

# Swansea Bay tidal lagoon annual energy estimation



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## ABSTRACT

UK Energy policy is focused on the challenges posed by energy security and climate change, however, efforts to develop a low-carbon economy have overlooked tidal energy a vast and unexploited worldwide resource. Since 1981, UK tidal lagoon schemes have been recommended as an economically and environmentally attractive alternative to tidal barrages. More recently, two proposals for tidal lagoons in Swansea Bay have emerged and there have been several reports documenting the potential to harness significant tidal energy from Swansea Bay using a tidal lagoon. This paper assists in determining a realistic approximation of the energy generation potential in Swansea Bay, a numerical estimation is obtained from a zero dimension, 0D, 'backwards difference' computational model, utilising the latest turbine data available and high-resolution bathymetric data. This paper models the behaviour of the tidal lagoon in dual mode generation, in line with the above proposals. The results of model testing using a variety of fixed and variable parameters are displayed. The ebb mode model with provision for pumping at high tide is then explored further by carrying out optimisations of the starting head, number of turbines and turbine diameter in order to determine the maximum annual energy output from the tidal lagoon.

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## 1. Introduction

Investigations into tidal power require some form of mathematical modelling that utilises a computer simulation of the water flowing in and out of estuaries. The data acquired from this can then be used to study and evaluate several aspects namely:

- The energy output of the tidal lagoon.
- The effects of the lagoon on the water levels in the basin.
- The effects of the lagoon on the seaward water levels.
- Comparison of different methods of operating the lagoon.

River or estuary flow can be simulated by 0D, 1D, 2D or 3D modelling approaches, which increase in complexity. The 1D Saint-Venant equations, more commonly known as the shallow water equations model the response of an incompressible fluid to gravitational and rotational forces in open channel flow, providing average flow velocity and water elevation in each channel section (Randall, 2006). The shallow water equations also apply to a popular 2D modelling approach, in which they are derived by depth integrating the 3D Reynolds Average Navier Stokes equations from the river bed to the free surface (Falconer et al., 2009).

The 2D models consist of three equations; the conservation of the volume of water and two representing the conservation of water momentum, which depend on three hydrodynamic variables namely, water depth and the depth averaged velocity components in x and y directions (Bourban et al., 2013). Examples of 2D models include DIVAST from Cardiff University (Brammer et al., 2014), which uses finite volume cell based numeric and SMARTtide from HR Wallingford, which uses finite element cell numeric (Bourban et al., 2013). 2D models assume a hydrostatic pressure distribution (Hervouet, 2007), however due to the need to model pressure terms, particularly on the upstream sides of the impoundment 3D models are usually applied in this near-field zone. The governing equations are the 3D Navier Stokes with the Boussinesq approximation and the incompressible continuity equation. Though computational power has advanced in recent years, 3D modelling remains a complex task, therefore 3D models are usually coupled with 2D models in the far-field zones (Kilanehei et al., 2011).

An 0D model provides a simplified approach to estimating the performance of tidal barrage or lagoon, the model is built on the assumption that a volume of water let into the lagoon will raise the level of the lagoon by an amount equal to the volume let in divided by the area of the lagoon at that moment in time (Aggidis and Benzon, 2013). The model is constructed using MatLab with provisions for the user to vary the input parameters such as number of turbines, head height, turbine diameter, time period, etc. and return the potential power output.

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In order to construct this model knowledge of the tides and bathymetric data for the bay is required. The behaviour of the tides is modelled in terms of an equation that includes the tidal constituents for Swansea Bay. The bathymetry of Swansea Bay is needed in order to determine the height of water within the lagoon. Together these form a vital part of the function of the model, namely that at any point in time the potential energy available from the enclosed body of water can be calculated (Aggidis, 2010). Tidal constituents are available from the United Kingdom Hydrographic Office (UKHO, 2013) and bathymetric data purchased for this research from SeaZone Ltd. (Sea Zone Solutions, 2013). Turbine 'hill charts' for double regulated bulb turbines are used to calculate the flow and power output from a selected turbine at different flow rates and heads. Bulb turbines are employed widely across the world in low-head tidal power schemes, as they are one of the most efficient turbines for low head applications (Schneeberger, 2010). The double-regulated turbine 'hill chart' for this study uses new cutting edge turbine technology made available directly from Andritz Hydro (Aggidis and Feather, 2012). A tidal lagoon is an offshore or partially offshore impoundment that unlike a tidal barrage does not block the estuary it occupies; the technology used is very similar to that found in hydropower schemes, however tidal currents are bi-directional.

Estimating the resource requires a number of assumptions: technical constraints of the device, device efficiency, and effect of resource extraction. Thus there is a large degree of uncertainty. One estimate suggest that the UK holds 50 TW h/year, representing 48% of the European resource (Hammons, 1993), and few sites worldwide are as close to electricity users and the transmission grid as those in the UK. In the 1980s the Department of Energy conducted a number of studies and it was concluded that there are 16 estuaries where tidal barrages should be capable of producing over 44 TW h/year (Department of Energy, 1989). Tidal lagoon schemes in the UK originate from the 1981 Severn Barrage Committee headed by Sir Hermann Bondi (Sustainable Development

Commission, 2007). The initial proposal was to dredge the Severn Estuary material against the coast creating bounded enclosures in shallow water only partially blocking the estuary, known as the Russell Lagoon, however these plans were abandoned. In 2004 a report backing the Swansea Bay lagoon was published by Friends of the Earth Wales, proposing this development as an environmentally and economically attractive alternative to the Severn Barrage (Friends of the Earth Cymru, 2004).

