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Auditory mismatch negativity in bipolar disorder: a focused review

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Abstract: The auditory mismatch negativity, a component of the event-related potential elicited by an unexpected stimulus in a sequence of acoustic stimuli, provides an objective measure of the accuracy of the echoic information processing of the human brain in vivo. Auditory mismatch negativity is also a useful probe of cortical glutamatergic Nmethyl-D-aspartate receptor activity and disturbance. Notably, auditory mismatch negativity is consistently impaired in schizophrenia. Because of the wide spectrum extending from bipolar affective illness and schizoaffective psychosis to typical schizophrenia, we examined the literature on auditory mismatch negativity in bipolar disorder with the aim to find any neurophysiological dysfunction concerning pre-attentive information processing shared by these clinical conditions. This focused review includes 26 original articles published in peer-reviewed journals and indexed in the National Institutes of Health National Library of Medicine (PubMed) search system. Overall, evidence is consistent with the finding that auditory mismatch negativity is impaired in bipolar disorder with psychotic features, even though to a lesser extent than in schizophrenia. It must be acknowledged that, in a few twin and family studies, mismatch negativity abnormalities were not specifically associated with bipolar disorder. In conclusion, auditory mismatch negativity research supports the involvement of the N-methyl-D-aspartate system in the pathophysiology of bipolar disorder, as previously assessed for schizophrenia, thus creating an intriguing trait d'union between these two mental illnesses and stimulating the development of novel

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therapeutic agents. With additional replication and validation, auditory mismatch negativity may be further considered as a correlate of a common psychopathology of schizophrenia and bipolar spectrum illnesses.

Keywords: glutamate receptor; neurophysiology; preattentive processing; psychosis; translational neuroscience.

Introduction

Bipolar disorder (BD) is a chronic psychiatric illness characterized by changes in mood with recurring episodes of mania, hypomania, depression, and mixed symptoms (Carvalho et al. 2020; Grande et al. 2016; Sekhon and Gupta 2020). BD is one of the main causes of disability in young people, leading to cognitive and functional impairment and increased mortality, particularly by suicide (Carvalho et al. 2020; Grande et al. 2016; Schaffer et al. 2015; Sekhon and Gupta 2020). BD has a lifetime global prevalence ranging from 1 to 3% (Demyttenaere et al. 2004; Moreira et al. 2017), that increases up to 6.4% when sub-threshold cases are included (Judd and Akiskal 2003). Symptoms usually begin at the age of 20 years, being an earlier onset associated with a worse prognosis (Carvalho et al. 2020).

The causes of BD remain unclear; no biomarker has been approved yet for the diagnosis and clinical criteria endure (Vieta and Phillips 2007). Genetic influences are believed to account for 73–93% of the risk of developing BD, indicating a strong hereditary component (Barnett and Smoller 2009). Environmental factors also play a significant role, and individual psychosocial variables may interact with the genetic predisposition (Musci et al. 2019). Less commonly, a bipolar-like disorder may occur because of, or in association with, stroke, traumatic brain injury, human immunodeficiency virus infection, multiple sclerosis, porphyry, temporal lobe epilepsy, or iatrogenic causes (e.g., inappropriate levodopa–carbidopa intake) (Murray et al. 2012; Sablaban and Sivananthan 2019).

Psychotic manifestations often occur in the course of BD, both in the manic and in the depressive phase (Carvalho et al. 2020; Grande et al. 2016; Sekhon and Gupta 2020). Schizophrenia and BD overlap regarding genetic risk, and neuropsychological, clinical, and both structural

and functional brain changes (Hill et al. 2013; Ivleva et al. 2012; Li et al. 2018; Stahl et al. 2019; Tamminga et al. 2013). In particular, previous studies point out a considerable overlap in genetic susceptibility to schizophrenia and BD. although the influence of early environmental effects is well established in schizophrenia and, to a lesser extent, also in BD (Walker et al. 2002). Structural brain abnormalities of developmental origin, and neuropsychological deficits, have been identified in both schizophrenia and BD. The most plausible explanation is that one or more susceptible genes are shared between schizophrenia and bipolar illness, thus predisposing individuals to psychosis, perhaps by producing a dysregulation of the dopaminergic response to stress (Walker et al. 2002). Additionally, some symptoms, psychosocial functioning, and familial lineage overlap across schizophrenia, schizoaffective disorder, and BD (Tamminga et al. 2013), and all these patients share cognitive deficits (Hill et al. 2013) and similar white and gray matter microstructural alterations, although with some differences (Koshiyama et al. 2020; Maggioni et al. 2016), suggesting that these disorders may exhibit both specific and common features (Maggioni et al. 2016). Accordingly, part of the literature seems to converge on the hypothesis of a continuum extending from BD and bipolar illness with psychotic features to schizoaffective psychosis, up to typical schizophrenia (Kuhne et al. 1988; Tamminga et al. 2013).

