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Could we teach ∞ -category theory to undergraduates
or to a computer?

London Mathematical Society Hardy Lecture



1

What is category theory for?

What is category theory for?



Corollary. For a function $f: A \rightarrow B$, the inverse image function $f^{-1}: \mathfrak{P}(B) \rightarrow \mathfrak{P}(A)$ between the powersets of A and B preserves both unions and intersections, while the direct image function $f_*: \mathfrak{P}(A) \rightarrow \mathfrak{P}(B)$ preserves only unions.

Corollary. For vector spaces U , V , and W , $U \otimes (V \oplus W) \cong (U \otimes V) \oplus (U \otimes W)$.

Corollary. For groups G , H , K , $G \times (H * K) \cong (G \times H) * (G \times K)$.

All of these results are true for the same reason and have the same proof:

Theorem. Left adjoint functors preserve coproducts.
Dually right adjoint functors preserve products.

A categorical proof in linear algebra

Corollary. For any vector spaces U , V , and W , $U \otimes (V \oplus W) \cong (U \otimes V) \oplus (U \otimes W)$.

The proof uses the **Yoneda lemma**: to show $U \otimes (V \oplus W) \cong (U \otimes V) \oplus (U \otimes W)$ it suffices to give **natural** correspondences between linear maps to another vector space X :

$$U \otimes (V \oplus W) \rightarrow X \quad \Leftrightarrow \quad (U \otimes V) \oplus (U \otimes W) \rightarrow X.$$

Proof:

$$\begin{array}{c} \frac{U \otimes (V \oplus W) \rightarrow X}{V \oplus W \rightarrow \text{Lin}(U, X)} \text{ BY CURRYING (ADJUNCTION)} \\ \frac{V \rightarrow \text{Lin}(U, X) \quad \text{and} \quad W \rightarrow \text{Lin}(U, X)}{U \otimes V \rightarrow X \quad \text{and} \quad U \otimes W \rightarrow X} \text{ BY CASES (COPRODUCT)} \\ \frac{U \otimes V \rightarrow X \quad \text{and} \quad U \otimes W \rightarrow X}{(U \otimes V) \oplus (U \otimes W) \rightarrow X} \text{ BY CURRYING (ADJUNCTION)} \end{array}$$

The isomorphism is explicit: letting X equal $U \otimes (V \oplus W)$ or $(U \otimes V) \oplus (U \otimes W)$, the identity maps define linear maps $U \otimes (V \oplus W) \rightrightarrows (U \otimes V) \oplus (U \otimes W)$, which are inverses (by naturality).

Abstraction and understanding



Since **left adjoints preserve coproducts**:

the direct image preserves unions, the tensor product distributes over direct sums, the cartesian product distributes over free products, ...

Abstractions from category theory are used to:

- clarify arguments (removing inessential details, shortening proofs)
- generalize constructions and proofs to other settings
- upload more complicated mathematical ideas into one's working memory

Category theory defines the language of (much of) 20th century mathematics. Modern algebraic geometry, algebraic topology, representation theory, and certain aspects of mathematical physics are all expressed in the language of category theory.

Without categorical language, we couldn't (easily) define spectral sequences, simplicial sets, cohomology theories, sheaves, schemes, topological quantum field theories, ...



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What is ∞ -category theory for?

What are ∞ -categories?

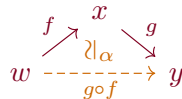
[A category] frames a possible template for any mathematical theory: the theory should have nouns and verbs, i.e., objects, and morphisms, and there should be an explicit notion of composition related to the morphisms.

—Barry Mazur, “When is one thing equal to some other thing?”

