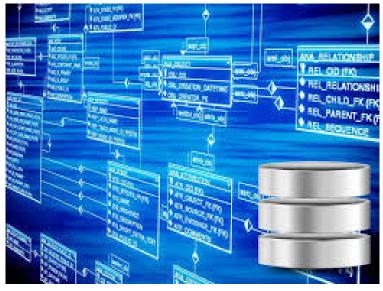
### **DATABASES**

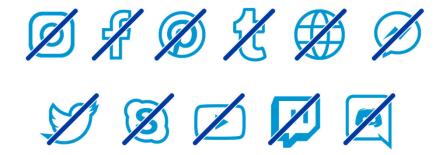
Prof. Dr. Ulrike Herster Hamburg University of Applied Sciences



Source: https://en.itpedia.nl/2017/11/26/wat-is-een-database/



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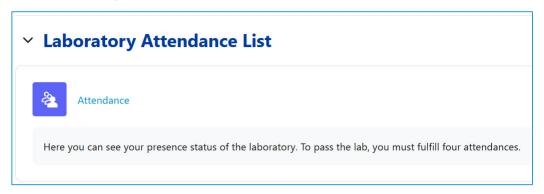
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#### LABORATORY ATTENDANCE LIST

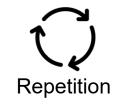
In our moodle room you find a Laboratory Attendance List



- This list documents
  - Your attendance for the four labs
  - Comments, e.g. about a presentation you did within a laboratory
- After each laboratory you have time until the following Friday to report incorrect comments / absences by e-mail to the lecturer of that lab (Moldenhauer, Yildirim, or Herster), e.g., for the first laboratory on 29.04.2024 you have time until Friday, 03.05.2024



# ORGANIZATION OUR JOURNEY IN THIS SEMESTER



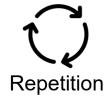


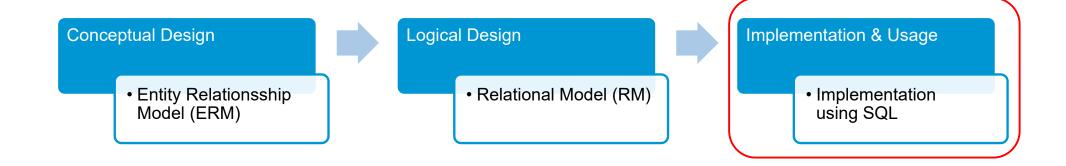
- Integrity, Trigger & Security
- Database Applications
- Transactions
- Subqueries & Views
- More SQL
- Notations & Guidelines
- Constraints
- Relationships
- Simple Entities and Attributes
- Basics

Source: Foto von Justin Kauffman auf Unsplash <sup>3</sup>



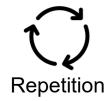
### MORE SQL DATABASE DESIGN







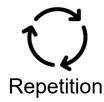
# MORE SQL DATA DEFINITION



- create table
  - DEFAULT, AUTO\_INCREMENT, NOT NULL, PRIMARY KEY, ...
- n ALTER table
  - □ ADD, DROP, MODIFY
  - □ Column, constraint
  - □ RENAME table
- DROP
  - CASCADE, RESTRICT



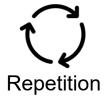
## MORE SQL DATA MANIPULATION



- INSERT
  - Constant tuples
  - Tuples returned by a query
- UPDATE
- DELETE
- All modifications need to observe constraints
  - → Domain constraints, Primary Key, Referential Integrity constraints, ...



### MORE SQL QUERYS



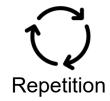
```
SELECT - Basic form

SELECT <attribute list>
FROM 
WHERE <condition>
```

- <attribute list> is a list of attribute names (columns)
   whose values are to be retrieved by the query
- is a list of the relation names (e.g., tables) required to process the query
- <condition>: optional conditional (Boolean) expression that identifies the tuples to be retrieved by the query



### MORE SQL QUERYS

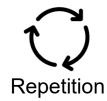


#### Syntax:

```
SELECT [ DISTINCT | ALL ] < attribute_list >
FROM 
[ WHERE < condition > ]
[ <group by clause > ]
[ <having clause > ]
[ UNION [ ALL ] < query specification> ]
[ < order by clause > ]
```



### MORE SQL QUERYS



One big difference between Relational Model and SQL:

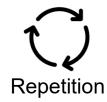
- SQL allows a table (relation) to have two or more tuples that are identical in all their attribute values.
  - → SQL table is not a set of tuples, it is a multiset



- Some SQL relations are constrained to be sets because
  - a key constraint has been declared or
  - the DISTINCT option has been used with the SELECT statement



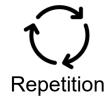
### MORE SQL QUERYS: ATTRIBUTE LIST



- SQL uses (mainly) multiset semantics
  - No elimination of duplicates
  - No duplicates wanted: use DISTINCT
- Ambiguity of attribute
  - The same name can be used for two (or more) attributes as long as the attributes are in different relations
  - If this is the case, and a multi-table query refers to two or more attributes with the same name, we must qualify the attribute name with the relation's name
  - This is done by prefixing the relation's name to the attribute name and separating the two by a period



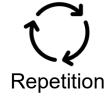
### MORE SQL QUERYS: SET OPERATIONS

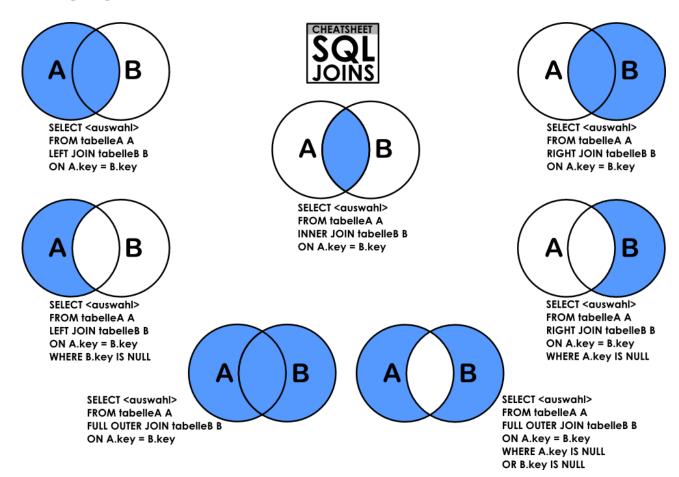


- SQL has incorporated some of the set operations:
  - UNION
  - EXCEPT
  - INTERSECT
- □ SQL has also the corresponding multiset operations (keyword **ALL**):
  - UNION ALL
  - EXCEPT ALL
  - **□ INTERSECT ALL**



