

#### Lecture Overview

Algorithmic Complexity

#### Computational complexity

- How much time will it take a program to run?
- How much memory will it need to run?
- Need to balance minimizing computational complexity with conceptual complexity
  - Keep code simple and easy to understand, but where possible optimize performance

#### Measuring complexity

- Goals in designing programs
  - 1. It <u>returns the correct answer</u> on all legal inputs
  - 2. It performs the computation efficiently
- Typically (1) is most important, but sometimes (2) is also critical, e.g., programs for collision detection, avionic systems, drive assistance etc.
- Even when (1) is most important, it is valuable to understand and optimize (2)

#### How do we measure complexity?

- Given a function, would like to answer: "How long will this take to run?"
- Could just run on some input and time it.
- Problem is that this depends on:
  - 1. Speed of computer
  - 2. Specifics of Programming Language implementation
  - 3. Value of input
- Avoid (1) and (2) by measuring time in terms of number of basic steps executed

#### Measuring basic steps

- Use a random access machine (RAM) as model of computation
  - Steps are executed sequentially
  - Step is an operation that takes constant time
    - Assignment
    - Comparison
    - Arithmetic operation
    - Accessing object in memory
- For point (3), measure time in terms of size of input

# But complexity might depend on value of input?

```
def linearSearch(L, x):
    for e in L:
        if e==x:
            return True
    return False
```

- If x happens to be near front of L, then returns True almost immediately
- If x not in L, then code will have to examine all elements of L
- Need a general way of measuring

#### Cases for measuring complexity

- Best case: minimum running time over all possible inputs of a given size
  - For linearSearch <u>constant, i.e. independent of size of inputs</u>
- Worst case: maximum running time over all possible inputs of a given size
  - For linearSearch <u>linear in size of list</u>
- Average (or expected) case: average running time over all possible inputs of a given size
- We will focus on worst case a kind of upper bound on running time

```
def fact(n):
    answer = 1
    while n > 0:
        answer *= n
        n -= 1
    return answer
```

- Number of steps
   1 (for assignment)
   5\*n (1 for test, plus 2 for first assignment, plus 2 for second assignment in while; repeated n times through while)
   1 (for return)
- <u>5\*n+2</u>steps
- But as n gets large, 2 is irrelevant, so basically 5\*n steps

- What about the <u>multiplicative constant</u> (5 in this case)?
- We argue that in general, multiplicative constants are not relevant when comparing algorithms

```
def sqrtExhaust(x, eps): linear search
    step = eps**2
    ans = 0.0
    while abs(ans**2 - x) >= eps and ans <= max(x, 1):
        ans += step
    return ans</pre>
```

- If we call this on 100 and 0.0001, will take one billion iterations of the loop
  - -Have roughly 8 steps within each iteration

```
def sqrtBi(x, eps): binary search
  low = 0.0 lower bound
  high = max(1, x) upper bound
  ans = (high + low)/2.0
  while abs(ans**2 - x) >= eps:
        if ans**2 < x:
        low = ans
        else:
            high = ans
        ans = (high + low)/2.0
  return ans</pre>
```

- If we call this on 100 and 0.0001, will take thirty iterations of the loop
   Have roughly 10 steps within each iteration
- <u>1 billion</u> or <u>8 billion</u> versus <u>30</u> or <u>300</u> it is size of problem that matters

#### Measuring complexity

- Given this difference in iterations through loop, multiplicative factor (number of steps within loop) probably irrelevant
- Thus, we will focus on measuring the complexity as a function of input size
  - -Will focus on the largest factor in this expression
  - -Will be mostly concerned with the worst-case scenario

#### Asymptotic notation

- Need a formal way to talk about relationship between running time and size of inputs
- Mostly interested in what happens as size of inputs gets very large, i.e. approaches infinity

```
def f(x):
       for i in range(1000):

ans = i \longrightarrow 1
       for i in range(x):
    ans += 1
       for i in range(x): \rightarrow x times
for j in range(x): x times
ans += 1 ans = ans +1 2
```

Complexity is  $1000 + 2x + \frac{O(x^{2})}{2x^{2}}$ , if each line takes one step

- $1000+2x+2x^2$
- If x is small, constant term dominates
  - E.g., x = 10 then 1000 of 1220 steps are in first loop
- If x is large, quadratic term dominates
  - E.g. x = 1,000,000, then first loop takes 0.000000005% of time, second loop takes 0.0001% of time (out of 2,000,002,001,000 steps)!