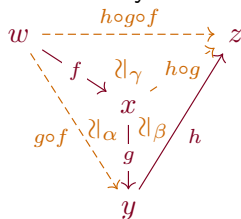
An ∞ -category frames a template with nouns, verbs, adjectives, adverbs, pronouns, prepositions, conjunctions, interjections,... which has:

- objects x and 1-morphisms between them $w \xrightarrow{f} x$

- composition witnessed by invertible 2-morphisms



- associativity



witnessed by invertible 3-morphisms

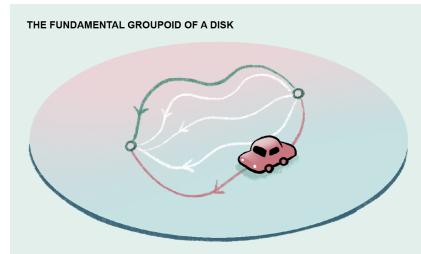
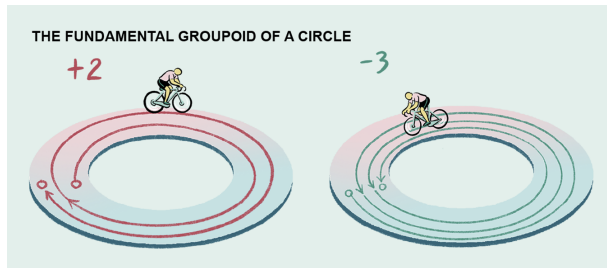
with these witnesses coherent up to invertible morphisms all the way up.

Example: homotopy types as groupoids

The **fundamental groupoid** of a topological space is the category whose

- objects are **points** in the space
- arrows are **paths** in the space up to based homotopy

Images by Matteo Farinella



Problem: the fundamental groupoid, while easy to define, does not see “higher structure”:

for all $n > 1$, the fundamental groupoid of S^n is trivial!

A pie chart divided into four equal quadrants. One quadrant is shaded dark blue, representing 25% of the total.

- The quotient **homotopy category** recovers the **fundamental groupoid** of points and based homotopy classes of paths.

The fundamental ∞ -groupoid defines an **equivalence** between spaces (up to weak homotopy equivalence) and ∞ -groupoids (up to equivalence).

Scholze: an ∞ -groupoid is an **anima**, the “soul” of a space.

∞ -categories and their quotient 1-categories

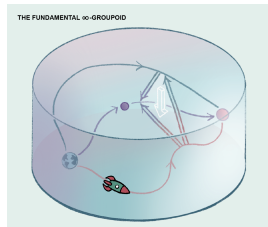
The **fundamental ∞ -groupoid** of a topological space is the ∞ -category whose

- objects are **points**, 1-morphisms are **paths**,
- 2-morphisms are **homotopies** between paths,
- 3-morphisms are **homotopies between homotopies**, ...

The quotient **homotopy category** recovers the **fundamental groupoid** of points and based homotopy classes of paths. Similarly:

- The **derived category** of a ring is the quotient homotopy category of the ∞ -category of chain complexes.
- The **classical homotopy category** of spaces is the quotient homotopy category of the ∞ -category of spaces.

Very roughly, an “ **∞ -category**” is a weak infinite-dimensional analog of an ordinary 1-dimensional category, with objects, 1-morphisms, and invertible higher morphisms with weak composition, associativity, and identities.



What is ∞ -category theory for?

- The **derived category** of a ring is the quotient homotopy category of the ∞ -category of chain complexes.
- The **classical homotopy category** of spaces is the quotient homotopy category of the ∞ -category of spaces.

Problem: Key constructions, such as the mapping cone of a chain map, are not colimits in the derived category. **Homotopy colimits** are not colimits in the homotopy category!

$$\begin{array}{ccc} A_{\bullet} & \xrightarrow{f_{\bullet}} & B_{\bullet} \\ \downarrow & \sim_{\square} & \downarrow \\ 0 & \dashrightarrow & C(f)_{\bullet} \end{array} \qquad \begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow & \sim_{\square} & \downarrow \\ * & \dashrightarrow & C(f) \end{array}$$

\leadsto Hence the theorem **left adjoints preserves colimits** does not apply.

Solution: Replace the derived category by the ∞ -category of cochain complexes, where the mapping cone is a colimit in the ∞ -categorical sense.

