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# A reintroduction to proofs

# Plan



1. Logic, constructively

2.  $\forall : \Pi :: \exists : \Sigma$

3. Peano's axioms, revisited

$\infty.$  =



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Logic, constructively

# Conjunction and disjunction



Forget truth tables! Instead, define the logical operators “and”  $\wedge$  and “or”  $\vee$  by:

**Conjunction**  $\wedge$  is the logical operator defined by the rules:

- $\wedge$ intro: If  $p$  is true and  $q$  is true, then  $p \wedge q$  is true.
- $\wedge$ elim<sub>1</sub>: If  $p \wedge q$  is true, then  $p$  is true.
- $\wedge$ elim<sub>2</sub>: If  $p \wedge q$  is true, then  $q$  is true.

**Disjunction**  $\vee$  is the logical operator defined by the rules:

- $\vee$ intro<sub>1</sub>: If  $p$  is true, then  $p \vee q$  is true.
- $\vee$ intro<sub>2</sub>: If  $q$  is true, then  $p \vee q$  is true.
- $\vee$ elim: If  $p \vee q$  is true, and if  $r$  can be derived from  $p$  and from  $q$ , then  $r$  is true.

**Introduction rules** explain how to prove a proposition involving a particular connective, while **elimination rules** explain how to use a hypothesis involving a particular connective.

# Implication



**Implication**  $\Rightarrow$  is the logical operator defined by the rules:

- $\Rightarrow$ intro: If  $q$  can be derived from the assumption that  $p$  is true, then  $p \Rightarrow q$  is true.
- $\Rightarrow$ elim: If  $p \Rightarrow q$  is true and  $p$  is true, then  $q$  is true.

**Theorem.** For any propositions  $p$ ,  $q$ , and  $r$ ,  $((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$ .

**Proof:** By  $\Rightarrow$ intro, assume that  $(p \Rightarrow q) \wedge (q \Rightarrow r)$  is true; our goal is to prove  $p \Rightarrow r$ . By  $\wedge$ elim<sub>1</sub> and  $\wedge$ elim<sub>2</sub> it follows that  $p \Rightarrow q$  and  $q \Rightarrow r$  are true. By  $\Rightarrow$ intro again, also assume  $p$  is true; now our goal is just to prove  $r$ . By  $\Rightarrow$ elim, from  $p$  and  $p \Rightarrow q$ , we may conclude that  $q$  is true. By  $\Rightarrow$ elim again, from  $q$  and  $q \Rightarrow r$ , we may conclude  $r$  is true as desired.  $\square$

givens:

$$(p \Rightarrow q) \wedge (q \Rightarrow r)$$

$$p \Rightarrow q$$

$$q \Rightarrow r$$

$$p$$

$$q$$

$$r$$

---

$$\text{goal: } ((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$$

# Type theory



Type theory is a formal system for mathematical statements and proofs that has two primitive notions: types  $A$ ,  $B$  and terms  $a : A$ ,  $b : B$ .

In type theory, logic is unified with construction. In particular, some types are analogous to propositions while others are analogous to sets.

## Mathematics in type theory:

- To state a conjecture, one forms a type that encodes its statement.
- To prove the theorem, one constructs a term in that type.

Given any types  $A$  and  $B$ , one may form the

product type  $A \times B$  , coproduct type  $A + B$  , function type  $A \rightarrow B$

whose terms are governed by introduction and elimination (and computation) rules which extend the rules for conjunction, disjunction, and implication.

# Conjunction and Products



**Conjunction**  $\wedge$  is the logical operator defined by the rules:

- $\wedge$ **intro**: If  $p$  is true and  $q$  is true, then  $p \wedge q$  is true.
- $\wedge$ **elim**<sub>1</sub>: If  $p \wedge q$  is true, then  $p$  is true.
- $\wedge$ **elim**<sub>2</sub>: If  $p \wedge q$  is true, then  $q$  is true.

