

# Homological algebra and schemes

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# CHAPTER 1

## Abelian Categories

### 1.1 Additive categories

Let  $\mathcal{A}$  be a category such that the hom-sets carry the structure of an abelian group and composition is bilinear. We call such a category **Ab**-enriched. An additive category is an **Ab**-enriched category which has finite coproducts and a zero object.

**thm:atos**

**Thm 1.1.1.** *Let  $\mathcal{A}$  be an additive category. Then finite coproducts in  $\mathcal{A}$  are in fact finite biproducts.*

*Proof.* It is easy to see that initial objects are isomorphic to terminal objects (and they both exist) and so it suffices to show the result for binary coproducts. Let  $A, B \in \mathcal{A}$ . Define  $p_A : A \amalg B \rightarrow A$  and  $p_B : A \amalg B \rightarrow B$  as the maps making the following diagrams commute.

$$\begin{array}{ccc}
 \begin{array}{c}
 \begin{array}{ccc}
 A & & \\
 \searrow^{i_A} & & \text{id}_A \\
 & A \amalg B & \xrightarrow{p_A} A \\
 \nearrow_{i_B} & & \uparrow \\
 B & & 0
 \end{array}
 \end{array}
 \qquad
 \begin{array}{c}
 \begin{array}{ccc}
 A & & \\
 \searrow^{i_A} & & 0 \\
 & A \amalg B & \xrightarrow{p_B} B \\
 \nearrow_{i_B} & & \uparrow \\
 B & & \text{id}_B
 \end{array}
 \end{array}
 \end{array}
 \tag{1.1}$$

Let  $f = i_A \circ p_A + i_B \circ p_B$ . Then

$$\begin{array}{ccc}
 \begin{array}{ccc}
 A & & \\
 \searrow^{i_A} & & i_A \\
 & A \amalg B & \xrightarrow{f} A \amalg B \\
 \nearrow_{i_B} & & \uparrow \\
 B & & i_B
 \end{array}
 \end{array}
 \tag{1.2}$$

## 1. Abelian Categories

commutes and so by universality we must have  $f = \text{id}_A \amalg B$ . Now suppose we have maps  $f : C \rightarrow A$  and  $g : C \rightarrow B$ . Let  $h : C \rightarrow A \amalg B$  be the map  $i_A \circ f + i_B \circ g$ . Then  $p_A \circ h = f$  and  $p_B \circ h = g$ . Moreover, if  $h' : C \rightarrow A \amalg B$  is any other map satisfying  $p_A \circ h' = f$  and  $p_B \circ h' = g$  then  $h' = \text{id}_A \amalg B \circ h' = i_A \circ f + i_B \circ g = h$  and so  $A \amalg B$  is a biproduct. ■

A functor between additive categories is called additive if it is a homomorphism on hom-sets.

### 1.2 Semiadditive categories

The above definition of an additive category includes the additive structure on the hom-sets as data. In this section we provide a definition where the additive structure arises as a property instead.

Let  $\mathcal{A}$  be a category with a zero object. Recall that in such a category there always exists a morphism between any two objects  $A, B \in \mathcal{A}$  given by  $A \rightarrow 0 \rightarrow B$ . We call this the 0 morphism. Moreover if finite coproducts and finite products exist there is a canonical map  $A \amalg B \rightarrow A \amalg B$  arising from the diagram

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ & \searrow 0 & \nearrow \\ B & \xrightarrow{\text{id}_B} & B \end{array} \quad (1.3)$$

We call a category  $\mathcal{A}$  *semiadditive* if it has a zero object, finite products, finite coproducts and the canonical map  $A \amalg B \rightarrow A \amalg B$  is an isomorphism for all  $A, B \in \mathcal{A}$ . In such a category we write  $A \oplus B$  for the biproduct.

**Thm 1.2.1.** *Let  $\mathcal{A}$  be a semiadditive category then it is naturally enriched over the monoidal category of commutative monoids.*

*Proof.* Let  $\Delta_A : A \oplus A \rightarrow A$  and  $\nabla_A : A \rightarrow A \oplus A$  be the maps that make

$$\begin{array}{ccc} A & \xrightarrow{\text{id}_A} & A \\ & \searrow i_A & \nearrow p_A \\ & A \oplus A & \\ & \nearrow i'_A & \searrow p'_A \\ A & \xrightarrow{\text{id}_A} & A \end{array} \quad (1.4)$$

commute. Given  $f, g : A \rightarrow B$  we can construct a map  $f \oplus g : A \oplus A \rightarrow B \oplus B$  in the obvious way. We can then define  $f + g : A \rightarrow B$  to be the composite

$$A \xrightarrow{\nabla_A} A \oplus A \xrightarrow{f \oplus g} B \oplus B \xrightarrow{\Delta_B} B. \quad (1.5)$$

Note that there is a map  $t_A : A \oplus A \rightarrow A \oplus A$  arising from the diagram

$$\begin{array}{ccc} A & \xrightarrow{0} & A \\ & \searrow \text{id}_A & \nearrow \\ A & \xrightarrow{\text{id}_A} & A \\ & \nearrow 0 & \searrow \end{array} \quad (1.6)$$

It is then an easy check to see that  $\Delta_A \circ t_A = \Delta_A$  and  $t_A \circ \nabla_A = \nabla_A$ , from which it follows that  $+$  is commutative. Straightforward calculations also show that  $+$  is associative, distributes over compositions and has the zero map as identity. The result follows. ■

A functor between semiadditive categories is called semiadditive if it preserves zero objects and biproducts i.e. there are isomorphisms  $F(A \oplus B) \cong F(A) \oplus F(B)$  such that

$$\begin{array}{ccccc} F(A) & & & & \\ & \searrow F(i_A) & & \nearrow i_{F(A)} & \\ & F(A \oplus B) & \xrightarrow{\cong} & F(A) \oplus F(B) & \\ & \nearrow F(i_B) & & \nwarrow i_{F(B)} & \\ F(B) & & & & \end{array} \quad (1.7)$$

commutes, and similarly for the projection maps.

**prop:sa**

**Proposition 1.2.2.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a semiadditive functor and  $f, g : A \rightarrow B$  for  $A, B \in \mathcal{A}$ . Then  $F(f + g) = F(f) + F(g)$ .*

*Proof.* Obvious. ■

We now define an additive category to be a semiadditive category where the enriched hom-sets are in fact groups.

**thm:as**

**Thm 1.2.3.** *Let  $\mathcal{A}$  be an additive category according to the first definition. By theorem 1.1.1,  $\mathcal{A}$  is semiadditive and so the hom-sets naturally carry the structure of a commutative monoid. This monoidal structure agrees with the original group structure.*

*Proof.* Let  $A, B \in \mathcal{A}$  and  $f, g : A \rightarrow B$ . Then the addition arising from the semiadditive structure comes from the composition

$$A \xrightarrow{\nabla_A} A \oplus A \xrightarrow{f \oplus g} B \oplus B \xrightarrow{\Delta_B} B. \quad (1.8)$$

But  $\nabla_A = i_A^L + i_A^R$ ,  $\Delta_B = p_B^L + p_B^R$  and  $f \oplus g = i_B^L \circ f \circ p_A^L + i_B^R \circ g \circ p_A^R$  and so their composition is just  $f + g$ . ■

## 1. Abelian Categories

**Corollary 1.2.4.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a functor between additive categories. Then  $F$  is additive iff  $F$  is semiadditive.*

*Proof.* Semiadditive  $\implies$  additive follows from proposition 1.2.2 and theorem 1.2.3. Additive  $\implies$  semiadditive is a straightforward exercise. ■

**Corollary 1.2.5.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be a functor between additive categories which is a left adjoint. Then  $F$  is additive.*

*Proof.*  $F$  preserves colimits and so is semiadditive. ■

**Corollary 1.2.6.** *If  $\mathcal{A}$  is an additive category then  $\mathcal{A}^{op}$  is also additive.*

*Proof.* The opposite category of a semiadditive category is clearly also semiadditive. The resulting monoidal structure on the hom-sets are also clearly the same and so the result follows. ■

### 1.3 Abelian categories

Abelian categories are additive categories with more structure. Before we state exactly what we mean by this we give some definitions.

**Definition 1.3.1.** Let  $\mathcal{A}$  be an additive category and  $f : A \rightarrow B$  a morphism in  $\mathcal{A}$ .

1. A kernel of  $f$  is an equaliser of  $A \xrightarrow[f]{f} B$ .
2. A cokernel of  $f$  is a coequaliser of the same diagram.
3.  $f$  is called monic if  $f \circ g = 0$  implies  $g = 0$  for all  $g$ .
4.  $f$  is called epi if  $g \circ f = 0$  implies  $g = 0$  for all  $g$ .

*Remark 1.3.2.* It is easy to see that all kernels are monic, all cokernels are epi, a map is monic iff its kernel is 0, and a map is epi iff its cokernel is 0.

We call an additive category  $\mathcal{A}$  pre-abelian if all morphisms have kernels and cokernels. In such a category, given any morphism  $f : A \rightarrow B$  we can form

$$\begin{array}{ccccc}
 & & \ker(\operatorname{coker}(f)) & & \\
 & \nearrow \alpha & \downarrow i & \searrow & \\
 K \xrightarrow{\ker(f)} A & \xrightarrow{f} & B \xrightarrow{\operatorname{coker}(f)} C & & \\
 & \searrow p & \uparrow \beta & \nearrow & \\
 & & \operatorname{coker}(\ker(f)) & & 
 \end{array} \tag{1.9} \quad \boxed{\text{eq: canon-decomp}}$$

where  $\alpha$  and  $\beta$  exist from the universal property of kernels and cokernels respectively. Since  $p$  is epi and  $0 = \operatorname{coker}(f) \circ f = \operatorname{coker}(f) \circ \beta \circ p$  it follows

that  $\text{coker}(f) \circ \beta = 0$  and so there is a map  $\gamma : \text{coker}(\ker(f)) \rightarrow \ker(\text{coker}(f))$  such that  $i \circ \gamma = \beta$ . Similarly there is a map  $\gamma' : \text{coker}(\ker(f)) \rightarrow \ker(\text{coker}(f))$  such that  $\gamma' \circ p = \alpha$ . Using that  $p$  is epi one can see that  $\gamma' = \gamma$  and so for any morphism  $f$  there is a canonical decomposition

$$A \xrightarrow{p} \text{coker}(\ker(f)) \xrightarrow{\gamma_f} \ker(\text{coker}(f)) \xrightarrow{i} B. \quad (1.10)$$

An abelian category is a pre-abelian category in which  $\gamma_f$  is an isomorphism for every  $f$ .

thm:abcat

**Thm 1.3.3.** *Let  $\mathcal{A}$  be a pre-abelian category. Then  $\gamma_f$  is an isomorphism for all morphism  $f$  iff every monic is the kernel of its cokernel and every epi is the cokernel of its kernel.*

*Proof.* ( $\Rightarrow$ ) The kernel of a monic is the 0 object with the 0 map, and the cokernel of this is just  $A$  together with the identity. Thus, if  $\gamma_f$  is an isomorphism the canonical decomposition of  $f$  just becomes

$$A \xrightarrow{\text{id}} A \xrightarrow{\cong} \ker(\text{coker}(f)) \xrightarrow{i} B \quad (1.11)$$

and so  $f$  is the kernel of its cokernel. Similarly one obtains that if  $f$  is epi it is the cokernel of its kernel.

