

# Tough Choices: Excepted Service Appointments and the Presidential Allocation of Attention

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January 12, 2015

Presidential appointments have enjoyed many years of scholarly interest. However, as Lewis and Waterman (2013) note, almost all studies focus on high-profile personnel. Certainly, journalists have mainly studied appointees via the advice and consent process, but even scholars, who have broadened their scope beyond only traditional top level appointees have largely ignored lower level employees. Doing so misses an important part of administrative politics. Lower level employees have considerable influence over policy and largely slip under the radar of congressional and media oversight (Lewis and Waterman 2013). This is partly because the president chooses who to appoint to these positions without congressional approval. Still, these appointments are perfectly legal exercises of presidential power with which Congress is complicit, and while low-level appointees certainly serve in political capacities, they also perform other functions. For example, appointees play an essential informational role which benefits both Congress and the president (Gailmard and Patty 2012). Additionally, appointees serve in a simple functional capacity, existing, in part, to help execute policy day-to-day. Clearly, as head of an ever-expanding administrative state, the president must also consider this practical aspect of the bureaucracy. Given finite resources to complete policy tasks (and particularly finite because only some appointments can be made wherever he wants them), how does the president choose to allocate his resources? On which agencies does he spend his time?

Indeed, very little research exists on how the president chooses to allocate one of his

most limited resources: attention. Of course, scholars should not be concerned like journalists and popular biographers with the president’s eating or golfing habits. Rather, students of the bureaucracy should take note of how presidents allocate their administrative attention. While some research seeks to determine the degree of political appointees’ loyalty or expertise in particular agencies (Lewis and Waterman 2013) or how the president chooses bureaucrats generally (Epstein and O’Halloran 1999; Lewis and Waterman 2013; Moe 1985), there is little work exploring how the president chooses to distribute his resources across the bureaucracy as a whole. In this paper, I use Schedule C and Excepted Service Executive Appointments to consider attention and politicization. I first examine the Department of Homeland Security and liaison agencies. I propose a simple measure for presidential attention and compare this attention across and within the George W. Bush and Barack Obama presidencies. To validate this attention measure, I use Clinton and Lewis (2008) agency ideal points to determine if changes in attention reflected the ideological leanings of the president and agencies.

## 1 Literature Review

As a long history of literature suggests, presidents care about how agencies execute policy. Much scholarly work is devoted to the president’s tradeoff between competent “experts” and political loyalists (e.g. recently, Hollibaugh forthcoming; Hollibaugh et al. forthcoming; Parsneau 2013). While the former cannot necessarily be trusted to advance the president’s political interests (Moe 1985), the latter may lack the skill to execute policy well or efficiently (e.g. Lewis 2005; Gilmour and Lewis 2006; Heclo 1975, 1977). A related body of literature explores how presidents select appointees generally (e.g. Cohen 1988; Fenno 1959; Mackenzie 1981; Moe 1985) or conditionally (Hollibaugh et al. forthcoming; Lewis and Waterman 2013). Others are concerned with the extent to which these positions are less policy oriented and more about patronage (e.g. Hollibaugh et al. forthcoming; Patterson 2008; Patterson and Pfiffner 2001; Tolchin and Tolchin 2010). Scholars have been particularly concerned with a

tendency for the president to “politicize” the bureaucracy (that is use appointees selected on politics rather than expertise), which many argue is a more recent phenomenon (e.g. Burke 1992; Hart 1995; Hecl 1975; Lewis 2005, 2008; Wayne et al. 1979).

The appointment literature is couched within a larger framework of congressional-presidential relations. While many of the studies cited above are concerned with politicization because of its implications for bureaucratic performance, others are concerned with the president’s propensity for unilateral action, which may be at odds with congressional wishes. Indeed, if not for political reasons, the bodies themselves may disagree about the bureaucratic system’s institutional purpose (e.g. Lewis 2003). If that is the case, why would Congress allow the president such a long leash on his appointment powers?

First, Congress has its own means of observing and reacting to executive actions (McCubbins and Schwartz 1984). More importantly, Congress has its own incentives to allow the president to appoint co-partisans to administrative positions. As Gailmard and Patty (2012) make clear, if the president is to have some control over the bureaucracy, Congress would at least like the individuals he appoints to be informed, even if the body is ideologically distant. Indeed, if Congress requires that agents use information as it would, “Congress would simultaneously undermine the value of expertise to the bureaucrats in the first place” (130). Congress recognizes that some policy drift is inevitable. Faced with this predicament, it must choose whether or not it wants policy choices to be informed. Thus, Congress tolerates (even supports) the president’s burgeoning administrative powers, going so far as to design the very institutions which facilitate its loss of power. In light of this framework, Congress’ willingness to allow the president greater control over both who he chooses to appoint and where he chooses to appoint them is brought into focus.

While influence (both presidential on agency and agency on policy) is well-studied, there is virtually no literature considering how the president spends his administrative allowances. We know from the influence literature that the president does care about who he appoints, yet he cannot give all agencies his attention simultaneously. Moreover, he cannot

prioritize all policy areas at the same time. Given this finitude, how does he apportion his time? Some literature does exist on presidential agenda-setting and issue attention. However, much of this work is focused on how the media or public opinion shapes the agenda the president pursues with Congress (e.g. Cohen 1995; Edwards and Wood 1999; Hill 1998).

McKeown (2005) produced a study considering how presidents spend their time and the extent to which they focus on "small issues" over "big issues." McKeown considers how the Kennedy through Nixon administrations "micromanaged" small foreign aid expenditures. He argues that presidents spend their time strategically, and that this apparent focus on minutiae may actually belie strategic considerations. However, McKeown's study is painted in a "labor process" framework, much as studies of high profile business executives have done. Rather than focusing on a broader notion of how presidents spend their time (on "big" or "small" issues), presidential attention, for my purposes, considers which agencies receive more attention (through increases in appointments) from particular presidents and why. To my knowledge, there is no work exploring how the president allocates policy attention via his administrative state, particularly by mobilizing lower-level appointees.

There are several reasons attention may vary across agencies. The simplest answer is that the president focuses on agencies which are more important to his agenda or which require his attention due to extra personnel needs (e.g. larger size), scandal, or emergency. However, there may be ideological reasons for attention differences as well. Some evidence suggests agencies vary in their policy views and willingness to follow the president's directives (Aberbach and Rockman 1976, 1995, 2000; Bertelli and Grose 2009; Clinton and Lewis 2008; Clinton et al. 2012; Hollibaugh et al. forthcoming). It is difficult to determine which way the president's attention will move in ideologically-charged situations. On the one hand, the president may pay greater attention to those agencies with whom he is ideologically similar. These policy areas might be more connected to the president (or his party's) agenda. However, the president may also expend more administrative resources in agencies at odds with his politics because it is these agencies which require guidance toward his ideological

view.

## 2 Excepted Service Appointments

When most people think of presidential appointments, they think of the advice and consent process. Of course, employees hired in this way (hereafter known as PAS appointments) make up a meager portion of federal employment. In fact, as of June 2014, there were over two million appointees to federal agencies and only about 1,200 PAS appointments. Beyond traditional PAS and competitive service, there is still another class of appointments: excepted service. Excepted service positions are technically those appointments that have been excepted from competition via the competitive service. The president hires many of these employees unilaterally as they do not require senate approval.