Given types  $A$  and  $B$ , the **product type**  $A \times B$  is governed by the rules:

- $\times$ **intro**: given terms  $a : A$  and  $b : B$  there is a term  $(a, b) : A \times B$
- $\times$ **elim**<sub>1</sub>: given a term  $p : A \times B$  there is a term  $\pi_1 p : A$
- $\times$ **elim**<sub>2</sub>: given a term  $p : A \times B$  there is a term  $\pi_2 p : B$

plus computation rules that relate pairings and projections.

# Implication and functions



**Implication**  $\Rightarrow$  is the logical operator defined by the rules:

- $\Rightarrow$ **intro**: If  $q$  can be derived from the assumption that  $p$  is true, then  $p \Rightarrow q$  is true.
- $\Rightarrow$ **elim**: If  $p \Rightarrow q$  is true and  $p$  is true, then  $q$  is true.

Given types  $A$  and  $B$ , the **function type**  $A \rightarrow B$  is governed by the rules:

- $\rightarrow$ **intro**: if given any term  $x : A$  there is a term  $b_x : B$ ,  
then there is a term  $\lambda x. b_x : A \rightarrow B$
- $\rightarrow$ **elim**: given terms  $f : A \rightarrow B$  and  $a : A$ , there is a term  $f(a) : B$

plus computation rules that relate  $\lambda$ -abstractions and evaluations.



# A proof/construction in type theory



The proof of transitivity of implication constructs the composition function:

**Theorem.** For any propositions  $p$ ,  $q$ , and  $r$ ,  $((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$ .

**Theorem.** For any types  $P$ ,  $Q$ , and  $R$ ,  $((P \rightarrow Q) \times (Q \rightarrow R)) \rightarrow (P \rightarrow R)$ .

**Construction:** By  $\rightarrow$ intro, suppose given  $h : (P \rightarrow Q) \times (Q \rightarrow R)$ ; our goal is a term of type  $P \rightarrow R$ . By  $\times$ elim<sub>1</sub> and  $\times$ elim<sub>2</sub>, we have  $\pi_1 h : P \rightarrow Q$  and  $\pi_2 h : Q \rightarrow R$ . By  $\rightarrow$ intro again, suppose given  $p : P$ ; now our goal is a term of type  $R$ . By  $\rightarrow$ elim, from  $p : P$  and  $\pi_1 h : P \rightarrow Q$ , we obtain  $\pi_1 h(p) : Q$ . By  $\rightarrow$ elim again, from  $\pi_1 h(p) : Q$  and  $\pi_2 h : Q \rightarrow R$ , we obtain  $\pi_2 h(\pi_1 h(p)) : R$  as desired.  $\square$

gives:

$h : (P \rightarrow Q) \times (Q \rightarrow R)$

$\pi_1 h : P \rightarrow Q$

$\pi_2 h : Q \rightarrow R$

$p : P$

$\pi_1 h(p) : Q$

$\pi_2 h(\pi_1 h(p)) : R$

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goal:  $((P \rightarrow Q) \times (Q \rightarrow R)) \rightarrow (P \rightarrow R)$

This constructs a term  $\lambda h. \lambda p. \pi_2 h(\pi_1 h(p)) : ((P \rightarrow Q) \times (Q \rightarrow R)) \rightarrow (P \rightarrow R)$ .

# Disjunction and coproducts



Disjunction  $\vee$  is the logical operator defined by the rules:

- $\vee\text{intro}_1$ : If  $p$  is true, then  $p \vee q$  is true.
- $\vee\text{intro}_2$ : If  $q$  is true, then  $p \vee q$  is true.
- $\vee\text{elim}$ : If  $p \vee q$  is true, and if  $r$  can be derived from  $p$  and from  $q$ , then  $r$  is true.

