An Efficient Score Alignment Algorithm and its Applications

by

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Submitted to the Department of Electrical Engineering and Computer Science

in partial fulfillment of the requirements for the degree of

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Abstract

String alignment and comparison in Computer Science is a well-explored space with classic problems such as Longest Common Subsequence that have practical application in bioinformatic genomic sequencing and data comparison in revision control systems. In the field of musicology, score alignment and comparison is a problem with many similarities to string comparison and alignment but also vast differences. In particular we can use ideas in string alignment and comparison to compare a music score in the MIDI format with a music score generated from Optical Musical Recognition (OMR), both of which have incomplete or wrong information, and correct errors that were introduced in the OMR process to create an improved third score. My thesis focuses on creating a set of algorithms that align and compare MIDI and OMR music scores to produce a corrected version of the OMR score that borrows ideas from classic computer science string comparison and alignment algorithm but also incorporates optimizations and heuristics from music theory.

Thesis Supervisor: Prof. Michael S. Cuthbert

Title: Associate Professor

Acknowledgments

This is the acknowledgements section. You should replace this with your own acknowledgements.

Contents

1	Intr	roducti	ion	15
	1.1	Motiv	ation	15
	1.2	Projec	et Overview	17
	1.3	Chapt	er Summary	17
2	Bac	kgroui	nd and Related Work	19
	2.1	Music	al Terminology	19
	2.2	Non-n	nusical Work in Sequence Alignment	19
		2.2.1	An Overview of Sequence Alignment	19
			2.2.1.1 Edit Distance	21
			2.2.1.2 Alignment	23
		2.2.2	Formal Definitions in Sequence Alignment	25
	2.3	Turnii	ng Sequence Alignment into a Musical Problem	26
	2.4	Relate	ed Work	27
		2.4.1	Typke: Music Retrieval based on Melodic Similarity	27
		2.4.2	Church and Cuthbert: Rhythmic Comparison and Alignment .	27
		2.4.3	Viro: Peachnote, Musical Score Search and Analysis and IMSLP	27
		2.4.4	White: Statistical Properties of Music and MIDI	27
		2.4.5	Rebelo et al: Optical Music Recognition - State-of-the-Art and	
			Open Issues	28
3	Pre	vious	Work	29
	3.1	A Mo	dular Universal Hasher	29

		3.1.1	Why Musical Con	mparison and Alignment Needs a Modular	
			Hashing Function		30
		3.1.2	Previous Work on	Modular Hashing Functions	31
		3.1.3	Overview of Syste	m	32
			3.1.3.1 Pre-Hash	ning: High Level Hasher Settings	33
			3.1.3.2 Pre-Hash	ning: Low Level General Settings	33
			3.1.3.3 Pre-Hash	ning: Low Level Note Settings	34
			3.1.3.4 Pre-Hash	ning: Low Level Chord Hashing Settings	35
			3.1.3.5 Hashing:	hashStream, the engine	35
			3.1.3.6 Hashing:	Setting up ValidTypes and StateVars	35
		3.1.4	Hashing: Preproce	essing the Stream	36
			3.1.4.1 Hashing:	Creating a List of Elements to be Hashed .	36
			3.1.4.2 Hashing:	Building a Set of Hashing Functions	36
			3.1.4.3 Hashing:	Creating a NoteHash for Every Element	36
			3.1.4.4 Hashing:	Building finalHash	37
	3.2	Low-le	vel Object Compar	rison Optimizations	37
4	Fina	al Imn	ementation		39
-	4.1	-			40
	1.1	4.1.1			40
		1.1.1		unner: preprocessStreams	41
			•	unner: setupHasher	43
			-	unner: alignStreams	43
				unner: fixStreams	43
	4.2	Hashe	-		44
		4.2.1		er	44
		4.2.2		erence	44
	4.3				45
	-	4.3.1			45
		4.3.2		al Alignment	45

Figu	ıres			65
Tab	les			63
6.1	Future	e Fixers		61
Fut	ure Wo	ork		61
5.2	Timin	g		59
		_		59
		M. ·		5 9
σr.	L .			F 0
	4.6.2			58
	4.6.1			56
4.6	An Ex			56
	4.5.3			56
		4.5.2.2		55
	2.9. 2	4.5.2.1		55
	4.5.2			55
				54
	1.0.1			54
1.0				54
				53 53
4.4	Fiver			53
			v	50 51
		1206		49
		4.3.2.5		4.0
			•	48
				47
		4.3.2.2	Pre-Alignment: Hashing the Streams	47
		4.3.2.1	Pre-Alignment: Setting Appropriate Parameters	46
	Test 5.1 5.2 Fut 6.1 Tab	4.5.1 4.5.2 4.5.3 4.6 An Ex 4.6.1 4.6.2 Testing 5.1 Existing 5.2 Timin Future Weight	4.3.2.2 4.3.2.3 4.3.2.4 4.3.2.5 4.3.2.6 4.3.2.7 4.4 Fixer 4.5 Tradeoffs and I 4.5.1.1 4.5.1.2 4.5.2 Perform 4.5.2.1 4.5.2.2 4.5.3 Manual 4.6 An Example: S 4.6.1 Preparin 4.6.2 Testing 5.1 Existing Music 5.2 Timing Future Work 6.1 Future Fixers	4.3.2.2 Pre-Alignment: Hashing the Streams 4.3.2.3 Alignment: Initializing the Distance Matrix 4.3.2.4 Alignment: Populating the Distance Matrix 4.3.2.5 Alignment: Backtrace to Find the Best Alignment and Create the Changes List 4.3.2.6 Post-Alignment: Measures of Similarity 4.3.2.7 Post-Alignment: Visual Display of Alignment 4.4 Fixer 4.5 Tradeoffs and Design Decisions 4.5.1 Modularity vs. Simplicity 4.5.1.1 A Variety of Settings and a Longer Setup 4.5.1.2 Length Agnosticism 4.5.2 Performance vs. Space and Object Overhead 4.5.2.1 NoteHashWithReference 4.5.2.2 Creating One Hasher and Many Aligners 4.5.3 Manual User Input 4.6 An Example: String Quartet No.7 in E-flat major, K.160 4.6.1 Preparing the Raw Input 4.6.2 Testing 5.1 Existing Music 5.2 Timing Future Work 6.1 Future Fixers Tables

List of Figures

2-1	An example of protein alignment	20
2-2	Alignment of MUSICAL and JUSTICE	22
2-3	A bad alignment of MUSICAL and JUSTICE	23
2-4	The alignment of MUSICAL split at i and JUSTICE split at j	24
2-5	Initial distance matrix setup for aligning MUSICAL and JUSTICE $$.	24
3-1	The hash of rhythmic features of this short melody would be $Note-Hash(offset=0, duration=0.5)$, $NoteHash(offset=0.5, duration=0.5)$,	
	$NoteHash(offset=1.0,\ duration=2.0)\ NoteHash(offset=4,\ duration=1.0)$	30
3-2	The hash of features related to transposition of this short melody would	
	$be\ \textit{NoteHash(intervalFromLastNote} = 0),\ $	-
	2), $NoteHash(intervalFromLastNote=-5)$	31
4-1	System diagram. The inputs to the system are OMR and MIDI streams.	
	The output of the system is a corrected OMR stream	40
4-2	An example string quartet stream and its four parts	42
4-3	A bad alignment setup of the quartet would treat the entire piece as	
	four serial streams and only the only guaranteed alignment would be	
	between the beginning of the first part and the end of the last part	42
4-4	A better alignment setup of the quartet would do part-wise align-	
	ment instead of treating the entire piece as a sequence of parts. This	
	methodology guarantees that the beginnings and ends of each part are	
	aligned.	42