# MORE SQL JOIN OF TABLES: OVERVIEW

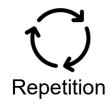




Source: https://stackoverflow.com/questions/59590346/trying-to-do-a-left-join-but-ending-up-getting-empty-result



### MORE SQL SPECIAL FEATURES: WHERE CLAUSE



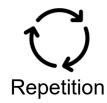
```
BETWEEN
```

```
... WHERE age >= 18 AND age <= 21;
... WHERE age BETWEEN 18 AND 21;
IN
... WHERE DId = 4 OR DId = 5 OR Did = 7;
... WHERE DId IN (4,5,7);
```

- Searching for string patterns
  - Search for patterns using LIKE and wildcards
    - \_(underscore): replaces a single character
    - % : replaces an arbitrary number of zero or more characters
  - Escape '\' for literals '%' and '\_' in strings
     → E.g., 'AB\\_CD' represents the string "AB\_CD"



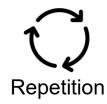
# MORE SQL SPECIAL FEATURES: SORTING OF RESULTS



- Results are (multi-)sets
  - → No defined order!
- Order wanted: use ORDER BY
  - ASC (default): ascending order
  - DESC: descending order
  - Precondition: Datatype defines order
    - For VARCHAR it depends on locale
  - Ordering for more than one column is possible



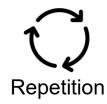
## MORE SQL SPECIAL FEATURES: AGGREGATE FUNCTIONS



- Summarize information from multiple tuples into a single-tuple summary
  - Analyze column values
  - Return one value for many rows (data reduction)
  - NULL values do not count!
  - COUNT, SUM, AVG, MAX, MIN
    - COUNT(\*): number of rows
    - **COUNT(DISTINCT a)**: count different values
  - Can be used in SELECT clause and HAVING clause
  - Attention: Not allowed in WHERE clause!



### MORE SQL SPECIAL FEATURES: GROUP BY

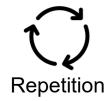


- Grouping is used to create subgroups of tuples before summarization
  - → partition the relation into nonoverlapping subsets (or groups) of tuples
  - Using a grouping attribute
  - Grouping attribute should appear in the SELECT clause
  - If NULLs exist in the grouping attribute, then a separate group is created for all tuples with a NULL value
- Example: For each department, retrieve the department number, the number of employees in the department, and their average salary

```
SELECT Dno, COUNT(*), AVG(Salary)
FROM Employee
GROUP BY Dno;
```



### MORE SQL SPECIAL FEATURES: HAVING



Example: For each project, retrieve the project number, the project name, and the number of employees from department 5 who work on the project

**SELECT** Pnumber, Pname, **COUNT**(\*)

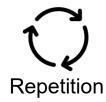
FROM Project, Works\_on, Employee

WHERE Pnumber = Pno AND SSN = ESSN AND Dno = 5

**GROUP BY** Pnumber, Pname;



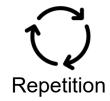
# MORE SQL SPECIAL FEATURES: HAVING



- HAVING provides a condition on the summary information regarding the group of tuples associated with each value of the grouping attributes
  - Only the groups that satisfy the condition are retrieved in the result of the query
  - HAVING clause appears in conjunction with GROUP BY clause
- □ Note:
  - Selection conditions in WHERE clause limit the tuples
  - HAVING clause serves to choose whole groups



# MORE SQL SPECIAL FEATURES: HAVING



Example: For each project on which more than two employees work, retrieve the project number, the project name, and the number of employees who work on the project

**SELECT** Pnumber, Pname, **COUNT**(\*)

FROM Project, Works\_on

WHERE Pnumber = Pno

**GROUP BY** Pnumber, Pname

HAVING COUNT(\*) > 2;



### MORE SQL SPECIAL FEATURES: ASSIGNMENT

- How many students are studying CS?
- List all majors and the number of students
   which have at least 2 or more students with this major.
- List all course names and how often they have been taught.
- For each section taught by Professor Anderson, retrieve the course number, semester, year, and number of students who took the section.

#### STUDENT

Name Student_number		Class	Major
Smith	17	1	CS
Brown	8	2	CS

#### COURSE

Course_name	Course_number	Credit_hours	Department
Intro to Computer Science	CS1310	4	CS
Data Structures	CS3320	4	CS
Discrete Mathematics	MATH2410	3	MATH
Database	CS3380	3	CS

#### SECTION

Section_identifier	Course_number	Semester	Year	Instructor
85	MATH2410	Fall	07	King
92	CS1310	Fall	07	Anderson
102	CS3320	Spring	08	Knuth
112	MATH2410	Fall	08	Chang
119	CS1310	Fall	08	Anderson
135	CS3380	Fall	08	Stone

#### **GRADE REPORT**

Student_number	Section_identifier	Grade
17	112	В
17	119	С
8	85	Α
8	92	Α
8	102	В
8	135	Α

#### PREREQUISITE

Course_number	Prerequisite_number
CS3380	CS3320
CS3380	MATH2410
CS3320	CS1310

### MORE SQL RELATIONAL ALGEBRA

- SQL → What!Relational Algebra → How!
- In mathematics an algebra is a values range combined with defined operations
- Relational Algebra: The values range is the content of the database;
   operations are functions to calculate the query results
  - → a set of operations for the relational model
- Relational Calculus: Descriptive approach that is based on mathematical logic
  - → higher-level declarative language for specifying relational queries,
  - e.g., no order of operations, only what information the result should contain