Given types  $A$  and  $B$ , the coproduct type  $A + B$  is governed by the rules:

- $^+\text{intro}_1$ : given a term  $a : A$ , there is a term  $\iota_1 a : A + B$
- $^+\text{intro}_2$ : given a term  $b : B$ , there is a term  $\iota_2 b : A + B$
- $^+\text{elim}$ : given a types  $C$  and terms  $c_a, d_b : C$  for each  $a : A$  and  $b : B$  respectively, there is a term  $^+\text{ind}(c, d)(x) : C$  for each  $x : A + B$

plus computation rules that relate the inclusions and the elimination.

## Another proof/construction in type theory



**Theorem.** For any types  $A$ ,  $B$ , and  $C$ ,  $((A + B) \rightarrow C) \rightarrow ((A \rightarrow C) \times (B \rightarrow C))$ .

**Construction:** By  $\rightarrow$ intro, suppose given  $h : (A + B) \rightarrow C$ ; our goal is a term of type  $(A \rightarrow C) \times (B \rightarrow C)$ . By  $\times$ intro, it suffices to define terms of type  $A \rightarrow C$  and type  $B \rightarrow C$ . By  $\rightarrow$ intro, to define a term of type  $A \rightarrow C$  it suffices to assume a term  $a : A$  and define a term of type  $C$ . By  $+$ intro<sub>1</sub>, we then have a term  $\iota_1 a : A + B$ . Then by  $\rightarrow$ elim we obtain a term  $h(\iota_1 a) : C$ . Similarly, by  $\rightarrow$ intro,  $+$ intro<sub>2</sub>, and  $\rightarrow$ elim we have  $\lambda b. h(\iota_2 b) : B \rightarrow C$ . □

- $\rightarrow$ intro: if given any term  $x : A$  there is a term  $b_x : B$ , there is a term  $\lambda x. b_x : A \rightarrow B$
- $\times$ intro: given terms  $a : A$  and  $b : B$  there is a term  $(a, b) : A \times B$
- $+$ intro<sub>1</sub>: given a term  $a : A$ , there is a term  $\iota_1 a : A + B$
- $\rightarrow$ elim: given terms  $f : A \rightarrow B$  and  $a : A$ , there is a term  $f(a) : B$

This constructs  $\lambda h. (\lambda a. h(\iota_1 a), \lambda b. h(\iota_2 b)) : ((A + B) \rightarrow C) \rightarrow ((A \rightarrow C) \times (B \rightarrow C))$ .



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$\forall : \Pi :: \exists : \Sigma$

# Universal and existential quantification



Let  $p : X \rightarrow \{\perp, \top\}$  be an  $X$ -indexed family of propositions, a **predicate**  $p(x)$  on  $x \in X$ .  
For example:

- “ $2^{2^n} - 1$  is prime” is a predicate on  $n \in \mathbb{N}$
- “ $z^2 = -1$ ” is a predicate on  $z \in \mathbb{C}$

**Universal quantification**  $\forall x \in X, p(x)$  is the logical formula defined by the rules:

- **$\forall$ intro**: If  $p(x)$  can be derived from the assumption that  $x$  is an arbitrary element of  $X$ , then  $\forall x \in X, p(x)$  is true.
- **$\forall$ elim**: If  $\forall x \in X, p(x)$  is true and  $a \in X$ , then  $p(a)$  is true.

**Existential quantification**  $\exists x \in X, p(x)$  is the logical formula defined by the rules:

- **$\exists$ intro**: If  $a \in X$  and  $p(a)$  is true, then  $\exists x \in X, p(x)$  is true.
- **$\exists$ elim**: If  $\exists x \in X, p(x)$  is true and  $q$  can be derived from the assumption that  $p(a)$  is true for some  $a \in X$ , then  $q$  is true.

# Exchanging quantifiers



$\forall$ -intro: If  $p(x)$  for any  $x \in X$ , then  $\forall x \in X, p(x)$ .

$\forall$ -elim: If  $\forall x \in X, p(x)$  and  $a \in X$ , then  $p(a)$ .