Swansea Bay is located in the Bristol Channel on the South Wales coastline, as part of the Severn Estuary it experiences one of the world's largest tidal ranges, often reaching 10 m. In 2004 Tidal Electric Inc. (TEL) announced proposals to build a 5 km<sup>2</sup> tidal lagoon using twenty-four 2.5 MW, 3.3 m diameter turbines. Atkins (2013) report sponsored by TEL estimated costs in the region of £81.5 million (as of 2006), however this was met with scepticism and the Department of Trade and Industry anticipated the cost of the project to be £234 million (Baker, 2006). More recently however, Tidal Lagoon Swansea Bay Plc (TLSB) have submitted a rival bid to develop a lagoon in Swansea Bay, the outline of which is shown in Fig. 1. TLSB are suggesting a much larger 11.5 km<sup>2</sup> structure with sixteen 20 MW, 7 m diameter turbines capable of generating 495 GW h/annum (Case, 2014). Independent consultants Pöry estimate the capital costs of the TLSB lagoon to be £913 million (Pöry Consultants, 2014). Both companies plan to operate on a dual mode generation.

The Swansea Bay tidal lagoon schemes demonstrate a renewed interest in tidal power, which has long been seen as having significant potential and has many advantages compared to other renewable sources. It is well documented that increasing integration of volatile, unpredictable sources of renewable energy such as wind power and solar power jeopardises the stability of the power grid (Krenn et al., 2013). In order for the grid to remain stable the power generated at any instance has to match demand, therefore it is important that the transmission network contains power sources that are immediately available. Tidal power has an



Fig. 1. Map showing proposed layout of tidal lagoons in Swansea Bay (Case, 2014).

obvious advantage in that the tidal resource is highly predictable such that it is known when power will be made available and enables National Grid forecasting. In an effort to decarbonise the UK energy mix, tidal power could potentially play a crucial role in maintaining the security of energy supply under UK national control.

## 2. Tidal lagoon computational model

The dual mode model employed in this study uses both the flood and ebb phases of the tide to generate electricity. The flood tide is restricted from flowing through the sluices and turbines allowing a substantial head to build up on the tide side. After this point the sluices are opened enabling water to flow through the turbines, generating electricity. At high tide, the sluice gates are closed and the water is trapped behind the barrage and water on the sea facing side is allowed to ebb. When a significant head difference is achieved the water in the lagoon is then allowed to flow through the turbines to generate in the ebb mode.

Investigations into the component parts required to develop the OD model are documented in the following sub-sections.

### 2.1. Bathymetry

Bathymetric data is an integral part of the tidal lagoon model and is used to calculate the variation in depth of Swansea Bay as the tide ebbs and floods into the bay. The data was provided by SeaZone Limited as Survey Depth Soundings in ASCII XYZ Format, which was imported into ArcGIS in Global Latitude–Longitude format and then displayed as XY data. With the data imported into

ArcGIS the first step was to create a Triangular Irregular Network (TIN); a digital means to represent surface morphology. TINs are a form of vector-based digital geographic data and are constructed by triangulating the bathymetric vertices. There are different methods of interpolation to form these triangles, however ArcGIS supports the Delaunay triangulation method. The TIN was created and colour scaled accordingly.

Once the TIN had been created the next step was to create a flat water surface over the bay area. At this stage it was assumed that the bay would fill up in stages defined by a number of layers from the sea floor to the highest water level – whilst this is not how the tides flood the bay it is a valid assumption to make for the OD model used in this study.

It was also assumed that water flows into the bay from the sea to the shore, this was achieved by making a number of transects across the bay from South to North as shown in Fig. 2, the XZ data for each transect was exported to MatLab to generate a surface profile graph showing the variation with depth along the X axis or bay width. A total of 8 transects were taken.

An Inverse Distance Weighting (IDW) function was then used to interpolate a surface, which follows the mean depth nodal points, as shown in Fig. 2. The IDW surface was created to follow the gradient of the bay, imagining how the water would fill the surface over time. The IDW surface created is illustrated in Fig. 3 below with a colour scaling to show the change in depth along the surface of the bay.

The first IDW surface was created at Mean Sea Level (MSL) measured from the Ordinance Datum Newlyn, however in order to calculate the enclosed volume of water in the lagoon over a range of depths a number of IDW surfaces were created at varying heights about the MSL. The created surfaces accurately simulate

