

Local fields

Emile T. Okada

February 20, 2019

Contents

Contents	1
1 Discrete valuation rings and Dedekind domains	3
1.1 Preliminaries	3
1.2 Discrete valuation rings	4
1.3 Dedekind domains	5

CHAPTER 1

Discrete valuation rings and Dedekind domains

1.1 Preliminaries

thm:int_dep

Thm 1.1.1. *Let $S \subseteq R$ be rings and $x \in R$. The following are equivalent:*

1. *x is integral over S*
2. *$S[x]$ is a finite S -algebra*
3. *$S[x]$ is contained in a subring $T \subseteq R$ that is a finite S -alg*
4. *There is an $S[x]$ -module M such that $\text{Ann}_{S[x]}(M) = 0$ and M is finitely generated as a S -module.*

Lemma 1.1.2. *Let $S \subseteq R$ be an integral extension of rings. Let $Q \triangleleft R$ be a prime ideal, and set $P = Q \cap S$. Then Q is maximal in R iff P is maximal in S .*

Lemma 1.1.3. *(Incomparability). Let $S \subseteq R$ be an integral extension of rings. Let $Q, Q' \triangleleft R$ be prime ideals with $Q \subseteq Q'$ and $Q \cap S = Q' \cap S$. Then $Q = Q'$.*

Lemma 1.1.4. *(Lying over). Let $S \subseteq R$ be an integral extension of rings. Let $P \triangleleft S$ be prime. Then there exists a prime $Q \triangleleft R$ such that $Q \cap S = P$.*

thm:going_up

Thm 1.1.5. *(Going up). Let $S \subseteq R$ be an integral extension of rings. Let $P_1 \subseteq P_2 \subseteq \cdots \subseteq P_n$ be a chain of primes in S and $Q_1 \subseteq Q_2 \subseteq \cdots \subseteq Q_m$ with $0 \leq m < n$ be a chain of primes in R with $Q_i \cap S = P_i$. Then the chain can be extended to $Q_1 \subseteq Q_2 \subseteq \cdots \subseteq Q_n$ with $Q_i \cap S = P_i$.*

thm:going_down

Thm 1.1.6. *(Going down). Let $S \subseteq R$ be an integral extension of domains such that S is normal. Let $P_1 \supseteq P_2 \supseteq \cdots \supseteq P_n$ be a chain of primes in S and $Q_1 \supseteq Q_2 \supseteq \cdots \supseteq Q_m$ with $0 \leq m < n$ be a chain of primes in R with $Q_i \cap S = P_i$. Then the chain can be extended to $Q_1 \supseteq Q_2 \supseteq \cdots \supseteq Q_n$ with $Q_i \cap S = P_i$.*

thm:noeth_loc_ring

Thm 1.1.7. *Let R be a Noetherian local ring with maximal ideal \mathfrak{m} . Then $\bigcap_n \mathfrak{m}^n = 0$.*

1.2 Discrete valuation rings

Definition 1.2.1. Let K be a field. A *discrete valuation* on K is a surjective function $v : K \rightarrow \mathbb{Z} \cup \{\infty\}$ satisfying

1. $v(x) = \infty$ iff $x = 0$
2. $v(xy) = v(x) + v(y)$
3. $v(x + y) \geq \inf(v(x), v(y))$.

If (K, v) is a field with a discrete valuation then $R = \{x \in K : v(x) \geq 0\}$ is a subring of K called the valuation ring of v .

Definition 1.2.2. A domain R is called a *discrete valuation ring* if there is a valuation v on $K = \text{Frac}(R)$ for which R is the valuation ring.

Lemma 1.2.3. Any DVR is a Noetherian local domain of Krull dimension 1.

Proof. Let $t \in R$ be such that $v(t) = 1$. Then all the ideals of R are in the chain

$$R \supsetneq (t) \supsetneq (t^2) \supsetneq (t^3) \supsetneq \cdots \supsetneq 0. \quad (1.1)$$

■

Thm 1.2.4. Let R be a Noetherian local domain with Krull dimension 1 and maximal ideal \mathfrak{m} . The following are equivalent

1. R is a DVR
2. \mathfrak{m} is principal
3. R is normal.

Proof. From the conditions on R we know the only prime ideals are 0 and \mathfrak{m} .

