

# nano-lazar: Read across predictions for nanoparticle toxicities with calculated and measured properties

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## 2 ABSTRACT

3 The lazar framework for read across predictions was expanded for the prediction of nanoparticle 4 toxicities, and a new methodology for calculating nanoparticle descriptors from core and coating structures was implemented. In order to compare nanoparticle descriptor sets and local regression algorithms 60 independent crossvalidation experiments were performed for the Protein Corona 7 dataset obtained from the eNanoMapper database. The best RMSE and r<sup>2</sup> results were obtained with protein corona descriptors and the weighted random forest algorithm, but its 95% prediction interval is significantly less accurate than for models using simpler descriptor sets (measured and 10 calculated nanoparticle properties). The most accurate prediction intervals were obtained with measured nanoparticle properties with RMSE and r<sup>2</sup> values that show no statistical significant 11 difference (p < 0.05) to the protein corona descriptors. Calculated descriptors are interesting for cheap and fast high-throughput screening purposes, random forest models have significantly lower r<sup>2</sup> values, but RMSE and prediction intervals are comparable to protein corona and nanoparticle random forest models.

6 Keywords: nanoparticle, toxicity, QSAR, read-across, predictive toxicology, machine learning, k-nearest-neighbors

## 1 INTRODUCTION

- 17 Read across is a commonly used approach for the risk assessment of chemicals. Read across procedures
- 18 are based on the assumption that similar compounds cause similar biological effects. In order to estimate
- 19 the activity of a novel compound a researcher will search for similar compounds with known biological
- 20 activities and deduce the activity of the new compound from this data. In order to make the read across
- 21 procedure reproducible, traceable and objective the authors of this paper have developed a computer
- 22 program (lazar, (Maunz et al. 2013)) that automates the risk assessment process. The objective of the
- 23 current study was to extend lazar for the risk assessment of nanomaterials.
- 24 The concept of chemical *similarity* is the key idea behind all read across procedures. But similarity is not
- 25 an intrinsic property of substances, it can be defined in different ways and the utility and performance of
- 26 similarity measures depends on each specific use case.
- 27 Structural similarity is most frequently used in the risk assessment of compounds with a well defined
- 28 chemical structure. Structural similarity definitions are obviously not directly applicable to nanomaterials,

- 29 because they lack a well defined structure. It is however relatively straightforward to adapt other concepts,
- 30 e.g. similarity in terms of chemical properties or in terms of biological effects. Compared to structural
- 31 similarity, which can be calculated directly from chemical structures, these similarity definitions depend
- 32 on actual measurements, which makes their estimation more expensive and time consuming. For this
- 33 reason we have developed a novel concept of structural similarity for nanomaterials, which is based on the
- 34 chemical fingerprints of core and coating materials. According to our knowledge, this is the first time that
- 35 nanoparticle toxicities have been predicted successfully from calculated properties alone.
- 36 In order to estimate the utility of various similarity concepts for nanomaterials, we have performed model
- 37 building and validation experiments for models based on
- structural similarity (using on core and coating fingerprints)
- property similarity (using on measured nanoparticle properties)
- biological similarity (using serum protein interaction data)
- and the local regression algorithms
- weighted average
- weighted partial least squares
- weighted random forests
- In addition we intend to address the important topic of reproducible research with this publication. It is in
- 46 our experience frequently impossible to reproduce computational experiments for a variety of reasons, e.g.
- publications lack important details about algorithms
- publications do not provide access to the data that has been used
- authors use proprietary software that does not disclose its algorithms with all necessary details
- original software, libraries and operating systems are outdated and not available anymore
- Our attempt to address these problems is to provide a self contained environment that contains all software
- 52 and data for the experiments presented in this manuscript. It contains also a build system for the manuscript,
- 53 that pulls results and figures directly from validation experiments (similar to the R knitr package (Xie
- 54 2015)).
- A self-contained system with the compiled manuscript and all libraries and external programs required
- 56 for repeating the validation experiments is publicly available as a docker image from DockerHub
- 57 (https://hub.docker.com/r/insilicotox/nano-lazar-paper). Apart from repeating
- 58 the experiments for this paper this image can also be used for extending the system, testing other descriptor
- 59 and modelling algorithms and comparing validation results with the current benchmark.
- Source code for the manuscript and validation experiments has been published under a GPL3 license at
- 61 Github (https://github.com/opentox/nano-lazar-paper). The lazar framework library
- 62 has been published under the same license (https://github.com/opentox/lazar).
- A graphical webinterface for nano-lazar model predictions and validation results is publicly
- 64 accessible at https://nano-lazar.in-silico.ch, source code for the GUI can be obtained
- 65 from https://github.com/enanomapper/nano-lazar.
- 66 Github and DockerHub repositories are tagged with nano-lazar-paper to identify the software
- 67 version that corresponds to the published paper. As this project is under continuous development, it is
- 68 likely that some of the algorithms will change in the future. In this case it is relatively straightforward to

69 identify differences with the versioning system or to use the submitted version as benchmark for further 70 developments.