\leadsto Now the analogous ∞ -categorical theorem **left adjoints preserve colimits** applies!

∞ -categories in set theory



Essentially, ∞ -categories are 1-categories in which all the **sets** have been replaced by **∞ -groupoids** aka **homotopy types** aka **anima**:

sets :: ∞ -groupoids
categories :: ∞ -categories

Where

- categories have sets of objects, ∞ -categories have ∞ -groupoids of objects, and
- categories have hom-sets, ∞ -categories have ∞ -groupoidal mapping spaces.

While the axioms that turn a directed graph into a category are expressed in the language of set theory — a category has a composition function satisfying axioms expressed in first-order logic with equality — composition in an ∞ -category, as a morphism between ∞ -groupoids, isn't a “function” in the traditional sense (since homotopy types do not have underlying sets of points).

This is why ∞ -categories are so difficult to define within set theory.

Definitions of ∞ -categories

∞ -categories are 1-categories in which all the **sets** have been replaced by **∞ -groupoids**.

An ∞ -category is presented by a **quasi-category**, which is a simplicial set

$$X_0 \begin{array}{c} \xleftarrow{\quad} \\ \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} X_1 \begin{array}{c} \xleftarrow{\quad} \\ \xrightarrow{\quad} \\ \xleftarrow{\quad} \\ \xrightarrow{\quad} \\ \xleftarrow{\quad} \end{array} X_2 \quad \dots$$

in which every **inner horn** has a filler.

An ∞ -category is presented by a **complete Segal spaces**, which is a bisimplicial set

$$\begin{array}{ccccc} \vdots & & \vdots & & \ddots \\ \downarrow \uparrow \downarrow \uparrow \downarrow & & \downarrow \uparrow \downarrow \uparrow \downarrow & \xleftarrow{\quad} & \downarrow \uparrow \downarrow \uparrow \downarrow \xleftarrow{\quad} \\ X_{01} & \xleftarrow{\quad} & X_{11} & \xleftarrow{\quad} & \dots \\ \downarrow \uparrow \downarrow & & \downarrow \uparrow \downarrow & \xleftarrow{\quad} & \downarrow \uparrow \downarrow \xleftarrow{\quad} \\ X_{00} & \xleftarrow{\quad} & X_{10} & \xleftarrow{\quad} & \dots \end{array}$$

that is **Reedy fibrant** and in which the **Segal** and **completeness maps** are equivalences.

Challenge: everything takes too long to make technically precise



Problem: ∞ -category theory is a prerequisite for understanding the literature in a variety of cutting-edge areas of mathematics:

- Blumberg, Gepner, and Tabuada “A universal characterization of higher algebraic K -theory” 2013
- Gaitsgory and Rozenblyum “A study in derived algebraic geometry” 2017
- Nikolaus and Scholze “On topological cyclic homotopy” 2018
- Nadler and Tanaka “A stable ∞ -category of Lagrangian cobordisms” 2020
- Bauer, Burke, and Ching “Tangent ∞ -categories and Goodwillie calculus” 2023
- Fargues and Scholze “Geometrization of the local Langlands correspondence” 2024

Where will researchers in these areas find the time to learn ∞ -category theory?

How will we teach ∞ -category theory to undergraduates?

Dream from the future



∞ -category theory would be easier to explain if the foundations of mathematics — set theory and logic — weren't so far away.

Thesis statement: In a formal system more like **homotopy type theory**, we could teach ∞ -category theory to undergraduates or to a computer.

Plan:

- §1 What is category theory for?
- §2 What is ∞ -category theory for?
- §3 An informal introduction to homotopy type theory
- §4 ∞ -category theory for undergraduates
- §5 ∞ -category theory for computers



3

An informal introduction to homotopy type theory

Homotopy type theory



Homotopy type theory is:

- a formal system for mathematical constructions and proofs
- in which the basic objects, **types**, may be regarded as “**spaces**” or ∞ -groupoids
- and all constructions are automatically “**continuous**” or **equivalence-invariant**.