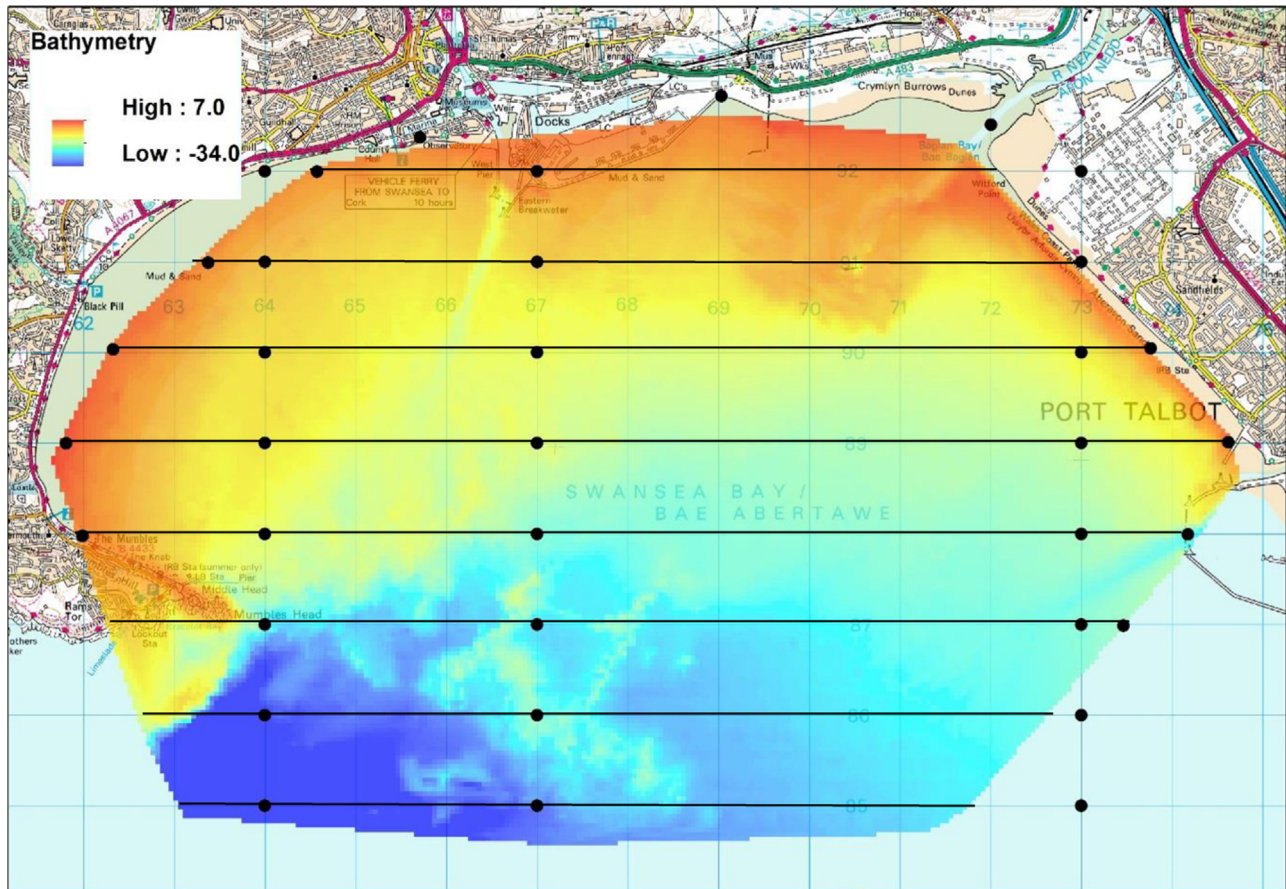


Fig. 2. Chart showing the 8 transects and the mean nodal points across Swansea Bay (key in metres).



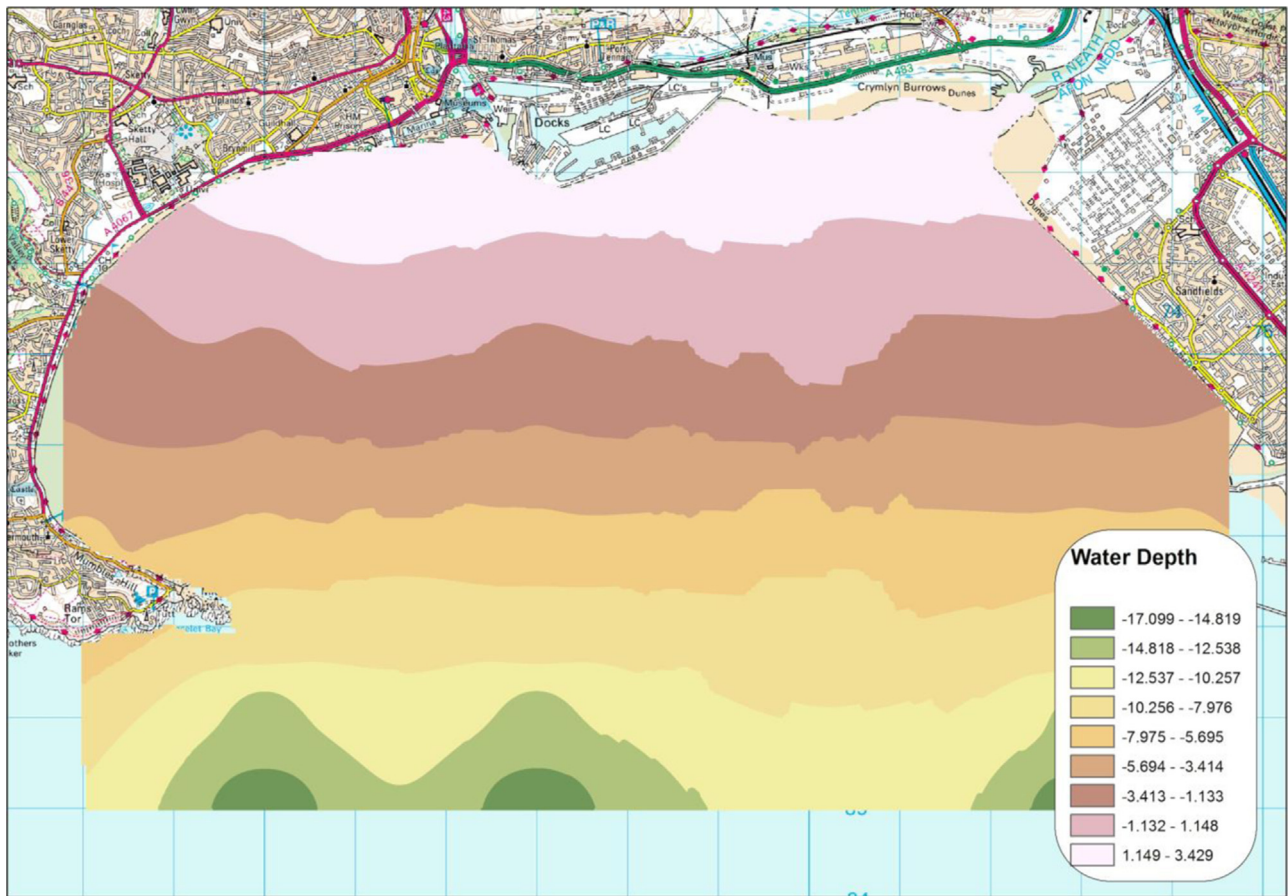


Fig. 3. First IDW surface at mean sea level (key in metres).



Fig. 4. Swansea Bay lagoon mask.

the variation in water level at various depths during the tidal cycle, the IDW surfaces were created between  $MSL + 5$  and  $MSL - 3$  at 1 m intervals. The 'Mask' tool was then used to define the outline of the lagoon area, this ensured that processing only occurred on

locations that fall within the mask, and that all locations outside of it were assigned to 'No Data' in the output as desired. The mask outline is shown in Fig. 4. The final stage of the bathymetric calculations in ArcGIS was to calculate the volume and surface area of

submerged water within the lagoon area for each of the 9 IDW surfaces, for this task the Cut/Fill tool was used. The Cut/Fill tool enables the user to create a map based on two input surfaces displaying the areas and volumes of surface materials that have been modified by the removal or addition of surface material (ESRI, 2013); this is illustrated in Fig. 5.