( $\Leftarrow$ ) First note that if a kernel is epi then it must be an isomorphism so all epic monics must be isomorphisms (since all monics are kernels). Thus, it suffices to show that the maps  $\alpha$  and  $\beta$  in equation 1.9 are epi and monic respectively. To see that  $\beta$  is monic let  $x : X \rightarrow \text{coker}(\ker(f))$  be a map such that  $\beta \circ x = 0$ . Then let  $q : \text{coker}(\ker(f)) \rightarrow \text{coker}(x)$  be the coker of  $x$ , and  $j : \text{coker}(x) \rightarrow B$  the map such that  $j \circ q = \beta$ . Finally let  $l : \ker(q \circ p) \rightarrow A$  be the kernel of  $q \circ p$ . Then we have the following diagram

$$\begin{array}{ccccc} \ker(q \circ p) & & & & \\ \downarrow \text{dashed} & \searrow l & & & \\ & A & \xrightarrow{f} & B & \\ & \uparrow k & \searrow p & \nearrow \beta & \\ & \ker(f) & & \text{coker}(\ker(f)) & \\ & & \nearrow x & \searrow q & \\ & & X & & \text{coker}(x). \end{array} \quad (1.12)$$

Since  $q \circ p$  is epi it is the coker of  $l$ . But also  $f \circ l = j \circ q \circ p \circ l = 0$ , so  $l$  factors through  $\ker(f)$  and so  $p \circ l = 0$ . Thus there exists  $p' : \text{coker}(x) \rightarrow \text{coker}(\ker(f))$  such that

$$\begin{array}{ccccc} \ker(q \circ p) & \xrightarrow{l} & A & \xrightarrow{p} & \text{coker}(\ker(f)) \\ & & \downarrow q \circ p & \nearrow \text{dashed} & \\ & & \text{coker}(x) & & \end{array} \quad (1.13)$$

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commutes. Since  $p$  is epi, it must follow that  $p' \circ q = \text{id}$ . Thus  $q$  is monic and so  $x = 0$ . It follows that  $\beta$  is monic. Similarly one can show that  $\alpha$  is epi. ■

It follows that an abelian category is equivalently a pre-abelian category in which every monic is the kernel of its cokernel and every epi is the cokernel of its kernel.

**Thm 1.3.4.** *If  $\mathcal{A}$  is an abelian category then  $\mathcal{A}^{op}$  is also an abelian category.*

*Proof.* It is certainly additive. Moreover, kernels and cokernels simply swap roles.  $\gamma_f$  is then still an isomorphism for all  $f$  and so  $\mathcal{A}^{op}$  is abelian. ■

From now on we write  $\text{im}(f) := \ker(\text{coker}(f))$  and  $\text{coim}(f) := \text{coker}(\ker(f))$ .

### 1.4 Exact sequences

sec:es

Let  $\mathcal{A}$  be an abelian category and  $\mathcal{S}$  be the category with objects given by  $A \xrightarrow{f} B \xrightarrow{g} C$  such that  $g \circ f = 0$ , and morphisms given by chain maps. Recall from earlier that  $f$  can be factored as

$$A \xrightarrow{p_f} \text{im}(f) \xrightarrow{i_f} B. \quad (1.14)$$

Since  $p_f$  is epi, we must have  $g \circ i_f = 0$ . Thus we can factor  $f$  further through  $\ker(g)$  to obtain  $f : A \rightarrow \text{im}(f) \rightarrow \ker(g) \rightarrow B$ . Let  $H(A \xrightarrow{f} B \xrightarrow{g} C)$  be the cokernel of the morphism  $\text{im}(f) \rightarrow \ker(g)$ . If we have the commutative diagram

$$\begin{array}{ccccc} A & \xrightarrow{f} & B & \xrightarrow{g} & C \\ \downarrow & & \downarrow & & \downarrow \\ A' & \xrightarrow{f'} & B' & \xrightarrow{g'} & C' \end{array} \quad (1.15)$$

then there exists maps so that

$$\begin{array}{ccccccc} A & \longrightarrow & \text{im}(f) & \longrightarrow & \ker(g) & \longrightarrow & B \longrightarrow C \\ \downarrow & & \downarrow & & \downarrow & & \downarrow \downarrow \\ A' & \longrightarrow & \text{im}(f') & \longrightarrow & \ker(g') & \longrightarrow & B' \longrightarrow C' \end{array} \quad (1.16)$$

commutes. In particular there is a morphism

$$\text{coker}(\text{im}(f) \rightarrow \ker(g)) \rightarrow \text{coker}(\text{im}(f') \rightarrow \ker(g')). \quad (1.17)$$

It is easy to check that this construction is functorial and so we obtain a functor  $H : \mathcal{S} \rightarrow \mathcal{A}$ .

One can similarly construct a functor  $H' : \mathcal{S} \rightarrow \mathcal{A}$  by considering

$$\ker(\text{coker}(f) \rightarrow \text{coim}(g)) \quad (1.18)$$

instead.



*Remark 1.4.1.* We may also form a functor by looking simply at the fact that  $f$  factors through  $\ker(g)$  and then looking at the coker of the resulting morphism  $A \rightarrow \ker(g)$ . It is an easy check to see that this yields a functor naturally isomorphic to  $H$ . Similarly for  $H'$ .

**Lemma 1.4.2.** *Let  $A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$ . Recall that we have the factorisation*

$$A \rightarrow \operatorname{im}(f) \rightarrow \ker(g) \xrightarrow{i_g} B \xrightarrow{p_f} \operatorname{coker}(f) \rightarrow \operatorname{coim}(g) \rightarrow C. \quad (1.19)$$

*Let  $h$  be the composition  $\ker(g) \rightarrow B \rightarrow \operatorname{coker}(f)$ . Then*

1.  $\ker(h) = \operatorname{im}(f) \rightarrow \ker(g)$
2.  $\operatorname{coker}(h) = \operatorname{coker}(f) \rightarrow \operatorname{coim}(g)$ .

*Proof.* Let  $l : C \rightarrow \ker(g)$  be such that  $h \circ l = 0$ . Then  $p_f \circ i_g \circ l = 0$  and so  $i_g \circ l$  factors through  $\operatorname{im}(f)$ . Since  $i_g$  is monic it follows that  $l$  factors through  $\operatorname{im}(f)$ . Uniqueness follows automatically. Thus the result follows. The second part follows similarly. ■

**Thm 1.4.3.** *The functors  $H, H' : \mathcal{S} \rightarrow \mathcal{A}$  are naturally isomorphic.*

*Proof.* Let  $S := A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$  and  $h$  be as in the lemma. Then  $H(S) = \operatorname{coker}(\ker(h))$  and  $H'(S) = \ker(\operatorname{coker}(h))$  so we obtain the factorisation

$$\ker(g) \rightarrow H(S) \xrightarrow{\cong} H'(S) \rightarrow \operatorname{coker}(f). \quad (1.20)$$

Naturality of the isomorphism then follows from naturality of this factorisation. ■

*Remark 1.4.4.* In a pre-abelian category we still have a natural transformation  $H \Rightarrow H'$ , but it might not be an isomorphism.

**Definition 1.4.5.** Let  $S := A \xrightarrow{f} B \xrightarrow{g} C \in \mathcal{S}$ . We say that  $S$  is exact at  $B$  if  $H(S) = 0$ .

**Proposition 1.4.6.**  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  is a short exact sequence iff  $A = \ker(g)$  and  $C = \operatorname{coker}(f)$ .

*Proof.*  $(\Rightarrow)$  We have  $\ker(g) \cong \operatorname{im}(f) \cong A$  and  $\operatorname{coker}(f) \cong \operatorname{coim}(g) \cong C$ .

$(\Leftarrow)$  Certainly have exactness at  $A$  and  $C$ . Exactness at  $B$  also holds. ■

### 1.4.1 Split sequences

**Thm 1.4.7.** *Let  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  be a short exact sequence. The following are equivalent*

1. *there exists  $q : B \rightarrow A$  such that  $q \circ f = \text{id}_A$*
2. *there exists  $p : C \rightarrow B$  such that  $g \circ p = \text{id}_C$*
3. *there is an isomorphism  $h : B \rightarrow A \oplus C$  such that  $h \circ f$  and  $g \circ h^{-1}$  are the natural inclusion and projection respectively.*

*Proof.* (3) certainly implies both (1) and (2).

(2)  $\Rightarrow$  (3) Let  $q : B \rightarrow A$  be the unique map making the following diagram commute

$$\begin{array}{ccc} A & \xrightarrow{f} & B \xrightarrow{g} C. \\ \uparrow q & \nearrow \text{id}_B - p \circ g & \\ B & & \end{array} \quad (1.21)$$

Then  $\text{id}_B = p \circ g + f \circ q$ . It follows that  $p = f \circ q \circ p + p$ . Since  $f$  is monic we have  $q \circ p = 0$ . Thus  $q = q \circ f \circ q$  and so since  $q$  is epi,  $q \circ f = \text{id}_A$ . The result follows. (1)  $\Rightarrow$  (3) follows similarly.  $\blacksquare$

**Corollary 1.4.8.** *Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor of abelian categories. Then  $F$  applied to a split short exact sequence is also split exact.*

**Proposition 1.4.9.** *Let  $0 \rightarrow A \xrightarrow{f} B \xrightarrow{g} C \rightarrow 0$  be a short exact sequence. If either*

1.  *$A$  is injective or*
2.  *$C$  is projective*

*then the sequence is split.*

## 1.5 Adjoint functors

Let  $L : \mathcal{A} \rightarrow \mathcal{B}$  be an additive functor between abelian categories. If  $L$  admits a right adjoint  $R : \mathcal{B} \rightarrow \mathcal{A}$  then it turns out  $L$  has a lot of useful properties. In this section we explore these properties.

**Proposition 1.5.1.** *Suppose  $L \dashv R$ . Then  $L$  is right exact and  $R$  is left exact.*

*Proof.* Consider the short exact sequence  $0 \rightarrow B_1 \rightarrow B_2 \rightarrow B_3 \rightarrow 0$ . For every  $A \in \mathcal{A}$  we get the following commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & \text{Hom}(L(A), B_1) & \longrightarrow & \text{Hom}(L(A), B_2) & \longrightarrow & \text{Hom}(L(A), B_3) \\ & & \downarrow \cong & & \downarrow \cong & & \downarrow \cong \\ 0 & \longrightarrow & \text{Hom}(A, R(B_1)) & \longrightarrow & \text{Hom}(A, R(B_2)) & \longrightarrow & \text{Hom}(A, R(B_3)) \end{array} \quad (1.22)$$

where the top row is exact. It follows that the bottom row is exact for all  $A$  and so the bottom row is too. It follows that

$$0 \longrightarrow R(B_1) \longrightarrow R(B_2) \longrightarrow R(B_3) \quad (1.23)$$

is exact and so  $R$  is left exact. By a similar argument  $L$  is right exact.  $\blacksquare$

**Proposition 1.5.2.** *Suppose  $L \dashv R$ . Then*

1. *if  $L$  is exact then  $R$  preserves injectives*
2. *if  $R$  is exact then  $L$  preserves projectives.*

*Proof.* Suppose  $L$  is exact and  $I$  is an injective object in  $\mathcal{B}$ . We need to show that  $\text{Hom}(-, R(I))$  is exact. To do this it suffices to show that given  $f : A \rightarrow B$  injective, the map  $f^* : \text{Hom}(B, R(I)) \rightarrow \text{Hom}(A, R(I))$  is surjective. But  $L$  is exact so  $Lf$  is injective and so  $(Lf)^* : \text{Hom}(LB, I) \rightarrow \text{Hom}(LA, I)$  is surjective. We also have that  $L \dashv R$  and so

$$\begin{array}{ccc} \text{Hom}(L(B), I) & \xrightarrow{(Lf)^*} & \text{Hom}(L(A), I) \\ \downarrow \cong & & \downarrow \cong \\ \text{Hom}(B, R(I)) & \xrightarrow{f^*} & \text{Hom}(A, R(I)) \end{array} \quad (1.24)$$

commutes. It follows that  $f^*$  is surjective as required.