Some excepted service appointments are reserved for positions for which the Office of Personnel Management (OPM) does not or cannot provide a test or standard (professionals such as lawyers are one example). Other excepted service appointments are reserved for those with disabilities or are set aside for interns. These appointments, like competitive service appointments, are largely used to complete the day-to-day operations of the government. However, there are two particular classes of excepted service appointments which are explicitly political. OPM describes Schedule C appointments as those positions of a “confidential” or “policy-determining nature,” for which it is important the employee shares the president’s vision for the agency. Excepted Service Executive (ESE) appointments are similarly presidentially appointed individuals, excepted from the competitive service and senate approval, most of which hold supervisory positions.

Excepted Service Executive appointments can be created in a variety of ways. In many cases, the enacting legislation or executive/secretarial order for a particular agency calls for a specified number of appointments which are excepted from legislative oversight. This is often in addition to a handful of traditional advice and consent appointments (depending on the

size of the agency). Schedule C appointments may be mandated by law, but they are almost entirely positions which the president creates. When the president wishes to hire someone via Schedule C, his office files papers with OPM indicating the individual appointment and the scope of the position. When this person is fired or quits, the position is dissolved. If the president wishes to hire another person to fulfill the role, he must "create" the position anew. In other words, Schedule C appointees are not filling a statutory position; they are filling the president's current needs. This makes Schedule C and Excepted Service Executive Appointments particularly suited to considering presidential attention. For a more complete list of appointment types and their functions, see Appendix A.

As mentioned above, there are many types of excepted service positions. However, I choose to focus only on Schedule C and Excepted Service Executive. In *Policy and Supporting Positions* (the Plum Book), which is a list of presidential appointments to the bureaucracy, Schedule C and Excepted Service Executive employees are listed while other excepted service positions, such as Schedule A, B, and D are not.<sup>1</sup> This suggests that the president plays a more active role in Schedule C and ESE than in other excepted service appointments.

Almost no research has any treatment of Schedule C and fewer mention it. This is surprising considering that, while these individuals make up a small proportion of federal employment, they make up a considerable portion of presidential appointees. In fact, Schedule C employees typically make up at least 15 percent of the appointments the president must make in the Plum Book. In one of the few treatments of Schedule C, Lewis and Waterman (2013) refer to them as "the invisible appointments" largely because of their lack of media and scholarly attention. As such, greater systematic treatment is needed to better understand their purpose for the president and their impact on policy.

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<sup>1</sup>The Plum Book is a publication produced every four years (right after the presidential election), alternating the House and Senate as producer. The Plum Book is a list of all positions the president can fill via appointment. Historically, the Plum Book was produced originally because Eisenhower took office after a long Democratic reign. Thus, he required a list of appointments the Democratic presidents had been making.

## 2.1 Importance of Schedule C and ESE Appointments

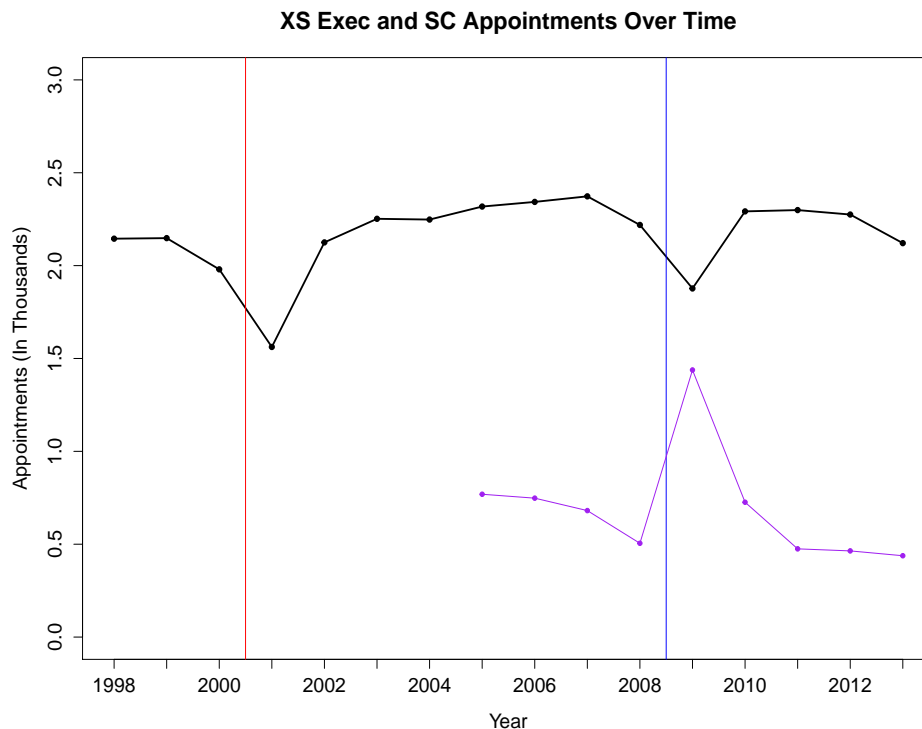


Figure 1: Schedule C and ESE Appointments Over Time

President Eisenhower created Schedule C appointments when he first reached office. According to Gailmard and Patty (2012), Eisenhower instituted Schedule C because he was faced with the political realities of being the first Republican to win the presidency in twenty years (leaving him with few trusted advisors) and because the post-World War II era left him with an expansive administrative state, which reached further into the economy and society than had previously been conceived. Schedule C was designed to exist between other classes of appointments—appointees beholden directly to the president, but who did not undergo advice and consent or competitive service processes.

While there are some restrictions, Schedule C and ESE appointees have the potential to wield considerable influence and are decidedly political. Figure 1 displays the number of Schedule C and ESE appointees from 1998-2013 and the number of Schedule C and ESE accessions (new hires and transfers) from 2005-2013. As is visible, the number of accessions in

2009, when Obama takes office, is extraordinarily high—almost matching the total number of appointees in that year. While there is always some turnover during a presidential transition, this turnover is higher for political positions.

Lewis and Waterman (2013) further point to an example of just how influential these lower level employees can be. As the authors note, a DOJ investigation found that an SES and former Schedule C appointee, Monica Goodling, was involved in hiring, firing, and promoting civil servants on the basis of political views. Similar lower level appointees engaged in “bullying career staff, censoring government reports, and leaking internal documents to outside groups in order to pursue the administration’s policy and political goals” (Lewis and Waterman 2013, pg. 36). Lewis and Waterman go on to note of Goodling that despite her “low” status, she “initiated a series of crucial, politically and legally questionable decisions that adversely impacted the president’s reputation” (pg. 36).

If there is any doubt left over the importance of these positions, consider the 2005 Cooney scandal in the Council on Environmental Quality (CEQ). The Council on Environmental Quality (CEQ) was established in 1969 as part of the National Environmental Policy Act (NEPA). The act requires that the president appoint three employees with the consent of the Senate, but empowers CEQ to employ as necessary. Specifically NEPA urges that each council member

shall be a person who, as a result of his training, experience, and attainments, is exceptionally well qualified to analyze and interpret environmental trends and information of all kinds; to appraise programs and activities of the Federal Government...to be conscious of and responsive to the scientific, economic, social, esthetic, and cultural needs and interests of the Nation; and to formulate and recommend national policies to promote the improvement of the quality of the environment.

The council is tasked with formulating an annual environmental report which details the status and condition of various environmental resources and foreseeable trends in their quality. CEQ also reviews the activities of federal, state, and local governments, non-governmental organizations, and individuals, assessing their effect on the environment. CEQ



submits reports and recommendations for legislation to remedy any shortcomings. In particular, the CEQ's role of assessing governmental and individual environmental activities means the CEQ oversees the implementation of the environmental impact assessment process, which requires agencies to submit a report considering the proposed action to be taken, the consequences of their actions on the environment, and any alternative actions that could be taken.