Using the TIN and the IDW for each surface, the Cut/Fill function calculates the volume of landmass and its corresponding surface area above and below the plane that will be filled during the tidal cycle and sums the individual volumes and areas. For the purposes of bathymetric calculations the deposition volumes and areas will be considered.

The plotted depth/volume curve is shown in Fig. 6 generating a line of best-fit curve. MatLab was used to calculate by least-squares the polynomial curves. Eqs. (1A) and (2B) show the obtained expression for the depth/volume curve from the MatLab calculation for  $Z < 0$  and  $Z \geq 0$  respectively.

For  $Z < 0$

$$V = 0.1285 \times 10^7 Z^2 + 0.7212 \times 10^7 Z + 1.0085 \times 10^7 \quad (1a)$$

And for  $Z \geq 0$

$$V = -0.0113 \times 10^7 Z^3 + 0.1144 \times 10^7 Z^2 + 0.8617 \times 10^7 Z + 1.1458 \times 10^7 \quad (2b)$$

## 2.2. Calculation of the tidal height about mean sea level

Tides can be considered by observing the tidal constituents, where mean spring tides can be expressed as the sum of amplitudes ( $M_2 + S_2$ ) and mean neap tides ( $M_2 - S_2$ ) where  $M_2$  is the moon's principal twice-daily constituent and  $S_2$  is the sun's. Fourier analysis shows that any function can be broken down to its sine and cosine constituents; therefore any sinusoidal tidal variation can be broken down into the sum of a series of sinusoids (referred to as tidal constituents). Each tidal constituent will have a period of oscillation based on the celestial forcing which gives rise to it (VIMS, 2013). Each constituent is a sine wave whose height at any time is defined by a modified version of the simple

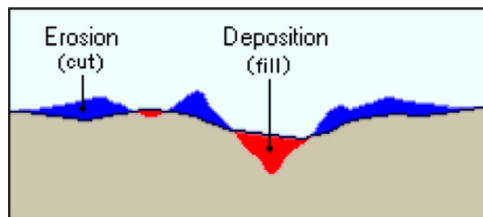


Fig. 5. Cut/fill function, showing removed (cut) and added (fill) material (ESRI, 2013).

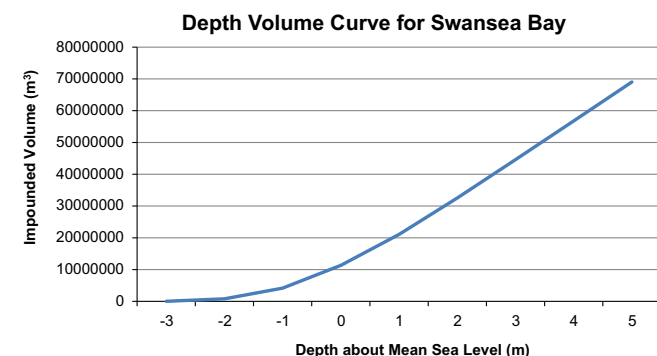


Fig. 6. Depth volume curve.

relationship:

$$Y(t) = H \cos(\omega t - \phi) \quad (3)$$

where  $H$  is the amplitude,  $\omega$  is the speed of rotation,  $t$  is the time elapsed since the selected starting point and  $\phi$  is the phase lag.

A database for tidal constituents is maintained and updated by the United Kingdom Hydrographic Office (UKHO, 2013), the constituents for Swansea Bay are given in Table 1.

Eq. (4) below was used to plot the UKHO tidal curve using tidal constituents as an expansion of Eq. (3), to include all four tidal constituents.

$$Y(t) = 3.18 \cos(0.5058t - 3.019) + 1.13 \cos(0.5236t - 3.84) + 0.07 \cos(0.2625t - 2.23) + 0.07 \cos(0.2434t - 6.21) \quad (4)$$

It is necessary to point out that the tides cannot be fully expressed by using only four tidal constituents, however previous research has used four constituents and the results of these studies are considered to be suitably accurate. BODC have a station at Mumbles Head in Swansea Bay that measures the observed sea level therefore it is possible to calculate the difference between the observed and predicted sea level, also known as the residual value. To demonstrate the accuracy of the tidal constituent method the residual values have been plotted against the number of times it occurs over one month (September 2012), as shown in Fig. 7. The mean value for the residual value is 0.01 m.

## 2.3. Lagoon computational model

The 0D model was created in MatLab and follows the approach of previous research. The generation stages combine the calculation for turbine flow rate with the lagoon depth/area calculations to give the flow at regular intervals based on the head of water at that time. The backwards difference approach uses the flow through the turbines over a defined time period, 0.1 h, to find the new volume of water in the lagoon by deducting it from, in the case of flood mode, and adding it to, in the case of ebb mode the volume of water at the beginning of the 0.1 h interval.

Using Prandle (1984), the lagoon depth is calculated and compared to that at the start of the interval. As the tidal height at

Table 1  
Tidal Constituents for Swansea Bay.

Constituent	Constituent amplitude, $H(m)$ ATT (2012)	Degrees per hour	Radians per hour ( $\omega$ )	Phase degree
$M_2$	3.18	28.984	0.5058	173
$S_2$	1.13	30.000	0.5236	220
$K_1$	0.07	15.041	0.2625	128
$O_1$	0.07	13.943	0.2434	356

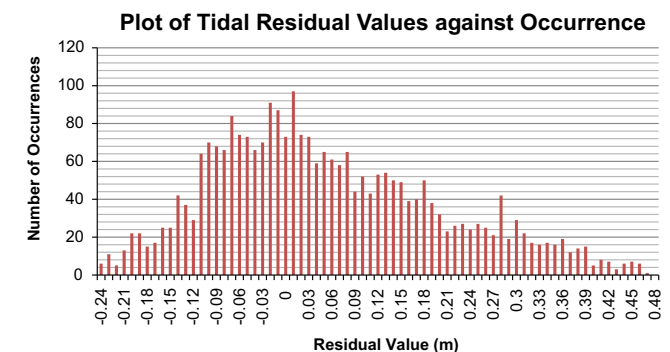


Fig. 7. Tidal residuals at mumbles head.



any point in time is known from the tidal Eq. (4), the difference between the lagoon water level,  $Z(t)$  and the height of the tide  $Y(t)$  at that particular moment gives the head,  $H$ , of water.

