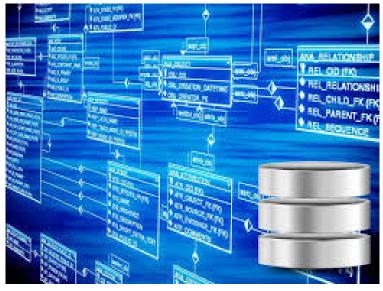
#### **DATABASES**

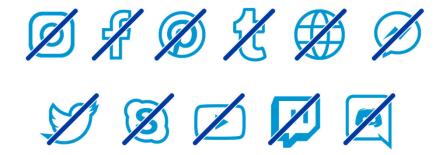
Prof. Dr. Ulrike Herster Hamburg University of Applied Sciences



Source: https://en.itpedia.nl/2017/11/26/wat-is-een-database/



#### **COPYRIGHT**



The publication and sharing of slides, images and sound recordings of this course is not permitted

#### © Professor Dr. Ulrike Herster

The slides and assignments are protected by copyright.

The use is only permitted in relation with the course of study.

It is not permitted to forward or republish it in other places (e.g., on the internet).



# ORGANIZATION OUR JOURNEY IN THIS SEMESTER

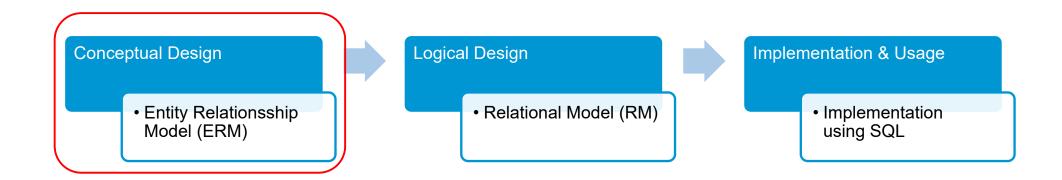


- Integrity, Trigger & Security
- Database Applications
- Transactions
- Subqueries & Views
- More Features
- Notations & Guidelines
- Constraints
- Relationships
- Simple Entities and Attributes
- Basics

Source: Foto von Justin Kauffman auf Unsplash <sup>215</sup>



# RELATIONSHIPS DATABASE DESIGN





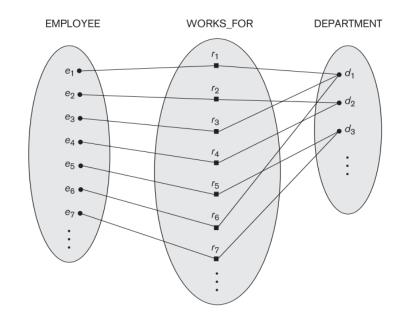
## RELATIONSHIP TYPES

- Describe relationships between entity types
- Characterized by a verb
  - → Often 2 naming possibilities:
  - teaches vs. is taught by
  - Relationship has always two (or more) directions
- May have attributes
- Number of participating entity types (degree):
  - Unary relationship type (e.g., Employee supervises another employee)
  - 2. Binary relationship type (e.g., Employee works for one department)
  - 3. Ternary relationship type (e.g., Lecturer recommends books for one specific course)
  - 4. Higher degrees...



# RELATIONSHIPS ERM: RELATIONSHIP TYPES

- Each relationship instance  $r_i$  in R is an association of entities, where the association includes exactly one entity from each participating entity type
- In an ERM, relationship types are displayed as diamond-shaped boxes, which are connected by straight lines to the entity types



Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

# RELATIONSHIPS ERM: RELATIONSHIP TYPES

- Entity Type
  - Represented as rectangle in ERM
  - Singular noun
- Attribut Type
  - Represented as ovals
  - Noun
- Relationship Type
  - Represented as diamond in ERM
  - Always between entity types
  - Verb
  - Has cardinalities

