_i\}_i$  of  $Y$  such that  $f^{-1}(V_i)$  is quasi-compact for all  $i$ .

**Lemma 3.2.2.** *Let  $X$  be a topological space. If  $X$  is a finite union of quasi-compact open sets then  $X$  is quasi-compact.*

**Thm 3.2.3.** *Let  $(f, f^\#) : X \rightarrow Y$  be quasi-compact. Then for any affine  $V \subseteq Y$ ,  $f^{-1}(V)$  is quasi-compact.*

*Proof.* Let us say that an open affine subset  $V \subseteq Y$  has the property (P) if  $f^{-1}(V)$  is quasi-compact. We show that (P) is an affine-local property.

1. If  $V$  has property (P), then certainly  $V_g$  for  $g \in \mathcal{O}_Y(V)$  does too.



2. Suppose that  $(g_1, \dots, g_k) = \mathcal{O}_Y(V)$  and that  $V_{g_i}$  has property  $(P)$  for all  $i$ . Then  $f^{-1}(V) = \cup_i f^{-1}(V_{g_i})$  is a finite union of quasi-compact open sets and so is quasi compact.

The result follows from the affine communication lemma. ■

*Remark 3.2.4.* It follows easily from the theorem that  $f^{-1}(V)$  is quasi-compact for all open quasi-compact subsets  $V$  of  $Y$ .

*Remark 3.2.5.* If  $\phi : A \rightarrow B$  is ring homomorphism, then  $\text{Spec}(\phi)$  is always quasi-compact (choose the trivial covers for both spaces).

### 3.2.2 Quasi-separated

**Definition 3.2.6.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is quasi-separated if there is an affine covering  $\{V_i\}_i$  of  $Y$  such that  $f^{-1}(V_i)$  is quasi-separated for all  $i$ .

**Thm 3.2.7.** Let  $(f, f^\#) : X \rightarrow Y$  be quasi-separated. Then for any affine  $V \subseteq Y$ ,  $f^{-1}(V)$  is quasi-separated.

*Proof.* Let us say that an open affine subset  $V \subseteq Y$  has the property  $(P)$  if  $f^{-1}(V)$  is quasi-separated. We show that  $(P)$  is an affine-local property.

1. If  $V$  has property  $(P)$ , then certainly  $V_g$  for  $g \in \mathcal{O}_Y(V)$  does too.
2. Suppose that  $(g_1, \dots, g_k) = \mathcal{O}_Y(V)$  and that  $V_{g_i}$  has property  $(P)$  for all  $i$ . Then for each  $i$ ,  $f^{-1}(V_{g_i})$  has an open cover  $\{W_{ij}\}_j$  such that the intersection between any two elements of the cover is quasi-compact. It is clear that  $\{W_{ij}\}_{i,j}$  cover  $f^{-1}(V)$  and so it suffices to show that  $W_{ij} \cap W_{kl}$  is quasi-compact for  $i \neq k$ . But by part (1), the  $V_{g_i g_j}$  also have property  $(P)$ . Moreover,  $W_{ij} \cap W_{kl} \subseteq f^{-1}(V_{g_i g_k})$  and so  $W_{ij} \cap W_{kl} = W_{ij} \cap f^{-1}(V_{g_i g_k}) \cap W_{kl} \cap f^{-1}(V_{g_i g_k})$ . But  $W_{ij} \cap f^{-1}(V_{g_i g_k}) = (W_{ij})_{f^\#(V)(g_k)}$  is affine. Thus  $W_{ij} \cap W_{kl}$  is the intersection of two affines in the quasi-separated space  $f^{-1}(V_{g_i g_k})$  and is thus quasi-compact.

The result follows from the affine communication lemma. ■

*Remark 3.2.8.* If  $\phi : A \rightarrow B$  is ring homomorphism, then  $\text{Spec}(\phi)$  is always quasi-separated (choose the trivial covers for both spaces).

### 3.2.3 Locally of finite type

**Definition 3.2.9.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is locally of finite type if there is an affine covering  $\{V_i\}_i$  of  $Y$ , and for each  $i$ , an affine covering  $\{U_{ij}\}_j$  of  $f^{-1}(V_i)$  such that  $\mathcal{O}_X(U_{ij})$  is a finitely generated  $\mathcal{O}_Y(V_i)$ -algebra.

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`prop:fg_aff_local`

**Proposition 3.2.10.** *Let  $\phi : B \rightarrow A$  be a ring homomorphism and  $(f_1, \dots, f_n) = A$ . Then  $A$  is a finitely generated  $B$ -algebra iff  $A_{f_i}$  is a finitely generated  $B$ -algebra for all  $i$ .*

**Thm 3.2.11.** *Let  $(f, f^\#) : X \rightarrow Y$  be locally of finite type. Then for any affine  $V \subseteq Y$  and affine  $U \subseteq f^{-1}(V)$ ,  $\mathcal{O}_X(U)$  is a finitely generated  $\mathcal{O}_Y(V)$ -algebra.*

*Proof.* Let us say that an open affine subset  $V \subseteq Y$  has the property  $(P)$  if for all open affine  $U \subseteq f^{-1}(V)$ ,  $\mathcal{O}_X(U)$  is finitely generated as a  $\mathcal{O}_Y(V)$ -algebra. We show that  $(P)$  is an affine-local property.

1. It is clear that if  $V$  has property  $(P)$  then so does  $V_g$  for  $g \in \mathcal{O}_Y(V)$ .
2. Suppose that  $(g_1, \dots, g_k) = \mathcal{O}_Y(V)$  and that  $V_{g_i}$  has property  $(P)$  for all  $i$ . Let  $U \subseteq f^{-1}(V)$  be affine and open and let  $\psi : A \rightarrow C$  denote the corresponding morphism where  $A = \mathcal{O}_Y(V)$  and  $C = \mathcal{O}_X(U)$ . We have that  $f$  restricts to a morphism  $U_{\psi(g_i)} \rightarrow V_{g_i}$  for all  $i$ . Since  $U_{\psi(g_i)}$  is affine,  $C_{\psi(g_i)}$  is a finitely generated  $A_{g_i}$ -algebra and hence a finitely generated  $A$ -algebra. Since  $(g_1, \dots, g_k) = A$  it follows that  $(\psi(g_1), \dots, \psi(g_k)) = C$  and so  $C$  is a finitely generated  $A$ -algebra.

It follows that the property  $(P)$  is an affine-local property. But by proposition 3.2.10 and the affine communication lemma, each of the  $V_i$  have property  $(P)$ . Since the  $V_i$  cover  $Y$ , the result follows from the affine communication lemma. ■

*Remark 3.2.12.* If  $\phi : A \rightarrow B$  is ring homomorphism, then  $\text{Spec}(\phi)$  is locally of finite type iff  $B$  is a finitely generated  $A$ -algebra via  $\phi$ .

#### 3.2.4 Finite type

**Definition 3.2.13.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is of finite type if it is quasi-compact and locally of finite type.

*Remark 3.2.14.* If  $\phi : A \rightarrow B$  is ring homomorphism, then  $\text{Spec}(\phi)$  is of finite type iff  $B$  is a finitely generated  $A$ -algebra via  $\phi$ .

#### 3.2.5 Closed immersion

**Definition 3.2.15.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is a closed immersion if  $f(X)$  is closed in  $Y$ ,  $f$  is a homeomorphism onto its image, and the morphism  $f^\#$  is surjective.

*Remark 3.2.16.* If  $\phi : A \rightarrow B$  is ring homomorphism, then  $\text{Spec}(\phi)$  is a closed immersion iff  $\phi$  is a surjection.

**Proposition 3.2.17.** *Let  $f : X \rightarrow Y$  be a morphism and suppose there is an open cover  $\{U_i\}_i$  of  $Y$  such that  $f|_{f^{-1}(U_i)} : f^{-1}(U_i) \rightarrow U_i$  is a closed immersion for all  $i$ . Then  $f$  is a closed immersion.*

### 3.2.6 Open immersion

**Definition 3.2.18.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is an open immersion if  $f(X)$  is open in  $Y$ ,  $f$  is a homeomorphism onto its image, and  $f_p^\#$  is an isomorphism for all  $p \in X$ .

### 3.2.7 Affine

**Definition 3.2.19.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is affine if there is an affine covering  $\{V_i\}_i$  of  $Y$  such that  $f^{-1}(V_i)$  is affine for all  $i$ .

**Thm 3.2.20.** Let  $(f, f^\#) : X \rightarrow Y$  be affine. Then for any affine  $V \subseteq Y$ ,  $f^{-1}(V)$  is affine.

*Proof.* Let us say that an open affine subset  $V \subseteq Y$  has the property  $(P)$  if  $f^{-1}(V)$  is affine. We show that  $(P)$  is an affine-local property.

1. If  $V$  has property  $(P)$ , then clearly  $V_g$  for  $g \in \mathcal{O}_Y(V)$  does too.
2. Suppose that  $(g_1, \dots, g_k) = \mathcal{O}_Y(V)$  and that  $V_{g_i}$  has property  $(P)$  for all  $i$ . Then  $f$  restricted to  $f^{-1}(V) \rightarrow V$  is quasi-compact and quasi-separated. It follows that  $f^{-1}(V)$  is quasi-compact and quasi-separated. Moreover,  $f^{-1}(V)_{f^\#(V)(g_i)}$  is affine for all  $i$ , and  $(f^\#(V)(g_1), \dots, f^\#(V)(g_k)) = \Gamma(f^{-1}(V), \mathcal{O}_X)$ . It follows from proposition 9.2.5 that  $f^{-1}(V)$  is affine.

The result follows from the affine communication lemma. ■

*Remark 3.2.21.* If  $\phi : A \rightarrow B$  is a ring homomorphism, then  $\text{Spec}(\phi)$  is always affine (choose the trivial cover for both spaces).

## 3.3 $\mathcal{O}_X$ -Modules

**Definition 3.3.1.** Let  $(X, \mathcal{O}_X)$  be a locally ringed space. An  $\mathcal{O}_X$ -module is a sheaf  $\mathcal{F}$  of abelian groups with a compatible  $\mathcal{O}_X$  action. Morphisms of  $\mathcal{O}_X$ -modules are morphisms of sheaves of abelian groups that respect the  $\mathcal{O}_X$ -module structure.

**Thm 3.3.2.** The category of  $\mathcal{O}_X$ -modules is an abelian category.

*Proof.* Additive structure on hom-sets is obvious. Kernels are the same as the kernels in  $\text{Ab}(X)$ , with the obvious  $\mathcal{O}_X$ -module structure. Similarly for cokernels (if a presheaf has an  $\mathcal{O}_X$ -module structure, then so does its sheafification by acting on the stalks). The rest then follows. ■

**Definition 3.3.3.** (Tensor product). Let  $\mathcal{F}$  and  $\mathcal{G}$  be  $\mathcal{O}_X$ -modules. Define the tensor product of  $\mathcal{F}$  and  $\mathcal{G}$ ,  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  to be the sheafification of the presheaf tensor product with the obvious  $\mathcal{O}_X$ -module structure.

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**Definition 3.3.4.** (Pullback). Let  $f : X \rightarrow Y$  be a continuous map, and  $\mathcal{F}$  an  $\mathcal{O}_Y$ -module. Then  $f^{-1}\mathcal{F}$  is naturally a  $f^{-1}\mathcal{O}_Y$ -module. Moreover from the inverse image - direct image adjunction we obtain a map  $f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$  from  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ . We can thus form the sheaf  $f^{-1}\mathcal{F} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X$ . This sheaf is naturally an  $\mathcal{O}_X$ -module and we call it  $f^*\mathcal{F}$ .

**Proposition 3.3.5.** The maps  $X \mapsto \text{Mod}(X)$ ,  $f \mapsto f^*$  give rise to a functor  $\text{Sch}^{op} \rightarrow \text{Mod}$ .

*Proof.* Clear that the identity maps to the identity. Now suppose we have maps  $f : X \rightarrow Y, g : Y \rightarrow Z$  and let  $\mathcal{F} \in \text{Mod}(Z)$ . Then

$$\begin{aligned} f^*(g^*(\mathcal{F})) &= f^*(g^{-1}\mathcal{F} \otimes_{g^{-1}\mathcal{O}_Z} \mathcal{O}_Y) \\ &= f^{-1}(g^{-1}\mathcal{F} \otimes_{g^{-1}\mathcal{O}_Z} \mathcal{O}_Y) \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X \\ &\cong (g \circ f)^{-1}\mathcal{F} \otimes_{(g \circ f)^{-1}\mathcal{O}_Z} \mathcal{O}_X \\ &= (g \circ f)^*(\mathcal{F}). \end{aligned} \tag{3.3}$$

■

**Thm 3.3.6.** Let  $\mathcal{F}, \mathcal{G} \in \text{Mod}(Y)$  and  $f : X \rightarrow Y$ . Then

$$f^*(\mathcal{F} \otimes_{\mathcal{O}_Y} \mathcal{G}) \cong f^*\mathcal{F} \otimes_{\mathcal{O}_X} f^*\mathcal{G}. \tag{3.4}$$

*Remark 3.3.7.* If  $i : U \hookrightarrow X$  is the inclusion map for an open subset  $U \subseteq X$ , then  $i^* = i^{-1}$ .