### MORE SQL RELATIONAL ALGEBRA: OVERVIEW

- Algebra operations produce new relations
- These can be further manipulated using operations of the same algebra
- Sequence of relational algebra operations:
   relational algebra expression
- The result of a relational algebra expression is also a relation
- ... representing the result of a database query (retrieval request)



### MORE SQL RELATIONAL ALGEBRA: OVERVIEW

- Algebra operations can be divided into two groups
  - □ First group consists of operations developed specifically for relational databases
    - → i.e., Selection, Projection, and Join
  - Second group includes set operations from mathematical set theory
    - → i.e., Union, Intersection, Set Difference, and Cartesian Product



### MORE SQL RELATIONAL ALGEBRA: OVERVIEW

- Order of explanation
  - Selection
  - Projection
  - Renaming
  - Union, Intersection, Set Difference
  - Cartesian Product
  - Join (Equijoin, Natural Join)



### MORE SQL RELATIONAL ALGEBRA: QUERYS

```
SELECT - Basic form

SELECT <attribute list> → Projection
FROM 
WHERE <condition> → Selection
```

- <attribute list> is a list of attribute names (columns)
   whose values are to be retrieved by the query
- is a list of the relation names (e.g., tables) required to process the query
- <condition>: optional conditional (Boolean) expression that identifies the tuples to be retrieved by the query



- **Selection** ( $\sigma$ ): mask out rows
  - Specify, which rows should remain (subset of the tuple)
    - Usage of selection: Specify, which tuples are interesting
    - Selection condition is a Boolean expression (condition)
    - The condition may contain complex expressions (combinations)
  - Specify, which relation is meant
    - Notice that *R* is generally a relational algebra expression whose result is a relation, e.g., a relation
  - Syntax:  $\sigma_{selection\ condition}(R)$
  - **Example:**  $\sigma_{Salary>30,000}(Employee)$

 $\sigma_{(DNr=4\;AND\;Salary>30,000)OR(DNr=5\;AND\;Salary>25,000)}\left(Employee\right)$ 



#### Example:

#### Person

<u>SSN</u>	Last Name	First Name	Mobile	
123456789	Miller	Jane	0044 7701 123456	
234567891	Miller	Steven	0044 7701 123457	
345678912	Smith	Maria		

#### $\sigma_{LastName="Miller"}(Person)$

<u>SSN</u>	Last Name	First Name	Mobile	
123456789	Miller	Jane	0044 7701 123456	
234567891	Miller	Steven	0044 7701 123457	



#### Note:

- Selection is unary (apply to a single relation)
- $\Box$  The degree of the relation resulting from a Selection is the same as the degree of R
- The number of tuples in the resulting relation is always less than or equal to the number of tuples in R



Selection condition is typically specified in the WHERE clause of a SQL query

Example:  $\sigma_{Salary>30,000}(Employee)$ 

SELECT \*
FROM Employee
WHERE Salary > 30,000



□ **Projection**  $(\pi)$ : mask out columns

Specify, which columns should remain

Specify, which relation is meant

• Syntax:  $\pi_{attribute\ list}(R)$ 

■ Example:  $\pi_{SSN,LastName}(Person)$ 

#### Person

<u>SSN</u>	Last Name	First Name	Mobile	
123456789	Miller	Jane	0044 7701 123456	
234567891	Miller	Steven	0044 7701 123457	
345678912	Smith	Maria		

#### $\pi_{SSN,LastName}(Person)$

	<u>SSN</u>	Last Name
	123456789	Miller
>	234567891	Miller
	345678912	Smith



#### Note:

- The degree of the result is equal to the number of attributes in attribute list
- If the attribute list includes only non-key attributes of R, duplicate tuples are likely to occur
   → The Projection removes any duplicate tuples,
   so, the result of the Projection is a set of distinct tuples, and hence a valid relation
- The number of tuples in a relation resulting from a Projection is always less than or equal to the number of tuples in R



The Projection attribute list is specified in the SELECT clause of a SQL query

Example:  $\pi_{LastName}(Person)$ 

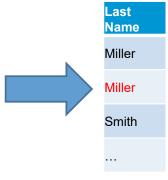
**SELECT** LastName **FROM** Person

#### Person

<u>SSN</u>	Last Name	First Name	Mobile	
123456789	Miller	Jane	0044 7701 123456	
234567891	Miller	Steven	0044 7701 123457	
345678912	Smith	Maria		









# MORE SQL RELATIONAL ALGEBRA: RENAMING

- **Renaming** ( $\rho$ ): Column gets new name
  - Specify, which column
  - Specify, which new name
  - Specify, which relation
  - Set theory: Union (∪), Intersection (∩) and Set Difference (−) are only defined for the same relation schema
    - → To achieve similar relation schema use projection and renaming
  - Renaming allows the renaming of attributes and relations



# MORE SQL RELATIONAL ALGEBRA: RENAMING

Renaming in SQL is accomplished by aliasing using AS

□ Example:  $\rho_{surname \leftarrow LastName}(Person)$ 

**SELECT** SSN, LastName **AS** Surname

FROM Person

#### Person

<u>SSN</u>	Last Name	First Name	Mobile	
123456789	Miller	Jane	0044 7701 123456	
234567891	Miller	Steven	0044 7701 123457	
345678912	Smith	Maria		

#### $\rho_{ID} \leftarrow_{GenreID}(Genre)$

	<u>SSN</u>	Surname
	123456789	Miller
>	234567891	Miller
	345678912	Smith



# MORE SQL RELATIONAL ALGEBRA: UNION, INTERSECTION AND SET DIFFERENCE

- Union, intersection, and set difference can only be applied on two relations that are union compatible
  - Union compatible means that the two relations have the same number of attributes and
  - each corresponding pair of attributes has the same domain



