



# Neutrino Flavor Conversions in Dense Medium: Matter Stimulation, Dispersion Relation, and Neutrino Halo

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PhD Defense

Lei Ma

Supervisor: Huaiyu Duan

# Outline for Section 1

## 1. Neutrino Oscillations

### 1.1 Neutrinos as Fundamental Particles

### 1.2 Why Do Neutrinos Oscillate

## 2. Matter Stimulated Oscillations

### 2.1 Matter Interactions, MSW Effect, and Solar Neutrino Problem

### 2.2 Stimulated Neutrino Oscillations and Rabi Oscillations

### 2.3 Basis and Formalism

### 2.4 Multiple Frequencies in Matter Potential

## 3. Neutrino Oscillations and Dispersion Relation

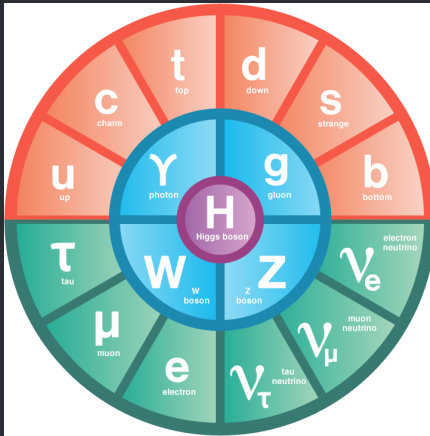
### 3.1 Neutrino Self-interactions

### 3.2 Linear Stability Analysis

### 3.3 Dispersion Relation

### 3.4 Summary of Dispersion Relation

# What are Neutrinos?

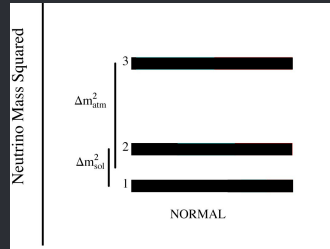


Elementary particles.

Source: [symmetrymagazine.org](http://symmetrymagazine.org)

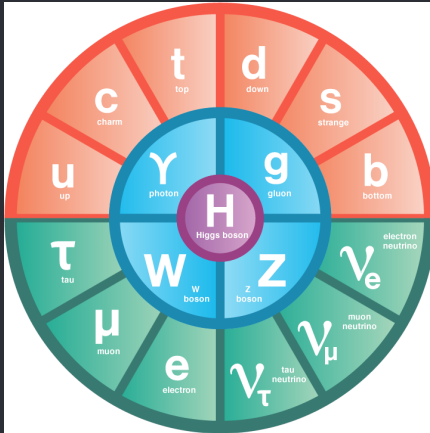
Neutrinos are

- fermions,
- electrically neutral,
- three flavors,
- none vanishing mass.



Adapted from Olga Mena & Stephen Parke (2004)

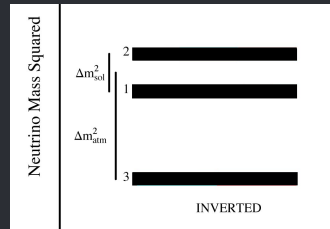
# What are Neutrinos?



Elementary particles.  
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Neutrinos are

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## Why Do Neutrinos Oscillate?

Flavor states are different from mass states.

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_v & \sin \theta_v \\ -\sin \theta_v & \cos \theta_v \end{pmatrix} \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$$

## Why Do Neutrinos Oscillate?

Equation of Motion

$$i\partial_x \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \mathbf{H} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$$

## Why Do Neutrinos Oscillate?

### Equation of Motion

$$i\partial_x \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \mathbf{H} \begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix}$$

$$\mathbf{H} = \frac{\omega_\nu}{2} (-\cos 2\theta_\nu \sigma_3 + \sin 2\theta_\nu \sigma_1)$$

- Oscillation frequency:

$$\omega_\nu = \frac{\delta m^2}{2E} = \frac{m_2^2 - m_1^2}{2E}$$

- Mixing angle  $\theta_\nu$

# Flavor Isospin

Hamiltonian:  $\mathbf{H} = -\frac{\vec{\sigma}}{2} \cdot \vec{H}$

Flavor isospin:  $\vec{s} = \psi^\dagger \frac{\vec{\sigma}}{2} \psi$

Electron flavor survival probability

$$P = \frac{1}{2} + s_3$$

Equation of motion

$$\dot{\vec{s}} = \vec{s} \times \vec{H}$$





# Flavor Isospin

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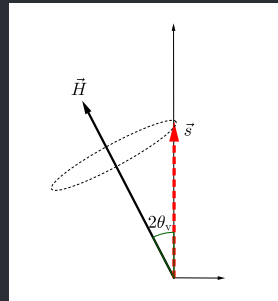
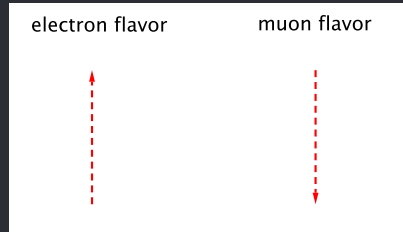
Equation of motion

$$\dot{\vec{s}} = \vec{s} \times \vec{H}$$

Vacuum oscillation Hamiltonian

$$\frac{\omega_v}{2} (-\cos 2\theta_v \sigma_3 + \sin 2\theta_v \sigma_1)$$

$$\rightarrow \cos 2\theta_v \begin{pmatrix} 0 \\ 0 \\ \omega_v \end{pmatrix} - \sin 2\theta_v \begin{pmatrix} \omega_v \\ 0 \\ 0 \end{pmatrix}$$



# Outline for Section 2

## 1. Neutrino Oscillations

### 1.1 Neutrinos as Fundamental Particles

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## 2. Matter Stimulated Oscillations

### 2.1 Matter Interactions, MSW Effect, and Solar Neutrino Problem

### 2.2 Stimulated Neutrino Oscillations and Rabi Oscillations

### 2.3 Basis and Formalism

### 2.4 Multiple Frequencies in Matter Potential

## 3. Neutrino Oscillations and Dispersion Relation

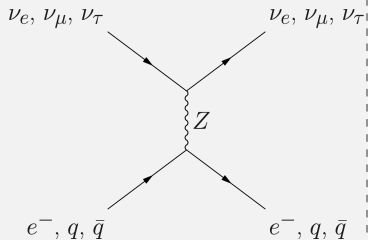
### 3.1 Neutrino Self-interactions

### 3.2 Linear Stability Analysis

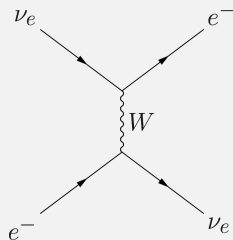
### 3.3 Dispersion Relation

### 3.4 Summary of Dispersion Relation

## Matter Interaction



Neutral current interaction  
between  $\nu_e, \nu_\mu, \nu_\tau$ , and  $e^-$ .