**Definition 3.3.8.** (Direct image). Let  $f : X \rightarrow Y$  be a continuous map, and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. Then  $f_*\mathcal{F}$  is naturally a  $f_*\mathcal{O}_X$  module, and hence a  $\mathcal{O}_Y$ -module via  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ .

thm:tensor-hom

**Thm 3.3.9.** Let  $\mathcal{F}$  be a  $(\mathcal{A}, \mathcal{B})$ -bimodule. Then  $- \otimes_{\mathcal{A}} \mathcal{F} \dashv \text{Hom}_{\mathcal{B}}(\mathcal{F}, -)$  as functors between  $\text{Mod}(\mathcal{A})$  and  $\text{Mod}(\mathcal{B})$ .

*Proof.* Follows from the corresponding tensor-hom adjunction for modules. ■

**Lemma 3.3.10.** Let  $f : X \rightarrow Y$ ,  $\mathcal{F} \in \text{Mod}(Y)$  and  $\mathcal{G} \in \text{Mod}(X)$ . Then under the natural bijection

$$\text{Hom}_{\text{Ab}}(f^{-1}\mathcal{F}, \mathcal{G}) \leftrightarrow \text{Hom}_{\text{Ab}}(\mathcal{F}, f_*\mathcal{G}) \tag{3.5}$$

$f^{-1}\mathcal{O}_Y$ -module morphisms biject with  $\mathcal{O}_Y$ -module morphisms.

**Thm 3.3.11.** Let  $f : X \rightarrow Y$  be a continuous map. Then  $f^* \dashv f_*$  as functors between  $\text{Mod}(X)$  and  $\text{Mod}(Y)$ .

*Proof.* Let  $\mathcal{F} \in \mathbf{Mod}(Y)$  and  $\mathcal{G} \in \mathbf{Mod}(X)$ . Note that  $\mathcal{O}_X$  is an  $(f^{-1}\mathcal{O}_Y, \mathcal{O}_X)$ -bimodule. We thus have the following chain of natural bijections

$$\begin{aligned} \mathrm{Hom}_{\mathcal{O}_X}(f^*\mathcal{F}, \mathcal{G}) &\leftrightarrow \mathrm{Hom}_{f^{-1}\mathcal{O}_Y}(f^{-1}\mathcal{F}, \mathrm{Hom}_{\mathcal{O}_X}(\mathcal{O}_X, \mathcal{G})) \\ &\leftrightarrow \mathrm{Hom}_{f^{-1}\mathcal{O}_Y}(f^{-1}\mathcal{F}, \mathcal{G}) \\ &\leftrightarrow \mathrm{Hom}_{\mathcal{O}_Y}(\mathcal{F}, f_*\mathcal{G}) \end{aligned} \quad (3.6)$$

where the last bijection follows from the lemma.  $\blacksquare$

*Remark 3.3.12.* Given an  $\mathcal{O}_Y$ -module  $\mathcal{F}$  we have a morphism

$$f^{-1}\mathcal{F} \rightarrow f^{-1}\mathcal{F} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X = f^*\mathcal{F}. \quad (3.7)$$

Thus we have a morphism  $\mathcal{F} \rightarrow f_*f^{-1}\mathcal{F} \rightarrow f_*f^*\mathcal{F}$ . This morphism is the same as the one arising from the  $f^* \dashv f_*$  adjunction.

**Definition 3.3.13.** Let  $\mathcal{F}$  be an  $\mathcal{O}_Y$ -module, and  $\sigma \in \mathcal{F}(U)$ . Write  $f^*\sigma$  for the element in  $(f^*\mathcal{F})(f^{-1}(U))$  under the morphism  $\mathcal{F} \rightarrow f_*f^*\mathcal{F}$ .

*Remark 3.3.14.* When  $\mathcal{F} = \mathcal{O}_Y$ ,  $f^* = f^\#$ .

**Proposition 3.3.15.** Let  $\mathcal{F}$  be an  $\mathcal{O}_Y$ -module,  $\sigma \in \mathcal{F}(Y)$  and  $\phi : \mathcal{O}_Y \rightarrow \mathcal{F}$  the corresponding map. Then  $f^*\phi : \mathcal{O}_X \rightarrow f^*\mathcal{F}$  is multiplication by  $f^*\sigma$ .

*Proof.*  $\mathrm{id} \rightarrow f_*f^*$  a natural transformation and so

$$\begin{array}{ccc} \mathcal{O}_Y & \longrightarrow & \mathcal{F} \\ \downarrow & & \downarrow \\ f_*\mathcal{O}_X & \longrightarrow & f_*f^*\mathcal{F} \end{array} \quad (3.8)$$

commutes.  $\blacksquare$

**Definition 3.3.16.** Let  $R$  be a ring and  $M$  an  $R$ -module. Define  $\widetilde{M}$  to be the  $\mathcal{O}_{\mathrm{Spec}(R)}$ -module which is locally  $M_r$ .

**Thm 3.3.17.**  $\widetilde{\bullet}$  is a fully faithful exact functor from  $\mathbf{Mod}_R$  to  $\mathbf{Mod}(\mathrm{Spec}(R))$ .

*Proof.* Localisation is exact.  $\blacksquare$

**Corollary 3.3.18.**  $\widetilde{\bullet}$  and  $\Gamma$  form part of an adjoint equivalence of categories between  $\mathbf{Mod}_R$  and  $\mathbf{Mod}(\mathrm{Spec}(R))$ .

### 3.4 Locally free $\mathcal{O}_X$ -modules

**Definition 3.4.1.** Let  $(X, \mathcal{O}_X)$  be a scheme and  $\mathcal{F}$  an  $\mathcal{O}_X$ -module. We say  $\mathcal{F}$  is locally free of rank  $n$  if there exists an open cover  $\{U_i\}_i$  of  $X$  such that  $\mathcal{F}|_{U_i} \cong \mathcal{O}_{U_i}^{\oplus n}$  for all  $i$ .

*Remark 3.4.2.* Given a locally free sheaf  $\mathcal{F}$  and an open cover we obtain transition functions  $\psi_{ji} \in \mathbf{GL}_n(\mathcal{O}_{U_{ij}})$ . Conversely, given such data we obtain a sheaf isomorphic to the original one.

lem:pullback

**Lemma 3.4.3.** Let  $f : X \rightarrow Y$  be a morphism of schemes and  $\phi : \mathcal{O}_Y \rightarrow \mathcal{O}_Y$  the  $\mathcal{O}_Y$ -module homomorphism given by multiplication by  $\alpha \in \mathcal{O}_Y(Y)$ . Then  $f^*\phi : \mathcal{O}_X \rightarrow \mathcal{O}_X$  is given by multiplication by  $f^\#(Y)(\alpha)$ .

*Proof.* We check that they are equal on stalks.

$$\begin{array}{ccc} \mathcal{O}_{Y,f(p)} \otimes_{\mathcal{O}_{Y,f(p)}} \mathcal{O}_{X,p} & \longrightarrow & \mathcal{O}_{Y,f(p)} \otimes_{\mathcal{O}_{Y,f(p)}} \mathcal{O}_{X,p} \\ \uparrow & & \downarrow \\ \mathcal{O}_{X,p} & \xrightarrow{f^*\phi} & \mathcal{O}_{X,p} \end{array} \quad (3.9)$$

Following this diagram we get that

$$1 \mapsto 1 \otimes 1 \mapsto \alpha_p \otimes 1 = 1 \otimes f_p^\#(\alpha_p) \mapsto f_p^\#(\alpha_p). \quad (3.10)$$

Thus  $f^*\phi$  is given by multiplication by  $f^\#(Y)(\alpha)$ . ■

**Thm 3.4.4.** Let  $f : X \rightarrow Y$  be a morphism of schemes, and  $\mathcal{F}$  a locally free  $\mathcal{O}_Y$ -module. Then  $f^*\mathcal{F}$  is locally free of the same rank. Moreover, if  $\{\psi_{ji}\}$  denote the transition functions for an open cover  $\{U_i\}_i$  for  $Y$ , then  $f^\#(\psi_{ji})$  are transition functions for  $f^*\mathcal{F}$  on the open cover  $\{f^{-1}(U_i)\}_i$ .

*Proof.* There is an isomorphism  $\mathcal{F}|_{U_i} \rightarrow \mathcal{O}_{U_i}^{\oplus n}$ . Thus

$$(f^*\mathcal{F})|_{f^{-1}(U_i)} \cong (f|_{f^{-1}(U_i)})^*(\mathcal{F}|_{U_i}) \cong \mathcal{O}_{f^{-1}(U_i)}^{\oplus n} \quad (3.11)$$

and so  $f^*\mathcal{F}$  is also locally free of rank  $n$ . The result on the transition functions follows from lemma 3.4.3 ■

**Proposition 3.4.5.** Let  $\mathcal{F}$  be a locally free  $\mathcal{O}_X$ -module. Then  $- \otimes_{\mathcal{O}_X} \mathcal{F}$  is exact.

### 3.5 Line bundles

**Definition 3.5.1.** Let  $S$  be a scheme and  $\mathcal{L}, \mathcal{L}'$  be locally free sheaves on  $S$ . We say the morphisms  $\phi : \bigoplus \mathcal{O}_S \rightarrow \mathcal{L}$ ,  $\psi : \bigoplus \mathcal{O}_S \rightarrow \mathcal{L}'$  are isomorphic if there is an isomorphism  $i : \mathcal{L} \rightarrow \mathcal{L}'$  such that  $\psi = i \circ \phi$ .

**Definition 3.5.2.** Let  $r \geq 0$ . Define  $\mathbb{P}^r$  to be the functor from  $\text{Sch}^{op}$  to  $\text{Set}$  which associates with the scheme  $S$  the set of isomorphism classes of surjective morphisms  $\phi : \bigoplus_{k=0}^r \mathcal{O}_S \rightarrow \mathcal{L}$  where  $\mathcal{L}$  is a locally free sheaf of rank 1. Given  $f : T \rightarrow S$ ,  $\mathbb{P}^r(f)$  sends  $\phi$  to  $f^*\phi$ .

**Definition 3.5.3.**  $\mathbb{P}_{\mathbb{Z}}^r := \text{Proj}(\mathbb{Z}[x_0, \dots, x_r])$ .

**Lemma 3.5.4.** Let  $\mathcal{L}$  be a locally free sheaf of rank 1 on a scheme  $S$  and  $\sigma \in \mathcal{L}(S)$ . Then  $S_\sigma := \{s \in S : \sigma \notin \mathfrak{m}_s \mathcal{L}_s\}$  is an open subset of  $S$  and trivialises  $\mathcal{L}$ . Moreover, if  $\xi : T \rightarrow S$  is a morphism of schemes then  $T_{\xi^*\sigma} = \xi^{-1}(S_\sigma)$ .

*Proof.* Let  $\{U_i\}_i$  be a trivialising open cover for  $\mathcal{L}$ . Then  $\psi_i : \mathcal{L}|_{U_i} \xrightarrow{\sim} \mathcal{O}_S|_{U_i}$  and under this isomorphism  $\mathfrak{m}_s \mathcal{L}_s$  corresponds to  $\mathfrak{m}_s$ . Thus  $S_\sigma \cap U_i$  is open for all  $i$  and so  $S_\sigma$  is open. Now define the map  $\phi : \mathcal{O}_X \rightarrow \mathcal{L}$  by  $1 \mapsto \sigma$ . On  $S_\sigma \cap U_i$  the composition  $\mathcal{O}_X|_{S_\sigma \cap U_i} \xrightarrow{\phi} \mathcal{L}|_{S_\sigma \cap U_i} \xrightarrow{\psi_i} \mathcal{O}_X|_{S_\sigma \cap U_i}$  must be multiplication by some  $\alpha \in \Gamma(S_\sigma \cap U_i, \mathcal{O}_X)$ . But looking at stalks, this  $\alpha$  must be invertible and so the composition must be an isomorphism. But then  $\phi|_{S_\sigma \cap U_i}$  must be too and hence  $\phi|_{S_\sigma}$  is an isomorphism.

For the last part note that we have the following commutative diagram

$$\begin{array}{ccc} \mathcal{L}|_{U_i} & \xrightarrow{\quad\quad\quad} & \mathcal{O}_S|_{U_i} \\ \downarrow & & \downarrow \\ (\xi|_{\xi^{-1}(U_i)})_*((\xi^*\mathcal{L})|_{\xi^{-1}(U_i)}) & \longrightarrow & (\xi|_{\xi^{-1}(U_i)})_*(\mathcal{O}_T|_{\xi^{-1}(U_i)}). \end{array} \quad (3.12)$$

It follows that  $\xi^*(\sigma|_{U_i})$  maps to  $\xi^\#(\psi_i(\sigma|_{U_i}))$  under  $\xi^*\psi_i$ . Thus

$$T_{\xi^*\sigma} \cap \xi^{-1}(U_i) = \xi^{-1}(U_i)_{\xi^\#(\psi_i(\sigma|_{U_i}))} = \xi^{-1}\left((U_i)_{\psi_i(\sigma|_{U_i})}\right) = \xi^{-1}(U_i \cap S_\sigma) \quad (3.13)$$

and so the result follows.  $\blacksquare$

**Lemma 3.5.5.** Let  $S$  be a scheme,  $\mathcal{L}$  a locally free sheaf of rank 1 and

$$\phi : \bigoplus_{k=0}^r \mathcal{O}_S \rightarrow \mathcal{L} \quad (3.14)$$

a surjective morphism of  $\mathcal{O}_S$ -modules. Then there is a morphism  $\eta_\phi : S \rightarrow \mathbb{P}_{\mathbb{Z}}^r$ . Moreover, this construction only depends on the isomorphism class of  $\phi$  and is functorial in  $S$  i.e. given  $\gamma : T \rightarrow S$  we have  $\eta_{\gamma^*(\phi)} = \eta_\phi \circ \gamma$ .

