

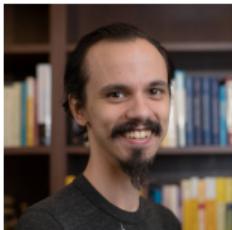


Emily Riehl

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Prospects for formalizing the theory of weak infinite-dimensional categories

joint with **Mario Carneiro, Nikolai Kudasov, Dominic Verity, and Jonathan Weinberger**



Hardy Lecture Series, Birmingham

Recent achievements in computer formalized mathematics



Formalized mathematics, in tandem with other forms of computerized mathematics¹, provides better management of mathematical knowledge, an opportunity to carry out ever more complex and larger projects, and hitherto unseen levels of precision.

— Andrej Bauer, “The dawn of formalized mathematics,”
delivered at the 8th European Congress of Mathematics

Recent successes include:

- the Feit-Thompson Odd Order Theorem, a foundational result in the classification of finite simple groups, 2006–2012, ROCQ
- the Kepler conjecture, resolving a 1611 conjecture, 2003–2014, HOL LIGHT
- the liquid tensor experiment, formalizing condensed mathematics, 2020–2022, LEAN
- the Brunerie number, computing $\pi_4 S^3 \cong \mathbb{Z}/2\mathbb{Z}$, 2015–2022, CUBICAL AGDA

¹Jacques Carette, William M. Farmer, Michael Kohlhase, and Florian Rabe. Big math and the one-brain barrier — the tetrapod model of mathematical knowledge. *Mathematical Intelligencer*, 43(1):78–87, 2021.

Plan



1. Prospects for formalizing the ∞ -categories literature
2. Formalizing axiomatic ∞ -category theory via ∞ -cosmoi in Lean
3. Formalizing synthetic ∞ -category theory in simplicial HoTT in Rzk



1

Prospects for formalizing the ∞ -categories literature

Formalizing post-rigorous mathematics?

From Terry Tao's blog post "There's more to mathematics than rigour and proofs":

One can roughly divide mathematical education into three stages:

1. *The “pre-rigorous” stage, in which mathematics is taught in an informal, intuitive manner, based on examples, fuzzy notions, and hand-waving. ... The emphasis is more on computation than on theory. This stage generally lasts until the early undergraduate years.*
2. *The “rigorous” stage, in which one is now taught that in order to do maths “properly”, one needs to work and think in a much more precise and formal manner ... The emphasis is now primarily on theory; and one is expected to be able to comfortably manipulate abstract mathematical objects without focusing too much on what such objects actually “mean”. This stage usually occupies the later undergraduate and early graduate years.*
3. *The “post-rigorous” stage, in which one has grown comfortable with all the rigorous foundations of one’s chosen field, and is now ready to revisit and refine one’s pre-rigorous intuition on the subject, but this time with the intuition solidly buttressed by rigorous theory. ... The emphasis is now on applications, intuition, and the “big picture”. This stage usually occupies the late graduate years and beyond.*

... The ideal state to reach is when every heuristic argument naturally suggests its rigorous counterpart, and vice versa.



Case studies from the literature



The literature developing the theory of ∞ -categories is arguably “post-rigorous”:

- Arguments are not always explained in full detail.
- Some claims made as part of the argument may not quite be true as stated.
- Nevertheless, proofs with gaps or errors are often “morally correct.”

For instance, proofs in the literature may rely on

- incomplete definitions,
- sketched arguments, or
- explicit unproven conjectures.

Avoiding a precise definition of ∞ -categories



The precursor to Jacob Lurie's *Higher Topos Theory* is a 2003 preprint [On \$\infty\$ -Topoi](#), which avoids using a precise definition of ∞ -categories²:

We will begin in §1 with an informal review of the theory of ∞ -categories. There are many approaches to the foundation of this subject, each having its own particular merits and demerits. Rather than single out one of those foundations here, we shall attempt to explain the ideas involved and how to work with them. The hope is that this will render this paper readable to a wider audience, while experts will be able to fill in the details missing from our exposition in whatever framework they happen to prefer.

