

KERNELIZED LEARNING METHODS IN AUTOMATIC CONTROL

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laboratoire SuperScience
programme doctoral en SuperScience
École polytechnique fédérale de Lausanne
pour l'obtention du grade de Docteur ès Sciences
par

Paolino Paperino

acceptée sur proposition du jury :

Prof Name Surname, président du jury
Prof Name Surname, directeur de thèse
Prof Name Surname, rapporteur
Prof Name Surname, rapporteur
Prof Name Surname, rapporteur

Lausanne, EPFL, 2022



I got into this business because I love stories. They comfort us,
they inspire us, they create a context for how we experience the world.

— Princess Carolyn

Acknowledgment

Pausing for a moment to recognize others' contributions to your personal development makes you realize how life unfolds in intertwined, intricate ways.

Writing this thesis and defending my PhD would not have been possible if it were not for professor Colin Jones. I am sincerely grateful for your having invited me to join LA back in 2018, for your having given me the opportunity to develop my work in such a bright research environment. Your always-positive and light-weight approach to work was much appreciated, along with your talent to constantly bring forth new ideas. Thank you for all, truly.

Here's to all other professors and the defense committee...

LA is a great place to do your PhD at. The group is diverse and amicable, people are intellectually bright, and the overall atmosphere is on point. I am indebted to Mr. Harsh Ambarishkumar Shukla for being an awesome friend, for all the long talks about every possible subject one could imagine, most often accompanied by one drink or two. Throughout this journey, Yingzhao Lian has been my PhD twin, always making provocative research remarks and sharing his wine and food expertise with remarkable excitement. Paul Scharnhorst's contributions were mainly in two forms: being a key collaborator with whom the main theoretical results covered herein were derived, and constituting the Bienne-Nêuchâtel alliance that organized quite a number of dinners ending in Qwirkle matches. My time spent at the office would have not been as interesting without Mustafa Turan, for listening to my never-ending semi-philosophical blabbers and for being always ready to talk about the latest and greatest recipe. Cite Pulkit, Alessio, Sohail, Philippe, Clara, J1, J2,

The foremost group is certainly my fiancée and my family. I have an immense respect for my parents who have risen their children ensuring the absorption of important core values, including mutual respect, fairness and empathy. To this day, I look up to you. *Muito obrigado por tudo*, Bruno, Erica, Fabio and Renato. Since we met,

I am grateful for having met truly special people during my Bachelor studies who encouraged me to go beyond what seemed to be our limits at the time. In particular I wish to thank prof. Ruben Barros Godoy who played a fundamental role in my early academic years.

Bienne, August 8, 2022

Emilio Tanowe Maddalena

Preface

A preface is not mandatory. It would typically be written by some other person (eg your thesis director).

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Lausanne, 12 Mars 2011

T. D.

Abstract

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Zusammenfassung

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Résumé

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Contents

Acknowledgements	i
Preface	iii
Abstract (English/Français/Deutsch)	v
Introduction	1
0.1 Outline and Contribution	1
0.2 Publications	1
I Body 1	3
1 Tables and Figures	5
1.1 Tables	5
1.2 Figures	6
II Body 2	11
2 Mathematics	13
2.1 Very important formulas	13
3 Another chapter	15
3.1 One section	15
3.1.1 One subsection	15
A An appendix	17
Bibliography	19
Curriculum Vitae	21

Introduction

A non-numbered chapter...

0.1 Outline and Contribution

0.2 Publications

The subsequent chapters of this dissertation were based on the following publications:

- E. T. Maddalena, Y. Lian, and C. N. Jones. "Data-driven methods for building control—A review and promising future directions." *Control Engineering Practice* 95 (2020): 104211.
- E.T. Maddalena, P. Scharnhorst, and C. N. Jones. "Deterministic error bounds for kernel-based learning techniques under bounded noise." *Automatica* 134 (2021): 109896.
- P. Scharnhorst, E.T. Maddalena, Y. Jiang, and C. N. Jones. "Robust Uncertainty Bounds in Reproducing Kernel Hilbert Spaces: A Convex Optimization Approach." *arXiv*.
- E. T. Maddalena, P. Scharnhorst, Y. Jiang, and C. N. Jones. "KPC: Learning-based model predictive control with deterministic guarantees." *Learning for Dynamics and Control*. PMLR, 2021.
- E. T. Maddalena, S. A. Müller, R. M. dos Santos, C. Salzmänn, C. N. Jones. "Experimental Data-Driven Model Predictive Control of a Hospital HVAC System During Regular Use." *Energy and Buildings*: 112316 (2022).

