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## A reintroduction to proofs

Texas State University Undergraduate Colloquium

# Plan



1. Logic, constructively

2.  $\forall : \Pi :: \exists : \Sigma$

3. Peano's axioms, revisited

$\infty.$  =



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Logic, constructively

# Conjunction and disjunction



Forget truth tables! Instead, define the logical operators “and”  $\wedge$  and “or”  $\vee$  by:

**Conjunction**  $\wedge$  is the logical operator defined by the rules:

- $\wedge$ intro: If  $p$  is true and  $q$  is true, then  $p \wedge q$  is true.
- $\wedge$ elim<sub>1</sub>: If  $p \wedge q$  is true, then  $p$  is true.
- $\wedge$ elim<sub>2</sub>: If  $p \wedge q$  is true, then  $q$  is true.

**Disjunction**  $\vee$  is the logical operator defined by the rules:

- $\vee$ intro<sub>1</sub>: If  $p$  is true, then  $p \vee q$  is true.
- $\vee$ intro<sub>2</sub>: If  $q$  is true, then  $p \vee q$  is true.
- $\vee$ elim: If  $p \vee q$  is true, and if  $r$  can be derived from  $p$  and from  $q$ , then  $r$  is true.

**Introduction rules** explain how to prove a proposition involving a particular connective, while **elimination rules** explain how to use a hypothesis involving a particular connective.

# Implication

**Implication**  $\Rightarrow$  is the logical operator defined by the rules:

- $\Rightarrow$ intro: If  $q$  can be derived from the assumption that  $p$  is true, then  $p \Rightarrow q$  is true.
- $\Rightarrow$ elim: If  $p \Rightarrow q$  is true and  $p$  is true, then  $q$  is true.

**Theorem.** For any propositions  $p$ ,  $q$ , and  $r$ ,  $((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$ .

**Proof:** By  $\Rightarrow$ intro, assume that  $(p \Rightarrow q) \wedge (q \Rightarrow r)$  is true; our goal is to prove  $p \Rightarrow r$ . By  $\wedge$ elim<sub>1</sub> and  $\wedge$ elim<sub>2</sub> it follows that  $p \Rightarrow q$  and  $q \Rightarrow r$  are true. By  $\Rightarrow$ intro again, also assume  $p$  is true; now our goal is just to prove  $r$ . By  $\Rightarrow$ elim, from  $p$  and  $p \Rightarrow q$ , we may conclude that  $q$  is true. By  $\Rightarrow$ elim again, from  $q$  and  $q \Rightarrow r$ , we may conclude  $r$  is true as desired.  $\square$

givens:

$p, q, r$   
 $(p \Rightarrow q) \wedge (q \Rightarrow r)$   
 $p \Rightarrow q$   
 $q \Rightarrow r$   
 $p$   
 $q$   
 $r$

---

goal:  $((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$

# Type theory



Type theory is a formal system for mathematical statements and proofs that has the following primitive notions:

- types, e.g.,  $\mathbb{N}$  ,  $\mathbb{Q}$  ,  $\text{Group}$
- terms, e.g.,  $17 : \mathbb{N}$  ,  $\sqrt{2} : \mathbb{R}$  ,  $K_4 : \text{Group}$

Given any types  $A$  and  $B$ , one may form the

product type  $A \times B$  , function type  $A \rightarrow B$  , coproduct type  $A + B$

whose terms are governed by introduction and elimination (and computation) rules.

Mathematics in type theory:

- To state a conjecture, one forms a type that encodes its statement.
- To prove the theorem, one constructs a term in that type.

# Conjunction and Products



**Conjunction**  $\wedge$  is the logical operator defined by the rules:

- $\wedge$ **intro**: If  $p$  is true and  $q$  is true, then  $p \wedge q$  is true.
- $\wedge$ **elim**<sub>1</sub>: If  $p \wedge q$  is true, then  $p$  is true.
- $\wedge$ **elim**<sub>2</sub>: If  $p \wedge q$  is true, then  $q$  is true.