While in theory the CEQ is designed such that environmental experts review the information submitted by agencies to assess environmental impact, the process is often (and unsurprisingly) political. Under President Bush, the CEQ was staffed with members explicitly linked to industry. BBC described Bush's CEQ as "a hard-line group of advisers with close links to the U.S. oil industry" (Harabin 2006). For example, CEQ Chairman James L. Connaughton lobbied on behalf of the Aluminum Company of America and the Chemical Manufacturers Association of America, pushing for fewer governmental regulations.

One CEQ Chief of Staff, Phillip Cooney (who was appointed under excepted service), had been employed as a lobbyist for the American Petroleum Institute. In 2005, a scandal broke from a report showing that Cooney purposefully doctored government climate reports to downplay scientific climate change findings. Cooney, a lawyer who has only a Bachelor's Degree in economics and no education in environmental or biological sciences, adjusted descriptions of climate change research that government scientists and supervisors had already approved. For example, the *New York Times* reported that a 2002 draft of "Our Changing Planet" originally read, "Many scientific observations indicate that the Earth is undergoing a period of relatively rapid change." Cooney modified the sentence to read, "Many scientific observations point to the conclusion that the Earth may be undergoing a period of relatively rapid change." While to some, these changes appear relatively minor, one anonymous EPA employee noted that, for many, Cooney's editing had damaged morale and "created a sense of frustration" (Revkin 2005a). Two days after the scandal broke, Cooney elected to resign to "spend more time with family" (Revkin 2005b). One report indicates Cooney took a job

with ExxonMobil following his resignation.

### 3 Appointment Data

Thankfully, the federal government has a remarkably comprehensive and relatively untouched set of federal appointment data. See Appendix B for more information regarding scope and collection methods. The federal employment and accessions data come from the FedScope tool through the Office of Personnel Management.<sup>2</sup> From the FedScope tool, I collected static data on employment statistics for September 1998-2013. These data are a static picture of employment in federal agencies at that time, representing the total employment to each included agency for September of the given year. Over the period, there were 692 agency units, some of which were created or disbanded during the time period. These are counted based on which agencies have unique agency codes (given by OPM) in the data.

Most cabinet agencies provide data below the department level. For example, the Department of Defense is massive and includes sub-departments for the Army, Navy, Air Force, and general DOD and within these departments there are unique agencies bearing the department prefix. For example, the Air Force bears the prefix "AF" so the Air Force Operational Test and Evaluation Center is labeled "AF03." The State Department and Department of Energy do not provide data at the sublevel. Most independent agencies (e.g. EPA or SEC) do not provide data below the overall agency level, although a few do. The FedScope database is fairly comprehensive. However, it does exclude intelligence agencies and the U.S. Postal Service in its reporting, so it is not a complete representation of every federal employee. The State Department also does not report on Foreign Service Personnel.

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<sup>2</sup>OPM refers to Fedscope as the Enterprise Human Resources Integration-Statistical Data Mart (EHRI-SDM).

## 4 Expectations

While there is no explicit theory of presidential attention allocation, there might still be evidence of some broad expectations within the data.

First, presidents are sometimes faced with new and changing needs. Often, this requires them to act quickly and unilaterally. Because the advice and consent process takes considerable time and because both the president and Congress want the president to have good information, the president can choose to staff new agencies with Schedule C and ESE appointments. While the president can certainly not operate an agency without PAS appointments, he needs people quickly. As such, in expedient situations, we should see a higher proportion of Schedule C and ESE appointments in agencies, which declines as the expedient need declines.

Second, presidents need their advisors to communicate to both the president and Congress consistently. In the Gailmard/Patty (2012) framework, Congress is complicit in ensuring the president receives good information. As such, Congress is willing to let the president pick and place his own advisors, even though those advisors will almost certainly not use their expertise to make the same decision Congress would have. One place we should especially expect to find the president staffing with Schedule C and ESE positions is in agencies within the cabinet departments designed to communicate with Congress, other agencies, and even the public.

In their study of the Labor Department, Lewis and Waterman (2013) corroborate this possibility. In their study, they expect liaison agencies will have higher proportions of political loyalists. They note, “positions that determine the Labor Department’s message (e.g. speechwriters) or involve interactions with outside political actors are likely to be characterized by a greater emphasis on loyalty over competence.” As such, we might expect that presidents wish to fill liaison positions with co-partisans. Due to the mutual desire for good information mentioned above and also because the president does not have a strong need

for experts (as he may want in a scientifically oriented position, for example), the president has an incentive to staff liaison agencies with Schedule C and ESE appointments. The president’s desire to maintain consistent messages only furthers his will to staff liaison agencies with ideologically similar advisers.

Finally, while the previous expectations dealt with the use of Schedule C generally, there are also expectations about presidential attention specifically. As alluded to before, agencies differ in their propensities to follow the president’s directions. Indeed, an agency’s mission might be antithetical to a particular president’s ideological views. Given this, presidents likely apportion attention accordingly. In Clinton et. al (2012), the authors find that presidents do not use appointees to counterbalance ideological leanings of agencies. Instead, they appoint liberals to “liberal” agencies and conservatives to “conservative” agencies. However, even if the president tends to match individual appointees and agencies based on ideology, this does not mean he uses attention in the same way. In fact, the president may pour more of his appointees into ideologically dissimilar agencies instead. As such, I expect that a simple validation of the attention measure will find a relationship between attention and ideology. I run this test in section 7.

## **5 Excepted Service Across Time and Agencies**

As a first look at Schedule C appointments, it is fruitful to look at a few case studies. With regard to the first expectation, the case of the Homeland Security Headquarters is suggestive. With regard to the second expectation, a look into several liaison agencies is in order.

### **5.1 Homeland Security**

The Department of Homeland Security was created in direct response to 9/11. While there had been calls for the creation of a Homeland Security office earlier in 2001, it was the September 11 attacks which expedited the process. Fewer than two weeks passed before

President Bush selected Governor Tom Ridge to head a new Homeland Security Office. In November of 2002, DHS was officially born as a cabinet agency by act of Congress. It began operating in March 2003 (Department Homeland Security 2014). Between the creation of a brand new cabinet department and the expediency with which President Bush pushed his agenda following the September 11 attacks and into the War on Terror, it is no surprise he would need to fill positions quickly. It is also no surprise that President Bush fought for wide discretion in DHS's creation. In fact, he used the need for expediency and discretion as a justification for his attempt to create a separate personnel system for Homeland Security that would not operate with typical civil service protections. According to the *Washington Post*, Bush officials argued that the September 11 attacks required changes that would "give more discretion to managers and permit quicker deployment of workers without notifying their union representatives" (Barr 2008).