The corresponding result for  $R$  follows similarly.  $\blacksquare$

## 1.6 Limits and derived functors

**Proposition 1.6.1.** *An abelian category  $\mathcal{A}$  is cocomplete iff it has all direct sums.*

*Proof.* We already have kernels and hence equalisers so the statement follows.  $\blacksquare$

*Remark 1.6.2.* The same result holds if we replace direct sums with product and cocomplete with complete.

**Thm 1.6.3.** *Let  $\mathcal{A}$  be a cocomplete abelian category with enough projectives. If  $F : \mathcal{A} \rightarrow \mathcal{B}$  is a left adjoint, then for every set  $\{A_i\}$  of objects in  $\mathcal{A}$  we have*

$$L_*F\left(\bigoplus_{i \in I} A_i\right) \cong \bigoplus_{i \in I} L_*F(A_i). \quad (1.25)$$

*Proof.* Let  $P_i \rightarrow A_i$  be projective resolutions. Then  $\bigoplus_i P_i \rightarrow \bigoplus_i A_i$  is also a projective resolution. Hence

$$L_*F(\bigoplus_i A_i) = H_*(F(\bigoplus_i P_i)) \cong H_*(\bigoplus_i F(P_i)) \cong \bigoplus_i H_*(F(P_i)) = \bigoplus_i L_*F(A_i). \quad (1.26)$$

### 1.6.1 Filtered colimits

**Definition 1.6.4.** A category  $I$  is called filtered if it has coproduct and co-equaliser diagrams. A filtered colimit is the colimit of a functor from a filtered category.

**Lemma 1.6.5.** Let  $I$  be a filtered category, and  $A : I \rightarrow \text{Mod} - R$ . Then

1. Every element  $a \in \text{colim}_I A$  is the image of some element  $a_i \in A_i$  for some  $i \in I$  under the canonical map  $A_i \rightarrow \text{colim}_I A$ .
2. For every  $i$ , the kernel of the canonical map  $A_i \rightarrow \text{colim}_I A$  is the union of the kernels of the maps  $A(\phi) : A_i \rightarrow A_j$  for  $\phi : i \rightarrow j$  in  $I$ .

*Proof.* Use the explicit construction of the colimit as the cokernel of

$$\bigoplus_{i \rightarrow j} A_i \rightarrow \bigoplus_i A_i. \quad (1.27)$$

■

**Thm 1.6.6.** Filtered colimits of  $R$ -modules are exact considered as functors from  $\text{Fun}(I, \text{Mod} - R)$  to  $\text{Mod} - R$ .

*Proof.* We know that  $\text{colim}$  is a left adjoint and so is right exact. It thus suffices to show that if  $t : A \rightarrow B$  is monic then  $\text{colim}_I A \rightarrow \text{colim}_I B$  is too. But this follows immediately from the previous proposition. ■

**Definition 1.6.7.** We say an abelian category  $\mathcal{A}$  satisfies axiom (AB5) if it is cocomplete and filtered colimits are exact.

**Thm 1.6.8.** Let  $\mathcal{A}$  be an abelian category satisfying axiom (AB5). Then for  $F : \mathcal{A} \rightarrow \mathcal{B}$  a left adjoint, we have that for all filtered  $I$ ,

$$L_* F(\text{colim}_I A) \cong \text{colim}_I L_* F(A_i). \quad (1.28)$$

*Proof.*  $\text{colim}_I$  is exact so commutes with  $H_i$ . The rest of the proof is similar to the direct sum proof. ■

## CHAPTER 2

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# Sheaf Theory

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ch:sheafs

### 2.1 Presheaves

Let  $\mathcal{C}$  be any category,  $\mathcal{A}$  be an abelian category and define  $\text{PreSh}(\mathcal{C}) = \text{Fun}(\mathcal{C}^{op}, \mathcal{A})$  to be the category of presheaves on  $\mathcal{C}$  with values in  $\mathcal{A}$ . The functor sending all objects to 0 is certainly both initial and terminal, direct sums can be defined pointwise, and the hom-sets in  $\text{PreSh}(\mathcal{C})$  inherit an additive structure from  $\mathcal{A}$  so  $\text{PreSh}(\mathcal{C})$  is naturally an additive category. Moreover kernels and cokernels can be constructed in the obvious way and it is clear that they satisfy the axioms for an abelian category and so  $\text{PreSh}(\mathcal{C})$  is abelian.

### 2.2 Sheaves

To define sheaves we restrict to the case when  $X$  be a topological space,  $\mathcal{U}$  the poset of open sets of  $X$ , and  $\mathcal{A}$  be an abelian category. We write  $\text{PreSh}(X)$  for  $\text{PreSh}(\mathcal{U})$ . The category of sheaves on  $X$  with values in  $\mathcal{A}$ ,  $\text{Sh}(X)$ , is defined to be the full subcategory of  $\text{PreSh}(X)$  with objects given by presheaves  $\mathcal{F}$  for which the following diagram is an equalizer for all open coverings  $U = \cup_i U_i$

$$\mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i) \rightrightarrows \prod_{i,j} \mathcal{F}(U_i \cap U_j). \quad (2.1)$$

Since  $\mathcal{A}$  is an abelian category this is equivalent to the following diagram being exact

$$0 \rightarrow \mathcal{F}(U) \rightarrow \prod_i \mathcal{F}(U_i) \xrightarrow{\text{diff}} \prod_{i,j} \mathcal{F}(U_i \cap U_j). \quad (2.2)$$

Note that since  $\emptyset$  admits the empty covering and the empty product is 0 this forces  $\mathcal{F}(\emptyset) = 0$ .

As in the case of  $\text{PreSh}(\mathcal{C})$ ,  $\text{Sh}(X)$  is an additive category. However, the cokernel of a morphism between sheaves need not be a sheaf and so we must do some more work to show that  $\text{Sh}(X)$  is abelian.

Fix  $x \in X$ . For a (pre)sheaf  $\mathcal{F}$  define the stalk of  $\mathcal{F}$  at  $x$  to be

$$\mathcal{F}_x = \varinjlim_{U \ni x} \mathcal{F}(U) \quad (2.3)$$

## 2. Sheaf Theory

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when this limit exists. Note that this is a functor since morphisms between (pre)sheaves are natural transformations.

**Thm 2.2.1.** *Let  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of sheaves.*

1. *If  $\phi_x$  is injective for all  $x \in X$  then  $\phi$  is injective on sections.*
2. *If  $\phi_x$  is an isomorphism for all  $x \in X$  then  $\phi$  is an isomorphism.*

*Proof.* Exercise. ■

**Proposition 2.2.2.** *Let  $\mathcal{F}, \mathcal{G}$  be presheaves and  $\phi, \psi : \mathcal{F} \rightarrow \mathcal{G}$  be morphisms that are equal on stalks. If  $\mathcal{G}$  satisfies sheaf condition (A) then  $\phi = \psi$ .*

*Proof.* Consider  $\phi - \psi$ . ■

### Aside

Although we do not need this right away, given an  $A \in \mathcal{A}$  we can define the (pre)sheaf  $x_*A$  by

$$(x_*A)(U) = \begin{cases} A & \text{if } x \in U \\ 0 & \text{otherwise} \end{cases} \quad (2.4)$$

**Proposition 2.2.3.** *When it exists, the functor  $(-)_x : \mathbf{Sh}(X) \rightarrow \mathcal{A}$  is left adjoint to  $x_* : \mathcal{A} \rightarrow \mathbf{Sh}(X)$ .*

*Proof.* To see this simply note that morphisms between  $\mathcal{F}$  and  $x_*(A)$  correspond naturally to natural transformations between  $\mathcal{F}$  restricted to  $U \ni x$  and  $\Delta(A)$ . ■

*Remark 2.2.4.* The result also holds in  $\mathbf{PreSh}(X)$ .

## 2.3 Étale space of a presheaf and sheafification

For a presheaf  $\mathcal{F}$  we are now in the position to define its étalé space. The étalé space of  $\mathcal{F}$ , denoted  $\mathrm{Spé}(\mathcal{F})$  is the topological space with underlying set  $\coprod_{x \in X} \mathcal{F}_x$  and topology generated by the basis of sets given by  $\{s_x | x \in U\}$  for  $s \in \mathcal{F}(U)$  where  $U \subset X$  is open. Together with this space there is also a natural continuous map  $\pi : \mathrm{Spé}(\mathcal{F}) \rightarrow X$  sending an element  $s_x$  to  $x$ . The sheafification of  $\mathcal{F}$ , denoted  $\mathcal{F}^+$ , is then defined to be the sheaf of sections of  $\pi : \mathrm{Spé}(\mathcal{F}) \rightarrow X$ . By unwrapping the definitions we see that the sections can be characterised as

$$\mathcal{F}^+(U) = \{s : U \rightarrow \coprod_{x \in U} \mathcal{F}_x : \forall x \in U, \exists V \subset U \text{ open containing } x \text{ and } t \in \mathcal{F}(V) \text{ s.t. } s(y) = t_y \forall y \in V\} \quad (2.5)$$

In particular there is a natural morphism  $\mathcal{F} \rightarrow \mathcal{F}^+$  sending  $s \in \mathcal{F}(U)$  to the section  $x \mapsto s_x$  which is an isomorphism on stalks. From the characterisation

### 2.3. Étalé space of a presheaf and sheafification

of sections it clear that if  $\mathcal{F}$  is a presheaf of  $\mathbf{AbGrp}, \mathbf{Ring}, \dots$  then  $\mathcal{F}^+$  is a sheaf with values in the corresponding abelian category.

We have defined  $\mathrm{Spé}$  and  $(-)^+$  on objects but they can also be turned into functors. If we have a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  between presheaves, this induces a continuous map  $\mathrm{Spé}(\phi) : \mathrm{Spé}(\mathcal{F}) \rightarrow \mathrm{Spé}(\mathcal{G})$  given by  $s_x \mapsto \phi_x(s_x)$  so that

$$\begin{array}{ccc} \mathrm{Spé}(\mathcal{F}) & \xrightarrow{\mathrm{Spé}(\phi)} & \mathrm{Spé}(\mathcal{G}) \\ & \searrow \pi & \swarrow \pi \\ & X & \end{array} \quad (2.6)$$

commutes. This construction is functorial and turns  $\mathrm{Spé}$  into a functor from presheaves to topological bundles over  $X$ . It follows that we also obtain a map of sheaves  $\phi^+ : \mathcal{F}^+ \rightarrow \mathcal{G}^+$  by composing sections with  $\mathrm{Spé}(\phi)$ . Thus we have a functor  $(-)^+ : \mathbf{PreSh}(X) \rightarrow \mathbf{Sh}(X)$  and in fact the following diagram commutes.

$$\begin{array}{ccc} \mathcal{F}^+ & \xrightarrow{\phi^+} & \mathcal{G}^+ \\ \uparrow & & \uparrow \\ \mathcal{F} & \xrightarrow{\phi} & \mathcal{G} \end{array} \quad (2.7) \quad \boxed{\text{eq:sheafif}}$$

Note that since the morphism  $\mathcal{F} \rightarrow \mathcal{F}^+$  is an isomorphism when  $\mathcal{F}$  is a sheaf, this says that the functor  $(-)^+$  restricted to  $\mathbf{Sh}(X)$  is naturally isomorphism to the identity functor.