Charged current interaction  
between  $\nu_e$  and  $e^-$

## Matter Interaction

Hamiltonian with matter interaction in flavor basis ( $\omega_v = \delta m^2 / 2E$ ):

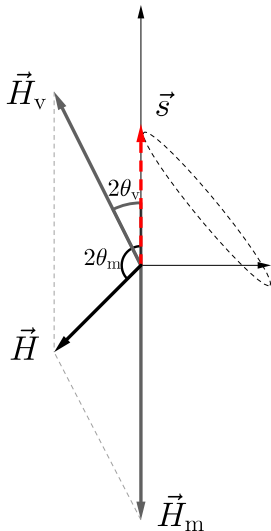
$$\mathbf{H} = \frac{\omega_v}{2} (-\cos 2\theta_v \sigma_3 + \sin 2\theta_v \sigma_1) + \frac{\lambda(x)}{2} \sigma_3$$

- Vacuum Hamiltonian
- Matter interaction
- $\lambda(x) = \sqrt{2} G_F n_e(x)$

## MSW Effect

$$\begin{aligned} \mathbf{H} &= \frac{\omega_v}{2} (-\cos 2\theta_v \sigma_3 + \sin 2\theta_v \sigma_1) + \frac{\lambda(x)}{2} \sigma_3 \\ &\rightarrow \omega_v \begin{pmatrix} -\sin 2\theta_v & \\ & 0 \\ & \cos 2\theta_v \end{pmatrix} + \begin{pmatrix} 0 & \\ & 0 \\ & -\lambda(x) \end{pmatrix} \\ &= \tilde{H}_v + \tilde{H}_m(x) \end{aligned}$$

## MSW Effect



Electron flavor survival probability

$$P = \frac{1}{2} + s_3$$

Oscillation frequency in **vacuum**:

$$\omega_v = |\vec{H}_v|$$

Oscillation frequency in **matter**:

$$\omega_m = |\vec{H}|$$

Flavor states and mass states in matter

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_H \end{pmatrix}$$

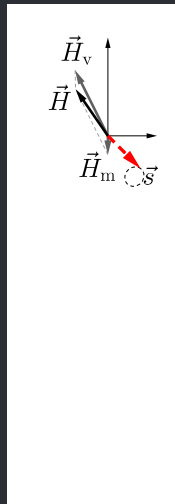
# MSW Effect

## Adiabatic matter density change

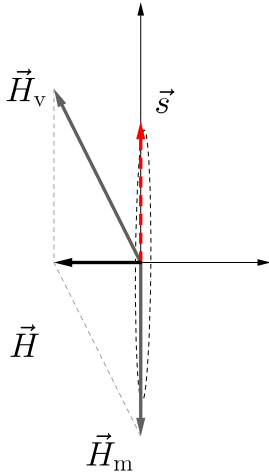
Large density



Low density



## MSW Effect



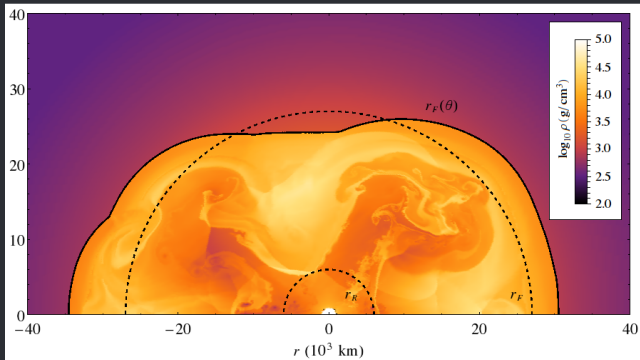
- Maximum possible flavor transition probability amplitude
- MSW Resonance
- A specific matter density

$$\sqrt{2}G_F n_e \equiv \omega_v \cos 2\theta_v$$



# Supernova Matter Density Profile

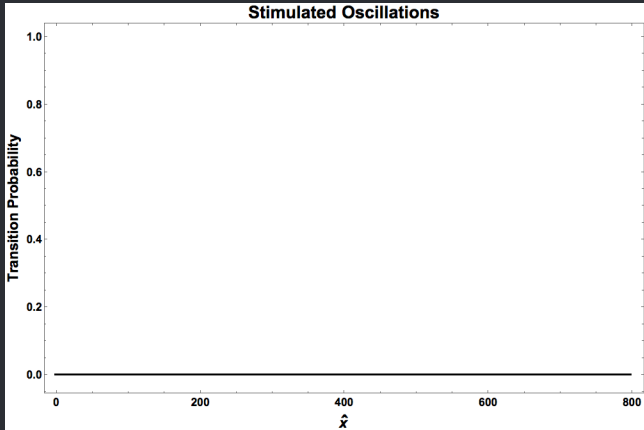
Astrophysical environments: supernovae, accretion disks etc



Supernova shock and turbulence. E. Borriello, et al (2014)

# Stimulated Neutrino Flavor Conversions

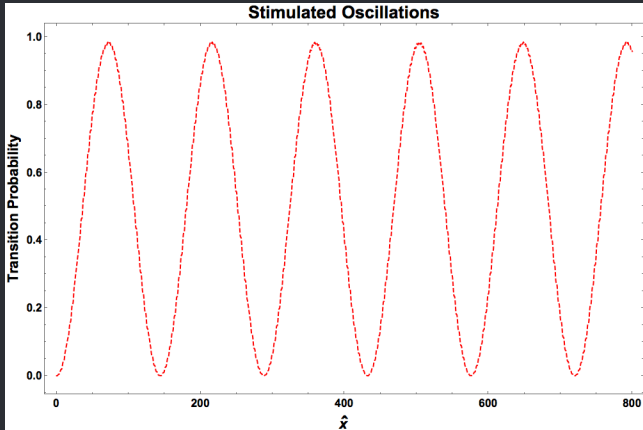
$$\lambda(x) = \lambda_0$$



Transition probabilities between mass states in matter.

# Stimulated Neutrino Flavor Conversions

$$\lambda(x) = \lambda_0 + A \cos(kx)$$



P. Krastev and A. Smirnov (1989); A. Friedland et al (2006); J. Kneller et al (2013); K. Patton et al (2014);

# Rabi Oscillations

## Rabi Oscillation

### Hamiltonian

$$-\frac{\omega_m}{2}\sigma_3 - \frac{\alpha}{2} \begin{pmatrix} 0 & e^{ikt} \\ e^{-ikt} & 0 \end{pmatrix}$$

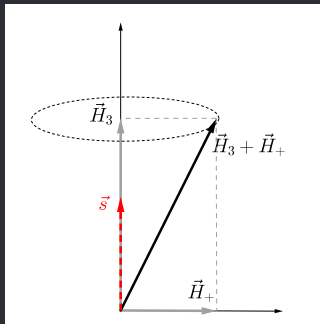
## Scheme



# Rabi Oscillations

Static Frame

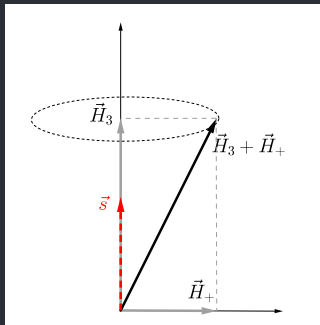
$$\vec{H}_3 = \omega_m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \vec{H}_+ = \alpha \begin{pmatrix} \cos(kt) \\ -\sin(kt) \\ 0 \end{pmatrix}$$



# Rabi Oscillations

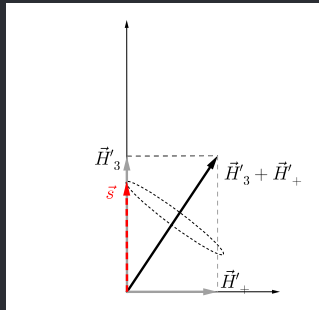
Static Frame

$$\vec{H}_3 = \omega_m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \vec{H}_+ = \alpha \begin{pmatrix} \cos(kt) \\ -\sin(kt) \\ 0 \end{pmatrix}$$