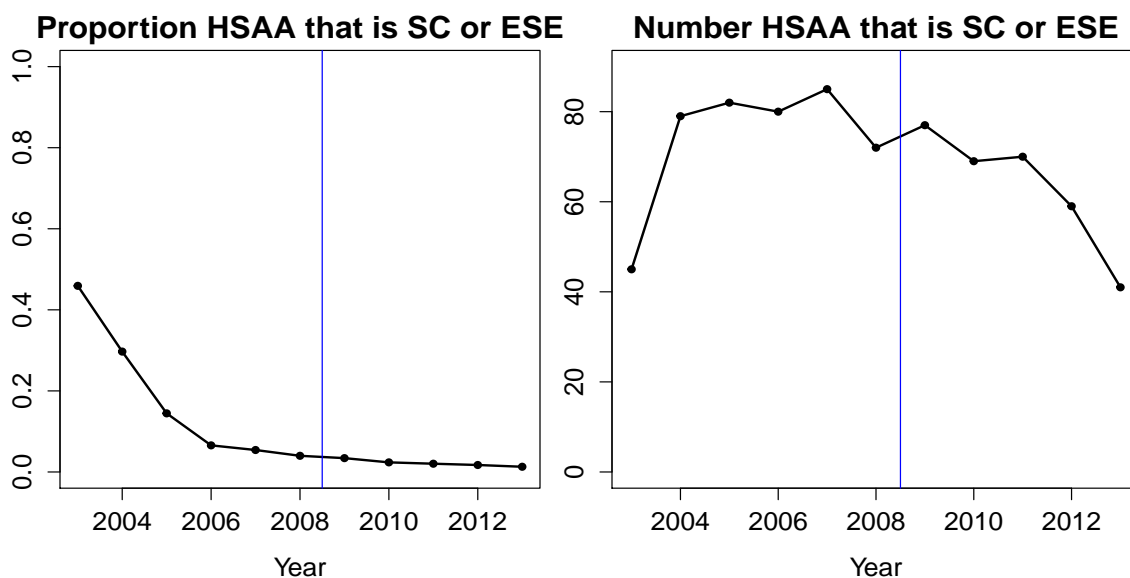


Figure 2: Proportion and Raw Number of SC and ESE appointments in DHS HQ

Figure 2 corroborates the story of expedience. In 2003, nearly 50 percent of the Homeland Security Headquarters (OPM has coded it HSAA) was staffed by Schedule C and ESE appointments. This proportion has gradually declined ever since. In the right half of the figure we see the raw number of Schedule C and ESE appointees. The number

nearly doubled from 2003 to 2004 yet the proportion of the agency employment made up by Schedule C and ESE appointees declined. This is likely because the president used Schedule C and ESE appointments to fill the department quickly, but as time went on, new hires of other appointment types came in. It is important to note that this declining proportion does not per se indicate declining importance or attention. Rather, it indicates how these appointments can and are used: to act quickly without Congress.

## 5.2 Legislative Affairs

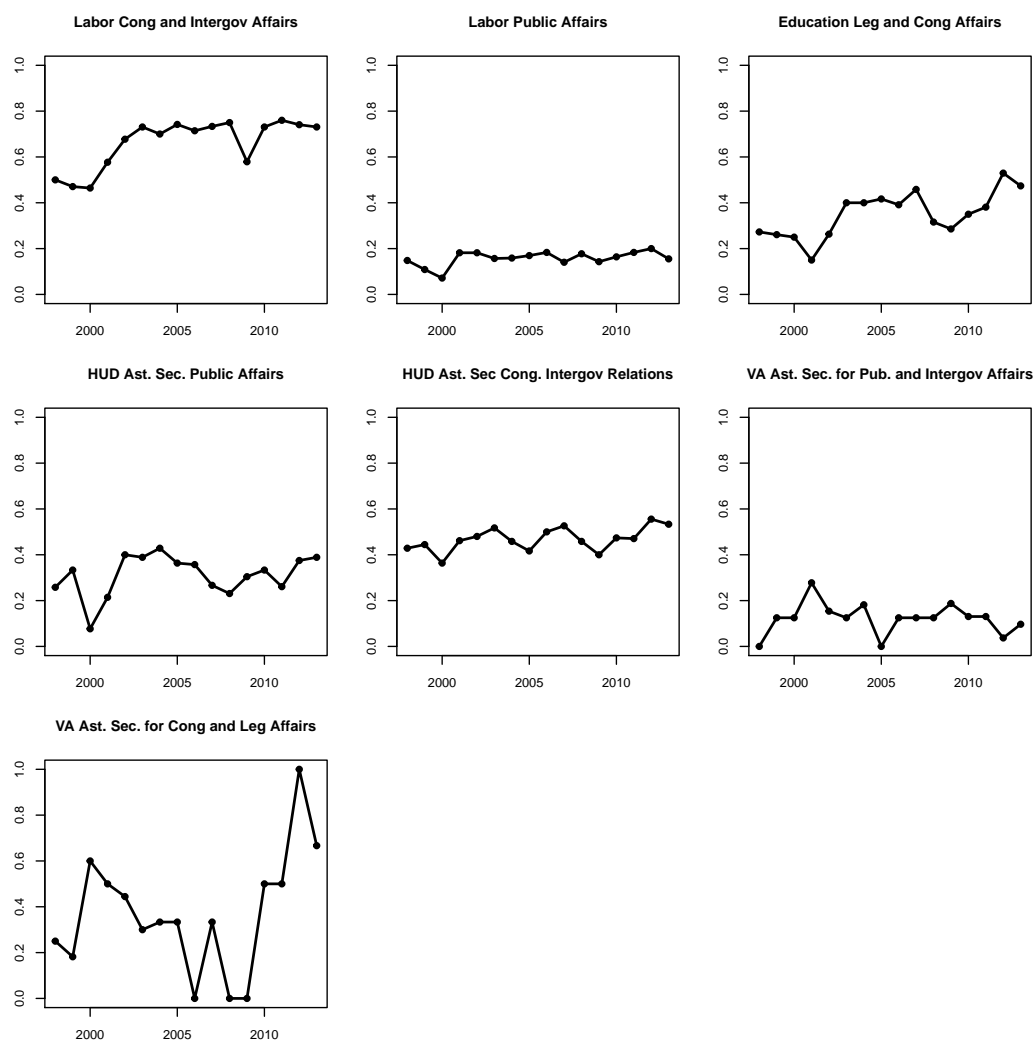


Figure 3: Proportion of SC and ESE Apts in legislative, public, and intergovernmental affairs agencies

There are several liaison agencies in the data. I do not include all of them because some of these agencies are nested within sub-agencies. For example, Veteran's Affairs has Assistant Secretaries for Public Affairs and Congressional affairs. It also has Deputy Assistant Secretary Offices (three in fact). In these cases, I included only the top level. Not all agencies have separate listings for liaison personnel. As will be seen, Labor, Education, HUD, and the VA do. State and Energy report no subdivisions, so this is not surprising. Commerce, Treasury, Interior, Defense, Homeland Security, Justice, Agriculture, HHS, and Transportation do have other subdivisions, but do not report liaison agencies.

As can be seen in Figure 3, all of the liaison agencies report high proportions of Schedule C and ESE appointees. Labor's Congressional and Intergovernmental Affairs agency is staffed consistently over 40 percent and often upwards of 75 percent with these personnel. Labor's Public Affairs Department is somewhat lower, but is still staffed around 10-20 percent by Schedule C and ESE. This may explain why Lewis and Waterman (2013) find evidence for the president appointing what they deem "loyalists" to Labor Department liaison positions. Education's Congressional Affairs Department is staffed between 10 and 20 percent by Schedule C and ESE. While there is a dip in 2000, HUD's public Affairs maintains 20-40 percent Schedule C and ESE. HUD's Congressional Affairs remains near 40 percent. The VA is somewhat more variable. Public and Intergovernmental Affairs ranges from 0 to 20 percent. The VA Congressional Affairs Department is wildly variable, ranging from 0-100 percent, but this is likely because there were only three employees in 2012. Thus, a single person's shift in employment inflates or deflates the numbers considerably.

While all of these agencies are small, most still have a reasonable number of employees. With the exception of the VA Congressional and Legislative Affairs Office listed above, the rest of the agencies range from 15-35 individuals.<sup>3</sup>

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<sup>3</sup>Some of the sub-sub-agencies I omitted do have higher numbers of employees and smaller proportions of Schedule C and ESE appointees.

## 6 Share of Attention Measurement

As alluded to before, there are two main qualities of Schedule C and ESE appointments that make them excellent for studying presidential attention. First, Schedule C and ESE appointments are largely "non-permanent" positions <sup>4</sup> filled only when the president wants them to be. Second, these appointments are expedient—the president can fill them quickly without the long congressional approval process. As such, we can get an idea of the policy areas or agencies on which the president focuses his human resources at any given time.