Perlocutions of this form are quite common in the field — however the book *Higher Topos Theory* does not proceed in this manner, instead proving theorems for a concrete model of ∞ -categories.

²Very roughly, an ∞ -category is a weak infinite-dimensional category. In the parlance of the field, selecting a set-theoretic definition of ∞ -categories is referred to as “choosing a model.”

A proof(?) of the cobordism hypothesis



The [cobordism hypothesis](#) classifies (fully-extended) topological quantum field theories, which are functors indexed by a suitably-defined higher category of cobordisms between framed n -manifolds with corners. In a celebrated expository article on the subject, Dan Freed writes:

The cobordism hypothesis was conjectured by Baez-Dolan in the mid 1990s. It has now been proved by Hopkins-Lurie in dimension two and by Lurie in higher dimensions. There are many complicated foundational issues which lie behind the definitions and the proof, and only a detailed sketch has appeared so far.¹

The footnote elaborates:

¹ *Nonetheless, we use “theorem” and its synonyms in this manuscript. The foundations are rapidly being filled in and alternative proofs have also been carried out, though none has yet appeared in print.*

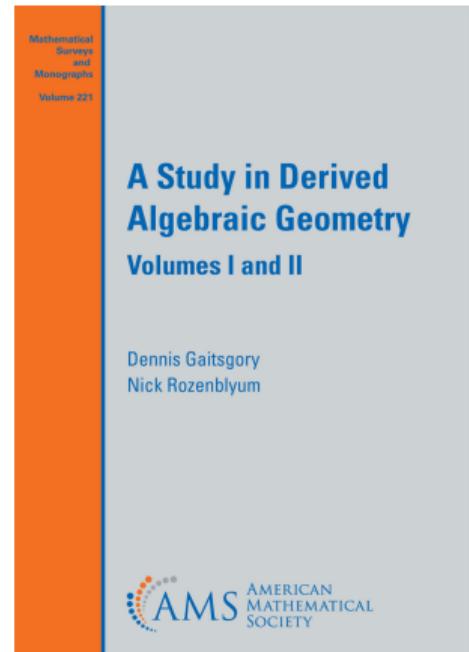
There seems to be no clear consensus on this point of view: a mathOVERFLOW question “What is the status of the cobordism hypothesis?” asked in 2023 remains open.



A conjectural(?) study in derived algebraic geometry

A two-volume study in derived algebraic geometry runs to nearly 1000 pages. Much of the first volume is devoted to developing necessary preliminary results in $(\infty, 1)$ -category theory and $(\infty, 2)$ -category theory, and includes the following disclaimer:

Unfortunately, the existing literature on $(\infty, 2)$ -categories does not contain the proofs of all the statements that we need. We decided to leave some of the statements unproved, and supply the corresponding proofs elsewhere (including the proofs here would have altered the order of the exposition, and would have come at the expense of clarity).



This is followed by a list of seven unproved statements.

A contradiction with no obvious error



CAHIERS DE TOPOLOGIE
ET GÉOMÉTRIE DIFFÉRENTIELLE
CATÉGORIQUES

VOL. XXXII-1 (1991)

∞ -GROUPOIDS AND HOMOTOPY TYPES

by M.M. KAPRANOV and V.A. VOEVODSKY

RÉSUMÉ. Nous présentons une description de la catégorie homotopique des CW-complexes en termes des ∞ -groupoïdes. La possibilité d'une telle description a été suggérée par A. Grothendieck dans son mémoire "A la poursuite des champs".

It is well-known [GZ] that CW-complexes X such that $n_i(X,x) = 0$ for all $i \geq 2$, $x \in X$, are described, at the homotopy level, by groupoids. A. Grothendieck suggested, in his unpublished memoir [Gr], that this connection should have a higher-dimensional generalisation involving polycategories. viz. polycategorical analogues of groupoids. It is the purpose of this paper to establish such a generalisation.