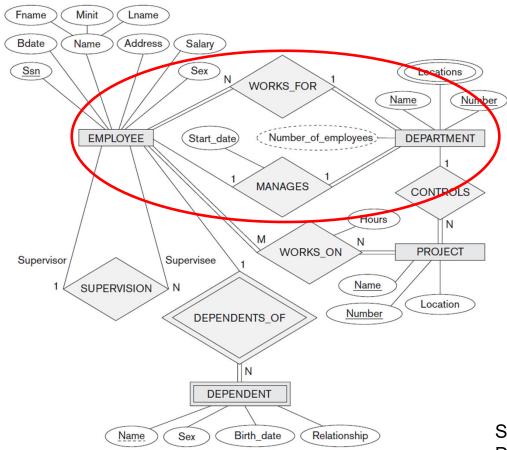








#### **ERM: EXAMPLE - COMPANY**

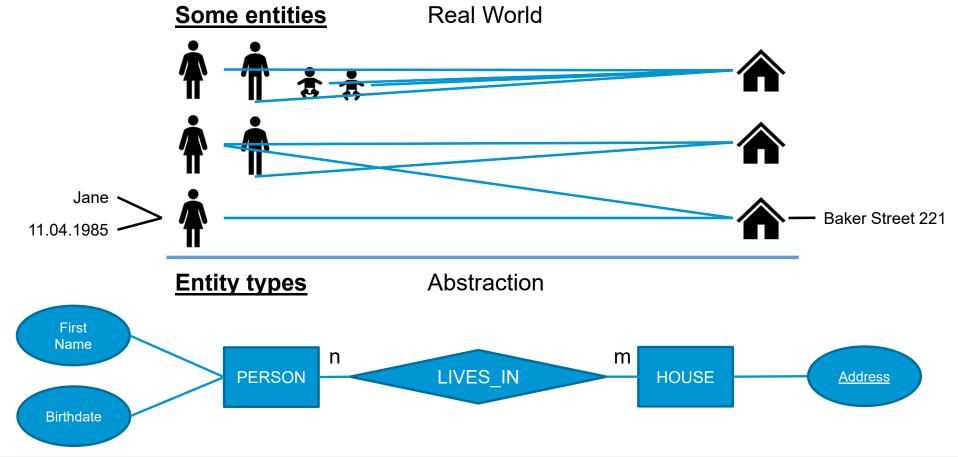


Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW



#### **ERM: RELATIONSHIP TYPES - ABSTRACTION**



HAW HAMBURG

221

# RELATIONSHIPS ERM: RELATIONSHIP TYPES – ROLE NAMES

 The role name signifies the role that a participating entity from the entity type plays in each relationship instance, and helps to explain what the relationship means

Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

HAW

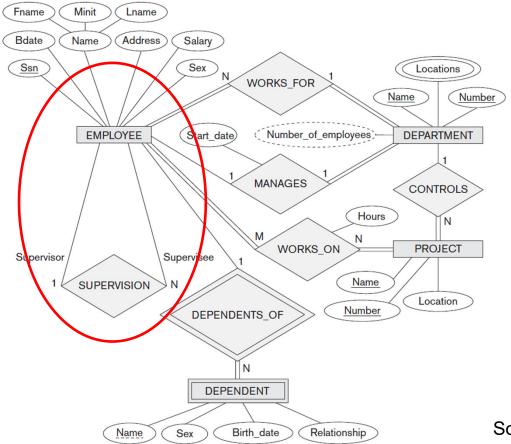
## RELATIONSHIPS ERM: RELATIONSHIP TYPES – RECURSIVE RELATIONSSHIP TYPES

- In some cases, the same entity type participates more than once in a relationship type in different roles
- In such cases the role name becomes essential for distinguishing the meaning of the role that each participating entity plays
- → Such relationship types are called **recursive relationship types**

Source: Elmasri, Fundamentals of Database Systems, Page 212 ff



## RELATIONSHIPS ERM: EXAMPLE - COMPANY



Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

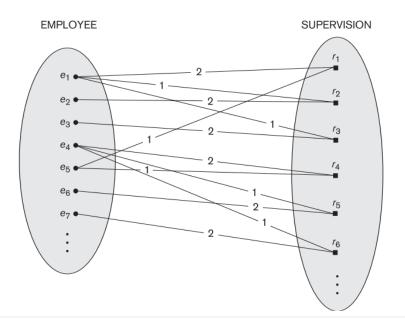
HAW



# RELATIONSHIPS ERM: RELATIONSHIP TYPES – EXAMPLE

Example: Employee in 2 roles

- Supervisor (boss) → role name 1
- Supervisee (subordinate) → role name 2



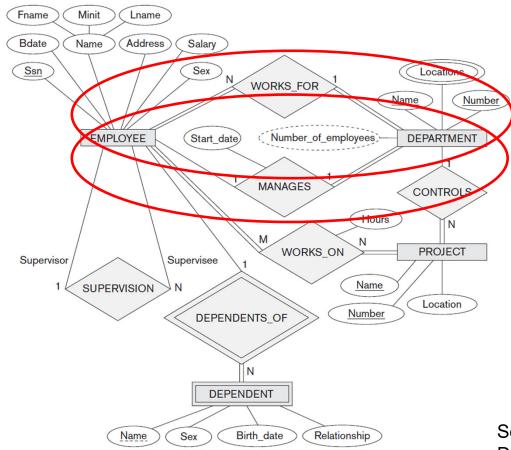
Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

- Cardinality
  - Specifies the maximum number of relationship instances that an entity can participate in
  - Cardinality ratios
    - **1:1**
    - 1:N
    - M:N
  - Cardinality ratios for binary relationships are represented on ER diagrams by displaying 1, M, and N on the diamonds
  - Notice that in this notation, we can either specify no maximum (N) or a maximum of one (1) on participation

Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

HAW

#### **ERM: EXAMPLE - COMPANY**



Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW



- Cardinality
  - (min,max) Notation
  - Example
    - A car has at least 3 and at most 5 wheels Every wheel is associated to exactly one car

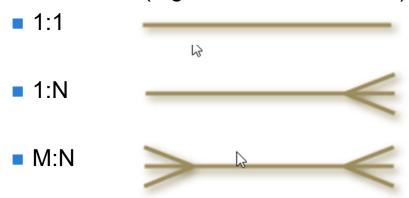


- Attention: In UML, (min,max) is placed on the opposite sites!
- Problem: General case cannot be easily implemented in RDBMS

Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

HAW

Other notations (e.g., in tools like draw.io)





#### Participation

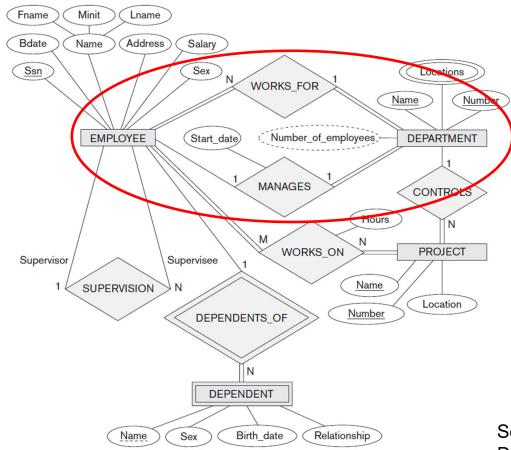
- Specifies whether the existence of an entity depends on its being related to another entity via the relationship type
- Also called minimum cardinality constraint
- Two types
  - Total: every entity in the total set of all entities of an entity type A must be related to an entity of entity type B via a relationship
    - → Total participation is also called existence dependency
      - Is displayed as a double line connecting the participating entity type to the relationship
  - Partial: some or part of the entities of an entity type A are related to some entities of an entity type B via a relationship
    - Is displayed by a single line connecting the participating entity type to the relationship.



- Cardinality
  - → specifies the maximum number of relationship instances that an entity can participate in
- Participation
  - → specifies if the existence of an entity depends on its being related to another entity via the relationship type
  - → minimum cardinality constraint



#### **ERM: EXAMPLE - COMPANY**



Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW

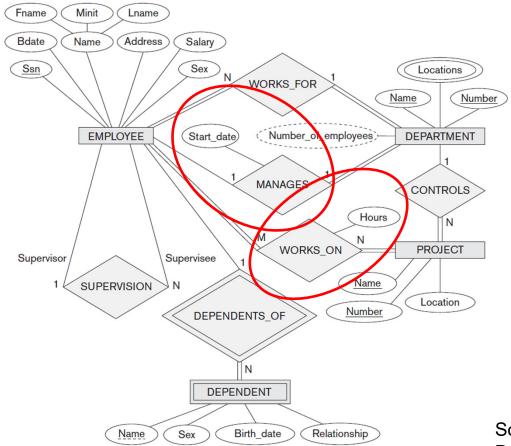


# RELATIONSHIPS ERM: RELATIONSHIP TYPES – ATTRIBUTES

- Relationship types can also have attributes
- Notice that attributes of 1:1 or 1:N relationship types can be migrated to one of the participating entity types
- □ For M:N relationship types, some attributes may be determined by the combination of participating entities in a relationship instance, not by any single entity
   → Such attributes must be specified as relationship attributes

Source: Elmasri, Fundamentals of Database Systems, Page 212 ff

## RELATIONSHIPS ERM: EXAMPLE - COMPANY



Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW

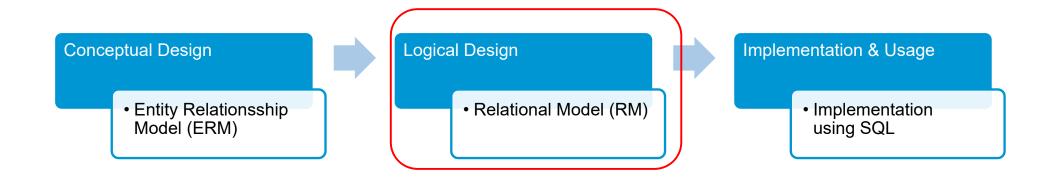


### RELATIONSHIPS ERM: RELATIONSHIP TYPES – HOW TO DEFINE THEM?

- Relationship between entity types
- Good naming
- More than one relationship?
  - Maybe different meanings, roles
  - Example for role: Supervisor, Supervisee
- Cardinalities
- Mandatory/optional
- Attributes for Relationship Type?



# RELATIONSHIPS DATABASE DESIGN





## RELATIONSHIPS RM: CONSTRAINTS

#### Three categories

- Constraints that are inherent in the data model
   → inherent model-based constraints or implicit constraints
   Example: no duplicate tuples in a relation
- Constraints that can be directly expressed in schemas of the data model
   → schema-based constraints or explicit constraints
   Example: Domain constraints, primary key (entity integrity constraints), constraints on NULL, and referential integrity constraints
- Constraints that cannot be directly expressed in the schemas of the data model, and hence must be expressed and enforced by the application programs