For this purpose, a simple measure of presidential attention is in order. Attention is measured as the share of Schedule C and ESE appointments in a particular agency in a given year over the total number of these appointments in that year. That is, the share of the total number of Schedule C and ESE appointments employed in a given agency is a measure for presidential attention. We can also measure changes in shares of attention over time. In particular, comparisons between presidents in the same year of term (e.g. first year to first year) and within president variation are of interest.

From a data standpoint, looking at changes in attention is interesting for a few reasons. First, larger agencies in the data automatically draw a larger share of attention from all presidents, so looking at changes in these agencies is more informative. Second, not all agencies report exactly the same. For example, the State Department is artificially inflated relative to the Department of Defense because the State Department reports its employment as a whole whereas DOD reports at the sub-agency and sub-sub-agency level. Thus, the appointments for DOD are spread between dozens of smaller units.

Each comparison discussed below contains only those agencies which existed in both years to get an accurate comparison of change in attention across or within presidencies.

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<sup>4</sup>There were only 30 permanent ESEs in 2013 of the 2,121 total Schedule C and ESE appointments



## 6.1 First Year for Bush and Obama

One obvious attention comparison is the first years of Bush and Obama. Figure 4 shows the difference between Obama's share of attention in each agency in 2009 with Bush's share of attention in each agency in 2001. Negative values indicate agencies which received a larger relative share of attention under Bush in his first year than under Obama in his first year. Positive values indicate agencies which received a larger share of attention under Obama's first year. There were 410 agencies which existed in both years. Of these, 199 received a value of 0 meaning that attention was the same under Bush and Obama's first years. Some of these agencies are small agencies which did not receive any Schedule C or ESE appointments under either president. Some agencies also receive consistently high levels of attention from both presidents. As discussed above, for example, agencies used in legislative relations have a consistently high proportion of SC and ESE appointees with both presidents and generally do not change much.

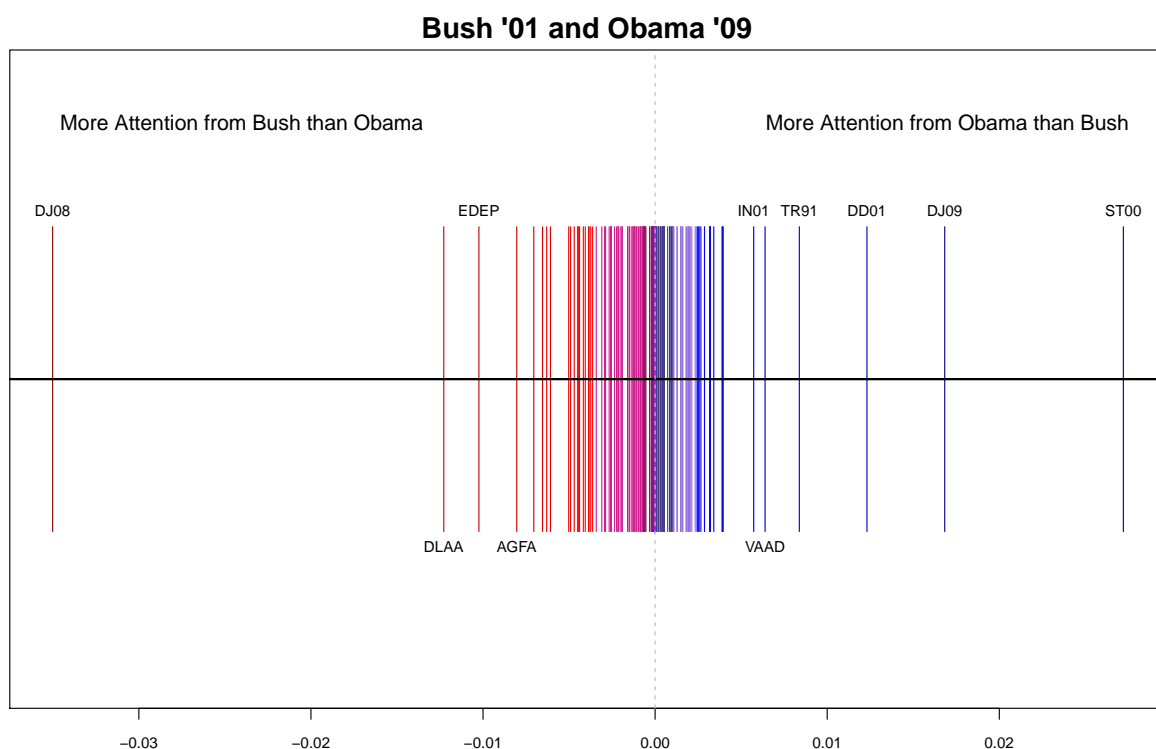


Figure 4: Changes in Shared Attention between Bush '01 and Obama '09

Table 1: Top 10 Agencies with Attention Changes in Either Direction

More Attention from Bush			More Attention from Obama		
DJ08:	U.S. Marshal's Service	-0.03501	ST00:	State Department	0.02721
DLAA:	Office Labor Secretary	-0.01228	DJ09:	Office U.S. Attorney	0.01683
EDEP:	Ofc. Postsec. Education	-0.01024	DD01:	Office Secretary Defense	0.01231
AGFA:	Farm Service Agency	-0.00804	TR91:	Treasury Departmental Ofcs.	0.00838
HUBB:	HUD Human Capital Ofc.	-0.00705	VAAD:	Board of Veteran's Appeals	0.00639
AG07:	Rural Housing Service	-0.00654	IN01:	Office Secretary Interior	0.00573
EDES:	Elem. and Sec. Education	-0.0063	BW00:	Nuclear Waste Tech. Rev. Board	0.00394
DJ07:	Ofc of Justice Programs	-0.00608	AG13:	Ag. Ofc. of Communications	0.00394
FL00:	Farm Credit Admin.	-0.00502	DJ01:	Justice Ofcs, Boards, and Divs	0.00389
AU00:	Fed. Labor Rel. Auth.	-0.00491	QQ00:	Ofc Nat'l Drug Control Policy	0.00341

Table 1 shows the top ten agencies with more and less attention under Obama than Bush. The left half of the table shows those agencies which received more attention from Bush than Obama (and thus received a negative score), while the right half of the table (like the graph) shows those agencies which received more attention from Obama than Bush. Note the education agencies appearing on the left half, indicating that Bush spent more attention on these agencies in his first year than Obama did in his. This attention difference is sensible given the passage of No Child Left Behind in 2001. Obama's greater focus on the Nuclear Waste Technical Review Board in 2009 may be a function of nuclear arms treaty negotiations with Russia for the New START treaty that year, although it is difficult to be certain.

## 6.2 Second Year for Bush and Obama

The second year comparison may be more valuable than the first year comparison for Bush and Obama. This is because the comparison will be on a strictly post-9/11 basis and also because accessions continue to be inflated in the first few months of the second year of office. Figure 5 shows this comparison. There were 413 agencies which existed in both years. Of these, 178 had no change.