### Union ∪

- Example: Retrieve the Social Security numbers of all employees who
  - either work in department 5
  - or directly supervise an employee who works in department 5

$$\begin{array}{c} \textit{DEP5\_EMPS} \leftarrow \sigma_{DNr=5}(\textit{Employee}) \\ \textit{RESULT1} \leftarrow \pi_{SSN}(\textit{DEP5\_EMPS}) \\ \textit{RESULT2} \leftarrow \pi_{Superssn}(\textit{DEP5\_EMPS}) \\ \textit{RESULT} \leftarrow \textit{RESULT1} \ \cup \textit{RESULT2} \end{array}$$

#### EMPLOYEE

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
John	В	Smith	123456789	1965-01-09	731 Fondren, Houston, TX	М	30000	333445555	5
Franklin	T	Wong	333445555	1955-12-08	638 Voss, Houston, TX	М	40000	888665555	5
Alicia	٦	Zelaya	999887777	1968-01-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291 Berry, Bellaire, TX	F	43000	888665555	4
Ramesh	K	Narayan	666884444	1962-09-15	975 Fire Oak, Humble, TX	М	38000	333445555	5
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5
Ahmad	V	Jabbar	987987987	1969-03-29	980 Dallas, Houston, TX	М	25000	987654321	4
James	Е	Borg	888665555	1937-11-10	450 Stone, Houston, TX	М	55000	NULL	1

Source: Elmasri, Fundamentals of Database Systems, Page 145ff 5



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### □ Union ∪

- Example: Retrieve the Social Security numbers of all employees who
  - either work in department 5
  - or directly supervise an employee who works in department 5

$DEP5\_EMPS \leftarrow \sigma_{DNr=5}(Employee)$	(SELECT	ssn
RESULT1 $\leftarrow \pi_{SSN}(DEP5\_EMPS)$	FROM	Employee
RESULT2 $\leftarrow \pi_{Superssn}(DEP5\_EMPS)$	WHERE	Dno = 5)
$RESULT \leftarrow RESULT1 \cup RESULT2$	UNION	
RESOLI \ RESOLI I O RESOLI Z	(SELECT	super_ssn
	FROM	<b>EMPLOYEE</b>
	WHERE	dno = 5):



### Student

FirstName	LastName
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wand
Ernest	Gilbert

### Instructor

FirstName	LastName
John	Smith
Ricardo	Brown
Susan	Yao
Francis	Johnson
Ramesh	Shah

### **Student** ∪ **Instructor**

FirstName	LastName
Susan	Yao
Ramesh	Shah
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Barbara	Jones
Amy	Ford
Jimmy	Wand
Ernest	Gilbert
John	Smith
Ricardo	Brown
Francis	Johnson



### □ Intersection ∩

- The result  $(R \cap S)$  is a relation that includes all tuples that are in both R and S
- Commutative

$$\rightarrow R \cap S = S \cap R$$

Duplicate tuples are eliminated

### Example:

```
SELECT supplier_id FROM Suppliers
INTERSECT
SELECT supplier_id FROM Orders ;
```



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Francis	Johnson
Ramesh	Shah

### **Student ∩ Instructor**

FirstName	LastName
Susan	Yao
Ramesh	Shah



### Set Difference (-)

- The result (R S) is a relation that includes all tuples that are in R but not in S
- Not commutative

$$\rightarrow R - S \neq S - R$$

- Duplicate tuples are eliminated
- Example SQL:

Student **EXCEPT** Instructor

Example Oracle:

Student MINUS Instructor



### Student

FirstName	LastName
Susan	Yao
Ramesh	Shah
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wand
Ernest	Gilbert

### Instructor

FirstName	LastName
John	Smith
Ricardo	Brown
Susan	Yao
Francis	Johnson
Ramesh	Shah

### **Student - Instructor**

FirstName	LastName
Johnny	Kohler
Barbara	Jones
Amy	Ford
Jimmy	Wand
Ernest	Gilbert

### **Instructor - Student**

FirstName	LastName
John	Smith
Ricardo	Brown
Francis	Johnson



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FirstName	LastName
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Barbara	Jones
Amy	Ford
Jimmy	Wand
Ernest	Gilbert
John	Smith
Ricardo	Brown
Francis	Johnson

### **Student ∩ Instructor**

FirstName	LastName
Susan	Yao
Ramesh	Shah



- In SQL, there are three operations UNION, INTERSECT, and EXCEPT that correspond to the set operations described here
- In addition, there are multiset operations UNION ALL , INTERSECT ALL , and EXCEPT ALL
   — that do not eliminate duplicates



### Cartesian Product

- This is also a binary set operation
- Relations do not have to be union compatible
- The result  $(A \times B)$  is the combination of each tuple of the first relation A with each tuple of the second one B
- In general, the result of  $R(A_1, A_2, ... A_n) \times S(B_1, B_2, ... B_m)$  is a relation Q with degree n + m attributes  $Q(A_1, A_2, ... A_n, B_1, B_2, ... B_m)$
- If R has  $n_R$  tuples, and S has  $n_S$  tuples, then Q will have  $n_R * n_S$  tuples
- In SQL, the Cartesian Product can be realized by using the Cross Join option in joined tables



### Example: Person Person × House

Person	<u>SSN</u>	Last Name	First Name	Mobile
	123456789	Miller	Jane	0044 7701 123456
	234567891	Miller	Steven	0044 7701 123457

House	<u>Address</u>	Phone	
	221 Baker Street, 1NW London	0044 20	7946 0000
	112 Baker Street, 1NW London	0044 20	7946 1000



### Person × House

	<u>SSN</u>		First Name	Mobile	Address	Phone	
	12345 6789	Miller	Jane	0044 7701 123456	221 Baker Street, 1NW London	0044 20 7946 0000	
	12345 6789	Miller	Jane	0044 7701 123456	112 Baker Street, 1NW London	0044 20 7946 1000	
	23456 7891	Miller	Steven	0044 7701 123457	221 Baker Street, 1NW London	0044 20 7946 0000	
	7891	Miller	Steven	0044 7701 123457	112 Baker Street, 1NW London	0044 20 7946 1000	
ases, © Ulrike Herster, partially © Elm							