**Thm 2.3.1.** *Let  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  be the natural morphism. Then for any morphism of presheaves  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  with  $\mathcal{G}$  a sheaf, there exists a unique morphism of sheaves  $\psi : \mathcal{F}^+ \rightarrow \mathcal{G}$  so that*

$$\begin{array}{ccc} \mathcal{F}^+ & \xrightarrow{\psi} & \mathcal{G} \\ \theta \uparrow & \nearrow \phi & \\ \mathcal{F} & & \end{array} \quad (2.8)$$

*commutes.*

*Proof.* This just follows from equation 2.7, the fact that  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  is an isomorphism when  $\mathcal{F}$  is a sheaf, and by taking stalks.  $\blacksquare$

**Corollary 2.3.2.** *The sheafification functor is left adjoint to the inclusion functor  $\iota : \mathbf{Sh}(X) \rightarrow \mathbf{PreSh}(X)$ .*

*Proof.* Let  $\mathcal{F}$  be a presheaf and  $\mathcal{G}$  be a sheaf. Given a morphism  $\phi : \mathcal{F}^+ \rightarrow \mathcal{G}$  we can precompose it with  $\theta : \mathcal{F} \rightarrow \mathcal{F}^+$  to obtain a map  $\mathcal{F} \rightarrow \mathcal{G}$ . Conversely, given  $\psi : \mathcal{F} \rightarrow \mathcal{G}$ , we obtain a map  $\mathcal{F}^+ \rightarrow \mathcal{G}$  from the theorem. Then the theorem says these operations are inverse so we have a bijection

$$\mathrm{Hom}(\mathcal{F}^+, \mathcal{G}) \cong \mathrm{Hom}(\mathcal{F}, \mathcal{G}). \quad (2.9)$$

Naturality is then an easy check.  $\blacksquare$

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**Corollary 2.3.3.** *The sheafification functor is exact.*

*Proof.* It is a left adjoint so it is right exact. It thus suffices to show that if  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is injective then so is  $\phi^+$ . For this it suffices to show that  $\phi_x$  is injective for all  $x$ . But this is obvious. ■

We can now define the cokernel of a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  in  $\mathbf{Sh}(X)$ . We simply define it to be the sheafification of the cokernel in  $\mathbf{PreSh}(X)$  and it is an easy to check to see that this is indeed a cokernel object in  $\mathbf{Sh}(X)$ . It is then easy to see that  $\ker \text{coker} = \text{coker} \ker$  by looking at stalks and so  $\mathbf{Sh}(X)$  is an abelian category.

*Remark 2.3.4.* While  $\mathbf{Sh}(X)$  is a full subcategory of  $\mathbf{PreSh}(X)$  that is abelian, it is not a full abelian subcategory.

## 2.4 Maps defined on a basis

**Thm 2.4.1.** *Let  $\mathcal{F}, \mathcal{G}$  be sheafs on  $X$  and let  $\mathcal{B}$  be a basis for the topology on  $X$ . Then any morphism  $\phi|_{\mathcal{B}} : \mathcal{F}|_{\mathcal{B}} \rightarrow \mathcal{G}|_{\mathcal{B}}$  extends uniquely to a morphism  $\phi : \mathcal{F} \rightarrow \mathcal{G}$ . Moreover this procedure is functorial.*

*Proof.* There is a natural isomorphism between  $\varinjlim_{U \ni x} \mathcal{F}$  and  $\varinjlim_{B \ni x} \mathcal{F}$ . Thus we obtain a map  $\phi := \phi|_{\mathcal{B}}^+ : \mathcal{F} \rightarrow \mathcal{G}$ . It is clear that this is a morphism of sheaves. Moreover for  $U \in \mathcal{B}$  and  $s \in \mathcal{F}(U)$  it is clear that  $\phi(U)(s)$  and  $\phi|_{\mathcal{B}}(U)(s)$  have the same stalks and so must be equal. Thus  $\phi$  extends  $\phi|_{\mathcal{B}}$ . Finally, if a morphism extends  $\phi|_{\mathcal{B}}$  then it is determined on stalks and hence must equal to  $\phi$ , which gives us uniqueness. Functoriality is clear. ■

## 2.5 Exact sequences

Now that we know that we are working in an abelian category we can talk about exact sequences in  $\mathbf{Sh}(X)$ . Recall from section 1.4 that  $\mathcal{F} \xrightarrow{\theta} \mathcal{G} \xrightarrow{\phi} \mathcal{H}$  is exact at  $\mathcal{G}$  if  $\phi \circ \theta = 0$  and the map induced map  $\text{im}(\theta) \rightarrow \ker(\phi)$  is an isomorphism. But the map  $\text{im}(\theta) \rightarrow \ker(\phi)$  is an isomorphism iff it is an isomorphism at the level of stalks iff  $\mathcal{F}_x \xrightarrow{\theta_x} \mathcal{G}_x \xrightarrow{\phi_x} \mathcal{H}_x$  is exact for all  $x \in X$ . Thus  $(-)_x$  is an exact functor and exactness in  $\mathbf{Sh}(X)$  can be verified by checking exactness at all the stalks.

## 2.6 Direct sums of sheaves

If  $\mathcal{A}$  has direct sums, then so does  $\mathbf{PreSh}(X)$  since we can compute the direct sum pointwise. It follows that  $\mathbf{PreSh}(X)$  is cocomplete. The sheafification of the direct sum in  $\mathbf{PreSh}(X)$  gives us a direct sum in  $\mathbf{Sh}(X)$  and hence  $\mathbf{Sh}(X)$  is also cocomplete.

We also have products in both  $\mathbf{PreSh}(X)$  and  $\mathbf{Sh}(X)$  (computed pointwise) and so they are also both complete.



## 2.7 Sheaves over different spaces

### 2.7.1 Direct image sheaf

Let  $f : X \rightarrow Y$  be a continuous map between topological spaces and  $\mathcal{F}$  a sheaf on  $X$ . We define the direct image of  $\mathcal{F}$  under  $f$  to be the sheaf  $f_*\mathcal{F}$  on  $Y$  defined by  $f_*\mathcal{F}(U) = \mathcal{F}(f^{-1}(U))$ . If we define  $f_*$  on morphisms in the obvious way then it is clear that we obtain a functor  $f_* : \mathbf{Sh}(X) \rightarrow \mathbf{Sh}(Y)$ . In fact we also obtain a functor  $f_* : \mathbf{PreSh}(X) \rightarrow \mathbf{PreSh}(Y)$  and it turns out this functor has nice left adjoint.

Define  $\lim_f : \mathbf{PreSh}(Y) \rightarrow \mathbf{PreSh}(X)$  to be the functor that sends  $\mathcal{G} \in \mathbf{PreSh}(Y)$  to the presheaf  $\lim_f(\mathcal{G})(U) = \varinjlim_{V \supset f(U)} \mathcal{G}(V)$  on  $X$ , and does the obvious things to morphisms.

**Thm 2.7.1.**  $\lim_f \dashv f_*$  as functors between  $\mathbf{PreSh}(X)$  and  $\mathbf{PreSh}(Y)$ .

*Proof.* Let  $\phi : \lim_f \mathcal{F} \rightarrow \mathcal{G}$  be a morphism of presheaves. For  $V$  open in  $Y$ ,  $f^{-1}(V)$  is open in  $X$  and so we have maps

$$\mathcal{F}(V) \rightarrow \varinjlim_{W \supset f(U)} \mathcal{F}(W) \rightarrow \mathcal{G}(U) \quad (2.10)$$

where  $U = f^{-1}(V)$ . If  $V' \subset V$ ,  $U = f^{-1}(V)$  and  $U' = f^{-1}(V')$  then

$$\begin{array}{ccccc} \mathcal{F}(V) & \longrightarrow & \varinjlim_{W \supset f(U)} \mathcal{F}(W) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & \searrow & \downarrow & & \downarrow \\ \mathcal{F}(V') & \longrightarrow & \varinjlim_{W \supset f(U')} \mathcal{F}(W) & \longrightarrow & \mathcal{G}(U') \end{array} \quad (2.11)$$

commutes and so these maps in fact define a morphism  $\mathcal{F} \rightarrow f_*\mathcal{G}$ .

Conversely suppose we are given a morphism  $\mathcal{F} \rightarrow f_*\mathcal{G}$ . Let  $U$  be open in  $X$ . For  $V \supset f(U)$  we have maps

$$\mathcal{F}(V) \rightarrow \mathcal{G}(f^{-1}(V)) \rightarrow \mathcal{G}(U). \quad (2.12)$$

Moreover if  $V \supset V' \supset f(U)$  then

$$\begin{array}{ccc} \mathcal{F}(V) \rightarrow \mathcal{G}(f^{-1}(V)) & & \\ \downarrow & \downarrow & \searrow \\ \mathcal{F}(V') \rightarrow \mathcal{G}(f^{-1}(V')) & \nearrow & \mathcal{G}(U) \end{array} \quad (2.13)$$

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commutes so we obtain maps  $\varinjlim_{V \supset f(U)} \mathcal{F}(V) \rightarrow \mathcal{G}(U)$ . If  $U \supset U'$  we have maps

$$\begin{array}{ccc} \varinjlim_{V \supset f(U)} \mathcal{F}(V) & \longrightarrow & \mathcal{G}(U) \\ \downarrow & & \downarrow \\ \varinjlim_{V \supset f(U')} \mathcal{F}(V) & \longrightarrow & \mathcal{G}(U'). \end{array} \quad (2.14)$$

A straightforward calculation shows that this commutes and so we obtain a morphism  $\varinjlim_f \mathcal{F} \rightarrow \mathcal{G}$ .

These operations are clearly inverse to each other. A straightforward calculation shows that the bijection is natural. ■

**Corollary 2.7.2.**  $\varinjlim_f$  is an exact functor.

*Proof.* It is a left adjoint so it is right exact. Thus it suffices to show that it sends injective maps to injective maps. But this is obvious. ■

### Stalks

**Proposition 2.7.3.** Let  $\mathcal{F}$  be a sheaf on  $X$  and  $f : X \rightarrow Y$  a continuous map. Then there is a natural map  $(f_*\mathcal{F})_{f(p)} \rightarrow \mathcal{F}_p$  in the sense that if  $\mathcal{G}$  is another sheaf on  $X$  and  $\phi : \mathcal{F} \rightarrow \mathcal{G}$  is a morphism then

$$\begin{array}{ccc} (f_*\mathcal{F})_{f(p)} & \xrightarrow{(f_*\phi)_{f(p)}} & (f_*\mathcal{G})_{f(p)} \\ \downarrow & & \downarrow \\ \mathcal{F}_p & \xrightarrow{\phi_p} & \mathcal{G}_p \end{array} \quad (2.15)$$

commutes.