$\exists$ -intro: If  $a \in X$  and  $p(a)$ , then  $\exists x \in X, p(x)$ .

$\exists$ -elim: If  $\exists x \in X, p(x)$  and  $q$  follows from  $p(a)$  for some  $a \in X$ , then  $q$ .

**Theorem.** For any predicate  $p(x, y)$  on  $x \in X$  and  $y \in Y$ ,

$$\exists y \in Y, \forall x \in X, p(x, y) \Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y').$$

**Proof:** By  $\Rightarrow$ -intro, we may assume  $\exists y \in Y, \forall x \in X, p(x, y)$ ; our goal is to prove  $\forall x' \in X, \exists y' \in Y, p(x', y')$ . By  $\exists$ -elim, we may assume  $y_0 \in Y$  makes  $\forall x \in X, p(x, y_0)$  true. By  $\forall$ -intro, we may fix  $x' \in X$ ; our goal is to prove that  $\exists y' \in Y, p(x', y')$ . But by  $\forall$ -elim, we know that  $p(x', y_0)$  is true. So by  $\exists$ -intro, it follows that  $\exists y' \in Y, p(x', y')$  is true.  $\square$

**givens:**  $\exists y \in Y, \forall x \in X, p(x, y)$   
 $y_0$   
 $\forall x \in X, p(x, y_0)$   
 $x'$   
 $p(x', y_0)$   
 $\exists y' \in Y, p(x', y')$

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**goal:**  $\exists y \in Y, \forall x \in X, p(x, y)$   
 $\Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y')$

# Dependent type theory



Dependent type theory is a formal system for mathematical statements and proofs that, in addition to the types  $A$ ,  $B$  and terms  $a : A$ ,  $b : B$ , also has primitive notions of type families and term families that are indexed by previously-defined types.

Type families  $B : A \rightarrow \text{Type}$  are analogous to predicates and also to indexed families of sets, e.g.,

$\text{is-prime} : \mathbb{N} \rightarrow \text{Type}$ ,  $=_A : A \rightarrow A \rightarrow \text{Type}$ ,  $\mathbb{R}^\bullet : \mathbb{N} \rightarrow \text{Type}$ ,  $\text{Mat}_{\bullet \times \bullet} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{Type}$

Term families  $f : \prod_{x:A} B(x)$  are analogous to universal proofs or indexed families of elements and define dependent functions, e.g.,

$$\vec{0}^\bullet : \prod_{n:\mathbb{N}} \mathbb{R}^n, \quad I_\bullet : \prod_{n:\mathbb{N}} \text{Mat}_{n,n}, \quad S_\bullet : \prod_{n:\mathbb{N}} \text{Group}$$

# Universal quantification and dependent functions



For any **predicate**  $p : X \rightarrow \{\perp, \top\}$ , the **universal quantification**  $\forall x \in X, p(x)$  is the logical formula defined by the rules:

- **$\forall$ intro**: If  $p(x)$  can be derived from the assumption that  $x$  is an arbitrary element of  $X$ , then  $\forall x \in X, p(x)$  is true.
- **$\forall$ elim**: If  $\forall x \in X, p(x)$  is true and  $a \in X$ , then  $p(a)$  is true.

For any **family of types**  $B : A \rightarrow \text{Type}$ , the **dependent function type**  $\prod_{x:A} B(x)$  is governed by the rules:

- **$\Pi$ intro**: if given any  $x : A$  there is a term  $b_x : B(x)$   
there is a term  $\lambda x. b_x : \prod_{x:A} B(x)$
- **$\Pi$ elim**: given terms  $f : \prod_{x:A} B(x)$  and  $a : A$  there is a term  $f(a) : B(a)$

plus computation rules that relate  $\lambda$ -abstractions and evaluations.