- 15 statements =
 4 theorems
 + 9 propositions
 + 1 lemma
 + 1 corollary
- 5 short “obvious” proofs + 3 proofs

- Carlos Simpson’s “Homotopy types of strict 3-groupoids” (1998) shows that the 3-type of S^2 can’t be realized by a strict 3-groupoid — contradicting the last corollary.
- But no explicit mistake was found. Voevodsky: “I was sure that we were right until the fall of 2013 (!!)"



MATHEMATICS

The Origins and Motivations of Univalent Foundations

*A Personal Mission to Develop Computer Proof
Verification to Avoid Mathematical Mistakes*

By Vladimir Voevodsky • Published 2014

“A technical argument by a trusted author, which is hard to check and looks similar to arguments known to be correct, is hardly ever checked in detail.”

Obstructions to formalization?



How might this literature read differently in a future where mathematicians are expected to work interactively with a computer proof assistant?

- If it is undesirable to give a precise construction of a mathematical notion (e.g., of the category of ∞ -categories), one could instead axiomatize the necessary properties (and hope that the theory is not vacuous).
- Sketch proofs will be harder to implement, as a proof assistant will require clearer definitions and scaffolding. But a formalized sketch, will make it much clearer what gaps remain in the proof.
- A proof modulo unproven conjectures should be formalizable, provided those conjectures are clearly stated in exactly the way they are used.
- An incorrect proof should not be formalizable — which is of course a good thing. And perhaps the process of formalization would help identify the error by calling attention to a subtle obstacle to be overcome.

The **fundamental problem**: how do we formalize proofs in an area like ∞ -category theory, where arguments tend to be long and involve complexity at nearly every step?



The idea of an ∞ -category

Lean defines an ordinary 1-category as follows:

```
class Quiver (V : Type u) where
  /-- The type of edges/arrows/morphisms between a given source and target. -/
  Hom : V → V → Sort v
class CategoryStruct (obj : Type u) extends Quiver.{v + 1} obj : Type max u (v + 1) where
  /-- The identity morphism on an object, written `𝟙 X`. -/
  id : ∀ X : obj, Hom X X
  /-- Composition of morphisms in a category, written `f ≫ g`. -/
  comp : ∀ {X Y Z : obj}, Hom X Y → Hom Y Z → Hom X Z

class Category (obj : Type u) extends CategoryStruct.{v} obj : Type max u (v + 1) where
  /-- Identity morphisms are left identities for composition. -/
  id_comp : ∀ {X Y : obj} (f : Hom X Y), 𝟙 X ≫ f = f := by aesop_cat
  /-- Identity morphisms are right identities for composition. -/
  comp_id : ∀ {X Y : obj} (f : Hom X Y), f ≫ 𝟙 Y = f := by aesop_cat
  /-- Composition in a category is associative. -/
  assoc : ∀ {W X Y Z : obj} (f : Hom W X) (g : Hom X Y) (h : Hom Y Z), (f ≫ g) ≫ h = f ≫ g ≫ h
  := by aesop_cat
```

The idea of an ∞ -category is just to

- replace all the types by ∞ -groupoids aka homotopy types aka anima, i.e., the information of a topological space encoded by its homotopy groups
- and suitably weaken all the structures and axioms.

“Analytic” ∞ -categories in LEAN

A popular “model” encodes an ∞ -category as a **quasi-category**, which Johan Commelin contributed to **LEAN**’s mathematics library **Mathlib**:

```
-- A simplicial set `S` is a *quasicategory* if it satisfies the following horn-filling condition:  
for every `n : N` and `0 < i < n`,  
every map of simplicial sets `σ₀ : Δ[n, i] → S` can be extended to a map `σ : Δ[n] → S`.  
-/  
@[kerodon 003A]  
class Quasicategory (S : SSet) : Prop where  
hornFilling' : ∀ {n : N} {i : Fin (n+3)} (σ₀ : Δ[n+2, i] → S)  
| (_h₀ : 0 < i) (_hn : i < Fin.last (n+2)),  
| ∃ σ : Δ[n+2] → S, σ₀ = hornInclusion (n+2) i ≫ σ
```

