

# 16.5: Surfaces and Area

## Parameterized Surfaces

A **parametrized surface** is given by:  $\vec{r}(u, v) = f(u, v)\mathbf{i} + g(u, v)\mathbf{j} + h(u, v)\mathbf{k}$ .

The domain is the set of points in the  $uv$ -plane that can be substituted into  $\vec{r}$ .

**Sphere:**  $x^2 + y^2 + z^2 = a^2$

$\vec{r}(\phi, \theta) = a \sin \phi \cos \theta \mathbf{i} + a \sin \phi \sin \theta \mathbf{j} + a \cos \phi \mathbf{k}$  (pretty straightforward mapping of spherical coordinates)

$$(0 \leq \phi \leq \pi, 0 \leq \theta \leq 2\pi)$$

**Cylinder:**  $x^2 + y^2 = a^2, 0 \leq z \leq b$

$\vec{r}(\theta, z) = a \cos \theta \mathbf{i} + a \sin \theta \mathbf{j} + z \mathbf{k}$  (pretty straightforward mapping of cylindrical coordinates)

$$(0 \leq \theta \leq 2\pi, 0 \leq z \leq b)$$

**Cone:**  $z = \sqrt{x^2 + y^2}, 0 \leq z \leq b$

$\vec{r}(r, \theta) = r \cos \theta \mathbf{i} + r \sin \theta \mathbf{j} + r \mathbf{k}$

$$(0 \leq r \leq b, 0 \leq \theta \leq 2\pi)$$

## Example

Find the parametrization for  $z = 4 - x^2 - y^2, z \geq 0$ .

$$\begin{aligned} z &= 4 - x^2 - y^2 \\ &= 4 - r^2 \\ \therefore r &\leq 2 \end{aligned}$$

The parametrization:

$$\vec{r}(r, \theta) = r \cos \theta \mathbf{i} + r \sin \theta \mathbf{j} + (4 - r^2) \mathbf{k}$$

$$(0 \leq r \leq 2, 0 \leq \theta < 2\pi)$$

## Surface Area

A parametrized surface  $\vec{r}(u, v) = f(u, v)\mathbf{i} + g(u, v)\mathbf{j} + h(u, v)\mathbf{k}$  is **smooth** if  $\vec{r}_u$  and  $\vec{r}_v$  are continuous and  $\vec{r}_u \times \vec{r}_v \neq 0$  on the interior of the parameter domain.

## SA of Parametrized Surfaces

Given smooth surface  $\vec{r}(u, v) = f(u, v)\mathbf{i} + g(u, v)\mathbf{j} + h(u, v)\mathbf{k}, a \leq u \leq b, c \leq v \leq d$ :

$$\sigma = \iint_R |\vec{r}_u \times \vec{r}_v| dA = \int_c^d \int_a^b |\vec{r}_u \times \vec{r}_v| du dv$$

### Why?

For a small rectangular area  $\Delta\sigma$  on the surface,

$$\begin{aligned}\Delta\sigma &= |(\vec{r}_u \cdot \Delta u) \times (\vec{r}_v \cdot \Delta v)| \\ &= |\vec{r}_u \times \vec{r}_v| \Delta u \Delta v\end{aligned}$$

So,  $d\sigma = |\vec{r}_u \times \vec{r}_v| du dv$ .

## SA of Implicit Surfaces

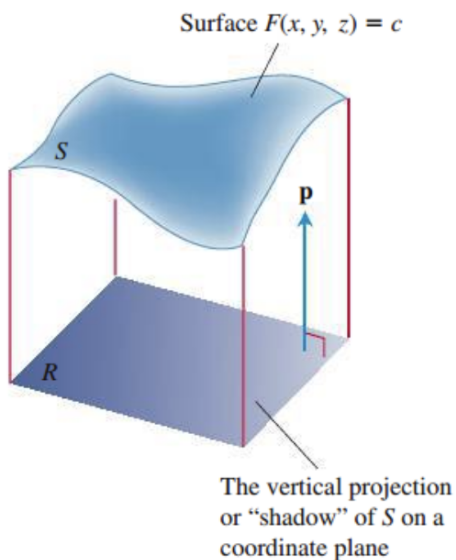
Area of surface  $F(x, y, z) = c$  over closed & bounded region  $R$ :

$$\sigma = \iint_R \frac{\|\nabla F\|}{|\nabla F \cdot \hat{p}|} dA$$

(where  $\hat{p} = \mathbf{i}, \mathbf{j}$ , or  $\mathbf{k}$  is normal to  $R$  and  $\nabla F \cdot \hat{p} \neq 0$ )

### Why?

Create shadow region  $R$  (a projection of the surface onto a coordinate plane), and let  $\hat{p}$  be the normal vector of  $R$ .



**FIGURE 16.47** As we soon see, the area of a surface  $S$  in space can be calculated by evaluating a related double integral over the vertical projection or “shadow” of  $S$  on a coordinate plane. The unit vector  $\mathbf{p}$  is normal to the plane.

Assume surface is smooth and require  $\nabla \cdot \hat{p} \neq 0$ .