*Remark 3.5.6.* Morally such a morphism gives  $r+1$  sections  $\sigma_0, \dots, \sigma_r$ . We then obtain the morphism  $S \rightarrow \mathbb{P}_{\mathbb{Z}}^r$  by  $s \mapsto (\sigma_0 : \dots : \sigma_r)$ .

*Proof.* Let  $e_i = \delta_{ij}$  and let  $\sigma_0, \dots, \sigma_r$  be the images of  $e_0, \dots, e_r$  respectively. Since  $\phi$  is surjective,  $S = \cup_i S_{\sigma_i}$ . Let  $\psi_i : \mathcal{O}_S|_{S_{\sigma_i}} \rightarrow \mathcal{L}|_{S_{\sigma_i}}$  denote the trivialising isomorphisms from the previous lemma and let  $\xi_i$  be the inverse of  $\psi_i$ .

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Restricting  $\phi$  to  $S_{\sigma_i}$  we obtain the composition

$$\bigoplus_{k=0}^r \mathcal{O}_{S_{\sigma_i}} \xrightarrow{\phi|_{S_{\sigma_i}}} \mathcal{L}|_{S_{\sigma_i}} \xrightarrow{\xi_i} \mathcal{O}_{S_{\sigma_i}}. \quad (3.15) \quad \boxed{\text{eq:aff}}$$

Let  $f_{ji}$  denote the image of  $e_j$  under this composition. Then  $f_{ji} \cdot \sigma_i|_{S_{\sigma_i}} = \sigma_j|_{S_{\sigma_i}}$ . It follows that

$$f_{ji}|_{S_{\sigma_i} \cap S_{\sigma_j}} \cdot f_{ij}|_{S_{\sigma_i} \cap S_{\sigma_j}} \cdot \sigma_j|_{S_{\sigma_i} \cap S_{\sigma_j}} = \sigma_j|_{S_{\sigma_i} \cap S_{\sigma_j}}. \quad (3.16)$$

Applying  $\xi_i|_{S_{\sigma_i} \cap S_{\sigma_j}}$  to both sides yields that

$$f_{ji}|_{S_{\sigma_i} \cap S_{\sigma_j}} = f_{ij}|_{S_{\sigma_i} \cap S_{\sigma_j}}^{-1}. \quad (3.17)$$

Similarly we get

$$f_{kj}|_{S_{\sigma_i} \cap S_{\sigma_j}} f_{ji}|_{S_{\sigma_i} \cap S_{\sigma_j}} = f_{ki}|_{S_{\sigma_i} \cap S_{\sigma_j}}, \quad f_{ii} = 1. \quad (3.18)$$

Now, equation 3.15 gives rise to a morphism  $(\eta_\phi)_i : S_{\sigma_i} \rightarrow U_i$  where  $U_i$  is the standard affine patch  $D_+(x_i) \subseteq \mathbb{P}_{\mathbb{Z}}^r$ . This morphism sends  $x_j/x_i \in \mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^r}(U_i)$  to  $f_{ji}$  (and is uniquely defined by this fact). It follows that

$$(\eta_\phi)_i^{-1}(U_i \cap U_j) = (S_{\sigma_i})_{f_{ji}} = S_{\sigma_i} \cap S_{\sigma_j}. \quad (3.19)$$

Thus the map  $(\eta_\phi)_i|_{S_{\sigma_i} \cap S_{\sigma_j}}$  factors

$$\begin{array}{ccc} S_{\sigma_i} \cap S_{\sigma_j} & \longrightarrow & \text{Spec}(\mathbb{Z}[x_0/x_i, \dots, x_n/x_i]) \\ & \searrow & \uparrow \\ & & \text{Spec}(\mathbb{Z}[x_0/x_i, \dots, x_n/x_i]_{x_j/x_i}) \end{array} \quad (3.20)$$

and similarly for  $(\eta_\phi)_j|_{S_{\sigma_i} \cap S_{\sigma_j}}$ . We thus have maps

$$\begin{array}{ccc} & \text{Spec}(\mathbb{Z}[x_0/x_i, \dots, x_0/x_i]_{x_j/x_i}) & \\ (\eta_\phi)_{ji} \nearrow & \uparrow \zeta & \\ S_{\sigma_i} \cap S_{\sigma_j} & & \\ (\eta_\phi)_{ij} \searrow & \downarrow & \\ & \text{Spec}(\mathbb{Z}[x_0/x_j, \dots, x_0/x_j]_{x_i/x_j}) & \end{array} \quad (3.21)$$

where the vertical map is induced from  $x_k/x_i \mapsto (x_k/x_j) \cdot (x_i/x_j)^{-1}$ . To see that this diagram commutes note that  $(\eta_\phi)_{ji}(x_k/x_i) = f_{ki}|_{S_{\sigma_i} \cap S_{\sigma_j}}$  while

$$\begin{aligned} (\eta_\phi)_{ij} \circ \zeta(x_k/x_i) &= (\eta_\phi)_{ij}((x_k/x_j) \cdot (x_i/x_j)^{-1}) \\ &= f_{kj}|_{S_{\sigma_i} \cap S_{\sigma_j}} \cdot f_{ij}|_{S_{\sigma_i} \cap S_{\sigma_j}}^{-1} \\ &= f_{kj}|_{S_{\sigma_i} \cap S_{\sigma_j}} \cdot f_{ji}|_{S_{\sigma_i} \cap S_{\sigma_j}} = f_{ki}|_{S_{\sigma_i} \cap S_{\sigma_j}}. \end{aligned} \quad (3.22)$$



It follows that  $(\eta_\phi)_i|_{S_{\sigma_i} \cap S_{\sigma_j}} = (\eta_\phi)_j|_{S_{\sigma_i} \cap S_{\sigma_j}}$  and hence we obtain a map  $\eta_\phi : S \rightarrow \mathbb{P}_{\mathbb{Z}}^r$ . This construction clearly only depends on the isomorphism class of  $\phi$  (the  $f_{ji}$ 's are independent of isomorphism class).

Finally we show functoriality. Let  $\gamma : T \rightarrow S$  be a morphism of schemes. Then  $e_1, \dots, e_r$  get sent to  $\gamma^*\sigma_1, \dots, \gamma^*\sigma_r$  under  $\gamma^*(\phi)$ . The corresponding open sets are  $T_{\gamma^*\sigma_i} = \gamma^{-1}(S_{\sigma_i})$  and the corresponding  $f_{ji}$ 's are  $\gamma^*f_{ji} = \gamma^\#f_{ji}$ . It follows that for each  $i$ ,  $(\eta_{\gamma^*(\phi)})_i = (\eta_\phi)_i \circ \gamma|_{T_{\gamma^*\sigma_i}}$ . Therefore we get that  $\eta_{\gamma^*(\phi)} = \eta_\phi \circ \gamma$ .  $\blacksquare$

**rem:trans\_fn**

*Remark 3.5.7.* The  $f_{ji}|_{S_{\sigma_i} \cap S_{\sigma_j}}$  are the transition functions with respect to the open cover  $S_{\sigma_i}$ .

**Definition 3.5.8.** Let  $\mathcal{O}(1)$  denote the sheaf on  $\mathbb{P}_{\mathbb{Z}}^r$  with transition functions  $\psi_{ji} = x_i/x_j$ . Write  $\chi_k$  for the section corresponding to  $x_k/x_i$  on  $U_i$  for  $i = 0, \dots, r$ . Then we obtain a surjective morphism  $\phi_{\mathbb{P}_{\mathbb{Z}}^r} : \bigoplus_{k=0}^r \mathcal{O}_{\mathbb{P}_{\mathbb{Z}}^r} \rightarrow \mathcal{O}(1)$ . Note that  $\eta_{\phi_{\mathbb{P}_{\mathbb{Z}}^r}} = \text{id}_{\mathbb{P}_{\mathbb{Z}}^r}$ .

**Thm 3.5.9.**  $\underline{\mathbb{P}}^r$  is a representable functor with representative  $\mathbb{P}_{\mathbb{Z}}^r$ .

*Proof.* From the lemma we have a natural transformation  $\underline{\mathbb{P}}^r \Rightarrow \text{Hom}(-, \mathbb{P}_{\mathbb{Z}}^r)$ . Now consider that map  $\text{Hom}(S, \mathbb{P}_{\mathbb{Z}}^r) \rightarrow \underline{\mathbb{P}}^r(S)$  given by  $\xi \rightarrow \xi^*(\phi_{\mathbb{P}_{\mathbb{Z}}^r})$ . Then

$$\eta_{\xi^*(\phi_{\mathbb{P}_{\mathbb{Z}}^r})} = \eta_{\phi_{\mathbb{P}_{\mathbb{Z}}^r}} \circ \xi = \xi \quad (3.23)$$

so the composition one way is the identity. Conversely, consider a  $\phi : \bigoplus_{k=0}^r \mathcal{O}_S \rightarrow \mathcal{L} \in \underline{\mathbb{P}}^r(S)$ . We wish to show that  $(\eta_\phi)^*\mathcal{O}(1) \cong \mathcal{L}$  and under this isomorphism,  $(\eta_\phi)^*(\phi_{\mathbb{P}_{\mathbb{Z}}^r}) = \phi$ . First note that  $(\eta_\phi)^{-1}(U_i) = S_{\sigma_i}$ . Moreover, the corresponding transition functions for  $(\eta_\phi)^*\mathcal{O}(1)$  are  $(\eta_\phi)^\#(x_i/x_j) = f_{ij}|_{S_{\sigma_i} \cap S_{\sigma_j}}$ . It follows from remark 3.5.7 that  $(\eta_\phi)^*\mathcal{O}(1) \cong \mathcal{L}$ . Finally  $(\eta_\phi)^*(\chi_k)$  maps to  $f_{ki}$  on the trivialisation on  $S_{\sigma_i}$ . The result follows.  $\blacksquare$

### 3.6 Ample line bundles

**lem:ext**

**Lemma 3.6.1.** Let  $X$  be a scheme,  $\mathcal{F}$  a quasi-coherent  $\mathcal{O}_X$ -module,  $\mathcal{L}$  a line bundle and  $f \in \Gamma(X, \mathcal{L})$ .

1. If  $X$  is quasi-compact,  $s \in \mathcal{F}(X)$  and  $s|_{X_f} = 0$  then there is an  $n \geq 1$  such that  $s \otimes f^{\otimes n} = 0$  in  $\mathcal{F} \otimes \mathcal{L}^{\otimes n}$ .
2. If  $X$  is qsc and  $s \in \mathcal{F}(X_f)$  then there is an  $n \geq 1$  such that  $s \otimes f^{\otimes n} = t|_{X_f}$  for some  $t \in (\mathcal{F} \otimes \mathcal{L}^{\otimes n})(X)$ .

*Proof.* (1) Let  $\{U_i\}$  be a finite trivialising cover for  $\mathcal{L}$  consisting of affines and let  $g_i \in \mathcal{O}_X(U_i)$  be the section corresponding to  $f|_{U_i}$  under  $\mathcal{L}|_{U_i} \cong \mathcal{O}_X|_{U_i}$ . Then  $U_i \cap X_f = (U_i)_{g_i}$  so there is an  $n \geq 1$  (independent of  $i$ ) such that  $g_i^n s|_{U_i} = 0$ . But under the isomorphism  $\mathcal{F}|_{U_i} \cong \mathcal{F}|_{U_i} \otimes \mathcal{O}_X|_{U_i}^{\otimes n} \cong \mathcal{F}|_{U_i} \otimes \mathcal{L}|_{U_i}^{\otimes n}$ ,  $g_i^n s|_{U_i}$  maps to  $s|_{U_i} \otimes f|_{U_i}^{\otimes n}$ . It follows that  $s \otimes f^{\otimes n} = 0$ . (2) Let  $\{U_i\}$  and

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$\{g_i\}$  be as before and let  $\{\psi_{ji}\}$  be the transition functions. There exists an  $n$  (independent of the  $i$ ) such that  $g_i^n s|_{(U_i)_{g_i}} = t_i|_{(U_i)_{g_i}}$  for some  $t_i \in \mathcal{F}(U_i)$ . Let  $\mathcal{G} = \mathcal{F} \otimes \mathcal{L}^{\otimes n}$  and  $t'_i$  be the element in  $\mathcal{G}(U_i)$  corresponding to  $t_i$ . Then  $t'_i|_{U_i \cap X_f} = s \otimes f^{\otimes n}|_{U_i \cap X_f}$ . Thus we have  $t'_i|_{U_i \cap U_j \cap X_f} = t'_j|_{U_i \cap U_j \cap X_f}$ . But  $U_i \cap U_j$  is quasi-compact since  $X$  is quasi-separated and so by (1) there is a  $m$  (independent of  $i, j$ ) such that  $t'_i \otimes f^{\otimes m}|_{U_i \cap U_j} = t'_j \otimes f^{\otimes m}|_{U_i \cap U_j}$ . It follows that there is a  $t \in \mathcal{G} \otimes \mathcal{L}^{\otimes m}(X)$  that restricts to the  $t'_i \otimes f^{\otimes m}$  and hence to  $s \otimes f^{\otimes(m+n)}$  on  $X_f$ . ■

**Definition 3.6.2.** A line bundle  $\mathcal{L}$  is ample if for any coherent sheaf  $\mathcal{F}$  on  $S$ , there is a  $n_0 \in \mathbb{N}$  such that  $\mathcal{F} \otimes \mathcal{L}^{\otimes n}$  is generated by global sections for all  $n \geq n_0$ .