Homotopy type theory is $\begin{cases} \text{(homotopy type) theory} \\ \text{homotopy (type theory)} \end{cases}$

Types A are used to describe mathematical structures but are also used to encode mathematical assertions:

- In the first case, a term $a : A$ constructs a particular instance of the structure A .
- In the second case, a term $a : A$ provides a proof of the proposition A .

The slogan **propositions as types** means that propositions (grammatically correct mathematical assertions) are special cases of types, which in general may have non-trivial higher dimensional structure.

Types, terms, and rules



Homotopy type theory has:

- types A , B ; e.g., \mathbb{N} , \mathbb{R} , Group
- terms $x : A$, $y : B$; e.g., $17 : \mathbb{N}$, $\sqrt{2} : \mathbb{R}$, $K_4 : \text{Group}$
- type families $x : A \vdash B(x)$, $x : A, y : B(x) \vdash C(x, y)$;
e.g., $n : \mathbb{N} \vdash n\text{-is-prime}$, $n : \mathbb{N} \vdash \text{Field}_{\text{char}(n)}$, $G : \text{Group}, k : \text{Field} \vdash \text{Rep}_k(G)$
- term families $x : A \vdash b_x : B(x)$, $x : A, y : B(x) \vdash c_{x,y} : C(x, y)$;
e.g., $n : \mathbb{N} \vdash \mathbb{Z}/n : \text{Ring}_{\text{char}(n)}$, $G : \text{Group}, k : \text{Field} \vdash k[G] : \text{Rep}_k(G)$

Formation rules build new types from given ones:

- products $A \times B$, coproducts $A + B$, function types $A \rightarrow B$,
- dependent sums $\sum_{x:A} B(x)$, dependent products $\prod_{x:A} B(x)$,
- identity types $x, y : A \vdash x =_A y$.

and come with introduction and elimination rules that construct and use their terms.

The homotopy type theoretic Rosetta stone



type theory	logic	set theory	homotopy theory
A	proposition	set	space
$x : A$	proof	element	point
$\emptyset, 1$	\perp, \top	$\emptyset, \{\emptyset\}$	$\emptyset, *$
$A \times B$	A and B	set of pairs	product space
$A + B$	A or B	disjoint union	coproduct
$A \rightarrow B$	A implies B	set of functions	function space
$x : A \vdash B(x)$	predicate	family of sets	fibration
$x : A \vdash b_x : B(x)$	conditional proof	family of elements	section
$\prod_{x:A} P(x)$	$\forall x. P(x)$	product	space of sections
$\sum_{x:A} P(x)$	$\exists x. P(x)$	disjoint union	total space
$p : x =_A y$	proof of equality	$x = y$	path from x to y
$\sum_{x,y:A} x =_A y$	equality relation	diagonal	path space for A

Identity types and path induction



Martin-Löf's identity types $x, y : A \vdash x =_A y$ are governed by the rules:

no nonsense: given a type A and terms $x, y : A$, there is a type $x =_A y$

reflexivity: given a type A and term $x : A$ there is a term $\text{refl}_x : x =_A x$

indiscernibility of identicals: given a type family $x, y : A, p : x =_A y \vdash P(x, y, p)$, to prove $P(x, y, p)$ for all x, y, p , it suffices to assume y is x and p is refl_x .

The final rule defines an induction principle analogous to recursion over the natural numbers.

Since $p : x =_A y$ are interpreted **paths**, we refer to this rule as **path induction**.

The ∞ -groupoid of paths

Identity types can be iterated:

given $x, y : A$ and $p, q : x =_A y$ there is a type $p =_{x=_A y} q$.

Theorem (Lumsdaine, Garner–van den Berg). The terms belonging to the iterated identity types of any type A form an ∞ -groupoid.

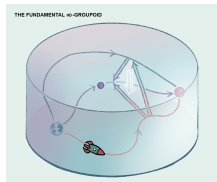
The ∞ -groupoid structure of A has

- terms $x : A$ as objects
- paths $p : x =_A y$ as 1-morphisms
- paths of paths $h : p =_{x=_A y} q$ as 2-morphisms, ...