  → application-based or semantic constraints or business rules

Source: Elmasri, Fundamentals of Database Systems, Page 59ff



#### RM: CONSTRAINTS - REFERENTIAL INTEGRITY CONSTRAINT

- It is defined between two relations
- It is used to maintain the consistency among tules in the two relations: a tuple in one relation that refers to another relation must refer to an existing tuple in that relation
- □ Foreign key: a set of attributes FK in relation schema  $R_1$  is a foreign key of  $R_1$  that references relation  $R_2$  if it satisfies the following rules:
  - The attributes in FK have the same domain(s) as the primary key attributes PK of  $R_2$ ; the attributes FK are said to *reference* or *refer to* the relation  $R_2$
  - A value of FK in a tuple  $t_1$  of the current state  $r_1(R_1)$  either occurs as a value of PK for some tuple  $t_2$  in the current state  $r_2(R_2)$  or is NULL. In the former case, we have  $t_1[FK] = t_2[FK]$ , and we say that the tuple  $t_1$  references or refers to the tuple  $t_2$

Source: Elmasri, Fundamentals of Database Systems, Page 59ff <sup>2</sup>



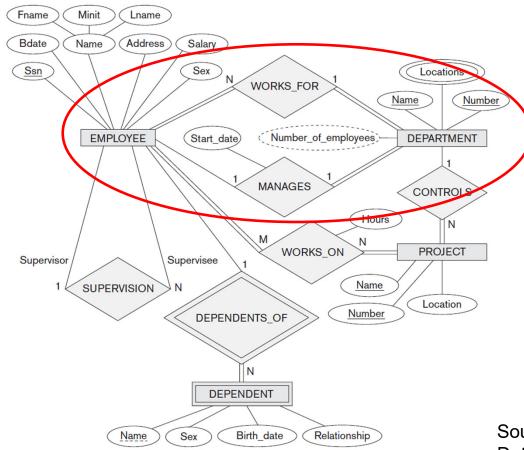
### RELATIONSHIPS RM: CONSTRAINTS – REFERENTIAL INTEGRITY CONSTRAINT

- A foreign key can refer to its own relation
- Foreign keys are depicted with a directed arrow
  - → The arrowhead may point to the primary key
- All integrity constraints can be defined with the DDL, thus the DBMS can automatically enforce them

Source: Elmasri, Fundamentals of Database Systems, Page 59ff <sup>2</sup>



#### **ERM: EXAMPLE - COMPANY**

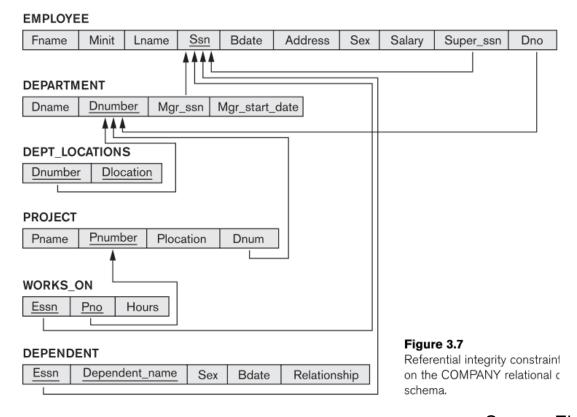


Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW



#### **RM: CONSTRAINTS - EXAMPLE**



Source: Elmasri, Fundamentals of Database Systems, Page 59ff 241



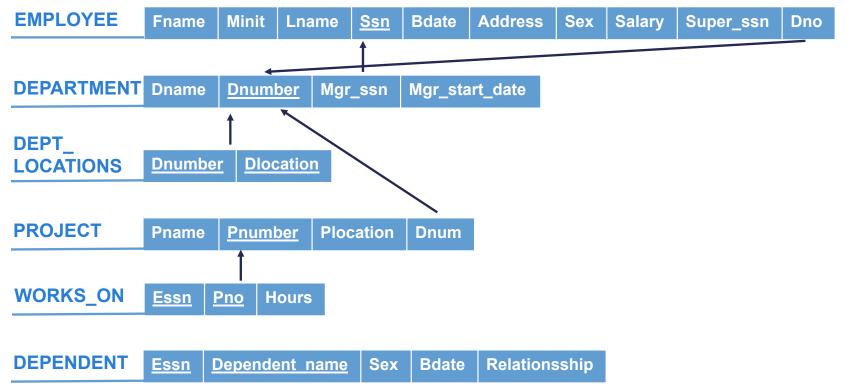
#### RM: NOTATION OF FOREIGN KEYS WITHIN A RELATIONAL SCHEMA

- There are several ways for the notation of relational schemas
  - → especially for representing foreign keys, e.g.
  - Option 1:
    - Foreign Keys can be represented with arrows
    - This notation is used in the lecture slides and in the book "Fundamentals of Database Systems" from Elmasri and Navathe
    - Advantage: Each FK-arrow connects the referencing attribute and referenced attribute, so the involved relations are obvious
  - Option 2:
    - Foreign Keys can be represented with addition (FK) within the referencing attribute
    - This notation is used in the laboratory of Mr. Ocker
    - Advantage: This notation is more readable for large, complex schemas
  - Both notations are correct and may be used within the examination