## 2 METHODS

- 71 The following sections give a high level overview about nano-lazar algorithms. Readers interested in
- 72 unambiguous algorithm definitions can refer to the source code links in the text.

### **73 2.1 Datasets**

- 74 Nanoparticle characterisations and toxicities were mirrored from the eNanoMapper database
- 75 (Jeliazkova et al. 2015) via its REST API (https://github.com/opentox/lazar/blob/
- 76 nano-lazar-paper.submission/lib/import.rb#L9-L118). At present only the Net cell
- 77 association endpoint of the Protein corona dataset, has a sufficient number of examples (121) to create and
- 78 validate read-across models, all other public nanoparticle endpoints have less than 20 examples, which
- 79 makes them unsuitable for local QSAR modelling and crossvalidation experiments.

# 80 2.2 Algorithms

- 81 For this study we have adapted the modular lazar (*lazy structure activity relationships*) read across
- 82 framework (Maunz et al. 2013) for nanoparticle model development and validation.
- lazar was originally developed for small molecules with a defined chemical structure and uses chemical
- 84 fingerprints for the identification of similar compounds (neighbors). Nanoparticles in contrast do not
- 85 have clearly defined chemical structures, but they can be characterised by their composition (core and
- 86 coatings), measured properties (e.g. size, shape, physicochemical properties) or the interaction with
- 87 biological macromolecules. Within nano-lazar we use these properties for the identification of similar
- 88 nanoparticles (neighbors) and as descriptors for local QSAR models.
- 89 nano-lazar makes read-across predictions with the following basic workflow: For a given nanoparticle
- 90 lazar
- searches in the database for similar nanoparticles (*neighbors*) with experimental toxicity data,
- builds a local QSAR model with these neighbors and
- uses this model to predict the activity of the query compound.
- This procedure resembles an automated version of *read across* predictions in toxicology, in machine
- 95 learning terms it would be classified as a k-nearest-neighbor algorithm (https://github.com/
- 96 opentox/lazar/blob/nano-lazar-paper.submission/lib/model.rb#L180-L257).
- 97 Apart from this basic workflow nano-lazar is completely modular and allows the researcher to use
- 98 arbitrary algorithms for similarity searches and local QSAR modelling. Within this study we are using and
- 99 comparing the following algorithms:
- 100 2.2.1 Nanoparticle descriptors
- In order to find similar nanoparticles and to create local QSAR models it is necessary to characterize
- 102 nanoparticles by descriptors. In this study we are using three types of descriptors:

- 103 Structural descriptors Calculated molecular fingerprints for core and coating compounds (MOLPRINT
- 104 2D fingerprints (Bender et al. 2004), MP2D, https://github.com/opentox/lazar/blob/
- 105 nano-lazar-paper.submission/lib/nanoparticle.rb#L17-L21)
- 106 Physico-chemical nanoparticle properties Measured nanoparticle properties from the eNanoMapper
- 107 database (*P-CHEM*)
- 108 **Biological nanoparticle properties** Protein interaction data from the eNanoMapper database (*Proteomics*)
- Nanoparticle fingerprints are a novel development for the characterisation of nanoparticles with well
- 110 defined core and coating compounds. In this case it is possible to create molecular fingerprints for all
- 111 of these compounds and use the union of these fingerprints as nanoparticle fingerprint. Based on our
- 112 experience with small molecules we have selected MOLPRINT 2D fingerprints (Bender et al. 2004),
- 113 which typically outperform predefined fingerprints (e.g. MACCS, FP4) for QSAR purposes. Despite
- 114 its simplicity the concept works surprisingly well (see validation results) and enables toxicity predictions
- 115 without measured properties. This can be useful e.g. for fast and cheap nanoparticle toxicity screening
- 116 programs.
- 117 2.2.2 Feature selection
- 118 Calculated MP2D fingerprints are used without feature selection, as preliminary experiments have shown,
- that feature selection deteriorates the overall performance of read-across models (which is in agreement
- 120 with our observations on small molecules).
- Nanoparticle properties in the eNanoMapper database have not been measured for the purpose of read
- 122 across and QSAR modelling. For this reason the database contains a lot of features that are irrelevant
- 123 for toxicity. In preliminary experiments we have observed that using all available features for similarity
- 124 calculations leads to neighbor sets that are unsuitable for local QSAR models, because large numbers of
- 125 irrelevant features override the impact of features that are indeed relevant for toxicity.
- For this reason we use the lazar concept of activity specific similarities (Maunz et al. 2013), by
- 127 selecting only those features that correlate with a particular toxicity endpoint (Pearson correlation
- 128 p-value < 0.05). This reduced set of relevant features is used for similarity calculations and
- 129 local QSAR models (https://github.com/opentox/lazar/blob/nano-lazar-paper.
- 130 submission/lib/feature\_selection.rb#L6-L26). Apart from being computationally
- 131 cheaper, simple filter methods pose also a lower risk of overfitting than more aggressive feature selection
- methods (e.g. forward selection, backwards elimination). As local models are built with the R caret
- 133 package which uses feature selection internally there is no requirement for extremely small descriptor sets
- 134 at this stage.
- For crossvalidation experiments feature selection is repeated separately for each crossvalidation fold, to
- 136 avoid overfitted models (Gütlein et al. 2013).
- 137 2.2.3 Neighbor identification
- 138 For binary features (MP2D fingerprints) we are using the union of core and coating
- 139 fingerprints to calculate the Tanimoto/Jaccard index and a similarity threshold of sim >
- 140 0.1 (https://github.com/opentox/lazar/blob/nano-lazar-paper.submission/
- 141 lib/similarity.rb#L18-L20).
- For quantitative features (P-CHEM, Proteomics) we use the reduced set of relevant features to
- 143 calculate the weighted cosine similarity of their scaled and centered relevant feature vectors, where

- 144 the contribution of each feature is weighted by its Pearson correlation coefficient with the toxicity
- 145 endpoint. A similarity threshold of sim > 0.5 was used for the identification of neighbors for
- 146 local QSAR models (https://github.com/opentox/lazar/blob/nano-lazar-paper.
- 147 submission/lib/similarity.rb#L37-L49).
- In both cases nanoparticles that are identical to the query particle are eliminated from neighbors
- 149 to obtain unbiased predictions in the presence of duplicates. (https://github.com/opentox/
- 150 lazar/blob/nano-lazar-paper.submission/lib/model.rb#L180-L257).
- 151 2.2.4 Local QSAR models and predictions
- For read-across predictions local QSAR models for a query nanoparticle are build from the set of similar nanoparticles (*neighbors*).
- 154 In this investigation we are comparing three local regression algorithms:
- weighted local average (WA, https://github.com/opentox/lazar/blob/nano-lazar-paper. submission/lib/regression.rb#L6-L16)
- weighted partial least squares regression (*PLS*, https://github.com/opentox/lazar/blob/nano-lazar-paper.submission/lib/caret.rb#L7-L78)
- weighted random forests (*RF*, https://github.com/opentox/lazar/blob/nano-lazar-paper submission/lib/caret.rb#L7-L78)
- In all cases neighbor contributions are weighted by their similarity to the query particle. The weighted
- local average algorithm serves as a simple and fast benchmark algorithm, whereas partial least squares and
- 163 random forests are known to work well for a variety of QSAR problems. Partial least squares and random
- 164 forest models use the caret R package (Kuhn 2008). Models are trained with the default caret settings,
- optimizing the number of PLS components or number of variables available for splitting at each RF tree
- 166 node by bootstrap resampling.
- Finally the local model is applied to predict the activity of the query nanoparticle. The RMSE of
- bootstrapped model predictions is used to construct 95% prediction intervals at 1.96\*RMSE (https://
- 169 github.com/opentox/lazar/blob/nano-lazar-paper.submission/lib/caret.rb#
- 170 L55-L77). Prediction intervals are not available for the weighted average algorithm, as it does not use
- 171 internal validation.
- 172 If PLS/RF modelling or prediction fails, the program resorts to using the weighted average method.
- 173 2.2.5 Applicability domain
- The applicability domain of lazar models is determined by the diversity of the training data. If no
- 175 similar compounds are found in the training data (either because there are no similar nanoparticles or
- 176 because similarities cannot be determined due to the lack of measured properties) no predictions will
- be generated. Warnings are also issued, if local QSAR model building or model predictions fail and the
- 178 program has to resort to the weighted average algorithm (https://github.com/opentox/lazar/
- 179 blob/nano-lazar-paper.submission/lib/model.rb#L180-L257).
- Each prediction is accompanied with a list of neighbors and their similarities, which are clearly displayed
- 181 in the graphical user interface for the inspection by a toxicological expert. Apart from indicating the
- 182 applicability domain, the neighbor list clearly shows the rationale for the prediction, and allows the expert
- 183 to reject predictions e.g. when neighbors act via different mechanisms.