Given types  $A$  and  $B$ , the **product type**  $A \times B$  is governed by the rules:

- $\times$ **intro**: given terms  $a : A$  and  $b : B$  there is a term  $(a, b) : A \times B$
- $\times$ **elim**<sub>1</sub>: given a term  $p : A \times B$  there is a term  $\pi_1 p : A$
- $\times$ **elim**<sub>2</sub>: given a term  $p : A \times B$  there is a term  $\pi_2 p : B$

plus computation rules that relate pairings and projections.

# Implication and functions



**Implication**  $\Rightarrow$  is the logical operator defined by the rules:

- $\Rightarrow$ **intro**: If  $q$  can be derived from the assumption that  $p$  is true, then  $p \Rightarrow q$  is true.
- $\Rightarrow$ **elim**: If  $p \Rightarrow q$  is true and  $p$  is true, then  $q$  is true.

Given types  $A$  and  $B$ , the **function type**  $A \rightarrow B$  is governed by the rules:

- $\rightarrow$ **intro**: if given any term  $x : A$  there is a term  $b_x : B$ ,  
then there is a term  $\lambda x. b_x : A \rightarrow B$
- $\rightarrow$ **elim**: given terms  $f : A \rightarrow B$  and  $a : A$ , there is a term  $f(a) : B$

plus computation rules that relate  $\lambda$ -abstractions and evaluations.



# A proof in type theory



**Theorem.** For any propositions  $p$ ,  $q$ , and  $r$ ,  $((p \Rightarrow q) \wedge (q \Rightarrow r)) \Rightarrow (p \Rightarrow r)$ .

**Theorem.** For any types  $P$ ,  $Q$ , and  $R$ ,  $((P \rightarrow Q) \times (Q \rightarrow R)) \rightarrow (P \rightarrow R)$ .

**Construction:** By  $\rightarrow$ intro, suppose given  $h : (P \rightarrow Q) \times (Q \rightarrow R)$ ; our goal is a term of type  $P \rightarrow R$ . By  $\times$ elim<sub>1</sub> and  $\times$ elim<sub>2</sub>, we have  $\pi_1 h : P \rightarrow Q$  and  $\pi_2 h : Q \rightarrow R$ . By  $\rightarrow$ intro again, suppose given  $p : P$ ; now our goal is a term of type  $R$ . By  $\rightarrow$ elim, from  $p : P$  and  $\pi_1 h : P \rightarrow Q$ , we obtain  $\pi_1 h(p) : Q$ . By  $\rightarrow$ elim again, from  $\pi_1 h(p) : Q$  and  $\pi_2 h : Q \rightarrow R$ , we obtain  $\pi_2 h(\pi_1 h(p)) : R$  as desired.  $\square$

givens:

$$\begin{array}{l} P, Q, R \\ h : (P \rightarrow Q) \times (Q \rightarrow R) \\ \pi_1 h : P \rightarrow Q \\ \pi_2 h : Q \rightarrow R \\ p : P \\ \pi_1 h(p) : Q \\ \pi_2 h(\pi_1 h(p)) : R \end{array}$$

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goal:  $(P \rightarrow Q) \times (Q \rightarrow R) \rightarrow (P \rightarrow R)$

This constructs a term  $\lambda h. \lambda p. \pi_2 h(\pi_1 h(p)) : ((P \rightarrow Q) \times (Q \rightarrow R)) \rightarrow (P \rightarrow R)$ .

# Disjunction and coproducts



Disjunction  $\vee$  is the logical operator defined by the rules:

- $\vee\text{intro}_1$ : If  $p$  is true, then  $p \vee q$  is true.
- $\vee\text{intro}_2$ : If  $q$  is true, then  $p \vee q$  is true.
- $\vee\text{elim}$ : If  $p \vee q$  is true, and if  $r$  can be derived from  $p$  and from  $q$ , then  $r$  is true.