Works developed during the course of this PhD that are related to the thesis, but not discussed herein include:

- E. T. Maddalena, M. W. F. Specq, V. L. Wisniewski, and C. N. Jones. "Embedded PWM predictive control of DC-DC power converters via piecewise-affine neural networks." *IEEE Open Journal of the Industrial Electronics Society* (2021): 199-206.

- E.T. Maddalena, and C. N. Jones. "NSM converges to a k-NN regressor under loose Lipschitz estimates." IEEE Control Systems Letters 134 (2020): 880-885.
- E. T. Maddalena, C. G. S. Moraes, G. Waltrich, and C. N. Jones. "A neural network architecture to learn explicit MPC controllers from data." IFAC-PapersOnLine (2020): 11362-11367.
- A. Chakrabarty, E. T. Maddalena, H. Qiao, and C. Laughman. "Scalable Bayesian optimization for model calibration: Case study on coupled building and HVAC dynamics." Energy and Buildings 253, 111460
- E. T. Maddalena, and C. N. Jones. "Learning non-parametric models with guarantees: A smooth Lipschitz regression approach." IFAC-PapersOnLine (2020): 965-970.
- U. Rosolia, Y. Lian, E. T. Maddalena, G. Ferrari-Trecate, and C. N. Jones "On the Optimality and Convergence Properties of the Iterative Learning Model Predictive Controller." IEEE Transactions on Automatic Control.
- L. di Natale, Y. Lian, E. T. Maddalena, J. Shi, and C. N. Jones "Lessons Learned from Data-Driven Building Control Experiments: Contrasting Gaussian Process-based MPC, Bilevel DeePC, and Deep Reinforcement Learning." arXiv.

Body 1 Part I

1 Tables and Figures

In this chapter we will see some examples of tables and figures.

1.1 Tables

Let's see how to make a well designed table.

The table 1.1 is a floating table and was obtained with the following code:

```
1 \begin{table}[tb]
2 \caption[A floating table]{A floating table.}
3 \label{tab:example}
4 \centering
5 \begin{tabular}{ccc}
6 \toprule
7 name & weight & food \\
8 \midrule
9 mouse & 10 g & cheese \\
10 cat & 1 kg & mice \\
11 dog & 10 kg & cats \\
12 t-rex & 10 Mg & dogs \\
13 \bottomrule
14 \end{tabular}
15 \end{table}
```

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Ut purus elit, vestibulum ut, placerat

Table 1.1: A floating table.

name	weight	food
mouse	10 g	cheese
cat	1 kg	mice
dog	10 kg	cats
t-rex	10 Mg	dogs

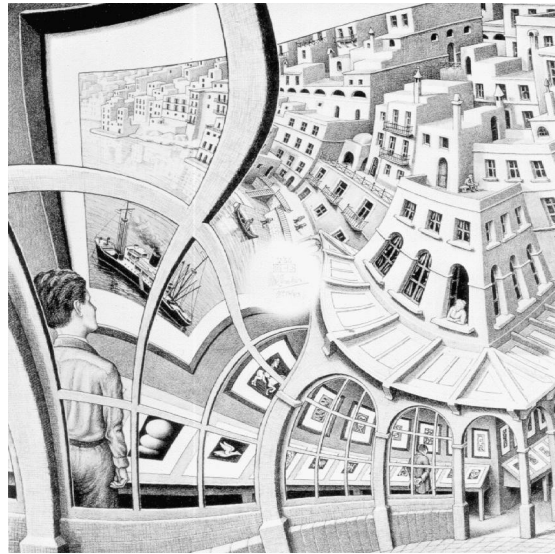


Figure 1.1: A floating figure (the lithograph *Galleria di stampe*, of M. Escher, got from <http://www.mcescher.com/>).