4-5	Visual display of changes in source (OMR) stream	51
4-6	Visual display of changes in target (MIDI) stream	52
4-7	Excerpt of original score taken from IMSLP	52
4-8	The uncropped first page of k160 contains extraneous noise in the title	
	section	57
B-1		65
B-2		66

List of Tables

2.1	Naive Operation Cost Function Table	22
2.2	Operation Cost Table With Costlier Substitutions	22
2.3	Operation Cost Table With Different Substitution Costs	23
A.1		63

Chapter 1

Introduction

1.1 Motivation

Comparing music is seminal to the field of Music Information Retrieval (MIR). Questions such as "Is this piece of music plagiarized from Bach?", "Is this rhythmically more similar to Philip Glass or Scott Joplin? Melodically?", "Is this newly discovered musical transcription an entirely new folk song or just a variation of an old one?" can be answered by comparing the pieces in question and applying a quantitative metric to determine that, "Yes, this piece quotes Bach more than other pieces of similar time period and style", or, "This piece has 74% melodic similarity to Joplin's repertoire, 10% similarity to Glass, but rhythmically shares 64% similarity with Glass and only 12% with Joplin."

Computational music comparison, a subset of classical computer science string comparison, comes in many forms and each domain has slightly different specifications for alignment and comparison.

The domain of music comparison that I will be studying and building tools for will be comparison between a MIDI score, which represents music in a restricted manner and its OMR counterpart, possibly a scanned score. Each representation is imperfect on

its own.

MIDI represents music in a very limited manner. This format encodes enharmonic notes as the "same"; note lengths are relative to each other, not necessarily in relation to a defined time signature; there is freedom in representing duration, as long as the total length is correct; and many other design decisions that make MIDI very universally compatible but also lacking in definition. Furthermore, since MIDI scores are generally created with some kind of human input, they tend to be correct, pitch-wise and rhythmically.

OMR takes a score that likely to be correct (the idea being this is a printed and published work, and also likely proofed for errors by the composer or publisher), scans its and tries to recreate what it scans in a digital notation format. While the more commonplace OCR has been optimized and whittled to an extremely high standard, OMR is still at present only 90% correct [2]. One can imagine that the "alphabet" that OMR deals with is much larger and less well-defined than the 52 capital and lowercase letters and punctuation that OCR has been optimized for. Therefore, much of the optimizations for OCR do not directly apply to OMR. Some common errors in OMR include incorrectly recognizing a sharp as a natural and vice versa, mistaking rests as notes and vice versa, and other errors that any musician trained in the basics of music theory could identify as "wrong". Simple rules such as: all measures must sum to the same duration, only notes with existing accidentals can be made natural, should be able to great improve the quality of OMR if taken into account.

The idea here is that we can compare MIDI scores and OMR scores, properly align them for as many points in the music as possible, and then use the correct metadata present in the MIDI to score to correct for scanning errors in the OMR score.

1.2 Project Overview

1.3 Chapter Summary

Chapter 2 will discuss background information that is important to understanding my work, including basic musical terms, an overview of canonical sequence alignment methods, as well as related work in musical sequence representation, alignment, and correction. Chapter 3 will summarize the work I did previously that is used as a building block for my thesis work. It also briefly discusses some ideas that were not as promising to explore. Chapter 4 will be a deep dive into the final implementations of the three main modules of my work and provides a concrete example of how the entire system would work. Performance and testing metrics are discussed in Chapter 5. Finally, Chapter 6 concludes with areas to explore in future work.

Chapter 2

Background and Related Work

2.1 Musical Terminology

2.2 Non-musical Work in Sequence Alignment

It is far easier to understand sequence alignment in a non-musical context (here the context is plain string alignment), understand the rules and assumptions made in the non-musical context, generalize them, and then recreate music-specific rules and assumptions.

If you are familiar with the canonical representation of the string alignment problem as a dynamic programming matrix, you can skip this section.

2.2.1 An Overview of Sequence Alignment

Sequence alignment in bioinformatics is an application of well-studied problem. In this field, researchers want to identify similar regions of DNA, RNA, or protein sequences to study functional, structural, or evolutionary relationships between the two sequences.

```
Hsa TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDI 103
Ptr_TMEM66
                ALTLHYDRYTTSRRLDPIPOLKCVGGTAGCDSYTPKVIOCONKGWDGYDVOWECKTDLDI 103
Ppy_TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDI
Mml TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDI
Mfa_TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDI
                                                                             103
Mne TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDI
Ssc TMEM66
                ALTLHYDRYTTSRRLDPIPQLKCVGGTAGCDSYTPKVIQCQNKGWDGYDVQWECKTDLDV
Bta TMEM66
                ALTLYYDRYTTSRRLEPIPQLKCVGGTAGCDSYTPKVIQCQNRGWDGYDVQWECKTDLDV
Cfa TMEM66
                ALTLHHDRYTTSRRLDPIPOLKCVGGTAGCDSYTPKVIOCONKGWDGYDVOWECKTDLDI
                                                                             103
Mmu_TMEM66
                ALTLYSDRYTTSRRLDPIPOLKCVGGTAGCEAYTPRVIOCONKGWDGYDVOWECKTDLDI
Rno TMEM66
                ALTLYSDRYTTSRRLDPIPQLKCVGGTAGCDAYTPKVVQCQNKGWDGYDVQWECKTDLDI
Ocu TMEM66
                ALTLHYDRYTTSRRLEPIPQLKCVGGTAGCDAYTPKVIQCQNKGWDGYDVQWECKTDLDV
Laf TMEM66
                ALTLHYNRYTTSRRLDPVPQLKCIGGTAGCNSYTPKVIQCQNKGWDGYDVQWECKTDLDI
Mdo TMEM66
                ALTLHRDRFTTARRTAPIPOLOCLGGSAGCPAHIPEIVOCRNKGWDGFDVOWECKAELDT 119
Gga_TMEM66
                VLTLHRGRYTTARRTAAVPQLQCIGGSAGCS-DIPEVVQCYNRGWDGYDVQWQCKADLEN 94
Xla TMEM66
                TITLYADRYTNARRSAPVPOLKCIGGNAGCHAMVPQVVQCHNRGWDGLDVQWECRVDMDN
Xtr TMEM66
                AITLYADRYTNARRSAPVPQLKCIGGSAGCHTMVPQVVQCHNRGWDGFDVQWECKVDMDN 93
Dre TMEM66
                VLTLYRGRYTTARRSSPVPOLOCIGGSAGCGSFTPEVVOCYNRGSDGIDAOWECKADMDN
Ssa_TMEM66
                VLTLYKGKYTTARRSSAVPQLQCVGGSAGCGSFIPEVVQCKNKGWDGVDAQWECKTDMDN 93
Tru TMEM66
                VLTLYRGLYTTARRSSPVPQLQCVGGSAGCHAFVPEVVQCQNKGWDGMDIQWECRTDMDN 99
Tni TMEM66
                TLTLYRGRYTTARRSSPVPQLRCVGGSAGCQAFVPEVVQCQNRGWDGVDVQWECKTDMDN 89
Gac TMEM66
                ALTLYKNRYTTARRASPVPQLQCVGGSAGCQAFVPEVVQCQNKGWDGVDVQWECRTDMDN 92
Ppr_TMEM66
                VLTLYKGRYTTARRSSPVLQLQCAGGTAGCGSFVPEVVQCYNRGSDGIDTQWECKADMDN 93
Cel_TMEM66
                AITLHKGKMTTGRRVSPTFQLKCVGG-SAKGAFTPKVVQCANQGFDGSDVQWRCDADLPH 96
Cre_TMEM66
                AITLNKGKMTTGRRVAPTLQLKCVGG-SAKGAFTPKVVQCSNQGFDGSDVQWRCDADLPH 96
Cbr TMEM66
                AITLHKGKMTTGRRVAPALQLKCVGG-SAKGQFSPKVVQCANQGFDGSDVQWRCDADLPH 96
                                   **:* ** :.
                                                  *.::** *:* ** * **.* .::
```