Example: retrieve a list of names of each female employee and her dependents

FEMALE\_EMPS 
$$\leftarrow \sigma_{Sex=\ 'F'}(Employee)$$
  
EMPNAMES  $\leftarrow \pi_{Fname,Lname,\ SSN}(FEMALE\_EMP)$   
 $EMP\_DEPENDENTS \leftarrow EMPNAMES \times DEPENDENT$   
ACTUAL\_DEPENDENTS  $\leftarrow \sigma_{SSN=ESSN}(EMP\_DEPENDENTS)$   
 $RESULT \leftarrow \pi_{Fname,\ Lname,\ Dependent\_name}$  (ACTUAL\_DEPENDENTS)

#### **FEMALE EMPS**

Fname	Minit	Lname	Ssn	Bdate	Address	Sex	Salary	Super_ssn	Dno
Alicia	J	Zelaya	999887777	1968-07-19	3321 Castle, Spring, TX	F	25000	987654321	4
Jennifer	S	Wallace	987654321	1941-06-20	291Berry, Bellaire, TX	F	43000	888665555	4
Joyce	Α	English	453453453	1972-07-31	5631 Rice, Houston, TX	F	25000	333445555	5

#### **EMPNAMES**

Fname	Lname	Ssn
Alicia	Zelaya	999887777
Jennifer	Wallace	987654321
Joyce	English	453453453



#### EMP\_DEPENDENTS

### Example:

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Alicia	Zelaya	999887777	333445555	Alice	F	1986-04-05	
Alicia	Zelaya	999887777	333445555	Theodore	М	1983-10-25	
Alicia	Zelaya	999887777	333445555	Joy	F	1958-05-03	
Alicia	Zelaya	999887777	987654321	Abner	М	1942-02-28	
Alicia	Zelaya	999887777	123456789	Michael	М	1988-01-04	
Alicia	Zelaya	999887777	123456789	Alice	F	1988-12-30	
Alicia	Zelaya	999887777	123456789	Elizabeth	F	1967-05-05	
Jennifer	Wallace	987654321	333445555	Alice	F	1986-04-05	
Jennifer	Wallace	987654321	333445555	Theodore	М	1983-10-25	
Jennifer	Wallace	987654321	333445555	Joy	F	1958-05-03	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	
Jennifer	Wallace	987654321	123456789	Michael	М	1988-01-04	
Jennifer	Wallace	987654321	123456789	Alice	F	1988-12-30	
Jennifer	Wallace	987654321	123456789	Elizabeth	F	1967-05-05	
Joyce	English	453453453	333445555	Alice	F	1986-04-05	
Joyce	English	453453453	333445555	Theodore	М	1983-10-25	
Joyce	English	453453453	333445555	Joy	F	1958-05-03	
Joyce	English	453453453	987654321	Abner	М	1942-02-28	
Joyce	English	453453453	123456789	Michael	М	1988-01-04	
Joyce	English	453453453	123456789	Alice	F	1988-12-30	
Joyce	English	453453453	123456789	Elizabeth	F	1967-05-05	



### Example:

#### ACTUAL\_DEPENDENTS

Fname	Lname	Ssn	Essn	Dependent_name	Sex	Bdate	
Jennifer	Wallace	987654321	987654321	Abner	М	1942-02-28	

#### RESULT

Fname	Lname	Dependent_name
Jennifer	Wallace	Abner



- Join (⋈):
  - Combine related tuples from two relations into single "longer" tuples
  - Very important!!!
  - Specify, which tables should be combined
  - The same attribute name merges
  - Without same attributes: the join is the cartesian product
  - There are different types of joins, which are presented later in more detail
  - Comparison to Cartesian Product: The result has one tuple for each combination of tuples
    of the two relations whenever the combination satisfies the join condition



Example: retrieve all attributes of the managers of each department

$$\mathsf{DEPT\_MGR} \leftarrow \mathit{DEPARTMENT} \; \bowtie_{\mathit{Mgr\_SSN= SSN}} (Employee)$$

#### DEPT MGR

Dname	Dnumber	Mgr_ssn	 Fname	Minit	Lname	Ssn	
Research	5	333445555	 Franklin	Т	Wong	333445555	
Administration	4	987654321	 Jennifer	S	Wallace	987654321	
Headquarters	1	888665555	 James	E	Borg	888665555	



- Example
  - With Cartesian Product:

EMP\_DEPENDENTS 
$$\leftarrow$$
 EMPNAMES  $\times$  DEPENDENT ACTUAL\_DEPENDENTS  $\leftarrow$   $\sigma_{SSN=ESSN}(EMP\_DEPENDENTS)$ 

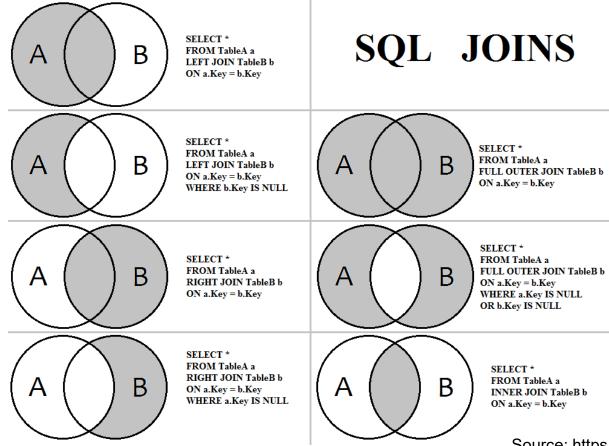
With Equijoin:

 $ACTUAL_DEPENDENTS \leftarrow EMPNAMES \bowtie_{SSN = ESSN} (DEPENDENT)$ 



- Variations of JOIN:
  - Equijoin
    - Used comparison operator is = only
      - → two attributes requires the values to be identical in every tuple in the result
  - Natural Join
    - Two join attributes (or each pair of join attributes) have the same name in both relations.
      - → If this is not the case, a renaming operation is applied first





Source: https://huklee.github.io/2017/01/28/021.SQL-all-kinds-of-join-queries/



- How many tuples are in my result set (cardinality)?
  - Interesting question for end user ("I'll just print it!")
  - Interesting question for programmer ("Program is running forever?!")
  - Interesting question for DBMS creator
     ("I'll start with operation 1 and do operation 2 afterwards")
- The answer to this question depends on involved operations...