In this context, the auditory mismatch negativity (MMN) is a promising tool in psychosis research (Naatanen et al. 2011; Umbricht and Krljes 2005). Auditory MMN (Figure 1) is a component of the event-related potential (ERP) evoked by an unexpected stimulus (deviant) occurring within a sequence of invariant acoustic stimuli (standard), elicited by the divergence between the neuronal model of the physical features of the standard stimulus and the deviant stimulus (Naatanen et al. 1978, 1993). It provides an objective measure of the accuracy of the echoic information processing of the intact human brain or of a dysfunctional brain in vivo (Naatanen et al. 2011). Normative data at Fz for the auditory MMN in healthy young adults are 180.5 \pm 33.84 ms for latency and $-3.2 \pm 1.60 \,\mu\text{V}$ for amplitude (Raggi et al. 2013). In addition to the bilateral sources of MMN, located near the primary auditory cortex and predominantly activated in the hemisphere contralaterally to the ear of stimulation, there is also a frontal generator, mainly involving the right hemisphere (Giard et al. 1990; Naatanen and Michie 1979). There seems to be a small delay in the frontal activation compared to that of the auditory cortex (Rinne et al. 2000), supporting the hypothesis that detection of the signal change generated by the auditory cortex may induce the frontal addressing mechanism of attention (Näätänen 1990).

MMN is more evident when the subject ignores the stimuli (Paavilainen et al. 1993) and, therefore, it can be administered, for instance, whereas the participant is watching a video (Raggi et al. 2013). The auditory MMN can occur in response to deviance in pitch, intensity, or duration (Baldeweg et al. 1999; Bardslev et al. 2014), and its elicitation depends on unconscious processes. Therefore, a very small difference in frequency between sinusoidal pure acoustic tones, such as 1,000 Hz for the standard stimuli and 1,020 Hz, 1,050 Hz, or 1,100 Hz for deviant stimuli, are used in common paradigms of clinical settings (Bardsley et al. 2014). To quantify the MMN, which is covered by the brain electrical activity, the evoked response to the standard tone can be subtracted from the corresponding response to the deviant stimulus (Ferri et al. 2003), being this usually more evident on the frontal sites and on the mastoids because of the inversion of the dipole (Alho et al. 1986; Lang et al. 1995).

Auditory MMN is ideal to address whether an impairment of the working memory may be because of premature trace decay using paradigms with a different inter-stimulus interval between tones. In this perspective, there are reports in physiological aging (Raggi et al. 2013), in some neurodegenerative disorders (such as Alzheimer's dementia and Parkinson's diseases) (Pekkonen 2000), and in schizophrenia (Javitt et al. 1998; Umbricht and Krljes 2005). In particular, in schizophrenia MMN is considered as a highly reproducible neurophysiological marker (Javitt 2000; Umbricht et al. 2006) and has shown to follow a progressive course, with reduced MMN amplitude associated with a loss of gray matter in the left superior temporal gyrus (Salisbury et al. 2007). Moreover, MMN deficits index a cortical N-methyl-D-aspartate (NMDA) receptor dysfunction, mostly affecting the memory-trace formation and hence cognition (Gene-Cos et al. 1999; Javitt et al. 1996).

An extensive literature review explains the characteristics of other ERPs (e.g., P3a), some of which have been studied in association with MMN in BD (de Tommaso et al. 2020). ERP components are not seen in raw electroencephalogram (Kavasidis et al. 2012), being detected with averaging (Dawson 1954). The P3a ERP component is a positive scalp-recorded brain potential with a maximum amplitude over the frontal/central electrode sites and a peak latency within the 250–280 ms post-stimulus (Comerchero and Polich 1999). The P3a has been associated with activity related to the engagement of attention (especially orienting and involuntary shifts to changes in the environment) (Friedman et al. 2001) and the processing of novelty (Polich 2007), and the NMDA receptor system is thought to modulate it (Watson et al. 2009). Similar to MMN, P3a is a strongly automatic process (Grillon et al.

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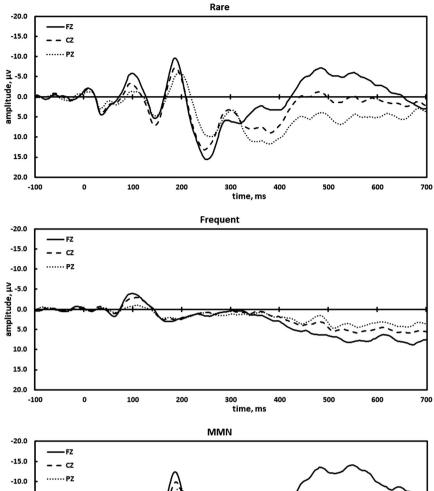


Figure 1: Mismatch negativity (MMN). Example of the response obtained from the midline scalp location Fz, in a healthy subject, after the delivery of deviant or rare (upper panel) and standard or frequent stimuli (middle panel). The difference (deviant-standard) between the two waveforms in the time window of 140-260 ms after stimulus delivery during a passive task condition represents the MMN (bottom panel).