#### RM: NOTATION OF FOREIGN KEYS WITHIN A RELATIONAL SCHEMA

Example: Some foreign Keys with option 1

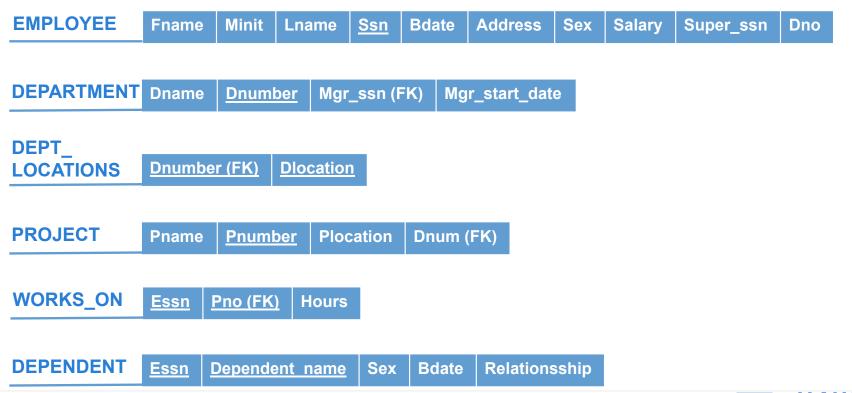




243

## RELATIONSHIPS RM: NOTATION OF FOREIGN KEYS WITHIN A RELATIONAL SCHEMA

Example: Some foreign Keys with option 2





244

# RELATIONSHIPS RM: MAPPING OF ERM TO RELATIONAL MODEL

#### Seven Steps



- Mapping of regular entity types
- Mapping of weak entity types
- Mapping of binary 1:1 relationships
- Mapping of binary 1:n relationships
- 5. Mapping of binary m:n relationships
- 6. Mapping of multivalued attributes
- Mapping of n-ary relationships

Source: Elmasri, Fundamentals of Database Systems, Page 286ff <sup>24</sup>



### RELATIONSHIPS RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS

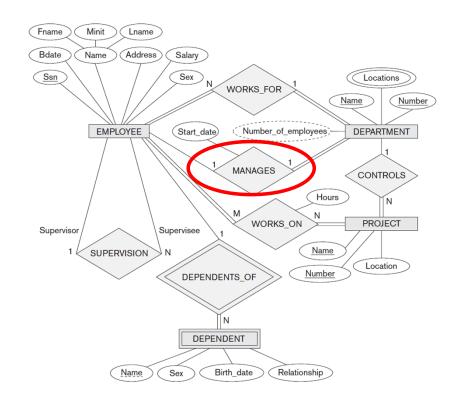
- For each binary 1:1 relationship type R in the ER schema,
   identify the relations S and T that correspond to the entity types participating in R
- There are three possible approaches:
  - 1. The foreign key approach
  - 2. The merged relationship approach
  - 3. The cross-reference or relationship relation approach

Source: Elmasri, Fundamentals of Database Systems, Page 286ff



### RELATIONSHIPS RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS

- The foreign key approach
  - Choose one of the relations S and include as a foreign key in S the primary key of T
  - It is better to choose an entity type with total participation in R in the role of S
  - Include all the simple attributes (or simple components of composite attributes) of the 1:1 relationship type R as attributes of S



Source: Elmasri, Fundamentals of Database Systems, Page 286ff



#### RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS



- Mapping of relationship type MANAGES
  - DEPARTMENT serves as S
  - EMPLOYEE serves as T
- Attribute Ssn is renamed in Mgr\_ssn in DEPARTMENT
- Attribute Start date is renamed in Mgr start date in DEPARTMENT
- It is also possible to include primary key of S as foreign key in T
- For the mapping, a UNIQUE-Constraint must be used!!!
  - Otherwise, an employee could manage several departments!

Source: Elmasri, Fundamentals of Database Systems, Page 286ff



### RELATIONSHIPS RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS

For the mapping, a UNIQUE-Constraint must be used!

### Example:





Source: Elmasri, Fundamentals of Database Systems, Page 286ff



## RELATIONSHIPS RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS

- Merged relation approach
  - Merge the two entity types and the relationship into a single relation
  - This is possible when both participations are total, as this would indicate that the two tables will always have the exact same number of tuples



Source: Elmasri, Fundamentals of Database Systems, Page 286ff



### RELATIONSHIPS RM: 3. MAPPING OF BINARY 1:1 RELATIONSHIPS

- 3. The cross-reference or relationship relation approach
  - Set up a third relation R for the purpose of cross-referencing the primary keys of the two relations S and T representing the entity types
  - This approach is required for binary M:N relationships
  - The relation R will include the primary key attributes of S and T as foreign keys to S and T
  - The primary key of R will be one of the two foreign keys, and the other foreign key will be a unique key of R