*Proof.* We have

$$(f_*\mathcal{F})_{f(p)} = \varinjlim_{U \ni f(p)} f_*\mathcal{F}(U) = \varinjlim_{U : f^{-1}(U) \ni p} \mathcal{F}(f^{-1}(U)). \quad (2.16)$$

But  $\{U : f^{-1}(U) \ni p\} \subseteq \{V : V \ni p\}$  and so there is map

$$(f_*\mathcal{F})_{f(p)} = \varinjlim_{U : f^{-1}(U) \ni p} \mathcal{F}(f^{-1}(U)) \rightarrow \varinjlim_{V \ni p} \mathcal{F}(V) = \mathcal{F}_p. \quad (2.17)$$

Naturality is an easy exercise. ■

### 2.7.2 Inverse image sheaf

Let  $f : X \rightarrow Y$  be a continuous map between topological spaces and  $\mathcal{F}$  a sheaf on  $Y$ . Let  $f^{-1}\mathrm{Spé}(\mathcal{F})$  be the pullback

$$\begin{array}{ccc} f^{-1}\mathrm{Spé}(\mathcal{F}) & \dashrightarrow & \mathrm{Spé}(\mathcal{F}) \\ \downarrow \pi & \lrcorner & \downarrow \pi \\ X & \xrightarrow{f} & Y. \end{array} \quad (2.18)$$

We define the inverse image sheaf  $f^{-1}\mathcal{F}$  to be the sheaf of sections of  $\pi : f^{-1}\mathrm{Spé}(\mathcal{F}) \rightarrow X$ . Equivalently, it is the sheaf

$$f^{-1}\mathcal{F}(U) = \left\{ s : U \rightarrow \mathrm{Spé}(\mathcal{F}) : \begin{array}{ccc} & \mathrm{Spé}(\mathcal{F}) & \\ s \nearrow & \downarrow \pi & \\ U & \xrightarrow{f|_U} & Y \end{array} \text{ commutes} \right\} \quad (2.19) \quad \boxed{\text{eq:invimg}}$$

or also equivalently, the sheaf

$$f^{-1}\mathcal{F}(U) = \{ s : U \rightarrow \coprod_{x \in U} \mathcal{F}_{f(x)} : \forall x \in U, \exists W \subset Y, V \subset f^{-1}(W) \cap U \text{ open and } t \in \mathcal{F}(W) \text{ s.t. } x \in V \wedge s(y) = t_{f(y)} \forall y \in V \}. \quad (2.20)$$

It is clear from the construction that we obtain a functor  $f^{-1} : \mathrm{Sh}(Y) \rightarrow \mathrm{Sh}(X)$ .

*Remark 2.7.4.* A direct calculation shows that  $f^{-1}\mathcal{F}_x$  and  $\mathcal{F}_{f(x)}$  are naturally isomorphic and so there is a natural bijection between  $f^{-1}\mathrm{Spé}(\mathcal{F})$  and  $\mathrm{Spé}(f^{-1}\mathcal{F})$ . It is then a straightforward exercise to check that this bijection is in fact a homeomorphism i.e.  $f^{-1}\mathrm{Spé}(\mathcal{F}) \cong \mathrm{Spé}(f^{-1}\mathcal{F})$ .

**Thm 2.7.5.**  $f^{-1}$  is naturally isomorphic to  $(-)^+ \circ \lim_f$ .

*Proof.* Let  $U$  be an open subset of  $X$  and  $s \in \lim_f \mathcal{F}(U)$ . There is a natural map  $\phi_x : (\lim_f \mathcal{F})_x \rightarrow \mathcal{F}_{f(x)}$  so we can define a map  $U \rightarrow \mathrm{Spé}(\mathcal{F})$  by  $x \mapsto \phi_x(s_x)$ . It is clear that this gives an element of  $f^{-1}\mathcal{F}(U)$  as characterised by equation 2.19. Thus we obtain a morphism  $\lim_f \mathcal{F} \rightarrow f^{-1}\mathcal{F}$ . On stalks this map is given by  $\phi_x$ . A direct calculation shows that  $\phi_x$  is an isomorphism for all  $x \in X$  and so the induced map  $(\lim_f \mathcal{F})^+ \rightarrow f^{-1}\mathcal{F}$  must be an isomorphism. It is straightforward to see that this defines a natural transformation. ■

**Corollary 2.7.6.**  $f^{-1} \dashv f_*$  as functors between  $\mathrm{Sh}(X)$  and  $\mathrm{Sh}(Y)$ .

*Proof.*  $f^{-1}$  is naturally isomorphic to  $(-)^+ \circ \lim_f$  and so for  $\mathcal{F} \in \mathrm{Sh}(Y), \mathcal{G} \in \mathrm{Sh}(X)$  we have natural bijections

$$\begin{aligned} \mathrm{Hom}_{\mathrm{Sh}(X)}(f^{-1}\mathcal{F}, \mathcal{G}) &\cong \mathrm{Hom}_{\mathrm{Sh}(X)}\left((\lim_f \mathcal{F})^+, \mathcal{G}\right) \cong \mathrm{Hom}_{\mathrm{PreSh}(X)}\left(\lim_f \mathcal{F}, \mathcal{G}\right) \\ &\cong \mathrm{Hom}_{\mathrm{PreSh}(Y)}(\mathcal{F}, f_*\mathcal{G}) \cong \mathrm{Hom}_{\mathrm{Sh}(Y)}(\mathcal{F}, f_*\mathcal{G}). \end{aligned} \quad (2.21)$$

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■

**Corollary 2.7.7.**  $(-)_x \circ f^{-1} = (-)_{f(x)}$ .

*Proof.*  $(-)_x \circ f^{-1} = (-)_x \circ (-)^+ \circ \lim_f = (-)_x \circ \lim_f = (-)_{f(x)}$ . ■

**Corollary 2.7.8.**  $f^{-1}$  is an exact functor.

*Proof.* It is the composition of two exact functors. Alternatively take stalks. ■

**Corollary 2.7.9.** There are natural transformations  $e : \text{id} \Rightarrow f_* f^{-1}$  and  $\epsilon : f^{-1} f_* \rightarrow \text{id}$  such that

$$f^{-1} \xrightarrow{f^{-1}e} f^{-1} f_* f^{-1} \xrightarrow{\epsilon f^{-1}} f^{-1} \quad (2.22)$$

$$f_* \xrightarrow{e f_*} f_* f^{-1} f_* \xrightarrow{f_* \epsilon} f_* \quad (2.23)$$

both compose to the identity natural transformation.

## 2.8 The $\mathcal{H}om$ sheaf

**Lemma 2.8.1.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves and  $f : \text{Spé}(\mathcal{F}) \rightarrow \text{Spé}(\mathcal{G})$  be a continuous map so that

$$\begin{array}{ccc} \text{Spé}(\mathcal{F}) & \xrightarrow{f} & \text{Spé}(\mathcal{G}) \\ & \searrow \pi & \swarrow \pi \\ & X & \end{array} \quad (2.24)$$

commutes. Let  $\tilde{f} : \mathcal{F}^+ \rightarrow \mathcal{G}^+$  be the morphism obtained by postcomposing sections with  $f$ . Then  $\tilde{f}_x = f|_x$ .

*Proof.* This follows from the fact that if  $s \in \mathcal{F}^+(U)$  then for  $x \in U$ ,  $s_x = s(x)$ . ■

**Thm 2.8.2.** Let  $\mathcal{F}$  and  $\mathcal{G}$  be sheaves. Then there is a bijection between continuous maps  $\text{Spé}(\mathcal{F}) \rightarrow \text{Spé}(\mathcal{G})$  and morphisms of sheaves  $\mathcal{F} \rightarrow \mathcal{G}$ .

*Proof.* For sheaves we have  $\mathcal{F} \cong \mathcal{F}^+$  and so the results follows from the lemma. ■

**Corollary 2.8.3.** The presheaf  $\mathcal{H}om(\mathcal{F}, \mathcal{G})$  defined by

$$\mathcal{H}om(\mathcal{F}, \mathcal{G})(U) = \text{Hom}(\mathcal{F}|_U, \mathcal{G}|_U) \quad (2.25)$$

is in fact a sheaf.

## 2.9 Injective sheaves

**Definition 2.9.1.** Let  $\mathcal{F}$  be a sheaf. Define  $D(\mathcal{F})$  to be the sheaf of all (not necessarily continuous) sections of  $\mathrm{Spé}(\mathcal{F}) \rightarrow X$ .

**Lemma 2.9.2.**  $D(\mathcal{F}) = \prod_{x \in X} x_*(\mathcal{F}_x)$ .

*Proof.* Obvious. ■

**Thm 2.9.3.**  $\mathrm{Sh}(X)$  over the abelian category  $\mathrm{AbGrp}/\mathrm{Ring}/\mathrm{Mod}_R$  has enough injectives.

*Proof.* Let  $\mathcal{A}$  denote the abelian category. Recall that  $x_* : \mathcal{A} \rightarrow \mathrm{Sh}(X)$  is the right adjoint of an exact functor. Thus it is left exact and preserves injectives. Let  $x \in X$ .  $\mathcal{A}$  has enough injectives, so there is some injective object  $I_x$  such that  $0 \rightarrow \mathcal{F}_x \rightarrow I_x$  is exact. It follows that  $0 \rightarrow x_*(\mathcal{F}_x) \rightarrow x_*(I_x)$  is also exact. We can then form the exact sequence  $0 \rightarrow \prod_{x \in X} x_*(\mathcal{F}_x) \rightarrow \prod_{x \in X} x_*(I_x)$ . The last term is injective since it is a product of injective objects. Composing this with the canonical map  $\mathcal{F} \rightarrow D(\mathcal{F})$  gives the required injection into an injective object. ■



## CHAPTER 3

# Scheme Theory

### 3.1 Locally ringed spaces

A locally ringed space is a pair  $(X, \mathcal{O}_X)$  consisting of a topological space  $X$  and a sheaf  $\mathcal{O}_X$  of rings on  $X$  such that the stalks are local rings. A morphism of between the locally ringed spaces  $(X, \mathcal{O}_X)$  and  $(Y, \mathcal{O}_Y)$  is a pair  $(f, f^\#)$  consisting of a continuous map  $f : X \rightarrow Y$  and a morphism of sheaves  $f^\# : \mathcal{O}_Y \rightarrow f_* \mathcal{O}_X$  which induces morphisms of local rings on stalks  $f_p^\# : \mathcal{O}_{Y, f(p)} \rightarrow \mathcal{O}_{X, p}$ .