where ∞ -groupoids can be similarly “coordinatized” as **Kan complexes**:

```
-- A simplicial set `S` is a *Kan complex* if it satisfies the following horn-filling condition:  
for every nonzero `n : N` and `0 ≤ i ≤ n`,  
every map of simplicial sets `σ₀ : Δ[n, i] → S` can be extended to a map `σ : Δ[n] → S`.  
-/  
class KanComplex (S : SSet.{u}) : Prop where  
hornFilling : ∀ {n : N} {i : Fin (n + 2)} (σ₀ : Δ[n + 1, i] → S),  
| ∃ σ : Δ[n + 1] → S, σ₀ = hornInclusion (n + 1) i ≫ σ
```

But very few results have been formalized with these technical definitions. Indeed, earlier this year, Joël Riou discovered that the definition of Kan complexes was wrong!



How are quasi-categories ∞ -categories?

Recall the idea of an ∞ -category is just to replace all the types in an ordinary 1-category

```
class Quiver (V : Type u) where
  /-- The type of edges/arrows/morphisms between a given source and target. -/
  Hom : V → V → Sort v
class CategoryStruct (obj : Type u) extends Quiver.{v + 1} obj : Type max u (v + 1) where
  /-- The identity morphism on an object, written `𝟙 X`. -/
  id : ∀ X : obj, Hom X X
  /-- Composition of morphisms in a category, written `f ≫ g`. -/
  comp : ∀ {X Y Z : obj}, Hom X Y → Hom Y Z → Hom X Z
```

by ∞ -groupoids. In particular,

- the maximal sub Kan complex in a quasi-category S defines the ∞ -groupoid of objects,
- a certain pullback of the exponential $S^{\Delta^{[1]}}$ defines the ∞ -groupoid of arrows between two objects,
- n -ary composition can be shown to be well-defined up to a contractible ∞ -groupoid of choices.

None of this has been formalized in Mathlib.

Prospects for formalization?



I can imagine three strategies for formalizing the theory of ∞ -categories.

Strategy I. Give precise “*analytic*” definitions of ∞ -categorical notions in some model (e.g., using *quasi-categories*). Prove theorems using the combinatorics of that model.

Strategy II. Axiomatize the category of ∞ -categories (e.g., using the notion of *∞ -cosmos* or something similar). State and prove theorems about ∞ -categories in this “*axiomatic*” language. To show that this theory is non-vacuous, prove that some model satisfies the axioms and formalize other examples, as desired.

Strategy III. Avoid the technicalities of set-based models by developing the theory of ∞ -categories “*synthetically*,” in a domain-specific type theory. Formalization then requires a bespoke proof assistant (e.g., *RZK*).



2

Formalizing axiomatic ∞ -category theory via
 ∞ -cosmoi in Lean

An axiomatic theory of ∞ -categories in Lean

The [∞-cosmos project](#) — co-led [Mario Carneiro](#), [Dominic Verity](#), and myself — aims to use a convenient abstraction boundary to formalize some core category theory of ∞ -categories for immediate use in [LEAN](#)'s mathematics library `Mathlib`.



Useful links:

- [Zulip chat for Lean](#) for coordination
- [Blueprint](#)
- [Blueprint as pdf](#)
- [Dependency graph](#)
- [Doc pages for this repository](#)

emilyriehl.github.io/infinity-cosmos

[Pietro Monticone](#) and others helped us set up a blueprint, website, github repository, and Zulip channel to organize the workflow.



The idea of the ∞ -cosmos project



The aim of the [\$\infty\$ -cosmos project](#) is to leverage the existing 1-category theory, 2-category theory, and enriched category theory libraries in [`LEAN`](#) to formalize basic ∞ -category theory.

Because precise definitions of an ∞ -category are so difficult to work with, we instead develop the theory of ∞ -categories more abstractly from the axiomatic notion of an [\$\infty\$ -cosmos](#), which is an enriched category whose objects are ∞ -categories.

