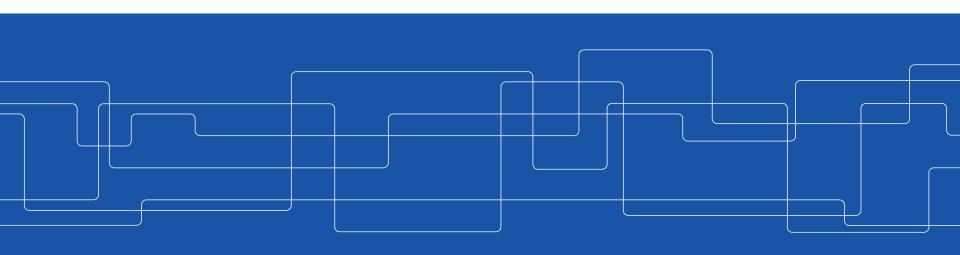


# **Distributed transactions**

Johan Montelius and Vladimir Vlassov





#### **Problem**

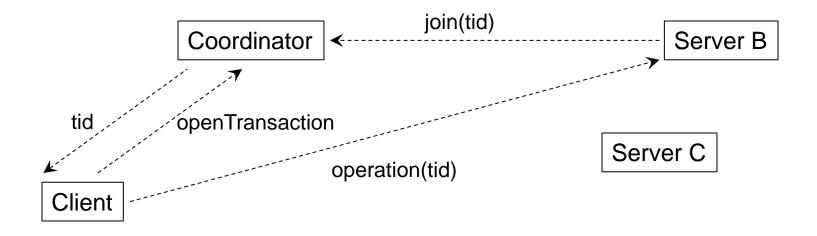
- Several independent transaction servers should be coordinated in one transaction.
- How do we coordinate operations to guarantee serial equivalence?



### The architecture

#### transaction servers

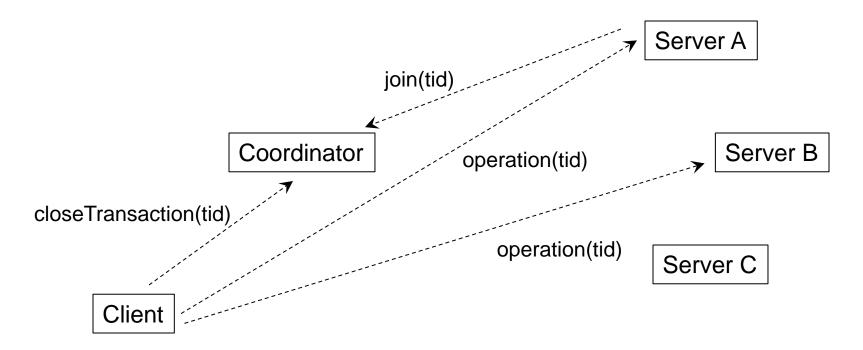
Server A





### The architecture

#### transaction servers







## **One-phase commit**

- Client sends closeTransaction to coordinator.
- Coordinator tells participants to commit the transaction.
- Problem:
  - ?





### **Two-phase commit**

- phase one (voting): ask participants to vote for commit or abort
  - if voting for commit, one has to be able to commit even after a node crash
  - if anyone aborts all must abort
- phase two (completion): inform all participants of the result





#### Consensus

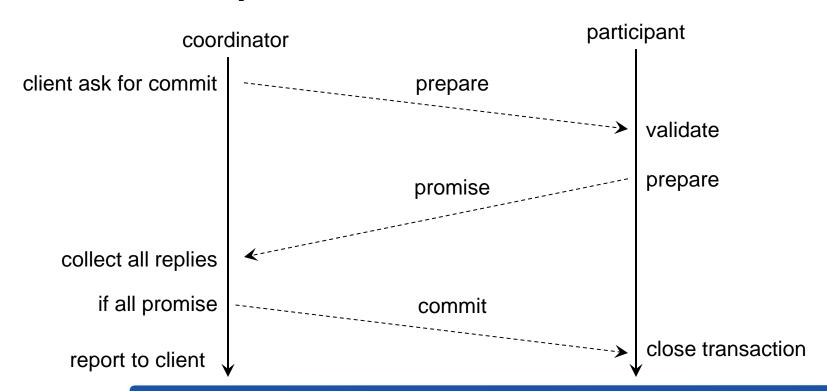
Two-phase commit is a consensus protocol but:

- all servers must vote
- if any server wants to abort then we abort



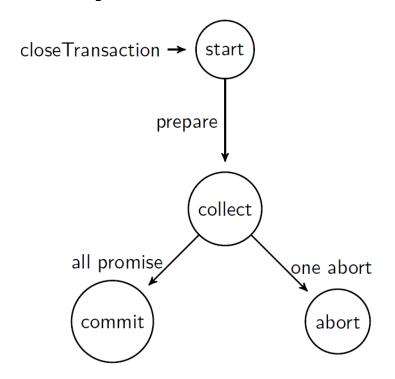


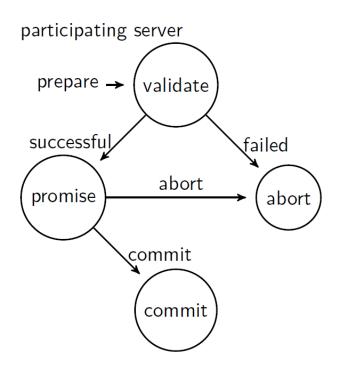
## **Two-phase commit**





## **Two-phase commit**









### What if ...

- A participating server crashes before making a promise
- A participating server crashes after having promised
- The coordinator crashes before asking for a promise
- The coordinator crashes but you have made a promise

Two-phase commit can be suspended waiting for a crashed coordinator





### If we know our peers

Assume that the participants know each other.

