

# Midterm Study Guide

Emily Tseng  
et397@cornell.edu

February 29, 2020

## 1 Introduction

This is a study guide for the midterm exam in CS6741, spring 2020. It covers the following:

1. Specification of generative models through \*generative processes\* and directed graphical models.
2. Parameterization of distributions through features and \*neural networks\*.
3. Geometric representations of distributions through softmax and \*simplex\* representations.
4. Information-theoretic properties of discrete distributions, primarily \*KL\* but also entropy, cross-entropy.
5. Maximum-likelihood estimation (MLE) through \*back-propagation\* particularly chain-rule of log-softmax.
6. Familiarity with basic neural network structures, in particular \*attention\*.
7. Mastery of \*Naive Bayes\* and \*Softmax regression\* - parameterization, class-sizes, posterior inference, features, difference.
8. Comprehension of notation of \*latent-variables\* and their usage, including MLE in the presence of latent-variables.
9. Understanding the \*variational\* formulation of the MLE objective in terms of ELBO and posterior gap.
10. Writing down the \*EM\* steps for clustering and understanding what each step is doing.
11. Knowing the conditions under which EM is intractable and alternative variational approaches using simpler  $q$ .
12. Using variational auto-encoders with neural  $p$  as an alternative to EM.
13. Conditions under which REINFORCE is used for backpropagation and the reasoning.

## **2 Generative models**

## **3 Parameterization of distributions**

### **3.1 Features**

### **3.2 Neural networks**

## **4 Geometric representations of distributions**

### **4.1 Softmax representations**

### **4.2 Simplex representations**

## **5 Information-theoretic properties of discrete distributions**

### **5.1 Entropy & Perplexity**

### **5.2 KL**

### **5.3 Cross-entropy**

## **6 MLE through backpropagation: chain-rule of log-softmax**

## **7 Basic neural network structures, in particular attention**

## **8 Naive Bayes and Softmax regression**

Parameterization, class sizes, posterior inference, features, difference.

## **9 Latent variables**

### **9.1 MLE in the presence of latent variables**

## **10 Variational formulation of the MLE objective: ELBO, posterior gap**

## **11 EM steps for clustering**

### **11.1 Conditions under which EM is intractable**

### **11.2 Alternative variational approaches using simpler $q$**

## **12 Variational auto-encoders with neural $\rho$ as an alternative to EM**

## **13 REINFORCE**

### **13.1 Conditions under which REINFORCE is used for backpropagation**

## **References**