Corotating Frame

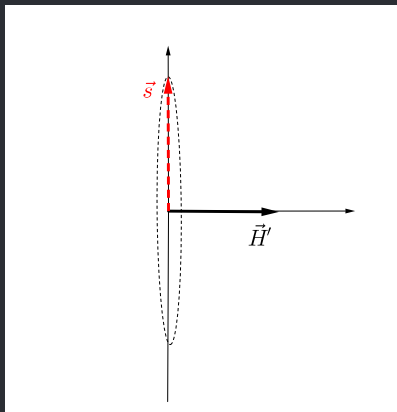
$$\vec{H}'_3 = (\omega_m - k) \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}, \vec{H}'_+ = \alpha \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$



# Rabi Oscillations

Corotating Frame

$$\vec{H}'_3 = (\omega_m - k) \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = 0 \Rightarrow k = \omega_m$$



# Rabi Oscillations

## Rabi Oscillation

### Hamiltonian

$$-\frac{\omega_m}{2}\sigma_3 - \frac{\alpha}{2} \begin{pmatrix} 0 & e^{ikt} \\ e^{-ikt} & 0 \end{pmatrix}$$

### Rabi formula

$$P_{1 \rightarrow 2} = \frac{1}{1 + D^2} \sin^2 \left( \frac{\Omega_R}{2} t \right).$$

### Relative detuning

$$D = \left| \frac{\omega_m - k}{\alpha} \right|.$$

### Rabi frequency

$$\Omega_R = |\alpha| \sqrt{1 + D^2}$$

## Scheme





## Hamiltonian in Matter Basis

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_H \end{pmatrix}$$

### Matter Potential

$$\lambda(x) = \lambda_0$$

### Basis

matter basis:

$$H = \frac{1}{2} (-\omega_m) \sigma_3$$

## Hamiltonian in Matter Basis

$$\begin{pmatrix} \psi_e \\ \psi_\mu \end{pmatrix} = \begin{pmatrix} \cos \theta_m & \sin \theta_m \\ -\sin \theta_m & \cos \theta_m \end{pmatrix} \begin{pmatrix} \psi_L \\ \psi_H \end{pmatrix}$$

### Matter Potential

$$\lambda(x) = \lambda_0 + A \cos(kx)$$

### Basis

Background matter basis:

$$H = \frac{1}{2} (-\omega_m + A \cos(kx) \cos 2\theta_m) \sigma_3 - \frac{A \cos(kx)}{2} \sin 2\theta_m \sigma_1$$

## Hamiltonian in Matter Basis

Matter potential frequency

$$k \sim \omega_m$$

$$\mathbf{H} = \frac{1}{2} \left( -\omega_m + \cancel{\cos 2\theta_m A \cos(kx)} \right) \sigma_3 - \frac{\sin 2\theta_m}{2} A \cos(kx) \sigma_1$$

$$\rightarrow \omega_m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \alpha \begin{pmatrix} \cos(kx) \\ -\sin(kx) \\ 0 \end{pmatrix} + \alpha \begin{pmatrix} \cos(-kx) \\ -\sin(-kx) \\ 0 \end{pmatrix}$$

$$\alpha = \frac{\sin 2\theta_m}{2} A$$

## Hamiltonian in Matter Basis

Matter potential frequency

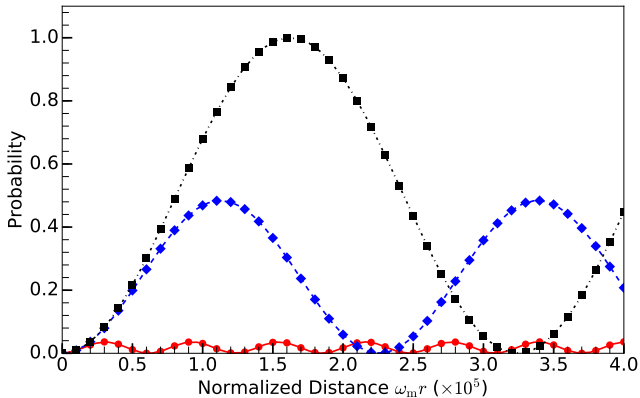
$$k \sim \omega_m$$

$$\mathbf{H} = \frac{1}{2} \left( -\omega_m + \cancel{\cos 2\theta_m A \cos(kx)} \right) \sigma_3 - \frac{\sin 2\theta_m}{2} A \cos(kx) \sigma_1$$

$$\rightarrow \omega_m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \alpha \begin{pmatrix} \cos(kx) \\ -\sin(kx) \\ 0 \end{pmatrix} + \alpha \begin{pmatrix} \cos(-kx) \\ -\sin(-kx) \\ 0 \end{pmatrix}$$

$$\alpha = \frac{\sin 2\theta_m}{2} A$$

## Rabi Formula Works



Transition between two mass states in background matter potential  $\lambda_0$

Lines: Rabi formula

Dots, diamonds, triangles, and squares are **full solutions without**

**approximations** for  $k = \omega_m$ ,  $k = (1 - 2 \times 10^{-5})\omega_m$ , and

$k = (1 - 10^{-4})\omega_m$  respectively.

## Single Frequency Matter Potential Revisited

We have been making approximations.

$$\begin{aligned}
 \mathbf{H} &= \frac{1}{2} \left( -\omega_m + \cancel{\cos 2\theta_m A \cos(kx)} \right) \sigma_3 - \frac{\sin 2\theta_m}{2} A \cos(kx) \sigma_1 \\
 &\rightarrow \omega_m \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} + \alpha \begin{pmatrix} \cos(kx) \\ -\sin(kx) \\ 0 \end{pmatrix} + \cancel{\alpha \begin{pmatrix} \cos(-kx) \\ -\sin(-kx) \\ 0 \end{pmatrix}}
 \end{aligned}$$

## Rabi Basis

### Hamiltonian in Background Matter Basis

$$\mathbf{H} = \frac{1}{2} (-\omega_m + A \cos(kx) \cos 2\theta_m) \sigma_3 - \frac{A \cos(kx)}{2} \sin \theta_m \sigma_1.$$

### A Better Basis

Define Rabi basis in which the wave function is related to wave function in background matter basis through

$$\begin{pmatrix} \psi_L \\ \psi_H \end{pmatrix} = \begin{pmatrix} e^{-i\eta(x)} & 0 \\ 0 & e^{i\eta(x)} \end{pmatrix} \begin{pmatrix} \tilde{\psi}_L \\ \tilde{\psi}_H \end{pmatrix},$$

where

$$\eta(x) - \eta(0) = \frac{\cos 2\theta_m}{2} \int_0^x A \cos(k\tau) d\tau.$$

## Single Frequency Matter Potential

$$\lambda(x) = \lambda_0 + A \cos(kx)$$

### Hamiltonian in Rabi Basis

The Hamiltonian

$$\tilde{\mathbf{H}} = -\frac{\omega_m}{2} \sigma_3 + \sum_{n=-\infty}^{\infty} \begin{pmatrix} 0 & \frac{1}{2} \alpha_n e^{i(nk)x} \\ \frac{1}{2} \alpha_n^* e^{-i(nk)x} & 0 \end{pmatrix}$$

where  $\alpha_n = -(-i)^n nk \tan 2\theta_m / n (A \cos 2\theta_m / k)$ .



## Single Frequency Matter Potential

$$\lambda(x) = \lambda_0 + A \cos(kx)$$

### Hamiltonian in Rabi Basis

The Hamiltonian

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where  $\alpha_n = -(-i)^n nk \tan 2\theta_m / n (A \cos 2\theta_m / k)$ .

Map neutrino oscillations in single frequency matter potential to Rabi oscillations with many driving potentials.