- 184 The accuracy of local model predictions is indicated by the 95% prediction interval, which is
- 185 derived from the internal caret validation (https://github.com/opentox/lazar/blob/
- 186 nano-lazar-paper.submission/lib/caret.rb#L55-L77). Query substances close to the
- 187 applicability domain (many neighbors with high similarity) will have a narrower prediction interval than
- 188 substances with a larger distance (few neighbors with low similarity).
- 189 2.2.6 Validation
- 190 For validation purposes we use results from 5 repeated 10-fold crossvalidations with independent
- 191 training/test set splits for each descriptor/algorithm combination (https://github.com/opentox/
- 192 lazar/blob/nano-lazar-paper.submission/lib/crossvalidation.rb#L85-L93). Feature
- 193 selection is performed for each validation fold separately to avoid overfitting. For the same reason we
- 194 do not use a fixed random seed for training/test set splits. This leads to slightly different results for each
- 195 repeated crossvalidation run, but it allows to estimate the variability of validation results due to random
- 196 training/test splits.
- In order to identify significant differences between validation results, outcomes (RMSE,  $r^2$ ,
- 198 correct 95% prediction interval) are compared by ANOVA analysis, followed by Tukey multiple
- 199 comparisons of means (https://github.com/enanomapper/nano-lazar-paper/blob/
- 200 nano-lazar-paper.submission/scripts/cv-statistics.rb).
- Please note that recreating validations (e.g. in the Docker image) will not lead to exactly the same results,
- 202 because crossvalidation folds are created randomly to avoid overfitting for fixed training/test set splits.
- These five 10-fold crossvalidations are assigned to the final model, which is build from the complete
- 204 training data. This validated model is used for further predictions, e.g. from the graphical webinterface.
- 205 2.3 Availability
- 206 Public webinterface https://nano-lazar.in-silico.ch
- 207 lazar framework https://github.com/opentox/lazar (source code)
- 208 nano-lazar GUI https://github.com/enanomapper/nano-lazar (source code)
- 209 Manuscript https://github.com/opentox/nano-lazar-paper (source code for the
- 210 manuscript and validation experiments)
- 211 Docker image https://hub.docker.com/r/insilicotox/nano-lazar-paper/(container
- 212 with manuscript, validation experiments, lazar libraries and third party dependencies)

## 3 RESULTS

- 213 The *Protein corona dataset* contains 121 Gold and Silver particles that are characterized by physchem
- 214 properties (P-CHEM) and their interaction with proteins in human serum (Proteomics). In addition MP2D
- 215 fingerprints were calculated for core and coating compounds with defined chemical structures.
- 216 Five repeated crossvalidations with independent training/test set splits were performed for the descriptor
- 217 classes
- *MP2D* fingerprints (calculated, binary)
- *P-CHEM* properties (measured, quantitative)
- *Proteomics* data (measured, quantitative)
- *P-CHEM* and *Proteomics* data combined (measured, quantitative)

- 222 and the local regression algorithms
- 223 • local weighted average (WA)
- local weighted partial least squares regression (*PLS*) 224
- local weighted random forests (*RF*) 225
- 226 Results of these experiments are summarized in Table 1. Figure 1, Figure 2 and Figure 3
- show the correlation of predictions with measurements for MP2D, P-CHEM and Proteomics 227
- 228 random forests models. Correlation plots for all descriptors and algorithms are available as
- supplementary material (https://github.com/enanomapper/nano-lazar-paper/tree/ 229
- nano-lazar-paper.submission/figures). Table 2 lists P-CHEM properties of the Protein 230
- 231 Corona dataset and their correlation with the *Net Cell Association* endpoint.