The required structures are proven from the **path induction** principle:

- **constant paths** (reflexivity) $\text{refl}_x : x = x$
- **reversal** (symmetry) $p : x = y$ yields $p^{-1} : y = x$
- **concatenation** (transitivity) $p : x = y$ and $q : y = z$ yield $p * q : x = z$

and furthermore concatenation is associative and unital, the associators are coherent ...



Contractible types and equivalences



The homotopical perspective on type theory suggests new definitions:

Definition. A type A is **contractible** if it comes with a term of type

$$\text{is-contr}(A) := \sum_{a:A} \prod_{x:A} a =_A x$$

A proof of contractibility $p : \text{is-contr}(A)$ provides:

- a term $c : A$ called the **center of contraction** and
- a dependent function $h : \prod_{x:A} c =_A x$ called the **contracting homotopy**, which can be thought of as a continuous choice of paths $h(x) : c =_A x$ for each $x : A$.

Definition. An **equivalence** between types A and B is a term of type:

$$A \simeq B := \sum_{f:A \rightarrow B} \left(\sum_{g:B \rightarrow A} \prod_{a:A} g(f(a)) =_A a \right) \times \left(\sum_{h:B \rightarrow A} \prod_{b:B} f(h(b)) =_B b \right)$$



4

∞ -category theory for undergraduates

∞ -category theory for undergraduates



∞ -category theory would be easier to explain if the foundations of mathematics — set theory and logic — weren't so far away.

Thesis statement: In a formal system more like **homotopy type theory**, we could teach ∞ -category theory to undergraduates or to a computer.

Higher Structures 1(1):116–193, 2017.



Everything that follows is made rigorous in a formal framework, called **simplicial homotopy type theory**, which extends homotopy type theory with simplicial shapes and extension types.

Undergraduates do not need to understand the full details of this formal framework — that can be left to the experts. They just need to learn how to construct definitions and proofs.

A type theory for synthetic ∞ -categories

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Abstract

We propose foundations for a synthetic theory of $(\infty, 1)$ -categories within homotopy type theory.

Simplicial homotopy type theory



Simplicial homotopy type theory provides **shapes** defined in a syntactic language from an axiomatic bounded linear order:

$$\text{e.g., } \Delta^1 := \bullet \longrightarrow \bullet, \quad \Lambda_1^2 := \begin{array}{ccc} & \bullet & \\ \nearrow & & \searrow \\ \bullet & & \bullet \end{array}, \quad \Delta^2 := \begin{array}{ccc} & \bullet & \\ \nearrow & \lrcorner & \searrow \\ \bullet & \longrightarrow & \bullet \end{array}$$

Function types are extended to allow maps from shapes into types A :

$$\text{e.g., } \Delta^1 \rightarrow A, \quad \Lambda_1^2 \rightarrow A, \quad \Delta^2 \rightarrow A$$

In simplicial homotopy type theory, any type A has families of:

- **identity types** $x, y : A \vdash x =_A y$ whose terms $p : x =_A y$ define **paths**
- **hom types** $x, y : A \vdash \text{Hom}_A(x, y)$ whose terms $f : \text{Hom}_A(x, y)$ define **arrows**

$$\begin{array}{ccc} x =_A y & \hookrightarrow & \sum_{x, y : A} x =_A y \\ \downarrow & \lrcorner & \downarrow (\text{ev}_0, \text{ev}_1) \\ 1 & \xrightarrow{(x, y)} & A \times A \end{array}$$

$$\begin{array}{ccc} \text{Hom}_A(x, y) & \hookrightarrow & \Delta^1 \rightarrow A \\ \downarrow & \lrcorner & \downarrow (\text{ev}_0, \text{ev}_1) \\ 1 & \xrightarrow{(x, y)} & A \times A \end{array}$$