Given types  $A$  and  $B$ , the coproduct type  $A + B$  is governed by the rules:

- $+\text{intro}_1$ : given a term  $a : A$ , there is a term  $\iota_1 a : A + B$
- $+\text{intro}_2$ : given a term  $b : B$ , there is a term  $\iota_2 b : A + B$
- $+\text{elim}$ : given a types  $C$  and terms  $c_a, d_b : C$  for each  $a : A$  and  $b : B$  respectively, there is a term  $+\text{ind}(c, d)(x) : C$  for each  $x : A + B$

plus computation rules that relate the inclusions and the elimination.

## Another proof in type theory



**Theorem.** For any types  $A$ ,  $B$ , and  $C$ ,  $((A + B) \rightarrow C) \rightarrow ((A \rightarrow C) \times (B \rightarrow C))$ .

**Construction:** By  $\rightarrow$ intro, suppose given  $h : (A + B) \rightarrow C$ ; our goal is a term of type  $(A \rightarrow C) \times (B \rightarrow C)$ . By  $\times$ intro, it suffices to define terms of type  $A \rightarrow C$  and type  $B \rightarrow C$ . By  $\rightarrow$ intro, to define a term of type  $A \rightarrow C$  it suffices to assume a term  $a : A$  and define a term of type  $C$ . By  $+$ intro<sub>1</sub>, we then have a term  $\iota_1 a : A + B$ . Then by  $\rightarrow$ elim we obtain a term  $h(\iota_1 a) : C$ . Similarly, by  $\rightarrow$ intro,  $+$ intro<sub>2</sub>, and  $\rightarrow$ elim we have  $\lambda b. h(\iota_2 b) : B \rightarrow C$ . □

- $\rightarrow$ intro: if given any term  $x : A$  there is a term  $b_x : B$ , there is a term  $\lambda x. b_x : A \rightarrow B$
- $\times$ intro: given terms  $a : A$  and  $b : B$  there is a term  $(a, b) : A \times B$
- $+$ intro<sub>1</sub>: given a term  $a : A$ , there is a term  $\iota_1 a : A + B$
- $\rightarrow$ elim: given terms  $f : A \rightarrow B$  and  $a : A$ , there is a term  $f(a) : B$

This constructs  $\lambda h. (\lambda a. h(\iota_1 a), \lambda b. h(\iota_2 b)) : ((A + B) \rightarrow C) \rightarrow ((A \rightarrow C) \times (B \rightarrow C))$ .



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$\forall : \Pi :: \exists : \Sigma$

# Universal and existential quantification



Let  $p : X \rightarrow \{\perp, \top\}$  be an  $X$ -indexed family of propositions, a **predicate**  $p(x)$  on  $x \in X$ .  
For example:

- “ $2^{2^n} - 1$  is prime” is a predicate on  $n \in \mathbb{N}$
- “ $z^2 = -1$ ” is a predicate on  $z \in \mathbb{C}$

**Universal quantification**  $\forall x \in X, p(x)$  is the logical formula defined by the rules:

- **$\forall$ intro**: If  $p(x)$  can be derived from the assumption that  $x$  is an arbitrary element of  $X$ , then  $\forall x \in X, p(x)$  is true.
- **$\forall$ elim**: If  $\forall x \in X, p(x)$  is true and  $a \in X$ , then  $p(a)$  is true.

**Existential quantification**  $\exists x \in X, p(x)$  is the logical formula defined by the rules:

- **$\exists$ intro**: If  $a \in X$  and  $p(a)$  is true, then  $\exists x \in X, p(x)$  is true.
- **$\exists$ elim**: If  $\exists x \in X, p(x)$  is true and  $q$  can be derived from the assumption that  $p(a)$  is true for some  $a \in X$ , then  $q$  is true.

# Exchanging quantifiers



$\forall$ -intro: If  $p(x)$  for any  $x \in X$ , then  $\forall x \in X, p(x)$ .

$\forall$ -elim: If  $\forall x \in X, p(x)$  and  $a \in X$ , then  $p(a)$ .

$\exists$ -intro: If  $a \in X$  and  $p(a)$ , then  $\exists x \in X, p(x)$ .