**Thm 3.6.3.** Let  $S$  be a noetherian scheme. The line bundle  $\mathcal{L}$  is very ample iff there is  $n \in \mathbb{N}$  and  $\sigma_1, \dots, \sigma_k \in \Gamma(S, \mathcal{L}^{\otimes n})$  such that  $S_{\sigma_i}$  is affine for all  $i$  and  $S = \cup_i S_{\sigma_i}$ .

*Proof.* ( $\Leftarrow$ ) Let  $\mathcal{F}$  be a coherent sheaf on  $S$ . Then  $\mathcal{F}|_{S_{\sigma_i}}$  is globally generated, say by  $t_{ji} \in \mathcal{F}(S_{\sigma_i})$ , since  $S_{\sigma_i}$  is affine. By lemma 3.6.1, there is an  $n \geq 1$  independent of  $i$ , and  $\lambda_{ji} \in (\mathcal{F} \otimes \mathcal{L}^{\otimes n})(X)$  such that  $\lambda_{ji}|_{S_{\sigma_i}} = t_{ji} \otimes \sigma_i^{\otimes n}$ . It is clear that these  $\lambda_{ji}$  globally generate  $\mathcal{F} \otimes \mathcal{L}^{\otimes n}$ . It is straightforward to see that  $\mathcal{F} \otimes \mathcal{L}^{\otimes m}$  is also globally generated for  $m \geq n$ .

( $\Rightarrow$ ) Let  $\{U_i\}_i$  be an open cover of  $S$  consisting of affines which trivialise  $\mathcal{L}$ . Let  $Y_i = S \setminus U_i$  and  $\mathcal{J}_{Y_i}$  be the ideal sheaf associated to  $Y_i$ . Since  $\mathcal{L}$  is ample there is an  $n$  independent of  $i$ , such that  $\mathcal{J}_{Y_i} \otimes \mathcal{L}^{\otimes n}$  is globally generated for all  $i$ . Let  $\tilde{\sigma}_{ji} \in \mathcal{J}_{Y_i} \otimes \mathcal{L}^{\otimes n}$  be such that for all  $x \in U$  there is a  $j$  such that  $\tilde{\sigma}_{ji} \notin \mathfrak{m}_x$  (note that  $\mathcal{J}|_{U_i} \cong \mathcal{O}_S|_{U_i}$ ). We have the exact sequence  $0 \rightarrow \mathcal{J}_{U_i} \rightarrow \mathcal{O}_S$  and hence the exact sequence  $0 \rightarrow \mathcal{J}|_{U_i} \otimes \mathcal{L}^{\otimes n} \rightarrow \mathcal{L}^{\otimes n}$ . Let  $\sigma_{ji}$  be the image of  $\tilde{\sigma}_{ji}$  in  $\mathcal{L}^{\otimes n}$ . Then by construction we have  $S_{\sigma_{ji}} \subseteq U_i$  and in fact  $U_i = \cup_j S_{\sigma_{ji}}$ . Since  $U_i$  is affine and trivialises  $\mathcal{L}$  we also have that  $S_{\sigma_{ji}}$  is also affine. The result follows. ■

**Corollary 3.6.4.**  $\mathcal{O}(1)$  is ample.

**Lemma 3.6.5.** Let  $S$  be a Noetherian scheme,  $\mathcal{L}$  a line bundle on  $S$ ,  $\phi : \bigoplus_{k=0}^r \mathcal{O}_S \rightarrow \mathcal{L}$  a surjective  $\mathcal{O}_S$ -module homomorphism and  $\sigma_0, \dots, \sigma_r$  the distinguished images of  $\phi$  in  $\mathcal{L}$ . If  $S_{\sigma_i}$  is affine for all  $i$ , then the resulting morphism  $S \rightarrow \mathbb{P}_{\mathbb{Z}}^r$  is a closed immersion.

**Thm 3.6.6.** Let  $f : S \rightarrow \text{Spec}(R)$  be a morphism of finite type,  $R$  a Noetherian ring and  $\mathcal{L}$  an ample line bundle on  $S$ . Then there is an  $n \geq 1$  and  $\sigma_0, \dots, \sigma_r \in \Gamma(S, \mathcal{L}^{\otimes n})$  such that the corresponding morphism  $S \rightarrow \mathbb{P}_R^r$  is a closed immersion into an open subset of  $\mathbb{P}_R^r$ .

*Proof.* Since  $f$  is finite,  $S$  is Noetherian. Replacing  $\mathcal{L}^{\otimes n}$  with  $\mathcal{L}$ , we get that there are  $\sigma_1, \dots, \sigma_k \in \Gamma(S, \mathcal{L})$  such that  $S = \cup_i S_{\sigma_i}$  and the  $S_{\sigma_i}$  are affine. Each  $\Gamma(S_{\sigma_i}, \mathcal{O}_S)$  is a finitely generated  $R$ -algebra. Let  $\{\sigma_{ji}\}$  be the image of a generating set in  $\Gamma(S_{\sigma_i}, \mathcal{L})$ . There exists an  $n$  independent of  $i$

and  $t_{ji} \in \Gamma(S, \mathcal{L}^{\otimes n})$  such that  $\sigma_{ji} \otimes \sigma_i^{\otimes n} = t_{ji}|_{S_{\sigma_i}}$ . Let  $\Sigma$  be the set of  $t_{ji}$ 's and  $\sigma_i$ 's and  $\psi : \{0, \dots, r\} \rightarrow \Sigma$  an arbitrary enumeration of  $\Sigma$ . The resulting morphism  $S \rightarrow \mathbb{P}_R^r$  is obtained by gluing together the morphisms

$$S_{\sigma_i} \rightarrow \operatorname{Spec}(R[x_0/x_{\psi^{-1}(\sigma_i)}, \dots, x_r/x_{\psi^{-1}(\sigma_i)}]). \quad (3.24)$$

These maps are closed immersions (the corresponding maps on global sections are surjective) and so the result follows.  $\blacksquare$

### 3.7 Cohomological results

**Lemma 3.7.1.** *Let  $f : X \rightarrow Y$  be an affine morphism of schemes and suppose that  $X$  is noetherian. Then for all quasi-coherent  $\mathcal{O}_X$ -modules  $\mathcal{F}$ , we have  $R^k f_*(\mathcal{F}) = 0$  for all  $k > 0$ .*

**Lemma 3.7.2.** *Let  $X$  be a Noetherian scheme and  $i : X \rightarrow Y$  be a closed immersion. Then  $i$  is an affine morphism.*

*Proof.* WLOG  $Y$  is affine. Note that  $i_* : \operatorname{Ab}(X) \rightarrow \operatorname{Ab}(Y)$  is an exact functor. Let  $\mathcal{F}$  be a quasi-coherent  $\mathcal{O}_X$ -module and  $0 \rightarrow \mathcal{F} \rightarrow \mathcal{I}^\bullet$  a flasque quasi-coherent resolution. Then  $0 \rightarrow i_* \mathcal{F} \rightarrow i_* \mathcal{I}^\bullet$  is a flasque quasi-coherent resolution of  $i_* \mathcal{F}$ . Thus, as  $Y$  is affine,

$$0 = H^k(\Gamma(Y, i_* \mathcal{I}^\bullet)) = H^k(\Gamma(X, \mathcal{I}^\bullet)) = H^k(X, \mathcal{F}) \quad (3.25)$$

for  $k > 0$ . Thus  $X$  must be affine.  $\blacksquare$



## CHAPTER 4

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# Spectral sequences

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### 4.1 Grothendieck spectral sequence

**Thm 4.1.1.** (*Grothendieck spectral sequence*). Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  and  $G : \mathcal{B} \rightarrow \mathcal{C}$  be left exact functors and suppose that  $F$  sends injective objects to  $G$ -acyclic objects. Then for  $A$  an object in  $\mathcal{A}$  there is a spectral sequence  $\{E_r(A)\}$  such that

$$E_2^{p,q}(A) = R^p G(R^q F(A)) \Rightarrow R^{p+q}(GF)(A). \quad (4.1)$$

**Corollary 4.1.2.** (*Leray spectral sequence*). Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous maps. Then for a sheaf  $\mathcal{F}$ , there is a  $E_2$  cohomological spectral sequence

$$R^p g_*(R^q f_*(\mathcal{F})) \Rightarrow R^{p+q}(g \circ f)_*(\mathcal{F}) \quad (4.2)$$

which is functorial in  $\mathcal{F}$ .

*Proof.*  $f_*$  sends injective sheaves to flabby sheaves, which are  $g_*$ -acyclic. ■



## CHAPTER 5

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# Group cohomology

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## CHAPTER 6

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# Appendix - Categorical results

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### 6.1 Category theory results

prop:cat\_factor

**Proposition 6.1.1.** *Let  $F \dashv G$  and  $G$  be full. Let  $e$  be the unit of the adjunction. Then every morphism  $x \rightarrow Gy$  factors uniquely through  $e_x : x \rightarrow GFx$ .*

*Proof.* Let  $\alpha$  and  $\beta$  denote the forward and backward maps in

$$\mathrm{Hom}(Fx, y) \leftrightarrow \mathrm{Hom}(x, Gy) \quad (6.1)$$

respectively. Let  $f : x \rightarrow Gy$ . Then  $f = \alpha(\beta(f))$ . But  $\alpha(\beta(f)) = G\beta(f) \circ e_x$  so we get existence of a factorisation. For uniqueness, suppose  $f = h \circ e_x$ . Since  $G$  is full there is a  $l : Fx \rightarrow y$  such that  $h = Gl$ . So  $\alpha(l) = \alpha(\beta(f))$ . But  $\alpha$  is a bijection so  $l = \beta(f)$  and hence  $h = G\beta(f)$  which gives uniqueness. ■



## CHAPTER 7

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# Appendix - Sheaf theoretic results

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### 7.1 Properties of sheaves of rings

**Thm 7.1.1.** *Let  $\mathcal{F}$  be a sheaf of rings on  $X$  and  $s \in \mathcal{F}(X)$ . The following are equivalent:*

1.  *$s$  is invertible,*
2. *There exists an open cover  $\{U_i\}_i$  of  $X$  such that  $s|_{U_i}$  is invertible for all  $i$ ,*
3.  *$s_x$  is invertible for all  $x \in X$ .*

*Proof.* (1)  $\Rightarrow$  (2) Trivial. (2)  $\Rightarrow$  (1) Suppose  $s|_{U_i}$  is invertible for all  $i$ . Then there are  $t_i \in \mathcal{F}(U_i)$  such that  $t_i s|_{U_i} = 1$ . But then, since inverses are unique we must have  $t_i|_{U_i \cap U_j} = t_j|_{U_i \cap U_j}$  since they are both the inverse of  $s|_{U_i \cap U_j}$ . Thus there is a section  $t \in \mathcal{F}(U)$  that restricts to the  $t_i$ . Checking locally it follows that  $ts = 1$  and so  $s$  is invertible. (2)  $\Leftrightarrow$  (3) Trivial. ■

### 7.2 Locally ringed spaces

**Lemma 7.2.1.** *Let  $(f, f^\#), (g, g^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be morphisms of locally ringed spaces. Let  $\mathcal{U} = \{U_i\}$  be an open covering of  $X$ . If the morphisms agree on the restrictions to the  $U_i$  then they are equal.*

*Proof.* We certainly have  $f = g$ . The result then follows from sheaf condition (A). ■

**Proposition 7.2.2.** *Let  $X, Y$  and  $\{Z_i\}_i$  be locally ringed spaces together with open immersions  $f_i : Z_i \rightarrow X, g_i : Z_i \rightarrow Y$ . Let  $\alpha : X \rightarrow Y$  be a morphism such that  $\alpha \circ f_i = g_i$  for all  $i$  and  $\alpha : f_i(Z_i) \cap f_j(Z_j) \rightarrow g_i(Z_i) \cap g_j(Z_j)$  is an isomorphism for all  $i, j$ . Then  $\alpha$  is an isomorphism.*

*Proof.* We have that  $\alpha : f_i(Z_i) \rightarrow g_i(Z_i)$  is an isomorphism for all  $i$ . So we can define inverses  $\beta_i : g_i(Z_i) \rightarrow f_i(Z_i)$ . They agree on overlaps and so they glue to give a global inverse  $\beta$ . ■

**Proposition 7.2.3.** *Let  $f : X \rightarrow Y$  be a morphism of schemes and let  $\{U_i\}$  be an open cover of  $Y$  such that the restriction of  $f$  to a morphism  $f^{-1}(U_i) \rightarrow U_i$  is an isomorphism for all  $i$ . Then  $f$  is an isomorphism.*

### 7.3 Restriction

*Remark 7.3.1.* Recall from chapter 2 that given  $f : X \rightarrow Y$  we obtain functors  $f_*, \lim_f, f^{-1}$  between  $\mathbf{Sh}(X)$  and  $\mathbf{Sh}(Y)$ . These constructions were themselves functorial and give rise to contra/co-variant functors  $\mathbf{Top} \rightarrow \mathbf{Set}$ . The same also holds for  $f_*, f^*$  as functors between  $\mathbf{Mod}(X)$  and  $\mathbf{Mod}(Y)$ .