1990) and has been found to be impaired in schizophrenia (Jahshan et al. 2012a; Koshiyama et al. 2020).

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The key consideration of our analysis is that psychotic manifestations often occur in the course of BD (Carvalho et al. 2020; Grande et al. 2016; Sekhon and Gupta 2020) and that, as stated, schizophrenia and BD overlap with respect to different domains (Hill et al. 2013; Ivleva et al. 2012; Li et al. 2018; Stahl et al. 2019; Tamminga et al. 2013). Finding alterations in auditory MMN, which has an established usefulness in schizophrenia research (de Tommaso et al. 2020; Gene-Cos et al. 1999; Javitt 2000; Javitt et al. 1998; Salisbury et al. 2007; Umbricht et al. 2006; Umbricht and Krljes 2005), may suggest a potential neurophysiological

marker also in BD with psychosis (Kuhne et al. 1988). Here, we examined the literature on auditory MMN in BD with the aim to find any neurophysiological change concerning preattentive information processing shared by these clinical conditions.

Methods

600

700

500

time, ms

This review focused on all the original articles published in peerreviewed journals and identified using the National Institutes of Health National Library of Medicine (PubMed) literature search system. Search terms included "bipolar disorder AND mismatch negativity".

Non-English articles, book chapters, monographs, commentaries, review articles, case studies, dissertations, abstracts, letters to the Editor, and preprints were excluded. Inclusion criteria required that the original research articles included the auditory MMN measure. Titles and abstracts of the retrieved studies were independently reviewed by two authors and faced with inclusion and exclusion criteria. Each full-text article was examined if there were any aspect of the abstracts that was unclear and for the final article selection.

Results

The PubMed-based search produced a total of 39 articles. Seven of them were excluded because they were review papers not dealing with the topic of interest. Six additional articles were excluded because of the following reasons: one because it was about visual MMN; two were not relevant to the topic; three did not include the auditory MMN measure, although focused on the initial stages of information processing.

Therefore, we eventually included 26 studies investigating auditory MMN in BD patients (Andersson et al. 2008; Baldeweg and Hirsch 2015; Braeutigam et al. 2018; Catts et al. 1995; Chitty et al. 2014, 2015a–c; Domjan et al. 2012; Donaldson et al. 2020; Hall et al. 2007, 2009; Jahshan et al. 2012b; Kaur et al. 2011, 2012a,b, 2013, 2019, Kim et al. 2019a,b, 2020; Ogura et al. 1993; Paris et al. 2018; Salisbury et al. 2007; Shimano et al. 2014; Takei et al. 2010), which are listed in Table 1. The main results are summarized in the following paragraphs.

Studies reporting changes in MMN amplitude

Thirteen studies (Andersson et al. 2008; Donaldson et al. 2020; Jahshan et al. 2012b; Kaur et al. 2011, 2012a,b, 2013, Kim et al. 2019a,b, 2020; Ogura et al. 1993; Shimano et al. 2014; Takei et al. 2010) reported an MMN amplitude reduction in BD, suggesting a deficit in pre-attentive auditory processing (Table 1). Among them, four studies observed this reduced MMN amplitude in BD patients compared to healthy controls (Andersson et al. 2008; Ogura et al. 1993; Shimano et al. 2014; Takei et al. 2010), whereas in the other nine studies BD patients were compared to patients with other psychiatric disorders, namely: schizophrenia (Donaldson et al. 2020; Jahshan et al. 2012b; Kaur et al. 2011, 2012a,b, 2013, Kim et al. 2019a,b), schizophrenia-spectrum disorders (Donaldson et al. 2020; Kaur et al. 2011, 2012a), major depressive disorder (Donaldson et al. 2020; Kaur et al. 2011, 2012b; Kim et al. 2020), or other psychotic disorders (Donaldson et al. 2020). None of these studies found

significant differences in MMN amplitude between BD patients and those with other psychiatric disorders. However, except for one study (Donaldson et al. 2020), the other eight found that the reduction was less severe in BD than in schizophrenia (Jahshan et al. 2012b; Kaur et al. 2011, 2012a,b, 2013, Kim et al. 2019a,b, 2020).

Significant correlations were detected between functional outcomes and MMN source activity from the left anterior cingulate cortex, inferior frontal gyrus, and middle frontal gyrus, that was increased in BD compared to major depressive disorder (Kim et al. 2020). Young patients with the most impaired MMN amplitude at baseline showed the most severe levels of disability at follow-up (average of 19 ± 5 months after baseline assessment) (Kaur et al. 2013).