The operation of the tidal lagoon as implemented in the creation of the MatLab model can be broken down into four distinct stages for dual mode generation, shown in Fig. 8. The governing equations of the separate stages have been defined using logic, the following sub-sections detail the calculations taking place at each stage.

### 2.3.1. Hold period

The flat sections of the lagoon curve, where no generation occurs, indicate the hold period. There are two distinct hold stages, one for ebb mode and one for flood mode generation, both are defined by the minimum turbine generating head typically between 1 and 2 m depending on manufacturer. When the difference between sea and lagoon water level reaches the minimum head, generation can begin. For ebb mode this condition is defined as  $Z(t) - Y(t) < S$ , for flood mode  $Y(t) - Z(t) < S$ .

### 2.3.2. Lagoon filling

In dual mode, generation occurs during the filling stage forming the flood mode phase of the cycle. Generation phases combine the bathymetry calculations found in Section 2.1 with the bulb turbine hill chart equations. The hill chart used in this model is shown in Fig. 9 and was supplied by Andritz Hydro.

Over a single time interval the following takes place:

1. The unit speed,  $n_{11}$  is calculated from  $H$ , the synchronous speed  $Sp$  and the turbine diameter,  $D$ , from Eq. (5), the corresponding unit discharge  $Q_{11}$  is found from the maximum output curve of the hill chart, the equation of which is defined in Aggidis and Feather (2012).

$$n_{11} = \frac{Sp \times D}{\sqrt{H}} \quad (5)$$

2. The vertical axis of the hill chart defines the unit discharge as Eq. (6), which can be rearranged to find flow rate  $Q$ . The total flow rate can be found by multiplying  $Q$  by the number of turbines  $N$ .

$$Q_{11} = \frac{Q}{\sqrt{H} \times D^2} \quad (6)$$

3. From the initial value of the water level  $Z$ , the volume of water in the lagoon can be calculated using Eq. (1), the new volume of water in the lagoon can be calculated by the addition of the flow rate  $Q$  from (5), and by dividing this by the surface area occupied the new lagoon water level  $Z$  is found.
4. The head  $H$  and the turbine efficiency curve and combination of hydraulic, generator and powertrain efficiency from Aggidis and Benzon (2013) can be used to calculate power  $P$  per turbine from Eq. (7).

$$P = \rho \times g \times Q \times H \times \eta \quad (7)$$

5. The power per turbine is multiplied by  $N$  to give the total power, which is multiplied by the time per interval, 0.1 h, to give the energy per interval. This can be summed over several intervals to give an overall energy production for the time period, as desired.

The maximum operating line defined on the hill chart can be split into two sections, the gradient represents the maximum allowable opening of the turbine distributor and runner blades for acceptable operation, and is therefore maximum flow. The horizontal section represents the maximum product of head, flow and efficiency, above this line the increase in flow is offset by the reduction in efficiency. At lower specific speeds the power generated by these flow rates has exceeded the generator limit, therefore the flow rate needs to be sufficiently reduced. The model accounts for this and reduces the flow in the most efficient manner.

The flood mode generation phase holds true only when the following conditions are met, namely  $Y(t) - Z(t) > S$ , where  $S$  is the minimum head. The programme would run through the generation cycle whilst these conditions are met until the head reaches a minimum at which point the hold phase begins.

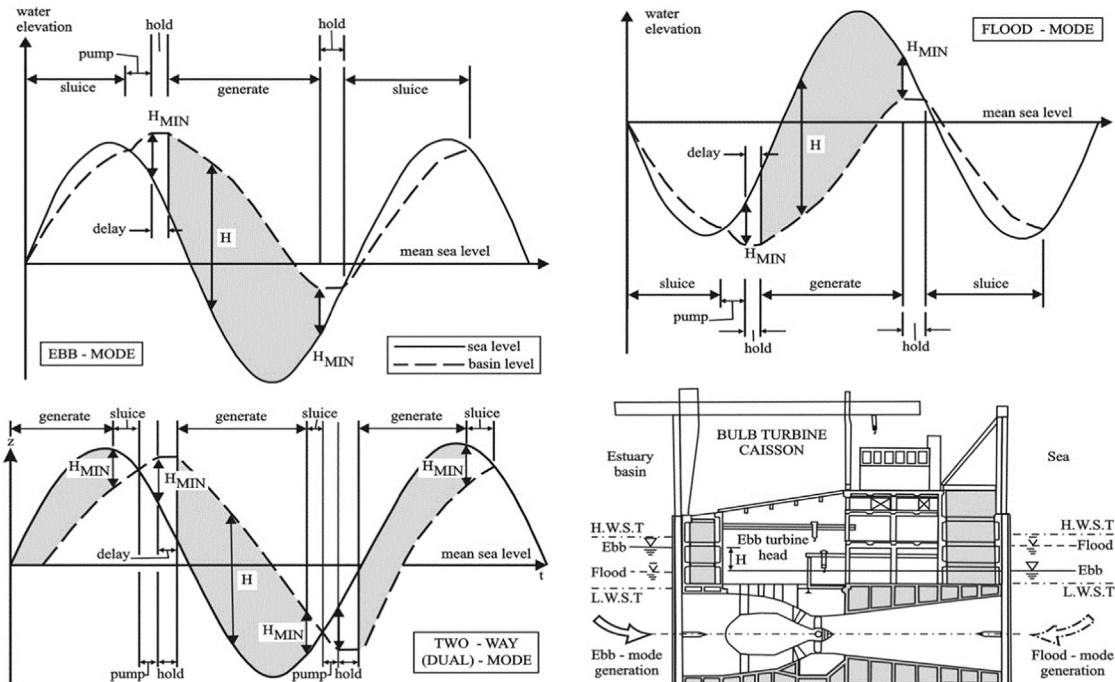


Fig. 8. Outline of different operating modes (Burrows et al., 2009).