ac, adipiscing vitae, felis. Curabitur dictum gravida mauris. Nam arcu libero, nonummy eget, consectetur id, vulputate a, magna. Donec vehicula augue eu neque. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Mauris ut leo. Cras viverra metus rhoncus sem. Nulla et lectus vestibulum urna fringilla ultrices. Phasellus eu tellus sit amet tortor gravida placerat. Integer sapien est, iaculis in, pretium quis, viverra ac, nunc. Praesent eget sem vel leo ultrices bibendum. Aenean faucibus. Morbi dolor nulla, malesuada eu, pulvinar at, mollis ac, nulla. Curabitur auctor semper nulla. Donec varius orci eget risus. Duis nibh mi, congue eu, accumsan eleifend, sagittis quis, diam. Duis eget orci sit amet orci dignissim rutrum.

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1.2 Figures

Let's see now how to put one or several images in your text.

The figure 1.1 is a floating figure and was obtained with the following code:

```
1 \begin{figure}[tb]
2 \centering
```

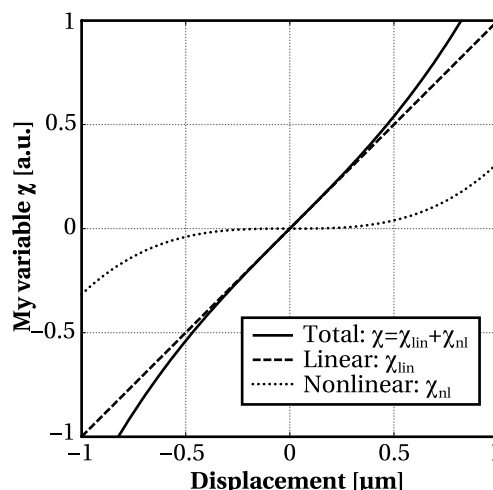


Figure 1.2: A floating figure with text typeset in "Utopia Latex", a font provided in the template folder for typesetting figures with greek characters. The text has been "outlined" for best compatibility with the repro during the printing.

```

3 \includegraphics[width=0.5\columnwidth]{galleria_stampe}
4 \caption[A floating figure]{A floating figure ... }
5 \label{fig:galleria}
6 \end{figure}

```

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Ut purus elit, vestibulum ut, placerat ac, adipiscing vitae, felis. Curabitur dictum gravida mauris. Nam arcu libero, nonummy eget, consectetur id, vulputate a, magna. Donec vehicula augue eu neque. Pellentesque habitant morbi tristique senectus et netus et malesuada fames ac turpis egestas. Mauris ut leo. Cras viverra metus rhoncus sem. Nulla et lectus vestibulum urna fringilla ultrices. Phasellus eu tellus sit amet tortor gravida placerat. Integer sapien est, iaculis in, pretium quis, viverra ac, nunc. Praesent eget sem vel leo ultrices bibendum. Aenean faucibus. Morbi dolor nulla, malesuada eu, pulvinar at, mollis ac, nulla. Curabitur auctor semper nulla. Donec varius orci eget risus. Duis nibh mi, congue eu, accumsan eleifend, sagittis quis, diam. Duis eget orci sit amet orci dignissim rutrum.

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The figure 1.3 is a floating figure and was obtained with the following code:

```

1 \begin{figure}[tb]
2 \centering

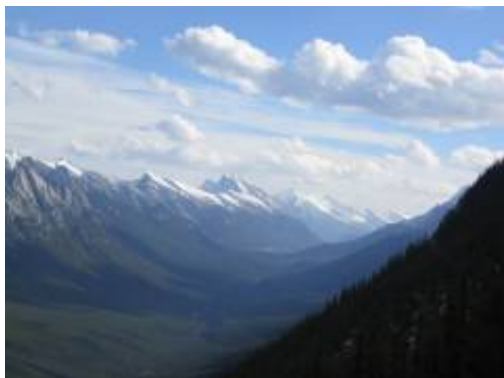
```



(a) Asia personas duo.



(b) Pan ma signo.



(c) Methodicamente o uno.



(d) Titulo debitas.