$\exists$ -elim: If  $\exists x \in X, p(x)$  and  $q$  follows from  $p(a)$  for some  $a \in X$ , then  $q$ .

**Theorem.** For any predicate  $p(x, y)$  on  $x \in X$  and  $y \in Y$ ,

$$\exists y \in Y, \forall x \in X, p(x, y) \Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y').$$

**Proof:** By  $\Rightarrow$ -intro, we may assume  $\exists y \in Y, \forall x \in X, p(x, y)$ ; our goal is to prove  $\forall x' \in X, \exists y' \in Y, p(x', y')$ . By  $\exists$ -elim, we may assume  $y_0 \in Y$  makes  $\forall x \in X, p(x, y_0)$  true. By  $\forall$ -intro, we may fix  $x' \in X$ ; our goal is to prove that  $\exists y' \in Y, p(x', y')$ . But by  $\forall$ -elim, we know that  $p(x', y_0)$  is true. So by  $\exists$ -intro, it follows that  $\exists y' \in Y, p(x', y')$  is true.  $\square$

givens:

$$\begin{array}{c} p \\ \exists y \in Y, \forall x \in X, p(x, y) \\ y_0 \\ \forall x \in X, p(x, y_0) \\ x' \\ p(x', y_0) \\ \exists y' \in Y, p(x', y') \end{array}$$

goal:

$$\begin{array}{c} \exists y \in Y, \forall x \in X, p(x, y) \\ \Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y') \end{array}$$

# Dependent type theory



Dependent type theory is a formal system for mathematical statements and proofs that has the following primitive notions. In addition to the:

- types, e.g.,  $\mathbb{N}$ ,  $\mathbb{Q}$ ,  $\text{Group}$
- terms, e.g.,  $17 : \mathbb{N}$ ,  $\sqrt{2} : \mathbb{R}$ ,  $K_4 : \text{Group}$

there are also:

- dependent types, e.g.,  $\text{is-prime} : \mathbb{N} \rightarrow \text{Type}$ ,  $\mathbb{R}^\bullet : \mathbb{N} \rightarrow \text{Type}$ ,  $\text{Mat}_{\bullet \times \bullet} : \mathbb{N} \rightarrow \mathbb{N} \rightarrow \text{Type}$
- dependent terms, e.g.,  $\vec{0}^\bullet : \prod_{n:\mathbb{N}} \mathbb{R}^n$ ,  $I_\bullet : \prod_{n:\mathbb{N}} \text{Mat}_{n,n}$ ,  $S_\bullet : \prod_{n:\mathbb{N}} \text{Group}$

all of which can occur in an arbitrary context of variables from previously-defined types.

In a mathematical statement of the form “Let ...be ...then ...” The stuff following the “let” likely declares the names of the variables in the context described after the “be”, while the stuff after the “then” most likely describes a type or term in that context.

# Universal quantification and dependent functions



For any **predicate**  $p : X \rightarrow \{\perp, \top\}$ , the **universal quantification**  $\forall x \in X, p(x)$  is the logical formula defined by the rules:

- **$\forall$ intro**: If  $p(x)$  can be derived from the assumption that  $x$  is an arbitrary element of  $X$ , then  $\forall x \in X, p(x)$  is true.
- **$\forall$ elim**: If  $\forall x \in X, p(x)$  is true and  $a \in X$ , then  $p(a)$  is true.

For any **family of types**  $B : A \rightarrow \text{Type}$ , the **dependent function type**  $\prod_{x:A} B(x)$  is governed by the rules:

- **$\Pi$ intro**: if in the context of a variable  $x : A$  there is a term  $b_x : B(x)$   
there is a term  $\lambda x. b_x : \prod_{x:A} B(x)$
- **$\Pi$ elim**: given terms  $f : \prod_{x:A} B(x)$  and  $a : A$  there is a term  $f(a) : B(a)$

plus computation rules that relate  $\lambda$ -abstractions and evaluations.