As is visible from the figure and Table 2, some agencies which had been listed under

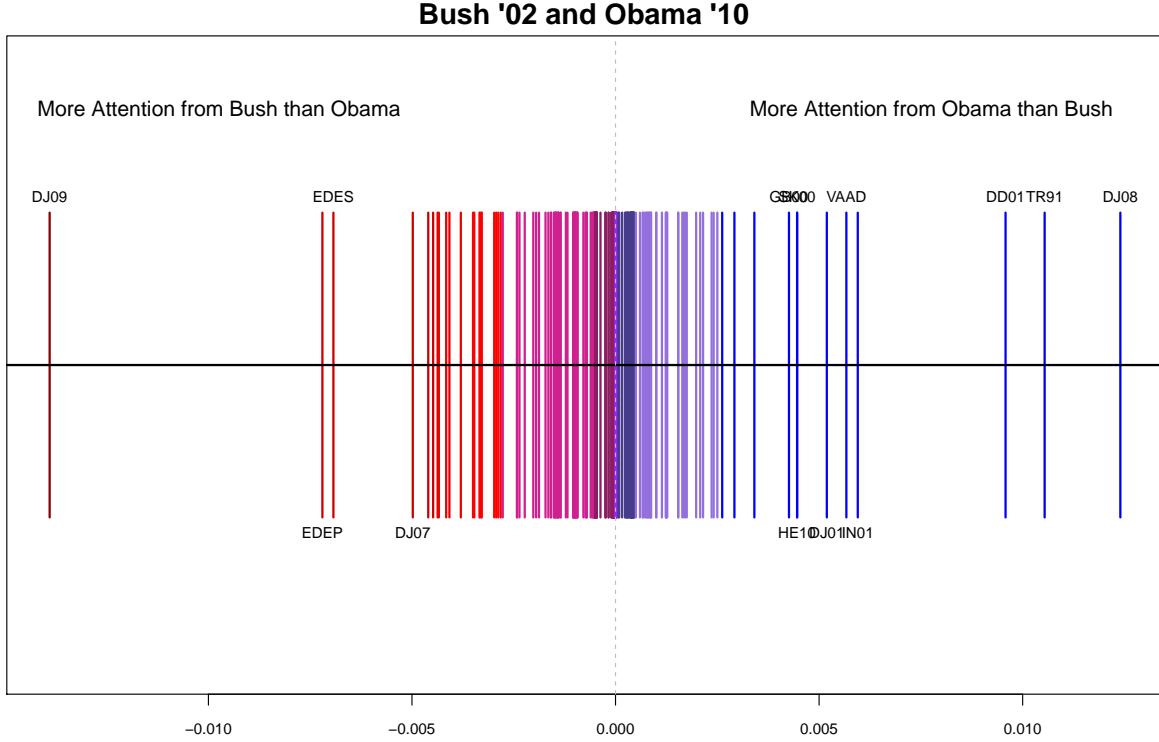


Figure 5: Changes in Shared Attention between Bush '02 and Obama '10

Table 2: Changes in Shared Attention Between Bush '02 and Obama '10

More Attention Under Bush			More Attention Under Obama		
DJ09	Office U.S. Attorney	-0.0139	DJ08	U.S. Marshal's Service	0.0124
EDEP	Ofc. Postsec. Education	-0.0072	TR91	Treasury Departmental Offices	0.01054
EDES	Elem. and Sec. Education	-0.00693	DD01	Office Defense Secretary	0.00958
DJ07	Ofc. of Justice Programs	-0.00498	IN01	Office Secretary Interior	0.00595
EDEA	Office Sec. Education	-0.0046	VAAD	Board Veteran's Appeals	0.00567
BO00	OMB	-0.00448	DJ01	Justice Ofcs, Boards, and Divs	0.00519
AM00	USAID	-0.00437	SK00	Cons. Prod. Safety Comm.	0.00446
AG10	Foreign Agricultural Service	-0.00434	HE10	Office Sec. HHS	0.00446
EP00	EPA	-0.00416	GB00	Overseas Priv. Invest. Corp.	0.00426
CM55	Intl Trade Admin.	-0.00408	TC00	Intl Trade Commission	0.00341

Bush or Obama in the first year comparison have reversed. It may be a simple difference in timing. For example, while the Office of the U.S. Attorney received more attention in Obama's first year than Bush's, it received more attention from Bush's second year than Obama's.

Education is still of higher attention in Bush's second year than Obama's. Interest-

ingly, in 2010, we see that Obama has increased attention (relative to Bush) in Health and Human Services, which makes a great deal of sense given the passage of the Affordable Care Act that year.

Bush’s focus on USAID is likely a function of the War on Terror. In the 2002 *Accountability Report Transmittal Letter*, USAID Administrator Andrew Natsios wrote that USAID had a ”challenging year” as they ”faced the consequences of the war on terrorism.” He further argues that President Bush ”raised the strategic importance of development to the point that it is now an essential pillar of U.S. foreign policy alongside defense and diplomacy” (USAID 2002, pg 3.) The report emphasizes new goals and sets of duties set forth by the President in that year, so it should come as no surprise that USAID received more attention from Bush in 2002 than Obama in 2010. The report further emphasizes Bush’s desire that USAID engage in trade programming. In that light, his focus on the International Trade Commission is also telling. Finally, the USAID report also mentions its work with the USDA providing relief in Africa and Afghanistan, suggesting Bush’s relative emphasis on the Foreign Agricultural Service as well.

### 6.3 Bush Unified Government and Divided Government

Table 3: Attention changes Bush in 2006 and 2007

More Attention in 2006			More Attention in 2007		
DJ09	Office U.S. Attorney	-0.00641	DN00	Department of Energy	0.00414
DJ01	Just. Ofs, Boards, and Divs.	-0.00465	DD01	Ofc. Secretary Defense	0.00374
HSDA	Nuclear Detection Office	-0.00434	NF00	Natl Science Found.	0.00371
DD34	Defense Commissary Agency	-0.00257	TC00	U.S. Intl Trade Comm.	0.00286
DJ07	Ofc. of Justice Programs	-0.00219	TR91	Treas. Departmental Ofcs.	0.00261
CM54	NOAA	-0.00217	AH03	Inst. Museum and Library Serv.	0.00244
NV18	Naval Medical Command	-0.00173	SB00	Small Business Admin.	0.00234
IB00	Broadcasting Board of Govs.	-0.00172	VAAD	Board Veteran’s Appeals	0.0021
CM51	Office Sec. Commerce	-0.00153	AH01	Natl Endowment Arts	0.00202
EP00	EPA	-0.00153	AG07	Rural Housing	0.00186