Figure 1.3: Tu duo titulo debitas latente.

```

3 \subfloat[Asia personas duo.]
4 {\includegraphics[width=.45\columnwidth]{lorem}} \quad
5 \subfloat[Pan ma signo.]
6 {\label{fig:ipsum}%
7 \includegraphics[width=.45\columnwidth]{ipsum}} \\
8 \subfloat[Methodicamente o uno.]
9 {\includegraphics[width=.45\columnwidth]{dolor}} \quad
10 \subfloat[Titulo debitas.]
11 {\includegraphics[width=.45\columnwidth]{sit}}
12 \caption[Tu duo titulo debitas latente]{Tu duo titulo debitas latente.}
13 \label{fig:esempio}
14 \end{figure}

```

Nulla malesuada porttitor diam. Donec felis erat, congue non, volutpat at, tincidunt tristique, libero. Vivamus viverra fermentum felis. Donec nonummy pellentesque ante. Phasellus adipiscing semper elit. Proin fermentum massa ac quam. Sed diam turpis, molestie vitae, placerat a, molestie nec, leo. Maecenas lacinia. Nam ipsum ligula, eleifend at, accumsan nec, suscipit a, ipsum. Morbi blandit ligula feugiat magna. Nunc eleifend consequat lorem. Sed lacinia nulla vitae enim. Pellentesque tincidunt purus vel magna. Integer non enim. Praesent euismod nunc eu purus. Donec bibendum quam in tellus. Nullam cursus pulvinar lectus.

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Sed commodo posuere pede. Mauris ut est. Ut quis purus. Sed ac odio. Sed vehicula hendrerit sem. Duis non odio. Morbi ut dui. Sed accumsan risus eget odio. In hac habitasse platea dictumst. Pellentesque non elit. Fusce sed justo eu urna porta tincidunt. Mauris felis odio, sollicitudin sed, volutpat a, ornare ac, erat. Morbi quis dolor. Donec pellentesque, erat ac sagittis semper, nunc dui lobortis purus, quis congue purus metus ultricies tellus. Proin et quam. Class aptent taciti sociosqu ad litora torquent per conubia nostra, per inceptos hymenaeos. Praesent sapien turpis, fermentum vel, eleifend faucibus, vehicula eu, lacus.

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Body 2 Part II

2 Mathematics

In this chapter we will see some examples of mathematics.

Here's an example how to cite. Applied Technology Council (1985)

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2.1 Very important formulas

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$$\frac{d}{dt} \begin{bmatrix} P_0 \\ P_I \\ P_T \end{bmatrix} = \begin{bmatrix} \frac{P_I}{\tau_{10}} + \frac{P_T}{\tau_T} - \frac{P_0}{\tau_{ex}} \\ -\frac{P_I}{\tau_{10}} - \frac{P_I}{\tau_{isc}} + \frac{P_0}{\tau_{ex}} \\ \frac{P_I}{\tau_{isc}} - \frac{P_T}{\tau_T} \end{bmatrix} \quad (2.1)$$

Nulla malesuada porttitor diam. Donec felis erat, congue non, volutpat at, tincidunt tristique, libero. Vivamus viverra fermentum felis. Donec nonummy pellentesque ante. Phasellus adipiscing semper elit. Proin fermentum massa ac quam. Sed diam turpis, molestie vitae, placerat a, molestie nec, leo. Maecenas lacinia. Nam ipsum ligula, eleifend at, accumsan nec, suscipit a, ipsum. Morbi blandit ligula feugiat magna. Nunc eleifend consequat lorem. Sed lacinia nulla vitae enim. Pellentesque tincidunt purus vel magna. Integer non enim. Praesent euismod nunc eu purus. Donec bibendum quam in tellus. Nullam cursus pulvinar lectus. Donec et mi. Nam vulputate metus eu enim. Vestibulum pellentesque felis eu massa.

$$\bar{I}_f(\vec{r}) = \gamma(\vec{r}) \left(1 - \frac{\tau_T P_T^{eq} \left(1 - \exp\left(-\frac{(T_p - t_p)}{\tau_T}\right) \right)}{1 - \exp\left(-\frac{(T_p - t_p)}{\tau_T} + k_2 t_p\right)} \times \frac{(\exp(k_2 t_p) - 1)}{t_p} \right) \quad (2.2)$$

Nulla malesuada porttitor diam. Donec felis erat, congue non, volutpat at, tincidunt tristique, libero. Vivamus viverra fermentum felis. Donec nonummy pellentesque ante. Phasellus adipiscing semper elit. Proin fermentum massa ac quam. Sed diam turpis, molestie vitae, placerat a, molestie nec, leo. Maecenas lacinia. Nam ipsum ligula, eleifend at, accumsan nec, suscipit a, ipsum. Morbi blandit ligula feugiat magna. Nunc eleifend consequat lorem. Sed lacinia nulla vitae enim. Pellentesque tincidunt purus vel magna. Integer non enim. Praesent euismod nunc eu purus. Donec bibendum quam in tellus. Nullam cursus pulvinar lectus. Donec et mi. Nam vulputate metus eu enim. Vestibulum pellentesque felis eu massa.