Source: Elmasri, Fundamentals of Database Systems, Page 286ff <sup>25</sup>



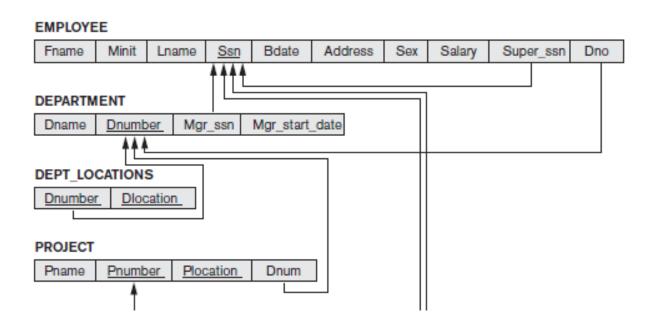
### RELATIONSHIPS RM: 4. MAPPING OF BINARY 1:N RELATIONSHIPS

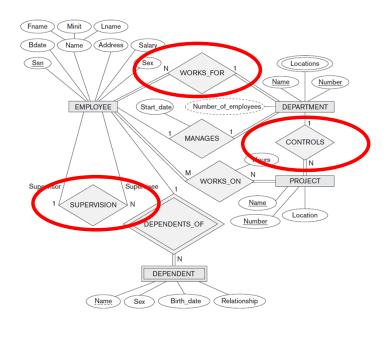
- □ For each regular binary 1:N relationship type R, identify the relation S that represents the participating entity type at the N-side of the relationship type
- Include as foreign key in S the primary key of the relation T that represents the other entity type participating in R
- Include any simple attributes (or simple components of composite attributes) of the 1:N
   relationship type as attributes of S
- An alternative approach: use the relationship relation and create a separate relation

Source: Elmasri, Fundamentals of Database Systems, Page 286ff



### **RM: 4. MAPPING OF BINARY 1:N RELATIONSHIPS**





- Relationship type WORKS\_FOR: Attribute Dno as foreign key in EMPLOYEE
- Relationship type SUPERVISION: Attribute Super\_ssn as foreign key in EMPLOYEE
- Relationship type CONTROLS: Attribute Dnum as foreign key in PROJECT

Source: Elmasri, Fundamentals of Database Systems, Page 286ff <sup>253</sup>



#### RM: 4. MAPPING OF BINARY 1:N RELATIONSHIPS - TOTAL PARTICIPATION

Total and Partial Participation should be mapped as well

- □ For participation definitions on the "1" side, a constraint assures the requirement
  - Total Participation 1:m → NOT NULL on FK
  - □ Partial Participation "0:m" → NULL on FK
- For participation definitions on the "m" side, there is a problem
  - These types (1:n vs. "1:0n") are not distinguishable in Relational Model
  - These types of Total Participation cannot be implemented / enforced using SQL-DDL!



## RELATIONSHIPS RM: 5. MAPPING OF BINARY M:N RELATIONSHIPS

- For each binary M:N relationship type R, create a new relation S to represent R
- Include as foreign key attributes in S the primary keys of the relations that represent the participating entity types; their combination will form the primary key of S
- Also include any simple attributes of the M:N relationship type (or simple components of composite attributes) as attributes of S
- Notice that a M:N relationship type can not be represented by a single foreign key attribute in one of the participating relations (as in 1:1 or 1:N relationship types) because of the M:N cardinality ratio

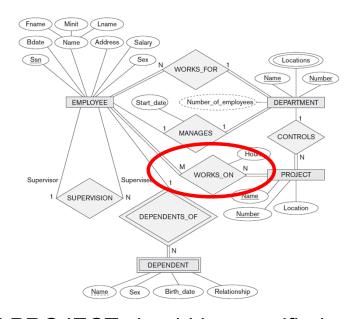
Source: Elmasri, Fundamentals of Database Systems, Page 286ff



#### RM: 5. MAPPING OF BINARY M:N RELATIONSHIPS

WORKS\_ON Essn Pno Hours

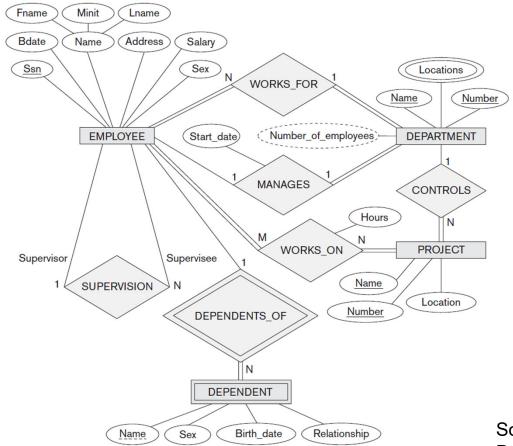
- Attribute Ssn is renamed in Essn in WORKS\_ON
- Attribute Pname is renamed in Pno in DEPARTMENT
- Primary key is the combination {Essn, Pno}
- Note: The existence dependency between EMPLOYEE and PROJECT should be specified on the foreign keys in the relation corresponding to the relationship R (ON UPDATE and ON DELETE)