From this we can extract a 2-category whose objects are ∞ -categories, whose morphisms are ∞ -functors, and whose 2-cells are ∞ -natural transformations.

The formal theory of ∞ -categories (adjunctions, co/limits, Kan extensions) can be defined using this 2-category and some of these notions are in the `Mathlib` already!

Proving that quasi-categories define an ∞ -cosmos will be hard, but this tedious verifying of homotopy coherences will only need to be done once rather than in every proof.

Current status of the ∞ -cosmos project: the good news



There are four open pull requests to Mathlib that collectively construct a 2-category whose objects are ∞ -categories, whose morphisms are ∞ -functors, and whose 2-cells are ∞ -natural transformations, defined using the quasi-categories model.

Once these are merged, we will be able to develop the formal theory of adjunctions between ∞ -categories.

Current status of the ∞ -cosmos project: the bad news



To develop the formal theory of co/limits in ∞ -categories, we need to know that the 2-category of ∞ -categories is cartesian closed. This follows from:

Prop (Joyal). If X is a simplicial set and A is a quasi-category then A^X is too.

Jack McKoen has been working on this for over a year.

Why is this so hard?

Analytic ∞ -category theory contains a lot of “invisible mathematics,” that is not visible in a pen-and-paper proof but becomes visible in a computer formalized proof.



Invisible details

A 2-simplex in a simplicial set

$$\begin{array}{ccc} & y & \\ f \nearrow & & \searrow g \\ x & \xrightarrow{f \gg g} & z \end{array}$$

witnesses that the **diagonal** edge is a

composite of the edges along its **spine**.

In a **quasi-category**, **inner horns** can be filled to form simplices, which witness the associativity of composition:

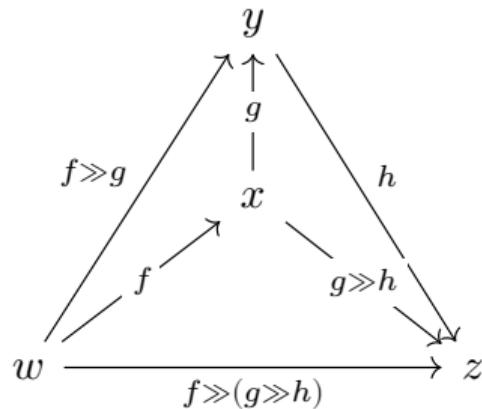
$$\begin{array}{ccccc} & & y & & \\ & & \uparrow & & \\ & & g & & \\ & & | & & \\ & & x & & \\ f \gg g \nearrow & & \nearrow g \gg h & & h \\ w & \xrightarrow{f} & \nearrow & \searrow & \searrow \\ & & f & & \\ & & \nearrow & & \\ & & f \gg (g \gg h) & & z \end{array}$$

There is an inner horn built from the three faces witnessing the composites $f \gg g$, $g \gg h$, and $f \gg (g \gg h)$.

In the resulting simplex, the back face witnesses that the diagonal edge $f \gg (g \gg h)$ also defines a composite $(f \gg g) \gg h$.



Invisible details



Technically, the inner horn is the subcomplex of the 3-simplex defined by gluing the three 2-simplices along their four common vertices and three common edges.

This data requires almost 200 lines to specify (written by Julian Komaromy) and requires three open PRs (written by Joël Riou) to demonstrate the universal property of such diagrams, that a **multicofork** is a **multicoequalizer**.

Thus we have no proof of associativity of composition in a quasi-category
— and the full statement and proof is more complicated than this.

The proof that quasi-categories are closed under exponentiation is much harder still.

Contributors to the ∞ -cosmos project



So far formalizations (and preliminary mathematical work) have been contributed by:

Dagur Asgeirsson, Alvaro Belmonte, Robin Carlier, Mario Carneiro, Daniel Carranza, Johan Commelin, Kunhong Du, Jon Eugster, Julian Komaromy, Aaron Liu, Jack McKoen, Yuma Mizuno, Pietro Monticone, Thomas Murrills, Matej Penciak, Nima Rasekh, Emily Riehl, Joël Riou, Joseph Tooby-Smith, Adam Topaz, Dominic Verity, Nick Ward, Andrew Yang , and Zeyi Zhao.