(1) \Rightarrow (3) Let $x \in K = \text{Frac}(R)$ and suppose it is integral over R . Then there are $a_0, \dots, a_{n-1} \in R$ such that $x^n + a_{n-1}x^{n-1} + \cdots + a_1x + a_0 = 0$. Suppose that $v(x) < 0$. Then

$$nv(x) = v(x^n) \geq \inf(v(a_i x^i)) \geq (n-1)v(x) \quad (1.2)$$

and so $v(x) \geq 0$ which is a contradiction and so $v(x) \geq 0$ and hence $x \in R$.

(3) \Rightarrow (2) Let $0 \neq x \in \mathfrak{m}$. Then $\text{rad}((x)) = \mathfrak{m}$ and so since R is Noetherian there is an $n \in \mathbb{N}$ such that $\mathfrak{m}^n \subseteq (x) \subseteq \mathfrak{m}$. Let n be minimal with this property.

Remark 1.2.5. Morally we now have $(x) = \mathfrak{m}^n$.

Let $y \in \mathfrak{m}^{n-1}$ be such that $y \notin (x)$. Set $z = x/y \in K$. Then $z^{-1} \notin R$ so z^{-1} is not integral over R . But this means that $z^{-1}\mathfrak{m} \not\subseteq \mathfrak{m}$ by my theorem 1.1.1. But $y\mathfrak{m} \subseteq (x)$ and so $z^{-1}\mathfrak{m} \subseteq R$ and hence $z^{-1}\mathfrak{m} = R$ and so $\mathfrak{m} = (z)$.

(2) \Rightarrow (1) Define obvious valuation. ■

Proposition 1.2.6. *R is a DVR iff it is a Noetherian local ring and its maximal ideal is generated by a non-nilpotent element π .*

Proof. By theorem 1.1.7 every element in R can be written as $\pi^n u$ for u a unit and $n \in \mathbb{N}_0$. It follows that R must be an integral domain, and so this expression is unique. Setting $v(\pi^n u) = n$ one can easily check that this defines valuation on $\text{Frac}(R)$ with valuation ring R . ■

1.3 Dedekind domains

Definition 1.3.1. A *Dedekind domain* is a normal Noetherian domain of Krull dimension 1.

Lemma 1.3.2. *Let R be a Noetherian domain of Krull dimension 1. Then R is a Dedekind domain iff every local ring $R_{\mathfrak{p}}$ for $\mathfrak{p} \neq 0$ is a DVR.*

Definition 1.3.3. Let R be a Dedekind domain with $K = \text{Frac}(R)$. We call an R -submodule of K a fractional ideal if it is finitely generated as an R -module.

Remark 1.3.4. One can define the product of fractional ideals in the obvious way. Then the products of fractional ideals are fractional ideals.

Proposition 1.3.5. *Let R be a Dedekind domain. Then all fractional ideals are invertible.*

1.3.1 Examples

PIDs

Thm 1.3.6. *Let R be a PID. Then R is a Dedekind domain.*

Proof. Certainly Noetherian. Also clearly has Krull dimension 1. Finally, $R_{\mathfrak{p}}$ is a local PID and hence a DVR for all $0 \neq \mathfrak{p} \triangleleft R$. ■

Rings of integers

Thm 1.3.7. *Let K/\mathbb{Q} be a number field and \mathcal{O}_K its ring of algebraic integers. Then \mathcal{O}_K is a Dedekind domain.*

Proof. If $\alpha \in \text{Frac}(\mathcal{O}_K) = K$ is integral over \mathcal{O}_K then it is integral over \mathbb{Z} and so lies in \mathcal{O}_K . Thus \mathcal{O}_K is normal. To see that \mathcal{O}_K is Noetherian note that it is finite over \mathbb{Z} and hence Noetherian. Finally, \mathcal{O}_K has Krull dimension 1 since it is integral over \mathbb{Z} and \mathbb{Z} has Krull dimension 1. ■

Coordinate rings

Thm 1.3.8. *Let V be an affine variety defined over an algebraically closed field k . Then $k[V]$ is a Dedekind domain iff V is non-singular, irreducible and of dimension 1.*

Proof. $k[V]$ is always Noetherian. V is irreducible iff $k[V]$ is a domain. V is of dimension 1 iff $k[V]$ has Krull dimension 1.

Now suppose $k[V]$ is a Dedekind domain. Then we know that all the local rings are normal Noetherian local domains i.e. DVRs and hence V must be non-singular. Conversely, suppose V is non-singular. Then all the local rings of $k[V]$ are DVRs and so $k[V]$ is a Dedekind domain. ■