## DISCUSSION

- Table 1 summarizes the results from five independent crossvalidations for all descriptor/algorithm
- combinations. The best results in terms of RMSE and  $R^2$  were obtained with *Proteomics* descriptors 233
- and local weighted random forest models. There are however six models without statistically significant 234
- differences in terms of RMSE and five models in terms of  $r^2$ . The most accurate 95% prediction intervals 235
- were obtained with *P-CHEM* descriptors and *random forest* models, this models does not differ significantly 236
- from the best RMSE and  $r^2$  results. 237

#### **Descriptors** 238 4.1

- 239 In terms of descriptors the best overall results were obtained with *Proteomics* descriptors. This is in
- 240 agreement with previous findings from other groups (Walkey et al. 2014, Liu et al. (2015), Papa et al.
- (2016)). It is however interesting to note that the prediction intervals are significantly more inaccurate than 241
- those from other descriptors and the percentage of measurements within the prediction interval is usually 242
- lower than 90% instead of the expected 95%. 243
- Using P-CHEM descriptors in addition to Proteomics does not lead to improved models, instead we 244
- observe an increased sensitivity towards training/test set splits (crossvalidation variability) and random 245
- forest results perform even significantly poorer than *Proteomics* descriptors alone. 246
- P-CHEM descriptors alone perform surprisingly well, especially in combination with local random forest 247
- models, which does not show statistically significant differences to the best *Proteomics* model. On average 248
- more than 95% of the measurements fall within the 95% prediction interval, with significantly better results 249
- than for *Proteomics* descriptors. A summary of *P-CHEM* descriptors can be found in Table 2. 250
- All MP2D models have poorer performance in terms of  $r^2$ , but the random forest model does not differ 251
- significantly in terms of RMSE and measurements within the prediction interval. 252

#### 4.2 Algorithms 253

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- 254 With the exception of P-CHEM/Proteomics descriptors random forests models perform
- better than partial least squares and weighted average models with significant differences 255
- for MP2D and P-CHEM descriptors (detailed pairwise comparisons are available in the 256
- supplementary material https://github.com/enanomapper/nano-lazar-paper/blob/
- nano-lazar-paper.submission/results/). Interestingly the simple weighted average 258

algorithm shows no significant difference to the best performing model for the Proteomics and 259 P-CHEM/Proteomics descriptors. 260

#### Interpretation and practical applicability 4.3

Although random forest models with Proteomics descriptors have the best performance in terms of 262 RMSE and  $r^2$ , the accuracy of the 95% prediction interval is significantly lower than for MP2D and 263

- *P-CHEM* models (detailed pairwise comparisons in the supplementary material). 264
- These problems seem to originate from internal caret optimisation and validation algorithms which 265
- underestimate RMSE values, that are used to calculate the prediction interval (see Algorithm section). 266
- 267 The observation that the weighted average algorithm, which does not use caret, performs comparatively
- well for *Proteomics* descriptors, supports this interpretation. 268
- Our initial suspicion was that an unfavourable ratio between descriptors (785 before feature selection, 269
- 129 after feature selection) and training examples (121) causes this problem. Random forest and 270
- partialleastsquares algorithms are on the other hand robust against a large number of descriptors and 271
- caret returns very realistic RMSE values for MP2D fingerprints with a similar number of independent 272
- variables (100). For this reason it is presently still unclear, why prediction intervals for *Proteomics* 273
- descriptors are more inaccurate than for other descriptor types. 274
- P-CHEM random forest models have the most accurate prediction interval and the RMSE and  $r^2$ 275
- performance is comparable to the Proteomics model, although they utilize a much lower number of 276
- descriptors (20 before feature selection, 10 after feature selection). The main advantage from a practical 277
- point of view is that predictions of novel nanoparticles require a much lower amount of measurements 278
- than with Proteomics data (although this argument may become obsolete with new high throughput 279
- techniques). 280