Given morphisms  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  and  $(g, g^\#) : (Y, \mathcal{O}_Y) \rightarrow (Z, \mathcal{O}_Z)$  we define their composition  $(h, h^\#) : (X, \mathcal{O}_X) \rightarrow (Z, \mathcal{O}_Z)$  by  $h = g \circ f$  and

$$h^\# = \mathcal{O}_Z \rightarrow g_* \mathcal{O}_Y \rightarrow g_*(f_* \mathcal{O}_X) = h_* \mathcal{O}_X. \quad (3.1)$$

Note that

$$\begin{array}{ccccc}
 & & (h^\#)_{h(p)} & & \\
 & \searrow & \curvearrowright & \searrow & \\
 \mathcal{O}_{Z, h(p)} & \longrightarrow & (g_* \mathcal{O}_Y)_{g \circ f(p)} & \longrightarrow & (g_* f_* \mathcal{O}_X)_{g \circ f(p)} \\
 & \searrow & \downarrow & & \downarrow \\
 & & \mathcal{O}_{Y, f(p)} & \longrightarrow & (f_* \mathcal{O}_X)_{f(p)} \\
 & & & \searrow & \downarrow \\
 & & & & \mathcal{O}_{X, p}
 \end{array}
 \quad (3.2)$$

$g_{f(p)}^\#$  (down arrow from  $\mathcal{O}_{Z, h(p)}$  to  $\mathcal{O}_{Y, f(p)}$ )  
 $f_p^\#$  (down arrow from  $(f_* \mathcal{O}_X)_{f(p)}$  to  $\mathcal{O}_{X, p}$ )  
 $(h^\#)_{h(p)}$  (curved arrow from  $\mathcal{O}_{Z, h(p)}$  to  $\mathcal{O}_{X, p}$ )

commutes and so  $h_p^\# = f_p^\# \circ g_{f(p)}^\#$  is a morphism of local rings and so  $(h, h^\#)$  is indeed a morphism of locally ringed spaces.

**prop:factor**

**Proposition 3.1.1.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces. If  $f(X) \subseteq U$  for some open subset  $U \subseteq Y$  then  $(f, f^\#)$  factors through  $(U, \mathcal{O}_Y|_U)$ .*

*Proof.* Let  $\bar{f} : X \rightarrow U$  denote the map  $f$  viewed as having codomain  $U$ , and  $i : U \rightarrow Y$ . Then  $f = i \circ \bar{f}$ . Moreover, there is a natural morphism

### 3. Scheme Theory

$i^\# : \mathcal{O}_Y \rightarrow i_*(\mathcal{O}_Y|_U)$  given by the restriction maps. Since  $\bar{f}^{-1}(V) = f^{-1}(V)$  for  $V \subseteq U$ , there is also a natural map  $\bar{f}^\# : \mathcal{O}_Y|_U \rightarrow \bar{f}_*\mathcal{O}_X$  given by the restriction of  $f^\#$ . It is straightforward to see that  $f^\# = i^\# \circ \bar{f}^\#$ . ■

**Thm 3.1.2.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces.  $(f, f^\#)$  is an isomorphism iff  $f$  is a homeomorphism and  $f^\#$  is an isomorphism.*

*Proof.* The forwards direction is obvious. Now suppose  $f$  is a homeomorphism and  $f^\#$  is an isomorphism. Let  $g = f^{-1} : Y \rightarrow X$  and  $g^\# = (g_*f^\#)^{-1}$ . Then  $(g, g^\#) \circ (f, f^\#) = \text{id}$  and  $(f, f^\#) \circ (g, g^\#) = \text{id}$ . ■

**Corollary 3.1.3.** *Let  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be a morphism of locally ringed spaces. If*

1.  $U := f(X)$  is an open subset of  $Y$ ,
2.  $f$  is a homeomorphism onto its image,
3.  $f_p^\#$  is an isomorphism for all  $p \in X$

*then  $(X, \mathcal{O}_X) \cong (U, \mathcal{O}_Y|_U)$ .*

*Proof.* By proposition 3.1.1,  $(f, f^\#)$  factors through  $(\bar{f}, \bar{f}^\#) : (X, \mathcal{O}_X) \rightarrow (U, \mathcal{O}_Y|_U)$ . By the theorem it suffices to check that  $\bar{f}_p^\#$  is an isomorphism for all  $p \in X$ . But this follows from the fact that  $i^\#$  is an isomorphism on stalks. ■

#### 3.1.1 Gluing morphisms

**Lemma 3.1.4.** *Let  $(f, f^\#), (g, g^\#) : (X, \mathcal{O}_X) \rightarrow (Y, \mathcal{O}_Y)$  be morphisms of locally ringed spaces. Let  $\mathcal{U} = \{U_i\}$  be an open covering of  $X$ . If the morphisms agree on the restrictions to the  $U_i$  then they are equal.*

*Proof.* We certainly have  $f = g$ . The result then follows from sheaf condition (A). ■

### 3.2 Morphisms

#### 3.2.1 Quasi-compact

**Definition 3.2.1.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is quasi-compact if there is an affine covering  $\{V_i\}_i$  of  $Y$  such that  $f^{-1}(V_i)$  is quasi-compact for all  $i$ .

**Proposition 3.2.2.** *Let  $(f, f^\#) : X \rightarrow Y$  be quasi-compact. Then for any affine  $V \subseteq Y$ ,  $f^{-1}(V)$  is quasi-compact.*

*Proof.* ■



### 3.2.2 Locally of finite type

**Definition 3.2.3.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is locally of finite type if there is an affine covering  $\{V_i\}_i$  of  $Y$ , and for each  $i$ , and affine covering  $\{U_{ij}\}_j$  of  $f^{-1}(V_i)$  such that  $\mathcal{O}_X(U_{ij})$  is as finitely generated  $\mathcal{O}_Y(V_i)$ -algebra.

**Proposition 3.2.4.** Let  $(f, f^\#) : X \rightarrow Y$  be locally of finite type. Then for any affine  $V \subseteq Y$  and affine  $U \subseteq f^{-1}(V)$ ,  $\mathcal{O}_X(U)$  is a finitely generated  $\mathcal{O}_Y(V)$ -algebra.

*Proof.* ■

### 3.2.3 Finite type

**Definition 3.2.5.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is of finite type if it is quasi-compact and locally of finite type.

### 3.2.4 Closed immersion

**Definition 3.2.6.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is a closed immersion if  $f(X)$  is closed in  $Y$ ,  $f$  is a homeomorphism onto its image, and the morphism  $f^\#$  is surjective.

### 3.2.5 Open immersion

**Definition 3.2.7.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is an open immersion if  $f(X)$  is open in  $Y$ ,  $f$  is a homeomorphism onto its image, and  $f_p^\#$  is an isomorphism for all  $p \in X$ .

### 3.2.6 Affine

**Definition 3.2.8.** Let  $(f, f^\#) : X \rightarrow Y$  be a morphism of schemes. We say  $(f, f^\#)$  is affine if there is an affine covering  $\{V_i\}_i$  of  $Y$  such that  $f^{-1}(V_i)$  is affine for all  $i$ .

**Proposition 3.2.9.** Let  $(f, f^\#) : X \rightarrow Y$  be affine. Then for any affine  $V \subseteq Y$ ,  $f^{-1}(V)$  is affine.

*Proof.* ■

## 3.3 $\mathcal{O}_X$ -Modules

**Definition 3.3.1.** Let  $(X, \mathcal{O}_X)$  be a locally ringed space. An  $\mathcal{O}_X$ -module is a sheaf  $\mathcal{F}$  of abelian groups with a compatible  $\mathcal{O}_X$  action. Morphisms of  $\mathcal{O}_X$ -modules are morphisms of sheaves of abelian groups that respect the  $\mathcal{O}_X$ -module structure.

### 3. Scheme Theory

**Thm 3.3.2.** *The category of  $\mathcal{O}_X$ -modules is an abelian category.*

*Proof.* Additive structure on hom-sets is obvious. Kernels are the same as the kernels in  $\mathbf{Ab}(X)$ , with the obvious  $\mathcal{O}_X$ -module structure. Similarly for cokernels (if a presheaf has an  $\mathcal{O}_X$ -module structure, then so does its sheafification by acting on the stalks). The rest then follows. ■

**Definition 3.3.3.** (Tensor product). Let  $\mathcal{F}$  and  $\mathcal{G}$  be  $\mathcal{O}_X$ -modules. Define the tensor product of  $\mathcal{F}$  and  $\mathcal{G}$ ,  $\mathcal{F} \otimes_{\mathcal{O}_X} \mathcal{G}$  to be the sheafification of the presheaf tensor product.

**Definition 3.3.4.** (Pullback). Let  $f : X \rightarrow Y$  be a continuous map, and  $\mathcal{F}$  an  $\mathcal{O}_Y$ . Then  $f^{-1}\mathcal{F}$  is naturally a  $f^{-1}\mathcal{O}_Y$ -module. Moreover from the inverse image - direct image adjunction we obtain a map  $f^{-1}\mathcal{O}_Y \rightarrow \mathcal{O}_X$  from  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ . We can thus form the sheaf  $f^{-1}\mathcal{F} \otimes_{f^{-1}\mathcal{O}_Y} \mathcal{O}_X$ . This sheaf is naturally an  $\mathcal{O}_X$ -module and we call it  $f^*\mathcal{F}$ .

**Definition 3.3.5.** (Direct image). Let  $f : X \rightarrow Y$  be a continuous map, and  $\mathcal{F}$  an  $\mathcal{O}_X$ . Then  $f_*\mathcal{F}$  is naturally a  $f_*\mathcal{O}_X$  module, and hence a  $\mathcal{O}_Y$ -module via  $f^\# : \mathcal{O}_Y \rightarrow f_*\mathcal{O}_X$ .

**thm:tensor-hom**

**Thm 3.3.6.** *Let  $\mathcal{F}$  be a  $(\mathcal{A}, \mathcal{B})$ -bimodule. Then  $-\otimes_{\mathcal{A}} \mathcal{F} \dashv \text{Hom}_{\mathcal{B}}(\mathcal{F}, -)$  as functors between  $\text{Mod}(\mathcal{A})$  and  $\text{Mod}(\mathcal{B})$ .*

*Proof.* Follows from the corresponding tensor-hom adjunction for modules. ■

**Lemma 3.3.7.** *Let  $f : X \rightarrow Y$ ,  $\mathcal{F} \in \text{Mod}(Y)$  and  $\mathcal{G} \in \text{Mod}(X)$ . Then under the natural bijection*

$$\text{Hom}_{\mathbf{Ab}}(f^{-1}\mathcal{F}, \mathcal{G}) \leftrightarrow \text{Hom}_{\mathbf{Ab}}(\mathcal{F}, f_*\mathcal{G}) \quad (3.3)$$

*$f^{-1}\mathcal{O}_Y$ -module morphisms biject with  $\mathcal{O}_Y$ -module morphisms.*

**Thm 3.3.8.** *Let  $f : X \rightarrow Y$  be a continuous map. Then  $f^* \dashv f_*$  as functors between  $\text{Mod}(X)$  and  $\text{Mod}(Y)$ .*

*Proof.* Let  $\mathcal{F} \in \text{Mod}(Y)$  and  $\mathcal{G} \in \text{Mod}(X)$ . Note that  $\mathcal{O}_X$  is an  $(f^{-1}\mathcal{O}_Y, \mathcal{O}_X)$ -bimodule. We thus have the following chain of natural bijections

$$\begin{aligned} \text{Hom}_{\mathcal{O}_X}(f^*\mathcal{F}, \mathcal{G}) &\leftrightarrow \text{Hom}_{f^{-1}\mathcal{O}_Y}(f^{-1}\mathcal{F}, \text{Hom}_{\mathcal{O}_X}(\mathcal{O}_X, \mathcal{G})) \\ &\leftrightarrow \text{Hom}_{f^{-1}\mathcal{O}_Y}(f^{-1}\mathcal{F}, \mathcal{G}) \\ &\leftrightarrow \text{Hom}_{\mathcal{O}_Y}(\mathcal{F}, f_*\mathcal{G}) \end{aligned} \quad (3.4)$$

where the last bijection follows from the lemma. ■

**Definition 3.3.9.** Let  $R$  be a ring and  $M$  an  $R$ -module. Define  $\widetilde{M}$  to be the  $\mathcal{O}_{\text{Spec}(R)}$ -module which is locally  $M_r$ .