Anyone is welcome to join us!

emilyriehl.github.io/infinity-cosmos



3

Formalizing synthetic ∞ -category theory in
simplicial HoTT in Rzk

Could ∞ -category theory be taught to undergraduates?

Recall ∞ -categories are like categories where all the **sets** are replaced by ∞ -groupoids:



sets :: ∞ -groupoids
categories :: ∞ -categories

Could ∞ -Category Theory Be Taught to Undergraduates?



Emily Riehl

1. The Algebra of Paths

It is natural to probe a suitably nice topological space X by means of its paths, the continuous functions from the standard unit interval $I = [0, 1] \subset \mathbb{R}$ to X . But what structure do the paths in X form?

To start, the paths form the edges of a directed graph

whose vertices are the points of X : a path $p : I \rightarrow X$ defines an arrow from the point $p(0)$ to the point $p(1)$. Moreover,

this graph is reflexive, with the constant path ref_x at each point $x \in X$ defining a distinguished endomorphism.

Can this reflexive directed graph be given the structure of a category? To do so, it is natural to define the composite of a path p from x to y and a path q from y to z by concatenating these continuous maps—i.e., by concatenating the paths—and then by reparametrizing via the homeomorphism $I \cong I \sqcup_{I \cap [y]} I$ that traverses each path at double speed:

$$I \xrightarrow{\quad u \quad} I \sqcup_{I \cap [y]} I \xrightarrow{\quad \text{path} \quad} X \quad \{1, 1\}$$

But the composition operation $*$ fails to be associative or unital. In general, given a path r from x to w , the

The traditional foundations of mathematics are not really suitable for “higher mathematics” such as ∞ -category theory, where the basic objects are built out of higher-dimensional types instead of mere sets. However, there are proposals for new foundations for mathematics based on Martin-Löf’s dependent type theory where the primitive types have “higher structure” such as

- homotopy type theory,
- higher observational type theory, and the
- **simplicial type theory**, that we use here.

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DCH https://doi.org/10.1090/noti2092

∞ -categories in simplicial homotopy type theory

The identity type family gives each type the structure of an ∞ -groupoid: each type A has a family of identity types over $x, y : A$ whose terms $p : x =_A y$ are called paths. In a “directed” extension of homotopy type theory introduced in

Emily Riehl and Michael Shulman, [A type theory for synthetic \$\infty\$ -categories](#),
Higher Structures 1(1):116–193, 2017

each type A also has a family of hom types $\text{Hom}_A(x, y)$ over $x, y : A$ whose terms $f : \text{Hom}_A(x, y)$ are called arrows.

defn (Riehl–Shulman after Joyal and Rezk). A type A is an ∞ -category if:

- Every pair of arrows $f : \text{Hom}_A(x, y)$ and $g : \text{Hom}_A(y, z)$ has a unique composite, defining a term $g \circ f : \text{Hom}_A(x, z)$.
- Paths in A are equivalent to isomorphisms in A .

With more of the work being done by the foundation system, perhaps someday ∞ -category theory will be easy enough to teach to undergraduates?

An experimental proof assistant Rzk for ∞ -category theory



rzk

MkDocs documentation Haddock documentation Build with GHCJS and Deploy to GitHub Pages passing

An experimental proof assistant for synthetic ∞ -categories.

rlz: an experimental proof assistant for synthetic ∞ -categories

Search docs

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RELATED PROJECTS

- rlzTT
- simple-topes

(R) rlz: an experimental proof assistant for synthetic ∞ -categories

↳ [RLZ17, Definition 3.2] The type of commutative triangles in A

#def! hank

```
1 (A : U)
2   -- A type
3   (x : A)
4   -- A point in A.
5   (f : hom A x y)
6   -- An arrow in A from x to y.
7   (g : hom x y)
8   -- An arrow in A from x to y.
9   (h : hom A x z)
10  -- An arrow in A from x to z.
11  (i : hom z y)
12  -- An arrow in A from z to y.
13  (j : hom x z)
14  -- An arrow in A from x to z.
15  (k : hom i j)
16  -- The right edge is exactly g.
17  (l : hom x z)
18  -- The diagonal is exactly h.
```