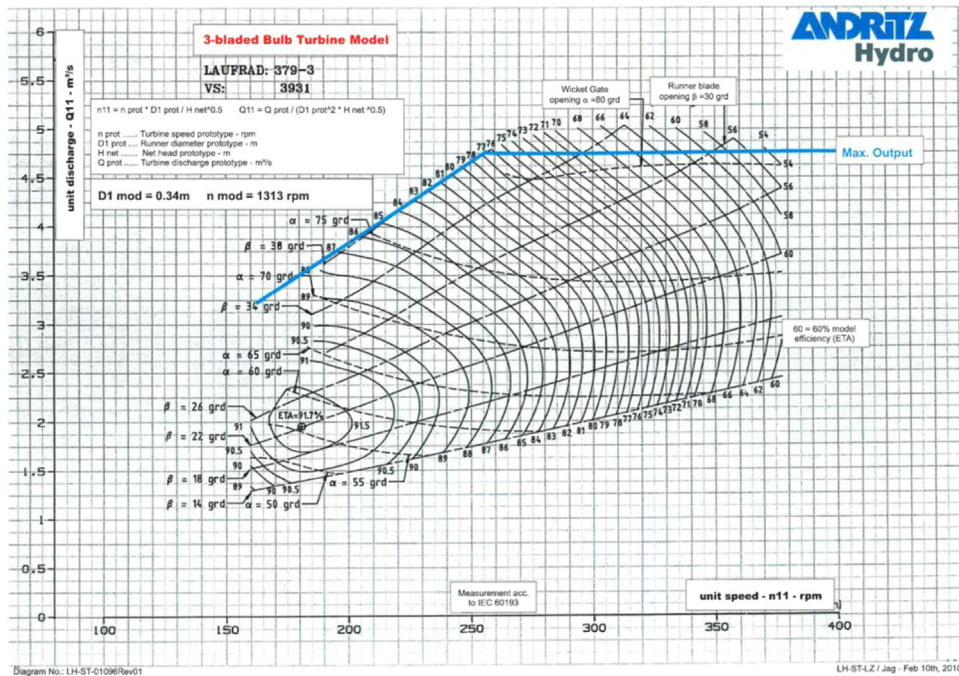


Fig. 9. Andritz hydro hill chart.

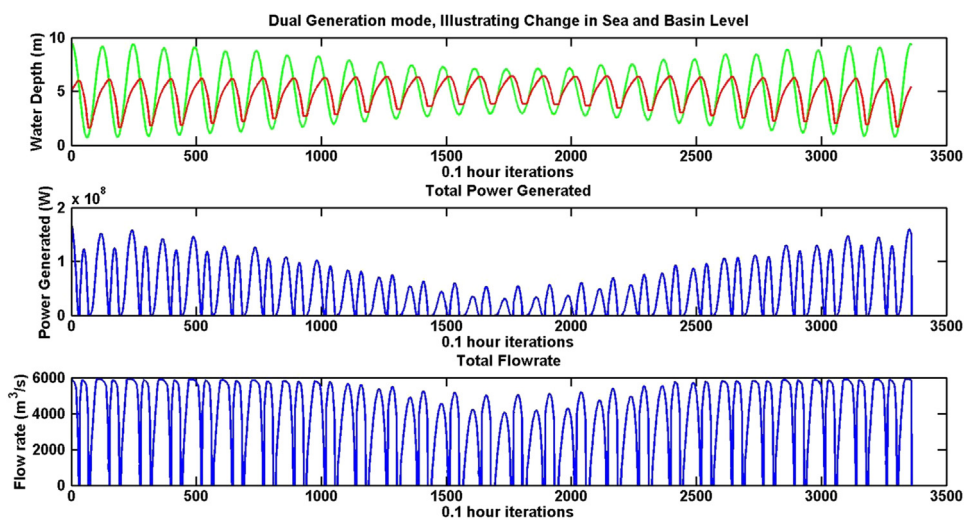


Fig. 10. Dual mode generation 3 subplots. (For interpretation of the references to colour in this figure, the reader is referred to the web version of this article.)

### 2.3.3. Lagoon emptying

In dual mode, generation also occurs during the emptying stage forming the ebb mode generation phase of the cycle. The procedure for calculating the generation phase is the same as lagoon filling, however only when the following conditions are met, namely  $Z(t) - Y(t) > S$ .

## 3. Results and discussion

### 3.1. Dual mode results

The dual mode model results were calculated based upon the input parameters that follow the design selection made by TLSB, namely:

Diameter –  $D$ : 7 m;

Number of turbines –  $N=16$ ;

Generator capacity –  $P_{max}=16 \times 20 \text{ MW}=320 \text{ MW}$ ;

Time – 365 days;

Minimum generating head –  $S=1 \text{ m}$ .

The total energy generated for the time period was calculated to be 479.8 GW h, which is very close to the published annual energy estimation of 495 GW h. Fig. 10 shows the results of the model simulation for a 14 day time period, it shows 3 subplots generated by the OD model using the parameters listed above. The top subplot shows the variation in sea level (green) and variation in lagoon water level (red) during the 14 day tidal cycle. The middle subplot shows the power output by the water flowing through the turbines, and the bottom subplot shows the rate of discharge.