Figure 2-1: An example of protein alignment

[[figure out how to cite this image: https://commons.wikimedia.org/wiki/File:An_excerpt_of_a_m

There are various computational algorithms that can be used to solve sequence alignment including slower but more accurate dynamic programming algorithms and faster but less accurate probabilistic or heuristic-based algorithms. In my work, it makes more sense to use the slower but more accurate methods, as our datasets are not so large and small mistakes that might be permissible and overlook-able in larger data could be much more egregious in smaller contexts.

The specific algorithm I chose to implement for solving the musical sequence alignment problem is a version of the Needleman-Wunsch dynamic programming algorithm for global sequence alignment. ¹

At a high level, the Needleman-Wunsch algorithm and its family of dynamic programming sequence alignment algorithms calculate the edit distance between two sequences by producing a least-cost alignment of the sequences. An alignment is an

¹This algorithm has a history of multiple invention. Needleman-Wunsch was first published in the context of protein alignment in bioinformatics. People with a more theoretical computer science bent might know the same algorithm by a different name, Wagner-Fisher.

assignment of pairwise characters and edit operations. Overall cost of an alignment (i.e. its **edit distance**) is determined by summing the individual costs of insertion, deletion, substitution, or no-change operations between pairwise characters in order to morph one sequence into the other.

The next sections discuss coming up with edit distance calculations and then the method that Needleman-Wunsch uses to find the best alignment.

2.2.1.1 Edit Distance

The term edit distance is a way of quantifying how dissimilar two sequences are to each other. If you think of sequences as being made up of characters, you can also think of one sequence transforming into the other through a series of insertion, deletion, substitution, and no-change operations, with each operation "costing" a certain amount. Edit distance is the smallest possible total cost associated with the transformation that is a series of operations that turns one sequence into another. As an example, consider the calculation of the edit distance between the two strings MUSICAL and JUSTICE that uses this series of operations for transforming MUSICAL into JUSTICE:

- 1. $M \rightarrow J$ (substitution)
- 2. $\epsilon \to T$ (insertion)
- 3. $A \rightarrow E$ (substitution)
- 4. L $\rightarrow \epsilon$ (deletion)

Note that implicitly I am excluding all the no-change operations that look like this:

1. $U \rightarrow U$ (no-change)

This corresponds to an alignment that looks like this. The green pair of characters indicate an insertion operation, red is deletion, and purple is substitution. These

Operation	\mathbf{Cost}
Insertion	1
Deletion	1
Substitution	1
No-change	0

Table 2.1: Naive Operation Cost Function Table

colors are also used in the visualization of OMR/MIDI stream alignment.

seq1	${f M}$	\mathbf{U}	\mathbf{S}		Ι	\mathbf{C}	\mathbf{A}	${f L}$
seq2	\mathbf{J}	\mathbf{U}	\mathbf{S}	$\overline{\mathbf{T}}$	Ι	\mathbf{C}	${f E}$	

Figure 2-2: Alignment of MUSICAL and JUSTICE

You would say that the two strings have an edit distance of 4, because it requires four operations to completely morph one string into the other (discounting no-change operations).

However, you also might think that a substitution should "cost" more than either an insertion or deletion; after all, a substitution can be thought of as a consecutive insertion and deletion operation.

A slightly different cost function that assigns more cost to substitution changes could look like this. Using this cost function, our edit distance of changing **MUSICAL** into **JUSTICE** is 5.

Operation	\mathbf{Cost}
Insertion	1
Deletion	1
Substitution	1.5
No-change	0

Table 2.2: Operation Cost Table With Costlier Substitutions

But wait, should certain subtypes of a single type of operation have differently weights? Consider if you were trying to run a spell checker (one application of string alignment and correction algorithms) on a word that wasn't recognized by the dictionary. In your particular spell check model, maybe substitution of a vowel for a vowel isn't

as costly as any other types of substitution. Let's say that substituting a vowel for a vowel should only have a cost of 1.2. Using this new cost function, our new edit distance is 4.7.

Operation	\mathbf{Cost}
Insertion	1
Deletion	1
Substitution - vowel for vowel	1.2
Substitution - all others	1.5
No-change	0

Table 2.3: Operation Cost Table With Different Substitution Costs

2.2.1.2 Alignment

Recall that the edit distance between two sequences come from the least-cost alignment. The figure below shows a valid alignment, but it is certainly not the least-cost alignment, and therefore does not correspond to the edit distance between between the two sequences.

Figure 2-3: A bad alignment of MUSICAL and JUSTICE

If we have two sequences, of length n and m, then we have $\binom{n+m}{m}$ possible alignments. Put into context, consider a two melodies of 10 notes each. Naively aligning just the note pitches, we have 184,765 different possible alignments! Clearly this isn't scalable, which is why we rely heavily on this piece of insight: A globally optimal alignment contains locally optimal alignments! This means that we can reuse the computations of subproblems to solve larger problems. What follows from this piece of insight is that for two strings split at (i, j), the best alignment is:

best alignment of string1[:i] and string2[:j] + best alignment of <math>string1[i:] and string2[j:]

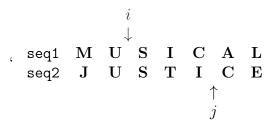


Figure 2-4: The alignment of MUSICAL split at i and JUSTICE split at j

If we can keep track of all the possible alignment subproblems, we can recursively build an optimal solution using the answers from the subproblems. One way to represent this is with a scoring matrix indexed by i and j. For our problem of aligning **MUSICAL** and **JUSTICE**, the setup would look something like this:

[[much more here on the steps of alignment. 2) populating distance matrix 3) back-tracing from bottom right corner using the cost function we have previously defined]]

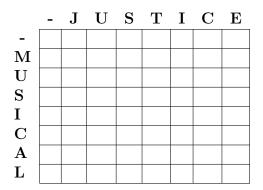


Figure 2-5: Initial distance matrix setup for aligning MUSICAL and JUSTICE

Recall that we have defined our problem in three different ways:

- 1. Aligning MUSICAL and JUSTICE
- 2. Finding the edit distance between MUSICAL and JUSTICE
- 3. Finding a series of operations that transforms MUSICAL into JUSTICE

All of these problem definitions are (almost) one and the same. Calculating the edit distance will also provide a good alignment of the two words and a good alignment necessitates a series of character change operations. It is important to know that that

(3) has an implicit directionality built into the problem statement. It won't always be the case that alignments and transformations are symmetric (i.e. cost the same and have the same series of operations), and having a simple cost operation functions, such as in tables and will make such problems symmetric.