**Thm 3.3.10.**  $\widetilde{\phantom{x}}$  is a fully faithful exact functor from  $\text{Mod}_R$  to  $\text{Mod}(\text{Spec}(R))$ .

*Proof.* Localisation is exact.  $\blacksquare$

**Corollary 3.3.11.**  $\tilde{\bullet}$  and  $\Gamma$  form part of an adjoint equivalence of categories between  $\text{Mod}_R$  and  $\text{Mod}(\text{Spec}(R))$ .

### 3.4 Sheaf of ideals

**Definition 3.4.1.** Let  $\mathcal{F}$  be a sheaf on  $X$ . Then  $\text{supp}(\mathcal{F}) = \{x \in X : \mathcal{F}_x \neq 0\}$ .

prop:supp

**Proposition 3.4.2.** If  $\mathcal{F}$  is a finitely generated  $\mathcal{O}_X$ -module then  $\text{supp}(\mathcal{F})$  is a closed subset of  $X$ .

**Definition 3.4.3.** A subsheaf of  $\mathcal{O}_X$  is called a *sheaf of ideals* on  $X$ .

**Definition 3.4.4.** Let  $\mathcal{J}$  be a sheaf of ideals on  $X$ . Let  $Z = \text{supp}(\mathcal{O}_X/\mathcal{J})$ . By proposition 3.4.2,  $Z$  is a closed subset of  $X$ . Let  $i : Z \rightarrow X$  be the inclusion map. Then we define the structure sheaf on  $Z$  to be  $\mathcal{O}_Z = i^{-1}(\mathcal{O}_X/\mathcal{J})$ . This turns  $Z$  into a locally ringed space.

**Proposition 3.4.5.**  $i_*\mathcal{O}_Z \cong \mathcal{O}_X/\mathcal{J}$ .

*Proof.* There is a natural map  $\mathcal{O}_X/\mathcal{J} \rightarrow i_*\mathcal{O}_Z = i_*i^{-1}(\mathcal{O}_X/\mathcal{J})$  arising from the inverse image-direct image adjunction. Looking at stalks shows that this is an isomorphism.  $\blacksquare$

*Remark 3.4.6.* In particular there is a natural map  $i^\# : \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z$  given by the composition  $\mathcal{O}_X \rightarrow \mathcal{O}_X/\mathcal{J} \rightarrow i_*\mathcal{O}_Z$  inducing a morphism  $(i, i^\#)$  of locally ringed spaces.

**Corollary 3.4.7.** The map  $(i, i^\#) : (Z, \mathcal{O}_Z) \rightarrow (X, \mathcal{O}_X)$  is a closed immersion and  $\mathcal{J} = \ker(i^\#)$ .

**Lemma 3.4.8.** Let  $A$  be a ring and  $I \triangleleft A$  be an ideal. Then the sheaf  $(A/I)^\sim$  on  $\text{Spec}(A)$  has support  $V(I)$ .

*Proof.* Consider the following exact sequence of  $A$ -modules

$$0 \rightarrow I \rightarrow A \rightarrow A/I \rightarrow 0. \quad (3.5)$$

If  $I \not\subseteq \mathfrak{p}$  then  $IA_{\mathfrak{p}} = A_{\mathfrak{p}}$  and so  $(A/I)_{\mathfrak{p}} = 0$ . If  $I \subseteq \mathfrak{p}$  then  $(A/I)_{\mathfrak{p}} \cong (A/I)_{\mathfrak{q}}$  where  $\mathfrak{q} = \mathfrak{p}/I$  and so is in particular not 0.  $\blacksquare$

thm:sheaf\_of\_ideals

**Thm 3.4.9.** If  $\mathcal{J}$  is quasi-coherent then  $(Z, \mathcal{O}_Z)$  is a scheme and for any affine piece  $(U, \mathcal{O}_X|_U) \cong (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  of  $X$ ,  $(Z \cap U, \mathcal{O}_Z|_{Z \cap U})$  is isomorphic to  $(\text{Spec}(A/I), \mathcal{O}_{\text{Spec}(A/I)})$  where  $I$  is the ideal of  $A$  corresponding to  $\mathcal{J}(U)$ .

### 3. Scheme Theory

*Proof.* It suffices to show the second part of the theorem. Let  $(U, \mathcal{O}_X|_U) \cong (\text{Spec}(A), \mathcal{O}_{\text{Spec}(A)})$  be an affine piece of  $X$ . Restricting the short exact sequence  $0 \rightarrow \mathcal{J} \rightarrow \mathcal{O}_X \rightarrow i_*\mathcal{O}_Z \rightarrow 0$  to  $U$  we get

$$0 \rightarrow \mathcal{J}|_U \rightarrow \mathcal{O}_X|_U \rightarrow (i_{U \cap Z})_*(\mathcal{O}_Z|_{U \cap Z}) \rightarrow 0. \quad (3.6)$$

It follows that

$$(i_{U \cap Z})_*(\mathcal{O}_Z|_{U \cap Z}) \cong (A/I)^\sim \cong \text{Spec}(\phi)_*\mathcal{O}_{\text{Spec}(A/I)} \quad (3.7) \quad \boxed{\text{eq:sh\_isoms}}$$

where  $\phi : A \rightarrow A/I$  is the quotient map. By the lemma  $U \cap Z = V(I)$  and so there is a homeomorphism  $\psi : \text{Spec}(A/I) \rightarrow U \cap Z$ . Since both  $i_{U \cap Z}$  and  $\text{Spec}(\phi)$  are homeomorphisms onto their images, the isomorphisms in equation 3.7 induce isomorphisms of sheaves. Taking stalks moreover shows that we get an isomorphism of locally ringed spaces as required. ■

### 3.5 Reduced schemes

**Definition 3.5.1.** A scheme  $(X, \mathcal{O}_X)$  is reduced if  $\mathcal{O}_X(U)$  is reduced for all  $U \subseteq X$  open.

**Lemma 3.5.2.**  $(X, \mathcal{O}_X)$  is reduced iff  $\mathcal{O}_{X,p}$  is reduced for all  $p \in X$ .

**Lemma 3.5.3.** Let  $\mathcal{J}$  be the ideal sheaf of  $\mathcal{O}_X$  given by  $U \mapsto N(\mathcal{O}_X)$ . Then  $\mathcal{J}$  is quasi-coherent.

*Proof.* It suffices to show that  $\mathcal{J} \cong N(\mathcal{O}_X(X))^\sim$  when  $X$  is affine. But we have an isomorphism on the basis and hence between sheaves. ■

**Definition 3.5.4.** Let  $(X, \mathcal{O}_X)$  be a scheme. We define  $(X_{\text{red}}, (\mathcal{O}_X)_{\text{red}})$  to be the scheme associated with the sheaf of ideals  $\mathcal{J}$  given by  $\mathcal{J}(U) = N(\mathcal{O}_X(U))$ . Let  $(z, z^\#) : (X_{\text{red}}, (\mathcal{O}_X)_{\text{red}}) \rightarrow (X, \mathcal{O}_X)$  be the associated closed embedding.

*Remark 3.5.5.*  $X_{\text{red}}$  is reduced since it is reduced on affine pieces.

**Proposition 3.5.6.**  $z$  is a homeomorphism.

*Proof.* It suffices to check that  $\text{supp}(\mathcal{O}_X/\mathcal{J}) = X$  for affine  $X$ . Let  $\phi : R \rightarrow R/N(R)$  be the quotient map. Then  $\text{Spec}(\phi)$  is a homeomorphism. It follows that  $\text{supp}(\mathcal{O}_X/\mathcal{J}) = X$  and so  $z$  is the identity map. ■

**Thm 3.5.7.** Let  $f : X \rightarrow Y$  be a morphism of schemes and suppose  $X$  is reduced. Then  $f$  factors through  $Y_{\text{red}}$ .

*Proof.* Universal property of cokernels. ■

**Definition 3.5.8.** For an affine scheme  $X$ , let  $I(Z)$  be the radical ideal corresponding to a closed set  $Z \subset X$ . For a general scheme  $X$  and a closed subset  $Z \subseteq X$ , let  $\mathcal{J}_Z$  be the sheaf

$$\mathcal{J}_Z(U) = \{f \in \mathcal{O}_X(U) : f_x \in m_x, \forall x \in U \cap Z\}. \quad (3.8)$$

**Lemma 3.5.9.** *Let  $X$  be an affine scheme and  $Z \subseteq X$  a closed subset. Then  $\mathcal{I}_Z \cong \widehat{I(Z)}$ .*

*Proof.* This holds on global sections and  $\text{rad}$  commutes with localisation. ■

**Thm 3.5.10.** *Let  $X$  be a scheme and  $Z \subseteq X$  a closed subset. Then there is a unique quasi-coherent ideal  $\mathcal{I}$  such that the associated closed immersion  $Z' \rightarrow X$  has image  $Z$  and  $Z'$  reduced.*

*Proof.*  $\mathcal{I} = \mathcal{I}_Z$  is quasi-coherent and the associated embedding has image  $Z$ . It is clear that  $Z'$  is reduced (check on affine pieces). It thus remains to check the uniqueness of  $\mathcal{I}$ . For this it suffices to consider the affine case. Let  $X = \text{Spec}(A)$  and  $\mathcal{I} = \tilde{I}$ . Then  $Z' = \text{Spec}(A/I)$  and  $V(I) = Z$ . But  $Z'$  is reduced iff  $I = I(Z)$ . Thus  $\mathcal{I} = \mathcal{I}_Z$ . ■

*Remark 3.5.11.* If we take  $Z = X$  then  $Z' = X_{\text{red}}$ .

## 3.6 Tangent space



## CHAPTER 4

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# Spectral sequences

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**Thm 4.0.1.** (*Grothendieck spectral sequence*). Let  $F : \mathcal{A} \rightarrow \mathcal{B}$  and  $G : \mathcal{B} \rightarrow \mathcal{C}$  be left exact functors and suppose that  $F$  sends injective objects to  $G$ -acyclic objects. Then for  $A$  an object in  $\mathcal{A}$  there is a spectral sequence  $\{E_r(A)\}$  such that

$$E_2^{p,q}(A) = R^p G(R^q F(A)) \Rightarrow R^{p+q}(GF)(A). \quad (4.1)$$

**Corollary 4.0.2.** (*Leray spectral sequence*). Let  $f : X \rightarrow Y, g : Y \rightarrow Z$  be continuous maps. Then for a sheaf  $\mathcal{F}$ , there is a  $E_2$  cohomological spectral sequence

$$R^p g_*(R^q f_*(\mathcal{F})) \Rightarrow R^{p+q}(g \circ f)_*(\mathcal{F}) \quad (4.2)$$

which is functorial in  $\mathcal{F}$ .