Figure 6 shows the comparison between Bush in ’06 with his last year of unified

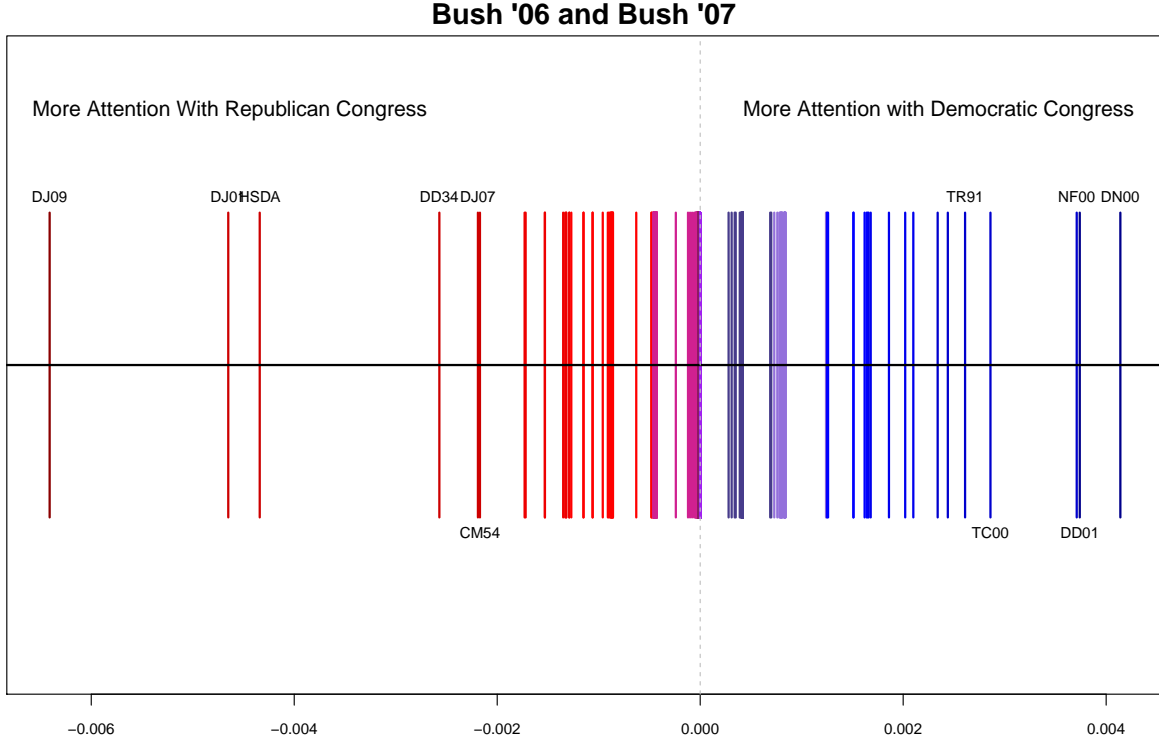


Figure 6: Changes in Shared Attention between Bush '06 and Bush '07

government and Bush in '07 under the first year of divided government. Of the 519 agencies, 257 did not have an attention change between 2006 and 2007. Of course, it is important to note that the numbers represent changes in attention. Agencies may receive consistently high attention from Bush over the period and receive a score of 0. Rather, the values are important because they represent how Bush's attention changed over the period.

Agencies which received more attention in unified government under Bush can be seen in the left of Table 3. The Homeland Security Domestic Nuclear Detection Office received more attention in 2006. As did the Defense Commissary Agency, the National Oceanic and Atmospheric Administration, the EPA, the Naval Medical Command, the Broadcasting Board of Governors, and the Secretary of Commerce's office.

When the Democratic Congress took office, Bush's attention shifted in favor of the Department of Energy, Defense Secretary's Office, the National Science Foundation, the U.S. International Trade Commission, the Small Business Administration, the National Endow-

ment for the Arts and the Rural Housing Service.

## 6.4 Obama Unified Government and Divided Government

Figure 7 shows the comparison between Obama in '10 and Obama in '11. Of 526 agencies, 340 did not have an attention change.

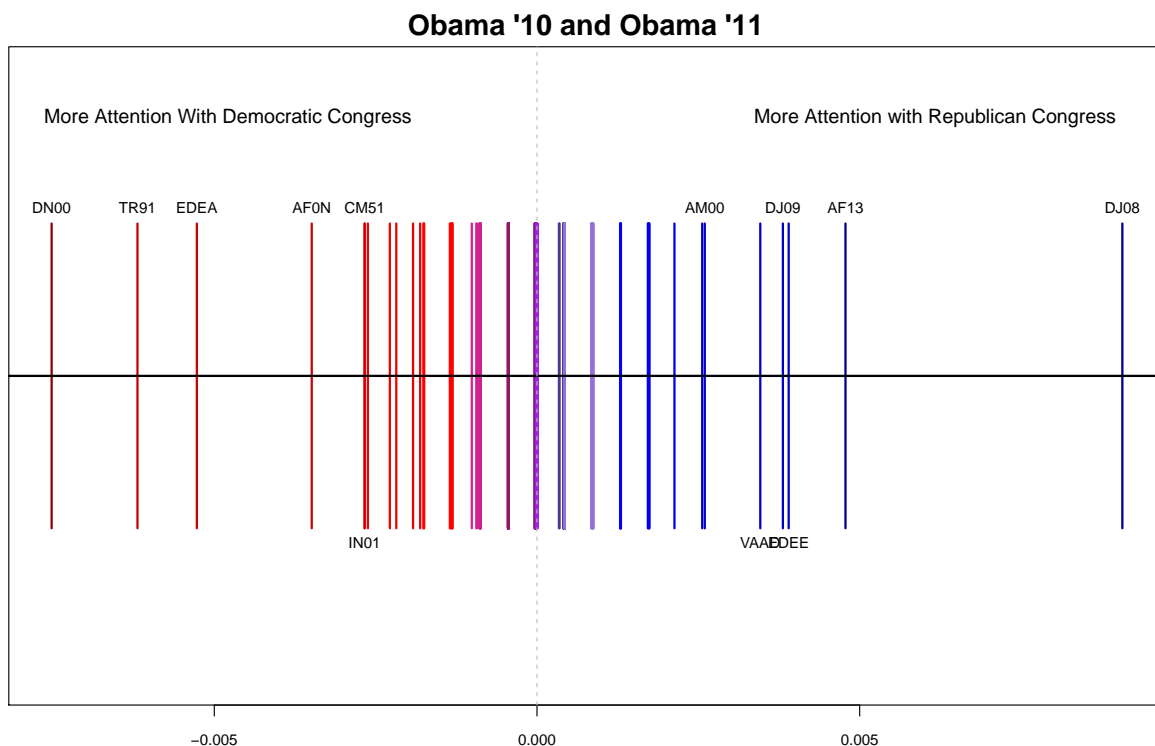


Figure 7: Changes in Shared Attention between Obama '10 and Obama '11

As Table 4 shows, the Department of Energy received more of Obama's attention under unified government in 2010 as did the Office of the Secretary of Education, Air Force Headquarters, Secretary of Commerce, Secretary of Interior, Nuclear Detection Office, and the State Department. When the Republicans gained control of the House, the Small Business Administration, USAID, Social Security Administration and Office of Special Counsel received greater attention.

Table 4: Obama Attention Changes in 2010 and 2011

More Attention in 2010			More Attention in 2011		
DN00	Department of Energy	-0.00752	DJ08	U.S. Marshal's Service	0.00907
TR91	Treas. Departmental Ofcs.	-0.00619	AF13	USAF HQ and Support	0.00478
EDEA	Sec. of Education	-0.00527	EDEE	Undersec. of Education	0.0039
AF0N	HQ USAF	-0.00349	DJ09	Office U.S. Attorney	0.00381
CM51	Secretary Commerce	-0.00267	VAAD	Board Veteran's Appeals	0.00346
IN01	Secretary Interior	-0.00267	AM00	USAID	0.0026
HSDA	Nuclear Detection Ofc.	-0.00262	SB00	Small Business Admin.	0.00256
DJ01	Just. Ofcs, Boards, and Divs	-0.00228	AG01	Ofc. Secretary Agriculture	0.00213
DD60	DOD Tricare Management	-0.00218	SZ00	Social Security Admin.	0.00174
ST00	State Department	-0.00192	FW00	Office of Special Counsel	0.00174

## 7 Attention and Ideology

To further help validate the attention measure and test the third expectation from section 4, I ran two regressions of attention and ideological distance.

The ideological distance measure came from two sources. First, I used the agency ideal points calculated by Clinton and Lewis (2008). There are 82 agencies in their data set. This meant reducing the scope of my data from 692 agencies to 78 (because some of the agencies in Clinton/Lewis are not in the Fedscope data). Most of this reduction came in the form of collapsing sub-units into their parent agencies. For example, Clinton/Lewis list all of the cabinet agencies by themselves without sub-organizations. However, some of this reduction also came from eliminating agencies for which Clinton and Lewis do not provide estimates. While Clinton and Lewis do have a wide range of independent agencies of varying size, the Fedscope data is simply more complete. The agency ideal point measure ranges from -2.07 to 2.4 with a mean of 0 and do not vary over time. I then used Poole/Rosenthal estimates for Presidents Clinton, Bush, and Obama to calculate the agency's absolute distance from the president.