For a constant type family  $B : A \rightarrow \text{Type}$ , the dependent function type recovers  $A \rightarrow B$



## Existential quantification and dependent sums



For any **predicate**  $p : X \rightarrow \{\perp, \top\}$ , the **existential quantification**  $\exists x \in X, p(x)$  is the logical formula defined by the rules:

- $\exists$ **intro**: If  $a \in X$  and  $p(a)$  is true, then  $\exists x \in X, p(x)$  is true.
- $\exists$ **elim**: If  $\exists x \in X, p(x)$  is true and  $q$  can be derived from the assumption that  $p(a)$  is true for some  $a \in X$ , then  $q$  is true.

For any **family of types**  $B : A \rightarrow \mathbf{Type}$ , the **dependent sum type**  $\sum_{x:A} B(x)$  is governed by the rules:

- $\Sigma$ **intro**: if there are terms  $a : A$  and  $b : B(a)$ , there is a term  $(a, b) : \sum_{x:A} B(x)$
- $\Sigma$ **elim**: given a term  $p : \sum_{x:A} B(x)$  there are terms  $\pi_1 p : A$  and  $\pi_2 p : B(\pi_1 p)$

plus computation rules that relate pairings and projections.

For a constant type family  $B : A \rightarrow \mathbf{Type}$ , the dependent sum type recovers  $A \times B$ .

## Exchanging quantifiers, revisited



**Theorem.** For any  $p(x, y)$ ,  $\exists y \in Y, \forall x \in X, p(x, y) \Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y')$ .

**Theorem.** For any  $P : X \rightarrow Y \rightarrow \text{Type}$ ,  $\Sigma_{y:Y} \Pi_{x:X} P(x, y) \rightarrow \Pi_{x':X} \Sigma_{y':Y} P(x', y')$ .

**Proof:** By  $\Rightarrow$ intro, we may assume  $\exists y \in Y, \forall x \in X, p(x, y)$ ; our goal is to prove  $\forall x' \in X, \exists y' \in Y, p(x', y')$ . By  $\exists$ elim, we may assume  $y_0 \in Y$  makes  $\forall x \in X, p(x, y_0)$  true. By  $\forall$ intro, we may fix  $x' \in X$ ; our goal is to prove that  $\exists y' \in Y, p(x', y')$ . But by  $\forall$ elim, we know that  $p(x', y_0)$  is true. So by  $\exists$ intro, it follows that  $\exists y' \in Y, p(x', y')$  is true.  $\square$

**Proof:** By  $\rightarrow$ intro, we may assume  $h : \Sigma_{y:Y} \Pi_{x:X} P(x, y)$ ; our goal is of type  $\Pi_{x':X} \Sigma_{y':Y} P(x', y')$ . By  $\Sigma$ elim, we have  $\pi_1 h : Y$  and  $\pi_2 h : \Pi_{x:X} P(x, \pi_1 h)$ . By  $\Pi$ intro, we may fix  $x' : X$ ; our goal is of type  $\Sigma_{y':Y} P(x', y')$ . But by  $\Pi$ elim, we have  $\pi_2 h(x') : P(x', \pi_1 h)$ . So by  $\Sigma$ intro, we then have  $(\pi_1 h, \pi_2 h(x')) : \Sigma_{y':Y} P(x', y')$ .  $\square$

The constructs  $\lambda h. \lambda x'. (\pi_1 h, \pi_2 h(x')) : \Sigma_{y:Y} \Pi_{x:X} P(x, y) \rightarrow \Pi_{x':X} \Sigma_{y':Y} P(x', y')$ .