Resonance condition for each mode:  $nk = \omega_m$

## Rabi Oscillations With Multiple Driving Frequencies

Consider Rabi oscillation with two driving frequencies  $k_1 = n_1 k$ ,  $k_2 = n_2 k$

$$\vec{H} = \begin{pmatrix} 0 \\ 0 \\ \omega_m \end{pmatrix} + \alpha_1 \begin{pmatrix} \cos(k_1 x) \\ -\sin(k_1 x) \\ 0 \end{pmatrix} + \alpha_2 \begin{pmatrix} \cos(k_2 x) \\ -\sin(k_2 x) \\ 0 \end{pmatrix}$$

Corotating frame of the second potential

$$\vec{H} = \begin{pmatrix} 0 \\ 0 \\ \omega_m - k_2 \end{pmatrix} + \alpha_1 \begin{pmatrix} \cos(k_1 - k_2 x) \\ -\sin(k_1 - k_2 x) \\ 0 \end{pmatrix} + \alpha_2 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

Energy gap in this frame becomes the length of the vector

$$\begin{pmatrix} 0 \\ 0 \\ \omega_m - k_2 \end{pmatrix} + \alpha_2 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

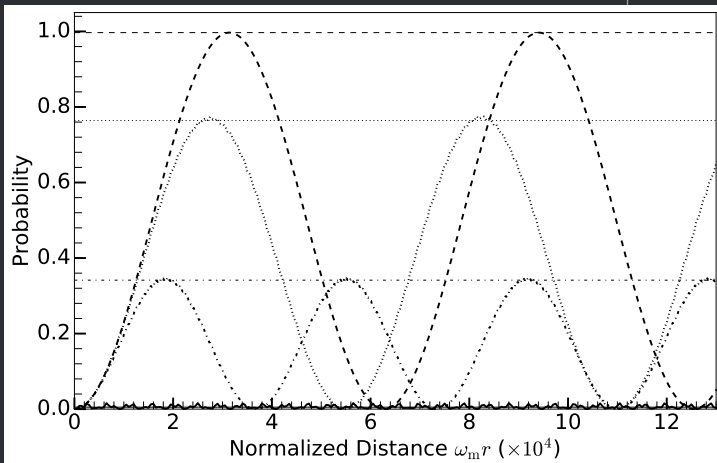
# Rabi Oscillations With Multiple Driving Frequencies

Relative detuning

$$D' = \left| \frac{\omega_m - k_1}{\alpha_1} + \frac{\alpha_2^2}{2\alpha_1(\omega_m - k_2)} \right|$$

# Rabi Oscillations With Multiple Driving Frequencies

$$D' = \left| \frac{\omega_m - k_1}{a_1} + \frac{a_2^2}{2a_1(\omega_m - k_2)} \right|$$



$A_1 = 10^{-4}\omega_m$ ,  $k_1 = \omega_m$ ; Grid lines: amplitude predicted using  $1/(1 + D'^2)$

$a_2, k_2$ values			
Dashed	dotted	dash-dotted	solid
$10^{-2}\omega_m, 10\omega_m$	$10^{-2}\omega_m, 10^{-1}\omega_m$	$5.0 \times 10^{-2}\omega_m, 10\omega_m$	$5 \times 10^{-2}\omega_m, 10^{-1}\omega_m$

## Rabi Oscillations With Multiple Driving Frequencies

Consider  $k_1 = \omega_m$

$$D' = \left| \frac{\alpha_2^2}{2\alpha_1(\omega_m - k_2)} \right|$$

Amplitude reduces from 1 to 1/2 if

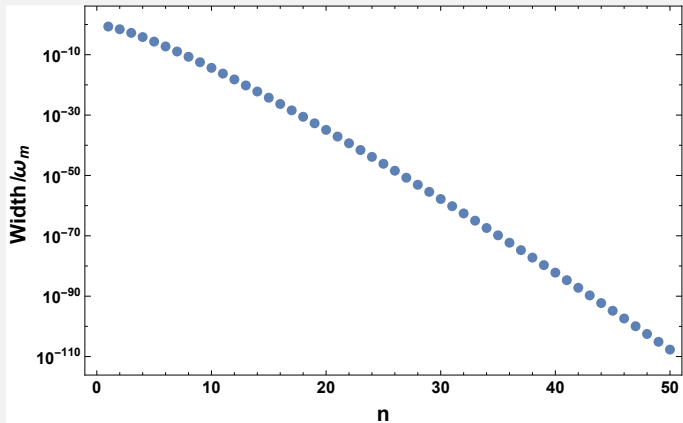
$$D' = 1 \Rightarrow \alpha_{2,c} \equiv \sqrt{2|\alpha_1(k_2 - \omega_m)|}.$$

Two driving frequencies  $k_1$ , and  $k_2$ , with amplitude  $\alpha_1$ , and  $\alpha_2$

For  $k_1 = \omega_m$ , survival of resonance requires

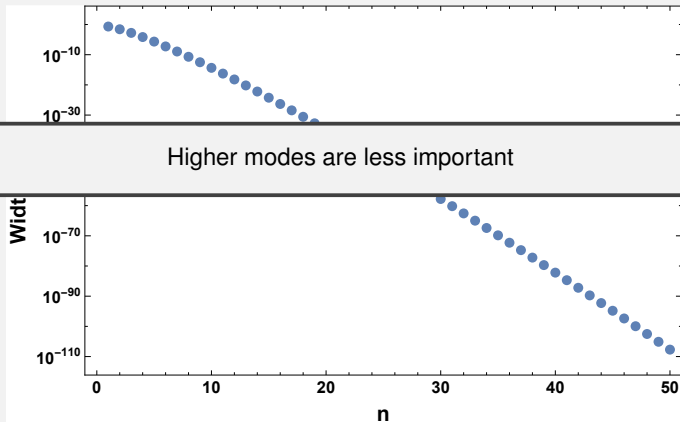
$$|\alpha_2| \ll \alpha_{2,c} \equiv \sqrt{2|\alpha_1(k_2 - \omega_m)|}$$

## Single Frequency Matter Potential



Width of different modes given value of matter potential frequency  $k$

## Single Frequency Matter Potential



Width of different modes given value of matter potential frequency  $k$

## Multiple Frequencies in Matter Potential

$$\lambda(x) = \lambda_0 + \sum_{a=1}^N A_a \sin(k_a x)$$

Hamiltonian in Rabi Basis

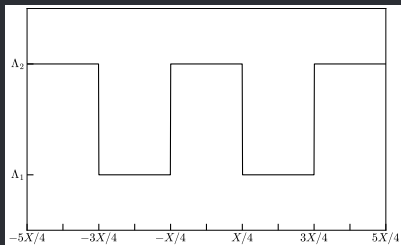
$$\tilde{H} = -\frac{\omega_m}{2} \sigma_3 + \frac{1}{2} \sum_{n_1=-\infty}^{\infty} \cdots \sum_{n_N=-\infty}^{\infty} \begin{pmatrix} 0 & B_{\{n_a\}} e^{i \sum_a n_a k_a x} \\ B_{\{n_a\}}^* e^{-i \sum_a n_a k_a x} & 0 \end{pmatrix}$$

where

$$B_{\{n_a\}} = -(-i)^{\sum_a n_a} \tan 2\theta_m \left( \sum_a n_a k_a \right) \left( \prod_a J_{n_a} \left( \frac{A_a}{k_a} \cos 2\theta_m \right) \right)$$