- So really only need to consider the nested loops (quadratic component)
- Does it matter that this part takes  $2x^2$  steps, as opposed to say  $x^2$  steps?
  - -For our example (x = 106), if our computer executes 100 million steps per second, difference is ~5.5 hours versus ~2.75 hours (X2 vs. 2\*X2)
  - —On the other hand, if we can find a linear algorithm, this would run in a fraction of a second (X vs. 2\*X)
  - —So multiplicative factors probably not crucial, but order of growth is crucial

#### Rules of thumb for complexity

- Asymptotic complexity
  - Describe running time in terms of number of basic steps
  - —If running time is sum of multiple terms, keep one with the largest growth rate
  - —If remaining term is a product, drop any multiplicative constants
- Use "Big O" notation (aka Omicron)
  - Gives an upper bound on asymptotic growth of a function

# Complexity classes

- O(1) denotes constant running time
- O(log n) denotes logarithmic running time
- O(n) denotes linear running time
- O(n log n) denotes log-linear running time
- O(n<sup>c</sup>) denotes polynomial running time (c is a constant)
- $O(c^n)$  denotes exponential running time (c is a constant being raised to a power based on size of input)

#### **Constant complexity**

- Complexity independent of inputs
- Very few interesting algorithms in this class, but can often have pieces that fit this class
- Can have loops or recursive calls, but number of iterations or calls independent of size of input

#### Logarithmic complexity o(logn)

- Complexity grows as log of size of one of its inputs
- Example:
  - Bisection search find square root
  - Binary search of a list sorted list

## Logarithmic complexity

```
def binarySearch(alist, item):
    first = 0
    last = len(alist)-1
    found = False
    while first<=last and not found:
        midpoint = (first + last)//2
        if alist[midpoint] == item:
            found = True
        elif item < alist[midpoint]:</pre>
            last = midpoint-1
        else:
            first = midpoint+1
    return found
```

#### Logarithmic complexity

```
def binarySearch(alist, item):
    first = 0
    last = len(alist)-1
    found = False
    while first<=last and not found:</pre>
        midpoint = (first + last)//2
        if alist[midpoint] == item:
             found = True
        elif item < alist[midpoint]:</pre>
             last = midpoint-1
        else:
             first = midpoint+1
    return found
```

- Only have to look at loop as no function calls
- Within while loop constant number of steps
- How many times through loop?
  - How many times can one divide indexes to find midpoint?
  - O(log(len(alist)))

#### Linear complexity

- Searching a list in order to see if an element is present
- Add characters of a string, assumed to be composed of decimal digits

```
def addDigits(s):
    val = 0
    for c in s:
       val += int(c)
    return val
```

• O(len(s))

#### Linear complexity

Complexity can depend on number of recursive calls

```
def fact(n):
    if n == 1:
        return 1
    else:
        return n*fact(n-1)
```

- Number of recursive calls?
  - fact(n), then fact(n-1), etc. until get to fact(1)
  - Complexity of each call is constant
  - <u>O(n)</u>

#### Log-linear complexity

- Many practical algorithms are log-linear
- Very commonly used log-linear algorithm is merge sort

#### Polynomial complexity

- Most common polynomial algorithms are quadratic, i.e., complexity grows with square of size of input
- Commonly occurs when we have <u>nested loops</u> or recursive function calls

```
def isSubset(L1, L2):
    for e1 in L1:
        matched = False
        for e2 in L2:
            if e1 == e2:
                 matched = True
                 break
        if not matched:
                 return False
        return True
```

```
def isSubset(L1, L2):
    for e1 in L1:
        matched = False
        for e2 in L2:
            if e1 == e2:
                 matched = True
                 break
        if not matched:
                 return False
        return True
```