- The answer to this question depends on involved operations:
- Projection
  - Upper bounds: number of tuples in the projected relation
  - Lower bounds: 1 (for not empty original relation)
  - Rule: If the projected attribute contains a key candidate, then the cardinality of the result is equal to the amount of tuples
  - This rule also applies if the attributes of the current database state are coincidentally a key candidate



- The answer to this question depends on involved operations:
- Selection
  - The cardinality of the selection depends on the selection conditions
  - Upper bounds: amount of tuples
  - Lower bounds: 0
  - Selection is used to restrict the number of tuples, thus, the upper bounds is rarely present in practice



- The answer to this question depends on involved operations:
- Cartesian Product
  - Cardinality is the product of the cardinalities of participating relations
  - Thus, the cartesian product is always an "expensive" operation
- Join
  - Upper bounds: Product of cardinalities of participating relations
  - Lower bounds: 0
  - □ Thus, the join operation may be an "expensive" operation





- Minimal set of operations
  - It's sufficient if a language provides the operations  $\rho$ ,  $\sigma$ ,  $\pi$ ,  $\cup$ ,  $\neg$ ,  $\times$ 
    - The language is then "relational complete", meaning "everything" is requestable
    - The operations are also independent, therefore none of it are dispensable
  - Other operations are representable by these operations:
    - Example:  $R \cap S \Leftrightarrow (R \cup S) ((R S) \cup (S R))$
  - Important for the implementation of a DBMS and for the optimization of queries





- Selection (σ (sigma))
- Projection (π (pi))
- Renaming (ρ (rho))
- Union ( ∪ )
- Set Difference (or Except, Minus, -)
- Cartesian Product (x)
- All other operations can be built from these!



Operation	Purpose	Notation	
Selection	Selects all tuples that satisfy the selection condition from a relation $R$	$\sigma_{\leq selection\ condition>}(R)$	
Projection	Produces a new relation with only some of the attributes of $R$ , and removes duplicate tuples	$\pi_{< attribute\ list>}(R)$	
Renaming	Column in the result relation gets new name	$\rho_{new\ name\ \leftarrow attribute\ name}(R)$	
Join	Produces all combinations of tuples from $R_1$ and $R_2$ that satisfy the join condition	$R_1 \bowtie_{< join\ condition>} R_2$	
Equijoin	Produces all the combinations of tuples from $R_1$ and $R_2$ that satisfy a join condition with only equality comparisons	$R_1 *_{< join\ condition} > R_2$	



Operation	Purpose	Notation
Union	Produces a relation that includes all the tuples in $R_1$ or $R_2$ or both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible	$R_1 \cup R_2$
Intersection	Produces a relation that includes all the tuples in both $R_1$ and $R_2$ ; $R_1$ and $R_2$ must be union compatible	$R_1 \cap R_2$
Set Difference	Produces a relation that includes all the tuples in $R_1$ that are not in $R_2$ ; $R_1$ and $R_2$ must be union compatible	$R_1 - R_2$
Cartesian Product	Produces a relation that has the attributes of $R_1$ and $R_2$ and includes as tuples all possible combinations of tuples from $R_1$ and $R_2$	$R_1 \times R_2$





- The relational algebra constructs the query result by applying operations and an order (project on X, select the Y and combine that with  $R_2$ , . . )
- In contrast, the relational calculus are using a descriptive approach
- Calculus are logic-based approaches like the predicate logic
- Therefore, sets are characterized that correspond with the query result
- Calculus has variables, constants, comparison operations, logical operations and quantifier





- Two types of relational calculus
  - Tuple relational calculus: variables declare tuples (are bounded to them)
  - Domain relational calculus: variables declare domain elements (thus, values range of attributes)
- Expressions in the calculus are called formula
- A result tuple is more or less an assignment of constants to variables,
   so that the formula is evaluated as TRUE







Source: https://www.youtube.com/watch?v=ekF4qQBsk18





- Example:
  - In mathematics:

    - This defines the set of all square numbers that cube number is between 0 and 1000
  - Relational calculus:
    - $A = \{ x | Person(x, y) \land y = 'Jones' \} = \{ 2 \}$
    - By usage of complex expressions (formula), the calculus has the same expressiveness as the relational algebra Person

PID	Name
1	Kohler
2	Jones
3	Ford
4	Jones

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- Query languages for relational database schemas are mathematical substantiated
- The mathematical basis are the relational algebra and the relational calculus
- The relational algebra defines few operations, with that every request is expressible:
   Projection, Selection, Join, Renaming, Union, Set difference
- The relational calculus characterizes sets, which corresponds with the query result
- The relational calculus is descriptive, because it doesn't have to define an order of operations that construct the result
- Relational algebra and relational calculus have the same expressiveness



# ORGANIZATION OUR JOURNEY IN THIS SEMESTER



- Integrity, Trigger & Security
- Database Applications
- Transactions
- Subqueries & Views
- More SQL
- Notations & Guidelines
- Constraints
- Relationships
- Simple Entities and Attributes
- Basics

Source: Foto von Justin Kauffman auf Unsplash 587



# SUBQUERIES AND VIEWS SUBQUERIES

- SELECT returns relation: a (multi-)set
- Result of SELECT can be included in query
  - WHERE clause
    - → also, for **UPDATE**, **DELETE**
  - HAVING clause
  - □ FROM clause
  - SELECT clause (in column list)
- □ So, we have two (or more) SELECTs:
  - Outer SELECT
  - Nested (or inner) SELECT: subquery



# SUBQUERIES AND VIEWS SUBQUERIES

Example from before:

```
INSERT INTO Underpaid ( lname , fname )
    SELECT lname , fname
    FROM Employee
    WHERE salary < 1000 ;</pre>
```

→ WHERE clause belongs to SELECT



# SUBQUERIES AND VIEWS SUBQUERIES - WHERE .. IN

- In general, the nested query will return a table (relation),
   which is a set or multiset of tuples
- □ Check if value is a member of set
  - $\rightarrow$  ... WHERE a IN (1,4,9)
- Set can be result of query
  - $\rightarrow$  ... WHERE a IN (SELECT x FROM y)



Example:

**SELECT DISTINCT** Essn

FROM WORKS\_ON

WHERE (Pno) IN (SELECT Pno

FROM WORKS\_ON

WHERE Essn='123456789');

What does this statement mean???