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- MP2D fingerprint descriptors are interesting from a practical point of view, because they do not require 281
- any measurements of nanoparticle properties. They need however defined chemical structures for core and 282
- coating compounds, which makes this approach infeasible for nanoparticle classes like carbon nanotubes. 283
- The resulting models do not differ significantly from the best results in terms of prediction accuracy 284
- (RMSE, measurements within prediction interval), but are significantly lower in terms of explained model 285
- 286 variance  $(r^2)$ . For practical purposes one may argue that the primary objective of read across models is to
- make accurate predictions (low RMSE, accurate prediction interval) and not to explain the model variance
- 287
- $(r^2)$ . For this reason we consider  $r^2$  performance as secondary compared to RMSE and prediction interval 288
- 289 accuracies.
- Currently a couple of QSAR studies with global models have been published for the same dataset Walkey 290
- et al. (2014), Liu et al. (2015), Papa et al. (2016)], but unfortunately their results are not directly comparable, 291
- because we report results for the complete dataset with 121 Gold and Silver particles, while other authors 292
- report results for a subset of Gold particles. 293
- (Walkey et al. 2014) report leave-one-out (LOO) and 4-fold crossvalidation (4CV) results for 105 Gold 294
- particles. They obtained the best results (LOO  $r^2$  0.86, 4CV  $r^2$  0.63) with partial least squares models, 295
- protein corona data with four additional physicochemical parameters and jackknife parameter selection. 296
- Parameter selection was performed by crossvalidation, but it is unclear if parameters were selected on 297
- the complete dataset prior to LOO/4CV or separately for each LOO/4CV model. Performance wise the 298
- findings are roughly in agreement with our results. Assuming that feature selection was performed within 299
- crossvalidation folds we would expect 10-fold crossvalidation results between LOO and 4CV results. 300

According to the authors the model developed for Gold compounds have little predictivity for Silver compounds, but a separate Silver model gave LOO  $r^2$  of 0.79. RMSE values are not available, although they are in our opinion more relevant for the predictive toxicology use case than  $r^2$  values (prediction error vs explained model variance).

(Liu et al. 2015) report a 4CV  $r^2$  of 0.843 for 84 Gold compounds using  $\epsilon$ -support vector machines 305  $(\epsilon$ -SVM) with 6 serum proteins and zeta potential as descriptors. Descriptors were selected with sequential 306 307 forward floating selection (SFFS). The methodological descriptions do not indicate explicitly, if feature selection was performed on the complete dataset or within 4CV folds. Judging from Figure 2 of this 308 paper and the Methods section we have the strong impression that feature selection was performed prior 309 to crossvalidation, which increases the likelihood of overfitted models, especially for aggressive feature 310 selection schemes like SFFS. The 4CV r2 of 0.843 is clearly higher than our results, but it remains unclear, 311 if the superior performance is due to better algorithms, a smaller more "regression friendly" dataset or 312 overfitted models. Again we would have preferred RMSE values for comparison purposes, which are 313 314 unfortunately not available.

(Papa et al. 2016) developed global models for 84 Gold compounds with eleven algorithms and reported  $r^2$ 315 and RMSE values for training set retrofitting, leave-one-out crossvalidation (LOO) and stratified external 316 test set predictions (64 particles training set, 20 particles test set). There was little difference between good 317 performing models (PPR, EARTH, SVM-linear, SVM-radial, MLR, PLS) and the authors conclude that 318 Projection Pursuit Regression (PPR) gives the most robust models (LOO  $r^2$  0.81, RMSE 1.01, external  $r^2$ 319 0.79, RMSE 1.01). Feature selection (with genetic algorithms and support vector machines) and parameter 320 selection (with the caret R package) were correctly performed on the training set only, which might 321 explain the lower  $r^2$  values compared to (Liu et al. 2015). Both  $r^2$  and RMSE values are better than in 322 our study, but we have used the complete dataset with 121 Gold and Silver compounds and not a subset of 323 84 Gold compounds. 324

325 All these studies use global models for a subset of the Protein Corona dataset, which makes sense for a relatively homogeneous dataset with a single mode of action, nano-lazar in contrast creates 326 327 local QSAR models for each query compound, which makes the approach more generally applicable for nanoparticles with different modes of action. For this reason we were able to cover all 121 nanomaterials of 328 the Protein Corona dataset, while global models could utilize only 69% of the complete dataset. According 329 330 to our experience with small molecules, local read across models are best applied to heterogeneous datasets 331 with a couple of hundred examples. Datasets with approximately 100 examples are the lower margin where local QSAR models can be successfully built and validated. For this reason we expect that nano-lazar 332 333 performance will increase as soon as more nanotoxicity data becomes available.