In our particular sample problem, we will always be transforming **MUSICAL** into **JUSTICE**. In the context of my thesis, we will always be transforming OMR sequences in MIDI sequences.

After we've set up is the scoring matrix, we will populate it with initial values:

- 1. 0 in (0,0)
- 2. $i-1 \cdot insertion cost$ for i in (i,0) (this is the first column)
- 3. $j-1 \cdot deletioncost$ for j in (0,j) (this is the first column)

[[somehow there needs to be an explanation that insertions are vertical movements, deletions are horizontal movements, and this is all relative to how you define the problem of transforming X->Y or Y->X]]

After we've set up the initial matrix, we can go through and fill in all of the remaining slots using this update rule:

[[update rules here—find the min (moving up + insertion, right + deletion, diagonal + subst)]]

2.2.2 Formal Definitions in Sequence Alignment

This section is a more mathematical and formal guide to sequence alignment in a matrix representation that uses the cost functions we have been slowly refining.

[[this section might not be necessary if the previous sections are rigorous enough...]]

[[no, there's a really cool way of explaining the set up of the problem in space transformation language... see notes!]]

2.3 Turning Sequence Alignment into a Musical Problem

Finding the edit distance of a musical sequence as opposed to a string of ASCII characters is a little trickier. First, there isn?t an intuitive "space" of music elements the way that there is a "space" of all characters. Second, even after identifying the musical elements, there isn?t an intuitive metric of distance between elements. For example, how would the distance between [Ab quarter note, 4th octave] and [Bb quarter note, 4th octave] compare with [Ab either note, 4th octave] and [Ab quarter note, 4th octave]? [pictures, maybe an even more ambiguous example]

Going back to [original model] and the questions we posed and answered for string alignment,

- What sequences are and what makes them up: We can think of musical sequences as an ordering of notes, rests, and chords
- The space that sequences occupy: This question can roughly be approximated as, what properties of notes, rests, chords do we care about? Some immediate answers are pitch, duration, rhythm.
- Coming up with an appropriate metric for finding distance: In the previous section we had two different substitution functions. What kind of and how many ways do we want to calculate distance in musical elements?

2.4 Related Work

2.4.1 Typke: Music Retrieval based on Melodic Similarity

[[re-edit to talk about feature extraction as an important step, even though i don't necessarily call it feature extraction]] Another instance in which music is transformed and compressed into its most relevant features before comparison is in Typke's thesis on Music Retrieval based on Melodic Similarity [3]. Here, Typke proposes encoding music into what he calls a "weighted point set" in a two-dimensional space of time and pitch before measuring any sort of melodic similarity between music. A note in a piece is represented as point set of pitch (in the Hewlett 40 system [3]) and an offset in quarter notes from the beginning of the piece.

2.4.2 Church and Cuthbert: Rhythmic Comparison and Alignment

2.4.3 Viro: Peachnote, Musical Score Search and Analysis and IMSLP

2.4.4 White: Statistical Properties of Music and MIDI

White's dissertation [5] describes properties of music that can be turned into heuristics for refining algorithmic analysis of music. Specifically, he builds upon Temperley's 1997 [?] paper's details to harmonic analysis of MIDI and refers to other research that has been constructive towards Temperley's model. White does say that Temperley's algorithm fails when faced with ambiguity, such as in MIDI differentiating between enharmonic tones.

The hope is that by combining the ambiguous parts of MIDI with the probabilistic

and rigorous details of OMR scores, ambiguities in MIDI tonality can be resolved. In addition, White also cites a large repository of high quality MIDI files.

2.4.5 Rebelo et al: Optical Music Recognition - State-of-the-Art and Open Issues

[4]

Chapter 3

Previous Work

The work of my thesis uses the universal Hasher for digital representations of music tool. This work was largely done in the Spring of 2015 as part of my 6.UAP. Additionally, experimental results in a related project focused on speeding up musical comparison by converting Python objects to C objects provide evidence that the bottleneck in musical sequence alignment is not in the comparison step. Both subjects are described in more detail below but only to the extent necessary to understand the work of my thesis.

3.1 A Modular Universal Hasher

Prior to my work on building a musical sequence aligner and fixer, I built a hasher with the specific intention that it would be able to adapt according to different specification settings decided by the programmer. The programmer decides which kinds of music21 stream elements and properties are relevant to their hash, and selects them to build a specific instance of a Hasher object. This Hasher instance can then be used to produce a hash from any music21 stream.

3.1.1 Why Musical Comparison and Alignment Needs a Modular Hashing Function

A hash function maps pieces of data of arbitrary size into data of fixed size and standard representation. If musical sequences could be discretized into elements and if these elements could be hashed, then the processes of comparison and alignment could be made deterministic and quick.

Depending upon the problem statement that calls for a hash in the first place, the details of this two step process:

- 1. discretizing a musical sequence into a list of relevant elements
- 2. hashing those elements

can vary For instance, in determining the the rhythmic similarity between two musical sequences, only the musical elements with durations (e.g. notes, chords, rests) in each sequence would be relevant. In hashing these elements, only properties such as duration and offset might only be relevant.

Figure 3-1: The hash of rhythmic features of this short melody would be NoteHash(offset=0, duration=0.5), NoteHash(offset=0.5, duration=0.5), NoteHash(offset=1.0, duration=2.0) NoteHash(offset=4, duration=1.0)

Another example instance in determining whether one piece was an approximate transposition of another, only the elements with some relation to pitch would be relevant (e.g. notes and chords) would be relevant.



Figure 3-2: The hash of features related to transposition of this short melody would be NoteHash(intervalFromLastNote=0), NoteHash(intervalFromLastNote=-2), NoteHash(intervalFromLastNote=-5)

[[check to things are signed]] Once musical sequences are discretized and represented in a hash sequence, each individual tuple in a hash sequence can be thought of as a discrete point in the space of all combinations of possible element properties. Then, canonical edit distance or string alignment algorithms could be used to come up with a measure of similarity or an alignment between the two original musical sequences.

3.1.2 Previous Work on Modular Hashing Functions

The idea of extracting qualities of music specific to the needs of distance analyses and encoding that information in a lower-dimensional and representation is not new. Typke in his thesis proposes what he calls a weighted point set representation of music [3]. His method of creating a weighted point set for a stream of music involves only taking melodic information (i.e. notes) and representing each discrete note as a three-tuple of (time, pitch, weight). The time component encodes information about the note's offset from the beginning. The pitch component encodes the note's pitch using the Hewlett's base-40 system (this is a numerical encoding similar to MIDI pitch but is also able to encode more information than just absolute frequency). The weight component is an assignment of how important the particular note is to the melody of the music stream.

3.1.3 Overview of System

The Hasher object is found in hasher.py. Its purpose is to identify the relevant features of relevant elements in any music stream and to represent them in a hashed format that is easy to compute with. Relevant elements here means objects such as notes, rests, chords. Relevant features here means qualities of these elements such as pitch, duration, offset. I represent these qualities in the hash as lightweight integers, floats, and strings, which makes future computations such as comparisons, and numerical operations easy and fast.

Upon instantiation, Hasher does not take in any parameters. After an instance of Hasher is created, however, the user can set specific parameters in the Hasher to fit their own needs. The user must specify which relevant stream elements (notes, rests, chords) should be hashed, as well as which relevant qualities of those elements should be hashed (pitch, duration, offset, etc.).