- Outer loop executed len(L1) times
- Each iteration will execute inner loop up to len(L2) times
- O(len(L1)\*len(L2))
- Worst case when L1 and L2 same length, none of elements of L1 in L2
- O(len(L1)<sup>2</sup>)

Find intersection of two lists, return a list with each element appearing only once

```
def intersect(L1, L2):
    tmp = []
    for e1 in L1:
        for e2 in L2:
            if e1 == e2:
                 tmp.append(e1)
    res = []
    for e in tmp:
        if not(e in res):
            res.append(e)
    return res
```

```
def intersect(L1, L2):
    tmp = []
    for e1 in L1:
        for e2 in L2:
            if e1 == e2:
                 tmp.append(e1)
    res = []
    for e in tmp:
        if not(e in res):
            res.append(e)
    return res
```

- First nested loop takes len(L1)\*len(L2) steps
- Second loop takes at most len(L1) steps
- Latter term
   overwhelmed by
   former term
- O(len(L1)\*len(L2))

#### **Exponential complexity**

- Recursive functions where more than one recursive call for each size of problem
  - Towers of Hanoi
  - Fibonacci series
- Many important problems are inherently exponential
  - Unfortunate, as cost can be high
  - Will lead us to consider approximate solutions more quickly

#### **Exponential Complexity**

```
def fib(N):
    if N == 1 or N == 0:
        return N
    else:
        return fib(N-1) + fib(N-2)
```

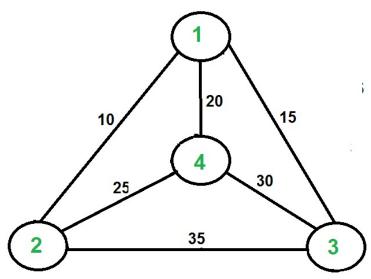
#### **Exponential Complexity**

```
def fib(N):
    if N == 1 or N == 0:
        return N
    else:
        return fib(N-1) + fib(N-2)
```

- Assuming return statement is constant time
- Recall the recursive tree
- Complexity of this function is  $O(^2n)$

#### **Factorial Complexity**

- The travelling salesperson problem.
- A salesperson has to visit n towns. Each pair of towns is joined by a route of a given length. Find the shortest possible route that visits all the towns and returns to the starting point.
  - 1. Consider city 1 as the starting and ending point.
  - 2. Generate all (n-1)! Permutations of cities.
  - 3. Calculate cost of every permutation and keep track of minimum cost permutation.
  - 4. Return the permutation with minimum cost.



#### Complexity classes

- O(1) denotes constant running time
- O(log n) denotes logarithmic running time
- O(n) denotes linear running time
- O(n log n) denotes log-linear running time
- $O(n^c)$  denotes polynomial running time (c is a constant)
- $O(c^n)$  denotes exponential running time (c is a constant being raised to a power based on size of input)
- O(n!) denotes factorial running time

#### Comparing complexities

- So, does it really matter if our code is of a particular class of complexity?
- Depends on size of problem, but for large scale problems, complexity of worst case makes a difference

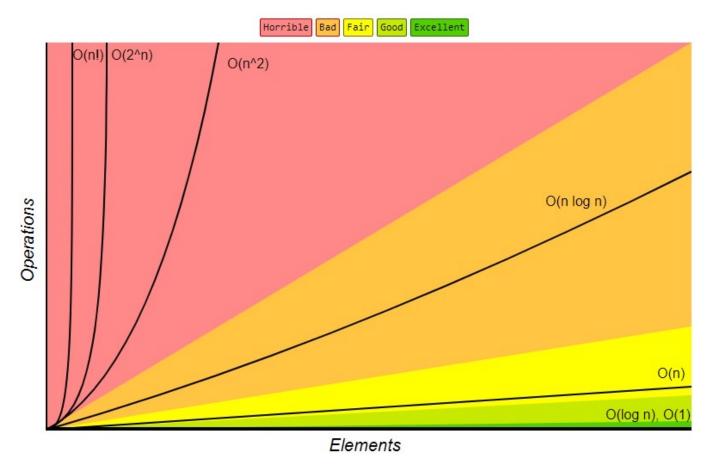
### Comparing complexities - example

- There are alternative approaches with differing algorithm comlexities for doing something on a list of n elements.
- Now you want to compare them. Assume that computer makes three billion calculations per second. Lets look for the running time of the algorithms.