Studies of MMN latency abnormalities

Four articles report an MMN latency prolongation in BD (Andersson et al. 2008; Domjan et al. 2012; Paris et al. 2018; Takei et al. 2010). A magnetoencephalography (MEG) investigation suggested that information processing at the pre-attentive level was impaired in patients with BD, irrespective of clinical symptoms (Takei et al. 2010), whereas increased latency was not influenced by mood variation in another study (Andersson et al. 2008). A delayed MMN latency for the emotional speech processing suggests that vocal emotional cues may not be recognized as salient by BD patients, with possible consequences on social interactions (Paris et al. 2018). Prolonged pitch deviant MMN latency was found in BD with psychotic features (Domjan et al. 2012).

Bipolar twin and family studies

In two articles by the same research group and with very similar samples of monozygotic BD twin pairs (Hall et al. 2007, 2009), unlike P50 suppression ratio (Hall et al. 2007), MMN was not associated with BD. This makes it impossible to define this component of the evoked potential as an endophenotype of psychotic BD.

NMDA and MMN

As mentioned, MMN impairment is viewed as an index of cortical NMDA receptor dysfunction affecting cognition in schizophrenia (Javitt et al. 1996). Interesting findings also come from five studies in BD (Chitty et al. 2014; Chitty et al. 2015a, b, c; Kaur et al. 2019). Abnormal association between hippocampal *in vivo* glutathione concentration,

Table 1: Summary of the relevant data found in bipolar disorder and mismatch negativity component.

| Reference | Groups (n) | BD age, years | BD MMN amplitude at Fz (μV) | HC MMN amplitude (μV) at Fz | BD MMN latency (ms) at Fz | HC MMN latency (ms) at Fz | Main findings and significance |
|--------------------------------|---|--------------------------|--|--|---|--|--|
| Andersson et al. 2008 | 25 BD II, 28 HC | 36.3 ± 13.1 | ~3 | ~4 | 181 ± 16.96 | 172 ± 13.77 | Data may be related to a dysfunction of the frontal-temporal circuitry underlying |
| Baldeweg and Hirsch 2015 | 25 BD, 49 SPR, 15 AD, 49 HC | 38.1 ± 10.3 | -2.5 ± 1.4 (duration) -3.5 ± 1.5 (frequency) | -2.7 ± 1.4 (duration) -3.3 ± 1.7 (frequency) | N/A | N/A | MMN impairment in SPR, which was not found in BD |
| Braeutigam et al. 2018 | 16 BD with psychotic features, 16 SPR, 14 HC | 16.8 ± 1.1 | ~1 | 8.0~ | ~160 | ~180 | Dissociable patterns of MMN response in adolescents, suggesting developmentally sensitive, but separate markers for SPR and RD |
| Catts et al. 1995 | 11 BD, 22 SPR, 11 HC | 36.0, SD N/A (21-53) | -3.23 ± 1.76 (short deviant) -2.46 ± 1.25 (long deviant) | -3.49 ± 1.40 (short deviant) -2.88 ± 2.00 (long deviant) | N/A | N/A | Findings that implicate the auditory cortex in the pathophysiology of SPR but not of BD |
| Chitty et al. 2014 | 42 BD, 34 HC | Mean ± SD N/A (16–30) | -4.86 ± 2.2 (BD with low-risk alcohol use) -4.31 ± 2.5 (BD with high-risk alcohol use) | | N/A | N/A | MMN findings suggesting an additive effect of alcohol on an altered NMDA/ glutaminergic system in BD |
| Chitty et al. 2015 | 33 ВD, 23 НС | 23.3 ± 3.5 | -4.21 ± 1.8 | -5.62 ± 2.3 | N/A | N/A | Evidence supporting the role of hippo- campal glutamate signaling through the |
| Chitty et al. 2015 | 28 BD, 22 HC | 23.4 ± 3.5 | 2.15 ± 1.3 (at M1) | 2.79 ± 1.5 (at M1) | N/A | N/A | Abnormal association in BD between hippocampal <i>in vivo</i> glutathione concentration and temporal MMN, providing insight into the involvement of hippocampal NMDA in BD |
| Chitty et al. 2015 | 46 BD (27 returned for followup \sim 18 months later the baseline assessment) | 22.2 ± 3.5, (16–30) | -5.52 ± 2.5 (baseline) -5.30 ± 2.5 (follow-up) | N/A | N/A | N/A | Risky alcohol use in BD may further compound pre-existing NMDA receptor abnormalities; reducing alcohol use in the early stages of illness is associated with changes in MMN |
| Domján et al. 2012 | 20 BD I with psychotic features, 20 SPR, 21 HC | 42.9 ± 9.6 | -4.1 ± 4.12 (at Cz, value at Fz N/A) | -6.94 ± 3.04 (at Cz, value at Fz N/A) | 186.99 ± 18.85 (at Cz, value at Fz N/A) | 163.88 ± 18.5 (at Cz, value at Fz N/A) | Prolonged pitch deviant MMN latency was found to be characteristic of BD |

