



State of California

Public Utilities Commission

CONSUMER INFORMATION MANAGEMENT SYSTEM PROJECT CHARTER

Project
Charter -
Summary

1. Summary

Project Sponsor:	Jack Leutza
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Project Start Date:	July 31, 2006
Estimated Project End Date:	August 31, 2007 (from FSR)
Project Budget:	\$2,570,927 (from FSR)

Project
Charter –
Purpose

2. Purpose

The purpose of this project charter is to authorize the project and formalize the Commission's understanding of the:

- Business problems, opportunities and assumptions
- Project goals and objectives
- Concept of the system to be produced by the project
- Boundaries of the project in terms of scope, resources, budget, and schedule
- High-level project approach and strategy
- Project organization including roles and responsibilities
- Project success factors and risk management

All subsequent project activities and decisions will be consistent with the Charter. The Charter is a living document that will be updated as needed

Project
Charter –
Background
and Problem
Statement

3. Background and Problem Statement

The Consumer Information Management System (CIMS) is being deployed to enable the California Public Utilities Commission (CPUC) to better serve consumers who are seeking assistance in resolving complaints or answering questions about the utilities that CPUC regulates. The Consumer Complaint Tracking (CCT) system that staff currently uses is merely a data repository rather than a system that facilitates complaint resolution.

Project
Charter –
Customer

4. Customer

The primary customers of the CIMS are staff in the Consumer Services Information Division, Consumer Affairs Branch (CAB) who handle inquiries and informal complaint resolution daily. Within the CPUC, the CAB is responsible for assisting consumers by answering inquiries and resolving specific types of consumer complaints. CAB staff had previously been organized into groups of either telecommunications or energy response handling; however, each CAB representative is now handling Energy, Telecommunications, and Water inquiries and complaints.

Additionally, there are personnel throughout the CPUC who are tasked with investigating, analyzing, and monitoring utility compliance, performance, and activities.

These CPUC units that are consumers of CAB data, and therefore, additional customers of the CIMS, include:

- ✦ The Telecommunications, Water and Energy Divisions need access to the complaint database to assess current or existing tariff rules and policies
- ✦ The Division of Ratepayer Advocates, who needs this complaint data to identify potentially harmful industry trends to determine whether the Commission needs to take any actions on behalf of consumers.
- ✦ The Consumer Protection and Safety Division, which needs complaint data to identify potential trends in the industries (for example, the practice of placing unauthorized, misleading, or deceptive charges on a telephone bill—known as cramming—or switching long-distance carriers without authorization—known as slamming) so that CPUC can investigate further and potentially take action against these utilities on behalf of the consumers collectively. The Division also needs data as the complainant provided it, to be able to develop the case issue that may have been filed up to a year before enforcement action was initiated.
- ✦ The Administrative Law Judge Division will primarily rely on other divisions to perform initial review, evaluation, and assessment of the information collected in the complaint database. ALJ Division expects that the results of these reviews may be used as evidence in formal Commission proceedings. The ALJ Division currently has an electronic intake process for formal proceedings, where consumers may file formal complaints against utilities we regulate. It would be ideal if the ALJ Division could refer informal complaints that are improperly filed in the formal docket to CIMS for handling through some sort of interface between the two systems.

Project
Charter –
Customer
Benefits

5. Customer Benefits

A well-defined and successfully implemented Consumer Information Management System solution will benefit the CPUC by enabling all impacted divisions to:

- ✦ Increase the effectiveness of complaint resolution
- ✦ Increase the efficiency of the complaint resolution process
- ✦ Improve the quality of service
- ✦ Enhance data quality

The enhanced volume and integrity of data captured and retained by CIMS will increase CPUC's ability to evaluate Commission programs that affect and are impacted by consumer complaints.

Additionally, the implementation of a CIMS solution is likely to bring improvements to the quality and accessibility of information externally, thus leading to a better consumer experience.

Project
Charter –
Project
Goals

6. Project Goals

Project goals are focused on the successful selection, customization, and implementation of a Consumer Information Management System solution that will directly support the business requirements of CPUC's Consumer Affairs Branch; as well as enhancing business process and capability within CPUC's Telecommunications, Water and Energy, Ratepayer Advocates, and Consumer Safety and Protection Divisions.

This project's goals will be achieved by a system that provides:

- ✦ Effective resolution of consumer complaints in a manner that assures informed and accurate resolution;
- ✦ Efficient processing of complaints that enables Consumer Affairs Branch (CAB) Representatives to focus on those complaints that require intervention rather than performing data entry and manual processes that consume valuable time;
- ✦ Improved quality of service for consumers including faster complaint resolution;
- ✦ Improved timely access to accurate and complete data for use throughout the CPUC to enable staff to more easily identify trends in the utility industry and conduct education and programs enforcement.

Project
Charter –
Project
Objectives

7. Project Objectives

During the Feasibility Study, market research and a demonstration of the top viable and developed solutions led to the conclusion that the most value-effective solution is a modified-off-the-shelf (MOTS) solution that can be deployed in less than eight months.

As a result of these and other Feasibility Study outcomes, the following project objectives are targeted:

- ✦ Successful generation of a Request for Proposal (RFP) within an acceptable, although fast-tracked timeframe
- ✦ Effective and timely management of the procurement cycle, utilizing the services of DGS to evaluate and select the integrator/vendor who will provide the CIMS solution
- ✦ Successful implementation of a comprehensive Consumer Information Management System (CIMS) solution that will more effectively facilitate complaint resolution across multiple departments within the CPUC by:
 - Automatically processing routine complaints through auto workflow thereby enabling CAB staff to resolve more complex complaints.
 - Allowing the attachment of supplemental documents to a record so that all staff can access the entire record electronically.
 - Forcing data edits to ensure accurate and complete data in the complaints records.

- Allowing consumers electronic access to the status of their complaint.
- Having current business rules coded in the system thereby ensuring accurate resolution of complaints.
- Providing electronic guidance to staff on how to resolve difficult complaints.
- ✦ Successful implementation closure to put in place an acceptable period of warranty for the newly installed CIMS solution
- ✦ Completion of this project will be marked by the successful implementation of the Consumer Information Management System.

Project
Charter –
Project
Scope

8. Project Scope

The Consumer Information Management System (CIMS) Project is a mission-critical project that is estimated to cost approximately \$3 million including all services associated with complete deployment. Upon completion of evaluation of responses to the request for proposal, it is anticipated that a modified-off-the-shelf (MOTS) solution will be selected for implementation. The hardware will be sited at the Department of Technology Services while CPUC staff will maintain the application software.

- ✦ **Services associated** with complete deployment of the solution implemented by this project include:
 - Generation of the Request for Proposal (RFP)
 - Procurement of a technology solution that best meets the requirements housed within the RFP.
 - Provision of the technology solution by an integrator, who is selected following the evaluation of responses to the RFP.
 - Independent validation and verification of project artifacts and activities.
 - Management of the project processes in accordance with best practices as outlined within the Project Management Body of Knowledge (PMBOK) published by the PMI and the State DOF Information Technology Oversight Framework
 - Information Technology Project Oversight assessment and completion of Department of Finance (DOF) required Independent Project Oversight Reports
- ✦ The **Request for Proposal (RFP)** will be the vehicle for selection and procurement of a technology solution that will be:
 - Capable of responding to recurrent and customized queries, both to determine the sources of complaints and the need for education and enforcement;
 - A resource for CAB Representatives by providing concurrent online access to rules, statutes, policies, and similar informal complaints, increasing responsiveness to consumers;