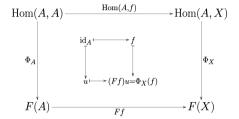
# universität freiburg



## What you needa know about Yoneda

Emma Bach (she/her) Seminar on Functional Programming and Logic, Summer Semester 2025

▶ A common sentiment in many cultures is the idea that things are defined by how they interact with their surroundings.

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- ▶ "Tell me your company, and I will tell you what you are." 1

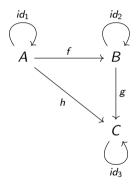
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- ▶ A common sentiment in many cultures is the idea that things are defined by how they interact with their surroundings.
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- ► The Yoneda lemma is the result of applying this way of thinking to mathematical objects in category theory.

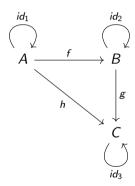
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- ▶ A common sentiment in many cultures is the idea that things are defined by how they interact with their surroundings.
- "Tell me your company, and I will tell you what you are." 1
- ► The Yoneda lemma is the result of applying this way of thinking to mathematical objects in category theory.
- As a result, a category  $\mathbb C$  is often best understood by instead studying functors from that category into  $\mathbb S et$ .

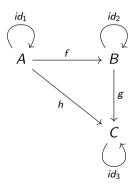
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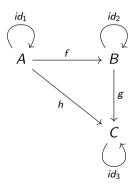
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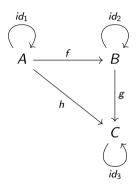
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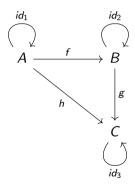
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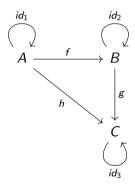
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  - ▶ for each pair of morphisms  $g \in \mathbb{C}(B, C)$ ,  $f \in \mathbb{C}(A, B)$ , a morphism  $g \circ f \in \mathbb{C}(A, C)$ , such that composition is associative.

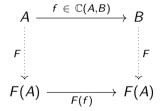


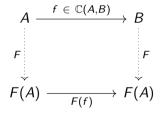
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- ▶ For every category  $\mathbb{C}$ , the *opposite category*  $\mathbb{C}^{op}$  is a category.
- For every pair of categories  $\mathbb{C}$ ,  $\mathbb{D}$ , the *product category*  $\mathbb{C} \times \mathbb{D}$  is a category.

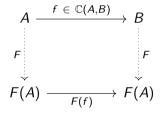
A functor  $F:\mathbb{C}\to\mathbb{D}$  is a structure-preserving map between two categories:





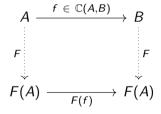
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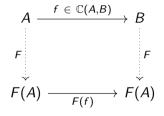
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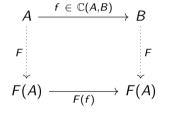
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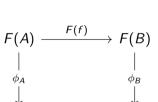
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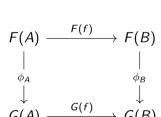
Functors from a category into itself are known as *endofunctors*.

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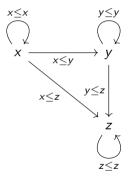
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  - A natural transformation  $\phi$  is an indexed family of morphisms for every object  $A \in |\mathbb{C}|$ ,  $\phi_A$  is a morphism from F(A) to G(A).
  - ▶ These morphisms satisfy the *naturality condition*:

$$\forall f \in \mathbb{C}(A,B) : \phi_B \circ F(f) = G(f) \circ \phi_A$$

## Exercise 1 : Order Categories

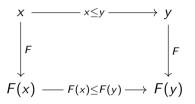
- a) Let  $\leq$  be a reflexive, transitive order (a *preorder*) on a set M. Show that if we define objects by  $|\mathbb{P}re(M, \leq)| = M$  and morphisms by  $\exists ! f_{x \leq y} \in \mathbb{P}re(x, y) \Leftrightarrow x \leq y$ , then  $\mathbb{P}re(M, \leq)$  forms a category.
- b) Let  $F : \mathbb{M} \to \mathbb{M}$  be an endofunctor on  $\mathbb{M}$ . Show that F defines a monotonic function  $M \to M$ , i.e.  $\forall x, y : x \le y \implies F(x) \le F(y)$ .
- c) Let  $F, G: M \to M$  be monotonic functions. Let  $\phi$  be a natural transformation  $F \to G$ . Show that  $\forall x \in M : F(x) \leq G(x)$ .

# Exercise 1 : Order Categories, Solution a)



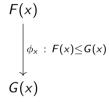
- ► ≤ is reflexive, so we have  $\forall x : x \le x \implies \exists id_{x \le x} \in \mathbb{P}re(x, x).$
- ▶ Because of transitivity, for every pair of morphisms  $f_{x \le y}$  and  $g_{y \le z}$ , we have a composed morphism  $(g \circ f)_{x \le z}$ .
- Since our morphisms are just witnesses of an ordering, they dont care about the order of function application, so composition is associative.