**Thm 7.3.2.** *Let  $f : X \rightarrow Y$  be a continuous map and  $U \subseteq X, V \subseteq Y$  be open subsets such that  $f(U) \subseteq V$ . Moreover, let  $f|_{U,V}$  denote the map  $U \rightarrow V$  arising from  $f|_U$ . Then for  $\mathcal{F} \in \mathbf{Sh}(X)$  and  $\mathcal{G} \in \mathbf{Sh}(Y)$  we have*

1.  $(f^{-1}\mathcal{G})|_U \cong f|_U^{-1}\mathcal{G} \cong f|_{U,V}^{-1}(\mathcal{G}|_V)$
2.  $(f_*\mathcal{F})|_V \cong (f|_{U,V})_*(\mathcal{F}|_U)$  when  $U = f^{-1}(V)$

where the isomorphisms are natural.

*Proof.* 1.  $f|_U = f \circ i_U$  and so we obtain the first isomorphism.  $f|_U = i_V \circ f|_{U,V}$  and so we obtain the second isomorphism.

2. Straightforward calculation. ■

### 7.4 Miscellaneous

**Proposition 7.4.1.** *Let  $X$  be a topological space and  $\mathcal{F}$  a sheaf on  $X$ . If  $U, V$  are disjoint open subsets of  $X$ , the  $\mathcal{F}(U \cup V) \cong \mathcal{F}(U) \times \mathcal{F}(V)$ .*

*Proof.* Obvious. ■

## CHAPTER 8

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# Appendix - Graded rings and Proj

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### 8.1 Graded rings

#### 8.1.1 General results

**Definition 8.1.1.** A  $(\mathbb{Z})$ -graded ring is a ring  $S$  together with a decomposition  $S = \bigoplus_{d \in \mathbb{Z}} S_d$  as abelian groups, such that  $S_d \cdot S_e \subseteq S_{d+e}$ . An ideal  $\mathfrak{a}$  of  $S$  is called homogeneous if  $\mathfrak{a} = \bigoplus_{d \in \mathbb{Z}} \mathfrak{a} \cap S_d$ . A map  $\phi : S \rightarrow T$  between graded rings is called a graded morphism if it is a ring homomorphism and  $\phi(S_d) \subseteq T_d$  for all  $d$ .

**Proposition 8.1.2.** *Let  $S$  be a graded ring and  $\mathfrak{a}$  an ideal of  $R$ . The following are equivalent:*

1.  $\mathfrak{a}$  is a homogeneous ideal
2.  $\mathfrak{a}$  is generated by homogeneous elements
3.  $a \in \mathfrak{a} \Rightarrow$  the homogeneous parts of  $a$  lie in  $\mathfrak{a}$ .

*Proof.* (1)  $\Rightarrow$  (2)  $\mathfrak{a}$  is generated by  $\bigcup_{d \in \mathbb{Z}} \mathfrak{a} \cap S_d$ . (2)  $\Rightarrow$  (3) Trivial. (3)  $\Rightarrow$  (1) We always have  $\mathfrak{a} \supseteq \bigoplus_{d \in \mathbb{Z}} \mathfrak{a} \cap S_d$ . Now suppose  $a \in \mathfrak{a}$ . Then clearly  $a$  lies in  $\bigoplus_{d \in \mathbb{Z}} \mathfrak{a} \cap S_d$ . ■

**Proposition 8.1.3.** *Let  $\phi : S \rightarrow T$  be a graded homomorphism and  $\mathfrak{a}$  a homogeneous ideal of  $T$ . Then  $\phi^{-1}(\mathfrak{a})$  is a homogeneous ideal of  $S$ .*

*Proof.* Suppose  $\phi(s) \in \mathfrak{a}$ . Then  $\sum_n \phi(s_n) \in \mathfrak{a}$ . But  $\mathfrak{a}$  is homogeneous and so  $\phi(s_n) \in \mathfrak{a}$  for all  $n$ . Thus  $s_n \in \phi^{-1}(\mathfrak{a})$  for all  $n$  and so  $\phi^{-1}(\mathfrak{a})$  is a homogeneous ideal. ■

**Proposition 8.1.4.** *The sum, product, intersection and radical of homogeneous ideals are homogeneous.*

*Proof.* The only nontrivial case is showing that if  $\mathfrak{a}$  is homogeneous then so is  $\text{rad}(\mathfrak{a})$ . Let  $r = \sum_d r_d \in \text{rad}(\mathfrak{a})$ . Then there exists an  $n \geq 1$  such that  $r^n \in \mathfrak{a}$ . Let  $d_0$  be minimal such that  $r_{d_0} \neq 0$ . Then  $r_{d_0}^n$  is the degree  $nd_0$  homogeneous

## 8. Appendix - Graded rings and Proj

part of  $r^d$ . Since  $\mathfrak{a}$  is homogeneous, we must have  $r_{d_0}^n \in \mathfrak{a}$  and so  $r_{d_0} \in \text{rad}(\mathfrak{a})$ . Now repeat with  $r - r_{d_0}$  to get that the homogeneous parts of  $r$  must lie in  $\text{rad}(\mathfrak{a})$ . ■

**Proposition 8.1.5.** *Let  $S$  be a graded ring and  $\mathfrak{a}$  a homogeneous ideal of  $S$ . Then  $\mathfrak{a}$  is prime iff  $fg \in \mathfrak{a} \Rightarrow f \in \mathfrak{a}$  or  $g \in \mathfrak{a}$  for all homogeneous  $f, g \in S$ .*

*Proof.*  $(\Rightarrow)$  Trivial.  $(\Leftarrow)$  Let  $f = \sum_d f_d, g = \sum_d g_d \in S$  and suppose  $fg \in \mathfrak{a}$ . Let  $d_0$  be the minimal  $d$  such that  $f_d \neq 0$ , and similarly define  $d'_0$  in terms of  $g$ . Then  $f_{d_0}g_{d'_0}$  is the  $d_0 + d'_0$  homogeneous part of  $fg$  and so must lie in  $\mathfrak{a}$ . Thus either  $f_{d_0} \in \mathfrak{a}$  or  $g_{d'_0} \in \mathfrak{a}$ . If both are in  $\mathfrak{a}$ , then repeat to  $(f - f_{d_0})(g - g_{d'_0}) \in \mathfrak{a}$ . Otherwise, one must lie in  $\mathfrak{a}$  and the other does not. If  $f_{d_0} \in \mathfrak{a}$  and  $g_{d'_0} \notin \mathfrak{a}$  then  $(f - f_{d_0})g \in \mathfrak{a}$ . Let  $d_1$  denote the degree of the homogeneous part of  $f - f_{d_0}$  of minimal degree. Then  $f_{d_1}g_{d'_0} \in \mathfrak{a}$ . But  $g_{d'_0} \notin \mathfrak{a}$  and so  $f_{d_1} \in \mathfrak{a}$ . In the other case argue similarly. Repeating in the manner we obtain that either  $f$  or  $g$  must lie in  $\mathfrak{a}$ . ■

**Proposition 8.1.6.** *Let  $S$  be a graded ring and  $\mathfrak{a}$  a homogeneous ideal of  $S$ . Then  $\mathfrak{a}$  is a radical ideal iff  $f^n \in \mathfrak{a} \Rightarrow f \in \mathfrak{a}$  for all homogeneous  $f \in S$ .*

*Proof.*  $(\Rightarrow)$  Trivial.  $(\Leftarrow)$  Let  $f \in S$  be such that  $f^n \in \mathfrak{a}$ . Let  $d_0$  be the minimal  $d$  such that  $f_d \neq 0$ . Then  $(f^n)_{dn} = f_{d_0}^n$ . Since  $\mathfrak{a}$  is homogeneous, this implies that  $f_{d_0}^n \in \mathfrak{a}$  and so  $f_{d_0} \in \mathfrak{a}$ . Repeat to  $f - f_{d_0}$ . ■

**Thm 8.1.7.** *(Graded localisation). Let  $S$  be a  $\mathbb{Z}$ -graded ring and  $T$  a multiplicative subset of  $S$  consisting of homogeneous elements. Then  $T^{-1}S$  is a  $\mathbb{Z}$ -graded ring and the canonical morphism  $\phi : S \rightarrow T^{-1}S$  is a graded morphism.*

*Proof.*  $T^{-1}S$  is a certainly a ring so we only need to define a grading on it. Let  $T_k$  denote the elements of  $T$  with grading  $k$  and define  $(T^{-1}S)_n$  to be the set of elements in  $T^{-1}S$  that can be written as  $s/t$  for some  $s \in S_i, t \in T_j$  with  $i - j = n$ . We claim that  $T^{-1}S = \bigoplus_{n \in \mathbb{Z}} (T^{-1}S)_n$ . It is clear that  $\sum_n (T^{-1}S)_n = T^{-1}S$ . Now suppose there are distinct  $n_1, \dots, n_k \in \mathbb{Z}$  and  $x_1 \in (T^{-1}S)_{n_1}, \dots, x_k \in (T^{-1}S)_{n_k}$  such that  $\sum_i x_i = 0$ . We can write each  $x_i$  as  $s_i/t_i$  where  $s_i \in S, t_i \in T$  are both homogeneous and  $\deg(s_i) - \deg(t_i) = n_i$ . WLOG all the  $t_i$  are equal to some  $t \in T$ . Then there is some  $u \in T$  such that  $u \sum_i s_i = 0$ . But each  $s_i$  has a different degree and so  $us_i = 0$  for all  $i$ . It follows that each  $x_i = 0$  too. Thus  $T^{-1}S = \bigoplus_{n \in \mathbb{Z}} (T^{-1}S)_n$ . Finally, the fact that  $(T^{-1}S)_n(T^{-1}S)_m \subseteq (T^{-1}S)_{n+m}$  is obvious, and so is the fact that  $\phi$  is a graded morphism. ■

**Proposition 8.1.8.** *Let  $\phi : S \rightarrow T^{-1}S$  be the canonical homomorphism.*

1. *Let  $I$  be a homogeneous ideal of  $S$ . Then  $T^{-1}I$  is a homogeneous ideal of  $T^{-1}S$ .*
2. *Let  $J$  be a homogeneous ideal of  $T^{-1}S$ . Then  $\phi^{-1}(J)$  is a homogeneous ideal of  $S$ .*

*Proof.* (1)  $T^{-1}I$  is generated by the homogeneous elements of  $I$ . (2)  $\phi$  is a graded morphism. ■

**Definition 8.1.9.** We say a  $\mathbb{Z}$ -graded ring  $S$  is  $\mathbb{Z}_{\geq 0}$ -graded if  $S_d = 0$  for all  $d < 0$ . For an element  $s \in S$  we write  $\deg(s)$  for the grading of the homogeneous part of largest grading amongst the non-zero homogeneous parts of  $s$ . We write  $S_+$  for the ideal  $\bigoplus_{d>0} S_d$ . We call  $S_+$  the irrelevant ideal.

*Remark 8.1.10.* From now on graded ring will refer to a  $\mathbb{Z}_{\geq 0}$ -graded ring.

**Definition 8.1.11.** Fix a ring  $A$  and let  $S$  be a graded ring. If  $S_0 = A$  we say that  $S$  is a *graded ring over  $A$* . If  $S_+$  is a finitely generated ideal of  $S$ , we say that  $S$  is a *finitely generated graded ring over  $A$* . If  $S$  is generated by  $S_1$  as an  $A$ -algebra, we say that  $S$  is *generated in degree 1*.

**Proposition 8.1.12.**  $S$  is a finitely generated graded ring over  $A$  iff  $S$  is a finitely generated graded  $A$ -algebra.

*Proof.* ( $\Rightarrow$ ) Suppose  $S_+ = (r_1, \dots, r_k)$ . WLOG the  $r_i$  are all homogeneous. We prove by induction on  $\deg(r)$  that  $r$  lies in  $A[r_1, \dots, r_n]$ . If  $\deg(r) = 0$  the result is clear. Now suppose  $r$  has  $\deg(r) > 0$ . Write  $r = r_a + r_+$  where  $r_a \in A$  and  $r_+ \in S_+$ . Since  $r_+ \in S_+$ , we can write  $r_+ = \sum_i s_i r_i$  for some  $s_i \in S$ . Since  $\deg(r_i) > 0$ ,  $\deg(s_i) < \deg(r_+)$  for all  $i$ . But then each  $s_i \in A[r_1, \dots, r_n]$  by the induction hypothesis. It is then clear that  $r \in A[r_1, \dots, r_n]$ .