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Peano's axioms, revisited



**Dedekind's Categoricity Theorem.** The natural numbers  $\mathbb{N}$  are characterized by **Peano's postulates**:

- There is a natural number  $0 \in \mathbb{N}$ .
- Every natural number  $n \in \mathbb{N}$  has a successor  $\text{suc}n \in \mathbb{N}$ .
- $0$  is not the successor of any natural number.
- No two natural numbers have the same successor.
- The **principle of mathematical induction**: for all predicates  $P : \mathbb{N} \rightarrow \{\perp, \top\}$

$$P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(\text{suc}k)) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

# A proof by induction



**Theorem.** For any  $n \in \mathbb{N}$ ,  $n^2 + n$  is even.

**Proof:** By induction on  $n \in \mathbb{N}$ :

- In the base case, when  $n = 0$ ,  $0^2 + 0 = 2 \times 0$ , which is even.
- For the inductive step, assume for  $k \in \mathbb{N}$  that  $k^2 + k = 2 \times m$  is even. Then

$$\begin{aligned}(k+1)^2 + (k+1) &= (k^2 + k) + ((2 \times k) + 2) \\ &= (2 \times m) + (2 \times (k+1)) \\ &= 2 \times (m + k + 1) \quad \text{is even.}\end{aligned}$$

By the principle of mathematical induction

$$\forall P, P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(k+1)) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

this proves that  $n^2 + n$  is even for all  $n \in \mathbb{N}$ .



## A construction by induction



The inductive proof not only demonstrates for all  $n \in \mathbb{N}$  that  $n^2 + n$  is even but also defines a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$ .

**Theorem.** There is a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$  for all  $n \in \mathbb{N}$ .

**Construction:** By induction on  $n \in \mathbb{N}$ :

- In the base case,  $0^2 + 0 = 2 \times 0$ , so we define  $m(0) := 0$ .
- For the inductive step, assume for  $k \in \mathbb{N}$  that  $k^2 + k = 2 \times m(k)$ . Then

$$\begin{aligned}(k+1)^2 + (k+1) &= (k^2 + k) + ((2 \times k) + 2) \\ &= (2 \times m(k)) + (2 \times (k+1)) \\ &= 2 \times (m(k) + k + 1)\end{aligned}$$

so we define  $m(k+1) := m(k) + k + 1$ .

By the **principle of mathematical recursion**, this defines a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$  for all  $n \in \mathbb{N}$ . □

# Induction and recursion



Recursion can be thought of as the constructive form of induction

$$\forall P, P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(\text{suck})) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

in which the **predicate**

$$P: \mathbb{N} \rightarrow \{\top, \perp\} \quad \text{such as} \quad P(n) := \exists m \in \mathbb{N}, n^2 + n = 2 \times m$$

is replaced by an arbitrary **family of sets**

$$P: \mathbb{N} \rightarrow \text{Set} \quad \text{such as} \quad P(n) := \{m \in \mathbb{N} \mid n^2 + n = 2 \times m\}.$$

The output of a recursive construction is a **dependent function**  $p \in \prod_{n \in \mathbb{N}} P(n)$  which specifies a value  $p(n) \in P(n)$  for each  $n \in \mathbb{N}$ .

$$\forall P, (p_0 \in P(0)) \rightarrow (p_s \in \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{suck})) \rightarrow (p \in \prod_{n \in \mathbb{N}} P(n))$$

The recursive function  $p \in \prod_{n \in \mathbb{N}} P(n)$  satisfies **computation rules**:

$$p(0) := p_0 \quad p(\text{suc } n) := p_s(n, p(n)).$$

# The natural numbers in dependent type theory



The natural numbers type  $\mathbb{N}$  is governed by the rules:

- $\mathbb{N}_{\text{intro}}$ : there is a term  $0 : \mathbb{N}$  and for any term  $n : \mathbb{N}$  there is a term  $\text{succ } n : \mathbb{N}$

The elimination rule strengthens the principle of mathematical induction by replacing the predicate  $P : \mathbb{N} \rightarrow \{\perp, \top\}$  by an arbitrary family of types  $P : \mathbb{N} \rightarrow \text{Type}$ .