The attention measure was calculated in the same way as described above, except with the agencies' employees collapsed into their parent bodies where necessary before calculating attention. This attention measure was calculated for each agency year, yielding

1213 observations.

Table 5: Attention and Ideology Results		
	Model 1	Model 2
(Intercept)	.0108 (.001)	.00001 (.00207)
Ideology	.0028 (.0009)	.00095 (.00033)
Bush	-.00004 (.001)	-.00033 (.00028)
Obama	.0002 (.0015)	.00050 (.00028)
$N$	1213	1213
$R^2$	0.007	0.96
adj. $R^2$	0.005	0.96
Resid. sd	0.021	0.004
Std. errors in parentheses.		
Model 2 incl. agency FEs		

Table 5 shows the results from Model 1 and Model 2. Model 1 is a simple OLS regression including ideological distance and presidential dummy variables. Model 2 is the same except it includes agency fixed effects (not shown). Figure 8 provides a graphical representation of the Model 2 results. As can be seen from the table and figure, the coefficient for ideological distance is positive and reliable. This means that the president uses a larger share of Schedule C and ESE appointments in agencies which are ideologically distant.

Relative to president Clinton, the coefficient for President Bush was not statistically reliable while the coefficient for Obama was positive and reliable at  $\alpha = .10$ .

Of course, the substantive effect of ideological distance is relatively small. A one standard deviation increase in ideological distance yields a .0006 increase in attention. This comes out to approximately 1.2 appointments on average. While this number is small, it is important to remember that agencies differ widely in size. A single Schedule C appointment may not make much difference in the Department of State or Defense, but the CEQ has only



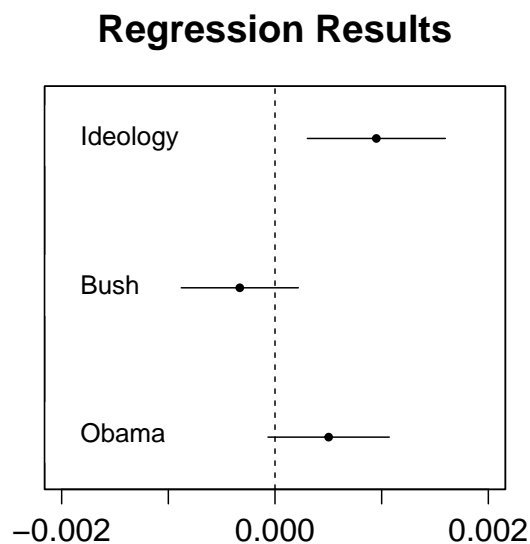


Figure 8: Results of Model 2 (Agency Fixed Effects Included)

19 total employees and the National Security Council has only 66. One employee will have greater impact in those instances.

## 8 Conclusion

As this paper has hopefully demonstrated, presidential attention is an important but understudied concept. While scholars have examined how presidents choose bureaucrats, we know little about how they apportion more flexible personnel. After considering a simple measure of the president's administrative attention, I have found that presidents use these appointments in ways we would expect. First, presidents use flexible personnel to staff agencies quickly when the situation is expedient. Second, presidents staff agencies tasked with communicating the administration's message to Congress and the public with flexible, political appointees.

In addition to how presidents generally use lower level appointees, they also differ in how they distribute attention. While some agencies receive a consistent portion of agency attention, others receive more attention if that issue is on the president's agenda. For exam-

ple, subagencies within the Department of Education received more attention from President Bush as he pushed for No Child Left Behind and Health and Human Services received more attention during Obama's fight for the Affordable Care Act. Finally, presidents seem to use ideology when deciding how to apportion attention. Presidents pay more attention to agencies which are ideologically dissimilar than those that are closest to them. Perhaps this is because the president expects to have more trouble pursuing his agenda when it is at odds with the agency's predisposition. These findings only begin to paint a picture of presidential attention, but will hopefully provide a basis for considering its implications for bureaucratic politics in the future.

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## 10 Appendix A: Appointment Categories in Fedscope Data

The FedScope data includes two broad categories of appointment types: permanent and non-permanent employees. Some appointment types may be either permanent or non-permanent and others exist only in one category.

**Competitive Service Career and Career Conditional:** The competitive service is the general hiring process used to fill agencies. Individuals must go through a competitive hiring process (including interviews and possibly exams). Most appointments begin as career conditional appointments and after a probationary period become career appointments.

**Excepted Service Schedule A:** Excepted Service positions are excepted from the competitive hiring process described above. These positions are usually excepted from the process by statute, although they may be excepted in other ways such as executive order. Schedule A is a hiring authority used to hire individuals with disabilities. However, Schedule A can also be used to hire attorneys, chaplains and medical professionals because OPM is not allowed

to develop standards or examinations for these types of jobs. Most of these employees are permanent. However, there may be competitively filled non-permanent positions in the data as well.

**Excepted Service Schedule B:** Like Schedule A, Schedule B appointments are excepted from competitive service. However, they require applicants to meet certain conditions. For example, student programs require that applicants be students.

**Excepted Service Schedule C:** Schedule C appointments are political appointments that have "confidential" or "policy-determining" role in the agency. They are neither subjected to the competitive hiring process nor senate approval just like other excepted service appointments. However, these appointments are not excepted due to impracticalities of the competitive service but rather the need of the president to have agency employees who support his mission. Rather than a permanent position, Schedule C appointees are appointed for a non-permanent term and at the end of that term, the position is removed unless a new request is filed and approved with OPM. As such, Schedule C are flexible and appointees fill positions that do not otherwise exist.

**Excepted Service Schedule D:** Schedule D appointments are largely used for internships.

**Excepted Service Executive:** Excepted Service Positions. Many of these are also considered "policy-determining" or "confidential." Most excepted service executive appointments, like Schedule C are non-permanent positions. The vast majority hold supervisory status.

**Excepted Service Other:** A miscellaneous category of appointments not subject to the competitive service.

**Senior Executive Service Career:** The Senior Executive Service (SES) includes "most managerial, supervisory, and policy positions classified above General Schedule (GS) grade 15 or equivalent positions in the Executive Branch of the Federal Government." SES excludes appointments that undergo presidential approval with senate confirmation. According to OPM, SES appointees are "selected by agency merit staffing process and must have their executive qualifications approved by a Qualifications Review Board (QRB) convened by OPM." For more information about those positions which qualify as SES see Appendix B.

**Senior Executive Service Non-Career:** These are like SES Career Positions except OPM approves these appointments on a case-by-case basis. These appointments can only be made to general positions and there can be no more than 10 percent of SES positions filled by non-career appointment.

**Senior Executive Service Limited Term:** These are SES positions (described above) that are non-renewable and last no more than 3 years. They are usually appointed for special projects.

**Senior Executive Service Limited Term Emergency:** This is a non-renewable SES appointment that lasts no more than 18 months created for a "bona-fide unanticipated, urgent need."

## 11 Data Scope and Collection

Complete list of agencies not included in the Fedscope data:

- Board of Governors of the Federal Reserve
- Central Intelligence Agency
- Defense Intelligence Agency
- Foreign Service personnel at the State Department (included until March 2006)
- National Geospatial-Intelligence Agency
- National Security Agency
- Office of the Director of National Intelligence
- Office of the Vice President
- Postal Regulatory Commission
- Tennessee Valley Authority
- U.S. Postal Service
- White House Office