# Designing Agents with Minimal Representation

#### Joshua Hernandez

University of California, Los Angeles

jheez@ucla.edu

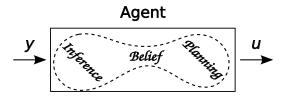
July 18, 2014

## Overview

- Motivation
- 2 Formalizations
- 3 Literature
- Featured Work
  - Alberto and Simão '09
  - Greedy Algorithm

## Separate Inference and Control

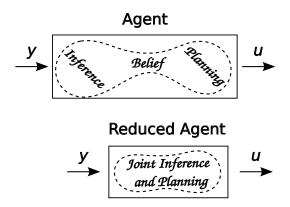
It's inefficient to do inference and control separately



Canonical belief spaces can become a serious informational bottleneck between inference and planning modules

## Joint Inference and Control

#### One approach is reduction



## Representation Reduction

reduction

There exists a great deal of literature on the subject of representation

- Continuous case: Dimensionality Reduction, Information Bottleneck...
- Discrete case: FSM Reduction

I will focus on the latter discrete case.

## **FSM**

#### Definition (Finite State Machine)

A finite state machine is a tuple

$$\langle \Sigma, \Gamma, S, s_0, \delta, \omega \rangle$$
,

#### where

- Σ is an input alphabet,
- Γ is an out alphabet,
- S is a finite set of states,
- $s_0 \in S$  is an initial state,
- $\delta: S \times \Sigma \to S$  is a state-transition function,
- $\omega: S \times \Sigma \to \Gamma$  is an output function.

## **ISFSM**

#### Definition (Incompletely-Specified Finite State Machine)

An incompletely-specified finite state machine is a tuple

$$\langle \Sigma, \Gamma, S, s_0, \delta, \omega \rangle$$
,

#### where

- $\bullet$   $\Sigma$  is an input alphabet,
- Γ is an out alphabet,
- S is a finite set of states,
- $s_0 \in S$  is an initial state,
- $\delta: S \times \Sigma \to S \cup \{\phi\}$  is a state-transition function,
- $\omega: S \times \Sigma \to \Gamma \cup \{\epsilon\}$  is an output function,

and  $\phi$  and  $\epsilon$  denote unspecified outputs.

#### Definition (Obedience)

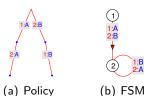
Given the decision policy  $P = \langle \mathcal{U}, T : \text{Sequences}(\mathcal{Y}) \to \mathcal{U} \cup \{\epsilon\} \rangle$ , we say that an ISFSM  $\langle \Sigma, \Gamma, S, s_0, \delta, \omega \rangle$  **obeys** the policy P if for every finite sequence  $y_1, \ldots, y_n \in \mathcal{Y}$ , there exists a sequence  $s_0, \ldots s_{n-1} \subseteq S$  such that

$$s_i = \delta(s_{i-1}, y_i)$$
 for all  $i = 1, \ldots, n$ 

and

$$T(y_1,\ldots,y_n)=\omega(s_{n-1},y_n) \quad \text{or} \quad T(y_1,\ldots,y_n)=\epsilon.$$

#### Example (Obedience)

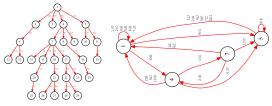


## Definition (Equivalent FSMs)

Two FSMs are equivalent if they obey all the same policies.

Equivalent FSMs may have very different forms

Example (Reduced FSMs)



(c) Canonical FSM

(d) Equivalent reduced FSM

## **Problem**

#### Problem (FSM minimization)

Given a decision policy (or an ISFSM) how do we find an obedient (or equivalent) ISFSM with the smallest possible state set?

It turns out,

- It is easy for CSFSM (polynomial in |S|).
- It is hard (NP-hard) for ISFSM.

## **Previous Work**

#### Previous work: Heuristics for ISFSMs

- C. P. Pfleeger. "State reduction in incompletely specified finite-state machines". In: *IEEE Trans. Comput* 22.12 (1973), pp. 1099 –110
- A. Grasselli and F. Luccio. "A method for minimizing the number of internal states in incompletely-specified sequential networks". In: IEEE Trans. Comput 13.3 (1965), pp. 350 –359
- J. Pena and A. Oliveira. "A new algorithm for the reduction of incompletely specified finite state machines". In: IEEE/ACM International Conference on Computer-Aided Design (1998), pp. 4482 –489
- S. Goren and F. Ferguson. "On state reduction of incompletely specified finite state machines". In: Computers and Electrical Engineering 33.1 (2007), pp. 58 –69

## Alberto and Simão, '09

A. Simão A. Alberto. "Minimization of Incompletely Specified Finite State Machines Based on Distinction Graphs" . In: Latin American Test Workshop (2009), pp. 1–6

#### Method

- Construct equivalence graph
- Select maximal anticlique in equivalence graph
- Merge remaining states with members of the anticlique graph

# 1. Equivalence Graph

Construct an equivalence graph using Hopcroft's algorithm<sup>1</sup> (its complement, the distinction graph, is shown).

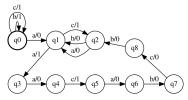


Figure 1: An incompletely-specified FSM

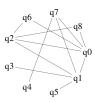


Figure 2: Distinction graph for the FSM in Figure 1

Joshua Hernandez (UCLA)

<sup>&</sup>lt;sup>1</sup>J. Hopcroft. "An  $n \log n$  algorithm for minimizing states in a finite automaton". In: *Theory of machines and computations* (1971), pp. 198 –196.

# 2. Maximal Anticlique

The next step requires that we find a maximal anticlique of the equivalence graph.

- Cliques on the equivalence graph identify sets of states that can be collapsed into a single state. The minimal clique-covering, that is the smallest collection of disjoint cliques that covers the equivalence graph, correponds to a minimal reduction of the FSM.
- Finding a maximum anticlique is also NP-hard (same paper), but this algorithm only approximates a minimal reduction

# 3. Iteratitve Merge

Next, states outside the anticlique selected, following a simple heuristic, and merged with compatible states on the anticlique (there must exist at least one).

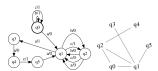


Figure 3: Reduced FSM after first iteration and its distinction graph

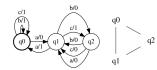


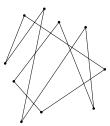
Figure 4: Reduced FSM after second iteration and its distinction graph

#### Limitations

- Finding the maximal anticlique is still an NP-hard problem.
- Can do very badly on certain cases

Example (Failure Case)

Consider the case when the distinction graph looks like



# The End