After the hashing parameters are set, the user calls the hashStream method on a stream. This method strips the stream only to the relevant elements, creates a set of hash functions based on the user's selection of relevant element qualities, and individually hashes each element with the entire set of specified hashing functions.

For each element, the Hasher will create either a NoteHash or a NoteHashWithReference object and add it to the end of the FinalHash list. Both NoteHash and NoteHashWithReference are objects that hold the hashed qualities of a single stream element. The only difference is that a NoteHashWithReference stores a reference back to the original stream element. Even though this has a lot of overhead, it is necessary if ever the original streams need to referenced for context or if they need to be changed.

The resulting hashes of the entire process are stored in finalHash.

3.1.3.1 Pre-Hashing: High Level Hasher Settings

There are several system-wide high level settings that must first be set.

- includeReference By default this is set to False, but if set to True, each element hash will be stored in a NoteHashWithReference object that includes a reference to the original element in its original stream. For many purposes, having this reference is not necessary and takes up extra overhead, so it is set to False by default.
- validTypes This is a list of what types stream elements should be hashed. By default this is [note.Note, note.Rest, chord.Chord] and can be changed to be any subset of those three stream elements.
- stateVars This is a dictionary that keep track of state between consecutive hashes. By default it doesn't contain anything, but can be programmed to contain <code>intervalFromLastNote</code> and <code>KeySignature</code> during the later process of preprocessing the streams and setting the appropriate hashing functions if the user-set paramets call for their use.
- hashingFunctions This is the dictionary that stored which hashing functions
 will be used for what qualities. For example, if the user chose to hash notes and
 their MIDI pitch values, then the dictionary value for the key *Pitch* would be
 _hashMIDIPitchName, the name of the function that takes a note and returns
 its MIDI pitch.

3.1.3.2 Pre-Hashing: Low Level General Settings

These settings affect more than one of notes, rests, chords.

• _hashOffset - If True, this will include in the hash a number that is associated with the offset of a musical element from the beginning of the stream.

- _hashDuration If True, this will include in the hash a number that is associated with its duration.
- _roundDurationAndOffset This is a boolean for determining whether offsets and durations should be rounded. This is useful for files (e.g. MIDI recordings without rounding) where beginnings of notes, rests, and chords may not fall exactly on the beat (e.g. beat 2.985 instead of 3)
- granularity A number that determines how precise we round. If duration and offset are rounded, they will be rounded to this granularity of beat division. For example, a granularity of 32 will round notes to the nearest 32nd beat division and no further.

3.1.3.3 Pre-Hashing: Low Level Note Settings

- _hashPitch and _hashMIDI These settings determine whether pitch of a note will be hashed, and if it is, how it will be represented. If _hashMIDI is True, then the MIDI pitch value is hashed. Otherwise, its name representation is hashed (e.g."C##"). This setting is useful depending upon whether the user would want to differentiate between B# and C.
- _hashOctave If True, this includes in the hash the number octave that a note is in. This could be useful for an envelope-like following of pitches.
- _hashIntervalFromLastNote If True, this returns a number corresponding to the interval between the current note and the last note when applicable. This setting is a useful alternative to hashing pitch when trying to identify transpositions of pieces.
- _hashIsAccidental If True, this will return a boolean of whether the pitch of the hashed element is outside of the key signature. This setting is currently not fully supported.

3.1.3.4 Pre-Hashing: Low Level Chord Hashing Settings

- _hashPrimeFormString If True, this includes in the hash of chord a string representation of its prime form.
- _hashChordNormalOrderString If True, this includes in the hash of a chord a string representation of its normal order.

3.1.3.5 Hashing: hashStream, the engine

Once the settings of a Hasher instance have been set, we can call its hashStreams method on any stream. The following sections describe the what happens within a single hashStreams call.

3.1.3.6 Hashing: Setting up ValidTypes and StateVars

First, the method setupValidTypesAndStateVars is called to set up the state variables and the valid hashing types. Recall that by default stateVars is empty and validTypes is [note.Note, note.Rest, chord.Chord] (of course, the user has already had the option of changing validTypes to contain any subset of the default types). This method checks whether the user has set either of these two settings:

- 1. hashIntervalFromLastNote if this is set, then IntervalFromLastNote is added to stateVars to keep track of
- 2. hashIsAccidental if this is set, then KeySignature is added to stateVars to keep track of, and key.KeySignature is added to the list of validTypes to hash.

3.1.4 Hashing: Preprocessing the Stream

The stream that the hashStream method acts upon is stripped of all note ties in the preprocessStream if the stripTies setting is True. Additionally, this method returns the stream in a generator form by calling recurse on the stream.

3.1.4.1 Hashing: Creating a List of Elements to be Hashed

Next the hashStream method builds a list of elements that are to be hashed by filtering the recursed stream of any types that are not in validTypes. This is stored in finalEltsToBeHashed.

3.1.4.2 Hashing: Building a Set of Hashing Functions

The method setupTupleList is called, and it sets up tupleList, hashingFunctions and tupleClass, all related to each other. tupleList is a list of all the element properties that should be hashed. hashingFunctions is a dictionary of which hashing function should be used for each property. tupleClass is a NamedTuple that is constructed ad hoc based on which properties are to be hashed. The ad hoc construction accommodates for the fact that different Hasher instances will contain different things to hash.

3.1.4.3 Hashing: Creating a NoteHash for Every Element

For each of the elements in finalEltsToBeHashed, the Hasher hashes every one of its properties that are to be hashed using the hashing function listed in hashingFunctions. It creates a single NamedTuple called a NoteHash for each element from finalEltsToBeHashed.

3.1.4.4 Hashing: Building finalHash

If includeReference is set to False, then each new NoteHash object is directly added to finalHash. If includeReference is True, then each NoteHash gets replaced with a NoteHashWithReference object that includes a reference to the original stream element, and that gets added to finalHash instead.

3.2 Low-level Object Comparison Optimizations

Work during the fall of 2015 focused on optimizing the comparison process with variable-length data. The underlying idea was that Python objects tend to have more overhead than C objects, and if comparison and alignment algorithms were going to be doing a lot of comparisons between NoteHash objects produced by the Hasher, then converting these Python objects into C objects of appropriate size could save a lot of time. Here, "appropriate size" refers to the idea that NoteHash objects with only a few hashed properties would correspond to smaller C objects, and NoteHash objects with more hashed properties would correspond to larger C objects.

A smart implementation of the most efficient method, Yeti Levenshtein, already exists in C, so my work was to cleverly convert variable-length NoteHash Python objects to C objects to use the Yeti Levenshtein library, which I hoped would be faster than using any Python edit distance algorithm.

I observed that the speedup we gained in converting variable-length NoteHash Python objects to C objects to use this library was a negligible cost compared to the run time of the comparison algorithm. This empirical evidence suggested that massive parallelization and speedup of the comparison process is difficult and that optimizations in alignment would provide non-negligible impact of speedup.

Thus, I concluded that musical comparison was already near the boundaries of its optimization and my work turned to focus on creating a robust alignment algorithm.

Chapter 4

Final Implementation

This chapter describes the final implementation of the entire OMR/MIDI musical stream alignment and correction system. First there will be an overview of the entire system. Then, there will be discussion on the final implementation of each of the large modules that is divorced from the context of the OMRMIDICorrector class. Afterwards, I will present an example use case of the system. I will conclude with a discussion of design tradeoffs and choices that I made in implementing the system.

