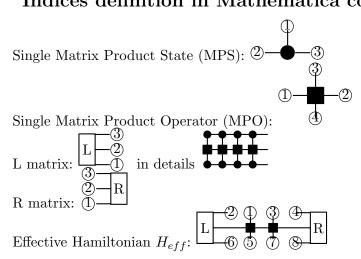
# Digest DMRG algorithm in the age of MPS

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#### 1 Indices definition in Mathematica code



# 2 DMRG in MPS[2]

- 1. Build up MPO from the Hamiltonian  $H = W_1 W_2 \cdots W_N$ ,  $W_1 = W_{1,b_1}^{\sigma_1,\sigma_1'} W_l = W_{b_{l-1},b_l}^{\sigma_l,\sigma_l'}$   $W_N = W_{b_{l-1},1}^{\sigma_l,\sigma_l'}$ , here 1 is a dummy index. Constructing MPO for short-range interacting H is quite easy, see Ref [2] 6.1 for example. For more complex systems, one can find general construction algorithm in Ref.https://dx.doi.org/10.1103/PhysRevB.91.165112 Sec.II, exponential decaying long-range interactions can be found in Ref.[3].
- 2. Initial guess, a result from iDMRG would be great, but one can also use a random renormalized mps.  $|\psi_{init}\rangle = \sum_{\sigma} \sum_{a} M_{1,a_1}^{\sigma_1} M_{a_1,a_2}^{\sigma_2} \cdots M_{a_{L-2},a_{L-1}}^{\sigma_{L-1}} M_{a_{L-1},1}^{\sigma_L} |\sigma\rangle$ , mix-canonical is OK. Note that the MPS state can be split into three parts (left-canonical part A, Center and right-canonical part B):

$$|\psi\rangle = \sum_{\sigma_1\cdots\sigma_L} (M^{\sigma_1}\cdots M^{\sigma_{l-1}})_{1,a_{l-1}} M^{\sigma_l}_{a_{l-1},a_l} (M^{\sigma_{l+1}}\cdots M^{\sigma_L})_{a_l,1} |\sigma_1\cdots\sigma_L\rangle,$$

or more compactly

$$|\psi\rangle = \sum_{a_{l-1},\sigma_l,a_l} M_{a_{l-1},a_l}^{\sigma_l} |a_{l-1}\rangle_A |\sigma_l\rangle |a_l\rangle_B.$$
 (1)

Bring the intial state into the mix-canonical form with canonical center at site 1.

3. Build up R matrix recursively (from L-1 to 1):

$$R_{L-1} = \sum_{\sigma_L, \sigma_L'} M_{a_{L-1}, 1}^{*\sigma_L} W_{b_{L-1}, 1}^{\sigma_L, \sigma_L'} M_{a_{L-1}', 1}^{\sigma_L'}$$

$$R_{l} = R_{b_{l}}^{a_{l}, a_{l}'} = \sum_{a_{i}, b_{i}, a_{i}'; i > l} \left( \sum_{\sigma_{l+1}, \sigma_{l+1}'} M_{a_{l}, a_{l+1}}^{*\sigma_{l+1}} W_{b_{l}, b_{l+1}}^{\sigma_{l+1}, \sigma_{l+1}'} M_{a_{l}', a_{l+1}'}^{\sigma_{l+1}'} \right) R_{l+1} \cdots R_{L-1}$$

notice that indices  $a'_{l+1}, b_{l+1}, a_{l+1}$  will be contracted with  $R_{l+1}$  leaving only three indices  $a'_{l}, b_{l}, a_{l}$  referring ① ② ③ respectively.

4. Right sweep, l run from 1 to L-1: Ground state research, to find the MPS  $|\psi\rangle$  that minimizes  $\langle \psi | \hat{H} | \psi \rangle / \langle \psi | \psi \rangle$ . Introduce a Lagrangian multiplier  $\lambda$ , and extremize  $\langle \psi | \hat{H} | \psi \rangle - \lambda \langle \psi | \psi \rangle$ , then we can get energy  $\lambda$  and state  $|\psi\rangle$ .

In the mps representation, we can calculate the overlap in site l by using Eq. 1

$$\langle \psi | \psi \rangle = \sum_{\sigma_l} \sum_{a_{l-1}a_l} \sum_{a'_{l-1}a'_l} \Psi^A_{a_{l-1},a'_{l-1}} M^{*\sigma_l}_{a_{l-1},a_l} M^{\sigma_l}_{a'_{l-1},a'_l} \Psi^B_{a_l,a'_l}, \tag{2}$$

here

$$\Psi^{A}_{a_{l-1},a'_{l-1}} = \sum_{\sigma_1 \cdots \sigma_{l-1}} (M^{\dagger \sigma_{l-1}} \cdots M^{\dagger \sigma_1} M^{\sigma_1} \cdots M^{\sigma_{l-1}})_{a_{l-1},a'_{l-1}}$$

$$\Psi^{B}_{a_l,a'_l} = \sum_{\sigma_{l+1} \cdots \sigma_L} (M^{\sigma_{l+1}} \cdots M^{\sigma_L} M^{\dagger \sigma_L} \cdots M^{\dagger \sigma_{l+1}})_{a'_l,a_l}.$$