## Castle Wall Matter Potential



Castle wall matter profile:

$$\Lambda_2 = 0.35\omega_v \cos 2\theta_v,$$

$$\Lambda_1 = 0.15\omega_v \cos 2\theta_v \text{ and period}$$

$$X = 2\pi/\omega_m$$

$$\lambda(x) = \lambda_0 + \sum_1^{\infty} \lambda_n \cos(k_n x)$$

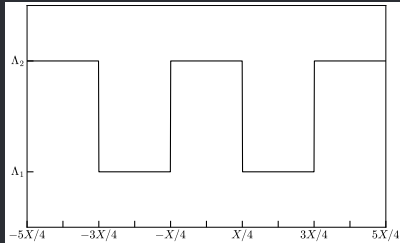
where

$$\lambda_0 = (\Lambda_1 + \Lambda_2)/2$$

$$\lambda_n = 2(-1)^n (\Lambda_1 - \Lambda_2)/(2n\pi - \pi)$$

$$k_n = 2\pi(2n - 1)/X$$

# Castle Wall Matter Potential

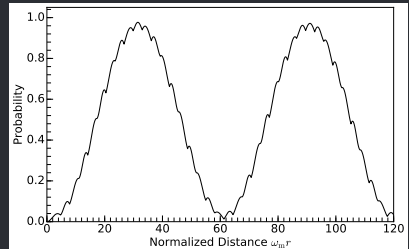


Castle wall matter profile:

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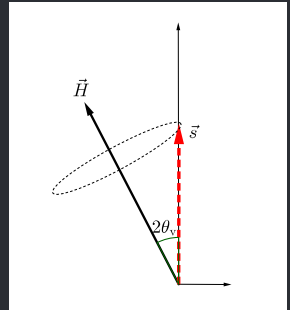
$$X = 2\pi/\omega_m$$



Transition probability is a Rabi resonance with small variations due to higher orders.

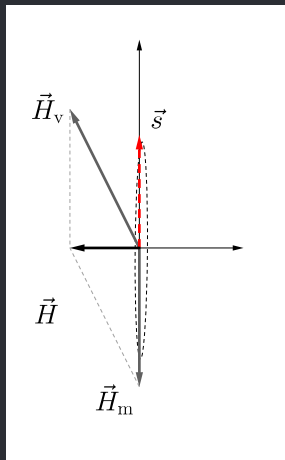
# Summary of Stimulated Oscillations

1. Vacuum oscillations: flavor states are not mass states.



## Summary of Stimulated Oscillations

1. Vacuum oscillations: flavor states are not mass states.
2. MSW resonance: matter potential cancels out the vacuum diagonal elements of the Hamiltonian.



## Summary of Stimulated Oscillations

1. Vacuum oscillations: flavor states are not mass states.
2. MSW resonance: matter potential cancels out the vacuum diagonal elements of the Hamiltonian.
3. Stimulated oscillations: variation in matter potential can cause resonances.

For matter potential

$$\lambda(x) = \lambda_0 + A \cos(kx),$$

Resonance condition

$$nk = \omega_m$$

## Summary of Stimulated Oscillations

1. Vacuum oscillations: flavor states are not mass states.
2. MSW resonance: matter potential cancels out the vacuum diagonal elements of the Hamiltonian.
3. Stimulated oscillations: variation in matter potential can cause resonances.
4. In many cases neutrino oscillations in multi-frequency matter potential can be viewed as Rabi oscillations with few driving frequencies.

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  - 3.3 Dispersion Relation
  - 3.4 Summary of Dispersion Relation

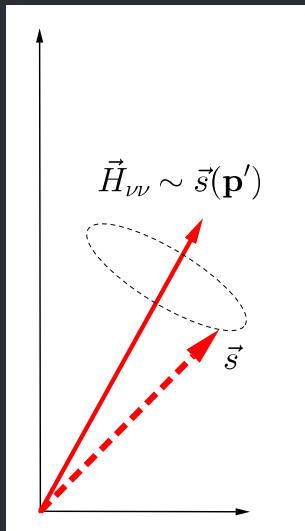
## Neutrino Self-interactions

Interaction Hamiltonian  $\mathbf{H}_{\nu\nu}$

$$\sqrt{2}G_F n(p')(1 - \hat{p} \cdot \hat{p}')\rho(p')$$

In Flavor Isospin space

$$-2\sqrt{2}G_F n(p')(1 - \hat{p} \cdot \hat{p}')\vec{s}(p')$$





## Neutrino Self-interactions

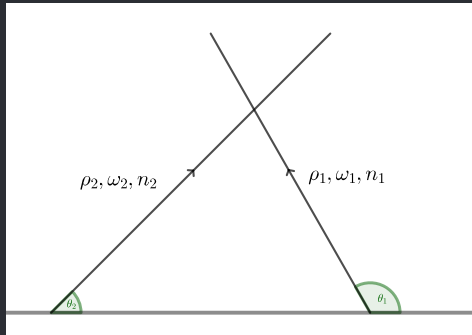
- $H_v = -\frac{1}{2}\omega\sigma_3$
- $H_m = \frac{1}{2}\lambda\sigma_3$
- $H_{vv,2} = \frac{1}{2}\mu_1\rho_1$
- $H_{vv,1} = \frac{1}{2}\mu_2\rho_2$

where

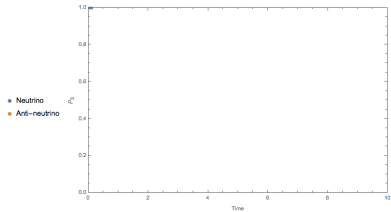
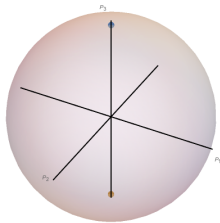
$$\mu_i = \sqrt{2}G_F\xi n_i$$

Geometric factor

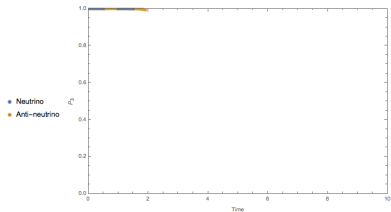
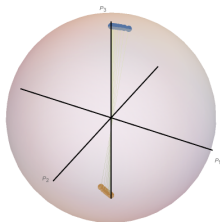
$$\xi = (1 - \cos(\theta_1 - \theta_2))$$



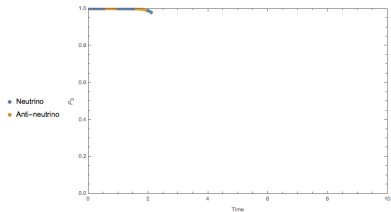
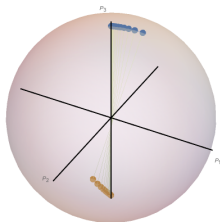
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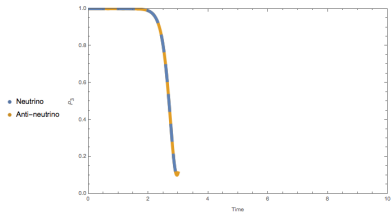
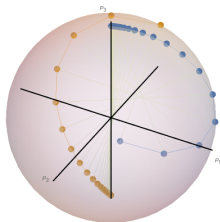
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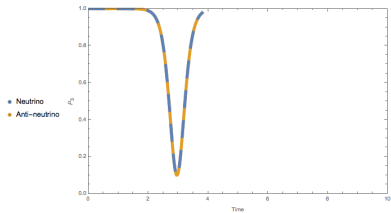
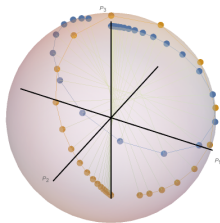
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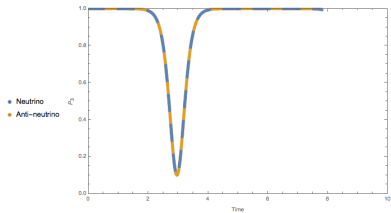
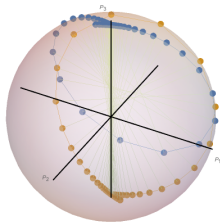
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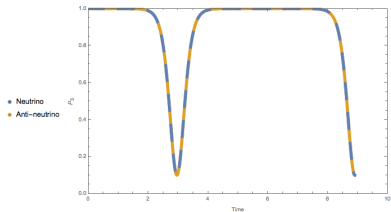
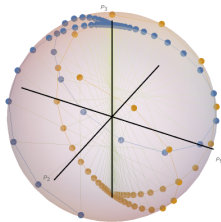
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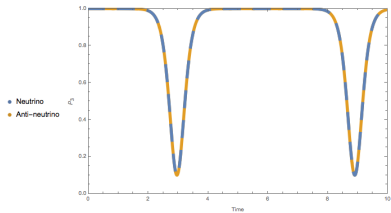
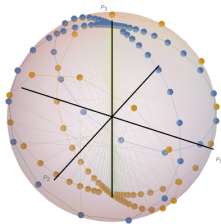