Fig. 10 shows an indicative example of the expected power generation, showing a maximum of 160 MW, despite this being

half the installed capacity of the plant, indicating that the parameters chosen have not been optimised. In addition it has been documented in previous studies that dual mode generation offers a lower annual energy production when compared to just ebb mode generation. Burrows noticed in simulation studies of the Dee Barrage that dual mode operation is 'typically found to yield energy in the range of 78–98% of that achieved from the equivalent ebb mode', this can be explained as a result of several reasons. Firstly, the ebb mode sequence starts at a basin level which is much lower than for ebb mode generation alone, towards the end of the ebb generating sequence sluices are used to reduce the basin to a minimum level sufficient for the flood generation phase, essentially wasting generating capacity. Secondly, it is the combination of lower heads during each phase compared with one way generation and the fact that there is an associated efficiency 'cost penalty' on the basis of running the turbines in reverse during flood generation phase that results in dual mode not producing more energy than one way generation, this prompted the authors to investigate ebb mode generation.

### 3.2. Ebb mode generation results

In ebb mode no generation takes place during the lagoon filling stage, therefore the code must account for the water that enters the lagoon through the sluice gates and the turbines acting in sluice mode. Eq. (8) defines the flow rate through an opening in relation to the height of fluid above the opening and because the turbines are assumed to be fully submerged the equation may also be used for turbines acting in sluice mode.

$$Q = A_s \times C_d \times \sqrt{2 \times g \times H} \quad (8)$$

where  $Q$  is the discharge measured in  $\text{m}^3/\text{s}$ , the coefficient of discharge  $C_d$  assumed to be 0.9, and the area of opening,  $A_s$ .

The dual mode OD study used the parameters selected by TLSB to offer a direct comparison to the scheme and initially the ebb mode study was similarly computed with thirty  $15 \text{ m} \times 10 \text{ m}$  sluice gates. The area of opening can be taken as the combined area of the sluice gates and the turbines. The annual energy generation was found to be 596.04 GW h, some 24% increase in annual energy yield. This result indicates the advantage of ebb mode generation therefore the authors wished to optimise different parameters such as turbine size and start head to provide a 'best' option for the Swansea Bay tidal lagoon in terms of annual energy generated.

### 3.3. Optimised ebb mode generation results

In routines such as this, energy capture can be maximised by choosing a suitable delay before generation begins. The 365 days simulation was run for a series of starting heads,  $S$ , at 0.5 m intervals ranging from 0.5 m to 4 m. At each starting head the annual energy was calculated, the results are plotted in Fig. 11. From Fig. 11 it is evident that delaying generation until a 3 m head results in the highest annual energy production, which was found to be 664.7 GW h. At larger starting heads the annual energy production reduces, the most likely reason for this effect is that if generation is postponed for too long there will only be a short generation window. Similarly, with a short delay only small heads will be seen across the lagoon resulting in lower flow rates and a reduced annual energy production.

A range of turbine diameters were entered into the model and the associated annual energy production was calculated accordingly. For each simulation 16 turbines were used and the starting head was defined as 3 m, with the power limit for the plant restricted to 320 MW. The plot of diameter against energy is shown in Fig. 12, what this plot shows is that up until 8 m an increase in the diameter results in an increase in the annual

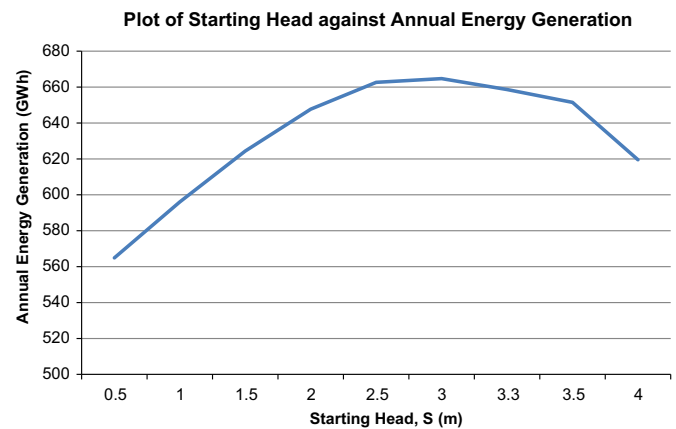


Fig. 11. Graph showing the effect of changing starting head.

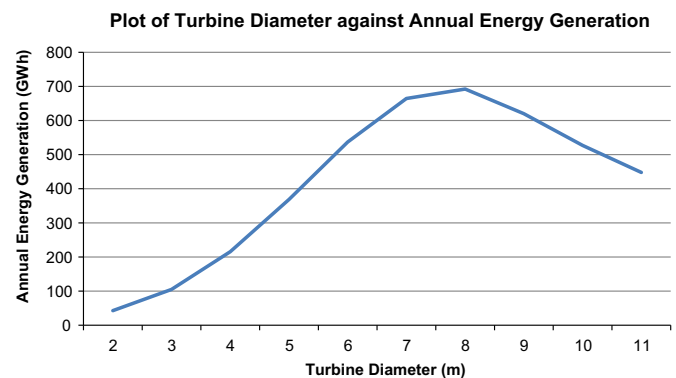


Fig. 12. Graph showing the effect of changing turbine diameter.

energy production. For turbine diameters greater than 8 m, the result of a lower annual energy generation is likely due to the fact that the lagoon empties too quickly reducing the head significantly. For smaller diameters the opposite is true, the limited capacity of these turbines means much lower flow rates. The small percentage difference between the annual energy production of the 7 m and 8 m diameter turbine could indicate that the 7 m diameter turbine chosen by TLSB would be suitable; it also offers advantages from an economic point of view with reduced manufacturing and installation costs.

The effect of the changing the number of turbines has also been explored. Fig. 13 shows that for 7 m diameter and 3 m starting head the annual energy production increases proportionately to the number of turbines until a point at which it no longer increases as the lagoon has reached a maximum fixed percentage of the potential energy of the site. Fig. 13 would suggest that 25 turbines are optimal, however for a relatively small gain in annual energy there is a more significant increase in the associated cost of the additional turbines making this a less attractive arrangement.

In conclusion, using the optimisation curves, the data can be input into the simulation to give an estimate of the optimised tidal lagoon in Swansea Bay using ebb mode generation. It was found that using a 3 m starting head and twenty five 8 m diameter turbines, 726.8 GW h of generated energy is possible, though a scheme with fewer smaller turbines would be advantageous to reduce the overall levelised cost of energy.