- $\mathbb{N}_{\text{elim}}$ : for any type family  $P : \mathbb{N} \rightarrow \text{Type}$ , to prove  $p : \prod_{n:\mathbb{N}} P(n)$  it suffices to prove  $p_0 : P(0)$  and  $p_s : \prod_{k:\mathbb{N}} P(k) \rightarrow P(\text{succ } k)$ . That is

$$\mathbb{N}_{\text{ind}} : P(0) \rightarrow \left( \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{succ } k) \right) \rightarrow \left( \prod_{n \in \mathbb{N}} P(n) \right)$$

Computation rules establish that  $p$  is defined recursively from  $p_0$  and  $p_s$ .

Note the other two Peano postulates are missing because they are provable!





# Identity types



The following rules for **identity types** were developed by Martin-Löf:

Given a type  $A$  and terms  $x, y : A$ , the **identity type**  $x =_A y$  is governed by the rules:

- **=intro**: given a type  $A$  and term  $x : A$  there is a term  $\text{refl}_x : x =_A x$

The elimination rule for the identity type defines an induction principle analogous to recursion over the natural numbers: it provides sufficient conditions for which to define a dependent function out of the identity type family.

- **=elim**: for any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ , to prove  $P(x, y, p)$  for all  $x, y, p$  it suffices to assume  $y$  is  $x$  and  $p$  is  $\text{refl}_x$ . That is

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

A computation rule establishes that the proof of  $P(x, x, \text{refl}_x)$  is the given one.

# Symmetry and transitivity of identifications



$\text{=elim}$ : For any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ ,

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

**Theorem (symmetry).**  $(-)^{-1} : \prod_{x,y:A} x =_A y \rightarrow y =_A x$ .

**Construction:** By  $\Pi\text{intro}$  it suffices to assume  $x, y : A$  and  $p : x =_A y$  and then define a term of type  $P(x, y, p) := y =_A x$ . By  $\text{=elim}$ , we may reduce to the case  $P(x, x, \text{refl}_x) := x =_A x$ , for which we have  $\text{refl}_x : x =_A x$ . □

**Theorem (transitivity).**  $*$  :  $\prod_{x,y,z:A} x =_A y \rightarrow (y =_A z \rightarrow x =_A z)$ .

**Construction:** By  $\Pi\text{intro}$  it suffices to assume  $x, y : A$  and  $p : x =_A y$  and then define a term of type  $Q(x, y, p) := \prod_{z:A} y =_A z \rightarrow x =_A z$ . By  $\text{=elim}$ , we may reduce to the case  $Q(x, x, \text{refl}_x) := \prod_{z:A} x =_A z \rightarrow x =_A z$ , for which we have  $\text{id} := \lambda q. q : x =_A z \rightarrow x =_A z$ . □

# Functions preserve identifications



$\text{=elim}$ : For any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ ,

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

In set theory, a function  $f : X \rightarrow Y$  is **well-defined**: if  $x = x'$  then  $f(x) = f(x')$ .

**Theorem.** For any  $f : A \rightarrow B$  and  $a, a' : A$ , there is a term

$$\text{ap}_f : (a =_A a') \rightarrow (f(a) =_B f(a')).$$

**Construction:** Let  $f : A \rightarrow B$ . By  $\text{=elim}$  applied to the family

$P(x, y, p) := f(x) =_B f(y)$ , to define  $\text{ap}_f : \prod_{a,a':A} (a =_A a') \rightarrow (f(a) =_B f(a'))$  we may reduce to the case  $\prod_{a:A} f(a) =_B f(a)$ , for which we have

$$\lambda a. \text{refl}_{f(a)} : \prod_{a:A} f(a) =_B f(a).$$



# Inductive constructions over the natural numbers



$\mathbb{N}_{\text{elim}}$ : For any type family  $P(n)$  over  $n : \mathbb{N}$ ,

$$\mathbb{N}_{\text{ind}} : P(0) \rightarrow \left( \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{suck}) \right) \rightarrow \left( \prod_{n \in \mathbb{N}} P(n) \right)$$

Using the elimination rule for the natural numbers type, (dependent) functions out of  $\mathbb{N}$  may be defined inductively by specifying their values on  $0$  and  $\text{suck}$  for any  $k : \mathbb{N}$ .