If we keep left-normalized from 1 to l-1 ( $\sum A^{\dagger}A=I$ ) and right-normalized from l+1 to L ( $\sum BB^{\dagger}=I$ ), it will be more simple, namely

$$\Psi^{A}_{a_{l-1},a'_{l-1}} = \delta_{a_{l-1},a'_{l-1}}, \ \Psi^{B}_{a_{l},a'_{l}} = \delta_{a_{l},a'_{l}}$$

Consider  $\langle \psi | \hat{H} | \psi \rangle$  in MPO language

$$\left\langle \psi \right| \hat{H} \left| \psi \right\rangle = \sum_{\sigma_{l}, \sigma'_{l}} \sum_{a'_{l-1}, a'_{l}} \sum_{a_{l-1}, a_{l}} \sum_{b_{l-1}, b_{l}} L_{b_{l-1}}^{a_{l-1}, a'_{l-1}} W_{b_{l-1}, b_{l}}^{\sigma_{l}, \sigma'_{l}} R_{b_{l}}^{a_{l}, a'_{l}} M_{a'_{l-1}, a_{l}}^{*\sigma_{l}} M_{a'_{l-1}, a'_{l}}^{\sigma'_{l}},$$

if we take equation 2 with respect to  $M_{a_{l-1},a_{l}}^{*\sigma_{l}}$ , one have

$$\sum_{\sigma_l'} \sum_{a_{l-1}',a_l'} \sum_{b_{l-1},b_l} L_{b_{l-1}}^{a_{l-1},a_{l-1}'} W_{b_{l-1},b_l}^{\sigma_l,\sigma_l'} R_{b_l}^{a_l,a_l'} M_{a_{l-1}',a_l'}^{\sigma_l'} - \lambda \sum_{a_{l-1}',a_l'} \Psi_{a_{l-1},a_{l-1}'}^A \Psi_{a_{l},a_l'}^B M_{a_{l-1}',a_l'}^{\sigma_l} = 0,$$

to write it in matrix equation form, we rewrite  $H_{eff} = H_{(\sigma_{l}a_{l-1}a_{l}),(\sigma'_{l}a'_{l-1}a'_{l})} = \sum_{b_{l-1},b_{l}} L^{a_{l-1},a'_{l-1}}_{b_{l-1}}$   $W^{\sigma_{l},\sigma'_{l}}_{b_{l-1},b_{l}} R^{a_{l},a'_{l}}_{b_{l}}, \ N_{(\sigma_{l}a_{l-1}a_{l}),(\sigma'_{l}a'_{l-1}a'_{l})} = \Psi^{A}_{a_{l-1},a'_{l-1}} \Psi^{B}_{a_{l},a'_{l}} \delta_{\sigma_{l},\sigma'_{l}} \ \text{and a vector} \ \nu_{\sigma_{l}a_{l-1}a_{l}} = M^{\sigma_{l}}_{a_{l-1},a_{l}}, \ \text{a generalized eigenvalue equation then reached}$ 

$$H\nu - \lambda N\nu = 0. \tag{3}$$

$$H_{eff} = \sum_{b_{l-1}b_l} L_{b_{l-1}}^{a_{l-1}, a'_{l-1}} W_{b_{l-1}, b_l}^{\sigma_l \sigma'_l} R_{b_l}^{a_l, a'_l}$$

If l=1, there is no L matrix so  $H_{eff}=\sum_{b_l}W_{1,b_1}^{\sigma_1\sigma_1'}R_{b_1}^{a_1,a_1'}$ . If the MPS is always kept in the mix-canonical form, then one can just ignore the N matrix because it only convert prime indices into non-prime ones.

Solver equation 3 to optimize the wavefunction in site l, use old  $M_l$  as initial guess for the Davison eigen solver, reshape the result  $\nu$  to  $M_l$  form. In order to keep all M in normalized form,  $SVD(M_{a_l,(\sigma_l,a_{l+1})}) = USV^{\dagger}$ ,  $M_l = U, M_{l+1} = SV^{\dagger}M_{l+1}$ . Note that the eigen solver does not need to give a result as accurate as possible because DMRG algorithm itself will drive the MPS to the ground state.

5. Generate/Update L matrix at site l from the new calculated  $M_l$ :

$$L_{1} = \sum_{\sigma_{1}, \sigma'_{1}} M_{1, a_{1}}^{*\sigma_{1}} W_{1, b_{1}}^{\sigma_{1}, \sigma'_{1}} M_{1, a'_{1}}^{\sigma'_{1}},$$

$$L_{l} = L_{b_{l}}^{a_{l}, a'_{l}} = \sum_{a_{i}, b_{i}, a'_{i}; i > l} \left( L_{1} \cdots L_{l-1} \sum_{\sigma_{l} \sigma'_{l}} M_{a_{l-1}, a_{l}}^{*\sigma_{l}} W_{b_{l-1}, b_{l}}^{\sigma_{l}, \sigma'_{l}} M_{a'_{l-1}, a'_{l}}^{\sigma'_{l}} \right)$$

$$(4)$$

- 6. Left sweep l from L to 2: Solver equation 3 in each step, right-normalize  $M_l$ ,  $SVD(M_{(\sigma_l,a_{l-1}),a_l}) =$  $USV^{\dagger}$ ,  $M_l = V^{\dagger}$ ,  $M_{l-1} = USM_{l-1}$ . Update R matrix.
- 7. Return to step 4 unless reach a convergence energy.