( $\Leftarrow$ ) Suppose  $S = A[r_1, \dots, r_n]$ . WLOG  $r_i \in S_+$  for all  $i$ . Then  $(r_1, \dots, r_n) \subseteq S_+$ . But  $S = A \oplus (r_1, \dots, r_n)$ . Thus  $(r_1, \dots, r_n) = S_+$ . ■

**Corollary 8.1.13.**  $S$  is Noetherian iff  $A$  is Noetherian and  $S$  is a finitely generated graded ring.

*Proof.* ( $\Rightarrow$ ) If  $S$  is Noetherian, then  $S_+$  is finitely generated, so  $S$  is a finitely generated graded ring. To see that  $A$  must be Noetherian note that  $A \cong S/S_+$  is a quotient of a Noetherian ring and so must be Noetherian itself. ( $\Leftarrow$ ) If  $A$  is Noetherian and  $S$  is a finitely generated graded ring, then  $S$  is a finitely generated  $A$ -algebra and so must be Noetherian too (by Hilbert's basis theorem + quotients). ■

### 8.1.2 Graded localisation

**Definition 8.1.14.** Let  $S$  be a graded ring and  $\mathfrak{p}$  a homogeneous prime ideal of  $S$ . Write  $S_{(\mathfrak{p})}$  for the  $0^{th}$  graded component of  $T^{-1}S$  where  $T$  is the set of homogeneous elements in  $S$  not in  $\mathfrak{p}$ .

For  $f \in S_+$  homogeneous write  $S_{(f)}$  for the  $0^{th}$  graded component of  $S_f$ .

**Proposition 8.1.15.** Let  $S$  be a graded ring and write  $S^{(d)}$  for the subring  $\bigoplus_{k \geq 0} S_{kd}$ . If  $f \in S$  is a homogeneous element of degree  $d$  then  $S_{(f)} \cong S^{(d)}/(1-f)$ .

## 8. Appendix - Graded rings and Proj

**Definition 8.1.16.** Let  $\mathfrak{a} \triangleleft S_{(f)}$  be a radical ideal. Define  $\Psi(\mathfrak{a})_n = \{x \in S_n : x^d/f^n \in \mathfrak{a}\}$  and  $\Psi(\mathfrak{a}) = \bigoplus_{n \geq 0} \Psi(\mathfrak{a})_n$ .

**Lemma 8.1.17.**  $\Psi(\mathfrak{a})$  is a homogeneous radical ideal of  $S$ . If  $\mathfrak{a}$  is additionally prime, then so is  $\Psi(\mathfrak{a})$ .

*Proof.* We first check that  $\Psi(\mathfrak{a})$  is an ideal. Let  $x, y \in \Psi(\mathfrak{a})_n$ . Then  $x^d/f^n$  and  $y^d/f^n$  lie in  $\mathfrak{a}$ . Thus  $((x+y)^d/f^n)^2 \in \mathfrak{a}$  and so  $(x+y)^d/f^n \in \mathfrak{a}$ . Let  $x \in \Psi(\mathfrak{a})_n$  and  $s \in S_k$ . Then  $x^d/f^n \in \mathfrak{a}$  and so  $(sx)^d/f^{k+n} \in \mathfrak{a}$ . Thus  $\mathfrak{a}$  is an ideal. By definition it must be homogeneous. To check that  $\Psi(\mathfrak{a})$  is a radical ideal suppose  $x \in S_k$  and  $x^n \in \Psi(\mathfrak{a})_{kn}$  for some  $n$ . Then  $x^{dn}/f^{kn} = (x^d/f^k)^n \in \mathfrak{a}$ . But  $\mathfrak{a}$  is a radical ideal and so  $x^d/f^k \in \mathfrak{a}$  as required.

Finally, suppose  $\mathfrak{a}$  is prime. Let  $x \in S_m, y \in S_n$  be such that  $xy \in \Psi(\mathfrak{a})_{m+n}$ . Then  $(xy)^d/f^{m+n} = (x^d/f^m)(y^d/f^n) \in \mathfrak{a}$ . Thus either  $x \in \Psi(\mathfrak{a})_m$  or  $y \in \Psi(\mathfrak{a})_n$ . ■

thm:f\_loc

**Thm 8.1.18.** Let  $S$  be a graded ring and let  $f \in S_+$  be a homogeneous element of degree  $d$ . Then there are maps  $\Phi$  and  $\Psi$ ,

$$\{\mathfrak{b} \triangleleft S : \mathfrak{b} \text{ is homog. and radical}\} \xrightleftharpoons[\Phi]{\Psi} \{\mathfrak{a} \triangleleft S_{(f)} : \mathfrak{a} \text{ radical}\} \quad (8.1)$$

such that  $\Phi \circ \Psi = \text{id}$  and  $\mathfrak{b} \subseteq \Psi \circ \Phi(\mathfrak{b})$ . Moreover, these maps restrict to a bijection

$$\left\{ \mathfrak{q} \triangleleft S : \begin{array}{l} \mathfrak{q} \text{ is homog. and} \\ \text{prime and } \mathfrak{q} \not\ni f \end{array} \right\} \xrightleftharpoons[\Phi]{\Psi} \{\mathfrak{p} \triangleleft S_{(f)} : \mathfrak{p} \text{ prime}\}. \quad (8.2)$$

*Proof.* Define  $\Phi$  by  $\mathfrak{b} \mapsto \mathfrak{b}S_f \cap S_{(f)}$ . It is clear that  $\Phi(\mathfrak{b})$  is radical (resp. prime) if  $\mathfrak{b}$  is radical (resp. prime not containing  $f$ ). Moreover we have the explicit description

$$\Phi(\mathfrak{b}) = \{x \in S_f : x = b/f^k, b \in \mathfrak{b}_{kd}\} \quad (8.3)$$

(this holds for any ideal  $\mathfrak{b}$ ). Let  $\Psi$  be as defined earlier. By the lemma it sends radical (resp. prime) ideals to radical (resp. prime not containing  $f$ ) ideals.

It is straightforward to check that  $\Phi(\Psi(\mathfrak{a})) = \mathfrak{a}$  whenever  $\mathfrak{a}$  is a radical ideal. It is also easy to see that  $\mathfrak{b} \subseteq \Psi(\Phi(\mathfrak{b}))$  for any ideal  $\mathfrak{b}$ . It remains to check that  $\mathfrak{q} \supseteq \Psi(\Phi(\mathfrak{q}))$  when  $\mathfrak{q}$  is a homogeneous prime not containing  $f$ . But this is obvious. ■

**Corollary 8.1.19.** We have a bijection

$$\{\mathfrak{p} \triangleleft S_{(f)} : \mathfrak{p} \text{ prime}\} \leftrightarrow \{\mathfrak{q} \triangleleft S_f : \mathfrak{q} \text{ homog. and prime}\}. \quad (8.4)$$

**Proposition 8.1.20.** Let  $S$  be a graded ring,  $f \in S_+$  be a homogeneous element of degree  $d$  and  $\Phi$  be as in the theorem. Then for  $\mathfrak{q} \triangleleft S$  a homogeneous prime not containing  $f$  we have

$$S_{(\mathfrak{q})} \cong (S_{(f)})_{\Phi(\mathfrak{q})}. \quad (8.5)$$



*Proof.* Let  $T$  be the multiplicative set of homogeneous elements in  $S$  not in  $\mathfrak{q}$ . There is a canonical homomorphism  $S \rightarrow T^{-1}S$ . Since  $f \in T$ , this induces a homomorphism  $S_f \rightarrow T^{-1}S$  which preserves the grading. We thus obtain a map  $\phi : S_{(f)} \rightarrow S_{(\mathfrak{q})}$ . Moreover,

$$\begin{aligned} S_{(f)} \setminus \Phi(\mathfrak{q}) &= \{x \in S_f : \exists a \in S_{dk} \setminus q_{dk} \text{ s.t. } x = a/f^k\} \\ &= \{x \in S_{(f)} : x = a/f^k, a \in S_{dk} \Rightarrow a \notin \mathfrak{q}_{dk}\}. \end{aligned} \quad (8.6)$$

and so it follows that  $S_{(f)} \setminus \Phi(\mathfrak{q})$  maps into  $(S_{(\mathfrak{q})})^\times$  under  $\phi$ . We now wish to show that  $S_{(\mathfrak{q})}$  has the required universal property. Let  $\psi : S_{(f)} \rightarrow R$  be any ring homomorphism such that  $S_{(f)} \setminus \Phi(\mathfrak{q})$  gets sent into  $R^\times$  and let  $x \in S_{(\mathfrak{q})}$ . We can write  $x = a/t$  where  $a \in S_m, t \in T$  where  $m := \deg(t)$ . Since  $T \cap \mathfrak{q} = \emptyset$ ,  $t^d \notin \mathfrak{q}$  and so  $t^d/f^m \in S_{(f)} \setminus \Phi(\mathfrak{q})$ . We thus define  $\eta : S_{(\mathfrak{q})} \rightarrow R$  by

$$\eta(x) = \phi(at^{d-1}/f^m) \cdot \phi(t^d/f^m)^{-1}. \quad (8.7)$$

This is well defined: if  $x = a'/t'$  write  $m'$  for the degree of  $t'$ . There exists  $u \in T$  such that  $u(t'a - ta') = 0$ . Write  $k$  for the degree of  $u$ . Then

$$\frac{u^d t^{d-1} t'^d a}{f^{k+m+m'}} = \frac{u^d t'^{d-1} t^d a'}{f^{k+m+m'}} \quad (8.8)$$

and so since  $u^d/f^k \in S_{(f)} \setminus \Phi(\mathfrak{q})$ ,

$$\phi\left(\frac{t^{d-1}a}{f^m}\right) \phi\left(\frac{t^d}{f^m}\right)^{-1} = \phi\left(\frac{t'^{d-1}a'}{f^{m'}}\right) \phi\left(\frac{t'^d}{f^{m'}}\right)^{-1} \quad (8.9)$$

as required. It is then straightforward to check that  $\eta$  is a ring homomorphism and that  $\phi = \eta \circ \psi$ . Uniqueness follows from that fact that  $x = (at^{d-1}/f^m) \cdot (t^d/f^m)^{-1}$  in  $S_{(\mathfrak{q})}$ . ■

**Corollary 8.1.21.**  $S_{(\mathfrak{p})}$  is a local ring with maximal ideal  $\mathfrak{q} \cdot (T^{-1}S) \cap S_{(\mathfrak{q})}$ .

### 8.1.3 Miscellaneous

**Definition 8.1.22.** Let  $S$  be a graded ring and let  $I$  be an ideal of  $S$ . Write  $I^h$  for the ideal generated by the homogeneous elements of  $I$ .

**Proposition 8.1.23.** If  $\mathfrak{p}$  is a prime ideal of  $S$ . Then  $\mathfrak{p}^h$  is also prime.

*Proof.* Let  $a, b \in S$  be homogeneous. If  $ab \in \mathfrak{p}^h \subseteq \mathfrak{p}$  then either  $a \in \mathfrak{p}$  or  $b \in \mathfrak{p}$ . But since  $a, b$  are homogeneous either  $a \in \mathfrak{p}^h$  or  $b \in \mathfrak{p}^h$ . ■

**Corollary 8.1.24.** Let  $S$  be a graded ring. Then for a homogeneous ideal  $I$ , we have

$$\text{rad}(I) = \bigcap_{\substack{\mathfrak{p} \supseteq I, \\ \text{homog}}} \mathfrak{p}. \quad (8.10)$$

*Proof.* We certainly have  $(\subseteq)$ .  $(\supseteq)$  follows from the fact that if  $\mathfrak{a} \subseteq \mathfrak{p}$  then  $\mathfrak{a} \subseteq \mathfrak{p}^h \subseteq \mathfrak{p}$ . ■

## 8.2 The Proj construction

**Definition 8.2.1.** Let  $S$  be a graded ring. Define  $\text{Proj}(S)$  to be the set of all homogeneous prime ideals of  $S$  which do not contain  $S_+$ . If  $\mathfrak{a}$  is a homogeneous ideal of  $S$  we define  $V(\mathfrak{a}) = \{\mathfrak{p} \in \text{Proj}(S) : \mathfrak{p} \supseteq \mathfrak{a}\}$ . As before these sets define a topology on  $\text{Proj}(S)$ .

*Remark 8.2.2.* Note that we still have  $V(I) = V(\text{rad}(I))$ .

**Definition 8.2.3.** (Basic open sets). Let  $f \in S_+$  be a homogeneous element of  $S$ . Define  $D_+(f) = \text{Proj}(S) \setminus V((f))$ .

**Proposition 8.2.4.** The basic open sets form a base of the topology on  $\text{Proj}(S)$ .

*Proof.* Clearly  $D_+(f) \cap D_+(g) = D_+(fg)$ . Finally note that

$$V(I) = V(I \cap S_+) = \bigcap_{\substack{f \in I \cap S_+ \\ \text{homog}}} V((f)) \quad (8.11)$$

and so  $\text{Proj}(S) \setminus V(I) = \bigcup_{\substack{f \in I \cap S_+ \\ \text{homog}}} D_+(f)$ . ■

**Proposition 8.2.5.** Let  $S$  be a graded ring and  $I$  a homogeneous ideal of  $S$ . Then  $V(I) = \emptyset$  iff  $\text{rad}(I) \supseteq S_+$ .