Complexity	n=10	n=1000	n=10^5	n=10^10
O(logn)	< 1msec	< 1msec	< 1msec	< 1msec
O(n)	< 1msec	< 1msec	< 1msec	< 1 min
O(nlogn)	< 1msec	< 1msec	< 1 sec	< 2 min
O(n <sup>2</sup> )	< 1msec	< 1msec	< 1 min	~1000 year
O(2 <sup>n</sup> )	< 1 sec	<1000 year	<1000 year	<1000 year
O(n!)	< 1 sec	<1000 year	>1000 year	>1000 year

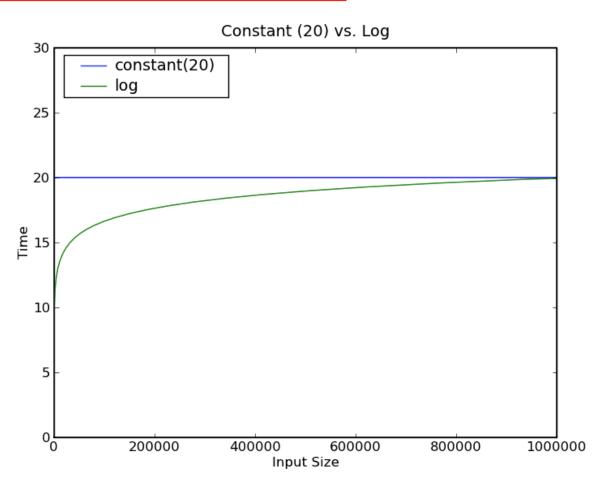
### Comparing the Complexities





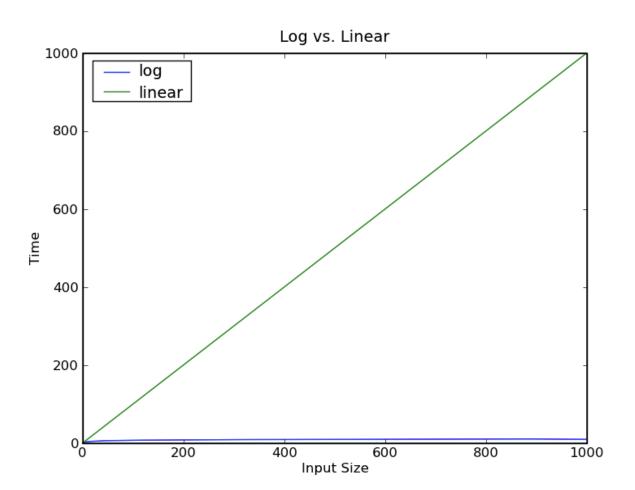
#### Constant versus Logarithmic

- A <u>logarithmic algorithm is often almost as good as a constant</u> time algorithm
- Logarithmic costs grow very slowly



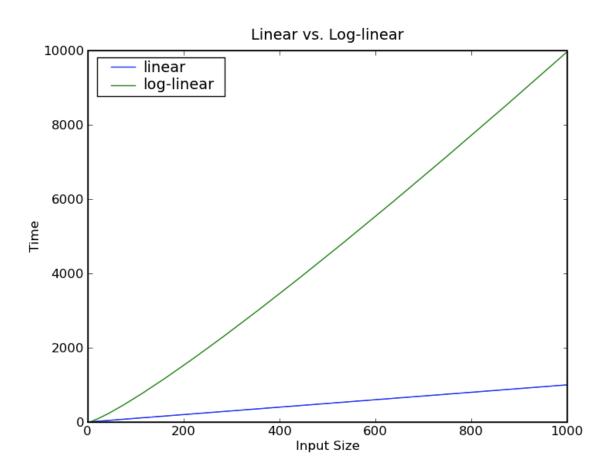
#### Logarithmic versus Linear

- Logarithmic clearly better for large scale problems than linear
- Does not imply linear is bad, however