# Exercise 1 : Order Categories, Solution b)



▶ By the definition of functors, *F* must take each morphism  $f_{x \le y} \in \mathbb{P}re(x, y)$ to a morphism  $F(f)_{F(x) \leq F(y)} \in \mathbb{P}re(F(x), F(y)).$ 

# Exercise 1: Order Categories, Solution c)



▶ By the definition of natural transformations, for every object x,  $\phi_x$  is a morphism  $F(x) \to G(x)$ . If such a morphism exists, we have  $F(x) \le G(x)$ .

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- ► This is the free theorem we got for a parametrically polymorphic function r ::
  [X] -> [X] and an arbitrary function a : A -> B.
- $\triangleright$  This free theorem is equivalent to the statement that r is a natural transformation.

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So our free theorem is a proof that any parametrically polymorphic function r is a natural transformation!

### Naturality from Polymorphism

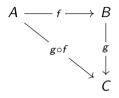
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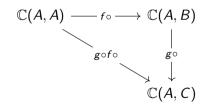
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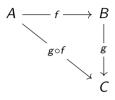
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- So our free theorem is a proof that any parametrically polymorphic function r is a natural transformation!
- It turns out that parametrically polymorphic functions correspond exactly to natural transformations between endofunctors  $\mathbb{H}ask \to \mathbb{H}ask$ .



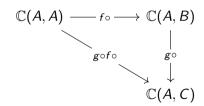
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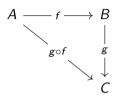




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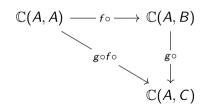
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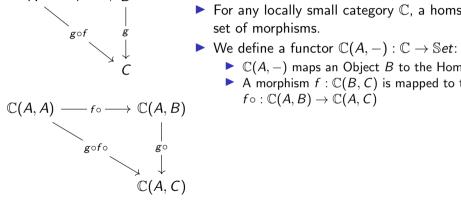




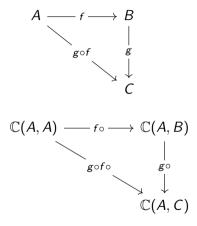
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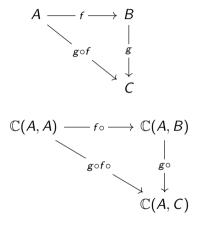


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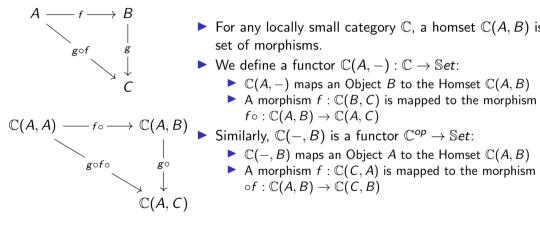


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### **Functor Categories**

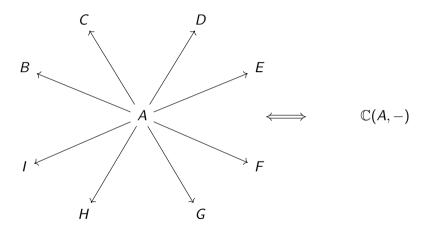
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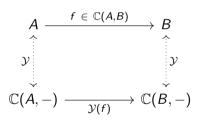
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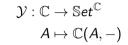
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- ightharpoonup This category is known as a functor category and denoted  $\mathbb{D}^{\mathbb{C}}$ .
- ▶ A morphism  $\phi \in \mathbb{D}^{\mathbb{C}}(F, G)$  is a natural transformation  $F \to G$ .

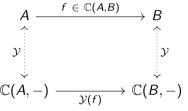




$$\mathcal{Y}:\mathbb{C} o \mathbb{S}et^\mathbb{C} \ A\mapsto \mathbb{C}(A,-)$$

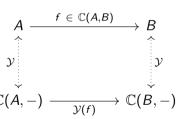
Formally, we want a bijective functor





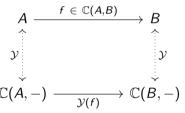
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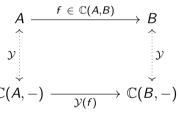
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- ▶ Given  $f \in \mathbb{C}(A, B)$ ,  $\mathcal{Y}(f)$  has to be a morphism between  $\mathbb{C}(A, -)$  and  $\mathbb{C}(B, -)$  in the functor category  $\mathbb{S}et^{\mathbb{C}}$ .