Table 1: (continued)

| Reference | Groups (n) | BD age, years | BD MMN amplitude at Fz (μV) | HC MMN amplitude (μV) at Fz | BD MMN latency (ms) at Fz | HC MMN latency (ms) at Fz | Main findings and significance |
|--------------------------|---|---|--|---|---|------------------------------|--|
| Donaldson et al. 2020 | 75 BD + MDD, 116 SPR + SD, 25 OPD, 248 NPD | 42 ± 56.0 | N/A | N/A | N/A | N/A | Associations of MMN with psychotic symptoms and functioning across |
| Hall et al. 2007 | 10 discordant MZ BD twin pairs, 6 concordant MZ BD twin pairs, 78 HC twin pairs | 41.8 ± 13.7 (discordant for BD) 40.3 ± 14.5 concordant for BD) | -3.30 ± 1.01 (discordant for BD) -2.98 ± 2.00 (concordant for BD) | -3.38 ± 1.46 | 353.80 ± 26.20 (discordant for BD) 378.17 ± 39.72 (concordant for BD) | 347.49 ± 31.55 | Described to the control of the cont |
| Hall et al. 2009 | 10 discordant MZ BD twin pairs, six concordant MZ BD twin pairs, six concordant MZ BD twin pairs, 76 HC twin pairs | 42.34 ± 11.7 | -2.97 ± 1.36 | -3.29 ± 1.42 | N/A | N/A | MMN was not associated with the illness |
| Jahshan et al. 2012 | 52 BD (7 BD I with psychotic features), 45.2 ± 30 SPR, 27 HC | 45.2 ± 9.8 | -1.35 ± 0.87 | -2.12 ± 1.22 -1.27 ± 0.81 (BD l) -1.49 ± 0.98 (BD ll) | ~200 | ~200 | Deficit in pre-attentive auditory pro- cessing in BD detected by means of the MMN and the P3a that are less severe than those seen in SPR |
| Kaur et al. 2011 | 17 FEP affective-spectrum (BD with psychotic features and MDD with psychotic features), 18 FEP SPR-spectrum (SPR, schizoaffective disorder, schizophreniform disorder), 18 HC | 22.8 ± 4.6 (17–36) | -4.4 ± 2.1 | -6.4±1.9 | N/A | ∀ /Ζ | FEP SPR and FEP affective-spectrum showed reduced frontal-central MMN and central P3a amplitudes compared to HC; FEP subgroups showed poorer cognitive and psychosocial functioning; the combined FEP sample showed significant correlations between frontal-central MMN amplitudes and cognitive measures |
| Kaur et al. 2012 | 26 BD (10 with psychotic features; 25% GI, 35% + FMNN, 41% + TMMN), 42 SPR-spectrum (55 GI, 35% + FMMN, 41% + TMMN), 19 MDD (21% GI, 29% + FMMN, 18% + TMMN), 27 HC | Mean ± SD N/A, (16–30) | N/A | -5.9 ± 2.1 -3.6 ± 1.6 (Gl) -7.1 ± 1.0 (+FMMN) -3.6 ± 2.2 (+TMMN) | N/A | N/A | SPR-spectrum patients tended to show the most global impairments in the neurophysiological complex including MMN |
| Kaur et al. 2012 | ctive-spectrum (BD I and BD II without psychotic features), t-spectrum (SPR, schizo- re disorder, schizophreniform er), 20 HC | 21.0 ± 4.1 (15–30) | -4.3 ± 1.9 | -6.3 ± 2.1 | N/A | A/A | Both patient groups showed signifi- cantly reduced frontal-central MMN and central P3a amplitudes, performed worse than controls across psycho- social and clinical measures, thus con- firming previous findings from the same research group |

Table 1: (continued)