↳ Visualising Terms of Simplicial Types

Terms (with non-trivial labels) are visualised with red color (you can see a detailed label on hover). Recognised parameter part (e.g. fixed endpoints, edges, faces with clear labels) are visualised with purple color. When a term is constructed by taking a part of another shape, the rest of the larger shape is coloured using gray color.

We can visualise terms that fill a shape:

#def! square

```
1 (A : U)
2   -- A type
3   (x : A)
4   -- A point in A.
5   (y : A)
6   -- A point in A.
7   (z : A)
8   -- A point in A.
9   (h : hom A x z)
10  -- hom A x z, hom A y z, hom A x y
11  (i : hom z y)
12  -- hom z y
13  (t : hom x t)
14  -- t : hom x t
15  (s : hom x t)
16  -- s : hom x t
17  (r : hom y t)
18  -- r : hom y t
19  (u : hom z t)
20  -- u : hom z t
21  (v : hom y z)
22  -- v : hom y z
23  (w : hom z y)
24  -- w : hom z y
25  (x : hom x z)
26  -- x : hom x z
27  -- second a (t, s), t : hom x t
28  -- second a (t, r), r : hom z t
29  -- second a (t, v), v : hom y z
30  -- second a (t, w), w : hom z y
31  -- second a (t, u), u : hom z y
32  -- second a (t, y), y : A
33  -- second a (t, z), z : A
34  -- second a (t, x), x : A
```

If a term is extracted as a part of a larger shape, generally, the whole shape will be shown (in gray):

#def! face

```
1 (A : U)
2   -- A type
3   (x : A)
4   -- A point in A.
5   (y : A)
6   -- A point in A.
7   (z : A)
8   -- A point in A.
9   (h : hom A x z)
10  -- hom A x z, hom A y z, hom A x y
11  (i : hom z y)
12  -- hom z y
13  (t : hom x t)
14  -- t : hom x t
15  (s : hom x t)
16  -- s : hom x t
17  (r : hom y t)
18  -- r : hom y t
19  (u : hom z t)
20  -- u : hom z t
21  (v : hom y z)
22  -- v : hom y z
23  -- second a (t, s), t : hom x t
24  -- second a (t, r), r : hom z t
25  -- second a (t, v), v : hom y z
26  -- second a (t, u), u : hom z y
27  -- second a (t, y), y : A
28  -- second a (t, z), z : A
29  -- second a (t, x), x : A
```

↳ TYPECHECK (CTRL + ENTER)

Everything is ok!

Previous Next

Built with MkDocs using a theme provided by Read the Docs.

The proof assistant Rzk was written by Nikolai Kudasov:

About this project

This project has started with the idea of bringing Riehl and Shulman's 2017 paper [1] to "life" by implementing a proof assistant based on their type theory with shapes. Currently an early prototype with an [online playground](#) is available. The current implementation is capable of checking various formalisations. Perhaps, the largest formalisations are available in two related projects: <https://github.com/rizruk/sHoTT> and <https://github.com/emilyrielehlyoneda/sHoTT>. Project (originally a fork of the yoneda project) aims to cover more formalisations in simplicial HoTT and ∞ -categories, while yoneda project aims to compare different formalisations of the Yoneda lemma.

Internally, rzk uses a version of second-order abstract syntax allowing relatively straightforward handling of binders (such as lambda abstraction). In the future, rzk aims to support dependent type inference relying on E-unification for second-order abstract syntax [2]. Using such representation is motivated by automatic handling of binders and easily automated boilerplate code. The idea is that this should keep the implementation of rzk relatively small and less error-prone than some of the existing approaches to implementation of dependent type checkers.