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$$\mathcal{Y}:\mathbb{C} o\mathbb{S}et^\mathbb{C}\ A\mapsto\mathbb{C}(A,-)$$



- $\triangleright$  We call  $\mathcal{Y}$  the Yoneda embedding.
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- Furthermore, this isomorphism is a natural transformation.
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- Vice versa, if we know all natural transformations  $Nat(\mathbb{C}(A, -), F)$ , we can construct the set F(A).

$$A \xrightarrow{f} B$$

 $f \longrightarrow B$  Let  $\phi \in \text{Nat}(\mathbb{C}(A, -), F)$ . Since  $\phi$  is natural transformation, we have

$$\mathbb{C}(A,A) \xrightarrow{\mathbb{C}(A,f)=f \circ} \mathbb{C}(A,B)$$

$$\downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$F(A) \xrightarrow{F(f)} F(B)$$

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▶ Remember that these functors are  $\mathbb{C} \to \mathbb{S}et$ .

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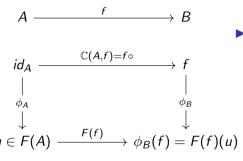
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- Remember that these functors are C → Set.
  This means our morphisms are just regular set functions.



► If we apply these functions to the identity morphism  $id_A$ , we get:

$$\phi_B(f \circ id_A) = F(f)(\phi_A(id_A))$$
  
$$\phi_B(f) = F(f)(\phi_A(id_A)) := F(f)(u)$$

### Application: Cayley's Theorem

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## Application: Cayley's Theorem

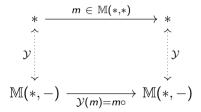
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  - ▶ The other side sends a permutation f to the element f(e)
- ▶ The Yoneda lemma is often viewed as a generalization of Cayley's theorem.

Use the Yoneda embedding to show that every monoid M is isomorphic to a monoid of functions  $M \to M$ .

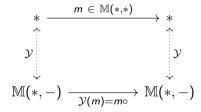
**Hint 1:** The Yoneda embedding gives an isomorphism between objects and their homfunctors.

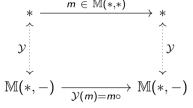
**Hint 2:** Two weeks ago we saw that every monoid M defines a category  $\mathbb{M}$  with a single object \* and a morphism m for each element  $m \in M$ , where we define morphism composition to be the monoid operation.

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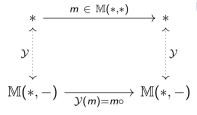




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- ▶ Each element  $m \in M$  is a morphism. By the definition of the homfunctor, this morphism is mapped to the set function

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▶ Thus, the Yoneda embedding on M is an isomorphism between monoid objects and a set of functions  $M \rightarrow M$ . These functions form a monoid under composition.

### **Profunctor Optics**

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- Profunctor optics are neat and flexible representations of optics as individual polymorphic function.
- In particular, profunctor optics make composition of optics trivial.
- ► Equivalence between optics and their profunctor representations comes down to the Yoneda lemma.

data Adapter a b s t = Adapter  $\{ \text{ from } :: s \rightarrow a, \text{ to } :: b \rightarrow t \}$ 

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- ▶ This lets us view the category  $\mathbb{H}$ ask $^{op} \times \mathbb{H}$ ask as the category  $\mathbb{A}$ da of adapters.

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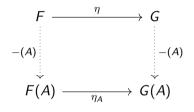
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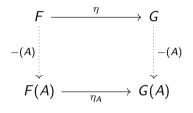
▶ We define the category  $\mathbb{P}rof$  of Profunctors on Haskell types to be the functor category  $\mathbb{S}et^{(\mathbb{H}ask^{op}\times\mathbb{H}ask)}=\mathbb{S}et^{\mathbb{A}da}$ 

# Functor Application as a Functor



▶ Given a category  $\mathbb{C}$ , the operation of applying a functor  $F: \mathbb{C} \to \mathbb{S}et$  to an object  $A \in |\mathbb{C}|$  is itself a functor from  $\mathbb{S}et^{\mathbb{C}}$  to  $\mathbb{C}$ .

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- ▶ We write -(A) for this functor.

▶ The profunctor representation of an adapter is given by:

type AdapterP a b s t = forall p. Profunctor 
$$p \rightarrow p$$
 a b  $\rightarrow p$  s t

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Adapter $P_p$ 
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- ▶ Specifically, the homsets in  $\mathbb{A}$ *daP* are:

$$\mathbb{A}daP((A,B),(S,T)) = \mathbb{S}et^{\mathbb{P}rof}(-(A,B),-(S,T))$$

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- Equivalence of adapters and profunctor adapters can be shown by applying the Yoneda embedding twice.
- Similar techniques can be used to show the equivalence of any optic and its profunctor representation.