| Reference | Groups (n) | BD age, years | BD MMN amplitude at Fz (μV) | HC MMN amplitude (μV) at Fz | BD MMN latency (ms) at Fz | HC MMN latency (ms) at Fz | Main findings and significance |
|------------------------|---|---------------------------|--|--|---------------------------------|--------------------------------|---|
| Kaur et al. 2013 | 33 affective-spectrum (BD and MDD with or without psychotic features), 27 SPR-spectrum (SPR, schizo-affective disorder, schizophreniform disorder), 30 HC | Mean ± SD N/A, (16–30) | -4.3 ± 2.3 2.2 ± 1.4 (M 1) 2.3 ± 1.1 (M 2) | -5.2 ± 2.3 2.8 ± 1.3 (M 1) 2.7 ± 1.3 (M 2) | N/A | N/A | Young patients with the most impaired MMN amplitudes at baseline showed the most severe level of disability at followup |
| Kaur et al. 2019 | 47 BD (37 with psychotic features), 13 SPR, lack of a HC group | 23.5 ± 4.2 (16–33) | -4.7 ± 1.9 | N/A | N/A | N/A | Proton magnetic resonance spectroscopy and ERPs provides <i>in vivo</i> evidence that glutamatergic processes may underlie MMN generation in early-stage SPR but not in the early-stage BD, suggesting differences in the MMN mechanism in these grouns. |
| Kim et al. 2019a, b | 37 BD, 38 SPR, 32 HC | 41.00 ± 13.06 (20–63) | -1.95 ± 1.25 | -2.73 ± 1.53 | N/A | N/A | Moderate impairment of pre-attentive processing in BD exhibited intermediate MMN amplitude among SPR and HC groups; average MMN significantly correlated with the cortical thickness of the left anterior cingulate cortex and the right superior formoral events |
| Kim et al. 2019a, b | 30 BD, 27 SPR, 25 HC | 39.70 ± 12.61 (20–63) | -2.12 ± 1.38 | -3.33 ± 1.76 | N/A | N/A | Moderate impairment of pre-attentive processing in fact BD sufferers exhibited intermediate MMN amplitude among SPR and HC erouns. |
| Kim et al. 2020 | 29 BD, 27 MDD, 33 HC | 33.86 ± 14.71 | -2.72 ± 0.97 | -3.81 ± 1.57 | ~220 | ~210 | Deficient pre-attentive processing in BD; a significant correlation between functional outcomes and MMN activity, that seems to be a candidate evaluation tool for functional outcomes in mood |
| Ogura et al. 1993 | 8 BD, 12 DSE, 16 DRE, 36 HC | 36.5 ± 5.8 (18–65) | -0.2 ± 2.8 | -1.5 ± 2.3 | N/A | N/A | The MMN latency of the two groups did not differ, whereas the MMN of patients was reduced in amplitude; thus, these subjects may have an alteration in the fully automatic cerebral mismatch |
| Paris et al. 2018 | 14 BD, 14 HC | 32.77 ± 6.92 | -3.05 ± 1.85 (Happy Vocal) | -2.33 ± 0.87 (Happy Vocal) | 170.62 ± 29.17 (Happy vocal) | 131.51 ± 22.2 (Happy vocal) | Delayed MMN latency for emotional speech processing suggesting vocal |

Table 1: (continued)

| Reference | Groups (n) | BD age, years | BD MMN amplitude at Fz (μV) | HC MMN amplitude (μV) at Fz | BD MMN latency (ms) at Fz | HC MMN latency (ms) at Fz | HC MMN latency Main findings and significance (ms) at Fz |
|--------------------------|---|------------------------|--|---|--------------------------------------|--------------------------------------|--|
| Salisbury et al. 2007 | 17 BD with psychotic features (13 retested), 16 SPR, 20 HG | 21.8 ± 5.0 | -2.94 \pm 1.65 (Sad Vocal) -3.04 \pm 1.20 (time 1) -3.08 \pm 0.93 (time 2) | -2.18 ± 1.32 (Sad Vocal) -4.09 ± 1.86 (time 1) -4.47 ± 1.44 (time 2) | 194.47 ± 18.50 (Sad Vocal) N/A | 163.92 ± 39.23 (Sad vocal) N/A | emotional cues may not be recognized as salient by subjects with BD SPR patients, who initially showed normal mean MMN, at longitudinal retesting showed a significant reduction in MMN, suggesting a post-onset reduction (imaging and MMN amplitude) |
| Shimano et al. 2014 | 22 BD, 22 HC | 39.60 ± 10.90 (21–60) | ~14 | ~19 | ~150 | ~140 | BD may exhibit pre-attentive auditory dysfunction indexed by reduced pitch magnetic MMN responses. Pitch magnetic MMN could be a trait marker reflecting global BD caverity. |
| Takei et al. 2010 | 10 BD, 20 HC | 45.5 ± 11.4 (29–64) | N/A (attenuated) N/A | N/A | N/A (prolonged) | N/A | MEG investigation suggesting that information processing at the preattentive level is impaired in BD, irrespective of the clinical symptoms |

Data are shown as mean ± standard deviation. AD, Alzheimer's disease; BD, bipolar disorder; DRE, depression with recurrent episodes; DSE, depression with a single episode; ERP, event-related potential; FEP, first-episode psychosis; GI, globally impaired; HC, healthy control; MDD, major depressive disorder; MMN, mismatch negativity; MZ, monozygotic; NPD, no psychotic disorder; MEG, magnetoencephalography; MRI, magnetic resonance imaging; NMDA, N-methyl-o-aspartate; OPD, other psychotic disorder; SD, schizoaffective disorder; SPR, schizophrenia; +MMN, largest frontal mismatch negativity; +TMMN, largest temporal mismatch negativity. All missing data in the cells were not available. measured via proton magnetic resonance spectroscopy, and temporal MMN provides insights into the hippocampal NMDA disturbance implicated in BD (Chitty et al. 2015a, b). Moreover, NMDA receptors are inhibited by acute ethanol administration and undergo brain regionspecific adaptations after chronic alcohol exposure (Siggins et al. 2003; Zamudio et al. 2020). This might imply the increased likelihood of alcohol-related disorder in BD compared to the general population (Di Florio et al. 2014). MMN findings also suggest an additive effect of alcohol on the altered NMDA/glutaminergic system in BD (Chitty et al. 2014).