## 5 CONCLUSION

We have performed 60 independent crossvalidation experiments for the Protein Corona dataset obtained 334 from the eNanoMapper database in order to identify the best combination of descriptors for nanoparticle 335 read across predictions. The best RMSE and r<sup>2</sup> results were obtained with protein corona descriptors and 336 the weighted random forest algorithm, but the 95% prediction interval is significantly less accurate than 337 that of models with simpler descriptor sets (measured and calculated nanoparticle properties). The most 338 339 accurate prediction intervals were obtained with measured nanoparticle properties with RMSE and r<sup>2</sup> 340 values that show no statistical significant difference (p < 0.05) to the protein corona descriptors. Calculated 341 descriptors are interesting for cheap and fast high-throughput screening purposes, they have significantly

- lower r<sup>2</sup> values than the best results, but RMSE and prediction intervals show no significant difference to the best results of our investigation.
- For practical purposes we suggest to use nanoparticle properties when measurements are available and the
- 345 newly developed nanoparticle fingerprints for screening purposes without physicochemical measurements.
- 346 Both models have been implemented with a graphical user interface which is publicly available at https:
- 347 //nano-lazar.in-silico.ch.

## **6 CONFLICT OF INTEREST STATEMENT**

- 348 The authors declare that the research was conducted in the absence of any commercial or financial
- relationships that could be construed as a potential conflict of interest.

## 7 AUTHOR CONTRIBUTIONS

- 350 CH was responsible for the design and implementation of the nano-lazar libraries, the validation studies
- 351 and the text of this manuscript. DG and MR participated as scientific programmers in the development of
- 352 nano-lazar libraries and in the validation experiments. They are the authors of the nano-lazar GUI
- and REST interfaces and contributed to the manuscript with critical revisions and proofreading.

# 8 FUNDING

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- 356 multi-scale modelling environment for nanomaterials and systems by design" (Theme NMP.2013.1.3-2
- 357 NMP.2013.1.4-1, Grant agreement no: 604134).

## 9 TABLES

Table 1 *P-CHEM* properties of the *Protein corona* dataset. Features correlating with the *Net cell association* endpoint (*relevant features*) are indicated by bold letters.

Property	Medium	Unit	
Localized Surface Plasmon Resonance (LSPR) index	-		
Localized Surface Plasmon Resonance (LSPR) index	<b>Human serum</b>		
LSPR peak position (nm)	- $nm$		
Polydispersity index	-	nm	
Polydispersity index	Human serum	nm	
Core size	-	nm	
Autot (ICP-AES)	<b>Human serum</b>	nmol	
Total surface area (SAtot)	Human serum	$cm^2$	
Protein density	Human serum	$ug/cm^2$	
Total protein (BCA assay)	Human serum	ug	
ZETA POTENTIAL	-	mV	
ZETA POTENTIAL	<b>Human serum</b>	mV	
Z-Average Hydrodynamic Diameter	-	nm	
Z-Average Hydrodynamic Diameter	Human serum	nm	

Property	Medium	Unit
Volume Mean Hydrodynamic Diameter	-	nm
Volume Mean Hydrodynamic Diameter	<b>Human serum</b>	nm
Number Mean Hydrodynamic Diameter	-	nm
Number Mean Hydrodynamic Diameter	Human serum	nm
Intensity Mean Hydrodynamic Diameter	-	nm
Intensity Mean Hydrodynamic Diameter	Human serum	nm

Table 2 Results from five independent crossvalidations for various descriptor/algorithm combinations. Best results (mean of 5 crossvalidations) are indicated by bold letters, statistically significant (p < 0.05) different results by italics. Results in normal fonts do not differ significantly from best results.

Descriptors	Algorith	ım RMSE	$r^2$	% measurements within prediction interval	
MP2D	WA	2.03 2.1 2.07 2.07	0.24 0.19 0.21 0.22	NA	
		2.03	0.24		
MP2D	PLS	2.05 2.03 2.02 2.09	0.28 0.28 0.29 0.27	96 94 94 93 94	
		2.16	0.28		
MP2D	RF	1.73 1.77 1.67 1.67	0.46 0.45 0.49 0.5	96 93 94 94 96	
		1.73	0.47		
P-CHEM	WA	1.98 1.94 1.91 1.93	0.44 0.47 0.48 0.47	NA	
		2.0	0.43		
P-CHEM	PLS	2.09 2.09 2.14 2.03	0.38 0.39 0.36 0.42	97 96 97 96 97	
		2.01	0.43		
P-CHEM	RF	1.76 1.73 1.81 1.86	0.56 0.58 0.54 0.51	97 95 94 93 94	
		1.83	0.53		
Proteomics	WA	1.88 1.72 1.73 1.91	0.52 0.6 0.59 0.52	NA	
		1.76	0.58		
Proteomics	PLS	1.74 1.85 1.78 1.61	0.59 0.56 0.56 0.64	87 87 86 85 88	
		1.68	0.62		
Proteomics	RF	1.51 1.61 1.8 1.73	0.68 0.65 0.55 0.6	87 89 89 92 92	
		1.56	0.65		
P-CHEM	WA	1.72 1.77 1.85 1.44	0.6 0.58 0.55 0.7	NA	
Proteomics		1.67	0.62		
P-CHEM	PLS	1.55 1.91 1.79 1.94	0.67 0.54 0.58 0.51	84 86 88 86 90	
Proteomics		1.64	0.64		
P-CHEM	RF	1.85 1.74 2.1 1.68	0.55 0.59 0.45 0.61	90 88 90 91 92	
Proteomics		1.51	0.69		