- $2 \times : \mathbb{N} \rightarrow \mathbb{N}$  is defined by 
$$\begin{cases} 2 \times 0 := 0 \\ 2 \times \text{suck} := \text{suc}(\text{suc}(2 \times k)) \end{cases}$$
- $+: \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$  is defined by 
$$\begin{cases} m + 0 := m \\ m + \text{suck} := \text{suc}(m + k) \end{cases}$$
- $\text{dist}_{2 \times} : \prod_{m:\mathbb{N}} \prod_{n:\mathbb{N}} 2 \times m + 2 \times n =_{\mathbb{N}} 2 \times (m + n)$  is defined by 
$$\begin{cases} \text{dist}_{2 \times}(m, 0) := \text{refl}_{2 \times m} \\ \text{dist}_{2 \times}(m, \text{suck}) := \text{ap}_{\text{suc} \circ \text{suc}}(\text{dist}_{2 \times}(m, n)) \end{cases}$$

## A constructive proof revisited



We proved for any  $n \in \mathbb{N}$ , that  $n^2 + n$  is even by induction and by recursively defining  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$ .

**Theorem.** For  $\text{square}+\text{self} : \mathbb{N} \rightarrow \mathbb{N}$  given by 
$$\begin{cases} \text{square}+\text{self}(0) := 0 \\ \text{square}+\text{self}(\text{suck}) := \\ \quad \text{square}+\text{self}(k) + 2 \times \text{suck} \end{cases}$$

$$\prod_{n:\mathbb{N}} \sum_{m:\mathbb{N}} \text{square}+\text{self}(n) =_{\mathbb{N}} 2 \times m.$$

**Construction:** By  $\mathbb{N}_{\text{elim}}$ , it suffices to prove two cases:

- For  $0 : \mathbb{N}$ , we have  $(0, \text{refl}_0) : \sum_{m:\mathbb{N}} \text{square}+\text{self}(0) =_{\mathbb{N}} 2 \times m$ .
- For  $\text{suck} : \mathbb{N}$ , from  $m(k) : \mathbb{N}$  and  $p(k) : \text{square}+\text{self}(k) =_{\mathbb{N}} 2 \times m(k)$  we have:

$$\text{ap}_{+2 \times \text{suck}} p(k) : \text{square}+\text{self}(k) + 2 \times \text{suck} =_{\mathbb{N}} 2 \times m(k) + 2 \times \text{suck}$$

$$\text{dist}_{2 \times} (m(k), 2 \times \text{suck}) : 2 \times m(k) + 2 \times \text{suck} =_{\mathbb{N}} 2 \times (m(k) + \text{suck})$$

Composing these identifications yields the desired term:

$$(m(k) + \text{suck}, \text{ap}_{+2 \times \text{suck}} p(k) \cdot \text{dist}_{2 \times} (m(k), 2 \times \text{suck})) : \sum_{m:\mathbb{N}} \text{square}+\text{self}(\text{suck}) =_{\mathbb{N}} 2 \times m \quad \square$$

# References



A reintroduction to proofs using introduction and elimination rules:

- Clive Newstead, [An Infinite Descent into Pure Mathematics](https://infinitedescent.xyz/)  
<https://infinitedescent.xyz/>

On dependent type theory and identity types (plus much more):

- Egbert Rijke, [Introduction to Homotopy Type Theory](#),  
arXiv:2212.11082 and forthcoming from *Cambridge University Press*

To explore computer formalization:

- Kevin Buzzard and Mohammad Pedramfar, [The natural numbers game](https://adam.math.hhu.de/#/),  
<https://adam.math.hhu.de/#/>

Thank you!