#### 3 iDMRG[1]

Infinite algorithm of DMRG represented by matrix product state, serve as the initial input for finite MPS algorithm.

1. Build initial states for l = 0 and l = 1:

l=0:  $\sum A^{\sigma_0} \Lambda^0 B^{\sigma'_0} |\sigma_0 \sigma'_0\rangle$ , this can be calculated directly from the SVD of the ground state. Reshape the ground state  $\Psi^{\sigma_0\sigma'_0} = \Psi_{\sigma_0,\sigma'_0} \xrightarrow{SVD} U_{(\sigma_0,1),a_1} S_{a_1,a_1} V_{a_1,(1,\sigma'_0)}^{\dagger} \xrightarrow{Reshape} A_{1,a_1}^{\sigma_0} \Lambda_{a_1,a_1}^0 B_{a_1,1}^{\sigma'_0}$ 

l=1:  $\sum A^{\sigma_0}A^{\sigma_1}\Lambda^1B^{\sigma_1'}B^{\sigma_0'}|\sigma_0\sigma_1\sigma_1'\sigma_0'\rangle$ , construction L and R matrix from l=0, calculate

effective Hamiltonian 
$$H_{eff} = \xrightarrow{\uparrow} \xrightarrow{Reshape} H_{a_1\sigma_1\sigma_1'a_1,a_1\sigma_1'\sigma_1'a_1} \xrightarrow{Eig} \Psi^{a_1\sigma_1\sigma_1'a_1} = \Psi_{(\sigma_1,a_1),(\sigma_1',a_1)} \xrightarrow{SVD} U_{(\sigma_1,a_1),a_2} S_{a_2,a_2} V_{a_2,(a_1,\sigma_1')}^{\dagger} \xrightarrow{Reshape} A_{a_1,a_2}^{\sigma_1} \Lambda_{a_2,a_2}^1 B_{a_2,a_1}^{\sigma_1'}.$$

- 2. At stage n  $|\psi_n\rangle$  reads  $\cdots A^{\sigma_n}\Lambda^n B^{\sigma'_n}\cdots$ , right rotate  $|\psi_n\rangle$ , e.g.,  $A^{\sigma_n}_{a_{n-1},a_n}\Lambda^n_{a_n,a_n}=M_{a_{n-1},(\sigma_n,a_n)}\xrightarrow{SVD}USV^{\dagger}=\Lambda^L_n V^{\dagger}=\Lambda^L_n B^{\sigma'_{n+1}}$ , the state becomes  $\cdots A^{\sigma_{n-1}}\Lambda^L_n B^{\sigma'_{n+1}}B^{\sigma'_n}\cdots$ .
- 3. Also left rotate  $|\psi_n\rangle$ ,  $\Lambda^n_{a_n,a_n}B^{\sigma'_n}_{a_n,a_{n-1}}=M_{(\sigma'_n,a_n),a_{n-1}}\xrightarrow{SVD}USV^{\dagger}=U\Lambda^R_n=A^{\sigma_{n+1}}\Lambda^L_n$ , the state becomes  $\cdots A^{\sigma_n}A^{\sigma_{n+1}}\Lambda^R_nB^{\sigma'_{n-1}}\cdots$ .
- 4. The trial wave-function for next step is  $|\psi_{n+1}^{trial}\rangle = \cdots A^{\sigma_{n+1}} \Lambda_n^R \Lambda_{n-1}^{-1} \Lambda_n^L B^{\sigma'_{n+1}} \cdots$
- 5. Calculate the effective Hamiltonian for step n+1, use  $|\psi_{n+1}^{trial}\rangle$  as initial guess for an eigensolver, update  $A^{\sigma_{n+1}}\Lambda^{n+1}B^{\sigma'_{n+1}}$  (see step 1, l=1, in that case the dimension is not large and do not need an initial ground state guess).

- 6. Truncation happened if dimension  $\Lambda^{n+1}$  is larger than D preset.
- 7. If  $\Lambda^{n+1}$  is sufficiently close to  $\Lambda^n$  then stop else return to step 2.

### References

- [1] I. P. McCulloch, Infinite size density matrix renormalization group, revisited. (2008). http://arxiv.org/abs/0804.2509
- [2] U. Schollwöck, The density-matrix renormalization group in the age of matrix product states. Ann. Phys. (N. Y). 326, 96–192 (2011).
- [3] Crosswhite, et al., Applying matrix product operators to model systems with long-range interactions. Physical Review B 78, 035116 (2008).