The final implementation of the OMR/MIDI musical stream alignment and correction system. can be found in omrMidiCorrector.py. The OMR system is a combination of one or more of each of the Hasher, Aligner, and Fixer modules with specific parameters set.

The inputs to the system are two music21 streams (one OMR, one MIDI). The output of the system is a corrected OMR stream.

4.1 OMRMIDICorrector

4.1.1 System Overview

The entire system resides within the OMRMIDICorrector class in omrMidiCorrector.py. This class is initialized with a midiStream, an omrStream and an optional Hasher. The Hasher parameter is optional because the system provides a default Hasher with some all-purpose settings if no Hasher is passed in. The method processRunner is the main method of this class that preprocesses the streams, sets up the appropriate Hasher, and calls the aligning and fixing methods.

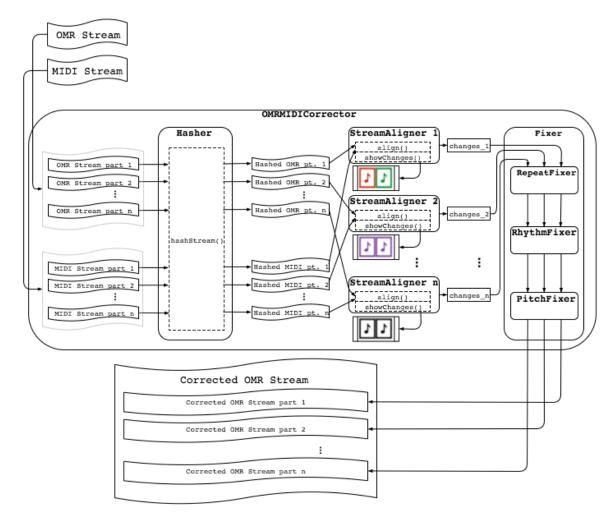


Figure 4-1: System diagram. The inputs to the system are OMR and MIDI streams. The output of the system is a corrected OMR stream.

4.1.1.1 processRunner: preprocessStreams

The processRunner first verifies the streams that are passed in and preprocess them before being hashed, aligned, and fixed in the method preprocessStreams

The first thing that preprocessStreams does is discretize the parts of the stream if the user has set discretizeParts to be True. Discretizing parts means to split the input streams into their constituent parts, so that the input streams are aligned partwise. Since music is often comprised of multiple parts, this preprocessing step allows for input streams that contain nested streams. Take for example, an OMR/MIDI alignment of a string quartet. Both the OMR and MIDI inputs are stream in and of themselves, and so are all individual instrumental parts within both of the streams.

A good alignment of the piece would involve aligning corresponding instrumental parts with each other to ensure that the beginnings and ends of corresponding parts aligned with each other. However, if we treat the inputs both as one long stream composed of four serial streams instead of four parallel streams, only the beginnings of the first instrumental parts and the ends of the last instrumental parts would be guaranteed to be aligned with each other. This guarantee comes from the global alignment method that I chose to implement. The figures below illustrate the phenomenon.

By default, discretizeParts is set to True. However, the user ultimately has the choice to align input streams part-wise or After a stream is split up into its constituent parts, the parts are stored in the lists midiParts and omrParts. The aligners and fixers work on all of the elements in the list, and so the entire system is agnostic to the number of parts; a stream comprised of a single part is processed in the same way as a multi-instrumental score.

Then, preprocessStreams checks if the number of parts in MIDI and the OMR stream are the same. If they are off by more than one, then a OMRMIDICorrectorException is raised and the process halts. This is a cursory check to see if the two input streams can even reasonably be aligned.



Figure 4-2: An example string quartet stream and its four parts.

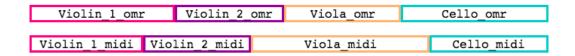


Figure 4-3: A bad alignment setup of the quartet would treat the entire piece as four serial streams and only the only guaranteed alignment would be between the beginning of the first part and the end of the last part.



Figure 4-4: A better alignment setup of the quartet would do part-wise alignment instead of treating the entire piece as a sequence of parts. This methodology guarantees that the beginnings and ends of each part are aligned.

If the numbers of parts are off by exactly one, then preprocessStreams spins up a lightweight StreamAligner object to try and see if there is an implicit bassline, where the bass doubles the cello part but an octave lower. In such a scenario, the OMR score would have one fewer part than the MIDI score because a bassist would

read the cello line while reading sheet music, but the MIDI score would explicitly list all the instrument lines. If the similarity of the two streams is above a certain threshold, then I conclude that that bass part doubles the cello part and we disregard it in the alignment process. The checkBassDoublesCello method and its use of an StreamAligner object to determine whether two streams are an octave apart is a incidental example of a different use case of the StreamAligner.

4.1.1.2 processRunner: setupHasher

The next step in the processRunner method is setting up the Hasher object that will be used to hash both input streams. This is done in the setupHasher method. If user has passed in a Hasher object upon instantiation of an OMRMIDICorrector object, then that is used. Otherwise this method sets up a default Hasher with settings we have found to be generally effective in the setDefaultHasher method. The default Hasher hashes only pitch and duration and includes references to the original stream elements.

4.1.1.3 processRunner: alignStreams

This method creates a StreamAligner object for each pairwise MIDI part and OMR part found in midiParts and omrParts and aligns the two parts, calculates their similarity metrics, and visually shows the changes and alignment if debugShow is True. There is more detail about how the StreamAligner object works in section 4.3

4.1.1.4 processRunner: fixStreams

The last step is of the entire process is to fix the OMR stream. While the Fixer still remains in development, the description of this method and the Fixer in section will remain at a high level.

In its final implementation, fixStreams should create and instance of the OMRMidiFixer object. This would be a base class that does basic preprocessing on the changes list. All other fixers would extend from this class to fix a specific type of error in the original stream. The user would be able to choose which Fixers to apply to the original OMR stream.

4.2 Hasher

The Hasher object used in the final implementation is a specific instance of the Hasher object described in section 3.1. The user can choose to pass in their own instance of Hasher or use the default Hasher provided.

4.2.1 The Default Hasher

The default Hasher, as described in section 4.1.1.2, hashes only pitch and duration of stream elements and set includeReference to be True. This setting is general enough to provide for a reasonable alignment for most generic alignment problems. But for more niche problems (for example, a rhythmic alignment), the user ought to define their own Hasher and the default Hasher should not be used.

4.2.2 NoteHashWithReference

One update I made to the original Hasher was the addition of a new type of NamedTuple that includes a reference to the original element that is hashed and stored within the tuple. This new type provided access to the original stream elements which was necessary for three reasons:

1. It allows the user to visually see the alignment using the **showChanges** method in the **Aligner**. A list of the changes between two streams can be hard to parse

and see patterns within, so this method provides a more visually appealing way of interpreting the list of changes.

- 2. It allows the user to access other elements in the context of the original stream element. For example, it might be helpful to be able to access the most recent key signature change in the stream for some fixing purpose.
- 3. In order for the Fixer to fix the OMR stream, it must be able to change the original elements.