*Proof.*  $(\Leftarrow)$  Follows from  $V(I) = V(\text{rad}(I))$ .  $(\Rightarrow)$   $V(I) = \emptyset$ . Thus  $I \subseteq \mathfrak{p}$  implies  $S_+ \subseteq \mathfrak{p}$ . But then  $S_+ \subseteq \text{rad}(I)$ . ■

**Lemma 8.2.6.** Let  $f \in S_+$  be a homogeneous element and let  $\Phi : D_+(f) \rightarrow \text{Spec}(S_{(f)})$  be the map from theorem 8.1.18. Then  $\Phi$  is a homeomorphism.

*Proof.* Let  $C$  be a closed subset of  $\text{Spec}(S_{(f)})$ . Then  $C = V(\mathfrak{a})$  for some radical ideal  $\mathfrak{a} \triangleleft S_{(f)}$ . It is easy to see from theorem 8.1.18 that  $\Phi^{-1}(C) = V(\Psi(\mathfrak{a})) \cap D_+(f)$ . Similarly, any closed subset of  $D_+(f)$  is of the form  $D_+(f) \cap V(\mathfrak{b})$  for some homogeneous radical ideal  $\mathfrak{b}$  and  $\Phi(D_+(f) \cap V(\mathfrak{b})) = V(\Phi(\mathfrak{b}))$ . ■

*Remark 8.2.7.* Let  $g = s/f^k \in S_{(f)}$ . Then  $\mathfrak{q} \in \Phi^{-1}(V(g))$  iff  $\Phi(\mathfrak{q}) \supseteq (g)$  iff  $s \in \mathfrak{q}$  iff  $\mathfrak{q} \in D_+(f) \cap V((s))$ . Thus  $\Phi^{-1}(D(g)) = D_+(fs)$ .

**Definition 8.2.8.** We can turn  $\text{Proj}(S)$  into a locally ringed space by defining the structure sheaf to be

$$\mathcal{O}_{\text{Proj}(S)}(U) = \left\{ s : U \rightarrow \prod_{\mathfrak{p} \in \text{Proj}(S)} S_{(\mathfrak{p})} : \begin{array}{l} \text{for each } \mathfrak{p} \in U \text{ there exists an} \\ \text{open neighbourhood } V \text{ of } \mathfrak{p} \text{ in } U, \\ \text{and homogeneous elements } a, f \in S \\ \text{of the same degree such that for all} \\ \mathfrak{q} \in V, f \notin \mathfrak{q} \text{ and } s(\mathfrak{q}) = a/f \text{ in } S_{(\mathfrak{q})}. \end{array} \right\}. \quad (8.12)$$

**Thm 8.2.9.** Let  $S$  be a graded ring.

1. For any  $\mathfrak{p} \in \text{Proj}(S)$ ,  $\mathcal{O}_{\mathfrak{p}} \cong S_{(\mathfrak{p})}$ .
2. For any homogeneous  $f \in S_+$ ,  $(D_+(f), \mathcal{O}|_{D_+(f)}) \cong \text{Spec}(S_{(f)})$ .
3.  $\text{Proj}(S)$  is a scheme.

*Proof.* (1) Trivial. Note that this means that  $\text{Proj}(S)$  is a locally ringed space. (2) We have from the previous lemma that the underlying topological spaces are homeomorphic. We have also established that  $S_{(\mathfrak{q})} \cong (S_{(f)})_{\Phi(\mathfrak{q})}$ . Using this isomorphism we can construct a map  $D_+(f) \rightarrow \text{Spec}(S_{(f)})$  which is an isomorphism on stalks. It follows that they must be isomorphisms as locally ringed spaces. (3) then follows. ■



## CHAPTER 9

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# Appendix - Scheme theoretic results

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### 9.1 Basic open sets

**Proposition 9.1.1.** *Let  $X$  be a scheme and  $f \in \Gamma(X, \mathcal{O}_X)$ . Then for affine  $U \subseteq X$ ,  $X_f \cap U = U_{f|_U}$  is a basic open set.*

*Proof.* It suffice to prove that for  $X = \text{Spec}(R)$  and  $r \in R$ ,  $X_r = D_r(R)$ . But

$$X_r = \{\mathfrak{p} \triangleleft R : r/1 \notin \mathfrak{p}\} = \{\mathfrak{p} \triangleleft R : r \notin \mathfrak{p}\} = D_r(R). \quad (9.1)$$

■

**Proposition 9.1.2.** *Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes and  $r \in \Gamma(Y, \mathcal{O}_Y)$ . Then  $f^{-1}(Y_r) = X_{f^\#(Y)(r)}$ .*

*Proof.* Recall that  $f_x^\# : \mathcal{O}_{Y, f(x)} \rightarrow \mathcal{O}_{X, x}$  is a morphism of local rings. Thus  $r_{f(x)} \in \mathfrak{m}_{f(x)}$  iff  $f_x^\#(r_{f(x)}) \in \mathfrak{m}_x$ . But  $f_x^\#(r_{f(x)}) = f^\#(Y)(r)_x$  and so

$$\begin{aligned} f^{-1}(Y_r) &= \{x \in X : r_{f(x)} \notin \mathfrak{m}_{f(x)}\} \\ &= \{x \in X : f^\#(Y)(r)_x \notin \mathfrak{m}_x\} = X_{f^\#(Y)(r)}. \end{aligned} \quad (9.2)$$

■

**Proposition 9.1.3.** *Let  $X$  be a scheme and  $U, V$  be open affine subsets. Then there exists a cover of  $U \cap V$  consisting of sets which are basic with respect to both  $U$  and  $V$ .*

*Proof.* Let  $x \in U \cap V$ . Then there is a  $f \in \mathcal{O}_X(U)$  such that  $x \in U_f \subseteq U \cap V$ . Let  $g \in \mathcal{O}_X(V)$  be such that  $x \in V_g \subseteq U_f$ . Then  $(U_f)_{g|_{U_f}} = V_g$ , both of which are basic with respect to  $U$  and  $V$  respectively. ■

**Lemma 9.1.4.** *(The Affine Communication Lemma). Let  $P$  be some property enjoyed by some affine open subsets of a scheme  $X$  such that*

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1. if an affine open subset  $\text{Spec}(A) \hookrightarrow X$  has property  $P$ , then for any  $f \in A$ ,  $\text{Spec}(A_f) \hookrightarrow X$  does too
2. if  $(f_1, \dots, f_n) = A$  and  $\text{Spec}(A_{f_i}) \hookrightarrow X$  has  $P$  for all  $i$ , then so does  $\text{Spec}(A) \hookrightarrow X$ .

Suppose that  $X = \cup_{i \in I} \text{Spec}(A_i)$  where  $\text{Spec}(A_i)$  has property  $P$ . Then every affine open subset of  $X$  has  $P$  too.

**Definition 9.1.5.** We call such a property an affine-local property.

### 9.2 Quasi-separated schemes

**Definition 9.2.1.** We say a topological space  $X$  is quasi-separated if the intersection of any two quasi-compact open subsets is quasi-compact.

**Thm 9.2.2.** Let  $X$  be a scheme. The following are equivalent:

1.  $X$  is quasi-separated
2. The intersection of any two affine open subsets is a finite union of affine open subsets
3. There exists an open cover  $\{V_i\}_i$  such that  $V_i \cap V_j$  is a finite union of affine open subsets for any  $i, j$ .

*Proof.* (1)  $\Rightarrow$  (2) Obvious. (2)  $\Rightarrow$  (3) Obvious. (3)  $\Rightarrow$  (1) Let  $U, V$  be quasi-compact open subsets of  $X$ . The inclusion maps  $V_i \hookrightarrow X$  are all quasi-compact and so  $V_i \cap U$  is quasi-compact for all  $i$ . Thus  $U \hookrightarrow X$  is quasi-compact and so  $U \cap V$  is quasi-compact. ■

**Corollary 9.2.3.** Affine schemes are quasi-separated.

**Corollary 9.2.4.** A scheme  $X$  is quasi-compact and quasi-separated iff  $X$  can be covered by a finite number of affine open subsets, any two of which have intersection also covered by a finite number of affine open subsets.

`prop:aff_cover_aff`

**Proposition 9.2.5.** Let  $X$  be a quasi-compact and quasi-separated scheme and  $f_1, \dots, f_k \in \Gamma(X, \mathcal{O}_X)$  be such that  $(f_1, \dots, f_k) = \Gamma(X, \mathcal{O}_X)$ . If  $X_{f_i}$  is affine for all  $i$ , then  $X$  is affine.

*Proof.* Identical to the noetherian case. ■

### 9.3 Spec adjunction

**Thm 9.3.1.** *Let  $(X, \mathcal{O}_X)$  be a scheme and  $A$  a ring. Then there is a natural bijection*

$$\mathrm{Hom}_{\mathrm{Sch}}(X, \mathrm{Spec}(A)) \leftrightarrow \mathrm{Hom}_{\mathrm{Ring}}(A, \Gamma(X, \mathcal{O}_X)). \quad (9.3)$$

*In other words  $\Gamma \dashv \mathrm{Spec}$  as functors between  $\mathrm{Sch}$  and  $\mathrm{Ring}^{op}$ .*

*Proof.* Given a morphism  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (\mathrm{Spec}(A), \mathcal{O}_{\mathrm{Spec}(A)})$  we obtain map  $A \rightarrow \Gamma(X, \mathcal{O}_X)$  from  $f^\#(\mathrm{Spec}(A))$ .

Conversely, suppose we have  $\phi : A \rightarrow \Gamma(X, \mathcal{O}_X)$ . For an affine  $U \subseteq X$ , we have the map  $A \rightarrow \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(U, \mathcal{O}_X)$ , and we thus obtain a map  $U \rightarrow \mathrm{Spec}(A)$ . Let  $U, V \subseteq X$  be affine and  $W \subseteq U \cap V$  also be affine. The following diagram commutes

$$\begin{array}{ccccc} & & \Gamma(U, \mathcal{O}_X) & & \\ & \nearrow & & \searrow & \\ A \rightarrow \Gamma(X, \mathcal{O}_X) & \xrightarrow{\quad} & \Gamma(W, \mathcal{O}_X) & & \\ & \searrow & & \nearrow & \\ & & \Gamma(V, \mathcal{O}_X) & & \end{array} \quad (9.4)$$

and so

$$\begin{array}{ccc} & U & \\ \swarrow & & \swarrow \\ \mathrm{Spec}(A) & \longleftarrow & W \\ \searrow & & \searrow \\ & V & \end{array} \quad (9.5)$$

also commutes. So the morphisms agree on overlaps and so can be glued to get a morphism  $X \rightarrow \mathrm{Spec}(A)$ .

It is straightforward to check that this defines a bijection. ■

**Corollary 9.3.2.** *Let  $(X, \mathcal{O}_X)$  be a scheme. There is a canonical morphism  $X \rightarrow \mathrm{Spec}(\Gamma(X, \mathcal{O}_X))$  such that every morphism from  $X$  to an affine scheme factors through this map uniquely.*

*Proof.* This follows from proposition 6.1.1. ■

### 9.4 Sheaf of ideals

**Definition 9.4.1.** Let  $\mathcal{F}$  be a sheaf on  $X$ . Then  $\mathrm{supp}(\mathcal{F}) = \{x \in X : \mathcal{F}_x \neq 0\}$ .

prop:supp

**Proposition 9.4.2.** *If  $\mathcal{F}$  is a finitely generated  $\mathcal{O}_X$ -module then  $\mathrm{supp}(\mathcal{F})$  is a closed subset of  $X$ .*

**Definition 9.4.3.** A subsheaf of  $\mathcal{O}_X$  is called a *sheaf of ideals* on  $X$ .

**Definition 9.4.4.** Let  $\mathcal{I}$  be a sheaf of ideals on  $X$ . Let  $Z = \text{supp}(\mathcal{O}_X/\mathcal{I})$ . By proposition 9.4.2,  $Z$  is a closed subset of  $X$ . Let  $i : Z \rightarrow X$  be the inclusion map. Then we define the structure sheaf on  $Z$  to be  $\mathcal{O}_Z = i^{-1}(\mathcal{O}_X/\mathcal{I})$ . This turns  $Z$  into a locally ringed space.

**Proposition 9.4.5.**  $i_*\mathcal{O}_Z \cong \mathcal{O}_X/\mathcal{I}$ .

*Proof.* There is a natural map  $\mathcal{O}_X/\mathcal{I} \rightarrow i_*\mathcal{O}_Z = i_*i^{-1}(\mathcal{O}_X/\mathcal{I})$  arising from the inverse image-direct image adjunction. Looking at stalks shows that this is an isomorphism. ■

*Remark 9.4.6.* In particular there is a natural map  $i^\# : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$  given by the composition  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{I} \rightarrow i_*\mathcal{O}_Z$  inducing a morphism  $(i, i^\#)$  of locally ringed spaces.