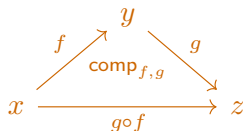


Definition (Riehl–Shulman after Segal). A type A is a **pre- ∞ -category** if every composable pair of arrows $f : \mathbf{Hom}_A(x, y)$ and $g : \mathbf{Hom}_A(y, z)$ has a **unique composite**, i.e., if the fiber

$$\begin{array}{ccc} \mathbf{Comp}(f, g) & \hookrightarrow & \Delta^2 \rightarrow A \\ \wr \downarrow & \lrcorner & \wr \downarrow \text{res} \\ 1 & \xrightarrow{f \wedge g} & \Lambda_1^2 \rightarrow A \end{array} \quad \text{is contractible.}$$

By contractibility, $\mathbf{Comp}(f, g)$ has a center of contraction $\mathbf{comp}_{f,g} : \Delta^2 \rightarrow A$. Write $g \circ f : \mathbf{Hom}_A(x, z)$ for its inner face, *the composite of f and g* .

This defines a composition function
 $\circ : \mathbf{Hom}_A(y, z) \rightarrow \mathbf{Hom}_A(x, y) \rightarrow \mathbf{Hom}_A(x, z)$





Definition (Riehl–Shulman after Segal). A type A is a **pre- ∞ -category** if every composable pair of arrows $f : \mathbf{Hom}_A(x, y)$ and $g : \mathbf{Hom}_A(y, z)$ has a **unique composite**.

In a pre- ∞ -category it follows that:

- Any $x : A$ has an identity arrow $\mathbf{id}_x : \mathbf{Hom}_A(x, x)$.
- For any $f : \mathbf{Hom}_A(x, y)$, $f \circ \mathbf{id}_x = f$ and $\mathbf{id}_y \circ f = f$.
- For any composable triple of arrows f, g , and h , $(h \circ g) \circ f = h \circ (g \circ f)$.

Note: A pre- ∞ -category has two ∞ -groupoid cores:

one defined by the **paths** $p : x =_A y$ and
another defined by the **isomorphisms** $f : x \cong_A y$.

Definition (Riehl–Shulman after Rezk). A pre- ∞ -category A is **∞ -category** iff paths are equivalent to isomorphisms: $\prod_{x:A} \prod_{y:A} (x =_A y) \simeq (x \cong_A y)$.

Coproducts and adjunctions in ∞ -categories



Definition. Two objects $a, a' : A$ in an ∞ -category admit a **coproduct** if there is another object $a \sqcup a' : A$ and a family of equivalences

$$\prod_{x:A} \mathrm{Hom}_A(a \sqcup a', x) \simeq \mathrm{Hom}_A(a, x) \times \mathrm{Hom}_A(a', x).$$

Definition. A pair of functions $f: A \rightarrow B$ and $u: B \rightarrow A$ between ∞ -categories define an **adjunction** if there is a family of equivalences

$$\prod_{a:A} \prod_{b:B} \mathrm{Hom}_B(f(a), b) \simeq \mathrm{Hom}_A(a, u(b)).$$

Note both definitions are arguably simpler than for ordinary categories: there the equivalences must be “**natural**” which is automatically true here.

Left adjoints preserve coproducts



Theorem. Left adjoints $f: A \rightarrow B$ between ∞ -categories preserve coproducts: for $a, a' : A$ admitting a coproduct $a \sqcup a' : A$, $f(a \sqcup a') \cong f(a) \sqcup f(a')$ in B .

Proof: By the ∞ -categorical Yoneda lemma, to show $f(a \sqcup a') \cong f(a) \sqcup f(a')$ it suffices to define a family of equivalences

$$\prod_{x:B} \mathrm{Hom}_B(f(a \sqcup a'), x) \simeq \mathrm{Hom}_B(f(a) \sqcup f(a'), x).$$

The equivalences defined by the coproduct and the adjunction compose as follows

$\mathrm{Hom}_B(f(a \sqcup a'), x) \simeq \mathrm{Hom}_A(a \sqcup a', ux)$	by adjunction
$\simeq \mathrm{Hom}_A(a, u(x)) \times \mathrm{Hom}_A(a', ux)$	by coproduct
$\simeq \mathrm{Hom}_B(f(a), x) \times \mathrm{Hom}_B(f(a'), x)$	by adjunction
$\simeq \mathrm{Hom}_B(f(a) \sqcup f(a'), x)$	by coproduct

for all $x : B$.