#### Example:

→ The subqueries are independent of each other, because they do not access the same tables



#### Example:

→ In this example both queries depend on each other, because the second query references a part of the first relation ("person").



#### Example:

What does this statement mean???



- ☐ The operator IN can also be used for explicit enumerations
- Example:

```
... WHERE value IN ( value1 , value2 , value3 , ... )
... WHERE colour IN ( 'red' , 'blue' )

SELECT DISTINCT Essn
FROM WORKS_ON
WHERE Pno IN (1, 2, 3);
```



#### SUBQUERIES AND VIEWS SUBQUERIES

Example from last chapter (about set operations):

(SELECT DISTINCT Pnumber

FROM PROJECT, DEPARTMENT, EMPLOYEE

WHERE Dnum=Dno AND Mgr\_ssn=Ssn AND Lname="Wong" )

UNION

(SELECT DISTINCT Pnumber

FROM PROJECT, WORKS\_ON, EMPLOYEE

WHERE Pnumber= Pno AND Essn= Ssn AND Lname="Wong" );



## SUBQUERIES AND VIEWS SUBQUERIES

Example from last chapter (about set operations):

Alternative statement using subqueries

```
SELECT DISTINCT Pnumber
```

FROM PROJECT

WHERE Pnumber IN

(**SELECT** Pnumber

FROM PROJECT, DEPARTMENT, EMPLOYEE

WHERE Dnum=Dnumber

AND Mgr\_ssn=Ssn
AND Lname="Wong")

OR

Pnumber IN (SELECT Pno

FROM WORKS ON, EMPLOYEE

WHERE Essn=Ssn

AND Lname="Wong" );



- Special case: Nested query returns only one value
  - → In such cases, it is permissible to use = instead of IN for the comparison operator
- Example:

```
SELECT *
FROM y
WHERE x = ( SELECT MAX(x) FROM y );
```



- In general, a query written with nested SELECT-FROM-WHERE blocks and using the = or IN comparison operators can always be expressed as a single block query
- Example from before:



Example from last slide:

Alternative statement without a subquery

**SELECT** E.Fname, E.Lname

FROM EMPLOYEE AS E, DEPENDENT AS D

WHERE E.Ssn=D.Essn

**AND** E.Sex=D.Sex;



- - $lue{}$  operator returns TRUE if the value v is equal to some value in the set V
  - □ is equivalent to IN
  - Other operations can be combined with ANY, e.g., >, >=, <, <=, and <>
  - Example: Persons who have borrowed a book:

```
FROM Person
WHERE PNr = ANY (SELECT PNr FROM book );
```



#### 

- Comparison operations can be combined with ALL,
   e.g., >, >=, <, <=, and <>
- Example: Employees earning more money as the employees of department 5

```
FROM EMPLOYEE
WHERE Salary > ALL
        (SELECT Salary
        FROM EMPLOYEE
WHERE Dno=5);
```





- Often several queries give the same result
  - → but might have difference in the performance!

```
SELECT * FROM book WHERE price <= ALL (SELECT price FROM book );
SELECT * FROM book WHERE price = (SELECT MIN(price) FROM book );
SELECT * FROM book WHERE price >= ALL (SELECT price FROM book );
SELECT * FROM book WHERE price = (SELECT MAX(price) FROM book );
SELECT * FROM book WHERE price > ANY (SELECT price FROM book );
SELECT * FROM book WHERE price > (SELECT MIN(price) FROM book );
```





- Often several queries give the same result
  - → but might have difference in the performance!
- Strategy depends on DBMS, probably equivalent if no index on price, otherwise, the second version will be (much) faster





- Uncorrelated
  - Outer and nested query are independent
  - → Nested query must be computed only once
- Correlated
  - Nested query depends on columns of outer query
  - Result of a correlated nested query is different for each tuple of the relation(s) outer query
     → A nested query is evaluated once for each tuple (or combination of tuples)
     in the outer query
  - Performance?







Source: https://www.youtube.com/

watch?v=0ETfzlAQqBQ





Example: Who has borrowd books for 9.99\$?

```
SELECT name
FROM
      pers
WHERE 9.99 IN
             (SELECT
                          price
             FROM
                          book
                          pers.PNr = book.PNr );
             WHERE
SELECT name
FROM
      pers, book
WHERE pers.PNr = book.PNr
      book.price = 9.99;
AND
```





Example from before:



## SUBQUERIES AND VIEWS SUBQUERIES - AMBIGUITY OF ATTRIBUTES

- In general, we can have several levels of nested queries
  - → possible ambiguity among attribute names if attributes of the same name exist:
  - one in a relation in the FROM clause of the outer query, and
  - another in a relation in the FROM clause of the nested query
- The rule is that a reference to an unqualified attribute refers to the relation declared in the innermost nested query



## SUBQUERIES AND VIEWS SUBQUERIES - AMBIGUITY OF ATTRIBUTES

 Example: Retrieve the name of each employee who has a dependent with the same sex as the employee

```
FROM EMPLOYEE AS E
WHERE E.Ssn IN ( SELECT Essn
FROM DEPENDENT AS D
WHERE E.Sex=D.Sex );
```



## SUBQUERIES AND VIEWS SUBQUERIES - AMBIGUITY OF ATTRIBUTES

It is generally advisable to create tuple variables (aliases) for all the tables referenced in an SQL query to avoid potential errors and ambiguities!!!