For a constant type family  $B : A \rightarrow \text{Type}$ , the dependent function type recovers  $A \rightarrow B$



## Existential quantification and dependent sums



For any **predicate**  $p : X \rightarrow \{\perp, \top\}$ , the **Existential quantification**  $\exists x \in X, p(x)$  is the logical formula defined by the rules:

- $\exists$ **intro**: If  $a \in X$  and  $p(a)$  is true, then  $\exists x \in X, p(x)$  is true.
- $\exists$ **elim**: If  $\exists x \in X, p(x)$  is true and  $q$  can be derived from the assumption that  $p(a)$  is true for some  $a \in X$ , then  $q$  is true.

For any **family of types**  $B : A \rightarrow \mathbf{Type}$ , the **dependent sum type**  $\sum_{x:A} B(x)$  is governed by the rules:

- $\Sigma$ **intro**: if there are terms  $a : A$  and  $b : B(a)$ , there is a term  $(a, b) : \sum_{x:A} B(x)$
- $\Sigma$ **elim**: given a term  $p : \sum_{x:A} B(x)$  there are terms  $\pi_1 p : A$  and  $\pi_2 p : B(\pi_1 p)$

plus computation rules that relate pairings and projections.

For a constant type family  $B : A \rightarrow \mathbf{Type}$ , the dependent sum type recovers  $A \times B$ .

## Exchanging quantifiers, revisited



**Theorem.** For any  $p(x, y)$ ,  $\exists y \in Y, \forall x \in X, p(x, y) \Rightarrow \forall x' \in X, \exists y' \in Y, p(x', y')$ .

**Theorem.** For any  $P : X \rightarrow Y \rightarrow \text{Type}$ ,  $\Sigma_{y:Y} \Pi_{x:X} P(x, y) \rightarrow \Pi_{x':X} \Sigma_{y':Y} P(x', y')$ .

**Proof:** By  $\Rightarrow$ intro, we may assume  $\exists y \in Y, \forall x \in X, p(x, y)$ ; our goal is to prove  $\forall x' \in X, \exists y' \in Y, p(x', y')$ . By  $\exists$ elim, we may assume  $y_0 \in Y$  makes  $\forall x \in X, p(x, y_0)$  true. By  $\forall$ intro, we may fix  $x' \in X$ ; our goal is to prove that  $\exists y' \in Y, p(x', y')$ . But by  $\forall$ elim, we know that  $p(x', y_0)$  is true. So by  $\exists$ intro, it follows that  $\exists y' \in Y, p(x', y')$  is true.  $\square$

**Proof:** By  $\rightarrow$ intro, we may assume  $h : \Sigma_{y:Y} \Pi_{x:X} P(x, y)$ ; our goal is of type  $\Pi_{x':X} \Sigma_{y':Y} P(x', y')$ . By  $\Sigma$ elim, we have  $\pi_1 h : Y$  and  $\pi_2 h : \Pi_{x:X} P(x, \pi_1 h)$ . By  $\Pi$ intro, we may fix  $x' : X$ ; our goal is of type  $\Sigma_{y':Y} P(x', y')$ . But by  $\Pi$ elim, we have  $\pi_2 h(x') : P(x', \pi_1 h)$ . So by  $\Sigma$ intro, we then have  $(\pi_1 h, \pi_2 h(x')) : \Sigma_{y':Y} P(x', y')$ .  $\square$

The constructs  $\lambda h. \lambda x'. (\pi_1 h, \pi_2 h(x')) : \Sigma_{y:Y} \Pi_{x:X} P(x, y) \rightarrow \Pi_{x':X} \Sigma_{y':Y} P(x', y')$ .