**Corollary 9.4.7.** The map  $(i, i^\#) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  is a closed immersion and  $\mathcal{I} = \ker(i^\#)$ .

**Lemma 9.4.8.** Let  $A$  be a ring and  $I \triangleleft A$  be an ideal. Then the sheaf  $(A/I)^\sim$  on  $\text{Spec}(A)$  has support  $V(I)$ .

*Proof.* Consider the following exact sequence of  $A$ -modules

$$0 \rightarrow I \rightarrow A \rightarrow A/I \rightarrow 0. \quad (9.6)$$

If  $I \not\subseteq \mathfrak{p}$  then  $IA_{\mathfrak{p}} = A_{\mathfrak{p}}$  and so  $(A/I)_{\mathfrak{p}} = 0$ . If  $I \subseteq \mathfrak{p}$  then  $(A/I)_{\mathfrak{p}} \cong (A/I)_{\mathfrak{q}}$  where  $\mathfrak{q} = \mathfrak{p}/I$  and so is in particular not 0. ■

thm:sheaf\_of\_ideals

**Thm 9.4.9.** If  $\mathcal{I}$  is quasi-coherent then  $(Z, \mathcal{O}_Z)$  is a scheme and for any affine piece  $(U, \mathcal{O}_X|_U) \cong (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  of  $X$ ,  $(Z \cap U, \mathcal{O}_Z|_{Z \cap U})$  is isomorphic to  $(\text{Spec}(A/I), \mathcal{O}_{\text{Spec}(A/I)})$  where  $I$  is the ideal of  $A$  corresponding to  $J(U)$ .

*Proof.* It suffices to show the second part of the theorem. Let  $(U, \mathcal{O}_X|_U) \cong (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  be an affine piece of  $X$ . Restricting the short exact sequence  $0 \rightarrow \mathcal{I} \rightarrow \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z \rightarrow 0$  to  $U$  we get

$$0 \rightarrow \mathcal{I}|_U \rightarrow \mathcal{O}_X|_U \rightarrow (i_{U \cap Z})_*(\mathcal{O}_Z|_{U \cap Z}) \rightarrow 0. \quad (9.7)$$

It follows that

$$(i_{U \cap Z})_*(\mathcal{O}_Z|_{U \cap Z}) \cong (A/I)^\sim \cong \text{Spec}(\phi)_*\mathcal{O}_{\text{Spec}(A/I)} \quad (9.8)$$

eq:sh\_isoms

where  $\phi : A \rightarrow A/I$  is the quotient map. By the lemma  $U \cap Z = V(I)$  and so there is a homeomorphism  $\psi : \text{Spec}(A/I) \rightarrow U \cap Z$ . Since both  $i_{U \cap Z}$  and  $\text{Spec}(\phi)$  are homeomorphisms onto their images, the isomorphisms in equation 9.8 induce isomorphisms of sheaves. Taking stalks moreover shows that we get an isomorphism of locally ringed spaces as required. ■



## 9.5 Reduced schemes

**Definition 9.5.1.** A scheme  $(X, \mathcal{O}_X)$  is reduced if  $\mathcal{O}_X(U)$  is reduced for all  $U \subseteq X$  open.

**Lemma 9.5.2.**  $(X, \mathcal{O}_X)$  is reduced iff  $\mathcal{O}_{X,p}$  is reduced for all  $p \in X$ .

**Lemma 9.5.3.** Let  $\mathcal{J}$  be the ideal sheaf of  $\mathcal{O}_X$  given by  $U \mapsto N(\mathcal{O}_X)$ . Then  $\mathcal{J}$  is quasi-coherent.

*Proof.* It suffices to show that  $\mathcal{J} \cong N(\mathcal{O}_X(X))^\sim$  when  $X$  is affine. But we have an isomorphism on the basis and hence between sheaves. ■

**Definition 9.5.4.** Let  $(X, \mathcal{O}_X)$  be a scheme. We define  $(X_{red}, (\mathcal{O}_X)_{red})$  to be the scheme associated with the sheaf of ideals  $\mathcal{J}$  given by  $\mathcal{J}(U) = N(\mathcal{O}_X(U))$ . Let  $(z, z^\#) : (X_{red}, (\mathcal{O}_X)_{red}) \rightarrow (X, \mathcal{O}_X)$  be the associated closed embedding.

*Remark 9.5.5.*  $X_{red}$  is reduced since it is reduced on affine pieces.

**Proposition 9.5.6.**  $z$  is a homeomorphism.

*Proof.* It suffices to check that  $\text{supp}(\mathcal{O}_X/\mathcal{J}) = X$  for affine  $X$ . Let  $\phi : R \rightarrow R/N(R)$  be the quotient map. Then  $\text{Spec}(\phi)$  is a homeomorphism. It follows that  $\text{supp}(\mathcal{O}_X/\mathcal{J}) = X$  and so  $z$  is the identity map. ■

**Thm 9.5.7.** Let  $f : X \rightarrow Y$  be a morphism of schemes and suppose  $X$  is reduced. Then  $f$  factors through  $Y_{red}$ .

*Proof.* Universal property of cokernels. ■

**Definition 9.5.8.** For an affine scheme  $X$ , let  $I(Z)$  be the radical ideal corresponding to a closed set  $Z \subset X$ . For a general scheme  $X$  and a closed subset  $Z \subseteq X$ , let  $\mathcal{J}_Z$  be the sheaf

$$\mathcal{J}_Z(U) = \{f \in \mathcal{O}_X(U) : f_x \in m_x, \forall x \in U \cap Z\}. \quad (9.9)$$

**Lemma 9.5.9.** Let  $X$  be an affine scheme and  $Z \subseteq X$  a closed subset. Then  $\mathcal{J}_Z \cong \widetilde{I(Z)}$ .

*Proof.* This holds on global sections and  $\text{rad}$  commutes with localisation. ■

**Thm 9.5.10.** Let  $X$  be a scheme and  $Z \subseteq X$  a closed subset. Then there is a unique quasi-coherent ideal  $\mathcal{J}$  such that the associated closed immersion  $Z' \rightarrow X$  has image  $Z$  and  $Z'$  reduced.

*Proof.*  $\mathcal{J} = \mathcal{J}_Z$  is quasi-coherent and the associated embedding has image  $Z$ . It is clear that  $Z'$  is reduced (check on affine pieces). It thus remains to check the uniqueness of  $\mathcal{J}$ . For this it suffices to consider the affine case. Let  $X = \text{Spec}(A)$  and  $\mathcal{J} = \widetilde{I}$ . Then  $Z' = \text{Spec}(A/I)$  and  $V(I) = Z$ . But  $Z'$  is reduced iff  $I = I(Z)$ . Thus  $\mathcal{J} = \mathcal{J}_Z$ . ■

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*Remark 9.5.11.* If we take  $Z = X$  then  $Z' = X_{red}$ .

*Remark 9.5.12.* If  $X$  is a Noetherian scheme then for any affine  $U = \text{Spec}(R)$ , we have that  $\mathcal{I}_Z(U)$  is an ideal of  $R$  and so is finitely generated. It follows that all ideal sheaves on Noetherian schemes are coherent.

### 9.6 Presheaves on the category of schemes

**Definition 9.6.1.** Let  $F : \text{Sch}^{op} \rightarrow \text{Set}$  be a functor. We call  $F$  locally sheafy if for any scheme  $X$ ,  $F|_{\text{Top}(X)}$  is a sheaf of sets.

**Thm 9.6.2.** Let  $F, G : \text{Sch}^{op} \rightarrow \text{Set}$  be locally sheafy functors and suppose there is a natural transformation  $\eta : F|_{\text{Aff}^{op}} \Rightarrow G|_{\text{Aff}^{op}}$ . Then there is a unique natural transformation  $\zeta : F \Rightarrow G$  such that  $\zeta|_{\text{Aff}} = \eta$ .

*Proof.* Let  $X$  be a scheme and  $s \in F(X)$ . We wish to define  $\zeta_X(s) \in G(X)$ . For each affine piece  $U$  of  $X$ , define  $t_U = \eta_U(s|_U) \in G(U)$ . Given any two affine pieces  $U$  and  $V$  we have  $t_U|_{U \cap V} = \eta_{U \cap V}(s|_{U \cap V}) = t_V|_{U \cap V}$ . Since the union of all affine pieces of  $X$  is  $X$  we obtain an element  $t \in G(X)$  such that  $t|_U = t_U$  for all affine  $U \subseteq X$ . Define  $\zeta_X(s) = t$ . Note that if  $X$  was already affine then  $\zeta_X = \eta_X$ . We claim that  $\zeta$  is a natural transformation.

Let  $X, Y$  be schemes and  $f : X \rightarrow Y$  a morphism (in  $\text{Sch}$ ). Let  $U \subseteq Y$  and  $V \subseteq f^{-1}(U) \subseteq X$  be affine pieces and  $f|_{V,U} : V \rightarrow U$  denote the map such that  $f \circ i_V = i_U \circ f|_{V,U}$ . Then we know that

$$\begin{array}{ccccc}
 F(U) & \xrightarrow{\eta_U} & G(U) & & \\
 \downarrow Ff_{V,U} & \swarrow & \uparrow & \searrow & \downarrow Ff_{V,U} \\
 & F(Y) \xrightarrow{\zeta_Y} G(Y) & & & \\
 & \downarrow Ff & \downarrow Gf & & \\
 & F(X) \xrightarrow{\zeta_X} G(X) & & & \\
 \downarrow & \swarrow & \searrow & & \downarrow \\
 F(V) & \xrightarrow{\eta_V} & G(V) & & 
 \end{array} \tag{9.10}$$

commutes except for the middle square. Thus  $G(i_V) \circ (Gf \circ \zeta_Y) = G(i_V) \circ (\zeta_X \circ Ff)$ . But we can vary the  $U$  and  $V$  so that the  $V$  cover  $X$ . It follows that  $Gf \circ \zeta_Y = \zeta_X \circ Gf$ . Thus  $\zeta$  is a natural transformation.

To see that  $\zeta$  is unique, suppose  $\xi : F \Rightarrow G$  is another natural transformation extending  $\eta$ . Then let  $s \in F(X)$  and  $U \subseteq X$  be an affine piece. We must have  $G(i_U) \circ \zeta_X(s) = \eta_U \circ F(i_U) = G(i_U) \circ \xi_X(s)$ . But we can vary  $U$  to cover  $X$  and so we must have  $\zeta_X(s) = \xi_X(s)$  for all  $s \in F(X)$  and hence  $\zeta_X = \xi_X$  for all  $X$  and hence  $\zeta = \xi$ . ■

**Corollary 9.6.3.** Let  $F, G : \text{Sch}^{op} \rightarrow \text{Set}$  be locally sheafy functors such that  $F|_{\text{Aff}^{op}} \cong G|_{\text{Aff}^{op}}$ . Then  $F \cong G$ .

**Conjecture 9.6.4.** *There is an equivalence of categories between locally sheafy presheafs on  $\text{Sch}$  and locally sheafy presheafs on  $\text{Aff}$ .*

*Proof.* Given  $F : \text{Aff}^{op} \rightarrow \text{Set}$  define  $\tilde{F} : \text{Sch}^{op} \rightarrow \text{Set}$  by  $X \mapsto \varprojlim_{U \subseteq X} F(U)$  where  $U$  ranges over affine subsets of  $X$  and send morphisms to the obvious things. ■



## CHAPTER 10

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# Appendix - Vector Bundles

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**Proposition 10.0.5.** *Let  $\pi : E \rightarrow X$  be a vector bundle of rank  $n$  with trivialisation  $\{U_i\}_i$  and transition functions  $\{\psi_{ji}\}_{ji}$ .*

1. *If  $C \subseteq X$  is a closed subset of  $X$  then  $\pi : \pi^{-1}(C) \rightarrow C$  is a vector bundle of rank  $n$  with trivialisation  $\{U_i \cap C\}_i$  and transition functions  $\{\psi_{ji}|_{U_{ij} \cap C}\}$ .*
2. *If  $Z$  is a topological space then  $\pi' = \text{id} \times \pi : Z \times E \rightarrow Z \times X$  is a vector bundle with trivialisation  $\{Z \times U_i\}_i$  and transition functions  $\{\psi'_{ji}(z, u) = \psi_{ji}(u)\}$ .*

**Corollary 10.0.6.** *Let  $\pi : E \rightarrow Y$  be a vector bundle of rank  $n$  with trivialisation  $\{U_i\}$  and transition functions  $\{\psi_{ji}(u)\}_{ji}$ . If  $f : X \rightarrow Y$  is a continuous map then  $\pi' : f^*E \rightarrow X$  is a vector bundle of rank  $n$  with trivialisation  $\{f^{-1}(U_i)\}_i$  and transition functions  $\{\psi_{ji}(f(v))\}_{ji}$ .*

*Proof.*  $f^*E$  is the vector bundle arising from the closed subset of  $X \times E \rightarrow X \times Y$  given by  $G = \{(x, f(x)) : x \in X\}$ . But there is a homeomorphism  $X \leftrightarrow G$  which descends to  $f^{-1}(U_i) \leftrightarrow (X \times U_i) \cap G$ . This gives the required trivialisations. It also follows that the transition functions are of the required form. ■