Source: Elmasri, Fundamentals of Database Systems, Page 286ff



## RELATIONSHIPS ERM: EXAMPLE - COMPANY



Source: Elmasri, Fundamentals of Database Systems, Page 204 ff

HAW



## RELATIONSHIPS RM: EXAMPLE - COMPANY

#### **EMPLOYEE** Ssn Fname Minit Bdate Address Sex Salary Super\_ssn Lname **DEPARTMENT** Dnumber Mgr\_ssn Mgr\_start\_date Dname **PROJECT** Pnumber Plocation Pname Dnum WORKS\_ON Essn Pno Hours

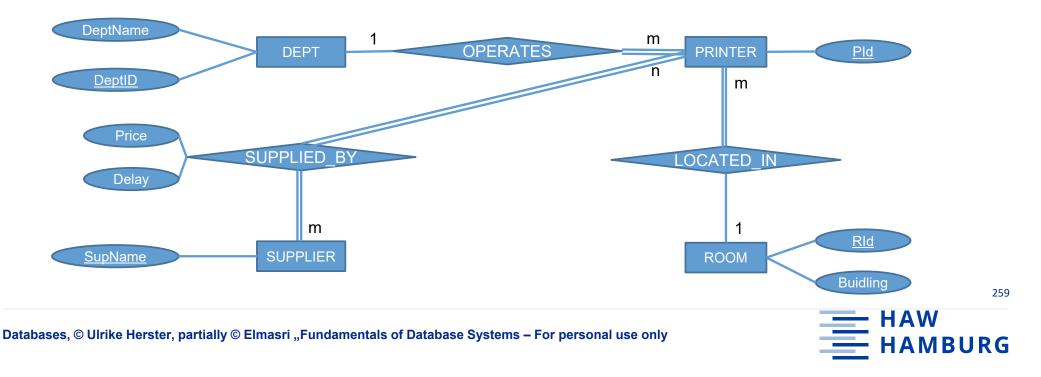
Source: Elmasri, Fundamentals of Database Systems, Page 59ff 258

Dno



#### RM: ASSIGNMENT OFFICE - CONVERT THE ERD TO A RM

Departments, identified by ID, operate a variety of printers, each located in a particular room in a particular building. Printers are supplied by a number of suppliers, identified by name, with each supplier charging a different price for a given printer, but also providing different delivery delays, measured in days. A given room can have any number of printers, including none.



## RELATIONSHIPS RM: MAPPING OF ERM TO RELATIONAL MODEL

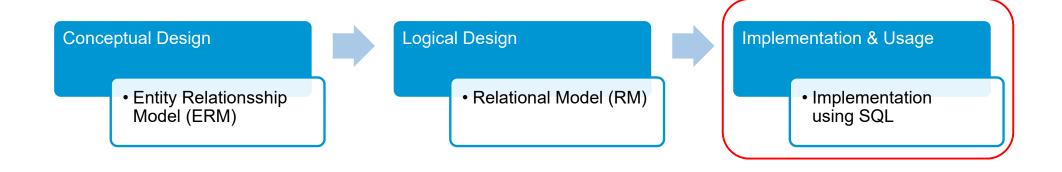
### Seven Steps

- Mapping of regular entity types
- Mapping of weak entity types
- 3. Mapping of binary 1:1 relationships
- Mapping of binary 1:n relationships
- Mapping of binary m:n relationships
- 6. Mapping of multivalued attributes
- Mapping of n-ary relationships

Source: Elmasri, Fundamentals of Database Systems, Page 286ff <sup>26</sup>



# RELATIONSHIPS DATABASE DESIGN





## RELATIONSHIPS SQL: CREATE TABLE – COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY

- Referential integrity is specified via the FOREIGN KEY
- FK relates two tables
- Referenced table must exist already
- Referenced column must be UNIQUE
  - → Best to use PK
  - → If not PK: need to specify (column)

Source: Elmasri, Fundamentals of Database Systems, Page 88ff <sup>2</sup>



## RELATIONSHIPS SQL: CREATE TABLE – COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY

### Syntax:

□ As Column Constraint
 → Only if the foreign key is one single attribute (and not combined)

```
[ CONSTRAINT < constraintname > ]
   REFERENCES < tablename >[( column )] [< action >]
```

As Table Constraint

```
[ CONSTRAINT < constraintname >]
   FOREIGN KEY (< column list >)
   REFERENCES < tablename >[(< column list >)]
   [< action >]
```



263

#### **SQL: CREATE TABLE – COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY**

Example column constraint:

#### CREATE TABLE Department

Source: Elmasri, Fundamentals of Database Systems, Page 88ff <sup>26</sup>



### **SQL: CREATE TABLE – COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY**

Example table constraint:

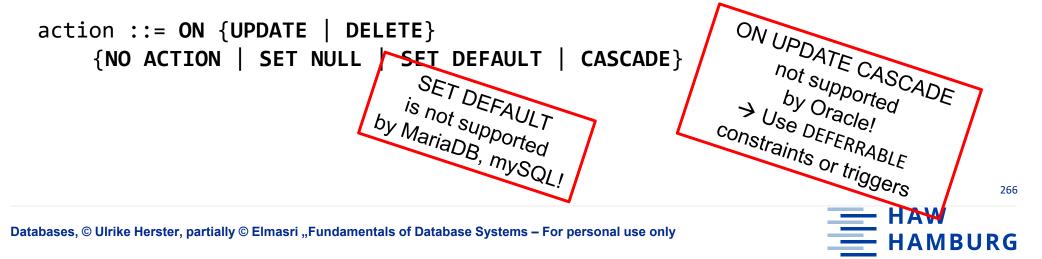
```
CREATE TABLE Department
```

Source: Elmasri, Fundamentals of Database Systems, Page 88ff



## RELATIONSHIPS SQL: CREATE TABLE – COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY

- < action >:
  - → How to react on changes to the referenced table
- The default action: reject the update operation (RESTRICT option)
- Syntax



#### SQL: CREATE TABLE - COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY

- Options:
  - SET NULL → Value of foreign key is set to NULL
  - SET DEFAULT → Value of foreign key is set to a default value
  - CASCADE → Value of foreign key is updated
- For example:
  - ON DELETE CASCADE → Delete all referencing tuples
  - ON UPDATE CASCADE → Change Value of the foreign key attribute(s)
- General Rule for using CASCADE:
  - For "relationship" relations
  - For multivalued attributes
  - For relations that represent weak entity types

Source: Elmasri, Fundamentals of Database Systems, Page 88ff



SQL: CREATE TABLE - COLUMN AND TABLE CONSTRAINTS: FOREIGN KEY

```
CREATE TABLE Employee

( . . . ,

Dno INT NOT NULL DEFAULT 1,

CONSTRAINT EMPPK

PRIMARY KEY (Ssn),

CONSTRAINT EMPSUPERFK

FOREIGN KEY (Super_ssn) REFERENCES Employee(Ssn)

ON DELETE SET NULL

ON UPDATE CASCADE,

CONSTRAINT EMPDEPTFK

FOREIGN KEY(Dno) REFERENCES Department(Dnumber)

ON DELETE SET DEFAULT

ON UPDATE CASCADE);
```

Source: Elmasri, Fundamentals of Database Systems, Page 88ff 268



## RELATIONSHIPS SQL: ALTER TABLE

For modifying an existing relation

□ COLUMN: ADD, DROP, MODIFY

CONSTRAINT: ADD, DROP

TABLE: RENAME

Vendor-specific extensions



## RELATIONSHIPS SQL: ALTER TABLE - COLUMN

Syntax for altering a table:

```
ALTER TABLE < relationname > . . .
```

```
DROP [ COLUMN ] <column> [ RESTRICT | CASCADE ]
```

RENAME COLUMN <column> TO <new\\_column>



# RELATIONSHIPS SQL: ALTER TABLE - COLUMN

Syntax for altering a table:

**ALTER TABLE** < relationname > . . .

Modification of columns vendor-specific:

#### Oracle:

```
... MODIFY < column > < type > [< col\_constraints > [...]]
```

### MySQL:

```
... CHANGE [ COLUMN ] < column > < type > ...
```



## RELATIONSHIPS SQL: ALTER TABLE - COLUMN

Example:

ALTER TABLE COMPANY. Employee ADD COLUMN Job VARCHAR(12);

- Inserting values for the new column:
  - □ Default is NULL → NOT NULL constraint is not allowed
  - Using default clause
  - Using UPDATE individually on each tuple

Source: Elmasri, Fundamentals of Database Systems, Page 88ff 27



# RELATIONSHIPS SQL: ALTER TABLE - CONSTRAINTS

Syntax for adding a new constraint:

```
ALTER table ADD < tableconstraint > ;
```

 Example: Add a foreign key (instead of within create table statement)

ALTER TABLE DEPARTMENT

ADD CONSTRAINT DEPTMGRFK FOREIGN KEY (Mgr\_ssn) REFERENCES EMPLOYEE(Ssn)

ON DELETE RESTRICT ON UPDATE CASCADE;



## RELATIONSHIPS SQL: ALTER TABLE - CONSTRAINTS

Syntax for droping an existing constraint:

```
ALTER TABLE < tablename > < alterstatement > < alterstatement > ::=

DROP PRIMARY KEY |

DROP FOREIGN KEY < keyname > |
```



# RELATIONSHIPS SQL: ALTER TABLE - RENAME

Syntax for renaming an existing table:

- Oracle, MySQL
  RENAME TABLE < relationname > TO < newrelationname >
- DostgreSQL, MySQL
  ALTER TABLE < name > RENAME TO < new\_name >



#### **HOMEWORK**

- Company Example
  - Implement all relationship types from the ERM in your database
  - Think also about the cardinalities and participation constraints of these relationship types
  - What should be the behavior of these relations if data changes?
  - Try SQL statements for inserting, updating, and deleting data
- Implement the printer example in your database
- Think about your own, individual example (e.g., contact list)
  - Implement all relationship types from the ERM in your database
  - Think also about the cardinalities and participation constraints
  - What should be the behavior of these relations if data changes?
  - Try SQL statements for inserting, updating, and deleting data



Source: Foto von K8 auf Unsplash



276