#### 3.3.1. Ebb mode generation with flood pumping

Ebb mode generation with flood pumping at high tide has been shown in earlier studies to further enhance energy capture (Shaw and Watson, 2003), therefore it will be considered in this study. Pumping begins as soon as the sluice gates have closed, when the



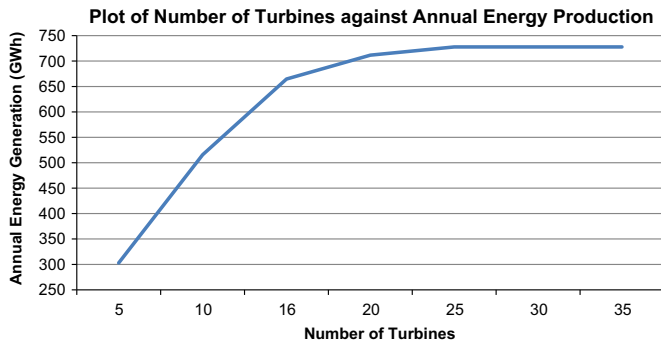


Fig. 13. Graph showing the effect of changing the number of turbines.

**Table 2**  
Annual energy generation figures for different operating modes.

Operating mode	Input parameters	Annual energy generation (GW h)
Dual mode	Turbine Diameter	7 m
	Number of turbines	16
	Minimum generating head	1 m
Ebb mode	Turbine Diameter	7 m
	Number of turbines	16
	Minimum generating head	1 m
Optimised ebb mode	Turbine Diameter	8 m
	Number of turbines	25
	Minimum generating head	3 m
Optimised ebb mode with pumping	Turbine Diameter	7 m
	Number of turbines	16
	Minimum generating head	3 m
	Pumping head	1 m

levels in the basin and sea equalise. Water is then pumped from the sea into the lagoon to increase the head available for generation later in the tidal cycle. The use of flood pumping and the calculation of the gain in net energy yield is dependent on many variables. The first is the turbine characteristics when acting as a pump and the calculation for this phase uses the same maximum output curve from the hill chart, however with a reduced power output attributed to the reduction in efficiency as a result of the reversed direction of flow, this study has imposed a limit of 90% of operating efficiency of the turbine. The calculation in MatLab uses 16 turbines, 7 m in diameter with thirty sluice gates and a starting head of 3 m. A pumping height of 1 m was chosen based on the parameters of similar studies (Aggidis and Benzon, 2013). This study gave an annual energy generation of 731.7 GW h an increase of 10.1% compared to ebb generation using the same parameters. In this study pumping occurred at every high tide, however depending on the generating optimisation methods chosen it could be limited to neap tides only for example to match the peak power outputs across the tidal cycle. This study has considered a single pumping height, 1 m, which represents the duration of pumping post high tide, however other studies have shown the annual energy yield is sensitive to this parameter (Haws et al., 1990). This result shows the potential for significant gains available from using pumping in the Swansea Bay lagoon scheme and further studies would be required in order to obtain an optimum pairing of pumping height and minimum generating head (Table 2).

#### 4. Conclusions

The purpose of this paper was to demonstrate the methods in which OD modelling has been used to examine the potential energy generation of a tidal lagoon in Swansea Bay over a number of different operating modes. The key results obtained from this research show that for dual mode generation, the annual energy generation is very similar to the published predictions by TLSB.

The optimal lagoon model assumes that the turbine is operating along the maximum output curve at all times and that efficiency is a function of unit speed, this demonstrates the theoretical energy available for a given scheme. Current developments that could be transferred from the wind industry relating to the use of asynchronous generators, coupled with frequency conversion ability at multi-megawatt values, could ensure an improved annual energy generation by following the continuous variation of head and flow rates at the best efficiency point of the model curve (Shorrock, 2014), however this requires further investigation. In the OD ebb mode studies, different starting heads, turbine sizes, number of turbines have been investigated to give the optimised generation routine, however this study has not included the detailed hydraulic design of the turbine ducts and sluice gates, which would give rise to entry and exit head losses affecting the annual energy generation. Studies (Burrows et al., 2009) of a similar nature have revealed that more advanced 2D modelling under predicts the energy generation capabilities compared to a OD model by some 10%, highlighting the need for a more rigorous approach. In addition if the figures stated are to be considered realistic estimates of potential energy returns, further turbine modelling (turbulent flow, cavitation, and flow concentration), increased sluice capabilities, 3D hydrodynamic modelling and sedimentation studies of the lagoon need investigating to enhance the accuracy of future model predictions. The OD model is also sensitive to the time step used, in this case the model shows a time step of 0.1 h generates 596.04 GW h for ebb mode, however a time step of 0.05 h generates 648.2 GW h and 0.01 h 652.03 GW h some 10% greater than 0.1 h. Though the original time step was deemed small enough to minimise the truncation error in future modelling it is anticipated that further studies of the effect of different time steps will be investigated. Thus, in the absence of any specific studies available on the public domain to provide a more accurate estimation for these values it would be feasible to assume a cumulative uncertainty range well beyond the 10% overestimation, perhaps double or triple that value. As a next step additional studies are required to take place in order to clarify further the exact range of these values and thus minimise the uncertainty error.

Overall, the results from comparisons in this study show that ebb mode can increase annual energy generation by around 24% compared to dual mode generation alone under similar operating conditions. However, despite the lower annual energy generation dual mode has been favoured by developers due to the reduced impact it has on the lagoon environment in comparison to ebb mode generation (Ahmadian et al., 2014), this is due to relatively small change in the mean water level during the dual mode cycle such that the degree of alteration of the inner lagoon environment remains comparatively unchanged (Yates et al., 2013). To establish the actual environmental impact effect of the lagoon requires detailed research in order to understand the estuarine environment. In 2012 TLSB plc published an Environment Impact Assessment scoping document with the study areas and methodologies of the assessment, since then an Environmental Statement has been published as part of the planning application documentation in February 2014. The current lagoon design has been selected by TLSB as it provides the best solution with regard to energy generation, environmental impact and cost viability.

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