Let  $R$  be the  $xy$ -plane (then  $\hat{p} = \mathbf{k}$ ). The curve is parametrized as:

$$\vec{r}(x, y) = x\mathbf{i} + y\mathbf{j} + z(x, y)\mathbf{k}$$

(note that  $z(x, y)$  is not explicitly known)

$$\begin{aligned}\vec{r}_x &= \mathbf{i} + \frac{\partial z}{\partial x}\mathbf{k} = \mathbf{i} - \frac{F_x}{F_z}\mathbf{k} \\ \vec{r}_y &= \mathbf{j} + \frac{\partial z}{\partial y}\mathbf{k} = \mathbf{j} - \frac{F_y}{F_z}\mathbf{k}\end{aligned}$$

(recall  $F(x, y, z(x, y)) = 0$ , so [implicit chain rule](#) can be applied)

Then:

$$\vec{r}_x \times \vec{r}_y = \frac{F_x}{F_z}\mathbf{i} + \frac{F_y}{F_z}\mathbf{j} + \mathbf{k}$$

(i'm too lazy to show the determinant but just scroll down like 1 scroll, there's a similar cross prod.)

$$\begin{aligned}\vec{r}_x \times \vec{r}_y &= \frac{1}{F_z}(F_x\mathbf{i} + F_y\mathbf{j} + F_z\mathbf{k}) \\ &= \frac{\nabla F}{F_z} \\ &= \frac{\nabla F}{\nabla F \cdot \hat{p}}\end{aligned}$$

Plug back in and bam equation.

**SA for  $z = f(x, y)$**

$$\sigma = \iint_R \sqrt{f_x^2 + f_y^2 + 1} \, dx \, dy$$

**Why?**

$z = f(x, y)$  can be parametrized as  $\vec{r}(x, y) = x\mathbf{i} + y\mathbf{j} + f(x, y)\mathbf{k}$ .

Then:

$$\begin{aligned}\vec{r}_x &= \mathbf{i} + f_x \mathbf{k} \\ \vec{r}_y &= \mathbf{j} + f_y \mathbf{k} \\ \vec{r}_x \times \vec{r}_y &= \begin{vmatrix} \mathbf{i} & \mathbf{j} & \mathbf{k} \\ 1 & 0 & f_x \\ 0 & 1 & f_y \end{vmatrix} = -f_x \mathbf{i} - f_y \mathbf{j} + \mathbf{k}\end{aligned}$$

So:

$$\sigma = \iint_R |\vec{r}_u \times \vec{r}_v| dA = \sqrt{f_x^2 + f_y^2 + 1} dx dy$$

## 16.6: Surface Integrals

### Definition

**Surface area differential:**

$$d\sigma = |\vec{r}_u \times \vec{r}_v| du dv$$

**Surface integral of  $G$  over surface  $S$**

$$\iint_S G(x, y, z) d\sigma = \lim_{n \rightarrow \infty} \sum_{k=1}^n G(x_k, y_k, z_k) \Delta\sigma_k$$

### Surface integrals of scalar functions

You can substitute  $d\sigma$  for the surface area formulas above ([Surface Area](#)) depending on which condition you meet.

#### Parametrized surface

Given smooth surface  $\vec{r}(u, v) = f(u, v)\mathbf{i} + g(u, v)\mathbf{j} + h(u, v)\mathbf{k}, (u, v) \in R$

$$\iint_S G(x, y, z) d\sigma = \iint_R G(f(u, v), g(u, v), h(u, v)) |\vec{r}_u \times \vec{r}_v| du dv$$

#### Implicit surface

$$\iint_S G(x, y, z) d\sigma = \iint_R G(x, y, z) \frac{\|\nabla F\|}{|\nabla F \cdot \hat{p}|} dA$$

( $S$  lies above its closed & bounded shadow region  $R$  in the coordinate plane beneath it)  
(where  $\hat{p} = \mathbf{i}, \mathbf{j}$ , or  $\mathbf{k}$  is normal to  $R$  and  $\nabla F \cdot \hat{p} \neq 0$ )

**For**  $z = f(x, y)$

$$\iint_S G(x, y, z) d\sigma = \iint_R G(x, y, f(x, y)) \sqrt{f_x^2 + f_y^2 + 1} dx dy$$

( $R$  is the region on the  $xy$ -plane)

## Surface integrals of vector fields

Let  $\vec{F}$  be a vector field in 3D space with continuous components defined over a smooth surface  $S$ , with normal unit vectors  $\hat{n}$  orienting  $S$ .

The **surface integral of  $\vec{F}$  over  $S$** :

$$\iint_S \vec{F} \cdot \hat{n} d\sigma$$

This integral is also called the **flux** of the vector field  $\vec{F}$  across  $S$ .

If the surface being integrated over can be written as  $g(x, y, z) = c$ ,

$$\hat{n} = \pm \frac{\nabla g}{\|\nabla g\|}$$

If the surface being integrated over can be parametrized as  $\vec{r}(u, v)$ , the surface integral can be calculated as:

$$\iint_S \vec{F} \cdot (\vec{r}_u \times \vec{r}_v) du dv$$

## Mass & Moment

Same as [15.6 Apps of Double & Triple Integrals > Physics Definitions](#), but with:

$$dm = \delta d\sigma$$

[#week12](#)