# Neutrino Self-interactions





# Neutrino Self-interactions



# Neutrino Self-interactions

## Characteristic Energy Scales

- $\omega_\nu = \delta m^2 / 2E$
- $\lambda \sim G_F n_e$
- $\mu \sim G_F (1 - \hat{v}_1 \cdot \hat{v}_2) n_\nu$

Vacuum oscillation oscillation frequencies

$$\begin{aligned}\omega_\nu &= \frac{\Delta m^2}{2E} \sim \frac{2\pi}{1\text{km}} \left( \frac{\Delta m_{32}^2}{2.5 \times 10^{-3} \text{eV}^2} \right) \left( \frac{1\text{MeV}}{E} \right) \\ &\sim \frac{2\pi}{33\text{km}} \left( \frac{\Delta m_{12}^2}{7.5 \times 10^{-5} \text{eV}^2} \right) \left( \frac{1\text{MeV}}{E} \right)\end{aligned}$$

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Neutrino self-interactions might lead to faster oscillations, since

$$\mu \gg \omega_\nu.$$

## Neutrino Self-interactions

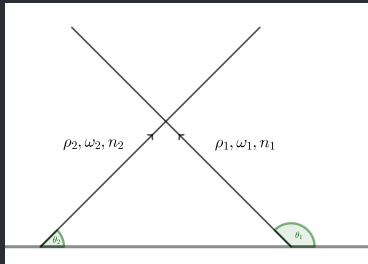
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Suppose we have neutrino flux  $10^{50} \text{ ergs} \cdot \text{s}^{-1}$ . We estimate the potential at radius  $R$  to be

$$\mu \sim \frac{1}{0.01 \text{ km}} \left( \frac{100 \text{ km}}{R} \right)^2 \left( \frac{1 \text{ MeV}}{E} \right)$$

# Linear Stability Analysis



$$H_{\nu\nu,2} = \frac{1}{2}\mu_1\rho_1\xi, \quad H_{\nu\nu,1} = \frac{1}{2}\mu_2\rho_2\xi$$

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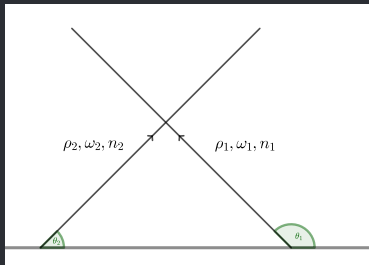
$\rho_1$ : neutrinos;

$\rho_2$ : antineutrinos

$$i\partial_z\rho_i = [H_i, \rho_i]$$

$$\theta_1 = 2\pi/3, \theta_2 = \pi/6$$

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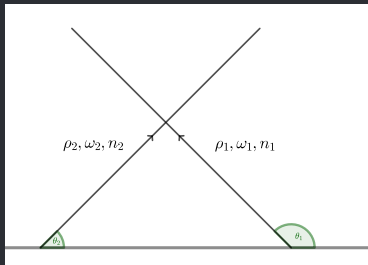
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$$i\partial_z \begin{pmatrix} \epsilon_1 \\ \epsilon_2 \end{pmatrix} = \begin{pmatrix} \omega_\nu - \mu\xi & \mu\xi \\ -\mu\xi & -\omega_\nu + \mu\xi \end{pmatrix} \begin{pmatrix} \epsilon_1 \\ \epsilon_2 \end{pmatrix}$$

## Linear Stability Analysis

Solution of the form

$$\begin{pmatrix} \epsilon_1(z) \\ \epsilon_2(z) \end{pmatrix} = \begin{pmatrix} \epsilon_1(0) \\ \epsilon_2(0) \end{pmatrix} e^{iK_z z}$$

Eigenvalues or collective oscillation frequencies

$$K_z = \pm \sqrt{\omega_v(\omega_v - 2\mu\xi)}$$

Identify the condition for complex eigenvalues

$$\omega_v(\omega_v - 2\mu\xi) < 0$$



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Similar analysis can be done for all four dimensions  $t, x, y, z$ ,

$$(\Omega, K_x, K_y, K_z)$$

## Dispersion Relation

Izaguirre, I., Raffelt, G., & Tamborra, I. (2017). *Fast Pairwise Conversion of Supernova Neutrinos: A Dispersion Relation Approach*. Physical Review Letters, 118(2), 021101.

- Linear stability analysis  $\rightarrow$  dispersion relation for  $\Omega$  and  $\mathbf{K}$ .

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- Linear stability analysis  $\rightarrow$  dispersion relation for  $\Omega$  and  $\mathbf{K}$ .
- Instabilities occur in dispersion relation gaps.

## Dispersion Relation

Equation of motion for off-diagonal element of density matrix (Izaguirre et al, 2017)

$$i(\partial_t + v \cdot \nabla_r)\epsilon(v) = v^\mu (\Lambda + \Phi)_\mu - \int d\Gamma' v^\mu v'_\mu G(v')\epsilon(v')$$

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- $v^\mu$ : four-velocity of neutrinos  $(1, v)$
- $\Lambda$ : matter contribution  $(\sqrt{2}G_F n_e, \sqrt{2}G_F n_e v_e)$
- $\Phi$ : neutrino
- $G(v')$ : electron lepton number of neutrinos

$$\sqrt{2}G_F \int_0^\infty \frac{E^2 dE}{2\pi^2} (n_{\nu_e} - n_{\bar{\nu}_e})$$

## Dispersion Relation

Collective mode of off-diagonal element

$$\epsilon \rightarrow \tilde{\epsilon} e^{-i(\Omega t - K \cdot r)}$$



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Without neutrino self-interaction:  $v^\mu K_\mu = 0$

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Rewrite

$$\begin{aligned} & - \int d\Gamma' v^\mu v'_\mu G(v') \tilde{\epsilon}(v') \\ &= v^\mu \left( - \int d\Gamma' v'_\mu G(v') \tilde{\epsilon}(v') \right) \\ &\equiv v^\mu a_\mu \end{aligned}$$

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EoM

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EoM

$$v^\mu K_\mu \tilde{\epsilon}(v) = v^\mu a_\mu$$

$$\implies$$

$$\tilde{\epsilon}(v) = v^\mu a_\mu / v^\mu K_\mu$$

Collect all terms of  $a_\mu$

$$v^\mu \left( \delta_\mu^\nu + \int d\Gamma' \frac{G(v') v'_\mu v^\nu}{v^\alpha K_\alpha} \right) a_\nu = 0$$