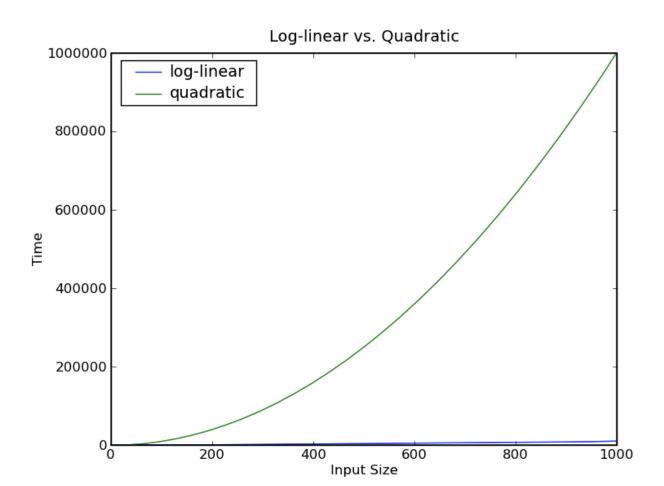
#### Linear versus Log-linear

- While log(n) may grow slowly, when multiplied by a linear factor, growth is much more rapid than pure linear
- O(n log n) algorithms are still very valuable.



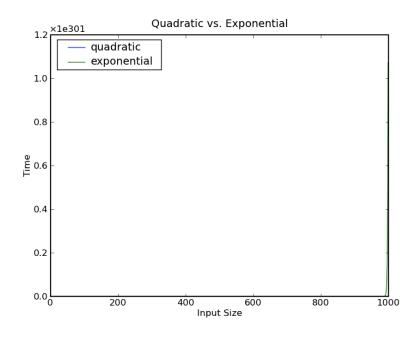
#### Log-linear versus Quadratic

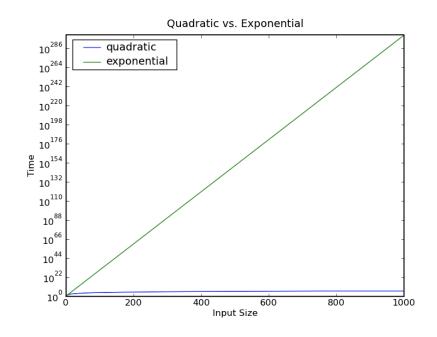
- Quadratic is often a problem, however.
- Some problems inherently quadratic but if possible always better to look for more efficient solutions



#### Quadratic versus Exponential

- Exponential algorithms very expensive
  - Right plot is on a log scale, since left plot almost invisible given how rapidly exponential grows
- Exponential generally not of use except for small problems





#### **Warning**

- Execution time and the algorithm complexity are different paradigms.
- Running time may differ even if two algorithms have the same algorithm complexity (Even when their purposes are the same).

```
def factIT(n):
    answer = 1
    while n > 0:
        return 1
        answer *= n
        n -= 1
        return n*factREC(n):
        if n == 0:
        return 1
        else:
        n return n*factREC(n-1)
    return answer
```

They have same complexity O(n). But their execution times are different.

#### Tips

- We know that, O(2<sup>n</sup>) algorithm complexity is bad.
   But, if we sure that n won't be up too high, it won't be matter.
- When we calculate the big-O, we did not care about constant factors.
  - -5n + 37 -> O(n)
- But, sometimes improving the constants does matter, e.g. in game development
  - $-5n+37 \rightarrow 5n+10$  (not worthy, but better than nothing)
  - $-5n+37 \rightarrow 3n+12$  (better)