A survey aimed to examine the NMDA receptor activity in BD adolescents and young adults in relation to changes in alcohol use (Chitty et al. 2015c). The authors found that a reduction in risky drinking patterns was associated with increased temporal MMN and decreased frontal-central MMN, whereas larger temporal MMN at baseline was a significant predictor of greater alcohol use at follow-up (Chitty et al. 2015c). Thus, risky alcohol use in BD may further compound pre-existing NMDA receptor abnormalities. Reducing alcohol use in the early stages of the disorder was associated with changes in MMN (Chitty et al. 2015c), thus attributing to this ERP a crucial role in the clinical and neurophysiological follow-up.

MMN and P3a

Some findings suggest that, in emerging psychiatric disorders, there are distinct MMN/P3a profiles of patient subgroups, independently of their current symptomatology (Kaur et al. 2012b). However, aiming to investigate

Table 2: Studies of the mismatch negativity (MMN) and the P3a in patients with bipolar disorder compared to healthy controls.

| References | MMN amplitude | MMN latency | P3a amplitude | P3a latency |
|--------------------------|------------------|----------------|------------------|--------------------------------|
| Andersson et al. 2008 | 1 | 1 | = | ↑ (Only female patients) |
| Jahshan et al. 2012 | \downarrow | = | \downarrow | = |
| Kaur et al. 2011 | \downarrow | = | \downarrow | = |
| Kaur et al. 2012a | \downarrow | = | \downarrow | = |
| Kaur et al. 2012b | \downarrow | = | \downarrow | = |
| Paris et al. 2018 | = | 1 | \downarrow | = |

^{↑,} increased; ↓, decreased; =, unchanged.

pre-attentive auditory information processing in BD with a comprehensive approach, we have included six studies in this review involving the measure of both MMN and P3a (Andersson et al. 2008: Jahshan et al. 2012b: Kaur et al. 2011, 2012a, b: Paris et al. 2018).

Table 2 summarizes the findings of amplitude and latency: despite the two different levels of pre-attentive information processing (Friedman et al. 2001; Näätänen 1990; Rinne et al. 2000), there is a similar response pattern in the amplitude alteration, with a concordance of its reduction in four out of six reports, and totally in five out of six studies.

Discussion

From a pure neurophysiological perspective, MMN is consistently impaired in schizophrenia (de Tommaso et al. 2020; Gene-Cos et al. 1999; Javitt 2000; Javitt et al. 1998; Salisbury et al. 2007; Umbricht et al. 2006; Umbricht and Krljes 2005). This new appraisal of the literature, despite some weakness in relation to family studies, emphasizes that MMN abnormalities occur mainly in BD with psychotic features. This finding supports the intriguing model of the so-called "unitary psychosis", which refers to the hypothesis that psychotic symptoms in different clinical conditions may refer to a single underlying disease process (Berrios and Beer 1994), although this model still remains uncertain and not shared by all clinicians and researchers. As further support, an earlier study found an association between MMN and psychotic symptoms (Hall et al. 2007). Taken together, these findings lead to the need for a better comprehension of the nature of MMN in psychotic BD, to fully understand its potential as a biological marker and therapeutic target.

It is worth noting that half of the studies reviewed here did not report a significant difference in MMN amplitude between BD patients and healthy controls or between BD and schizophrenia (Baldeweg and Hirsch 2015; Braeutigam et al. 2018; Catts et al. 1995; Chitty et al. 2014, 2015a-c; Domjan et al. 2012; Hall et al. 2007, 2009; Kaur et al. 2019; Paris et al. 2018; Salisbury et al. 2007). However, all the patients included in these studies, except for two (Braeutigam et al. 2018; Salisbury et al. 2007), were affected by BD without psychosis. In particular, in the study by Salisbury et al. (Salisbury et al. 2007), schizophrenia, BD with psychosis, and controls did not differ in MMN amplitude at baseline; conversely, at the longitudinal testing, the schizophrenia group showed MMN amplitude reduction. In the other more recent study, MEG was used to examine the neuronal auditory response elicited by a roving MMN

paradigm in adolescents with schizophrenia, in those with BD and psychosis, and in typically developing individuals (Braeutigam et al. 2018). The authors found a significantly reduced MMN latency in BD compared to the other groups, whereas the amplitude was significantly decreased only in patients with schizophrenia (Braeutigam et al. 2018).