4.3 Aligner

4.3.1 System Overview

Aligner.py contains the StreamAligner class that is the engine of the Alignment step. StreamAligner accepts as input two music21 streams, one specified as a source stream, one as a target stream. The main functionality of StreamAligner is that it produces a global alignment between the source and the target streams in the form of a list of Change Tuples that maps each element in the source stream to an element in the target stream via one of the four change operations (insertion, deletion, substitution, no change). Additionally, StreamAligner also outputs a basic measurement of similarity between the two stream inputs similarityScore. Lastly StreamAligner has a show function that visually displays the alignment between the source and target streams.

4.3.2 Producing a Global Alignment

The main objective of the Aligner is to produce a global alignment of two streams. In order to do so, it must hash the two streams with an instance of a Hasher (either passed in as a parameter during instantiation of a StreamAligner or using a default

Hasher built into the StreamAligner class). After the two streams are hashed, StreamAligner sets up a distance matrix, a la the method described in Chapter 2 for classic string alignment, populates the matrix, and then performs a backtrace of the matrix starting the lower right corner to produce the alignment.

4.3.2.1 Pre-Alignment: Setting Appropriate Parameters

In the interest of being a modular system, I chose to give the programmer the option of setting their own Hasher. Upon instantiation of a StreamAligner, the programmer can choose to pass in an instance of a Hasher. If no Hasher is set initially, then StreamAligner uses the default Hasher that is set to hash Notes, Rests, and Chords, and their MIDI pitches and durations. The default Hasher is generic enough to be able to work with general alignment, but a more niche problem would require the programmer to use a Hasher more specific to their problem

I also chose to leave the cost of Insertion, Deletion, and Substitution easily substitutable as well. By default, the cost of both Insertion and Deletion is equal to the length of one of the NoteHashWithReference tuples, which is exactly the number of properties that are hashed of any musical element. (So in the case of the default Hasher, Insertion and Deletion both have cost 2.) The cost of Substitution between two NoteHashWithReference tuples is equal to the number of properties they don't have in common with each other. For example, the cost of substituting

NoteHashWithReference(pitch=59, offset=1.0, duration=2.0)

with either

NoteHashWithReference(pitch=59, offset=1.0, duration=3.0)

or

NoteHashWithReference(pitch=60, offset=1.0, duration=2.0)

would have a cost of 1.

4.3.2.2 Pre-Alignment: Hashing the Streams

The alignment algorithm can only be applied after the streams are represented in a hashed format as a list of NoteHashWithReference tuples. Both input streams will be hashed and stored as the hashedTargetStream and the hashedSourceStream. The hashed format is the analog to a string and each NoteHashWithReference tuple is the analog to a character in classic string alignment.

It recommended that the hasher used to the hash the streams be set to include references to the original stream by using NoteHashWithReference tuples in the creation of the hash (as opposed to the NoteHash tuple that has much less overhead), and in the default hasher, This ensures that the show function and future fixers have access to the original objects that each hash came from. This makes it easier to color the original objects in the case of the show function and to extract any necessary metadata that wasn't encoded in the hash for future fixers.

There is also an option to indicate that the streams passed in are already hashed, but in the context of my thesis work, this should never be the case.

4.3.2.3 Alignment: Initializing the Distance Matrix

The first step in the alignment process is initializing the distance matrix. We set up an empty $n+1 \times m+1$ matrix, where n is the length of the hashed target stream and the m is the length of the hashed source. The extra column and extra row are populated with initial costs that correspond to just Insertion or just Deletion operations. In the leftmost column, the value $i \times insertCost$ is put into entries (i,0). In the topmost row, the value $j \times deleteCost$ is put into entries (0,j).

4.3.2.4 Alignment: Populating the Distance Matrix

The next step in the alignment process is to fill in the remainder of the distance matrix with values generated with these update rules.

```
D[i][j] = min \{
D[i-1][j] + insCost,
D[i][j-1] + delCost,
D[i-1][j-1] + subCost
\}
```

where

```
\begin{split} & insCost = insertCost(hashedSourceStream[0]) \\ & delCost = deleteCost(hashedSourceStream[0]) \\ & subCost = substitutionCost(hashedTargetStream[i-1], hashedSourceStream[j-1]) \end{split}
```

Each entry A[i][j] in the distance matrix stores the lowest cost for aligning hashedTargetStream[i] with hashedSourceStream[j]. There are 4 possible ways of relating the two tuples.

- hashedTargetStream[i] is an insertion in the case of insertion, the cost of aligning hashedTargetStream[i] with hashedSourceStream[j] is equal to the cost of aligning hashedTargetStream[i-i] with hashedSourceStream[j] plus the cost of insertion.
- 2. hashedTargetStream[i] is a deletion similar to the insertion case, for deletion, the cost of aligning hashedTargetStream[i] with hashedSourceStream[j] is

equal to the cost of the subproblem of aligning hashedTargetStream[i] with hashedSourceStream[j-i] plus the cost of deletion.

- 3. hashedTargetStream[i] is a substitution of hashedSourceStream[j] in the case of substitution, the cost of aligning hashedTargetStream[i] with hashedSourceStream[j] is equal to the cost of the subproblem of aligning hashedTargetStream[i-i] with hashedSourceStream[j-1] plus the cost of a substitution of hashedSourceStream[j] for hashedTargetStream[i].
- 4. No change between the two tuples i.e. the two tuples are the same same as above, where substitutionCost(hashedTargetStream[i], hashedSourceStream[j]) is 0.

The method populateDistanceMatrix fills in all the entries of the distance matrix using the rules and calculations listed above. It is important to note that in my work, all changes are made relative to TargetStream. That is, whenever possible, SourceStream is the stream that is being transformed into TargetStream. This invariant holds because in OMR/MIDI correction, the approach and direction I take is to change OMR into MIDI. Therefore, the MIDI stream is always the TargetStream and the OMR stream is always the SourceStream. It is certainly possible to go in either direction, but this paper will do it this way.

4.3.2.5 Alignment: Backtrace to Find the Best Alignment and Create the Changes List

Once the distance matrix has been completely filled in, we use a backtrace starting from the bottom right hand corner of the matrix (i.e. A[i][j]) to find the path of least of cost.

Starting from the value found at A[i][j], we look at the values directly above, to the left, and diagonal in the up-left direction. That is, we look at the values in A[i-][j], A[i][j-1], and A[i-1][j-1]. Among these three values, we choose the minimum value. The

combination of direction and value that we moved in tells us what kind of operation was performed to align NoteHashWithReference tuples hashedSourceStream[i] and hashedSourceStream[j]:

- 1. if the direction is up, then regardless of the value, this corresponds to an insertion operation.
- 2. if the direction is left, then regardless of the value, this corresponds to an insertion operation.
- 3. if the direction is diagonal up-left, and the value at A[i-1][j-1] is different from the value at A[i][j], then this corresponds to a substitution operation.
- 4. if the direction is diagonal up-left, and the value at A[i-1][j-1] is the *same* as the value at A[i][j], then this corresponds to a no-change operation.

We continue this backtrace until we end up back at A[1][1]. Since we are using a global alignment technique, we should always end up back at this entry. If at the end of backtrace we end up in another part of the distance matrix, the method throws an AlignmentException.

Additionally, at every step of the backtrace, we create a ChangeTuple that holds references to the original musical elements that are represented in the original SourceStream and TargetStream and the kind of operation (insertion, deletion, substitution, nochange) that links them. Then we insert this ChangeTuple into the beginning of the changes list.

This is all performed in the calculateChangesList method.