5

∞ -category theory for computers

Could ∞ -category theory be taught to a computer?



These definitions, theorems, and proofs can be formally verified in a computer proof assistant that knows the rules of **simplicial homotopy type theory**:

rzk

MkDocs documentation Haddock documentation Build with GHCJS and Deploy to GitHub Pages passing

An experimental proof assistant for synthetic ∞ -categories.

The screenshot shows the rzk web interface. On the left is a sidebar with navigation links: Home, GENERAL, About, RZK: A LANGUAGE, Introduction, Rendering Diagrams, Examples, Tools (Weak type disjunction elimination, IDE support, Continuous Verification), RELATED PROJECTS (shoTT, simple-topos), and a Previous/Next button. The main content area is divided into two columns. The left column contains text and diagrams illustrating simplicial types. It includes a definition of a 2-simplex (triangle) and a 2-simplex with a diagonal. It also shows a diagram of a 2-simplex with a diagonal and a 2-simplex with a diagonal. The right column contains a code editor showing the rzk language code for the 2-simplex and the 2-simplex with a diagonal. The code is in a dark theme. Below the code editor is a 'TYPECHECK (CTRL + ENTER)' button and a 'Everything is ok!' message. At the bottom of the page, it says 'Built with MkDocs using a theme provided by Read the Docs.'

The proof assistant **Rzk** was developed by **Nikolai Kudasov**:

About this project

This project has started with the idea of bringing Riehl and Shulman's 2017 paper [1] to "life" by implementing a proof assistant based on their type theory with shapes. Currently an early prototype with an [online playground](https://github.com/fizruk/shoTT) is available. The current implementation is capable of checking various formalisations. Perhaps, the largest formalisations are available in two related projects: <https://github.com/fizruk/shoTT> and https://github.com/emilyriehl/yoneda_shoTT project (originally a fork of the yoneda project) aims to cover more formalisations in simplicial HoTT and ∞ -categories, while [yoneda](https://github.com/emilyriehl/yoneda_shoTT) project aims to compare different formalisations of the Yoneda lemma.

Internally, **rzk** uses a version of second-order abstract syntax allowing relatively straightforward handling of binders (such as lambda abstraction). In the future, **rzk** aims to support dependent type inference relying on E-unification for second-order abstract syntax [2]. Using such representation is motivated by automatic handling of binders and easily automated boilerplate code. The idea is that this should keep the implementation of **rzk** relatively small and less error-prone than some of the existing approaches to implementation of dependent type checkers.

An important part of **rzk** is a tope layer solver, which is essentially a theorem prover for a part of the type theory. A related project, dedicated just to that part is available at <https://github.com/fizruk/simple-topos>. **simple-topos** supports user-defined cubes, topos, and tope layer axioms. Once stable, **simple-topos** will be merged into **rzk**, expanding the proof assistant to the type theory with shapes, allowing formalisations for (variants of) cubical, globular, and other geometric versions of HoTT.

rzk-lang.github.io/rzk

Pre- ∞ -categories, formally



Definition (Riehl–Shulman after Segal). A type A is a **pre- ∞ -category** if every pair of arrows $f : \text{Hom}_A(x, y)$ and $g : \text{Hom}_A(y, z)$ has a **unique composite**, i.e., the type $\text{Comp}(f, g)$ of composites is contractible.

A type is a **pre- ∞ -category** if every composable pair of arrows has a unique composite, meaning that the type of composites is contractible.

Note this is a considerable simplification of the usual Segal condition, which also requires homotopical uniqueness of higher-order composites. Here this higher-order uniqueness is a consequence of the uniqueness of binary composition.