## Dispersion Relation

$$v^\alpha K_\alpha = \omega(1 - n \cos \theta) \text{ where } n = |k|/\Omega$$

Nontrivial solutions to EoM requires

$$v^\mu \left( \omega \delta^\nu_\mu + N^\nu_\mu \right) a_\nu = 0$$

$$I_n(\theta) = \int_{\cos \theta_2}^{\cos \theta_1} d \cos \theta G(\theta) \frac{\cos^n \theta}{1 - n \cos \theta}$$

$$N^\mu_\nu \rightarrow$$

$$\begin{pmatrix} \frac{1}{2}I_0 & 0 & 0 & -\frac{1}{2}I_1 \\ 0 & -\frac{1}{4}(I_0 - I_2) & 0 & 0 \\ 0 & 0 & -\frac{1}{4}(I_0 - I_2) & 0 \\ \frac{1}{2}I_1 & 0 & 0 & -\frac{1}{2}I_2 \end{pmatrix}$$

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$\Rightarrow$

$$\text{Det}(\omega I + N) = 0,$$

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$$\omega = \frac{1}{4}(I_0 - I_2), \quad -\frac{1}{4} \left( I_0 - I_2 \pm \sqrt{(I_0 - 2I_1 + I_2)(I_0 + 2I_1 + I_2)} \right)$$

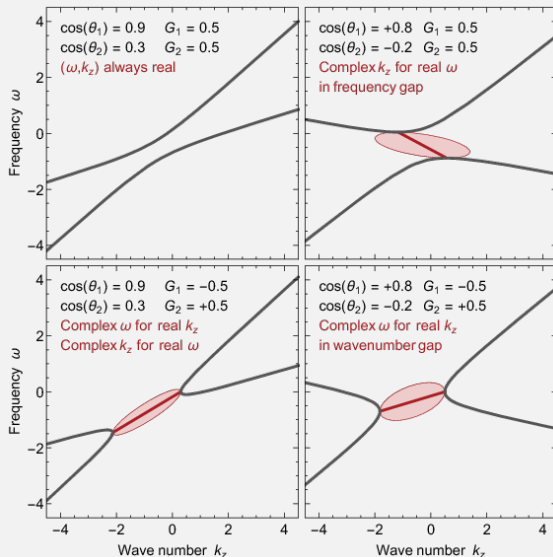
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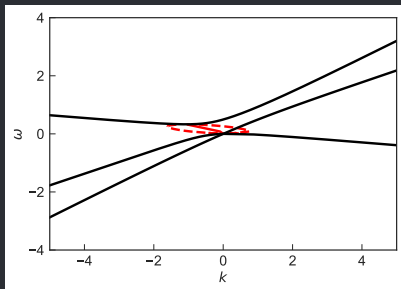
- $\frac{1}{4}(I_0 - I_2)$  : MAA solution
- $-\frac{1}{4} \left( I_0 - I_2 \pm \sqrt{(I_0 - 2I_1 + I_2)(I_0 + 2I_1 + I_2)} \right)$  : MZA solution

## Dispersion Relation

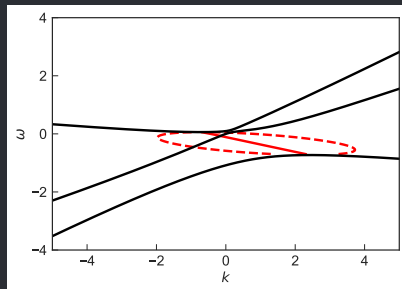


# Dispersion Relation

Three beams



MAA solutions

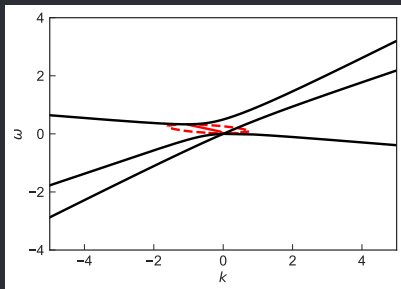


MZA solutions

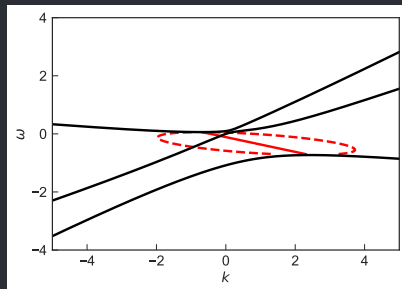


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## Three beams



MAA solutions

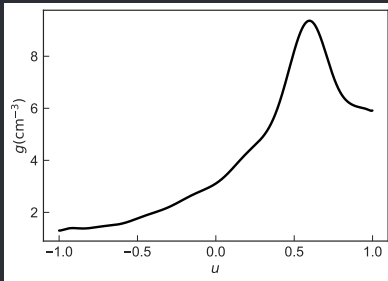


MZA solutions

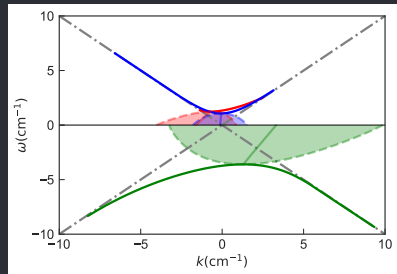
Gap and instability correspondence does NOT hold in three beams case.

# Dispersion Relations

Remake of Fig.3 of  
Izaguirre et al, 2017

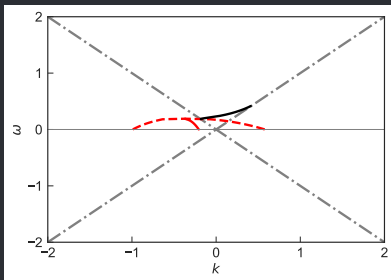


Garching spectrum  $G(u)$ , where  
 $u = \cos \theta$

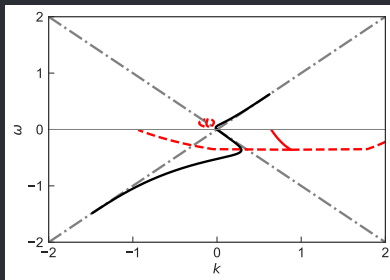


MAA: red; MZA: blue and green

# Dispersion Relations



MAA solution



MZA solution

## Summary of Dispersion Relation

- Neutrino oscillation instability corresponds to gaps of dispersion relations for two beams model;
- It can NOT be generalized to multiple emission beams;
- Gaps should be defined as the gap between dispersion relation and  $\Omega = 0$  instead of the gaps between dispersion relations.

## Acknowledgement

I am very thankful to my advisor Professor Huaiyu Duan, Dr. Sajad Abbar, and Dr. Shashank Shalgar, and Joshua Martin, for all the help in both research and life.