3 Another chapter

Here you can see a citation: Applied Technology Council (1985).

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3.1 One section

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3.1.1 One subsection

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Chapter 3. Another chapter

suscipit a, ipsum. Morbi blandit ligula feugiat magna. Nunc eleifend consequat lorem. Sed lacinia nulla vitae enim. Pellentesque tincidunt purus vel magna. Integer non enim. Praesent euismod nunc eu purus. Donec bibendum quam in tellus. Nullam cursus pulvinar lectus. Donec et mi. Nam vulputate metus eu enim. Vestibulum pellentesque felis eu massa.

A An appendix

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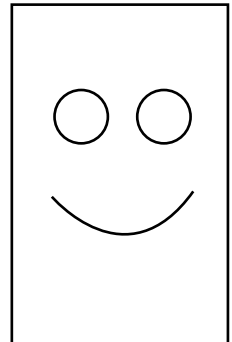
Applied Technology Council (1985). Earthquake damage evaluation data for California. Technical report, Seismic Safety Commission, Applied Technology Council (ATC), California.

Personal details:

Name : Mr. Sample CV
Address : Samplestreet
70
6005 Luzern
Switzerland
Date of Birth : 2nd of October 1981
Nationality : Swiss
Legally work : legally work in EU
Marital status : with partner
Children : none

Languages : Chinese/Mandarin, English, French, German
Education level : Bachelors degree
Hospitality work experience : 3-5 years
Special experience : Europe work experience

Date of availability : September 2009
Current location : Africa
Travelling Status : will be travelling single status
Telephone : 0041 41 370 6759
Email address : jeff@h-g-r.com
Position(s) sought : Permanent position for graduates
Department(s) sought : Food & Beverage Bar/Sommelier



Personal profile:

As a Bachelor of Business Administration and after obtaining first relevant international work experience within the hospitality industry, I am now ready to take on new responsibilities to further my professional career. My key strengths include strong analytical and logical skills, an eye for detail, communication and interpersonal skills.
I enjoy working in a team and help others progress. At the same time I work well independently.
As a highly motivated and driven individual I strive on taking up challenges.

Interests:

Travelling
Foreign Cultures
Photography
Sports

Educational qualifications:

Oct 99 - Feb 02 Higher Diploma (Hotel Management)
Swiss Hotelmanagement School, SHL

Employment history:

Mar 04 - Ongoing	<p>Assistant Manager (Rooms Division/Food & Beverage)</p> <p>Hotel Atlantic Kempinski Hamburg www.kempinski.com 5 star business hotel, part of Leading Hotels of the World 412 guest rooms, large function facilities, 3 food & beverage outlets</p> <p>Optimization of bar procedures, reinforcing SOPs</p> <p>Developing & implementing promotions</p> <p>Responsible for day-to-day operations</p> <p>Optimization and streamlining of housekeeping and laundry procedures</p> <p>Implementation of new SOPs</p> <p>Analyzing monthly reports for rooms division performance and sub departments</p>
Mar 03 - Mar 04	<p>Management Trainee</p> <p>Hospitality Graduate Recruitment www.h-g-r.com Leading company for placements within the Hospitality industry.</p> <p>Traineeship covering all aspects of an online recruitment agency.</p>
Mar 02 - Mar 03	<p>Management Trainee (Rooms Division)</p> <p>Hyatt Regency Xian, China www.hyatt.com 5 star business hotel 404 guest rooms, 4 food & beverage outlets</p> <p>Traineeship covering all rooms division departments on operational as well as supervisory level.</p>

Training courses attended:

Mar 02 - Ongoing	OpenOffice - IT Courses
May 01 - Jan 03	Language Course - Chinese

References:

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