*Proof.*  $f_*$  sends injective sheaves to flabby sheaves, which are  $g_*$ -acyclic. ■





## CHAPTER 5

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# Group cohomology

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## CHAPTER 6

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# Appendix

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### 6.1 Category theory results

`prop:cat_factor`

**Proposition 6.1.1.** *Let  $F \dashv G$  and  $G$  be full. Let  $e$  be the unit of the adjunction. Then every morphism  $x \rightarrow Gy$  factors uniquely through  $e_x : x \rightarrow GFx$ .*

*Proof.* Let  $\alpha$  and  $\beta$  denote the forward and backward maps in

$$\mathrm{Hom}(Fx, y) \leftrightarrow \mathrm{Hom}(x, Gy) \quad (6.1)$$

respectively. Let  $f : x \rightarrow Gy$ . Then  $f = \alpha(\beta(f))$ . But  $\alpha(\beta(f)) = G\beta(f) \circ e_x$  so we get existence of a factorisation. For uniqueness, suppose  $f = h \circ e_x$ . Since  $G$  is full there is a  $l : Fx \rightarrow y$  such that  $h = Gl$ . So  $\alpha(l) = \alpha(\beta(f))$ . But  $\alpha$  is a bijection so  $l = \beta(f)$  and hence  $h = G\beta(f)$  which gives uniqueness. ■

### 6.2 Properties of sheaves of rings

**Thm 6.2.1.** *Let  $\mathcal{F}$  be a sheaf of rings on  $X$ ,  $U = \cup_i U_i$  and  $s \in \mathcal{F}(U)$ . Then  $s$  is invertible iff  $s|_{U_i}$  is invertible for all  $i$ .*

*Proof.* The forwards direction is trivial. Now suppose  $s|_{U_i}$  is invertible for all  $i$ . Then there are  $t_i \in \mathcal{F}(U_i)$  such that  $t_i s|_{U_i} = 1$ . But then, since inverses are unique we must have  $t_i|_{U_i \cap U_j} = t_j|_{U_i \cap U_j}$  since they are both the inverse of  $s|_{U_i \cap U_j}$ . Thus there is a section  $t \in \mathcal{F}(U)$  that restricts to the  $t_i$ . Checking locally it follows that  $ts = 1$  and so  $s$  is invertible. ■

**Thm 6.2.2.** *Let  $(X, \mathcal{O}_X)$  be a scheme and  $A$  a ring. Then there is a natural bijection*

$$\mathrm{Hom}_{\mathrm{Sch}}(X, \mathrm{Spec}(A)) \leftrightarrow \mathrm{Hom}_{\mathrm{Ring}}(A, \Gamma(X, \mathcal{O}_X)). \quad (6.2)$$

*In other words  $\Gamma \dashv \mathrm{Spec}$  as functors between  $\mathrm{Sch}$  and  $\mathrm{Ring}^{op}$ .*

*Proof.* Given a morphism  $(f, f^\#) : (X, \mathcal{O}_X) \rightarrow (\mathrm{Spec}(A), \mathcal{O}_{\mathrm{Spec}(A)})$  we obtain map  $A \rightarrow \Gamma(X, \mathcal{O}_X)$  from  $f^\#(\mathrm{Spec}(A))$ .

Conversely, suppose we have  $\phi : A \rightarrow \Gamma(X, \mathcal{O}_X)$ . For an affine  $U \subseteq X$ , we have the map  $A \rightarrow \Gamma(X, \mathcal{O}_X) \rightarrow \Gamma(U, \mathcal{O}_X)$ , and we thus obtain a map

## 6. Appendix

$U \rightarrow \text{Spec}(A)$ . Let  $U, V \subseteq X$  be affine and  $W \subseteq U \cap V$  also be affine. The following diagram commutes

$$\begin{array}{ccccc}
 & & \Gamma(U, \mathcal{O}_X) & & \\
 & \nearrow & & \searrow & \\
 A \rightarrow \Gamma(X, \mathcal{O}_X) & \xrightarrow{\quad} & \Gamma(W, \mathcal{O}_X) & & \\
 & \searrow & & \nearrow & \\
 & & \Gamma(V, \mathcal{O}_X) & & 
 \end{array} \tag{6.3}$$

and so

$$\begin{array}{ccc}
 & U & \\
 \swarrow & & \nwarrow \\
 \text{Spec}(A) & \xleftarrow{\quad} & W \\
 \swarrow & & \nwarrow \\
 & V & 
 \end{array} \tag{6.4}$$

also commutes. So the morphisms agree on overlaps and so can be glued to get a morphism  $X \rightarrow \text{Spec}(A)$ .

It is straightforward to check that this defines a bijection. ■

**Corollary 6.2.3.** *Let  $(X, \mathcal{O}_X)$  be a scheme. There is a canonical morphism  $X \rightarrow \text{Spec}(\Gamma(X, \mathcal{O}_X))$  such that every morphism from  $X$  to an affine scheme factors through this map uniquely.*

*Proof.* This follows from proposition 6.1.1. ■

### 6.3 Restriction

*Remark 6.3.1.* Recall from chapter 2 that given  $f : X \rightarrow Y$  we obtain functors  $f_*, \lim_f, f^{-1}$  between  $\text{Sh}(X)$  and  $\text{Sh}(Y)$ . These constructions were themselves functorial and give rise to contra/co-variant functors  $\text{Top} \rightarrow \text{Set}$ . The same also holds for  $f_*, f^*$  as functors between  $\text{Mod}(X)$  and  $\text{Mod}(Y)$ .

**Thm 6.3.2.** *Let  $f : X \rightarrow Y$  be a continuous map and  $U \subseteq X, V \subseteq Y$  be open subsets such that  $f(U) \subseteq V$ . Moreover, let  $f|_{U,V}$  denote the map  $U \rightarrow V$  arising from  $f|_U$ . Then for  $\mathcal{F} \in \text{Sh}(X)$  and  $\mathcal{G} \in \text{Sh}(Y)$  we have*

1.  $(f^{-1}\mathcal{G})|_U \cong f|_U^{-1}\mathcal{G} \cong f|_{U,V}^{-1}(\mathcal{G}|_V)$
2.  $(f_*\mathcal{F})|_V \cong (f|_{U,V})_*(\mathcal{F}|_U)$  when  $U = f^{-1}(V)$

where the isomorphisms are natural.

*Proof.* 1.  $f|_U = f \circ i_U$  and so we obtain the first isomorphism.  $f|_U = i_V \circ f|_{U,V}$  and so we obtain the second isomorphism.

2. Straightforward calculation. ■

## 6.4 Results on schemes

**Proposition 6.4.1.** *Let  $X, Y$  and  $\{Z_i\}_i$  be schemes together with open immersions  $f_i : Z_i \rightarrow X, g_i : Z_i \rightarrow Y$ . Let  $\alpha : X \rightarrow Y$  be a morphism such that  $\alpha \circ f_i = g_i$  for all  $i$  and  $\alpha : f_i(Z_i) \cap f_j(Z_j) \rightarrow g_i(Z_i) \cap g_j(Z_j)$  is an isomorphism for all  $i, j$ . Then  $\alpha$  is an isomorphism.*

*Proof.* We have that  $\alpha : f_i(Z_i) \rightarrow g_i(Z_i)$  is an isomorphism for all  $i$ . So we can define inverses  $\beta_i : g_i(Z_i) \rightarrow f_i(Z_i)$ . They agree on overlaps and so they glue to give a global inverse  $\beta$ .  $\blacksquare$

**Definition 6.4.2.** Let  $F : \text{Sch}^{op} \rightarrow \text{Set}$  be a functor. We call  $F$  locally sheafy if for any scheme  $X$ ,  $F|_{\text{Top}(X)}$  is a sheaf of sets.

**Thm 6.4.3.** *Let  $F, G : \text{Sch}^{op} \rightarrow \text{Set}$  be locally sheafy functors and suppose there is a natural transformation  $\eta : F|_{\text{Aff}^{op}} \Rightarrow G|_{\text{Aff}^{op}}$ . Then there is a unique natural transformation  $\zeta : F \Rightarrow G$  such that  $\zeta|_{\text{Aff}} = \eta$ .*

*Proof.* Let  $X$  be a scheme and  $s \in F(X)$ . We wish to define  $\zeta_X(s) \in G(X)$ . For each affine piece  $U$  of  $X$ , define  $t_U = \eta_U(s|_U) \in G(U)$ . Given any two affine pieces  $U$  and  $V$  we have  $t_U|_{U \cap V} = \eta_{U \cap V}(s|_{U \cap V}) = t_V|_{U \cap V}$ . Since the union of all affine pieces of  $X$  is  $X$  we obtain an element  $t \in G(X)$  such that  $t|_U = t_U$  for all affine  $U \subseteq X$ . Define  $\zeta_X(s) = t$ . Note that if  $X$  was already affine then  $\zeta_X = \eta_X$ . We claim that  $\zeta$  is a natural transformation.

Let  $X, Y$  be schemes and  $f : X \rightarrow Y$  a morphism (in  $\text{Sch}$ ). Let  $U \subseteq Y$  and  $V \subseteq f^{-1}(U) \subseteq X$  be affine pieces and  $f|_{V,U} : V \rightarrow U$  denote the map such that  $f \circ i_V = i_U \circ f|_{V,U}$ . Then we know that

$$\begin{array}{ccccc}
 F(U) & \xrightarrow{\eta_U} & G(U) & & \\
 \swarrow & & \searrow & & \\
 & F(Y) \xrightarrow{\zeta_Y} G(Y) & & & \\
 \downarrow Ff_{V,U} & \downarrow Ff & \downarrow Gf & \downarrow Ff_{V,U} & \\
 & F(X) \xrightarrow{\zeta_X} G(X) & & & \\
 \swarrow & & \searrow & & \\
 F(V) & \xrightarrow{\eta_V} & G(V) & & 
 \end{array} \tag{6.5}$$

commutes except for the middle square. Thus  $G(i_V) \circ (Gf \circ \zeta_Y) = G(i_V) \circ (\zeta_X \circ Ff)$ . But we can vary the  $U$  and  $V$  so that the  $V$  cover  $X$ . It follows that  $Gf \circ \zeta_Y = \zeta_X \circ Gf$ . Thus  $\zeta$  is a natural transformation.

To see that  $\zeta$  is unique, suppose  $\xi : F \Rightarrow G$  is another natural transformation extending  $\eta$ . Then let  $s \in F(X)$  and  $U \subseteq X$  be an affine piece. We must have  $G(i_U) \circ \zeta_X(s) = \eta_U \circ F(i_U) = G(i_U) \circ \xi_X(s)$ . But we can vary  $U$  to cover  $X$  and so we must have  $\zeta_X(s) = \xi_X(s)$  for all  $s \in F(X)$  and hence  $\zeta_X = \xi_X$  for all  $X$  and hence  $\zeta = \xi$ .  $\blacksquare$

## 6. Appendix

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**Corollary 6.4.4.** *Let  $F, G : \text{Sch}^{op} \rightarrow \text{Set}$  be locally sheafy functors such that  $F|_{\text{Aff}^{op}} \cong G|_{\text{Aff}^{op}}$ . Then  $F \cong G$ .*

**Conjecture 6.4.5.** *There is an equivalence of categories between locally sheafy presheafs on  $\text{Sch}$  and locally sheafy presheafs on  $\text{Aff}$ .*

*Proof.* Given  $F : \text{Aff}^{op} \rightarrow \text{Set}$  define  $\tilde{F} : \text{Sch}^{op} \rightarrow \text{Set}$  by  $X \mapsto \varprojlim_{U \subseteq X} F(U)$  where  $U$  ranges over affine subsets of  $X$  and send morphisms to the obvious things. ■