4.3.2.6 Post-Alignment: Measures of Similarity

After the backtrace to find the alignment, there is enough data to calculate some basic metrics of similarity.

changesCount is a Counter object that provides a count of how many of each of the four different change operations appear in the changes list.

similarityScore is a float between 0 and 1.0 that is the ratio of NoChange operations to the total number operations in the changes list.

4.3.2.7 Post-Alignment: Visual Display of Alignment

The showChanges method provides a visual display of how the two streams are related via the changes list. For each ChangeTuple in the list, this method goes back to the initial reference and changes the color of the element in reference and adds in an id number as a lyric to that element if the change operation is not a NoChange. The color is determined by the type of change operation. Green corresponds to an insertion, red to deletion, and purple to substitution. The id number is the index of the ChangeTuple in the list.

The figures below shows the visual display of alignment between a scanned score of an excerpt of *String Quartet No.7 in E-flat major*, *K.160: I. Allegro* and a MIDI recording of the same piece as well as the original score that the OMR came from.

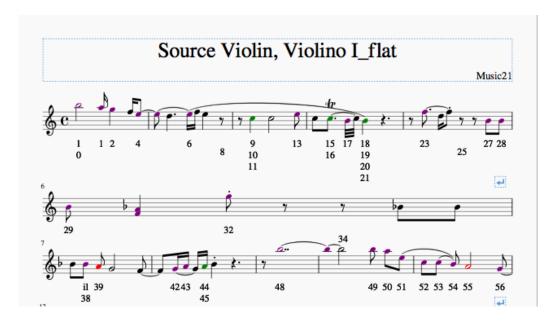


Figure 4-5: Visual display of changes in source (OMR) stream



Figure 4-6: Visual display of changes in target (MIDI) stream



Figure 4-7: Excerpt of original score taken from IMSLP

Since this method has a lot of overhead, it is by default set not to run. It can be set to run for every alignment by passing in the argument show=True.

4.4 Fixer

The Fixer is the last part of the OMR/MIDI music stream alignment and correction system. Its developmental work can be found in fixer.py. The high level idea is that there is a base class called OMRMidiFixer that takes as input the changes list that the StreamAligners outputs. It would also do basic preprocessing on the changes list, including dividing it up into chunks, substrings of the the ChangeTuples in the changes list that correspond to a small scale alignment of the corresponding stream elements in the original streams.

Additional child Fixer classes would inherit from the parent OMRMIDIFixer class and correct very specific problems. For example, in the StaccatoFixer skeleton code, I have identified a pattern that chunks of ChangeTuples would exhibit that would indicate that the OMR process failed to recognize a passage that is marked as staccato. The StaccatoFixer class along with other children Fixer classes would look for similar patterns in the ChangeTuples list and correct the OMR stream based on this information.

In section 6.1 I discuss what I envision future Fixers to look like based on the groundwork I have laid out for the fixing process.

4.5 Tradeoffs and Design Decisions

In this section, I will justify some of my design choices and discuss tradeoffs in my decisions.

4.5.1 Modularity vs. Simplicity

4.5.1.1 A Variety of Settings and a Longer Setup

All of the modules have many different settings with various parameters. The variety of settings is what makes the Hasher and Aligner so powerful—by changing just a couple of settings, both are able to solve very different problems.

However, this necessarily lends itself to the system being more complex because each module must be able to handle various combinations of settings and their edge cases. It also requires the user to be careful when selecting initial settings.

One alleviation to this problem is providing default settings to all the modules. These default settings are what I believe are good general settings for the most common uses of the modules.

4.5.1.2 Length Agnosticism

There are two instances in which I purposefully built the system to be able to handle variable length input—in building NoteHash and NoteHashWithReference tuples and in building the OMRMIDICorrector to be able to process a stream with any number of parts. Both of these decisions make the entire system more powerful because the system is able to process many more different types of streams this way, but it also makes the hashing and aligning process more convoluted. Fortunately for the user, all of this is hidden under the hood. There are likely some performance hits that are associated with this added complexity, but not to the point of inoperability. More demonstrations on timing are shown in section 5.2.

4.5.2 Performance vs. Space and Object Overhead

4.5.2.1 NoteHashWithReference

The NoteHashWithReference object contains a reference to the original stream object. Streams and the elements they contain are complex objects, so NoteHashWithReference objects have much more overhead in storage than their counterparts, NoteHash objects. However, in setting up a Hasher object, the user has the decision to use either one.

4.5.2.2 Creating One Hasher and Many Aligners

During the correction process, only a single Hasher object is created and used and reused to generate hashes for every part in the stream passed in. There was the option of having Hasher object be one-time-use objects, but I chose to have the Hasher be able to operate on multiple streams.

On the other hand, a new StreamAligner object is generated for every pair of parts in the OMR and MIDI streams that are passed in.

Between generating NoteHashWithReference objects for every hashed stream element and StreamAligner objects for every pair of streams, there is a lot of space overhead taken up in even just a single alignment and correction process. However, based on experimental findings in which I tried to create these objects in C, perform the comparisons in C, and then translate the results into Python, I concluded that the limiting factor of the process was not in Pythonic object creation but rather the comparison process that calculates Levenshtein edit distance. The results and findings are discussed in more detail in section 3.2.

4.5.3 Manual User Input

The OMR and MIDI streams that are used as input to the system come from somewhere. I have left the onus on the user to source .mid files and find scanned scores. I also have left it to the user to use some kind of OMR tool to convert the scanned score and the MIDI file into a musicXML form. Once in musicXML form, the user can use the music21 library to parse the OMR and MIDI and convert them into music21 streams.

I leave this as a task to the user instead of automating it because with practice, humans can be much more precise and efficient with this process, and the necessity of a (probably large and complicated) computer program to do the same thing decreases.

4.6 An Example: String Quartet No.7 in E-flat major, K.160

This section will walk through an example of how the entire OMRMIDICorrector system works on Mozart's String Quartet No.7 in E-flat major, K.160.

4.6.1 Preparing the Raw Input

The basic raw input of the system is an OMR score and a MIDI file. A scanned copy of the score was found in IMSLP [1], the source for much free public domain sheet music. The MIDI file was sourced from the Yale MIDI Archive[?], whose contents are now hosted on MuseData [?]. [[double check this with Myke]]

I prepped the pdf score using SmartScore X2 Pro, splitting the pdf into its three movements and cropping out extraneous information in the title portion of the page. Then I ran the cropped movements through SmartScore's built-in OMR tool (It is

important to note that while SmartScore is proprietary, there is also free and open source software that also has OMR tools). Then I saved the post-OMR file as a musicXML file.



Figure 4-8: The uncropped first page of k160 contains extraneous noise in the title section.

I prepped the MIDI file by opening it in SmartScore X2 Pro and saving as a musicXML file (It is important to note that while SmartScore is proprietary, there is also free and open source software that also converts .mid files to musicXML files).

The last step of preparing the raw input is to parse the musicXML files with the music21 library so that they are Stream objects. This can be done by passing in the musicXML filepaths to the parse method in the converter class. We will call these two parsed streams midiStream and omrStream.

4.6.2

Chapter 5

Testing

- 5.1 Existing Music
- 5.2 Timing

Chapter 6

Future Work

6.1 Future Fixers

Appendix A

Tables

Table A.1:

Appendix B

Figures

Figure B-2:

Bibliography

- [1] Scanned sheet music of String Quartet No.7 in E-flat major, K.160/159a.
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