Outline of Major Parts and Appendixes

Part I Medicare Program Reviews Part II Medicaid Program Reviews

Part III Legal and Investigative Activities Related

to Medicare and Medicaid

Part IV Public Health, Human Services,

and Other HHS-Related Issues

Appendix A **Reporting Requirements** Ouestioned Costs and Funds To Be Put to Better Use Appendix B

Peer Review Results

Appendix C

Appendix D **Summary of Sanction Authorities** Appendix E Acronyms and Abbreviations

Note: The page numbering for each major part and appendix begins with page 1, preceded by the Part number or Appendix letter, e.g., I-5, II-7, A-1, etc.

Part I Medicare Program Reviews

Patient Safety and Quality of Care 1
Hospitals—States' Responses to Allegations of Serious Harm to Hospital Patients 1
Identifying and Reducing Improper Medicare Payments 2
Hospitals—Teaching Hospitals Overcounted Residents, Causing Excess Medicare Payments 2
Home Health Agencies—Data Reporting, Interim Sanctions for Noncompliance, and Documentation Reviews 2
Portable X-Ray Suppliers—Questionable Billing Patterns 4
$Independent\ Diagnostic\ Testing\ Facilities — Questionable\ Billing\ Patterns - 4$
Outpatient Services—Payments Exceeding Charges Prone to Errors, Overpayments 4
Avoiding Waste in Part B Prescription Drug Pricing 7
Comparison of Drug-Pricing Points—Impact on Reimbursement 7
Preventing and Detecting Medicare Fraud9
Comprehensive Outpatient Rehabilitation Facilities in South Florida— Several Facilities Not Located or Not Operational9
Medical Equipment Suppliers—Some Newly Enrolled Suppliers Cause Program Integrity Problems for Medicare9
Medicare Program Oversight and Benefit Integrity Contractors10
Inadequate Procedures, Data Limitations Obstruct Program Oversight 10
Fee-for-Service Error Rate Calculations Could Be More Accurate11
Medicare Part A and Part B Contract Administration12
Medicare Part B and End-Stage-Renal-Disease and Contractors12
Medicare Part C and Part D Reviews13
Medicare Advantage Organizations' Identification of Potential Fraud and Abuse Varies Widely13

Sponsors Lack Information To Ensure Part D Drugs Are Used Only for Medically Accepted Indications	-14
Stronger Controls Needed to Identify Prescriptions Written by Excluded Providers	-14
CMS's Mandatory and Discretionary Auditing of Medicare Part D Sponsors Could Be Improved	-15
About Physicians Opting Out of Medicare Insufficient for Program versight	
Lack of Data Hinders Program Oversight of Physicians Opting Out	16

Part II Medicaid Program Reviews

Avoiding Waste in Medicaid Drug-Pricing and Payments 1
Multitier Strategy Would Fine-Tune Medicaid Drug Pricing 1
State Controls Over Medicaid Drug Expenditures Inadequate 2
Identifying and Reducing Improper State Claims for Federal Reimbursement
Personal Care Services Improperly Claimed by the States of New Jersey and New Mexico 3
Continuing Day Treatment Services Improperly Claimed by New York 3
Nonemergency Medical Transportation Services Improperly Claimed by New York
Family Planning Services Improperly Claimed by Oregon 5
Medicare Deductibles and Coinsurance for Dual Eligible Individuals Incorrectly Claimed by Nebraska
Medicaid Administrative Costs Improperly Claimed by New Jersey
Improper Claims for Therapy Services in Excess of State Limits Easily Preventable
Problems With States' Reporting of Medicaid Overpayments and Collections
Oversight of Medicaid Integrity Contractors
Poor Data and Audit Targeting Hinder Contractor Performance10
Ensuring Program Integrity in Medicaid Managed Care11
Excluded Providers in Medicaid Managed Care Plans11
Fraud and Abuse Concerns Remain Despite Safeguards11

Part III Legal and Investigative Activities Related to Medicare and Medicaid

Investigative Outcomes 1
Advisory Opinions and Other Industry Guidance 3
Health Care Fraud Prevention and Enforcement Action Team Activities 3
HEAT Provider Compliance Training
Medicare Fraud Strike Force Activities
Other Criminal and Civil Enforcement Activities
Special Assistant United States Attorney Program
Most Wanted Fugitives Listed on OIG's Web Site
Recently Completed Cases and Settlements 7
Pharmaceutical Companies
Prescription Drugs {
Hospitals
Home Health Agencies
Hospice Care10
Nursing Homes10
Clinics1
Practitioners and Other Suppliers12
Durable Medical Equipment12
Transportation Fraud14
Private Citizens14
Medicaid Fraud Control Units15
Funding and Accomplishments15
Joint Investigations15

Sanction Authorities and Related Administrative Actions16
Program Exclusions17
Corporate Integrity Agreements17
Civil Monetary Penalties Law18
Patient Dumping19
Provider Self-Disclosure Protocol20

Part IV Public Health, Human Services, and Other HHS-Related Reviews

Public Health Reviews
Public Health Agencies' Management and Oversight 1
Local Public Health Preparedness for Radiological and Nuclear Incidents 1
FDA's Oversight of Food Facility Inspections 2
HRSA-Funded Health Centers' Quality Assurance and Care
NIH's Compliance With Appropriations Laws
NIH's Administration of the Clinical and Translational Science Awards Program
SAMHSA's Management of Grant Files and Grantee Communications 5
Public Health-Related Legal Actions and Investigations
Health Education Assistance Loan Program
HEAL Exclusions
Human Services Reviews 7
Head Start Program 7
Head Start Grantees' Health and Safety Violations
Impact of Early Head Start Grantees' Management Deficiencies Funding 8
Child Support Enforcement 8
Child Support Task Forces
Investigative Outcomes
Engaging the Public in Capturing Fugitive Deadbeat Parents10
Other HHS-Related Reviews 11
Departmental Financial Statement Audit11
Financial Reporting Systems, Analyses, and Oversight11
Financial Information Systems12

Non-Federal Audits12
Affordable Care Act13
CLASS—Community Living Assistance Services and Supports Program 13
National and State Background Checks for Long-Term-Care Employees14
Recovery Act Retaliation Complaint Investigations15
Improper Payments in HHS Programs15
Noncompliance With Improper Payment Reporting Requirements15
Grant Fraud16
Contract Audits16
Employee Misconduct17
Legislative and Regulatory Reviews18