RS17, Definition 5.3

```
#def Is-pre- $\infty$ -category
  ( A : U)
  : U
  :=
    ( x : A) → ( y : A) → ( z : A)
  → ( f : Hom A x y) → ( g : Hom A y z)
  → is-contr (Σ ( h : Hom A x z) , (Hom2 A x y z f g h))
```



Composition in a pre- ∞ -category, formally



By contractibility, $\mathbf{Comp}(f, g)$ has a center of contraction $\mathbf{comp}_{f, g} : \Delta^2 \rightarrow A$. Its inner face $g \circ f : \mathbf{Hom}_A(x, z)$ defines a composition function:

$$\circ : \mathbf{Hom}_A(y, z) \rightarrow \mathbf{Hom}_A(x, y) \rightarrow \mathbf{Hom}_A(x, z)$$

Pre- ∞ -categories have a composition functor and witnesses to the composition relation. Composition is written in diagrammatic order to match the order of arguments in `is-pre- ∞ -category`.

```
#def Comp-is-pre- $\infty$ -category
  ( A : U)
  ( is-pre- $\infty$ -category-A : Is-pre- $\infty$ -category A)
  ( x y z : A)
  ( f : Hom A x y)
  ( g : Hom A y z)
  : Hom A x z
:= first (first (is-pre- $\infty$ -category-A x y z f g))

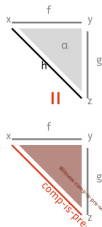
#def Witness-comp-is-pre- $\infty$ -category
  ( A : U)
  ( is-pre- $\infty$ -category-A : Is-pre- $\infty$ -category A)
  ( x y z : A)
  ( f : Hom A x y)
  ( g : Hom A y z)
  : Hom2 A x y z f g (Comp-is-pre- $\infty$ -category A is-pre- $\infty$ -category-A x y z f g)
:= second (first (is-pre- $\infty$ -category-A x y z f g))
```

Uniqueness of composition in a pre- ∞ -category, formally



Composition in a pre- ∞ -category is unique in the following sense. If there is a witness that an arrow h is a composite of f and g , then the specified composite equals h .

```
#def Uniqueness-comp-is-pre- $\infty$ -category
  ( A : U)
  ( is-pre- $\infty$ -category-A : Is-pre- $\infty$ -category A)
  ( x y z : A)
  ( f : Hom A x y)
  ( g : Hom A y z)
  ( h : Hom A x z)
  ( alpha : Hom2 A x y z f g h)
  : ( Comp-is-pre- $\infty$ -category A is-pre- $\infty$ -category-A x y z f g) = h
  :=
    first-path- $\Sigma$ 
      ( Hom A x z)
      ( Hom2 A x y z f g)
      ( Comp-is-pre- $\infty$ -category A is-pre- $\infty$ -category-A x y z f g
      , Witness-comp-is-pre- $\infty$ -category A is-pre- $\infty$ -category-A x y z f g)
      ( h , alpha)
      ( homotopy-contraction
        (  $\Sigma$  ( k : Hom A x z) , (Hom2 A x y z f g k))
        ( is-pre- $\infty$ -category-A x y z f g)
        ( h , alpha))
```



See emilyriehl.github.io/yoneda and rzk-lang.github.io/sHoTT for more.

Conclusions and future work



Observations:

- ∞ -category theory is significantly easier to formalize in a foundation system based on homotopy type theory.
- By moving much of the complexity of “higher structures” into the background foundation system, the gap between ∞ -category theory and 1-category narrows substantially.
- A computer proof assistant is a fantastic tool for learning to write proofs in new foundations — indeed, through formalization in **Rzk** we caught an error of circular reasoning in the **Riehl–Shulman** paper!

Future work:

- We would love help formalizing more results from ∞ -category theory in **Rzk**.
- But the initial version of the simplicial type theory is not sufficiently powerful to prove all results about ∞ -categories, so further extensions of this synthetic framework are needed.

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