An important part of rzk is a type layer solver, which is essentially a theorem prover for a part of the type theory. A related project, dedicated just to that part is available at <https://github.com/rizruk/simple-topes>. simple-topes supports user-defined cubes, topes, and type layer axioms. Once stable, simple-topes will be merged into rzk, expanding the proof assistant to the type theory with shapes, allowing formalisations for (variants of) cubical, globular, and other geometric versions of HoTT.

rzk-lang.github.io/rzk

Formalizing the ∞ -categorical Yoneda lemma

The ∞ -categorical Yoneda lemma is out of scope of the ∞ -cosmos project for the foreseeable future, but we have formalized it in RZK.

```
#def Contra-yoneda-lemma uses (funext)
  ( A : U)
  ( is-pre-∞-category-A : Is-pre-∞-category A)
  ( a b : A)
  : is-equiv ((z : A) → Hom A z a → Hom A z b) (Hom A a b) (Contra-evid A a b)
  :=
  ( ( Contra-yon A is-pre-∞-category-A a b)
    , ( Contra-yon-evid A is-pre-∞-category-A a b))
  , ( ( Contra-yon A is-pre-∞-category-A a b)
    , ( Contra-evid-yon A is-pre-∞-category-A a b)))
```

Given two terms $a, b : A$ in a pre- ∞ -category, the type $\text{Hom}_A(a, b)$ of arrows from a to b in A is equivalent to the type of natural transformations between the contravariant representable functors at a and b .

In the synthetic language of sHoTT used here, any fiberwise function $\phi : ((z : A) \rightarrow \text{Hom}_A(z, a) \rightarrow \text{Hom}_A(z, b))$ is automatically a natural transformation!

Challenges



While there certainly are advantages to formalizing the [synthetic](#) theory of ∞ -categories rather than the [axiomatic](#) or [analytic](#) theory, there are also some challenges:

- As a proof assistant, [Rzk](#) is much less user-friendly, and requires greater focus.
- Formalized results in [Rzk](#) are not available to users of [Lean](#)'s Mathlib.
- The language of simplicial HoTT is not sufficiently expressive to correctly state (much less prove) all theorems about ∞ -categories.

All of these obstacles could be overcome with sufficient time and effort.

Comparing my experiences in [Lean](#) vs [Rzk](#), I personally prefer shorter less painful formalizations in a more sophisticated formal system—designed to optimized for reasoning in a particular subfield of mathematics—a where the technical content of a formal proof is more about big ideas and less about fine details.

Contributors to the simplicial HoTT library



So far formalizations to the broader project of formalizing synthetic ∞ -category theory (and work on the proof assistant Rzk) have been contributed by:

Abdelrahman Aly Abounegm, Fredrik Bakke, César Bardomiano Martínez, Jonathan Campbell, Robin Carlier, Theofanis Chatzidiamantis-Christoforidis, Aras Ergus, Matthias Hutzler, Nikolai Kudasov, Kenji Maillard, David Martínez Carpena, Stiéphen Pradal, Nima Rasekh, Emily Riehl, Florrie Verity, Tashi Walde, and Jonathan Weinberger.

Anyone is welcome to join us!

rzk-lang.github.io/sHoTT

Questions for the future



- It is very painful to elaborate higher categorical proofs all the way down to the foundations. **Are enough contributors willing to do this wearisome technical work?**
- **LEAN** is very powerful and will only become more so. **But will the tactics introduced to speed up formalization make proofs too hard to understand?**
- Proofs in **RZK** of theorems that are way beyond the current capacity of **LEAN** are conceptual and short. **But the formal system is unfamiliar and so far incomplete. Is this too much of a hurdle for non-expert users?**
- Theorems formalized in **RZK** are useless to users of Mathlib. **Will we be able to integrate them into **LEAN**?**
- A healthy ecosystem for mathematical formalization will involve lots of domain-specific formal systems. **Will AI-powered co-pilots ever be able to support formalization in experimental proof assistants?**
- Many of us expect an increasing degree of automation in the production of formalized mathematics. **How do we ensure that computer formalized mathematics remains understandable by humans?**