It should be mentioned that a review published in 2017 has summarized the literature on MMN in BD, although undertaken in the context of a clinical focus on schizophrenia (Hermens et al. 2018). Despite the concept of a schizophrenia-related specificity of MMN (Baldeweg and Hirsch 2015), there is mounting evidence supporting the possibility of MMN in BD as a neurophysiological marker of intermediate effect, as confirmed by two earlier metaanalyses revealing moderate effect sizes for MMN impairment in BD (Chitty et al. 2013; Erickson et al. 2016). However, a closer inspection of the studies indicates that there may be subgroups of BD patients with an MMN impairment comparable to schizophrenia (Hermens et al. 2018), suggesting the effect of severity and/or chronicity of illness (Salisbury et al. 2007). Notably, in 2017 there was still a paucity of MMN studies directly comparing schizophrenia and BD, which have been now included in the present review (Braeutigam et al. 2018; Kaur et al. 2019; Kim et al. 2019a, b), along with the results of MMN changes across both psychotic (Donaldson et al. 2020) and affective disorders (Kim et al. 2020). Finally, another recent study reviewed here has assessed the role of MMN in emotional speech processing deficits in BD (Paris et al. 2018).

Compared to the previous review on this topic (Hermens et al. 2018), therefore, here we provide a more comprehensive update of the literature and, more importantly, support the role of MMN as a biomarker also in BD with psychotic features. This might answer the question related to the extent to which this neurophysiological change is unique or overlap across BD and schizophrenia (Thaker 2008). Nevertheless, as it is challenging for a single marker to be pathognomonic for such complex diseases (Clementz et al. 2016), in future studies MMN needs to be integrated with multivariate constructs (e.g., neuroimaging, psychopathological, and other electrophysiological findings, such as MEG or transcranial magnetic stimulation) (Faro et al. 2010), which are more likely to be superior, in terms of effect sizes, in distinguishing subgroups of patients.

Several animal and human studies have shown that administration of the NMDA receptor antagonists attenuates MMN amplitude, thus providing convincing support for the specific role of NMDA receptor in MMN generation (Kenemans and Kahkonen 2011). On the contrary, dopaminergic (Umbricht et al. 1998; Umbricht et al. 1999) and serotonergic receptor agents (Leung et al. 2010; Umbricht

et al. 2002) do not modulate MMN. In this review, we have identified five studies on the relationship between MMN and NMDA receptor expression in BD (Chitty et al. 2014; Chitty et al. 2015a, b, c; Kaur et al. 2019). In two of them (Chitty et al. 2015b; Kaur et al. 2019), the combination of electroencephalogram and proton magnetic resonance spectroscopy has allowed the quantification of some key neurometabolite concentrations *in vivo*, thereby providing a more integrated understanding of the glutamatergic system underlying MMN generation (Ramadan et al. 2013).

In a study of both T1-weighted structural magnetic resonance imaging and auditory MMN, a reduced average MMN amplitude in BD was associated with cortical thinning in the left anterior cingulate cortex and in the right superior temporal gyrus (Kim et al. 2019a). These results support that MMN alterations in BD might be associated with structural changes in the regions serving MMN generators (Jemel et al. 2002; Rinne et al. 2000). Moreover, the mentioned surveys about auditory MMN suggest an additive effect of alcohol on a perturbed NMDA/glutaminergic system in BD (Chitty et al. 2014, 2015c).

Overall, MMN research supports the involvement of the NMDA system in the pathophysiology of BD, as previously assessed for schizophrenia (Javitt et al. 1996), thus creating a *trait d'union* between these illnesses. It springs from this the possibility to explore the development of novel therapeutic agents modulating this receptor, such as ketamine, memantine, AZD6765, traxoprodil, MK-0657, GLYX-13, NRX-1047, D-cycloserine, and sarcosine, being all of them targeting this system (Dang et al. 2014; Iadarola et al. 2015).

It appears also evident from the present review the utility of investigating pre-attentive information processing in BD by assessing both MMN and P3a, based on the good concordance of their amplitude reduction found in some studies (Table 2). These two ERPs probe different levels of pre-attentive information processing and do not share the same generators (Friedman et al. 2001; Näätänen 1990; Rinne et al. 2000), being both dependent on an automatic process. In a clinical setting, it is of pivotal importance to use simple paradigms, like a three-tone (standard, deviant, novel) auditory oddball paradigm (Raggi et al. 2013). Moreover, ERPs do not use radiation, are practical and quite inexpensive, thus being useful for both clinical evaluation and research purposes. Lastly, given the heritable component of BD (Barnett and Smoller 2009; Stahl et al. 2019), more familial and twin studies are warranted to understand whether MMN parameters might be reproducible across families and provide putative endophenotypes of psychotic BD (Hall et al. 2007, 2009).

Conclusion

The neural mechanisms underlying BD are still under investigation. Auditory MMN, which is considered to be a biomarker for schizophrenia, might represent a useful tool also in the clinical and research approach to BD with psychotic features, particularly in follow-up evaluations and in the design of novel therapeutic agents modulating the NMDA receptor activity. With additional replication and validation, MMN may be further considered as a correlate of common psychopathology of schizophrenia and bipolar spectrum illnesses.

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