If the coordinator crashes:

- and no participant was told to commit, then it is safe to abort
- if one participant was told to commit, then we should all commit

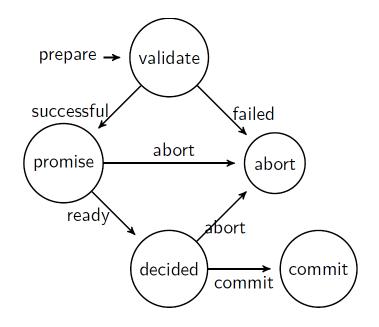
What if the coordinator and one participant has crashed and none of the surviving participants have received a commit message?





## Three-phase commit

- If the coordinator crashes and one node is still in the promised state we know that the coordinator has not ordered a commit - we can thus abort.
- If the coordinator crashes and all nodes are in the decided state they agree to commit.



Relies on perfect failure detectors - and that we know who is in the group.



## **Concurrency control**

- locking
- optimistic
- timestamp





## The danger of locking

Assume we implement *strict two-phase locking* and need to take the locks for *foo*, *bar* and *zot*.

What does it mean and what should we do?





#### Avoid or handle

You can either avoid deadlocks or detect them.

We are in a deadlock if T is waiting for S that is waiting for... that is waiting for T.

 A set of processes is deadlocked when each process in the set is waiting for an event which can only be caused by another process in that set

Examine the state and look for circular dependencies.



## Wait-for graph

- Nodes are transactions and objects
  - Edge (object → transaction) represents object held by transaction;
  - Edge (transaction → object) represents transaction waiting for object.

There is a deadlock if there is a cycle in the wait-for graph.

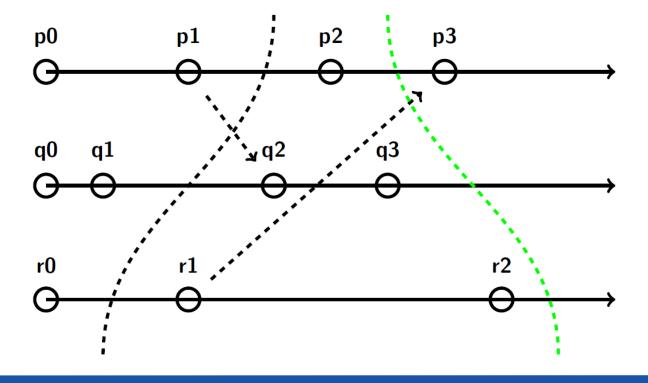
 In a distributed system, a global wait-for graph can be constructed from the local ones.

There is a distributed deadlock if there is a cycle in the global wait-for graph





### A distributed state







#### **Deadlock detection**

#### What if:

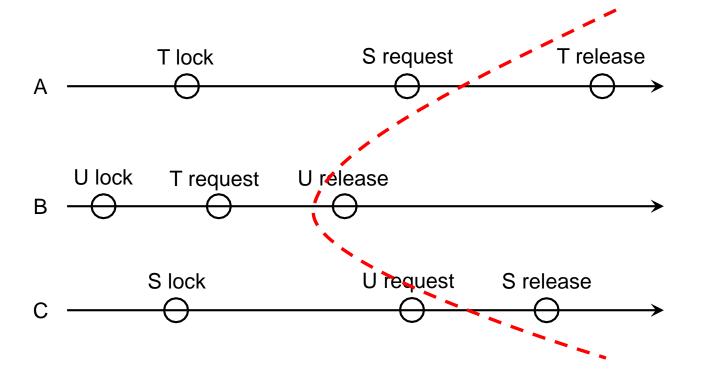
- server A reports: S is waiting for T
- server B reports: T is waiting for U
- server C reports: U is waiting for S

Deadlock detected, let's do something





### Phantom deadlock







#### **Detection**

How do we detect deadlocks?

#### Centralized deadlock detection

- one server takes on the role of global deadlock detector.
- Collects local wait-for graphs and constructs a global wait-for graph.
- When finds a cycle, tells the servers which transaction to abort in order to resolve the deadlock.

#### Distributed deadlock detection

- Uses a technique called edge chasing or path pushing
- Servers forward probes along the edges in the global wait-for graph.
- In this way, paths through the global wait-for graph are built one edge at a time.





## **Optimistic concurrency control**

Transactions should be validated in a total order.

What if transaction T is validated at A and transaction S at B?

A distributed transaction is validated by involved servers, each of which validates transactions that access its own objects.

- This validation takes place during the first phase of the twophase commit protocol.
- All of the servers of a particular transaction use the same globally unique transaction number (generator by coordinator) at the start of the validation.



## Timestamp order

A global timestamp that all transaction servers agree to.



### Summary

#### Distributed transactions

- a global total order of transactions
- if one server needs to abort, then all should abort

#### Two-phase commit

- coordinator asks participants to prepare
- participants promise to commit (or aborts)
- coordinator directs participants to commit

#### Distributed deadlock

- hard to prevent
- simpler to detect
  Concurrency control
- locks
- optimistic
- timestamp