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Peano's axioms, revisited

# The natural numbers



**Dedekind's Categoricity Theorem.** The natural numbers  $\mathbb{N}$  are characterized by **Peano's postulates**:

- There is a natural number  $0 \in \mathbb{N}$ .
- Every natural number  $n \in \mathbb{N}$  has a successor  $\text{suc } n \in \mathbb{N}$ .
- $0$  is not the successor of any natural number.
- No two natural numbers have the same successor.
- The **principle of mathematical induction**: for all predicates  $p : \mathbb{N} \rightarrow \{\perp, \top\}$

$$P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(\text{suc } k)) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

# A proof by induction



**Theorem.** For any  $n \in \mathbb{N}$ ,  $n^2 + n$  is even.

**Proof:** By induction on  $n \in \mathbb{N}$ :

- In the base case, when  $n = 0$ ,  $0^2 + 0 = 2 \times 0$ , which is even.
- For the inductive step, assume for  $k \in \mathbb{N}$  that  $k^2 + k = 2 \times m$  is even. Then

$$\begin{aligned}(k+1)^2 + (k+1) &= (k^2 + k) + ((2 \times k) + 2) \\ &= (2 \times m) + (2 \times (k+1)) \\ &= 2 \times (m + k + 1) \quad \text{is even.}\end{aligned}$$

By the principle of mathematical induction

$$\forall P, P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(k+1)) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

this proves that  $n^2 + n$  is even for all  $n \in \mathbb{N}$ .



## A construction by induction



The inductive proof not only demonstrates for all  $n \in \mathbb{N}$  that  $n^2 + n$  is even but also defines a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$ .

**Theorem.** There is a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$  for all  $n \in \mathbb{N}$ .

**Construction:** By induction on  $n \in \mathbb{N}$ :

- In the base case,  $0^2 + 0 = 2 \times 0$ , so we define  $m(0) := 0$ .
- For the inductive step, assume for  $k \in \mathbb{N}$  that  $k^2 + k = 2 \times m(k)$ . Then

$$\begin{aligned}(k+1)^2 + (k+1) &= (k^2 + k) + ((2 \times k) + 2) \\ &= (2 \times m(k)) + (2 \times (k+1)) \\ &= 2 \times (m(k) + k + 1)\end{aligned}$$

so we define  $m(k+1) := m(k) + k + 1$ .

By the principle of mathematical recursion, this defines a function  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = m(n)$  for all  $n \in \mathbb{N}$ . □

# Induction and recursion



Recursion can be thought of as the constructive form of induction

$$\forall P, P(0) \Rightarrow (\forall k \in \mathbb{N}, P(k) \Rightarrow P(\text{suck})) \Rightarrow (\forall n \in \mathbb{N}, P(n))$$

in which the **predicate**

$$P: \mathbb{N} \rightarrow \{\top, \perp\} \quad \text{such as} \quad P(n) := \exists m \in \mathbb{N}, n^2 + n = 2 \times m$$

is replaced by an arbitrary **family of sets**

$$P: \mathbb{N} \rightarrow \text{Set} \quad \text{such as} \quad P(n) := \{m \in \mathbb{N} \mid n^2 + n = 2 \times m\}.$$

The output of a recursive construction is a **dependent function**  $p \in \prod_{n \in \mathbb{N}} P(n)$  which specifies a value  $p(n) \in P(n)$  for each  $n \in \mathbb{N}$ .

$$\forall P, (p_0 \in P(0)) \rightarrow (p_s \in \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{suck})) \rightarrow (p \in \prod_{n \in \mathbb{N}} P(n))$$

The recursive function  $p \in \prod_{n \in \mathbb{N}} P(n)$  satisfies **computation rules**:

$$p(0) := p_0 \quad p(\text{suc } n) := p_s(n, p(n)).$$

# The natural numbers in dependent type theory



The natural numbers type  $\mathbb{N}$  is governed by the rules:

- $\mathbb{N}$ <sub>intro</sub>: there is a term  $0 : \mathbb{N}$  and for any term  $n : \mathbb{N}$  there is a term  $\text{succ } n : \mathbb{N}$

The elimination rule strengthens the principle of mathematical induction by replacing the predicate  $P : \mathbb{N} \rightarrow \{\perp, \top\}$  by an arbitrary family of types  $P : \mathbb{N} \rightarrow \text{Type}$ .