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## Hamiltonian, and Basis, and Rabi Oscillations

### Hamiltonian in Background Matter Basis

$$H = \frac{1}{2} (-\omega_m + \delta\lambda(x) \cos 2\theta_m) \sigma_3 - \frac{\delta\lambda(x)}{2} \sin 2\theta_m \sigma_1.$$

Matter profile

$$\lambda(x) = \lambda_0 + A \cos(kx),$$

$$H = \frac{1}{2} (-\omega_m + \cos 2\theta_m A \cos(kx)) \sigma_3 - \frac{\sin 2\theta_m}{2} A \cos(kx) \sigma_1.$$

# Stimulated Neutrino Oscillations

## Matter Profile

$$\lambda(x) = \lambda_0 + \delta\lambda(x)$$

## Basis

Background matter basis: Hamiltonian is diagonalized with only background matter profile  $\lambda_0$ ,

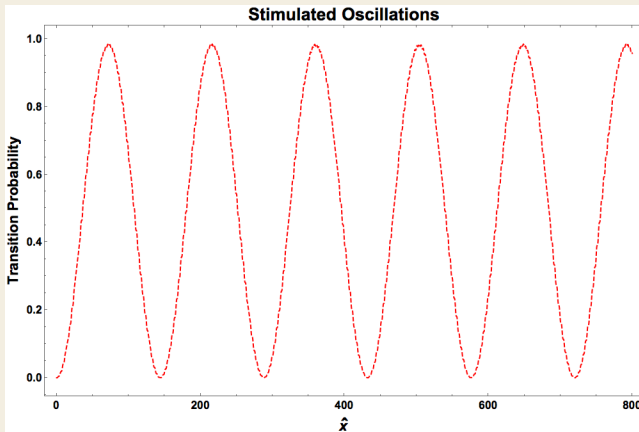
$$H_{\text{background}} = -\frac{\omega_m}{2} \sigma_3.$$

## Hamiltonian

$$H = \frac{1}{2} (-\omega_m + \delta\lambda(x) \cos 2\theta_m) \sigma_3 - \frac{\delta\lambda(x)}{2} \sin \theta_m \sigma_1.$$

## Stimulated Neutrino Oscillations

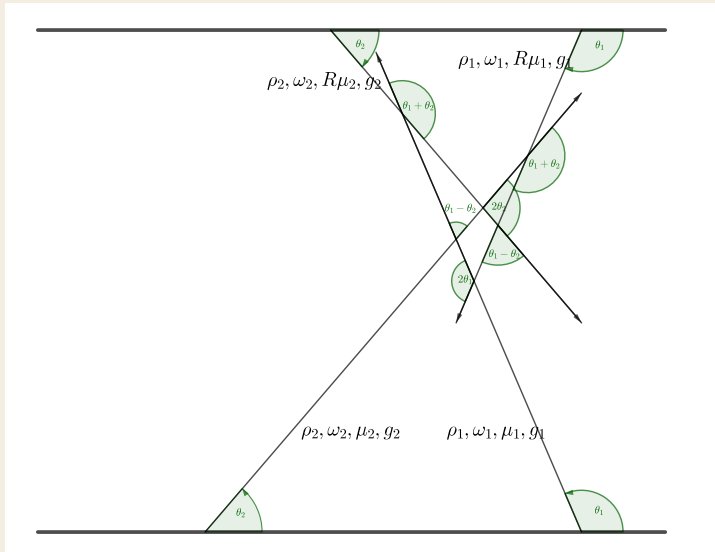
P. Krastev and A. Smirnov (1989); J. Kneller et al (2013);  
K. Patton et al (2014);



Stimulated oscillations.  $\lambda(x) = \lambda_0 + A \sin(kx)$  with  $\hat{x} = \omega_m x$ ,  $A = 0.1\omega_m$ ,  
 $k = 0.995\omega_m$ ,  $\theta_m = \pi/6$



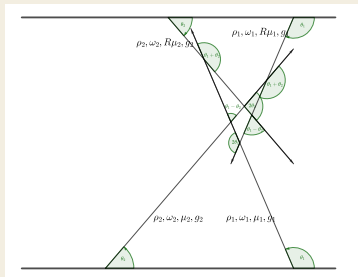
# Neutrino Halo



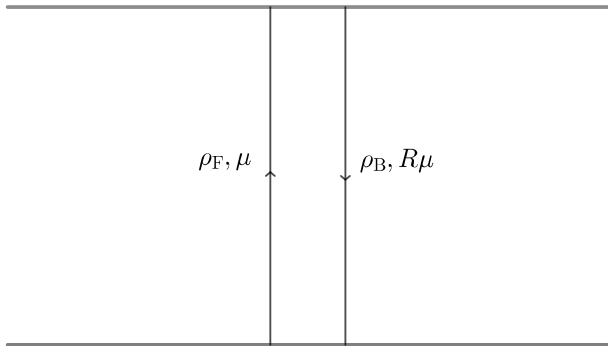
# Neutrino Halo

## Assumptions

- Neutrinos are translational symmetric on the emission line.
- Reflection obeys Snell's law.
- Neutrinos are reflected on a fixed surface  $z = L$ .
- Neutrino reflections are translational symmetric.



## Flavor Isospin

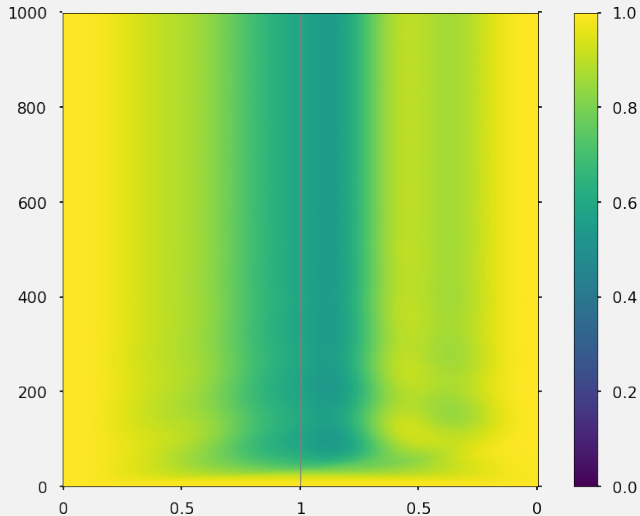


## Relaxation Scheme

### Algorithm

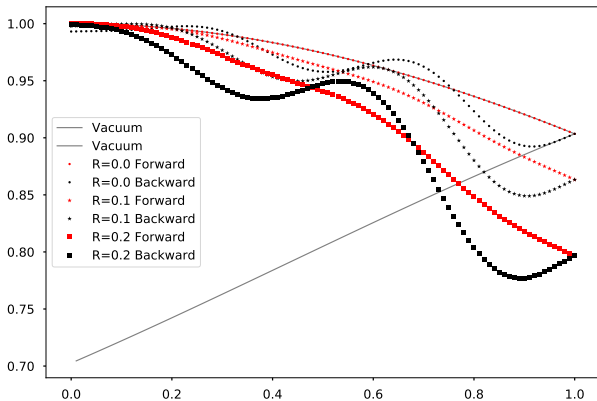
1. Calculate forward beam using null backward beam;
2. Calculate backward beam using forward beam calculated in step 1;
3. Calculate forward beam using backward beam calculated in step 2;
4. Repeat 2 and 3 until the beams reach equilibrium.

## Numerical Method



Horizontal axis is the location of neutrinos; Vertical axis is the number of iteration steps; Color indicates the electron flavor probability.

## Numerical Method



# Linear Stability Analysis

EoM

$$i\partial_t \vec{S}_F = \vec{S}_F \times (\vec{H}_V + R\mu \vec{S}_B)$$

$$i\partial_t \vec{S}_B = \vec{S}_B \times (-\vec{H}_V - \mu \vec{S}_F).$$

Compare with bipolar

$$i\partial_t \vec{S} = \vec{S} \times (\eta \vec{H}_V + \alpha \mu \vec{\bar{S}})$$

$$i\partial_t \vec{\bar{S}} = \vec{\bar{S}} \times (\eta \vec{H}_V + \mu \vec{S})$$

## Linear Stability Analysis