- The operator **EXISTS** (**NOT EXISTS**) provides the possibility to check if the result of another query is empty (FALSE) or not (TRUE)
- Example:

```
SELECT isbn FROM book WHERE EXISTS

(SELECT * FROM borrowed WHERE book.libraryno = borrowed.libraryno )
```

- This example provides as result a set of all borrowed books
- Typically, the usage is

```
... EXISTS (SELECT *...
```

→ so that the DBMS may decide, which column should be examined



Example from before:



Example from before:
Alternative SQL-statement



- EXISTS and NOT EXISTS are typically used in conjunction with a correlated nested query
- Example: Retrieve the names of employees who have no dependents



Example: List the names of managers who have at least one dependent

```
FROM EMPLOYEE

WHERE EXISTS ( SELECT *

FROM DEPENDENT

WHERE Ssn=Essn )

AND

EXISTS ( SELECT *

FROM DEPARTMENT

WHERE Ssn=Mgr_ssn );
```



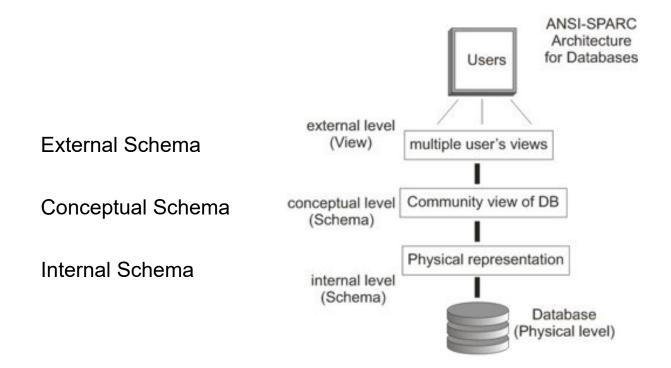
#### SUBQUERIES AND VIEWS SUBQUERIES – IN FROM

- SELECT returns a new relation
- ... so, we can select values from it
- Necessary: give a name to the relation
- Example: Alias's name

```
SELECT tab_a.x , newtab_b.y
FROM tab_a , (SELECT v1, v2 FROM tab_b) AS newtab_b ;
```



#### SUBQUERIES AND VIEWS VIEWS – RECAP: THE ANSI-SPARC ARCHITECTURE



Source: www.wikipedia.org 618



#### SUBQUERIES AND VIEWS VIEWS – BASICS

- User or application specific views on data
- Only relevant portions of the data
- A view in SQL terminology is a single table that is derived from other tables
  - → Other tables can be base tables or previously defined views
- A view is considered to be a virtual table
  - → In contrast to base tables
  - → Limits the possible update operations
  - → No limitations on querying a view



#### SUBQUERIES AND VIEWS VIEWS – USE CASES

- Hide some information
  - Example: Salary not viewable for colleagues
  - Can see only employees of same department?
- Convert data for different users
  - Example: Price in \$, EUR, ...
- Backward Compatibility
  - Example: Add some columns, but old applications do "SELECT \*"
- Simplication: Hide away complex queries
  - Example: Data Dictionary Views (all tables)



Syntax:

CREATE [OR REPLACE] VIEW <vname> AS <query> ;

query> is an arbitrary SELECT statement



Example:

```
CREATE VIEW vPerson AS

SELECT Name , Id , BirthDate FROM person ;

vPerson Name Id BirthDate
```

Can rename columns in view:

```
CREATE VIEW vPerson ( lname , pnr , bd ) AS

SELECT Name , Id , BirthDate FROM person

VPerson | lname | pnr | bd
```



Example:

```
CREATE VIEW v_WORKS_ON1 AS

SELECT Fname, Lname, Pname, Hours

FROM EMPLOYEE, PROJECT, WORKS_ON

WHERE Ssn=Essn AND Pno=Pnumber;
```

WORKS\_ON1 Fname Lname Pname Hours



Example:

DEPT\_INFO Dept\_name No\_of\_emps Total\_sal



## SUBQUERIES AND VIEWS VIEWS – QUERY

- A view is supposed to be always up-to-date
  - → If we modify the tuples in the base tables on which the view is defined, the view must automatically reflect these changes
  - → View realized at the time when we specify a query on the view
- Example:

```
SELECT Fname, Lname
FROM v_WORKS_ON1
WHERE Pname="ProductX";
```



## SUBQUERIES AND VIEWS VIEWS – DROP

- Views can be dropped
- Example:

DROP VIEW v\_WORKS\_ON1;



#### SUBQUERIES AND VIEWS VIEWS – IMPLEMENTATION

- Two strategies:
  - 1. Query Modification
    - → Transforming the view query into a query on the underlying base tables

CREATE VIEW v\_WORKS\_ON1 AS
 SELECT Fname, Lname, Pname, Hours
 FROM EMPLOYEE, PROJECT, WORKS\_ON
 WHERE Ssn=Essn AND Pno=Pnumber;

#### Example:

FROM EMPLOYEE, PROJECT, WORKS\_ON
WHERE Ssn=Essn
AND Pno=Pnumber
AND Pname= "ProductX";

SELECT Fname, Lname
FROM v\_WORKS\_ON1
WHERE Pname="ProductX";



#### SUBQUERIES AND VIEWS VIEWS – IMPLEMENTATION



#### Two strategies:

- View Materialization
  - → Physically creating a temporary view
  - → Incremental update of materialized view
  - → If the view is not queried for a certain period of time, the system may then automatically remove the physical table and recompute it from scratch on new queries

CREATE MATERIALIZED VIEW v\_WORKS\_ON1 AS

SELECT Fname, Lname, Pname, Hours

FROM EMPLOYEE, PROJECT, WORKS\_ON

WHERE Ssn=Essn AND Pno=Pnumber;



#### SUBQUERIES AND VIEWS VIEWS – MATERIALIZED VIEWS



- Syntax:
  - CREATE MATERIALIZED VIEW <name> AS SELECT ...
- Traditional views
  - Select is performed when needed
  - Performance penalty

- Materialized view
  - Store select statement and selected data
  - Problems
    - Store data twice
    - When to update selected data?
  - Rules for updating: event vs. time triggered
  - Selected data can be updated
    - manually
    - on a regular basis (every night)
    - event triggered (update to base table)



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