- $\mathbb{N}$ <sub>elim</sub>: for any type family  $P : \mathbb{N} \rightarrow \text{Type}$ , to prove  $p : \prod_{n:\mathbb{N}} P(n)$  it suffices to prove  $p_0 : P(0)$  and  $p_s : \prod_{k:\mathbb{N}} P(k) \rightarrow P(\text{succ } k)$ . That is

$$\mathbb{N}_{\text{ind}} : P(0) \rightarrow \left( \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{succ } k) \right) \rightarrow \left( \prod_{n \in \mathbb{N}} P(n) \right)$$

Computation rules establish that  $p$  is defined recursively from  $p_0$  and  $p_s$ .

Note the other two Peano postulates are missing because they are provable!





# Identity types



The following rules for **identity types** were developed by Martin-Löf:

Given a type  $A$  and terms  $x, y : A$ , the **identity type**  $x =_A y$  is governed by the rules:

- **=intro**: given a type  $A$  and term  $x : A$  there is a term  $\text{refl}_x : x =_A x$

The elimination rule for the identity type defines an induction principle analogous to recursion over the natural numbers: it provides sufficient conditions for which to define a dependent function out of the identity type family.

- **=elim**: for any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ , to prove  $P(x, y, p)$  for all  $x, y, p$  it suffices to assume  $y$  is  $x$  and  $p$  is  $\text{refl}_x$ . That is

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

A computation rule establishes that the proof of  $P(x, x, \text{refl}_x)$  is the given one.

# Symmetry and transitivity of identifications



$\text{=elim}$ : For any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ ,

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

**Theorem (symmetry).**  $(-)^{-1} : \prod_{x,y:A} x =_A y \rightarrow y =_A x$ .

**Construction:** By  $\Pi\text{intro}$  it suffices to assume  $x, y : A$  and  $p : x =_A y$  and then define a term of type  $P(x, y, p) := y =_A x$ . By  $\text{=elim}$ , we may reduce to the case  $P(x, x, \text{refl}_x) := x =_A x$ , for which we have  $\text{refl}_x : x =_A x$ . □

**Theorem (transitivity).**  $*$  :  $\prod_{x,y,z:A} x =_A y \rightarrow (y =_A z \rightarrow x =_A z)$ .

**Construction:** By  $\Pi\text{intro}$  it suffices to assume  $x, y : A$  and  $p : x =_A y$  and then define a term of type  $Q(x, y, p) := \prod_{z:A} y =_A z \rightarrow x =_A z$ . By  $\text{=elim}$ , we may reduce to the case  $Q(x, x, \text{refl}_x) := \prod_{z:A} x =_A z \rightarrow x =_A z$ , for which we have  $\text{id} := \lambda q. q : x =_A z \rightarrow x =_A z$ . □

# Functions preserve identifications



$\text{=elim}$ : For any type family  $P(x, y, p)$  over  $x, y : A$  and  $p : x =_A y$ ,

$$\text{=ind} : \left( \prod_{x:A} P(x, x, \text{refl}_x) \right) \rightarrow \left( \prod_{x,y:A} \prod_{p:x=_A y} P(x, y, p) \right)$$

In set theory, a function  $f : X \rightarrow Y$  is **well-defined**: if  $x = x'$  then  $f(x) = f(x')$ .

**Theorem.** For any  $f : A \rightarrow B$ ,  $a, a' : A$ , and  $p : a =_A a'$ , there is a term

$$\text{ap}_f p : f(a) =_B f(a').$$

**Construction:** Let  $f : A \rightarrow B$ . By  $\text{=elim}$  applied to the family

$P(x, y, p) := f(x) =_B f(y)$ , to define  $\text{ap}_f : \prod_{a,a':A} (a =_A a') \rightarrow (f(a) =_B f(a'))$  we may reduce to the case  $\prod_{a:A} f(a) =_B f(a)$ , for which we have

$$\lambda a. \text{refl}_{f(a)} : \prod_{a:A} f(a) =_B f(a).$$



# Inductive constructions over the natural numbers



$\mathbb{N}_{\text{elim}}$ : For any type family  $P(n)$  over  $n : \mathbb{N}$ ,

$$\mathbb{N}_{\text{ind}} : P(0) \rightarrow \left( \prod_{k \in \mathbb{N}} P(k) \rightarrow P(\text{suck}) \right) \rightarrow \left( \prod_{n \in \mathbb{N}} P(n) \right)$$

Using the elimination rule for the natural numbers type, (dependent) functions out of  $\mathbb{N}$  may be defined inductively by specifying their values on  $0$  and  $\text{suck}$  for any  $k : \mathbb{N}$ .