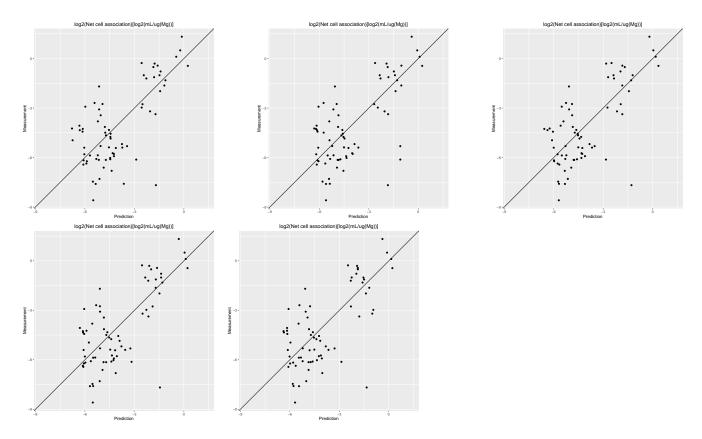
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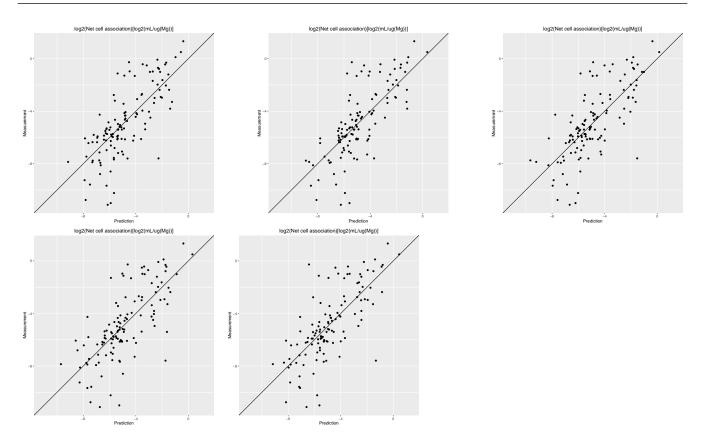
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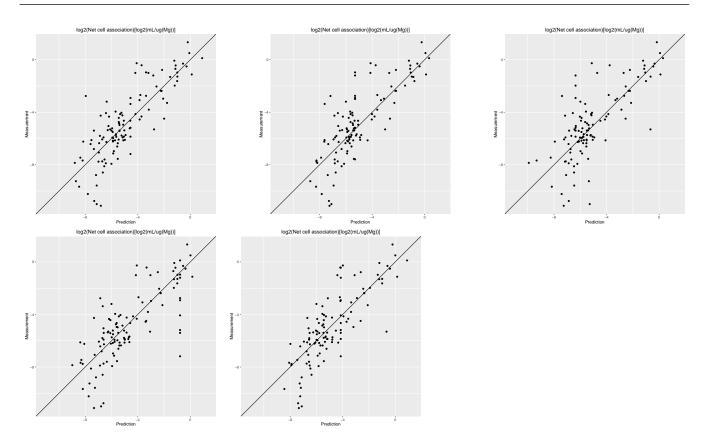
# 10 FIGURES



**Figure 1.** Correlation of predicted vs. measured values for five independent crossvalidations with *MP2D* fingerprint descriptors and local *random forest* models



**Figure 2.** Correlation of predicted vs. measured values for five independent crossvalidations with *P-CHEM* descriptors and local *random forest* models



**Figure 3.** Correlation of predicted vs. measured values for five independent crossvalidations with *Proteomics* descriptors and local *random forest* models