- $2 \times : \mathbb{N} \rightarrow \mathbb{N}$  is defined by 
$$\begin{cases} 2 \times 0 := 0 \\ 2 \times \text{suck} := \text{suc}(\text{suc}(2 \times k)) \end{cases}$$
- $+: \mathbb{N} \rightarrow \mathbb{N} \rightarrow \mathbb{N}$  is defined by 
$$\begin{cases} m + 0 := m \\ m + \text{suck} := \text{suc}(m + k) \end{cases}$$
- $\text{dist}_{2 \times} : \prod_{m:\mathbb{N}} \prod_{n:\mathbb{N}} 2 \times m + 2 \times n =_{\mathbb{N}} 2 \times (m + n)$  is defined by 
$$\begin{cases} \text{dist}_{2 \times}(m, 0) := \text{refl}_{2 \times m} \\ \text{dist}_{2 \times}(m, \text{suck}) := \text{ap}_{\text{suc} \circ \text{suc}}(\text{dist}_{2 \times}(m, n)) \end{cases}$$

## A constructive proof revisited



We proved for any  $n \in \mathbb{N}$ , that  $n^2 + n$  is even by induction and by recursively defining  $m : \mathbb{N} \rightarrow \mathbb{N}$  so that  $n^2 + n = 2 \times m(n)$ .

**Theorem.** For  $\text{square+self} : \mathbb{N} \rightarrow \mathbb{N}$  given by

$$\begin{cases} \text{square+self}(0) := 0 \\ \text{square+self}(\text{suck}) := \\ \quad \text{square+self}(k) + 2 \times \text{suck} \end{cases}$$

$$\prod_{n:\mathbb{N}} \sum_{m:\mathbb{N}} \text{square+self}(n) =_{\mathbb{N}} 2 \times m.$$

**Construction:** By  $\mathbb{N}\text{elim}$ , it suffices to prove two cases:

- For  $0 : \mathbb{N}$ , we have  $(0, \text{refl}_0) : \sum_{m:\mathbb{N}} \text{square+self}(0) =_{\mathbb{N}} 2 \times m$ .
- For  $\text{suck} : \mathbb{N}$ , from  $m(k) : \mathbb{N}$  and  $p(k) : \text{square+self}(k) =_{\mathbb{N}} 2 \times m(k)$  we have:

$$\text{ap}_{+2 \times \text{suck}} p(k) : \text{square+self}(k) + 2 \times \text{suck} =_{\mathbb{N}} 2 \times m(k) + 2 \times \text{suck}$$

$$\text{dist}_{2 \times} (m(k), 2 \times \text{suck}) : 2 \times m(k) + 2 \times \text{suck} =_{\mathbb{N}} 2 \times (m(k) + \text{suck})$$

Composing these identifications yields the desired term:

$$(m(k) + \text{suck}, \text{ap}_{+2 \times \text{suck}} p(k) \cdot \text{dist}_{2 \times} (m(k), 2 \times \text{suck})) : \sum_{m:\mathbb{N}} \text{square+self}(\text{suck}) =_{\mathbb{N}} 2 \times m \quad \square$$

# References



A reintroduction to proofs using introduction and elimination rules:

- Clive Newstead, [An Infinite Descent into Pure Mathematics](https://infinitedescent.xyz/)  
`https://infinitedescent.xyz/`

On dependent type theory and identity types (plus much more):

- Egbert Rijke, [Introduction to Homotopy Type Theory](https://arxiv.org/abs/2212.11082),  
arXiv:2212.11082 and forthcoming from *Cambridge University Press*

Thank you!